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# Identification of Autism Spectrum Disordered kids from Normal Crowd using Attention based Deep Learning Technique

### **Abstract**

Autism Spectrum Disorder (ASD) is a developmental disorder found in early childhood. This neurological disorder affects the way children act in society and interact with others. Usually, ASD kids are associated with excess emotional facialexpressions or poor emotional facial-expressions. The primary objective of this paper is to use deep learning techniques to identify ASD kids from the normal crowd by analysing their facial expressions. Several videos of ASD kids and normal kids were collected. These videos were then parsed into images to train an Attention based Residual Neural Network. This proposed model brings a novel method of embedding Attention on Residual Convolution Neural Networks, which results in carrying the most significant dominating features from the initial layers to the very end with very little distortion. This is done by the attention block, which weighs every parameter according to its significance. This way, the features with higher weighs are passed till the deep layers of the network without any loss of information. Thus, the proposed work is able to classify effectively the ASD kids from the normal ones based on the videos collected successfully yielding results with a state-of-the-art accuracy of around 93%.

### 1. Introduction:

There has been a significant increase in data acquisition, storage, and production over the last twenty years. The variety of data generated in the big data is marked by a significant increase in the data rate produced. Data diversity, size increase, and speed are attributed to the affordability and availability of various resources and infrastructure. It is wide range used to collect and analyse a variety of information.

For more information, numerous machine learning tools

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available, such as Hadoop, has given researchers unique opportunities to develop machine learning algorithms with advanced hardware TensorFlow, Spark and R. Across various studies, the rise across computing power and processing technologies has opened the door to apply the machine learning theories.

Another such field is research into the Autism Spectrum Disorder. Autism spectrum disorder is a disorder which affects neurodevelopment. It is defined by the social interaction and social interaction impairments. However, patterns of restrained, repeated behaviour (Vahia, 2013). The Centres for Disease Control and Prevention (CDC) currently has one of 59 children in the United States who are diagnosed with ASD (Christensen et al., 2018). That can be undervalued based on recent results in a Parent Survey finding a prevalence rate of 45 (Zablotsky et al., 2015).

Autism spectrum disorder is a multi-factorial Characterized by illness by presence and severity of symptoms, risk factors and diagnosis, and reaction to treatment Lord(Lord et al., 2000). Autism spectrum disorder is the high prevalence rate and complexity has prompted some researchers to move to machine learning over conventional methods of statistical data analysis.

Training machines can be narrowly divided in to two groups, such as unmonitored and unmonitored learning. Supervised machine learning involves the use of input variables to predict categorical or continuous target classification. Like Unattended learning, supervised learning involves data sets where the data used to learn the model is predicted is established during preparation.

The supervised learning model is considered successful when, outside model testing, the target decision for a training data set can be predicted correctly with a certain degree of accuracy and extended into new data sets. A process called cross-validation is also used to improve the ability of a model to make predictions on future data.

This paper is mainly focuses on human computer communication via facial expressions. Social communication is the use of verbal or verbal communication to communicate with people. This includes speech, body postures, gestures, visual acuity, and facial expressions used to communicate and respond to others. Autistic children face major difficulties

in understanding social references and conventions. They are unable to articulate non-verbal communication and body language.

These impairments prevent them from understanding verbal and non-verbal communication and effectively reading human facial expressions. The ability to detect and determine one's emotions can be an empowerment for the field of artificial intelligence and lead to intelligent, powerful machines that understand the intent of users. An intelligent machine with emotional awareness can achieve the disabilities of children with autism. With that emotional awareness, the autistic child has the power to teach and guide the person who he or she is interacting with and how to respond appropriately when they express various emotions (Mower et al., 2010). Such a machine has the potential to reduce the communication gap between society and people with depression.

### 2. Related works:

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Automated ASD Screenings are several facial expressions computational models that automatically identify people with ASD. Anzulevich et al.(Anzulewicz et al., 2016) use smart tablet devices to record children's facial expression patterns, and propose three results - face use models for identifying ASD based on these patterns.

ASD inspired by the findings that individuals with difficulties recognize faces and interpret facial emotions Liu et al. (Liu et al., 2016), (Sasson et al., 2011) evaluated the scanning methods of children's facial expressions and assessed ASD. In order to detect differences in facial appearance patterns between the ASD and the control group when viewing the image, Wang et al. (Wang et al., 2015) proposes to provide a training model with a predefined features support vector machine (SVM) model to classify persons with ASD according to their perspective. Jiang and Zhao (Jiang & Zhao, 2017) then extend the idea (Wang et al., 2015) by introducing a new deep neural network approach, which highlights the differences in visual patterns, resulting in more discriminatory features for accurate ASD screening. People have also explored a variety of neuroimaging techniques for classifying ASD. These observations are tabulated in Table (1).

From Table (1). represents the existing techniques to identify ASD kids from the normal crowd. It can be noticed from the table that different feature extraction techniques has been used by them. All of the used techniques weighs all the features of the input equally thus leading to some information obtained in the initial layers being lost as the network goes deeper. Hence, the proposed system is architected in such a way that it weighs the significant parameters more than the rest and thereby making the model more efficient in terms of feature extraction.

Table 1.	Relat	ed Works	
Feature Extraction		Database	

Reference	Feature Extraction	Database	Sample size	Performance
		Database	·	Don't generalize the code
Daniel Bone	Computational and behavioural	ADOS	984 subjects	
(Bone et al., 2015)	sciences converge	ADOS	942 Autism and 30 TD	collection or classification
	·			across datasets
Omar RIHAWI	Behaviours and provides			Dynamic time warping
(Rihawi et al., 2017)	researchers with a benchmark data set	3D autism dataset	-	demonstrate good understanding
(				of static and dynamic behaviours
Mahsa Naceni	Identify and Categorize children		80 children with	An automatic system for
Davarani (Davarani et al., 2017)	with faces emotions	DSM-5	autism disorder	identifying the range of
Davarani (Davarani et al., 2017)	with faces emotions		autism disorder	autistic children
Marguerite			***	Small numbers of qualified
Marlow	Improving the scientific rigor	Psychometric Data	300 sample with	and educated health workers
(Marlow et al., 2019)	of early detection approach	,	70% of ASD	in ASD and DD.
Kayleigh K. Hyde			29 ASD children	Classification and supervised
(Hyde et al., 2019)	Face classification	ADI-R and SRS	with ages 4 to 11 years.	learning is not useful up to level.
(Hyde et al., 2019)			with ages 4 to 11years.	Increase the interpretability
Amy Stedman	Wide variability in	PsychInfo	367 sampled males,	of observations by using a simpler
		CINAHL (EBSCO)		
(Stedman et al., 2019)	measurement and reporting	Cochrane PubMed	335 sampled females.	and more coherent
				explanation of samples
Arnaud Dapogny	children how to produce	JEMImE	157 children with Age	In JEMImE-All database,
(Dapogny et al., 2018)	Facial expressions	72	( 6 to 11 years)	FE classification average scores
Shashank Jaiswal	3D analysis of behaviour with	WOMAN A	55 11 4 24 10	
(Jaiswal et al., 2017)	Dynamic Deep Learning	KOMAA	55 subjects with 18years	Accuracy of 93.9%.
Shi Chen	first computational model to			achieve the distilling knowledge
(Chen & Zhao, 2019)	classify people with ASD	Saliency4ASD	45 samples	across the two modalities
Jing Li		272 videos -	136 Negative classes,	Sensitivity - 91.9%
(Li et al., 2019)	Gaze patterns between ASD	136 ASD childrens	53 Positive classes	Specificity - 93.4%.
Ming Jiang	Dynamic Affect Recognition	130 ASD cillidicis	23 subjects with ASD	86% - Classification
		696 subjects		
(Jiang & Zhao, 2017)	Evaluation (DARE)		and 35 controls	accuracy
Eunji Chong		Georgia Tech	22 hours of 156 recordings	Precision - 0.76
(Chong et al., 2017)	Pose-Implicit CNN	Child Study Lab dataset	from 100 ASD Children's	Recall - 0.80.
(Chong et al., 2017)		Cilliu Study Lab dataset	play session	Recail - 0.00.
Md Inzamam	DONN ACD:	Karolinska Directed	500 T :: :	training - 89.76%
Ul Haque	DCNN ASD images		500 Training images	validation - 80.82%.
(Haque & Valles, 2018)	from different angles.	Emotional Faces KDEF	- Validation 100 - Testing.	test accuracy - 78.32%.
S.P. Abirami	Identify children who may	193 -ASD POSITIVE		Linear SVM classifier
(Abirami et al., 2019a)	soon fall under autistic traits.	359 - ASD NEGATIVE	304 and 390 images	- 0.811594202899 .
Jing Li	Automatic identification of children	189 videos		
(Li et al., 2018)	with ASD in raw video	53 - ASD 136 TD	DSM-IV	Classification Accuracy - 93.7%.
		33 - A3D 130 1D		-
Wenbo Liu	to classify children	29 - 4 to 11year ASD	18 Novel faces	Classification accuracy - 88.51%.
(Liu et al., 2016)	with and without ASD.	·		·
Alanoud Bin				Accuracy is 88.6%
Dris	The gaze-based screening	29 participants	29 ASD children	Specificity is 92.31%
(Dris et al., 2019)	with the identifying ASD	/		Sensitivity is 86.63%
(DII) Ct III., 2015)				AUC score is 0.96.
Qandeel Tariq	M 4 C 11 - C 40D	116 short home videos	Mean age - 4 years 10 mon	sparse 5-feature
(Tariq et al., 2018)	MLA for Identify ASD	116 snort nome videos	SD - 2 years 3 months	LR classifier - 92%
Alexis Nebout	Saliency prediction model			
(Nebout et al., 2019)	for children with ASD.	MIT1003 dataset	300 images	CC score of 0.8992 and 0.8653
Suzan Anwar				
(Anwar & Milanova, 2016)	Active shape Model (ASM) tracker	CAFE set	1192 images	93% classification accuracy.
Marco Leo	facial expressions produced	Extended CohnKanade	327 images	Average score among
(Leo et al., 2018)	by ASD children	Dataset (CK+)	17 children with ASD	children - 89.1341
		Dataset (CK+)	17 children with ASD	cilidicii - 89.1341
Yudong Tao	SP-ASDNet, using both	Saliency4ASD	300 images	74.22% accuracy for validation.
(Tao & Shyu, 2019)	CNNs and LSTM			
	Using facial landmark vectorization,			
S.P. ABIRAMI	the facial features are marked,	193 -ASD POSITIVE	304 and 390 images	CNN - 0.89754.
(Abirami et al., 2019b)	and expressions are categorized	359 - ASD NEGATIVE	504 and 550 mages	C1414 - 0.097.54.
	using a linear classifier of SVM.			
W : B	Design of specialized educational	ASD (SPCA) Dataset		In children with ASD,
Huiyu Duan	content for children with ASD that	Saliency Analysis	481- source images	expect visual attention on
(Duan et al., 2019)	contains human face	for children		the human face.
Uzma Haque	The patterns of visual facial scanning		14 Male & 7 Female	
Syeda (Syeda et al., 2017)	and emotion recognition between	42 - 21ASD & 21TD	Age - 5 to 17years	Male Accuracy - 68.29%
oyean (oyean et al., 2017)	Autistic facial expressions		10 ASD	emotions with 53 as maxi
			10 ASD 10 TD	
	during the execution	NIMH-ChEFS	(16 male and 4 female)	&27 as min for ASD 68 as maxi and
Vikas Khullar				
Vikas Khullar (Khullar et al., 2017)	of their motor movements using a			
			( 4 12 years)	49 as mini for TD
(Khullar et al., 2017)	of their motor movements using a computerized approach	51(26 femules & 25 malar)	(4 12 years) Total - 34	49 as mini for TD Normal - 96.4 4.0,
	of their motor movements using a	51(26 females & 25 males) age 22.5 4.5 years	( 4 12 years)	49 as mini for TD

