

# Deep Unsupervised Image Hashing by Maximizing Bit Entropy

**Yunqiang Li and Jan van Gemert**

Vision Lab, Delft University of Technology, Netherlands  
{y.li-19, j.c.vangemert}@tudelft.nl

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資訊系114 黃芊

資訊系114 聶怡安

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# 1.1 Introduction: Motivation and Objective

## 1. Motivation:

- Unsupervised hashing 是一項可以用較低成本來將圖像、影片進行分群的方法
- Hashing 的功能是將資料壓縮但是又不失圖片重要的資訊

## 2. Objective:

- 我們創造了一個unsupervised hash deep layer，命名為Bi-half Net
- 此layer為一個簡單，**無須額外參數**的layer，他可以保留圖片最多的資訊
- 在壓縮圖片時，會將連續數值轉離散，為了達到最佳化，我們將 Wasserstein distance 最小化

## 2.1 Related Works : Methods and Problems

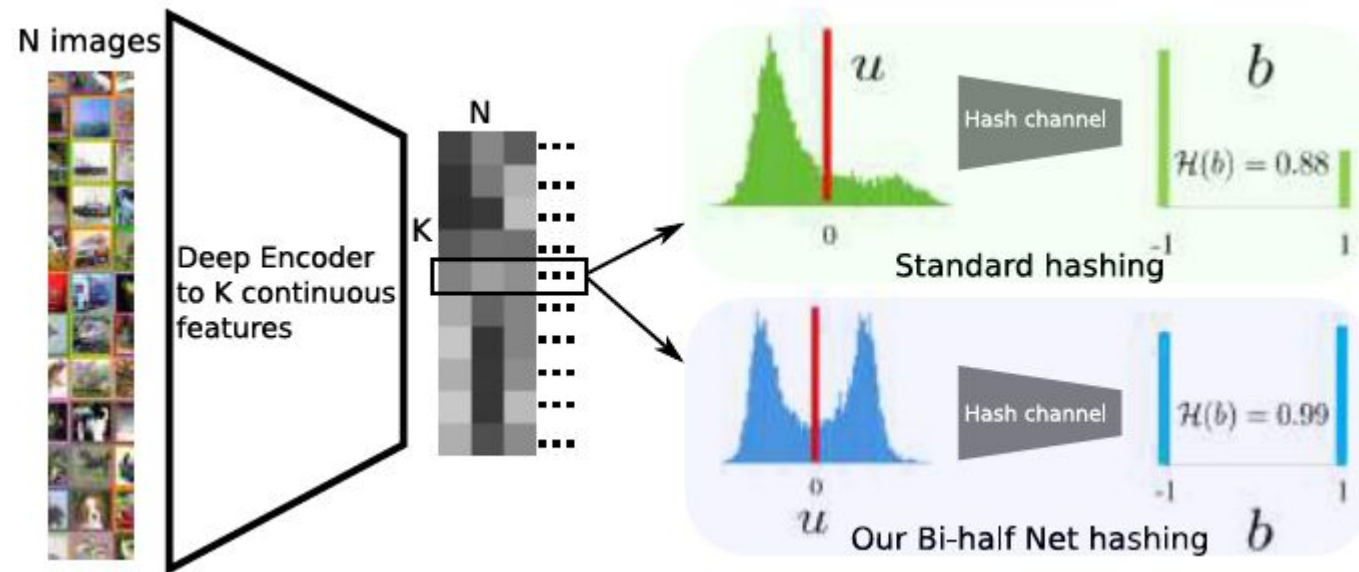
Amount of Supervision	Quantization from continuous to discrete values.	Obtaining gradients for binary codes	Information theory in hashing
supervised的結果很好，但是需要人為預處理(labeled)，很花錢和時間	當前的Sign function並不能有效利用所有離散資訊，一位會全部分為-1 或是+1	discrete hash output codes 無法直接應用在 continuous的activation function中，greedy hash則可能會產生redundant codes	當前大多數人都是在loss function中加上additional term 以將bit entropy最大化，在不同term之間很難統整，需要有足夠精細的調整

## 2.2 Related Works : Contribution

Amount of Supervision	Quantization from continuous to discrete values.	Obtaining gradients for binary codes	Information theory in hashing
專注於處理 unsupervised 的設定，以減少時間與金錢的額外損耗	利用 max bit capacity 來提高資訊的使用率	用像是 greedy hash 的概念：straight-through estimator，同時 maximizing bit information capacity	設計一個新的 network layer，不用設 loss function 的 addition 參數，只需在特定的 distribution 中將 Wasserstein distance 最小化

# 3.1 Approach : System Framework

illustrates the concept of hashing, compressing  $N$  images into  $K$  bits per image. We propose a novel Bi-half layer that is parameter-free, aiming to maximize the information capacity of the hash channel. This is achieved by minimizing the Wasserstein distance to align continuous features with the optimal discrete distribution.



