

## § Equivalence of Sets of Functional Dependencies:

Cover: A set of FD's  $F$  is said to cover another set of FD's  $E$  if every FD in  $E$  is also in  $F^+$ ; i.e. if every dependency in  $E$  can be inferred from  $F$ .  
 $\hookrightarrow$  we can say " $E$  is covered by  $F$ "  $F \supseteq E$

Equivalence: Two sets of FD's  $E$  and  $F$  are equivalent if  $E^+ = F^+$ .

$\hookrightarrow$  Equivalence means that every FD in  $E$  can be inferred from  $F$ ; i.e.  $E$  is equivalent to  $F$  if both the conditions —  $E$  covers  $F$  and  $F$  covers  $E$  — hold.

$$E \supseteq F$$

$$F \supseteq E$$

Example: Let  $F$  and  $G$  be two sets of FD's on a relation  $R(A, C, D, E, H)$

fd's  $F: \{ A \rightarrow C$

$AC \rightarrow D$

$E \rightarrow AD$

$E \rightarrow H \}$

fd's  $G: \{ A \rightarrow CD$

$E \rightarrow AH \}$

show that ~~the~~  $F$  and  $G$  are equivalent.

we need to show that  $F \supseteq G$  &  $G \supseteq F$

$$F \supseteq G \quad \text{Ⓜ}$$

$$G \supseteq F \quad \text{Ⓜ}$$

$R(A, C, D, E, H)$ 
 $F:$   
 $A \rightarrow C$   
 $AC \rightarrow D$   
 $E \rightarrow AD$   
 $E \rightarrow H$ 
 $G:$   
 $A \rightarrow CD$   
 $E \rightarrow AH$ 

Step 1:

 $A^+:$   
 $AC^+:$   
 $E^+:$ 
 $A^+:$   
 $E^+:$ 

Step 2:

 $F:$   
 $A \rightarrow C$   
 $AC \rightarrow D$   
 $E \rightarrow AD$   
 $E \rightarrow H$ 
 $G:$   
 $A \rightarrow CD$   
 $E \rightarrow AH$ 
compute closure of  $F$  using  $G$ compute closure of  $G$  using  $F$ 

Step 3

 $A^+:$   $ACD$   
 $AC^+:$   $ACD$   
 $E^+:$   $EADCH$ 
 $A^+:$   $ACD$   
 $E^+:$   $EADCH$ 
This means that  $F \supseteq G$ This means that  $G \supseteq F$ Step 4: Since  $F \supseteq G$  and  $G \supseteq F$  both  $F^+ = G^+$

Try this yourself:

Example: Given  $R(PQRS)$  and FD's  
 $\mathcal{X} = \{P \rightarrow Q, Q \rightarrow R, R \rightarrow S\}$   
 $\mathcal{Y} = \{P \rightarrow QR, R \rightarrow S\}$

which of the following holds true:

- a)  $\mathcal{X} \supseteq \mathcal{Y}$
- b)  $\mathcal{Y} \supseteq \mathcal{X}$
- c)  $\mathcal{X}^+ = \mathcal{Y}^+$
- d)  $\mathcal{X}^+ \neq \mathcal{Y}^+$

Example: State (True or False) given  $R(ABC)$  and the  
 FD's  $\mathcal{F} = \{A \rightarrow B, B \rightarrow C, C \rightarrow A\}$  and  
 $\mathcal{G} = \{A \rightarrow BC, B \rightarrow A, C \rightarrow A\}$  are equivalent.

Example: Given  $R(VWXYZ)$  and function dependencies  
 $\mathcal{F} = \{W \rightarrow X, WX \rightarrow Y, Z \rightarrow WY, Z \rightarrow V\}$  &  
 $\mathcal{G} = \{W \rightarrow XY, Z \rightarrow WX\}$  prove/disprove  
 $\mathcal{G} \supseteq \mathcal{F}$ .



\*  $\mathcal{F}$  Canonical form of functional dependencies:  
 ↳ aka. minimal sets of FD's / Irreducible sets of FD's

Objective: to reduce a set of FD's " $\mathcal{F}$ " to its minimal set " $\mathcal{E}$ ".

note: we define a set of functional dependencies as minimal if it satisfies, the following conditions:

- Every dependency in " $\mathcal{F}$ " has a single attribute for its right hand side (RHS)
- We cannot replace any dependency  $X \rightarrow A$  in  $\mathcal{F}$  with a dependency  $Y \rightarrow A$ , where  $Y$  is a proper ~~set~~ subset of  $X$ , and still have a set of dependencies that is equivalent to  $\mathcal{F}$
- We cannot remove any dependency from  $\mathcal{F}$  and still have a set of dependencies that is equivalent to  $\mathcal{F}$ .

Example: Given  $R(WX YZ)$  with fd's  
 $\mathcal{F} = \{X \rightarrow W, WZ \rightarrow XY, Y \rightarrow WXZ\}$ , can we derive the minimal set of fd's.

Algorithm: Finding a Minimal set (cover)  $F$  for a set of FD's  $E$ .

Input: A set of FD's  $E$ .

Output: A minimal set of FD's  $F$ .

