| EN                          | IPLOYE    | E INFORM    | ATION  | FORI | M                   |                |
|-----------------------------|-----------|-------------|--------|------|---------------------|----------------|
| First Name                  | Middle Na | me          |        | Last | Name                |                |
| Date of Birth               | SSN       |             |        |      | arried<br>t Married | Male<br>Female |
| Current Home Address Line 1 |           |             |        |      |                     | Apartment #    |
| Current Home Address Line 2 |           |             |        |      |                     |                |
| City                        |           | State       |        |      | Zip                 | Zip+4          |
| Home Phone Number           |           |             |        |      |                     |                |
| Position Title              |           |             |        |      |                     | Grade          |
| Department/Agency           |           |             |        |      |                     |                |
| Operating Administration    |           |             | Office |      |                     |                |
| Work Address Line 1         |           |             |        |      | Use as<br>Beneficia | Yes<br>ry No   |
| Work Address Line 2         |           |             |        |      |                     |                |
| City                        |           | State       |        |      | Zip                 | Zip+4          |
| Office Phone Number         |           | Appointment | Date   |      | Affidavit Da        | ate            |

## STANDARD FORM 144 (Rev. 10/95) Page 2 Office of Personnel Management The Guide to Processing Personnel Actions

# STATEMENT OF PRIOR FEDERAL SERVICE To be Completed by Employee

| 1. Name (Last, First, Middle Initial)  |              | 2. Socia                    | I Security | y Number    |                          | 3. Date                | 3. Date of Birth (Month, Day, Year)    |                                       |             |
|--|--------------|-----------------------------|------------|-------------|--------------------------|------------------------|--|---------------------------------------|-------------|
|  |              |                             |            |             |                          |                        |  |                                       |             |
| 4. Does the application or resume that you submitted uniformed service, including beginning and ending da        | d, for the p | osition to<br>all as the ty | which yo   | u are bein  | g appointe<br>t and work | ed, list al<br>schedul | l of your Federa<br>le for civilian se | al government civ                     | ilian and   |
| Yes - If "Yes", check this block and skip to Item  |              |                             |            |             |                          |                        | ltems 5 - 9.                           |                                       |             |
| 5. List below your prior civilian service. Include servi   | ice with th  | e DC Gov                    | ernment    | on appoin   | tments ma                | ade befo               | re October 1, 19                       | 987.                                  |             |
| NAME AND LOCATION OF AGENCY  |              | FROM                        | Т          |             | ТО                       | ı                      |  | TYPE OF APPOINTMENT AND WORK SCHEDULE |             |
|  | Year         | Month                       | Day        | Year        | Month                    | Day                    | (Full-Time                             | , Part-Time, or In                    | termittent) |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
| 6. During periods of employment shown in Item 5, die   | d you hav    | e a total of                | more th    | an 6 mont   | hs' absend               | ce withou              | ut pay during an                       | y one calendar y                      | ear?        |
| Yes - If "Yes", list the following information.  |              | □ No - I                    | f "No", g  | o to Item 7 | 7.                       |                        |  |                                       |             |
| TYPE OF ABSENCE, IF KNOWN  |              | FROM                        |            |             | ТО                       |                        |  | TOTAL                                 |             |
| (LWOP, Furlough, Suspension, AWOL, or Placement in Nonpay Status)  | Year         | Month                       | Day        | Year        | Month                    | Day                    | YEARS                                  | MONTHS                                | DAYS        |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
| 7. List all uniformed service below. List active service   | e in any h   | ranch of th                 | ne Armed   | d Forces o  | f the Unite              | d States               | including activ                        | ve duty as a rese                     | rvist and   |
| active service in the commissioned corps of the Publi  | ic Health S  | Service or                  | the Natio  | onal Ocear  | nic and Atr              | mospher                | ic Administratio                       | n.                                    | vist, and   |
| PRANCH OF OFFINIOR   |              | FROM                        |            |             | TO                       |                        |  | DISCHARGE                             |             |
| BRANCH OF SERVICE  | Year         | Month                       | Day        | Year        | Month                    | Day                    | (Hono                                  | orable or Dishono                     | rable)      |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
|  |              |                             |            |             |                          |                        |  |                                       |             |
| Do you claim any type of veterans' preference whi  | ch has no    | t been ver                  | ified?     |             |                          |                        |  |                                       |             |
| No Yes - Check one of the statements,  |              | -                           |            |             |                          |                        | and a state of the                     | h.dalaag - \$                         |             |
| Spouse of a disabled veteran   |              | of a dece                   |            |             |                          |                        |  | /widower of a vet                     |             |
| <b>9. CERTIFICATION:</b> The prior Federal civilian and use Federal employment. I have no other Federal services |              |                             |            |             | ion/resum                | e and IIS              | ted above cons                         | iliules my entire r                   | ecord or    |
| Signature  |              |                             |            |             |                          |                        | Date                                   |                                       |             |