## 3.2 Approach : Maximizing hash channel capacity

- 將連續特徵  $U$  轉換成  $B$  需要透過一個 lossy communication channel
- 每個 channel 的容量 ( channel capacity )  $C$ , 取決於從  $U$  轉換成  $B$  的最大資料量

$$C = \max_{p(u)} I(U; B)$$

- 根據  $p(u)$  以及  $I(U; B)$  去找尋最大值
- $p(u)$  : 所有可能的 input distribution
- $I(U; B)$  : mutual information between  $U$  and  $B$

## 3.2 Approach : Maximizing hash channel capacity

- $I(U; B) = H(B) - H(B|U)$
- $H(B)$  : entropy of B
- $H(B|U)$  : conditional entropy of B based on U
- 所以 最大化 C 其實就是 最大化  $H(B)$  且最小化  $H(B|U)$



### 3.3 Approach : Bi-half layer for quantization

- 使用 Optimal Transport 讓連續特徵分布可以接近理想的 half-half
- 為了找到在兩個機率分布  $P_r, P_g$  之間，將單位質量從  $x$  移動到  $y$  的最小花費
- $\pi$  : 有多少質量可以從  $P_r$  傳輸到  $P_g$
- $D$  : cost function matrix, each element  $\geq 0$

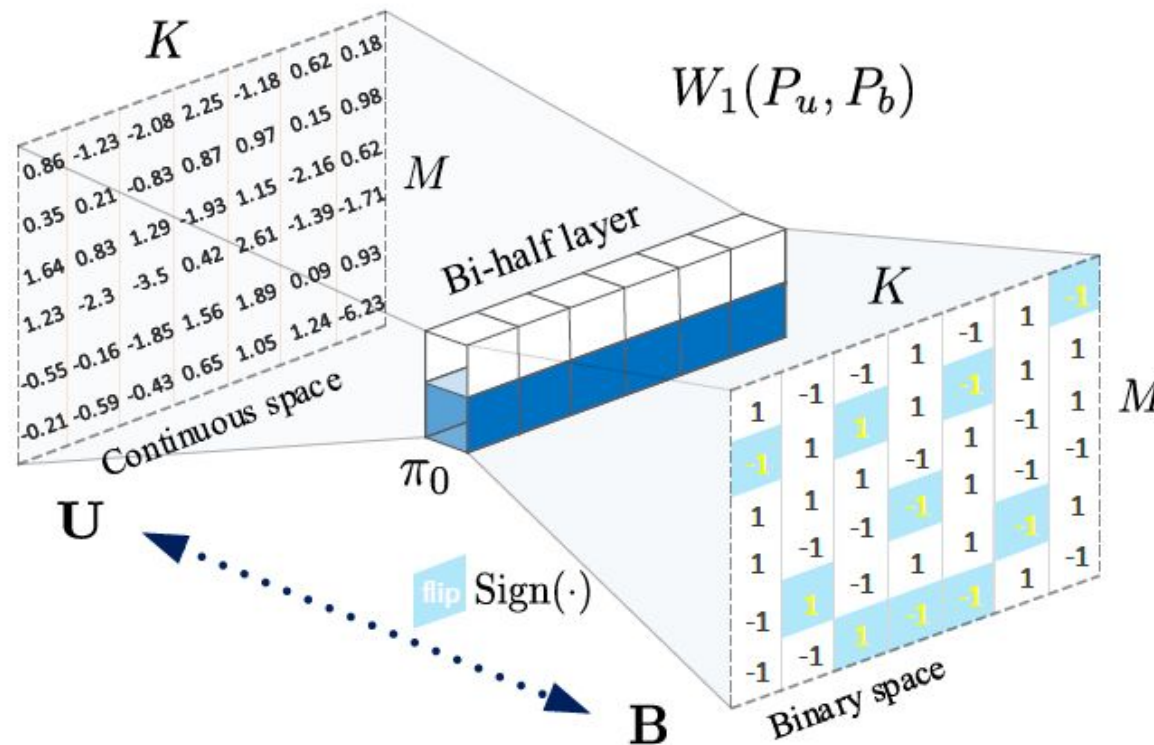
$$\pi_0 = \min_{\pi \in \Pi(P_r, P_g)} \langle \pi, \mathbf{D} \rangle_F$$

