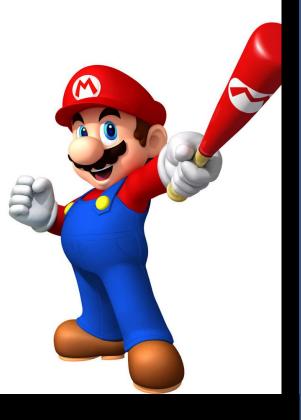


# Baseball V



Produced by Dr. Mario | UNC STOR 390





- Manager Decisions
  - Situation 1: Man on First and No Outs. Should We Bunt?
  - Situation 2: Man on First and One Out.
     Should We Steal?
  - Most Decisions in Baseball are Trade-Offs
  - All Decisions Have the Probability of Error
- States of Baseball
  - 24 Unique States in an Inning
  - Represented by 4 Numbers
  - Best State = 0111 E[Runs|0111] = 2.27
  - Worst State = 2000 E[Runs|0111] = 0.11

| Possible States during an Inning |      |                     |                   |                     |
|----------------------------------|------|---------------------|-------------------|---------------------|
| State                            | Outs | Runner on<br>First? | Runner on Second? | Runner on<br>Third? |
| 0000                             | 0    | No                  | No                | No                  |
| 1000                             | 1    | No                  | No                | No                  |
| 2000                             | 2    | No                  | No                | No                  |
| 0001                             | 0    | No                  | No                | Yes                 |
| 1001                             | 1    | No                  | No                | Yes                 |
| 2001                             | 2    | No                  | No                | Yes                 |





- States of Baseball
  - Average Number of Runs for Each State

| Expected Runs |              |  |  |
|---------------|--------------|--|--|
| State         | Average Runs | Number of<br>Plate Appearances<br>for This Situation |  |
| 0000          | .54          | 46,180   |  |
| 1000          | .29          | 32,821   |  |
| 2000          | .11          | 26,009   |  |
| 0001          | 1.46         | 512  |  |
| 1001          | .98          | 2,069  |  |
| 2001          | .38          | 3,129  |  |





- States of Baseball
  - Example: Pitching States of Plate Appearances
    - 1 = Strike & 0 = Ball
    - Situation: Strike, Ball, Ball, Ball, Strike, Strike = 100011

| States For<br>Strikeouts |
|--------------------------|
| 111                      |
| 1011                     |
| 1101                     |
| 0111                     |
| 11001                    |
| Etc.                     |

| States For<br>Walks |
|---------------------|
| 0000                |
| 10000               |
| 01000               |
| 00010               |
| 110000              |
| Etc.                |

| States For<br>Hits |  |
|--------------------|--|
| 1                  |  |
| 0                  |  |
| 10                 |  |
| 01                 |  |
| 00                 |  |
| Etc.               |  |





#### Experiment

- Any Situation where Outcome is Uncertain
- Typically, Set of Outcomes (O) is Finite and Can Be Listed
- Example: Pitcher Throws a Pitch

 $O = \{Strike, Ball, Hits Batter, Hit in Play\}$ 

#### Random Variable

- Associated with Experiments
- Typically Involves Numeric Outcome Based on
- Usually Notated with Capital Letter (X)
- Sample Space (S) Represents Possible Values Involving Subsets of Set of Outcomes (O)
- Example: X = Number of Balls in a Plate Appearance

$$S = \{0, 1, 2, 3, 4\}$$





- Expected Value
  - Average Value of a Random Variable if Experiment Repeated Infinite Number of Times
  - Formula for Expected Value

$$E[X] = \sum_{x \in S} x P(X = x)$$

- Example: X = Number of Balls in Plate Appearance  $E[X] = 0 \times 0.2 + 1 \times 0.4 + 2 \times 0.3 + 3 \times 0.05 + 4 \times 0.05 = 1.35$
- Formula Based on Law of Conditional Expectations

$$E[X] = \sum_{y \in S} E[X|Y = y]P(Y = y)$$

| X | P(X=x) |
|---|--------|
| 0 | 0.2    |
| 1 | 0.4    |
| 2 | 0.3    |
| 3 | 0.05   |
| 4 | 0.05   |





#### Expected Value

- Example:
  - X = Number of Balls in a Plate Appearance
  - Y = First Pitch is a Strike (Yes = 1 & No = 0)
  - Average of 0.99 Balls When First Pitch is a Strike
  - Average of 1.83 Balls When First Pitch is a Ball

$$E[X] = 1.83 \times 0.43 + 0.99 \times 0.57 = 1.35$$

| У | E[X Y=y] | P(Y=y) |
|---|----------|--------|
| 0 | 1.83     | 0.43   |
| 1 | 0.99     | 0.57   |