Steps: Set  $F := E$

Step 2: Replace each FD  $X \rightarrow \{A_1, A_2, \dots, A_n\}$  in  $F$  by the form  $X \rightarrow A_1, X \rightarrow A_2, \dots, X \rightarrow A_n$  (using decomposition rule)

Step 3: a) For each FD  $X \rightarrow A$  in  $F$  determine  $X^+$   
 b) Determine closure without the FD  $X \rightarrow A$ .  
 $\hookrightarrow$  if there is a change then the FD  $X \rightarrow A$  is essential, else discard  $X \rightarrow A$  from  $F$ .

Soln:  $R(WXYZ)$

fd  $E: \{X \rightarrow W$   
 $WZ \rightarrow XY$   
 $Y \rightarrow WXZ\}$

Step 1:  $F := E$

Step 2: Apply decomposition rule to every FD in  $F$

$F: \{1) X \rightarrow W$   
 2)  $WZ \rightarrow X$   
 3)  $WZ \rightarrow Y$   
 4)  $Y \rightarrow W$   
 5)  $Y \rightarrow X$   
 6)  $Y \rightarrow Z\}$

(6)

Step 3a: determine closure of each FD

$F = \{$

1)  $X \rightarrow W$

$$X^+ = XW \text{ --- (a)}$$

Step 3b: determine closure without FD.

$$\underline{X}^+ = X \text{ --- (b)}$$

since (a)  $\neq$  (b) the FD is essential.

2)  $WZ \rightarrow X$

$$WZ^+ = WZXY \text{ --- (a)}$$

$$\underline{WZ}^+ = WZYX \text{ --- (b)}$$

since (a)  $\neq$  (b) this FD is discarded from F

3)  $WZ \rightarrow Y$

$$WZ^+ = WZ YX \text{ --- (a)}$$

$$\underline{WZ}^+ = WZ \text{ --- (b)}$$

since (a)  $\neq$  (b) this FD is essential.

4)  $Y \rightarrow W$

$$Y^+ = YW XZ \text{ --- (a)}$$

$$\underline{Y}^+ = YX ZW \text{ --- (b)}$$

since (a)  $=$  (b) this FD is discarded.

5)  $Y \rightarrow X$

$$Y^+ = YX ZW \text{ --- (a)}$$

$$\underline{Y}^+ = YZ \text{ --- (b) essential}$$

6)  $Y \rightarrow Z$

$$Y^+ = YZX \text{ --- (a)}$$

$$\underline{Y}^+ = YX \text{ --- (b) essential}$$



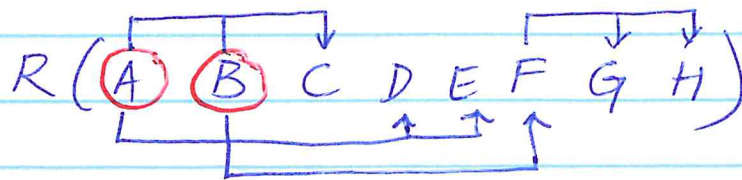
Output:  $F = \{X \rightarrow W, WZ \rightarrow Y, Y \rightarrow XZ\}$   
as the minimal cover of  $R(WXYZ)$

Example:  $R(ABCD)$  & fd's  $F = \{A \rightarrow B, C \rightarrow B, D \rightarrow ABC, AC \rightarrow D\}$   
determine the minimal cover.

8 Finding Candidate keys using edge diagrams:

Given  $R(ABCDEFGH)$  &  
fd:  $\{ AB \rightarrow C$   
 $A \rightarrow DE$   
 $B \rightarrow F$   
 $F \rightarrow GH \}$

convert the FD's into the edge diagram:



Find those attributes that do not have incoming edges.

$\therefore AB$  are ~~potential~~ attributes of potential candidate keys.

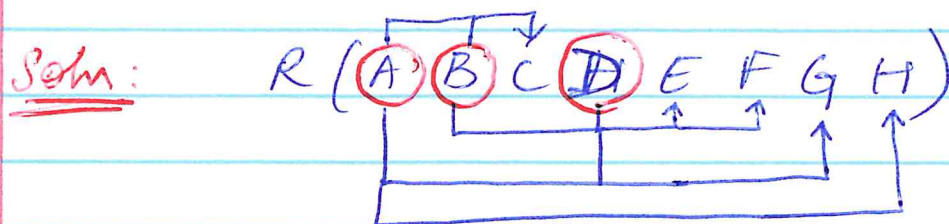
$AB^+ = ABCDEFGH \therefore AB$  is a candidate key.

(8)

Example:  $R(A B C D E F G H)$

$$\text{fd's} = \{ AB \rightarrow C \\ BD \rightarrow EF \\ AD \rightarrow G \\ A \rightarrow H \}$$

is  $ABD^+$  a candidate key.



$\therefore ABD$  are attributes of a candidate key.

$ABD^+ = ABCDEFGH \therefore$  is a candidate key

Example:  $R(A B C D E)$

$$\text{fd's} = \{ BC \rightarrow ADE, D \twoheadrightarrow B \}$$

Find the super keys.

Example:  $R(W X Y Z)$

$$\text{fd's} = \{ Z \rightarrow W, Y \rightarrow XZ, WX \rightarrow Y \}$$

state true/false that  $Y$  is the primary key.