



# Baseball V



Produced by Dr. Mario | UNC STOR 538



# Baseball Decision-Making

- **Manager Decisions**
  - Situation 1: Man on First and No Outs. Should We Bunt?
  - Situation 2: Man on First and One Out. Should We Steal?
  - Most Decisions in Baseball are Trade-Offs
  - All Decisions Have the Probability of Error

- **States of Baseball**
  - 24 Unique States in an Inning
  - Represented by 4 Numbers
  - Best State = 0111

$$E[Runs|0111] = 2.27$$

- Worst State = 2000

$$E[Runs|2000] = 0.11$$

Possible States during an Inning

State	Outs	Runner on First?	Runner on Second?	Runner on Third?
0000	0	No	No	No
1000	1	No	No	No
2000	2	No	No	No
0001	0	No	No	Yes
1001	1	No	No	Yes
2001	2	No	No	Yes





# Baseball Decision-Making

- States of Baseball
  - Average Number of Runs for Each State

## Expected Runs

State	Average Runs	Number of Plate Appearances for This Situation
0000	.54	46,180
1000	.29	32,821
2000	.11	26,009
0001	1.46	512
1001	.98	2,069
2001	.38	3,129





# Baseball Decision-Making

- States of Baseball
  - Example: Pitching States of Plate Appearances
    - 1 = Strike & 0 = Ball
    - Situation: Strike, Ball, Ball, Ball, Strike, Strike = 100011

States For Strikeouts
111
1011
1101
0111
11001
Etc.

States For Walks
0000
10000
01000
00010
110000
Etc.

States For Hits
1
0
10
01
00
Etc.



# Baseball Decision-Making



- **Experiment**

- Any Situation where Outcome is Uncertain
- Typically, Set of Outcomes (O) is Finite and Can Be Listed
- Example: Pitcher Throws a Pitch

$O = \{Strike, Ball, Hits Batter, Hit in Play\}$

- **Random Variable**

- Associated with Experiments
- Typically Involves Numeric Outcome Based on Observation
- Usually Notated with Capital Letter (X)
- Sample Space (S) Represents Possible Values Involving Subsets of Set of Outcomes (O)
- Example: X = Number of Balls in a Plate Appearance

$S = \{0, 1, 2, 3, 4\}$





# Baseball Decision-Making



- **Expected Value**
  - Average Value of a Random Variable if Experiment Repeated Infinite Number of Times
  - Formula for Expected Value

$$E[X] = \sum_{x \in S} xP(X = x)$$

- **Example:  $X$  = Number of Balls in Plate Appearance**

$$E[X] = 0 \times 0.2 + 1 \times 0.4 + 2 \times 0.3 + 3 \times 0.05 + 4 \times 0.05 = 1.35$$

- **Formula Based on Law of Conditional Expectations**

$$E[X] = \sum_{y \in S} E[X|Y = y]P(Y = y)$$

x	P(X=x)
0	0.2
1	0.4
2	0.3
3	0.05
4	0.05





# Baseball Decision-Making

- Expected Value

- Example:

- $X$  = Number of Balls in a Plate Appearance
    - $Y$  = First Pitch is a Strike (Yes = 1 & No = 0)
    - Average of 0.99 Balls When First Pitch is a Strike
    - Average of 1.83 Balls When First Pitch is a Ball

$$E[X] = 1.83 \times 0.43 + 0.99 \times 0.57 = 1.35$$

$y$	$E[X Y=y]$	$P(Y=y)$
0	1.83	0.43
1	0.99	0.57



# Baseball Decision-Making

- Should We Bunt with Man on First and No Outs?
  - Expect 0.93 Runs Under Current State = 0100
  - List of Possible Resulting States With Probabilities

Possible Results of a Bunt with Runner on First			
Result	Resulting State	Probability	Expected Runs*
Batter is safe and runner advances to second base	0110	.10	1.49
Runner advances to second base and batter is safe	1010	.70	.71
Both runners are out	2000	.02	.11
Runner is out at second base and batter reaches first base	1100	.08	.55
Batter is out and runner remains on first base	1100	.10	.55

Based on Previous Table

Based on Known Relative Frequencies





# Baseball Decision-Making



- Should We Bunt with Man on First and No Outs?
  - Expected Number of Runs Scored After Bunt ( $X$ )
$$E[X] = 0.1 \times 1.49 + 0.7 \times 0.71 + 0.02 \times 0.11 + 0.08 \times 0.55 + 0.1 \times 0.55 = 0.75$$
  - