

Part 4-1

Response Surface Methodology

Christian Leonard Quale

University of Edinburgh

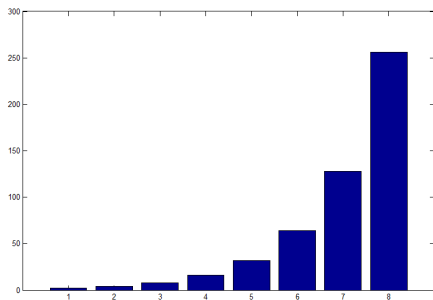
January, 2013

Response Surface Methodology - Why?

Necessity:

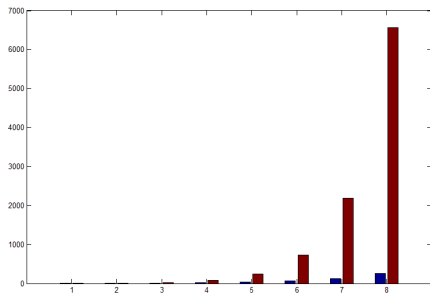
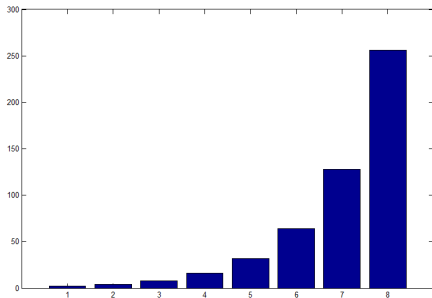
Response Surface Methodology - Why?

Necessity:



Response Surface Methodology - Why?

Necessity:



Response Surface Methodology

Response Surface Methodology

- ▶ Create specialised experimental models

Response Surface Methodology

- ▶ Create specialised experimental models
- ▶ Perform a regression on results

Response Surface Methodology

- ▶ Create specialised experimental models
- ▶ Perform a regression on results
- ▶ Create a simplified mathematical model:

Response Surface Methodology

- ▶ Create specialised experimental models
- ▶ Perform a regression on results
- ▶ Create a simplified mathematical model:

$$Y = a_0 + a_1X_1 + a_2X_2 + a_3X_1X_2 + a_4X_1^2 + a_5X_2^2 + \textit{Error}$$

Response Surface Methodology

Theoretical Model:

$$Y = \frac{15.0X_1^2}{1.0 + 1.5e^{2.5X_2}}$$

Response Surface Methodology

Theoretical Model:

$$Y = \frac{15.0X_1^2}{1.0 + 1.5e^{2.5X_2}}$$

Quadratic Approximation:

$$Y = a_0 + a_1X_1 + a_2X_2 + a_3X_1X_2 + a_4X_1^2 + a_5X_2^2$$

Response Surface Methodology

Theoretical Model:

$$Y = \frac{15.0X_1^2}{1.0 + 1.5e^{2.5X_2}}$$

Quadratic Approximation:

$$Y = a_0 + a_1X_1 + a_2X_2 + a_3X_1X_2 + a_4X_1^2 + a_5X_2^2$$

$$a_0 = 14.92$$

$$a_1 = 7.65$$

$$a_2 = -16.76$$

$$a_3 = 0.77$$

$$a_4 = -6.53$$

$$a_5 = 6.31$$

$$2 \leq X_1 \leq 3$$

$$0 \leq X_2 \leq 1$$

Response Surface Methodology

Quadratic Approximation:

$Y =$

$$14.92 + 7.65X_1 - 16.76X_2 + 0.77X_1X_2 - 6.53X_1^2 + 6.31X_2^2$$

$$2 \leq X_1 \leq 3$$

$$0 \leq X_2 \leq 1$$

Response Surface Methodology

Quadratic Approximation:

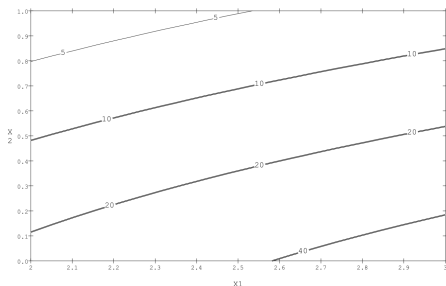
$Y =$

$$14.92 + 7.65X_1 - 16.76X_2 + 0.77X_1X_2 - 6.53X_1^2 + 6.31X_2^2$$

$$2 \leq X_1 \leq 3$$

$$0 \leq X_2 \leq 1$$

Theoretical Model:



Response Surface Methodology

Quadratic Approximation:

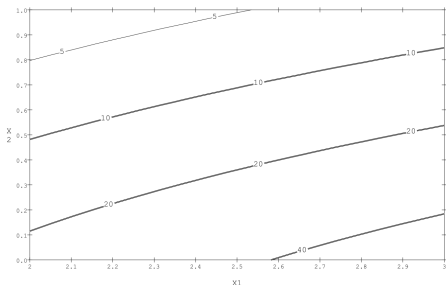
$Y =$

$$14.92 + 7.65X_1 - 16.76X_2 + 0.77X_1X_2 - 6.53X_1^2 + 6.31X_2^2$$

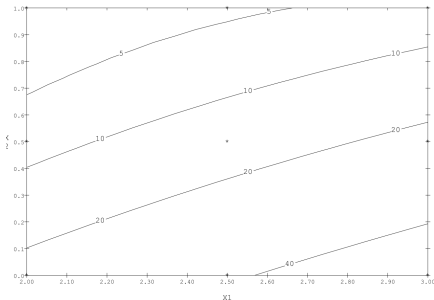
$$2 \leq X_1 \leq 3$$

$$0 \leq X_2 \leq 1$$

Theoretical Model:

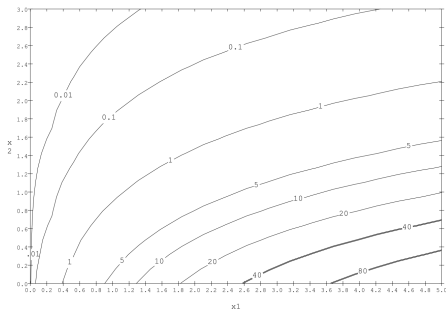


Approximation:

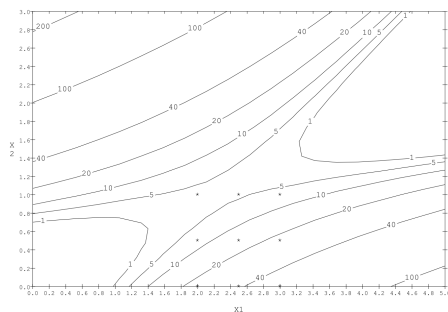


Response Surface Methodology

Theoretical Model:



Approximation:



Central Composite Designs

Central Composite Designs

- ▶ Based on Fractional Factorial Designs

Central Composite Designs

- ▶ Based on Fractional Factorial Designs
- ▶ Creates a new design suitable for regression

Central Composite Designs

- ▶ Based on Fractional Factorial Designs
- ▶ Creates a new design suitable for regression
- ▶ Software kindly designs experimental models for us

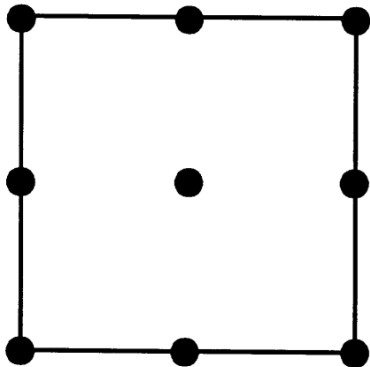
Central Composite Designs

- ▶ Based on Fractional Factorial Designs
- ▶ Creates a new design suitable for regression
- ▶ Software kindly designs experimental models for us