## 3.3 Approach : Bi-half layer for quantization

- 如果把 OT 的  $D$  解釋成距離, 其實就是 Wasserstein distance
- 這篇論文將距離  $D(i, j) = d(x, y)$  取 squared Euclidean distance 讓後續的運算以及優化更為順暢

### 3.3 Approach : Bi-half layer for quantization

- 將此層叫做 bi-half layer
- 將連續變數量子化成 half-half distribution



# 4.1 Data Collection : Datasets

- Flickr25k
- Nus-wide, Nus-wide(I), Nus-wide(II)
- Cifar-10
- Mscoco
- Mnist
- Ucf-101
- Hmdb-51

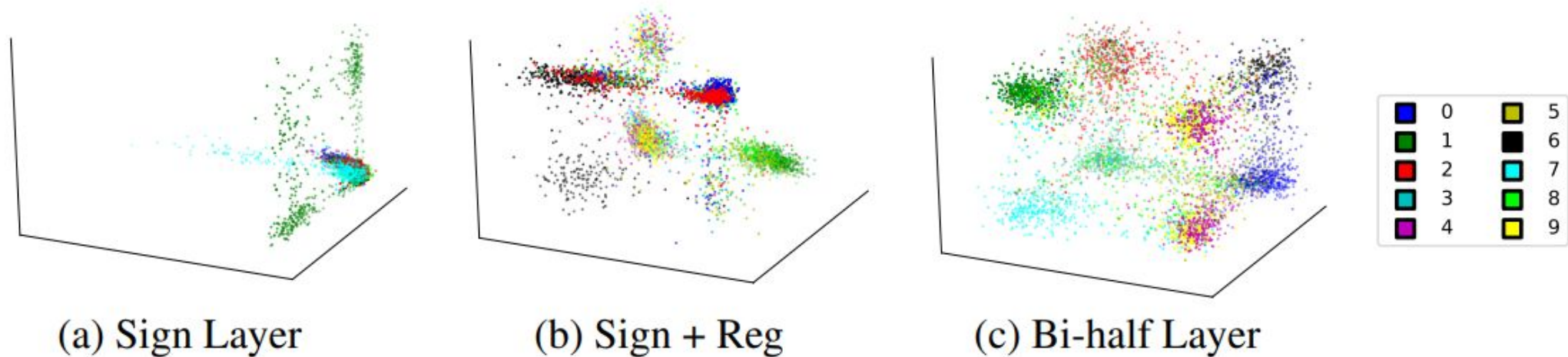
## 4.2 Data Collection : Implementation Details

- For MNIST
  - Training an AutoEncoder from scratch
- For other images
  - VGG-16 as backbone
  - Bi-half append to generate binary code
  - Use SGD, momentum = 0.9, weight decay =  $5 \cdot 10^{-4}$ , batch size = 32
  - Learning rate = 0.0001
  - Divided by 10 when the loss stop decreasing
  - Hyper-parameters  $\gamma$  is tuned by cross-validation on training set and set as  $\gamma = 3 * (1/N * K)$