DOE F 1600.7

# U.S. Department of Energy APPLICANT DISABILITY, RACE/NATIONAL ORIGIN AND SEX IDENTIFICATION

(Please read the Instructions and Privacy Act Statement before completing this form)

### **OMB Burden Disclosure Statement**

Public reporting burden for this collection of information is estimated to average 10 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Office of Information Resources Management Policy, Plans, and Oversight, Records Management Division, HR-422 - GTN, Paperwork Reduction Project (1910-0600), U.S. Department of Energy, 1000 Independence Avenue, S.W., Washington, DC 20585; and to the Office of Management and Budget (OMB), Paperwork Reduction Project (1910-0600), Washington, DC 20503.

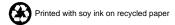
### **PRIVACY ACT STATEMENT**

This data is being collected to plan and evaluate the agency's recruitment of persons with disabilities, minorities and women, and to help ensure that agency personnel practices meet the requirements of Federal law and regulation. The data you supply will be used for statistical analysis only. SUBMISSION OF THIS INFORMATION IS VOLUNTARY. Failure to provide this information will have no effect on the processing of your application for Federal employment. Individual personnel selections are not made based on this information.

Authority: Sections 1302, 3301, 3302, 3304 and 7201 of Title 5 of the U.S. Code; Section 2000e of Title 42 U.S. Code; and Section 791 of Title 29 of the U.S. Code.

Solicitation of your Social Security Number (SSN) is authorized by Executive Order 9397 (November 22, 1943), which requires agencies to use the SSN as the means for identifying individuals in personnel information systems. It will be used only for that purpose. Submission of your SSN is voluntary and failure to furnish your SSN on this form will have no effect on your application.

| Vacancy Announ                                       | ncement Number                               | Position Title, Series, Grade   |
|--|--|---|
| Name (Last, Firs                                     | t, Middle Initial)                           | Social Security Number  |
| Sex  | Male   | Female  |
|  |  |   |
| SECTION A. D   | ISABILITY STATUS                             |   |
| major life activi<br>the box above<br>one which resu | ties. Please read the disability description | tal impairment which substantially limits one or more ons below and then write the two-digit numeric code in any. If you have more than one disability, choose the oper in the box. |
| 05.  | I do not have a disability                   |   |
| 16.  | Total deafness in both ears, with or wi      | thout understandable speech.  |
| 23.  | Inability to read ordinary size print, not   | correctable by glasses  |
|  | (can read oversize print or use assisting    | ng device)  |
| 25.  | Blind in both eyes (no usable vision, m      | nay have some light perception).  |
| 28.  | Missing one arm or one leg.                  |   |
| 33.  | Missing both hands or both arms or bo        | oth feet or both legs.  |



