

EECS4214

Digital Communications

Sunila Akbar

Department of Electrical Engineering and Computer Science
York University

February 5, 2025
Week 5

Week 5 - Lecture 10

1 Review of the Last Lecture

2 Uniform Quantization

3 Non-uniform Quantization

Review of the Last Lecture

Review

Uniform Quantization

- Step size " Δ " - same
 - Desired $SQNR$ $SQNR [dB]$ } for
 - 1. Uniformly Distributed I/P Signal.
 - 2. Gaussian Distributed I/P Signal.
 - $SQNR$ is not good here. ($-7.25 dB$)
- Motivation for non-uniform Q

Will review in the lecture!

Uniform Quantization

Summary: Uniform Quantization

- Input signal amplitude range $[-U_0 \quad U_0]$ - *Symmetric*
Asymmetric Signal $[U_1 \quad U_2]$
- Number of quantization levels: $q = 2^n$
- Quantization step: $\Delta = \frac{2U_0}{q-1}$

$$\Delta = \frac{U_2-U_1}{(q-1)} \approx \frac{U_2-U_1}{2^n}$$
- Quantization noise power: $\mathbb{E}[n_q^2] = \Delta^2/12$
- $SQNR = 10\log_{10} \frac{\mathbb{E}[X^2]}{U_0^2} + 6n + 4.8 [dB]$
- Uniformly Distributed Signal: $SQNR = 20\log_{10}(q-1) [dB]$
- Uniformly Distributed Signal: $q \gg 1, SQNR = 6n [dB]$ (*More "n": bits/level OR more levels.*)
- Gaussian Distributed Signal: $SQNR = 20\log_{10}(q-1) - 7.25dB$

White - Symmetric
Orange - Asymmetric.

q - levels.

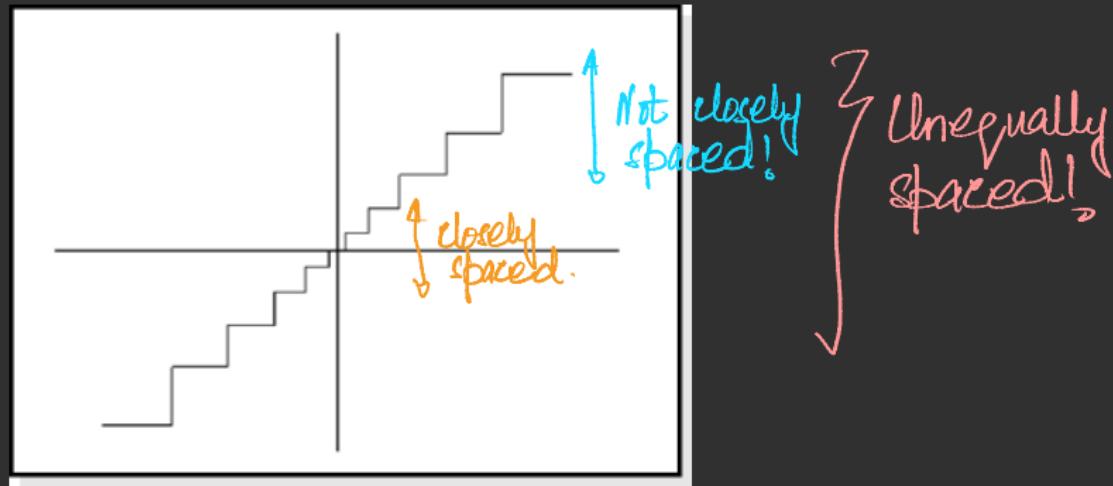
$q = 2^n$.

*(More "n": bits/level OR more levels.
higher SQNR)*

Non-uniform Quantization

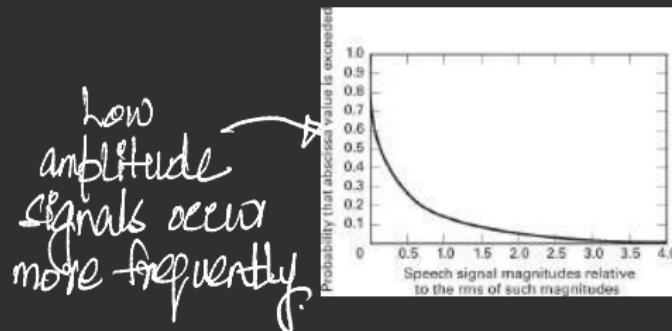
Non-uniform Quantization

- Nonuniform quantizers have unequally spaced levels
- The spacing can be chosen to optimize the Signal-to-Noise Ratio for a particular type of signal