# Evaluation Metrics

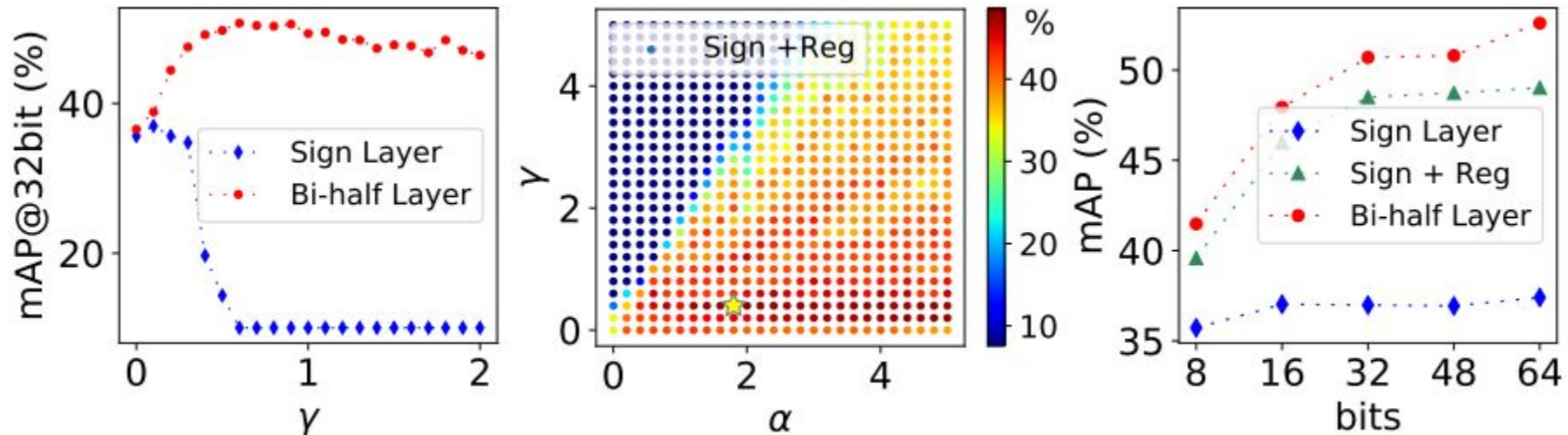
- Mean Average Precision (mAP)
- Precision-Recall curves (PR)
- TopN-precision curves ( $N = 5000$ )

## 4.3 Experiment : Training an AutoEncoder from scratch



Train an AutoEncoder from scratch on Mnist dataset. The top row (a, b, c) visualizes the continuous feature distributions before binarization over different methods by training the network with 3 hash bits.

## 4.3 Experiment : Training an AutoEncoder from scratch

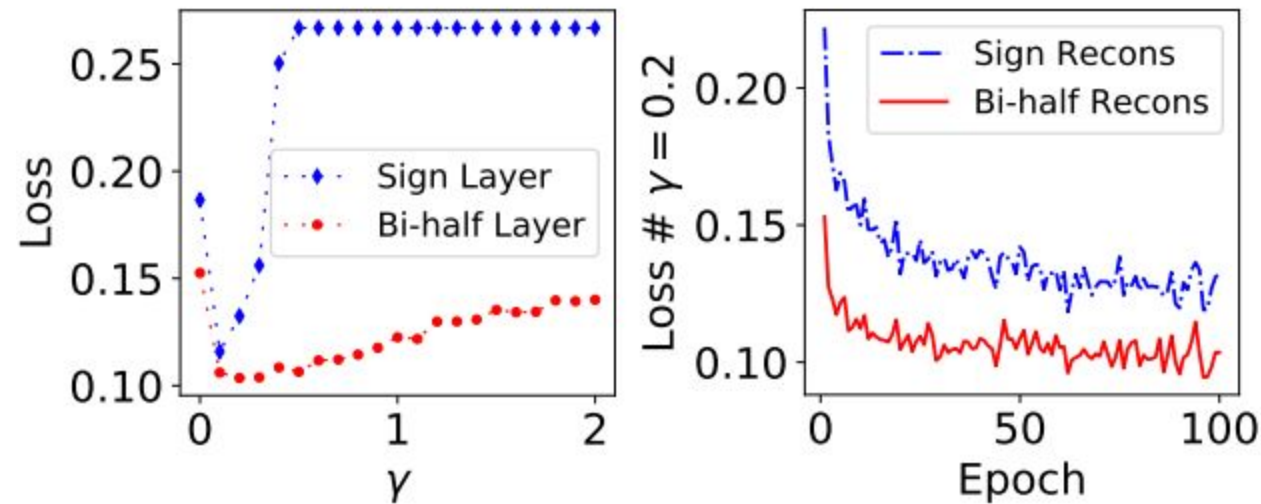


(d) Retrieval Results

shows the corresponding retrieval results. We compare bi-half layer with sign layer and sign+reg. In specific, sign+reg uses an additional entropy regularization term to optimize entropy, while it is hard to balance the added term