| 35.    | Missing one har                   | nd or ar            | m and one foot or leg.  |
|--------|-----------------------------------|---------------------|---|
| 64.    | Partial paralysis                 | of both             | hands.  |
| 65.    | Partial paralysis                 | of both             | legs, any part, or both arms, any part.   |
| 67.    | Partial paralysis                 | of one              | side of the body, including one arm and one leg.  |
| 68.    | Partial paralysis                 | of thre             | e or more major parts of the body (arms and legs).  |
| 71.    | Complete paraly                   | ysis of b           | ooth hands or both arms or both legs.   |
| 72.    | Complete paraly                   | ysis of c           | one arm or one leg.   |
| 76.    | Complete paraly                   | ysis of l           | ower half of body, including legs.  |
| 77.    | Complete paraly                   | ysis of c           | one side of body, including one arm and one leg.  |
| 78.    | Complete paraly                   | ysis of t           | hree or more major parts (of body) (arms and legs).   |
| 82.    | Convulsive diso                   | rder (e.            | g. epilepsy).   |
| 90.    | to learn, to be e                 | ducated             | nronic and lifelong condition involving a limited ability d, and to be trained for useful productive employment ocational rehabilitation agency).   |
| 91.    | Mental or emoti                   | onal illn           | ess (a history of treatment for mental or emotional problems).  |
| 92.    | Severe distortio                  | n of lim            | bs and/or spine (e.g. dwarfism, severe distortion of the back).   |
| 06.    | I have a disabili                 | ty, but i           | t is not listed above. Describe:  |
| The ca | next to the cate                  | ovide d<br>gory wit | AL ORIGIN lescriptions of race and national origins. Read the descriptions and then check h which you identify yourself. If you are of mixed race and/or national origin, you most closely identify yourself. NOTE: Please mark only ONE box. |
|        | nerican Indian or<br>Iskan Native |                     | A person having origins in any of the original peoples of North America, and who maintains cultural identification through community recognition or tribal affiliation.   |
| _      | an or Pacific<br>ander            |                     | A person having origins in any of the original peoples of the Far East,<br>Southeast Asia, the India subcontinent, or the Pacific Islands. For example:<br>China, India, Japan, Korea, the Philippine Islands, Samoa and Vietnam.             |
|        | ck, not of<br>panic origin        |                     | A person having origins in any of the black racial groups of Africa. This does not include persons of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish cultures or origins.  |
| D. His | panic                             |                     | A person of Mexican, Puerto Rican, Cuban, Central or South American, or other Spanish cultures or origins. This does not include persons of Portuguese culture or origin.   |
|        | ite, not of<br>panic origin       |                     | A person having origins in any of the original peoples of Europe, North Africa or the Middle East. This does not include persons of Mexican, Puerto Rican, Cuban, Central or South American cultures or origins.                              |
| F. Oth | er                                |                     | A person not included in the above categories.  |
|        |                                   |                     |   |



SIGNATURE

# U.S. Department of Energy Washington, DC 20585

# NOTICE CONCERNING PRE-APPOINTMENT CERTIFICATION STATEMENT FOR SELECTIVE SERVICE REGISTRATION

| Coverag  | e: If you are a male born after December 31, 1959, and you want to be employed by the Federal Government, you must (subject to certain exemptions) be registered with the Selective Service System.  |
|----------|--|
| Purpose  | We need to know if you are registered with the Selective Service System to determine whether you are affected by laws concerning employment with the Federal Government.   |
| Penalty: | A false statement by you may be grounds for not hiring you, or for firing you after you begin work. Also, you may be punished by fine or imprisonment. (Title 18, U.S. Code Section 1001)  |
| Error:   | If you been informed that you cannot be appointed to a position in an executive agency because of your failure to register, and you wish to establish that your non-compliance with the law was neither knowing nor willful, you should provide the reason(s) why you did not register to: |
|          | U.S. Office of Personnel Management<br>NACI Center<br>IOD-SAB<br>Boyers, PA 16018  |
|          | CERTIFICATION OF REGISTRATION STATUS   |
| I        | CERTIFY that:  |
| I        | am REGISTERED with the Selective Service System.   |
| I        | am NOT REGISTERED with the Selective Service System.   |
| □ I      | am NOT REQUIRED TO REGISTER with the Selective Service System.   |
|          |  |

DATE

# Form W-4 (2010)

**Purpose.** Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. Consider completing a new Form W-4 each year and when your personal or financial situation changes.

**Exemption from withholding.** If you are exempt, complete **only** lines 1, 2, 3, 4, and 7 and sign the form to validate it. Your exemption for 2010 expires February 16, 2011. See Pub. 505, Tax Withholding and Estimated Tax.

**Note.** You cannot claim exemption from withholding if (a) your income exceeds \$950 and includes more than \$300 of unearned income (for example, interest and dividends) and (b) another person can claim you as a dependent on his or her tax return.

Basic instructions. If you are not exempt, complete the Personal Allowances Worksheet below. The worksheets on page 2 further adjust your withholding allowances based on itemized deductions, certain credits, adjustments to income, or two-earners/multiple jobs situations.