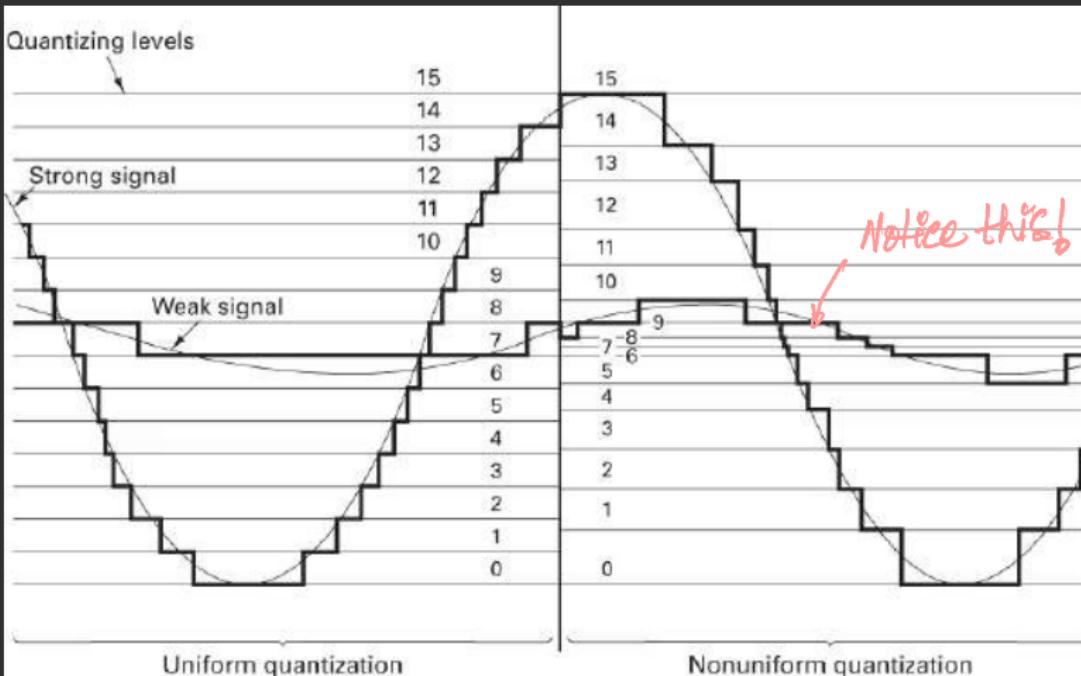
Non-uniform Quantization

- Many signals such as speech have a nonuniform distribution



- Use more levels at regions with large probability density function (pdf)
- Concentrate quantization levels in areas of largest pdf
- OR use fine quantization (small step size) for weak signals and coarse quantization (large step size) for strong signals