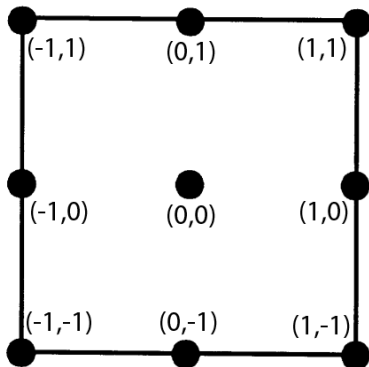
Good basis for regressions: Rotatable Designs

Rotatable Designs

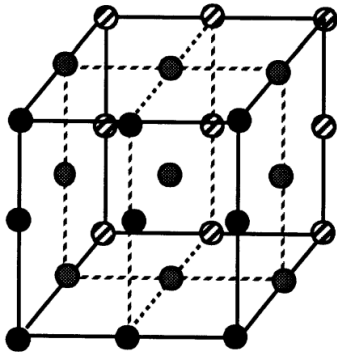
Rotatable Designs



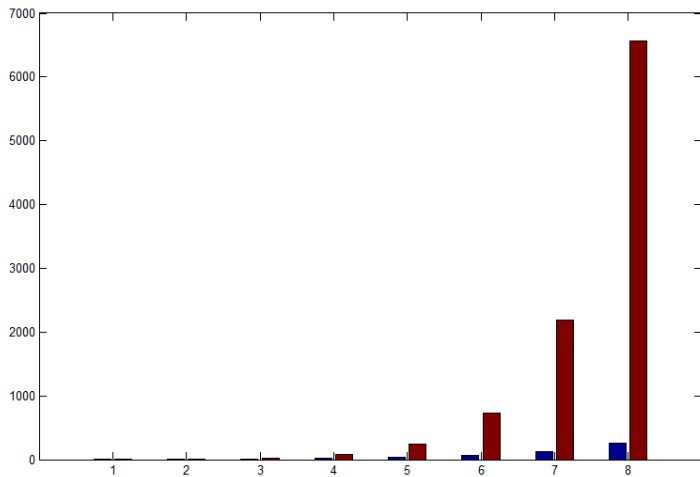
Rotatable Designs



Rotatable Designs

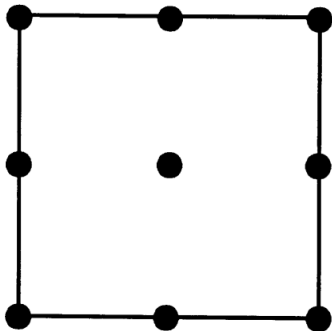


Rotatable Designs

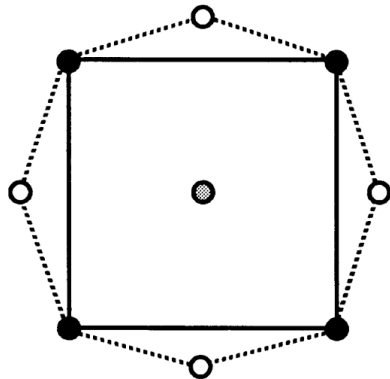
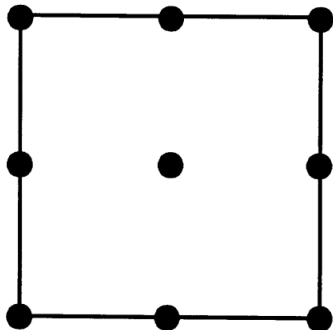


Rotatable Designs

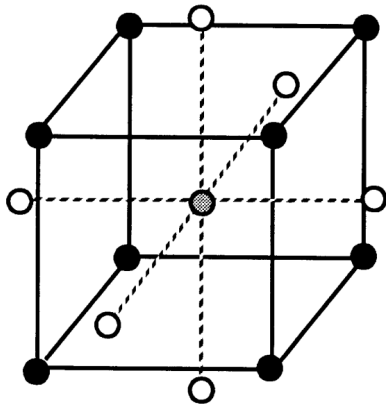
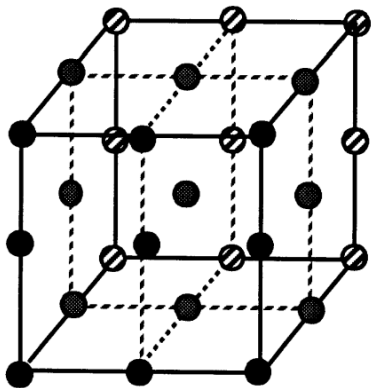
Rotatable Designs



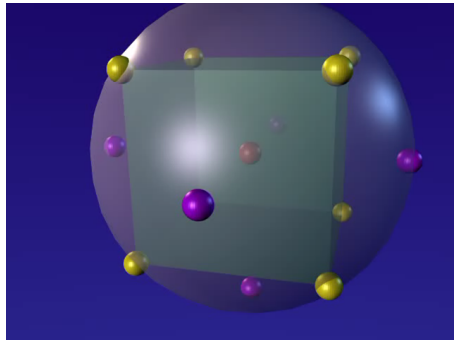
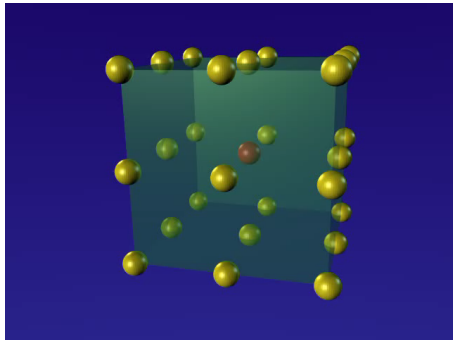
Rotatable Designs



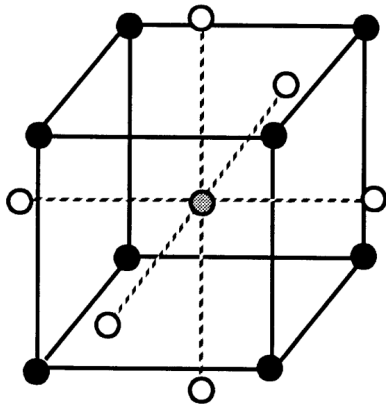
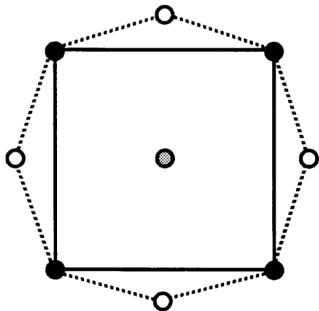
Rotatable Designs



Rotatable Designs



Central Composite Circumscribed Design



Central Composite Circumscribed Design

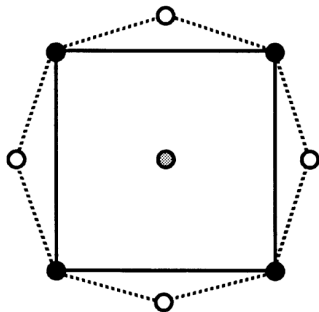
Central Composite Circumscribed Design

- ▶ Need access to 5 levels

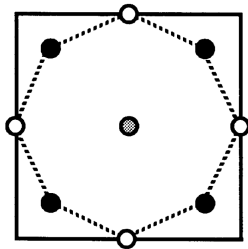
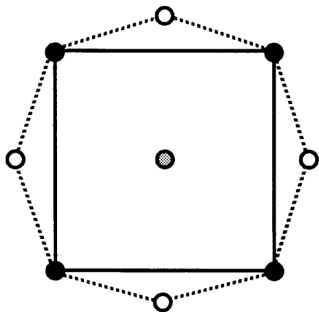
Central Composite Circumscribed Design

- ▶ Need access to 5 levels
- ▶ Need access above and below previously defined Max/Min points