Complete all worksheets that apply. However, you may claim fewer (or zero) allowances. For regular wages, withholding must be based on allowances you claimed and may not be a flat amount or percentage of wages.

Head of household. Generally, you may claim head of household filing status on your tax return only if you are unmarried and pay more than 50% of the costs of keeping up a home for yourself and your dependent(s) or other qualifying individuals. See Pub. 501, Exemptions, Standard Deduction, and Filing Information, for information.

Tax credits. You can take projected tax credits into account in figuring your allowable number of withholding allowances. Credits for child or dependent care expenses and the child tax credit may be claimed using the Personal Allowances Worksheet below. See Pub. 919, How Do I Adjust My Tax Withholding, for information on converting your other credits into withholding allowances.

**Nonwage income.** If you have a large amount of nonwage income, such as interest or dividends, consider making estimated tax

payments using Form 1040-ES, Estimated Tax for Individuals. Otherwise, you may owe additional tax. If you have pension or annuity income, see Pub. 919 to find out if you should adjust your withholding on Form W-4 or W-4P.

Two earners or multiple jobs. If you have a working spouse or more than one job, figure the total number of allowances you are entitled to claim on all jobs using worksheets from only one Form W-4. Your withholding usually will be most accurate when all allowances are claimed on the Form W-4 for the highest paying job and zero allowances are claimed on the others. See Pub. 919 for details.

Nonresident alien. If you are a nonresident alien, see Notice 1392, Supplemental Form W-4 Instructions for Nonresident Aliens, before completing this form.

Check your withholding. After your Form W-4 takes effect, use Pub. 919 to see how the amount you are having withheld compares to your projected total tax for 2010. See Pub. 919, especially if your earnings exceed \$130,000 (Single) or \$180,000 (Married).