Uniform and Non-uniform Quantization of Signals

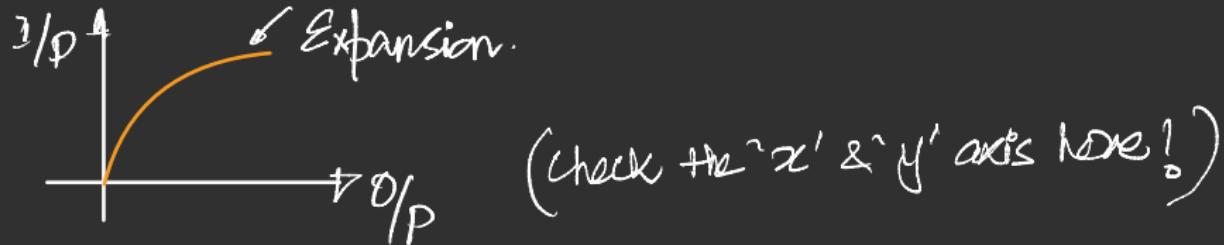
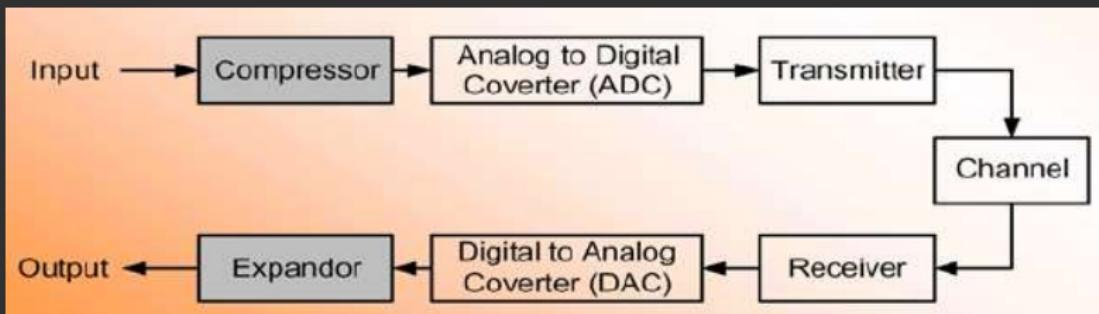
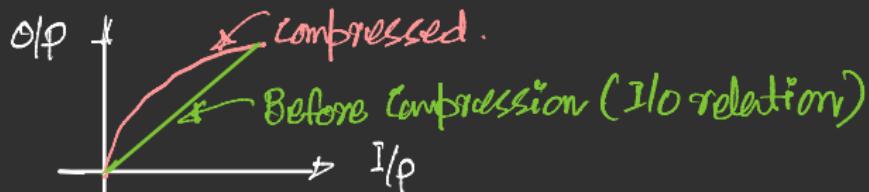


Note
mostly low
amp. signals
occur in
practice!

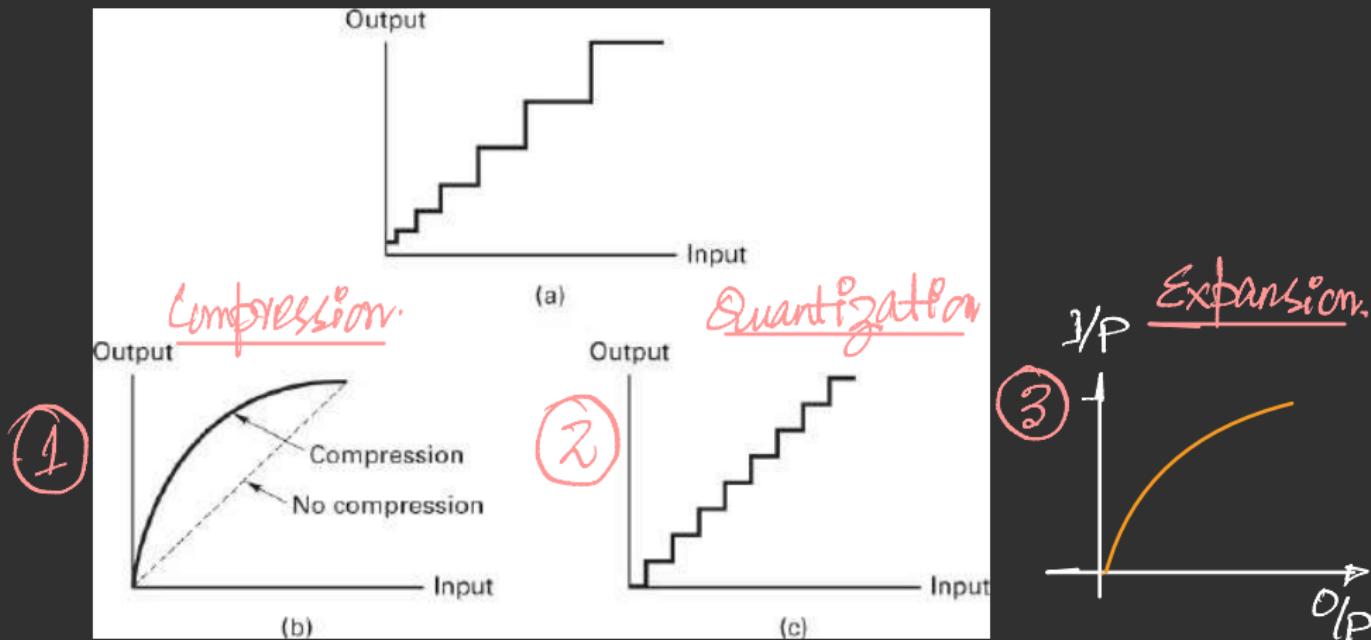
Non-uniform Quantization using Companding