### 3. Proposed work:

This work proposes a novel deep learning technique for micro-expression analysis to classify ASD kids from normal ones. The proposed model is Attention based Residual network. This model carries the dominating features obtained in the early layers till the very end of the neural network without distortion. Thus resulting in very less valuable information loss. The primary focus of this paper is to construct a less computationally expensive yet an accurate model to identify and classify ASD kids from the rest. Not like conventional Convolution Neural Network (CNN) model which gives equal weights to all features (Karthik et al., 2020), the proposed model weighs every parameter based on its significance. This way, high significant parameters are given higher weights with the use of Attention blocks. The parameters with higher weights are carried on till the last layer, thus making the model more precise and accurate in classification.

#### 3.1. Attention embedded Residual Network:

Conventional CNN model equally weighs all the parameters as the learning happens from the aggregated feature map from different layers. The disadvantage of this pattern of learning is that it losses some critical and significant information learned in the primary layers as it treats all the features the same. Hence in the proposed model the significant information learned in the early layers are passed to the deeper layers. This architecture is achieved by embedding attention mechanism to the residual network. The Attention block weighs the significant parameters higher than the rest. This way, the model will be able to achieve precise classification of micro-expressions.

Fig (1). represents architecture of the model. The proposed model consists of three Residual blocks connected in sequence. At the end, average pooling to avoid over-fitting.

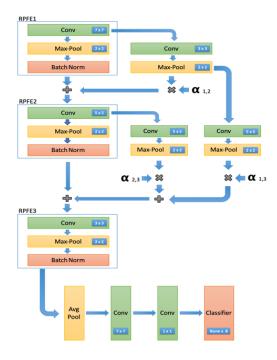


Figure 1. Architecture of the proposed model

### 3.2. Residual blocks and attention mechanism:

The proposed model consists of three Residual blocks connected in sequence. A convolution layer, Max-Pooling layer, and a Batch Normalization layer builds a residual block. Zero padded input feature map is convoluted with distinct kernels in the convolution layer. This is then followed by an activation function, Rectified Linear Unit (ReLU). ReLU function removes all the negative values from the feature map and replaces them with zeros, thus remaining the shape unchanged and adding stability to it.

The feature map obtained after ReLU function is passed to two branches: A(x) containing a Conv layer, Max-Pool and Batch Norm layer, and B(X) containing a Convolution and Max-Pool layer with attention embedding. This attention embedding block in B(x) learns and weighs the parameters according to their significance. The input to the next block Y(x) is obtained by adding the output of A(x) and B(x) and this equation is presented in Eq (1).

The Architecture of the proposed model are shown in Figure (1).

$$Y(x) = A(x) + B(x) * \alpha \tag{1}$$

Where,  $\alpha$  is the Attention weight matrix.

The  $\alpha$  and the resultant of operation of B(x) have the same dimension. Point wise multiplication is done between  $\alpha$  and B(x). The  $\alpha$  is learned by passing the B(x) through the dense block, which learns  $\alpha$  i,j depending on cross-section of each pixel of B(x)i,j. This learning process is shown in Fig (2) (Karthik et al., 2020). Where (i) represents the Feature map of B(x), (ii) represents the A ReLU activated dense layer matrix, (iii) Softmax activation layer and (iv) Computed  $B(x)^*$   $\alpha$  as in Eq (1).

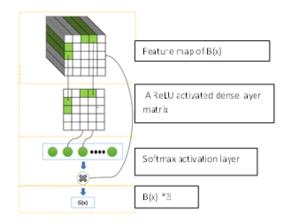


Figure 2. Learning process of  $\alpha$ , activation matrix (Karthik et al., 2020)

Fig(3) shows the flow process of the Residual blocks with A(x) and B(x).