| inco | me, or two-earners/multiple jobs situations. dividends, consider makin   | -                       |                           | (Sirigle) or \$100,000 (i                                       | nameu).           |
|------|--|-------------------------|---------------------------|---|-------------------|
|      | Personal Allowances Worksho  | eet (Keep for           | your records.)            |   |                   |
| Α    | Enter "1" for yourself if no one else can claim you as a dependent   |                         |                           |   | Α                 |
|      | <ul> <li>You are single and have only one job; or</li> </ul>   |                         |                           | )   |                   |
| В    | Enter "1" if: $\left. \left\{ \right. \right.$ $\bullet$ You are married, have only one job, and your sp   | ouse does not           | work; or                  | }   | В                 |
|      | <ul> <li>Your wages from a second job or your spouse's wages</li> </ul>  | ages (or the total      | of both) are \$1,50       | 00 or less.   |                   |
| С    | Enter "1" for your <b>spouse.</b> But, you may choose to enter "-0-" if y  | ou are married          | and have either a         | a working spouse or   |                   |
|      | more than one job. (Entering "-0-" may help you avoid having too I   | ittle tax withhel       | d.)                       |   | С                 |
| D    | Enter number of <b>dependents</b> (other than your spouse or yourself) y   | ou will claim or        | n your tax return         |   | D                 |
| Е    | Enter "1" if you will file as head of household on your tax return (s  | see conditions ι        | under <b>Head of ho</b>   | ousehold above) .   | E                 |
| F    | Enter "1" if you have at least \$1,800 of child or dependent care e  | xpenses for wh          | nich you plan to d        | claim a credit  | F                 |
|      | (Note. Do not include child support payments. See Pub. 503, Child  | l and Depender          | nt Care Expenses          | , for details.)   |                   |
|      | Child Tax Credit (including additional child tax credit). See Pub. 97  |                         |                           |   |                   |
|      | <ul> <li>If your total income will be less than \$61,000 (\$90,000 if married), enter "2" for each</li> </ul>  | ach eligible child; th  | hen less "1" if you ha    | ave three or more eligible                                      | children.         |
|      | If your total income will be between \$61,000 and \$84,000 (\$90,000   |                         | if married), enter        | "1" for each eligible   |                   |
|      | child plus "1" additional if you have six or more eligible children.   |                         |                           |   | G                 |
|      | Add lines A through G and enter total here. (Note. This may be different from  |                         | , ,                       | ,   |                   |
|      | For accuracy, • If you plan to itemize or claim adjustments to it  | ncome and war           | nt to reduce your         | withholding, see the  | Deductions        |
|      | complete all and Adjustments Worksheet on page 2.  • If you have more than one job or are married and you a  | nd vour enouse h        | oth work and the co       | ombined earnings from s   | all iobs exceed   |
|      | that apply. \$18,000 (\$32,000 if married), see the <b>Two-Earners/Mul</b>   |                         |                           |   |                   |
|      | If neither of the above situations applies, stop he  |                         |                           |   |                   |
|      | Employee's Withholding  the treasury al Revenue Service  Whether you are entitled to claim a certain numbre subject to review by the IRS. Your employer may be | per of allowances       | or exemption from         | withholding is  | AB No. 1545-0074  |
| 1    | Type or print your first name and middle initial. Last name  |                         |                           | 2 Your social secu  | rity number       |
|      | Home address (number and street or rural route)  |                         |                           | ied, but withhold at higher<br>use is a nonresident alien, chec |                   |
|      | City or town, state, and ZIP code  | 1 -                     |                           | at shown on your socia<br>772-1213 for a replacem               |                   |
| 5    | Total number of allowances you are claiming (from line <b>H</b> above <b>o</b>   | <b>r</b> from the appli | icable worksheet          | on page 2) 5  |                   |
| 6    | Additional amount, if any, you want withheld from each paycheck  |                         |                           |   | \$                |
| 7    | I claim exemption from withholding for 2010, and I certify that I me   |                         |                           |   |                   |
|      | • Last year I had a right to a refund of all federal income tax with   | nheld because I         | had <b>no</b> tax liabil  | ity <b>and</b>  |                   |
|      | • This year I expect a refund of all federal income tax withheld b   | ecause I expec          | t to have <b>no</b> tax I | iability.   |                   |
|      | If you meet both conditions, write "Exempt" here   |                         |                           | 7   |                   |
| Jnde | er penalties of perjury, I declare that I have examined this certificate and to the be   | est of my knowledg      | ge and belief, it is true | e, correct, and complete  |                   |
|      | oloyee's signature   |                         |                           |   |                   |
|      | n is not valid unless you sign it.)  |                         | T = ===                   | Date ▶  |                   |
| 8    | Employer's name and address (Employer: Complete lines 8 and 10 only if send  | ing to the IRS.)        | 9 Office code (optional)  | 10 Employer identifica  | tion number (EIN) |
|      |  |                         | 1                         | !   |                   |

Form W-4 (2010) Page **2** 

| OIIII | ¥¥ + (2010)   |    | rage = |
|-------|---|----|--------|
|       | Deductions and Adjustments Worksheet  |    |        |
| Not   | e. Use this worksheet only if you plan to itemize deductions or claim certain credits or adjustments to income.   |    |        |
| 1     | Enter an estimate of your 2010 itemized deductions. These include qualifying home mortgage interest, charitable contributions, state and local taxes, medical expenses in excess of 7.5% of your income, and miscellaneous deductions | 1  | \$     |
| 2     | Enter:   \$11,400 if married filing jointly or qualifying widow(er)  \$8,400 if head of household  \$5,700 if single or married filing separately   | 2  | \$     |
| 3     | Subtract line 2 from line 1. If zero or less, enter "-0-"   | 3  | \$     |
| 4     | Enter an estimate of your 2010 adjustments to income and any additional standard deduction. (Pub. 919)  | 4  | \$     |
| 5     | Add lines 3 and 4 and enter the total. (Include any amount for credits from Worksheet 6 in Pub. 919.)   | 5  | \$     |
| 6     | Enter an estimate of your 2010 nonwage income (such as dividends or interest)   | 6  | \$     |
| 7     | Subtract line 6 from line 5. If zero or less, enter "-0-"   | 7  | \$     |
|       | Divide the amount on line 7 by \$3,650 and enter the result here. Drop any fraction   | 8  |        |
| 9     | Enter the number from the <b>Personal Allowances Worksheet,</b> line H, page 1  | 9  |        |
| 10    |   | 10 |        |
|       |   |    |        |

| Two-Earners/Multiple Jobs Worksheet (See Two earners or multiple jo   | bs on page 1.)             |
|---|----------------------------|
| Note. Use this worksheet only if the instructions under line H on page 1 direct you here.                     |                            |
| 1 Enter the number from line H, page 1 (or from line 10 above if you used the Deductions and Adjustments Work | sheet) 1                   |
| 2 Find the number in Table 1 below that applies to the LOWEST paying job and enter it here. Howe              | ·                          |
| you are married filing jointly and wages from the highest paying job are \$65,000 or less, do not enter       |                            |
| than "3."   | 2                          |
| 3 If line 1 is more than or equal to line 2, subtract line 2 from line 1. Enter the result here (if zero,     | enter                      |
| "-0-") and on Form W-4, line 5, page 1. <b>Do not</b> use the rest of this worksheet                          | 3                          |
| Note. If line 1 is less than line 2, enter "-0-" on Form W-4, line 5, page 1. Complete lines 4-9 below        | w to figure the additional |
| withholding amount necessary to avoid a year-end tax bill.  |                            |
| 4 Enter the number from line 2 of this worksheet  |                            |
| 5 Enter the number from line 1 of this worksheet  |                            |
| 6 Subtract line 5 from line 4   | 6                          |
| 7 Find the amount in <b>Table 2</b> below that applies to the <b>HIGHEST</b> paying job and enter it here     | 7 \$                       |
| 8 Multiply line 7 by line 6 and enter the result here. This is the additional annual withholding needed       | i 8 <u>\$</u>              |
| 9 Divide line 8 by the number of pay periods remaining in 2010. For example, divide by 26 if you are          |                            |
| every two weeks and you complete this form in December 2009. Enter the result here and on Form                |                            |
| line 6, page 1. This is the additional amount to be withheld from each paycheck                               | 9 \$                       |

|  | Tab  | ole 1  |  | Table 2  |   |  |   |  |
|--|--|--|--|--|---|--|---|--|
| Married Filing Jointly   |  | All Others   |  | Married Filing   | Jointly                                 | All Others   |   |  |
| If wages from <b>LOWEST</b> paying job are—  | Enter on line 2 above  | If wages from LOWEST paying job are—   | Enter on line 2 above                          | If wages from <b>HIGHEST</b> paying job are—   | Enter on line 7 above                   | If wages from <b>HIGHEST</b> paying job are—   | Enter on line 7 above                   |  |
| \$0 - \$7,000 - 7,001 - 10,000 - 10,001 - 16,000 - 22,000 - 22,001 - 35,000 - 35,001 - 44,001 - 55,001 - 55,001 - 65,001 - 72,001 - 85,001 - 105,000 - 105,001 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 115,000 - 130,000 - 130,000 - 100,000 - 130,000 - 100,000 | 0<br>1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9<br>10<br>11<br>12<br>13<br>14 | \$0 - \$6,000 - 6,001 - 12,000 - 12,001 - 19,000 - 19,001 - 26,000 - 26,001 - 35,000 - 50,001 - 65,001 - 65,001 - 80,001 - 90,000 - 120,001 and over | 0<br>1<br>2<br>3<br>4<br>5<br>6<br>7<br>8<br>9 | \$0 - \$65,000<br>65,001 - 120,000<br>120,001 - 185,000<br>185,001 - 330,000<br>330,001 and over | \$550<br>910<br>1,020<br>1,200<br>1,280 | \$0 - \$35,000<br>35,001 - 90,000<br>90,001 - 165,000<br>165,001 - 370,000<br>370,001 and over | \$550<br>910<br>1,020<br>1,200<br>1,280 |  |
| , ,  | 14<br>15   |  |  |  |   |  |   |  |

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. Internal Revenue Code sections 3402(f)(2) and 6109 and their regulations require you to provide this information; your employer uses it to determine your federal income tax withholding. Failure to provide a properly completed form will result in your being treated as a single person who claims no withholding allowances; providing fraudulent information may subject you to penalties. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation, to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws, and using it in the National Directory of New Hires. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by Code section 6103.

The average time and expenses required to complete and file this form will vary depending on individual circumstances. For estimated averages, see the instructions for your income tax return.

If you have suggestions for making this form simpler, we would be happy to hear from you. See the instructions for your income tax return.

# DEFECT DEPOSIT SIGN-UP FORM

#### DIRECTIONS

- To sign up for direct deposit, the payee is to read the back of this form and fill in the information requested in Sections 1 and 2. Then take or mail this form to the financial institution. The financial institution will verify the information in Sections 1 and 2, and will complete Section 3. The completed form will be returned to the Government agency identified below.
- A separate form must be completed for each type of payment to be sent by Direct Deposit.
- The claim number and type of payment are printed on Government checks. (See the sample check on the back of this form.) This information is also stated on beneficiary/annuitant award letters and other documents from the Government agency.
- Payees must keep the Government agency informed of any address changes in order to receive important information about benefits and to remain qualified for payments.

# **SECTION 1** (TO BE COMPLETED BY PAYEE)

| A NAME OF TAIL                                    | EE (last, first, middle initial)  |  | D TYPE OF DEPOSITOR ACCOUNT CHECKING SAVINGS   |   |                   |  |  |
|---|---|--|--|---|-------------------|--|--|
| ADDDESS (stre                                     | eet, route, P.O. Box, APO/FPO)  |  | E DEPOSITOR ACCOUNT NU   | JMBER   |                   |  |  |
| ADDRESS (SITE                                     | eei, touie, r.O. box, AFO/FFO)  |  |  |   |                   |  |  |
| CITY  | STATE   | ZIP CODE   | F TYPE OF PAYMENT (Check  ☐ Social Security  | only one)<br>□ Fed Salary/Mil. C  | ivilian Pav       |  |  |
| TELEPHONE N                                       | NUMBER  |  | ☐ Supplemental Security Incom  |   |                   |  |  |
| AREA CODE   | E   |  | ☐ Railroad Retirement  | ☐ Mil. Retire   |                   |  |  |
| R NAME OF PER                                     | SON(S) ENTITLED TO PAYMEN   | JT   | ☐ Civil Service Retirement (OPN☐ VA Compensation or Pension  | ·   | _                 |  |  |
|   |   |  |  |   | (specify)         |  |  |
| C CLAIM OR PAY                                    | ROLL ID NUMBER  |  | <b>G</b> THIS BOX FOR ALLOTMEN   | OF PAYMENT ONLY (if   |                   |  |  |
|   | TOPE IS TOMBER  |  | TYPE   | AMOUN'  |                   |  |  |
| Prefix  | S   | Suffix   |  |   |                   |  |  |
| PAY   | YEE/JOINT PAYEE CERTIFICAT  | ION  | JOINT ACCOUNT HOLD   | ERS' CERTIFICATION (o   | ontional)         |  |  |
| have read and und authorize my payr               | entitled to the payment identified<br>derstood the back of this form. In<br>ment to be sent to the financial<br>ited to the designated account. | signing this form I  | I certify that I have read and ur<br>the SPECIAL NOTICE TO JOI   | derstood the back of thi  | s form, including |  |  |
| SIGNATURE   |   | DATE   | SIGNATURE  |   | DATE              |  |  |
| SIGNATURE   |   | DATE   | SIGNATURE  |   | DATE              |  |  |
|   |   |  |  |   |                   |  |  |
| GOVERNMENT AG                                     | ·   | OMPLETED BY  | PAYEE OR FINANCIAL IN  |   |                   |  |  |
| GOVERNMENT AG                                     | ·   | OMPLETED BY  |  |   |                   |  |  |
| GOVERNMENT AG                                     | ENCY NAME   |  | GOVERNMENT AGENCY ADDR   | RESS  |                   |  |  |
|   | SECTION 3 (FG   | BE COMPLET   | GOVERNMENT AGENCY ADDR   | RESS  | QUEO(             |  |  |
|   | ENCY NAME   | BE COMPLET   | GOVERNMENT AGENCY ADDR   | RESS  | CHECK<br>DIGIT    |  |  |
|   | SECTION 3 (FG   | BE COMPLET   | GOVERNMENT AGENCY ADDR   | RESS  |                   |  |  |
|   | SECTION 3 (FG   | BE COMPLET   | GOVERNMENT AGENCY ADDR   | FUTION)-  |                   |  |  |
|   | SECTION 3 (FG   | BE COMPLET   | GOVERNMENT AGENCY ADDR   | FUTION)-  |                   |  |  |
| NAME AND ADDRE                                    | SECTION 3 (FG   | PE-COMPLET   | GOVERNMENT AGENCY ADDRESS OF THE PROPERTY AND PROPERTY AN | FUTION)- TITLE  | DIGIT             |  |  |
| NAME AND ADDRE  NOTE: Please I confirm the identi | SECTION 3 (FG ESS OF FINANCIAL INSTITUTION  e attach a voided check or deposit so ity of the above-named payee(s)                               | FINANCIAL INSTITICATION OF THE PROPERTY OF T | GOVERNMENT AGENCY ADDR   | FUTION)-  T TITLE  your financial institution for the above-named financial | or signature.     |  |  |