- Companding improves the overall SQNR by reducing the quantization noise for the predominant weak signals, at the expense of an increase in noise for the rarely occurring strong signals
- Thus, it makes the SQNR a constant for all the signal values within the input range
- Here, first the baseband signal is passed through a **compressor** and then applying the compressed signal to a uniform quantizer
 - In compression, the SQNR of the weak signal values is enhanced by decreasing the quantization step size or increasing the quantizer resolution
 - Conversely, strong signals can be efficiently represented with minimal degradation in SQNR by increasing the quantization step size or decreasing the quantization resolution
- The resultant signal is then transmitted
- At the receiver, a device called **Expander** is used to restore the signal samples to their correct relative level

Companding



Companding

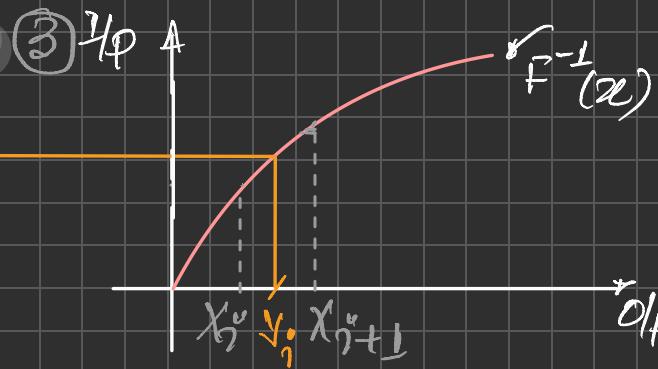
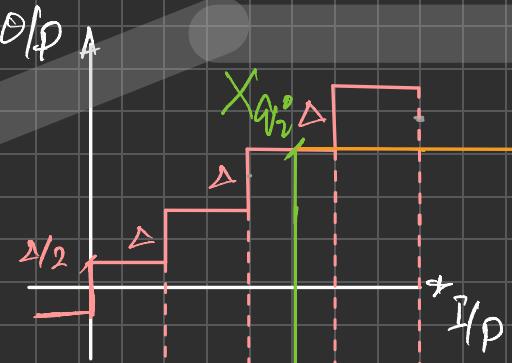
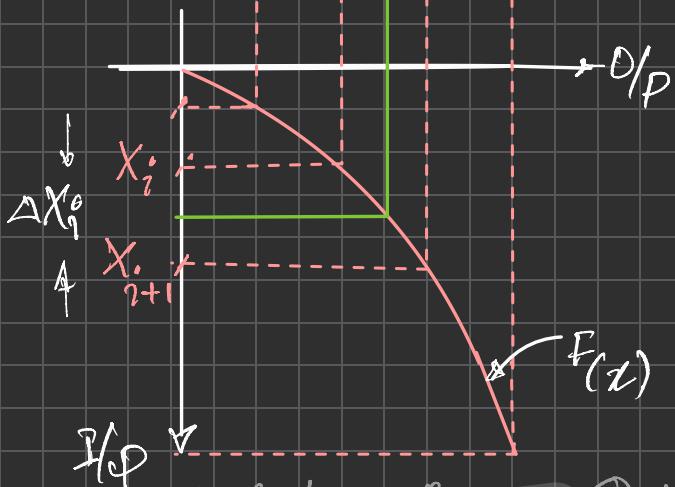


- (a) the nonuniform quantifier characteristic, (b) the compression characteristic, (c) the uniform quantizer characteristic

SQNR for Non-uniform Quantization

The SQNR for non-uniform quantization we will derive in class!

See next!



$x_{q_i}^o$: Quantized O/P of Uniform Quantizer.

Δx_i^o : $x_{i+1}^o - x_i^o$
Width of Quantization Interval.

Motive is to find SNR.

① Compression \rightarrow ② Uniform Quantization \rightarrow ③ Expansion.

