Factorial Cluster Algebra

Chengpeng Wang

Tsinghua University, China School of Software Department of Mathematical Science

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Outline

Definition and Basic Properties

Cluster Algebra Acyclic Cluster Algebra Laurent Phenomenon Invertible Elements in Cluster Algebras Irreducibility of Cluster Variables

Sufficient Conditions to Admit Non-unique Factorization

A Sufficient Condition to Admit Unique Factorization

Factorization of Acyclic Cluster Algebra



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- Assume that K is a field of characteristic 0 or $K = \mathbb{Z}$. Let $\mathcal{F} = K(X_1, ..., X_m)$ be the field of rational functions in m variables.
- A seed of \mathcal{F} is a pair (\mathbf{x}, B) such that the following hold:
 - $(1) B \in M_{m,n}(\mathbb{Z}),$
 - (2) B is connected,
 - (3) The principal part of B is skew-symmetrizable,
 - (4) $\mathbf{x} = (x_1, ..., x_m)$ is an m-tuple of elements of \mathcal{F} such that $x_1, ... x_m$ are algebraically independent over K.

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and $\mathbf{x}' = (x'_1, ..., x'_m)$ is defined as

$$x_s' = \begin{cases} x_k^{-1} \prod_{b_{ik} > 0} x_i^{b_{ik}} + x_k^{-1} \prod_{b_{ik} < 0} x_i^{-b_{ik}} & \text{if } s = k, \\ x_s & \text{otherwise.} \end{cases}$$

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The equality

$$x_k x_k' = \prod_{b: k>0} x_i^{b_{ik}} + \prod_{b: k<0} x_i^{-b_{ik}}$$
 (1)

is called an exchange relation.



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■ For a seed (x, B) of \mathcal{F} let

$$\mathcal{X}_{(\mathbf{x},B)} = \bigcup_{(\mathbf{y},C) \sim (\mathbf{x},B)} \{y_1,...,y_n\}$$

■ **cluster algebra** $A(\mathbf{x}, B)$ associated to (\mathbf{x}, B) is the L-subalgebra of \mathcal{F} generated by $\mathcal{X}_{(\mathbf{x},B)}$, where

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- **cluster coefficients**: x_i for $n + 1 \le i \le m$

Definition-Acyclic Cluster Algebra

■ Let (\mathbf{x}, B) be a seed of \mathcal{F} with $B = (b_{ij})$. Let $\Sigma(B)$ be the quiver with vertices 1,...,n, and arrows $i \to j$ for all $1 \le i, j \le n$ with $b_{ij} > 0$. So $\Sigma(B)$ encodes the sign-pattern of the principal part of B.

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- The seed (\mathbf{x}, B) and B are called acyclic if $\Sigma(B)$ does not contain any oriented cycle. The cluster algebra $\mathcal{A}(\mathbf{x}, B)$ is acyclic if there exists an acylic seed (\mathbf{y}, C) with $(\mathbf{y}, C) \sim (\mathbf{x}, B)$

Laurent Phenomenon

■ For a seed (\mathbf{x}, B) of \mathcal{F} let

$$\mathcal{L}_{\mathbf{x}} = K[x_1^{\pm 1}, ..., x_n^{\pm 1}, x_{n+1}^{\pm 1}, ..., x_p^{\pm 1}, x_{p+1}, ...x_m]$$

be the location of $K[x_1,...,x_m]$ at $x_1x_2\cdots x_p$, and let

$$\mathcal{L}_{\mathbf{x},\mathcal{Z}} = K[x_1^{\pm 1}, ..., x_n^{\pm 1}, x_{n+1}, ..., x_m]$$

be the localization of $\mathcal{Z}[x_1,...,x_m]$ at $x_1x_2\cdots x_n$. Let's consider $\mathcal{L}_{\mathbf{x}}$ and $\mathcal{L}_{\mathbf{x},\mathcal{Z}}$ as subrings the field of \mathcal{F} .