Financial institutions should refer to the GREEN BOOK for further instructions.

THE FINANCIAL INSTITUTION SHOULD MAIL THE COMPLETED FORM TO THE GOVERNMENT AGENCY IDENTIFIED ABOVE.

NSN 7540-01-058-0224 1199-207

#### **BURDEN ESTIMATE STATEMENT**

The estimated average burden associated with this collection of information is 10 minutes per respondent or record-keeper, depending on individual circumstances. Comments concerning the accuracy of this burden estimate and suggestions for reducing this burden should be directed to the Financial Management Service, Facilities Management Division, Property & Supply Section, Room B-101, 3700 East-West Highway, Hyattsville, MD 20782 or the Office of Management and Budget, Paperwork Reduction Project (1510-0007), Washington, D.C. 20503.

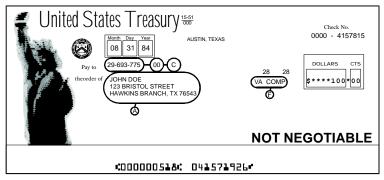
## PLEASE READ THIS CAREFULLY

All information on this form, including the individual claim number, is required under 31 USC 3322, 31 CFR 209 and/or 210. The information is confidential and is needed to prove entitlement to payments. The information will be used to process payment data from the Federal agency to the financial institution and/or its agent. Failure to provide the requested information may affect the processing of this form and may delay or prevent the receipt of payments through the Direct Deposit/Electronic Funds Transfer Program.

# INFORMATION FOUND ON CHECKS

Most of the information needed to complete boxes A, C, and F in Section 1 is printed on your government check:

- Be sure that the payee's name is written exactly as it appears on the check. Be sure current address is shown.
- © Claim numbers and suffixes are printed here on checks beneath the date for the type of payment shown here. Check the Green Book for the location of prefixes and suffixes for other types of payments.
- F Type of payment is printed to the left of the amount.



## SPECIAL NOTICE TO JOINT ACCOUNT HOLDERS

Joint account holders should immediately advise both the Government agency and the financial institution of the death of a beneficiary. Funds deposited after the date of death or ineligibility, except for salary payments, are to be returned to the Government agency. The Government agency will then make a determination regarding survivor rights, calculate survivor benefit payments, if any, and begin payments.

# **CANCELLATION**

The agreement represented by this authorization remains in effect until canceled by the recipient by notice to the Federal agency or by the death or legal incapacity of the recipient. Upon cancellation by the recipient, the recipient should notify the receiving financial institution that he/she is doing so.

The agreement represented by this authorization may be cancelled by the financial institution by providing the recipient a written notice 30 days in advance of the cancellation date. The recipient must immediately advise the Federal agency if the authorization is cancelled by the financial institution. The financial institution cannot cancel the authorization by advice to the Government agency.

## CHANGING RECEIVING FINANCIAL INSTITUTIONS

The payee's Direct Deposit will continue to be received by the selected financial institution until the Government agency is notified by the payee that the payee wishes to change the financial institution receiving the Direct Deposit. To effect this change, the payee will complete the new SF 1199A at the newly selected financial institution. It is recommended that the payee maintain accounts at both financial institutions until the transition is complete, i.e. after the new financial institution receives the payee's Direct Deposit payment.

#### **FALSE STATEMENTS OR FRAUDULENT CLAIMS**

Federal law provides a fine of not more than \$10,000 or imprisonment for not more than five (5) years or both for presenting a false statement or making a fraudulent claim.