## 4.3 Experiment : Training an AutoEncoder from scratch

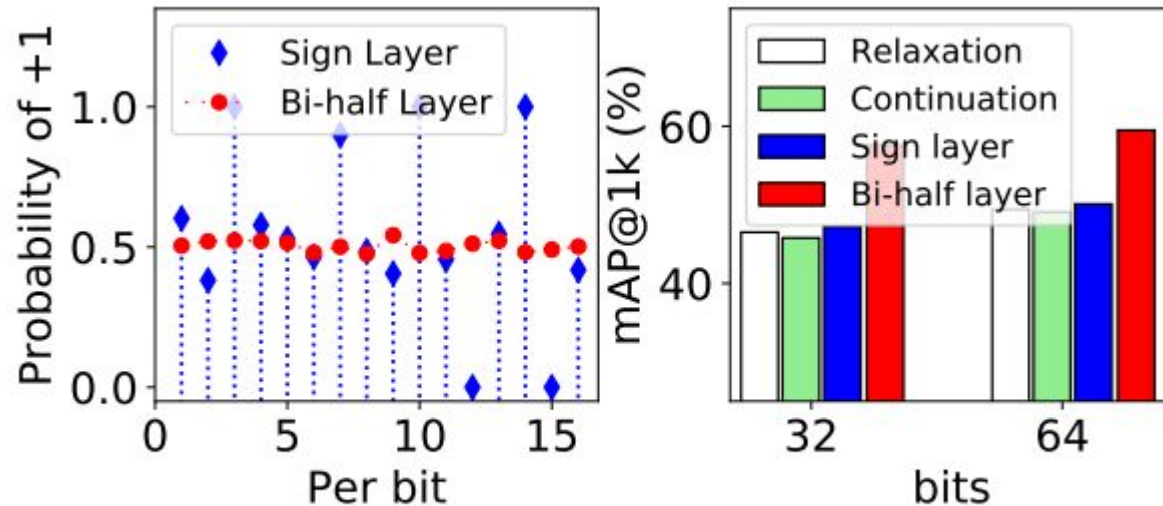


(e) Reconstruction Loss

Shows the reconstruction loss curves for sign layer and bi-half layer. Generating informative binary codes in latent space can help to do reconstruction

## 4.4 Experiment : Empirical analysis

- How are individual hashing bits distributed?

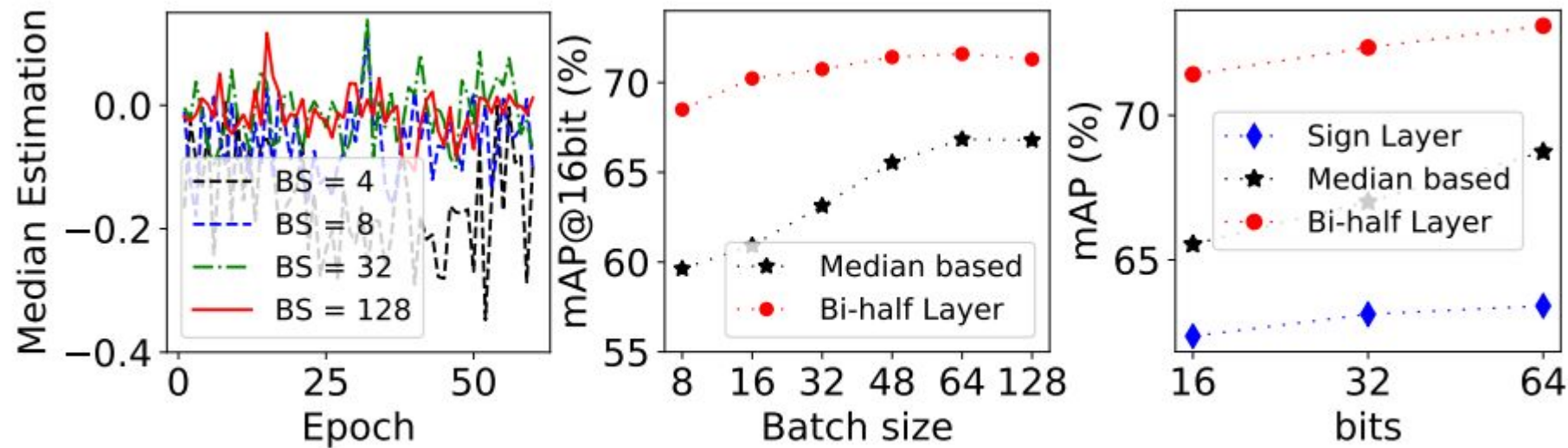


(a) left two subfigures on **Cifar-10**

Bi-half layer can generate informative hash bits and outperforms other coding methods.