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■ Let y be a cluster variable of A(x, B). We have

$$y \in \bigcap_{(\mathbf{y},C) \sim (\mathbf{x},B)} \mathcal{L}_{\mathbf{y},\mathcal{Z}}$$

$$\mathcal{A}(\mathbf{x}, B) \subseteq \bigcap_{(\mathbf{y}, C) \sim (\mathbf{x}, B)} \mathcal{L}_{\mathbf{y}}$$

Invertible elements in cluster algebras

■ **Lemma 2.1** For a seed (x, B) of \mathcal{F} we have

$$\mathcal{L}_{\mathbf{x}}^{\times} = \{\lambda x_1^{a_1} \cdots x_p^{a_p} | \lambda \in K^{\times}, a_i \in \mathbb{Z}\}.$$

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■ **Corollary 2.3** For any seed (x, B) of \mathcal{F} the following propositions hold: (1)Let y and z be non-zero elements in $\mathcal{A}(x, B)$. Then y and z are associated if and only if there exist $a_{n+1}, \dots, a_p \in \mathbb{Z}$ and $\lambda \in K^{\times}$ with

$$y = \lambda x_{n+1}^{a_{n+1}} \cdots x_p^{a_p} z.$$

(2)Let y and z be cluster variables of A(x, B). Then y and z are associated if and only if y=z.



Irreducibility of Cluster Variables

■ **Theorem 3.1** Let (\mathbf{x},B) be a seed of \mathcal{F} . Then any cluster variable in $\mathcal{A}(\mathbf{x},B)$ is irreducible.

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Factorization of Acyclic Cluster Algebra

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- **Proposition 4.1** Let (\mathbf{x}, B) be a seed of \mathcal{F} . Assume that $c_k(B) = c_s(B)$ or $c_k(B) = -c_s(B)$ for some $k \neq s$ with $b_{ks} = 0$. Then $\mathcal{A}(\mathbf{x}, B)$ is not factorial.

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■ **Proposition 4.3** Let (\mathbf{x}, B) be a seed of \mathcal{F} . Assume that there exists some $1 \le k \le n$ such that the polynomial $X^d + Y^d$ is not irreducible in K[X,Y], where $d = gcd(b_{1k}, ..., b_{mk})$ is the greatest common divisor of $b_{1k}, ..., b_{mk}$. Then $\mathcal{A}(\mathbf{x}, B)$ is not factorial.

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- **Proposition 4.4** If there is some $i \in \{1, \dots, n\}$ such that f_i is reducible in $K[x_i : 1 \le i \le m]$, then $A(\mathbf{x}, B)$ is not a unique factorization domain.

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UFD: Sufficient Condition

■ **Theorem 5.1** Let **y** and **z** be disjoint clusters of $\mathcal{A}(\mathbf{x}, B)$ and let U be a factorial subalgebra of $\mathcal{A}(\mathbf{x}, B)$ such that

$$\{y_1,\cdots,y_n,z_1,\cdots,z_n,x_{n+1}^{\pm 1},...,x_p^{\pm 1},x_{p+1},...,x_m\}\subset U.$$

Then we have

$$U = A(\mathbf{x}, B) = U(\mathbf{y}, \mathbf{z}).$$

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Factorization of Acyclic Cluster Algebra

Some Definitions A Conjectured Decomposition Main Theorem Two Types of Acyclic Cluster Algebra Factorization of Dynkin Type Factorization of Euclidean Type

Some Definitions

■ For all $i \in \{1, 2, ..., n\}$ define an ideal

$$I_i = (x_i, f_i) \subseteq K[x_1, x_2, ..., x_m].$$

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■ Remark 6.9 We have

$$\mathcal{A}(\mathbf{x},B) = \bigcup_{\mathbf{a} \in \mathbb{N}^n} \left\{ \frac{\lambda P}{x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n}} : P \in I_1^{a_1} I_2^{a_2} \cdots I_n^{a_n} . \lambda \in \mathcal{A}(\mathbf{x},B)^{\times} \right\}.$$

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Remark 6.9 can be obtained by the Laurent Phenomenon.



A Conjectured Decomposition

Conjecture 6.10 For all $\mathbf{a} \in \mathbb{N}^n$ we have $\mathbf{I}^{\mathbf{a}} = I_1^{a_1} \cap I_2^{a_2} \cap ... \cap I_n^{a_n}$.

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- **Remark 6.12** For all $\mathbf{a} \in \mathbb{N}^n$, define a set

$$S(\mathbf{a}) = \{P \in I_1^{a_1} \cap I_2^{a_2} \cap ... \cap I_n^{a_n} : P \text{ is not divided by } x_i \text{ if } 1 \leq i \leq n, a_i \neq 0\}$$

If the conjecture above holds for all $\mathbf{a} \in \mathbb{N}^n$, then it yields a decomposition

$$\mathcal{A}(\mathbf{x},B) = \bigcup_{\mathbf{a} \in \mathbb{N}^n} \frac{\mathcal{A}(\mathbf{x},B)^{\times} S(\mathbf{a})}{x_1^{a_1} x_2^{a_2} \cdots x_n^{a_n}}.$$

For each none-zero polynomial $P \in R$ and each $1 \le i \le n$ there is a largest natural number $a_i \in \mathbb{N}$ s.t. $P \in I_i^{a_i}$. Define $m_i(P)$ to be the unique natural number such that $P \in I_i^{m_i(P)} \setminus I_i^{m_i(P)+1}$. In particular, we define a momomial

$$M(P) = \prod_{i=1}^{n} x_i^{m_i(P)} \in R.$$

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■ **Theorem 6.13** If $\mathbf{I}^{\mathbf{a}} = I_1^{a_1} \cap I_2^{a_2} \cap ... \cap I_n^{a_n}$ holds for all $\mathbf{a} \in \mathbb{N}^n$, then $\mathcal{A}(\mathbf{x}, B)$ is a unique factorization domain. Moreover, the set irreducible elements in $\mathcal{A}(\mathbf{x}, B)$ is

$$(\{\lambda x_i: 1 \leq i \leq n, \lambda \in \mathcal{A}(\mathbf{x}, B)^{\times}\}\$$

$$\cup \{ \frac{\lambda P}{M(P)} : P \in R \text{ irreducible, } \lambda \in \mathcal{A}(\mathbf{x}, B)^{\times} \} \setminus \mathcal{A}(\mathbf{x}, B)^{\times}.$$

■ **Lemma 7.1** Assume $\mathbf{a} \in \mathbb{N}^n$ and $\mathbf{I}^{\mathbf{b}} = I_1^{b_1} \cap I_2^{b_2} \cap \cdots \cap I_n^{b_n}$ holds for all $\mathbf{b} \in \mathbb{N}^n$ s.t. $\sum_{i=1}^n b_i < \sum_{i=1}^n a_i$. Assume that the index $i \in \{1, 2, \cdots, n\}$ is either a sink or a source s.t. $a_i \neq 0$. Suppose that there exists an index $1 \leq j \leq n$ with $a_j \neq 0$ s.t. i and j are adjacent. Then $\mathbf{I}^{\mathbf{a}} = I_1^{a_1} \cap I_2^{a_2} \cap \cdots \cap I_n^{a_n}$

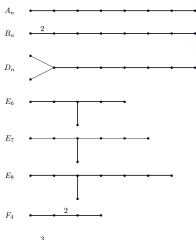
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- $N(i) = \{j : 1 \le j \le n, b_{ij} \ne 0\}$ for all $i \in \{1, 2, \dots, m\}$.