Consider the interval $[x_i^*, x_{i+1}^*]$ at the I/P of Compressor

Quantization noise power at level i^* can be given as:

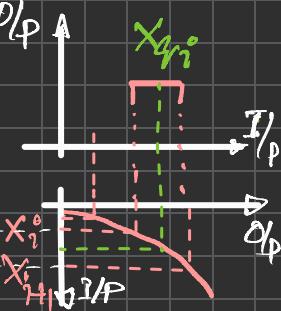
$$E[n_{q_i^*}^2] = \int_{x_i^*}^{x_{i+1}^*} (x - y_i^*)^2 f_X(x) dx \quad - (A)$$

where

$$y_i^* = F^{-1}(x_{q_i^*})$$

Inverse Transformation here!

(Expansion at O/P)



$x_{q_i^*}$: Quantized O/P of Uniform Quantizer at level i^*

$\Delta x_i^* = x_{i+1}^* - x_i^*$ Width of Quantization interval i^*

Considering two simplifying assumptions:

$$\textcircled{1} \quad y_i^* = \frac{x_i^* + x_{i+1}^*}{2} \quad \text{or} \quad \begin{cases} x_i^* = y_i^* - \frac{\Delta x_i^*}{2} \\ x_{i+1}^* = y_i^* + \frac{\Delta x_i^*}{2} \end{cases}$$

$$\textcircled{2} \quad f_X(x) \stackrel{u}{=} f_X(y_i^*) \quad (\text{prob. dist. of I/P \& O/P are the same})$$

Applying ① & ② in ④

$$\begin{aligned}
 E_{[y_i^o, y_i^o + \frac{\Delta x_i^o}{2}]} &= \int_{y_i^o - \frac{\Delta x_i^o}{2}}^{y_i^o + \frac{\Delta x_i^o}{2}} (x - y_i^o)^2 f_x(y_i^o) dx \\
 &= f_x(y_i^o) \int_{y_i^o - \frac{\Delta x_i^o}{2}}^{y_i^o + \frac{\Delta x_i^o}{2}} (x - y_i^o)^2 dx = f_x(y_i^o) \left. \frac{(x - y_i^o)^3}{3} \right|_{y_i^o - \frac{\Delta x_i^o}{2}}^{y_i^o + \frac{\Delta x_i^o}{2}} \\
 &= f_x(y_i^o) \frac{2(\Delta x_i^o)^3 / 8}{3} = f_x(y_i^o) \cdot \frac{\Delta x_i^o}{12} = ③
 \end{aligned}$$

Assume $u = F(x)$, that is, 'u' is the transformed version of 'x'
 $du = F'(x) dx$

$$\begin{aligned}
 \text{For small } \Delta; \quad \Delta u &= F'(y_i^o) \Delta x_i^o \quad (\text{x} = y_i^o \text{ for small } \Delta) \\
 \Delta x_i^o &= \Delta u / F'(y_i^o) \quad \text{Expansion} \rightarrow F'(x_{y_i^o}) \text{ applied to } x_{y_i^o}
 \end{aligned}$$

Therefore eqn ③ \Rightarrow

$$E[n_{y_i}^2] = f_{X(y_i)} \cdot \frac{\Delta u^2}{12} [F'(y_i)]^2 \cdot \Delta x_i$$

Total average quantization noise power:

$$E[n^2] = \sum_{i=0}^{q-1} E[n_{y_i}^2]$$

all the quantization intervals!

$$= \frac{\Delta u^2}{12} \sum_{i=0}^{q-1} \frac{f_{X(y_i)} \Delta x_i}{[F'(y_i)]^2}$$

$$= \frac{\Delta u^2}{12} \int_{x_{\min}}^{x_{\max}} \frac{f_X(x)}{[F'(x)]^2} dx$$

SQNR can then be given as: $10 \log_{10} \frac{E[X^2]}{E[n^2]}$

Notes:

For small Δ

$$\Delta \rightarrow 0$$

$$y_i \rightarrow x_i$$

And as $\Delta \rightarrow 0$

$$x_i \rightarrow x$$

$$\Delta x \rightarrow dx$$

Again as $\Delta \rightarrow 0$

$$\textcircled{1} y_i \rightarrow x_i$$

$$\textcircled{2} \sum \rightarrow \int$$

$$\textcircled{3} x_i \rightarrow x$$

SQNR for Non-uniform Quantization

The SQNR is given by: $SQNR = \frac{\mathbb{E}[X^2]}{\mathbb{E}[n_q^2]}$ where

$$\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \int_x \frac{f_X(x)}{[F'(x)]^2} dx$$

What happens when $F(x) = x$?