### 4. Results and Discussions:

Videos collected manually from ASD kids and normal kids were used to train the proposed deep learning model. The videos captured the childrens reaction and micro-expression in response to the same set of videos. We then generated a CSV file which maps the videos with their labels. The videos were then parsed and each frame was considered as

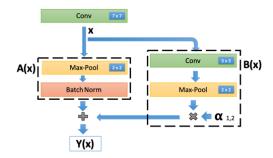


Figure 3. Flow of A(x) and B(x) functions and their summation to generate Y(x)

Table 2. Train Test split-up of data

Tuble 2. Train Test spire up of data		
Split-up	Number of images	
Training	1,02,032	
Testing	25,012	
Total	1,27,044	

an image in the training and testing process. The proposed model was built using TensorFlow framework. To minimize the loss function gradually as the learning happens, Adaptive Moment Estimation (Adam) optimizer was used. The Adam optimizer is an adaptive function which minimizes the loss function gradually as the learning happens.

The split-up of train and test data is presented in Table (2). The results of training and testing are tabulated in Table (3).

### 5. Dataset:

Dataset generation included collecting videos of 5 ASD kids and 8 normal kids. Videos collected manually from different normal and ASD kids were used for training and testing of the proposed model. These videos were then split frame wise into 1,27,044 images. The obtained images were then resized to 256x256 dimension to standardise them. The sample of the images created are tabulated in Table IV. The manually created CSV file is then used to map these images with their label and to convert the results in the form of an NPZ file. The model training, validation and testing was done using this NPZ file. 70 to 30 split ratio was used between training and testing data. The obtained training accuracy graph and training loss function graph are presented in Fig (4).

Table 3. Results of training and training

rable 3. Results of training and training			
Metrics	CASME I		
Number of Epochs	20		
Training Accuracy	98.54%		
Testing Accuracy	92.71%		

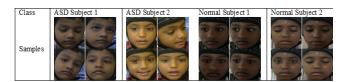


Figure 4. Sample Dataset images

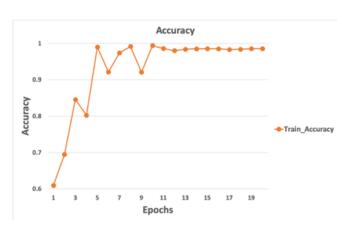


Figure 5. Accuracy Graph

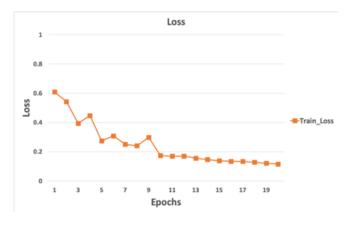


Figure 6. Loss Graph

### **6. Performance Analysis:**

The metrices and the feature extraction techniques of the already existing and proposed models are tabulated in Table I under the literature survey section. Instead of weighing all the parameters equally, the proposed system weighs them according to their significance. The proposed model achieves an accuracy of 92.71%. Hence, the proposed deep learning architecture stands as one of the significant improvement in terms of precision and accuracy in identification of ASD kids from the crowd.

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### 7. Conclusion

The proposed Attention based Residual CNN deep learning architecture is able to classify the ASD kids from the normal ones with high efficiency. The primary focus of this work was to apply attention mechanism to infer vital information from facial expressions. The proposed model learns and weighs each and every feature according to its significance so that the important parameters obtained in the earliest layers are passed to the deeper layers of the network and hence providing high accuracy and stability. The uniqueness about the proposed model is that it can classify the ASD kids from the normal ones just from the videos collected at random time stamps and environments. So any instance of the kids captured as an image or video can be used to get the classification results. There is no specific scenario or situation where the kid has to be fit in order to achieve accurate classification. Experimental results obtained in manual videos collected from ASD and normal kids gave an testing accuracy of around 93%. The future extension for this proposed model is to generalise this identification process over all age categories including adults and elderly people.

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