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- $N(i) = \{j : 1 \le j \le n, b_{ij} \ne 0\}$ for all $i \in \{1, 2, \dots, m\}$.
- **Lemma 7.2** Put $\mathbf{a} \in \mathbb{N}^n$. Suppose that i is a mutable index s.t. $a_i \neq 0$ and $\mathbf{I}^{\mathbf{b}} = I_1^{b_1} \cap I_2^{b_2} \cap \cdots \cap I_n^{b_n}$ holds for all $\mathbf{b} \in \mathbb{N}^n$ such that $\sum_{i=1}^n b_i < \sum_{i=1}^n a_i$. Suppose that one of the following conditions holds: (1) For all indices $j \in N(i)$, we have $a_i = 0$.
 - (2) The initial exchange polynomial f_i has the form $f_i = x_k + M_i$ for some index k and some monomials $M_i \in R$. Suppose that for all neighbors $j \in N(k) \setminus \{i\}$, we have $a_j = 0$.

Then
$$\mathbf{I}^{\mathbf{a}} = I_1^{a_1} \cap I_2^{a_2} \cap \cdots \cap I_n^{a_n}$$

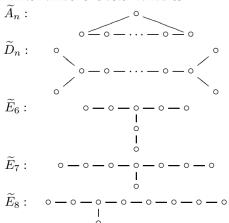
Dynkin Type

Dynkin type is finite type of cluster algebras, which have the finite number of cluster variables. And each finite-type cluster algebra is equivalent to a Dynkin type.



Euclidean Type

 Euclidean type is a infinite type of cluster algebras, which have the infinite number of cluster variables.



Factorization of Dynkin Type

For $n \neq 3$, A_n is a unique factorial type, while for n = 3, A_n is not a unique factorial type. For all $n \geq 4$, D_n is not a unique factorial type. For E_6 , E_7 , E_8 , they are all unique factorial types.

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- Let P be a polynomial in the intersection $I_1^{a_1} \cap I_2^{a_2} \cap \cdots I_n^{a_n}$. We have to show that $P \in \mathbf{I}^{\mathbf{a}}$ which we will prove by mathematical induction on $\sum_{i=1}^n a_i$. The base case is trival. Assume that the statement holds for all sequences with a smaller sum.

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- (3) If $a_1 = 0$ and $a_2 = 0$, then the sequences of I_k is of the same form as in type A_{n+1} and can yield to the conclusion.

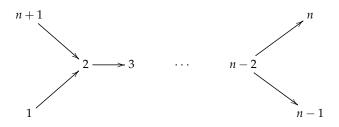
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- (5) If $a_1 = 0$, $a_2 > 0$ and $a_3 > 0$, then the claim follows form Lemma 7.1, because index 3 is a sink or a source which is adjacent to index 2 and $a_2 > 0$, $a_3 > 0$.

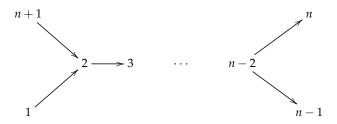
■ If k = 1, then the same argument for the situation (1)-(4). In situation (5), it is obvious that index 3 is not a sink nor a source, then $f_3 = x_2 + x_4$, and the claim follows from Lemma 7.2(b), because k=2, i=3, N(k)={1,3} and $a_1 = 0$.

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- In all situation, we can safely get the conclusion that $P \in \mathbf{I}^{\mathbf{a}}$. According to the main theorem, we can get the unique factorization of type \widetilde{A}_n .

■ **Theorem 10.2** The cluster algebra generated by the type $\widetilde{D_n}$ is not a unique domain.



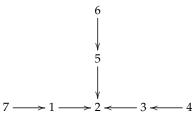
■ **Theorem 10.2** The cluster algebra generated by the type $\widetilde{D_n}$ is not a unique domain.



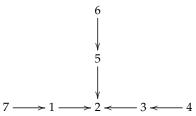
■ The diagram of $\widetilde{D_n}$ is presented above. No matter how to orient the diagram, the exchange polynomial of index n+1 and index 1 are both 1 + x_2 . According to Proposition 4.2, we can claim that the cluster algebra generated by the type $\widetilde{D_n}$ is not a unique domain.

Factorization of \widetilde{E}_6

■ **Theorem 10.3** The cluster algebra generated by the type $\widetilde{E_6}$ is a unique domain.

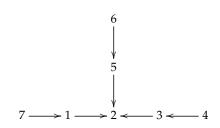


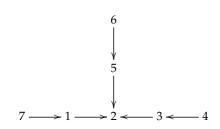
■ **Theorem 10.3** The cluster algebra generated by the type $\widetilde{E_6}$ is a unique domain.



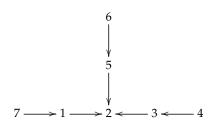
■ **Proof** Let P be a polynomial in the intersection $I_1^{a_1} \cap I_2^{a_2} \cap \cdots I_n^{a_n}$. We have to show that $P \in \mathbf{I}^{\mathbf{a}}$ which we will prove by mathematical induction on $\sum_{i=1}^n a_i$. The base case is trival. Assume that the statement holds for all sequences with a smaller sum.

Factorization of \widetilde{E}_6

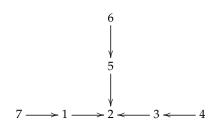




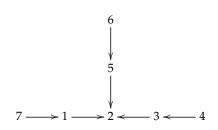
• (1) If $a_2, a_1 > 0$, then the claim follows from the Lemma 7.1.



- (1) If a_2 , $a_1 > 0$, then the claim follows from the Lemma 7.1.
- (2) If $a_2 = 0$, $a_1 > 0$, $a_7 = 0$, then the claim follows from Lemma 7.2(a), bacause i=1 and N(i)= $\{0,2\}$.



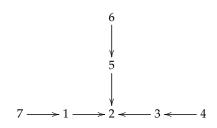
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- (3) If $a_2 = 0$, $a_1 > 0$, $a_7 > 0$, then the claim follows from Lemma 7.1, because index 7 is a source and a_7 , $a_1 > 0$.



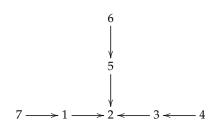
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- (3) If $a_2 = 0$, $a_1 > 0$, $a_7 > 0$, then the claim follows from Lemma 7.1, because index 7 is a source and a_7 , $a_1 > 0$.
- (4) If $a_2 = 0$, $a_1 = 0$, $a_7 = 0$, then it is the same form as in type A_5 , which can get the conclusion.

 $\begin{array}{c}
6 \\
\downarrow \\
5 \\
\downarrow \\
7 \longrightarrow 1 \longrightarrow 2 \longleftarrow 3 \longleftarrow 4
\end{array}$

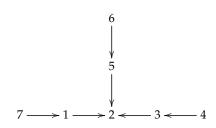
■ (5) If $a_2 = 0$, $a_1 = 0$, $a_7 > 0$, then the claim follows from Lemma 7.2(a), because i=0 and N(i)= $\{1\}$.



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- (6) If $a_2 > 0$, $a_1 = 0$, $a_7 = 0$, then it is the same form as in type E_6 , which can get the conclusion.



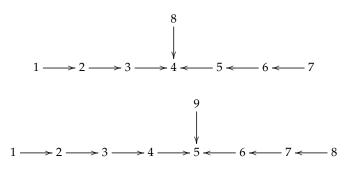
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- (7) If $a_2 > 0$, $a_1 = 0$, $a_7 > 0$, then the claim follows from Lemma 7.1(a), because i=0 and N(i)={1}.
- In all situation, we can safely get the conclusion that $P \in \mathbf{I}^{\mathbf{a}}$. According to the main theorem, we can get the unique factorization of type $\widetilde{E_6}$.

Factorization of $\widetilde{E_7}$ and $\widetilde{E_8}$

■ **Theorem 10.4** The cluster algebra generated by the type $\widetilde{E_7}$ and $\widetilde{E_8}$ is a unique domain.



The same argument for index 8 and 4 in $\widetilde{E_7}$ and index 9 and 5 in $\widetilde{E_8}$ can get the conclusion.

Q&A

■ Thank You For Listening