Linear compression.

$$\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \int_x \frac{f_X(x)}{(1)^2} dx \Big| = \frac{\Delta^2}{12} (1)$$

When logarithmic \rightarrow compression!

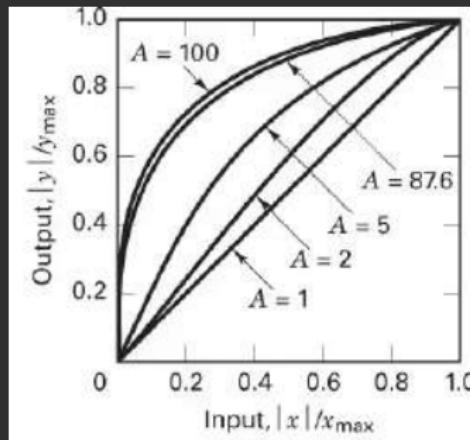
Non-Uniform Quantization using Companding

- There are in fact two standard logarithms based companding techniques
 - European standard called **A-law companding**
 - US standard called **μ -law companding**

Compression Laws: A - Law

- Commonly used logarithmic compression law
- The A -law is used for PCM telephone systems in the Europe
- A practical value for A is 100

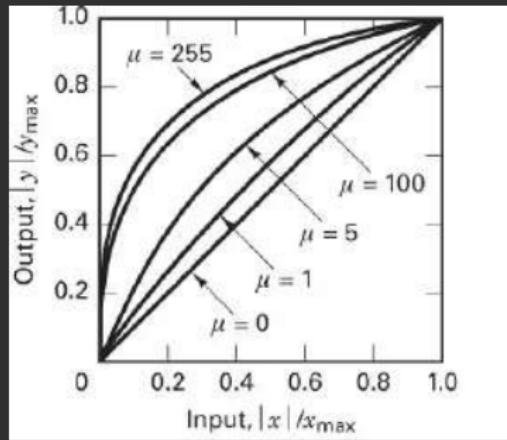
$$F(x) = \begin{cases} \frac{\frac{1+\ln(A|x|)}{1+\ln(A)}}{\cdot \operatorname{sgn}(x)}, & \frac{1}{A} \leq |x| \leq 1 \\ \frac{A|x|}{1+\ln(A)} \cdot \operatorname{sgn}(x), & |x| \leq \frac{1}{A} \end{cases}$$



Compression Laws: μ - Law

- Commonly used logarithmic compression law
- The μ -law is used for PCM telephone systems in the USA, Canada, and Japan
- A practical value for μ is 255

$$F(x) = \frac{\ln(1 + \mu|x|)}{\ln(1 + \mu)} \operatorname{sgn}(x), \quad -1 \leq x \leq 1$$



SQNR for μ - Law

The SQNR is given by: $SQNR = \frac{\mathbb{E}[X^2]}{\mathbb{E}[n_q^2]}$ where

Proof on next slide!

$$\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1}^1 (1 + \mu|x|)^2 f_X(x) dx$$

This is a general expression with μ law compressor function $F'(x)$ and thus can be used in conjunction with any type of signal distributions $f_X(x)$

$$\frac{\ln \mu\text{-law}}{F_\mu(x)} = \frac{\ln(1+\mu|x|)}{\ln(1+\mu)} \operatorname{sgn}(x), \quad x \in \{-1, 1\}$$

Quantization noise power = ?

$$E[n_q^2] = \frac{\Delta u^2}{12} \int_{-1}^1 \left[\frac{f_x(x)}{F'(x)} \right]^2 dx$$

$$\text{We have: } F(x) = \frac{\ln(1+\mu|x|)}{\ln(1+\mu)}$$

$$\therefore F'(x) = \frac{1}{\ln(1+\mu)} \cdot \frac{\mu}{(1+\mu|x|)}$$

$$E[n_q^2] = \frac{\Delta u^2}{12} \int_{-1}^1 \frac{\ln^2(1+\mu)(1+\mu|x|)^2}{\mu^2} f_x(x) dx$$

$$E[n_q^2] = \frac{\Delta^2}{12} \cdot \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1}^1 (1+\mu|x|)^2 f_x(x) dx.$$

NOTE

Δu here is
the step size of
the transformed
variable u

We may
replace it
with
 Δ to
be
consistent

Summary: Non-Uniform Quantization

- Symmetric Input signal amplitude range $[-U_0 \quad U_0]$
Asymmetric Signal $[U_1 \quad U_2]$

$$\checkmark \Delta = \frac{2U_0}{q-1}$$

- Number of quantization levels: $q = 2^n$ uniform step size.