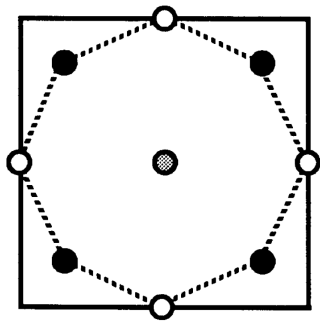
Central Composite Circumscribed Design



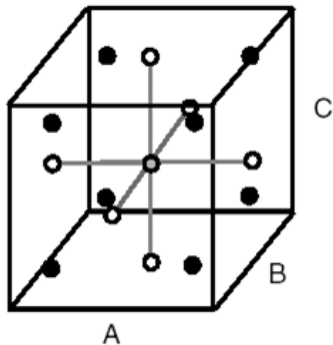
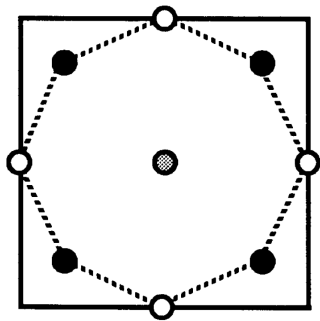
Central Composite Circumscribed Design



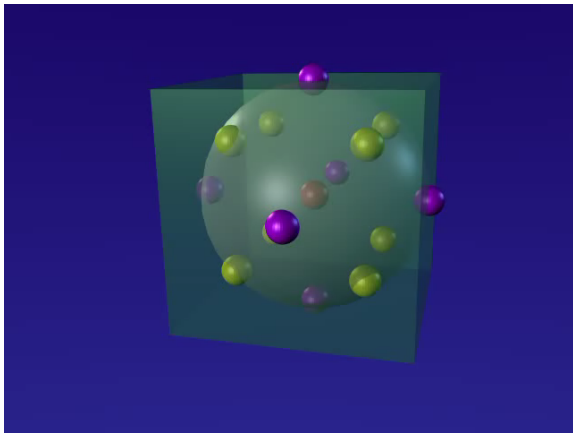
Central Composite Inscribed Design



Central Composite Inscribed Design



Central Composite Inscribed Design



Central Composite Inscribed Design

Central Composite Inscribed Design

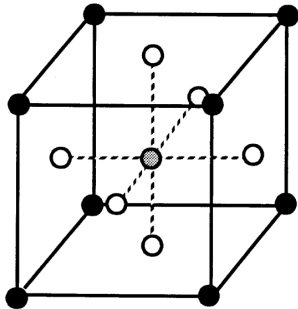
- ▶ Still need access to 5 levels

Central Composite Inscribed Design

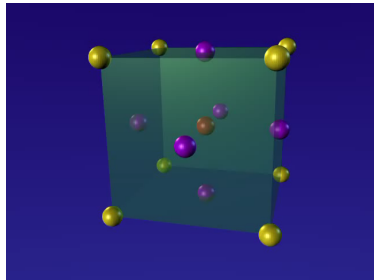
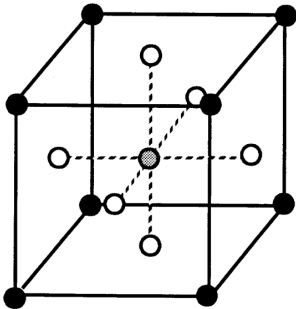
- ▶ Still need access to 5 levels
- ▶ Fails to test extremities

Face Centred Cube

Face Centred Cube



Face Centred Cube



Face Centred Cube

Kind of like CCC/CCI Designs...

Face Centred Cube

Kind of like CCC/CCI Designs...

Only requires three levels.

Face Centred Cube

Kind of like CCC/CCI Designs...

Only requires three levels.

One Major disadvantage:

Face Centred Cube

Kind of like CCC/CCI Designs...

Only requires three levels.

One Major disadvantage:
Not orthogonal!

Face Centred Cube

Kind of like CCC/CCI Designs...

Only requires three levels.

One Major disadvantage:
Not orthogonal!