## 4.4 Experiment : Empirical analysis

- Other hash coding strategies

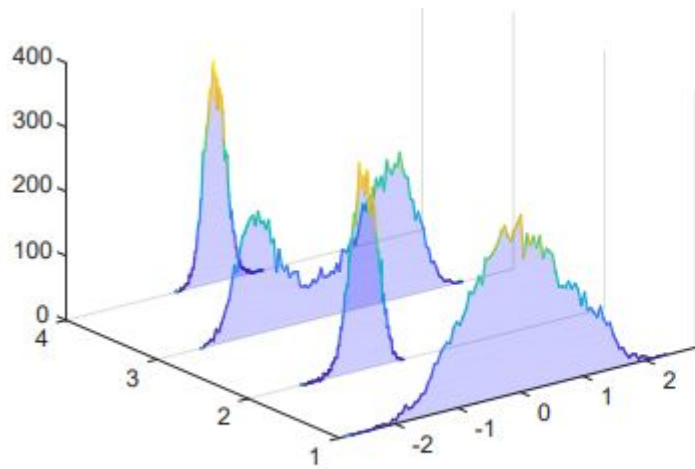


(b) right three subfigures on Flickr25k

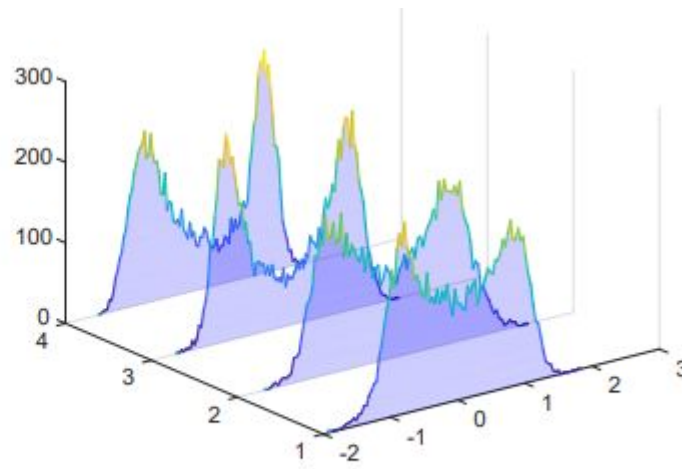
The alternative median based method performs worse than bi-half layer

## 4.4 Experiment : Empirical analysis

- How are the continuous features distributed?



Sign layer



Bi-half layer

Bi-half method approximates the ideal half-half distribution.

## 4.5 Experiment : Comparison with state-of-the art

Method	Cifar-10(I)			Nus-wide(I)		
	16 bits	32 bits	64 bits	16 bits	32 bits	64 bits
DeepBit	19.40	24.90	27.70	39.22	40.32	42.06
SAH	41.80	45.60	47.40	–	–	–
SADH	–	–	–	60.14	57.99	56.33
HashGAN	44.70	46.30	48.10	–	–	–
GreedyHash*	44.80	47.20	50.10	55.49	57.47	60.93
Ours	<b>56.10</b>	<b>57.60</b>	<b>59.50</b>	<b>65.12</b>	<b>66.31</b>	<b>67.26</b>

Table 1: mAP@1000 results on Cifar-10(I) and mAP@All results on Nus-wide(I). The \* denotes that we run the experiments with the released code by the authors.

**Greedy hash** is effective to solve the vanishing gradient problem and maintain the discrete constraint in hash learning, but it **cannot maximize hash bit capacity**. In contrast, our method does maximize hash bit capacity and clearly outperforms all other methods on this two datasets.