- Quantization step: $\Delta_i = \frac{2U_0}{(q-1)F'(x_i)} = \frac{\Delta}{F'(x_i)} \approx \frac{U_0}{2^{n-1}F'(x_i)}$
 $\Delta_i = \frac{U_2 - U_1}{(q-1)F'(x_i)} = \frac{\Delta}{F'(x)} \approx \frac{U_2 - U_1}{2^n F'(x_i)} \rightarrow \text{Asymmetric.}$

$$\checkmark \Delta \asymp \frac{2U_0}{q}$$

$$\checkmark \asymp \frac{U_0}{q^{2-1}} = \frac{U_0}{2^{n-1}}$$

- Quantization noise power: $\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \int_x \frac{f_X(x)}{[F'(x)]^2} dx$

$$\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1}^1 (1 + \mu|x|)^2 f_X(x) dx$$

(for μ -law!)

Example: Uniform Distribution of Input Signal

If $|U_0| < 1$, integrate over U_0 , else integrate from -1 to 1.

- $\mathbb{E}[X^2] = \frac{\Delta^2(q-1)^2}{12} = \frac{U_0^2}{3}$
- $\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1}^1 (1 + \mu|x|)^2 \frac{1}{2U_0} dx$
- $\mathbb{E}[n_q^2] = 2 \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{3\mu^3} [(1 + \mu U_0)^3 - 1] \frac{1}{2U_0}$ (if $|U_0| < 1$)
- $\mathbb{E}[n_q^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{3\mu^3} [(1 + \mu)^3 - 1] \frac{1}{U_0}$ (if $|U_0| \geq 1$)
- SQNR = $\frac{q^2}{\frac{\ln^2(1+\mu)}{3\mu^3} [(1+\mu)^3-1] \frac{1}{U_0}}$ (if $|U_0| \geq 1$)

$$\text{SQNR} = 20\log_{10}(q-1) - 10\log_{10}\frac{\ln^2(1+\mu)}{3\mu^3}[(1+\mu)^3-1]\frac{1}{U_0}$$

What happens when $\mu \rightarrow 0$?

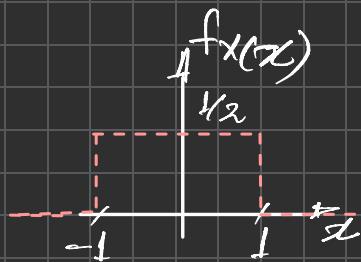
Derivations next!

Example: Uniform Distribution of I/P Signal

$$f_X(x) = \frac{1}{2}$$

$$x \in \{-1, 1\}$$

$$E[n_{\sqrt{k}}^2] = ?$$



We have $E[n_{\sqrt{k}}^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1}^1 (1+\mu|x|)^2 f_X(x) dx$

$$= \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \cdot \frac{1}{2} \int_0^1 (1+\mu x)^2 dx \quad \text{symmetric}$$

$$= \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \left[\frac{(1+\mu x)^3}{3\mu} \right]_0^1$$

$$= \frac{\Delta^2}{36\mu^3} \ln^2(1+\mu) [(1+\mu)^3 - 1]$$

$$y = 1 + \mu x$$

$$dy = \mu dz$$

& $E[X^2] = \int_{-1}^1 x^2 \frac{1}{2} dx = \frac{1}{2} \frac{x^3}{3} \Big|_{-1}^1 = \frac{1}{6}(2) = \frac{1}{3}$

$$\begin{aligned}
 S/NR [dB] &= 10 \log_{10} \frac{E[X^2]}{E[n^2]} \\
 &= 10 \log_{10} \frac{(1/\lambda)}{\frac{\Delta^2}{36\mu^3} \ln^2(1+\mu) [(1+\mu)^3 - 1]} \\
 &= 10 \log_{10} \frac{12\mu^3}{\Delta^2 \ln^2(1+\mu) [(1+\mu)^3 - 1]}
 \end{aligned}$$