Wait, what? Orthogonal?

Orthogonal Designs

Orthogonal Designs

- ▶ Blockable

Orthogonal Designs

- ▶ Blockable
- ▶ Can be run in batches

Orthogonal Designs

- ▶ Blockable
- ▶ Can be run in batches
- ▶ Divide experiments into groups

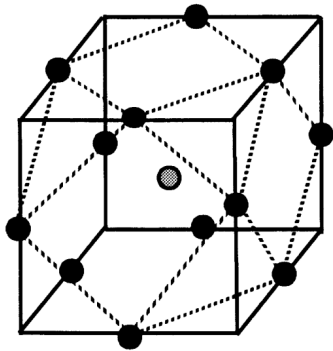
Orthogonal Designs

- ▶ Blockable
- ▶ Can be run in batches
- ▶ Divide experiments into groups

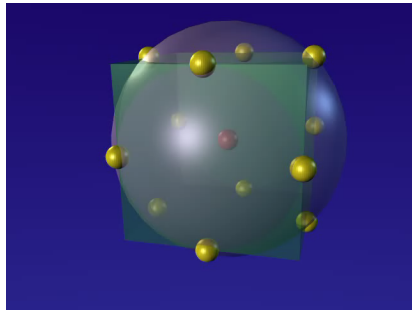
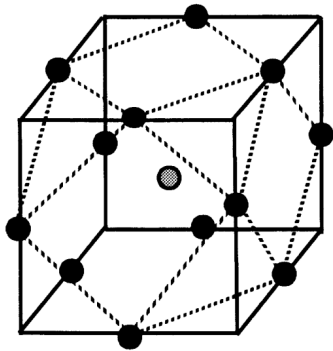
...even more options?

Box Behnken Design

Box Behnken Design



Box Behnken Design



D-Optimal Designs

D-Optimal Designs

The computer sorts out everything!

D-Optimal Designs

The computer sorts out everything!

Tell it how many runs you can afford, what regions you are interested in, and what model you prefer, and it will automatically design an optimal set of experimental runs for you.

Choices... Choices...

Choices... Choices...

How to choose?

Choices... Choices...

How to choose?

- ▶ How close is the corner of the optimum operating region to the corner of the design region?

Choices... Choices...

How to choose?

- ▶ How close is the corner of the optimum operating region to the corner of the design region?
- ▶ Do I care about orthogonality or rotatability?

Choices... Choices...

How to choose?

- ▶ How close is the corner of the optimum operating region to the corner of the design region?
- ▶ Do I care about orthogonality or rotatability?
- ▶ Is it possible to experiment outside of the design-factor range?

Choices... Choices...

How to choose?

- ▶ How close is the corner of the optimum operating region to the corner of the design region?
- ▶ Do I care about orthogonality or rotatability?
- ▶ Is it possible to experiment outside of the design-factor range?
- ▶ How many runs can I afford?

Choices... Choices...

How to choose?

- ▶ How close is the corner of the optimum operating region to the corner of the design region?
- ▶ Do I care about orthogonality or rotatability?
- ▶ Is it possible to experiment outside of the design-factor range?
- ▶ How many runs can I afford?
- ▶ What is my personal preference?

Summary

Summary

- ▶ The ultimate goal is to create an RSM model.

Summary

- ▶ The ultimate goal is to create an RSM model.
- ▶ In the process of doing this we choose an experimental design to create a good RSM model.

Summary

- ▶ The ultimate goal is to create an RSM model.
- ▶ In the process of doing this we choose an experimental design to create a good RSM model.
- ▶ Different designs are all different, but valid, ways of gathering data for this model.

Summary

- ▶ The ultimate goal is to create an RSM model.
- ▶ In the process of doing this we choose an experimental design to create a good RSM model.
- ▶ Different designs are all different, but valid, ways of gathering data for this model.
- ▶ Once a good model exists, no more experiments are necessary. The magic of statistics lets you predict the likely outcome of any combination of factors without running an experiment.