## 4.5 Experiment : Comparison with state-of-the art

Method	Flickr25k			Nus-wide(II)			Cifar-10(II)		
	16 bits	32 bits	64 bits	16 bits	32 bits	64 bits	16 bits	32 bits	64 bits
LSH + VGG (Datar and Indyk 2004)	58.31	58.85	59.33	43.24	44.11	44.33	13.19	15.80	16.73
SH + VGG (Weiss, Torralba, and Fergus 2008)	59.19	59.23	60.16	44.58	45.37	49.26	16.05	15.83	15.09
ITQ + VGG (Gong and Lazebnik 2011)	61.92	63.18	63.46	52.83	53.23	53.19	19.42	20.86	21.51
DeepBit (Lin et al. 2016)	59.34	59.33	61.99	45.42	46.25	47.62	22.04	24.10	25.21
SGH (Dai et al. 2017)	61.62	62.83	62.53	49.36	48.29	48.65	17.95	18.27	18.89
SSDH (Yang et al. 2018)	66.21	67.33	67.32	62.31	62.94	63.21	25.68	25.60	25.87
DistillHash (Yang et al. 2019)	69.64	70.56	70.75	66.67	67.52	67.69	28.44	28.53	28.67
GreedyHash* (Su et al. 2018)	62.36	63.12	63.41	51.39	55.80	59.27	28.71	31.72	35.47
Ours	<b>71.42</b>	<b>72.35</b>	<b>73.10</b>	<b>67.12</b>	<b>68.05</b>	<b>68.21</b>	<b>42.87</b>	<b>43.29</b>	<b>44.13</b>

Table 2: mAP@All for various methods on three Flickr25k, Nus-wide(II) and Cifar-10(II) datasets. Our method with 16 bits outperforms others that use 64 bits.



## 4.5 Experiment : Comparison with state-of-the art

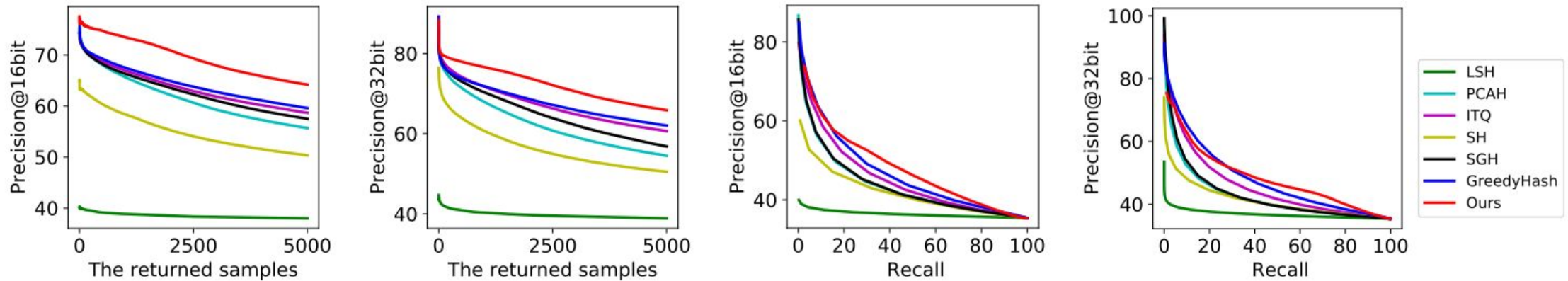


Figure 6: Top N precision and precision-recall curves on Mscoco. The proposed bi-half layer performs best.

Use Dataset of Mscoco

## 4.5 Experiment : Comparison with state-of-the art

Backbone	Method	Ucf-101			Hmdb-51		
		16 bits	32 bits	64 bits	16 bits	32 bits	64 bits
ResNet-34	GreedyHash*	45.49	57.24	64.77	30.32	37.55	40.53
	Ours	50.83	60.30	65.89	34.21	38.67	41.74
ResNet-101	GreedyHash*	39.29	58.35	67.23	27.60	39.96	42.07
	Ours	<b>59.30</b>	<b>66.13</b>	<b>68.47</b>	<b>36.68</b>	<b>41.48</b>	<b>43.03</b>

Table 3: mAP@100 results on two video datasets using kinetics pre-trained 3D ResNet-34 and 3D ResNet-101. The \* denotes we run the experiments with the released code.

For both datasets and both ResNet models our bi-half method consistently outperforms the sign layer method over all hash bit length, **especially for short bits**. In hashing, fewer bits is essential to save storage and compute.



## 5.1 Discussion : Conclusion

- 藉由優化 Bit Entropy 來實現Bi-half Net 的想法
- 和藉由更改參數的 Loss function 比起來, Bi-half layer 更勝一籌
- Bi-half layer 可以輕鬆的加進 Deep Structure, 像是AutoEncoder, 來產生更好的Binary codes, 並做出更好的分類。
- 其他的hashing method通常要用到64 bits, 而bi-half layer只需用到16 bits

## 5.2 Discussion : Future Works

- 在Maximizing hash channel capacity時，並沒有考慮到每個bit之間的相依性關聯，因此在之後的研究中會將此因素也考慮進去。

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