If the uniform PDF is given as follows:

$$f_{X(\alpha)} = \frac{1}{2U_0} \quad \text{"Symmetric"}$$

$$S/NR [dB] = ?$$

$$\begin{aligned}
 \Delta &= \frac{2U_0}{(4\sqrt{-1})}, \quad E[X^2] = \int_{-U_0}^{U_0} x^2 \cdot \frac{1}{2U_0} dx \\
 &= \frac{1}{2U_0} \cdot \frac{x^3}{3} \Big|_{-U_0}^{U_0} = \frac{2U_0^3}{6U_0} = \frac{U_0}{3}
 \end{aligned}$$

$$E[x^2] = \frac{U_0^2}{3}$$

$$= \frac{(q-1)^2 \Delta^2}{2^2 \cdot 3} = \frac{(q-1)^2 \Delta^2}{12}$$

$$\Delta = \frac{2U_0}{q-1}$$

$$\begin{aligned} SQR [dB] &= 10 \log_{10} \frac{(q-1)^2 \Delta^2 / 12}{\ln^2(1+\mu) (\Delta^2 / 36) \mu^3 [(1+\mu)^3 - 1]} \\ &= 10 \log_{10} \frac{3(q-1)^2 \mu^3}{\ln^2(1+\mu) [(1+\mu)^3 - 1]} \\ &= 10 \log_{10} \frac{q^2 \mu^3}{\ln^2(1+\mu) [(1+\mu)^3 - 1]} \quad (q-1) \approx q \\ &= 20 \log_{10} q - 10 \log_{10} \frac{\ln^2(1+\mu)}{3 \mu^3} [(1+\mu)^3 - 1] \end{aligned}$$

For the case $\mu \rightarrow 0$

$$SQR [dB] = 20 \log q - A$$

where,

$$A = \lim_{\mu \rightarrow 0} \log_{10} \frac{\ln^2(1+\mu)}{3\mu^3} [(1+\mu)^3 - 1]$$

$$= \lim_{\mu \rightarrow 0} \log_{10} \frac{\ln^2(1+\mu)}{3\mu^2} + \lim_{\mu \rightarrow 0} \log_{10} 3(1+\mu)^2$$

Applying L'Hospital rule:

$$A = \lim_{\mu \rightarrow 0} \log_{10} \frac{2 \cdot \ln(1+\mu)}{(1+\mu) \cdot 6\mu} + \lim_{\mu \rightarrow 0} \log_{10} 3(1+\mu)^2$$

Applying L'Hospital rule again:

$$A = \lim_{\mu \rightarrow 0} \log_{10} \frac{2}{(1+\mu)[6+12\mu]} + \lim_{\mu \rightarrow 0} \log_{10} 3$$

$$= \lim_{\mu \rightarrow 0} \log_{10} \frac{2}{6} \cdot 3 = \lim_{\mu \rightarrow 0} \log_{10} 1 = 0$$

$$SQNR [dB] = 20 \log_{10} q \quad \text{when } \mu \rightarrow 0.$$

Example: Gaussian Distribution of Input Signal

- $f_X(x) = \frac{1}{\sigma\sqrt{2\pi}}e^{-\frac{x^2}{2\sigma^2}}, |x| \leq 1/3$

- Find SQNR when $\sigma = 1$

$$SQNR = \frac{\mathbb{E}[X^2]}{\frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1/3}^{1/3} (1+\mu|x|)^2 \frac{1}{\sqrt{2\pi}} e^{-\frac{x^2}{2}} dx}$$

Example: Gaussian Distributed Signal

$$f_X(x) = \frac{1}{\sigma \sqrt{2\pi}} e^{-x^2/2\sigma^2} \quad |x| \leq 1/\sigma$$

SQNR = ? when $\sigma = 1$

$$E[n_g^2] = \frac{\Delta^2}{12} \frac{\ln^2(1+\mu)}{\mu^2} \int_{-1/\sigma}^{1/\sigma} (1+\mu|x|)^2 \cdot \frac{1}{\sqrt{2\pi}} e^{-x^2/2\sigma^2} dx$$

$$E[n_g^2] = \frac{\Delta^2 F(\mu)}{12 \sqrt{2\pi}}$$

(After numerical integration)

μ	$F(\mu)$
0	1
1	0.7
2	0.62
3	0.59
4	0.58
5	0.59
6	0.59
7	0.59

$\mu = 4$ to minimize Quant. Noise Power!

Thank You
Happy Learning