- Should We Bunt with Man on First and No Outs?
  - Expect 0.93 Runs Under Current State = 0100
  - List of Possible Resulting States With Probabilities

| Possible Results of a Bu                                   | nt with Runner on Fi | irst        |                |                     |  |
|--|----------------------|-------------|----------------|---------------------|--|
| Result   | Resulting State      | Probability | Expected Runs* |                     |  |
| Batter is safe and runner advances to second base          | 0110                 | .10         | 1.49           | Based on            |  |
| Runner advances<br>to second base<br>and batter is safe    | 1010                 | .70         | .71            | Previous Table      |  |
| Both runners are out                                       | 2000                 | .02         | .11            | Based on Known      |  |
| Runner is out at second base and batter reaches first base | 1100                 | .08         | .55            | Relative Frequencie |  |
| Batter is out and runner remains on first base             | 1100                 | .10         | .55            |                     |  |





- Should We Bunt with Man on First and No Outs?
  - Expected Number of Runs Scored After Bunt (X)

$$E[X] = 0.1 \times 1.49 + 0.7 \times 0.71 + 0.02 \times 0.11 + 0.08 \times 0.55 + 0.1 \times 0.55 = 0.75$$

- Comparing Expected Runs Without Bunt Versus After Bunt
  - Under Current State = 0.93 Runs
  - After Bunt = 0.75 Runs (Clearly Worse)
- All of This is Based on the <u>Average Hitter</u>
- What if I am Batting? Should I Bunt?
  - Strike Out 85% of the Time
  - Single 10% of the Time
  - Walk 5% of the Time
  - Suppose Stupid Manager Lets Swing for the Fence

$$E[X] = 0.85 \times E[X|1100] + 0.1 \times E[X|0101] + 0.05 \times E = [X|0110] = 0.73$$







- Should We Steal if Man on First and No Outs?
  - Suppose I am on First Base...No
  - Suppose Usain Bolt is on First Base...Yes
  - Short Answer: Depends on How Fast the Runner Is?
  - Let p = Probability of a Successful Steal
  - Expect 0.93 Runs Under Current State = 0100
  - Success: State = 0010 with 1.17 Expected Runs
  - Failure: State = 1000 with 0.29 Expected Runs
  - Based on Law of Conditional Expectations for Expected Runs After Steal

$$E[X] = p \times 1.17 + (1 - p) \times 0.29$$

When do We Want to Steal?

$$p \times 1.17 + (1-p) \times 0.29 > 0.93$$
 $1.17p + 0.29 - 0.29p > 0.93 \longrightarrow p > \frac{0.93 - 0.29}{0.88} = 72.7\%$ 
 $0.88p + 0.29 > 0.93$ 





- Should We Steal if Man on First and No Outs?
  - Historically, 70% Chance of Success on Steals
  - Implies Bad Idea Based on Average Rate
  - Suppose Super Mario is on 1st Base with 95% Chance of Stealing

$$E[X] = 0.95 \times 1.17 + (1 - 0.95) \times 0.29 = 1.126$$

Marginal Increase:

$$1.126 - 0.93 = +0.196 Runs$$

- Solution: Chill Out Super Mario
- Conservative Versus Liberal Base Running
  - Expected 0.93 Runs in State = 0100
  - Single Gets Hit and Runner Is Faced With Two Choices
    - Scenario 1: Attempt to Get to 3<sup>rd</sup> Base
    - Scenario 2: Stop at 2<sup>nd</sup> Base





- Conservative Versus Liberal Base Running
  - Under Scenario 1: Expect 1.86 Runs in State = 0101
  - Under Scenario 2: Expect 1.49 Runs in State = 0110
  - If Runner is Out: Expect 0.55 Runs in State = 1100
  - Let p = Probability Base Runner Gets to 3<sup>rd</sup> Base
  - If p = 0.72, then...

$$p \times 1.86 + (1-p) \times 0.55 = 1.49$$

- Interpretation: If Base Runner has a 72% Chance of Getting to 3<sup>rd</sup> Base, the Expected Number of Runs Under the Attempt "Breaks Even" with the Expected Number of Runs of Being a Coward
- Historically, 97% of the Time Base Runner Succeeds
- Only Thing That's on My Mind, is Who's Gonna Run This Town
   Tonight





#### Conservative Versus Liberal Base Running

| Breakeven Probability Needed to Justify Trying for<br>the Extra Base |                |   |  |
|--|----------------|---|--|
| Runner on  | Number of Outs | Breakeven Probability<br>of Success Needed<br>on a Single |  |
| First  | 0              | .72   |  |
| First  | 1              | .73   |  |
| First  | 2              | .85   |  |
| Second   | 0              | .95   |  |
| Second   | 1              | .76   |  |
| Second   | 2              | .43   |  |



## Final Inspiration

If you are scared of a new situation, then lean in; you may just get hit by a pitch.

-Mahatma Mario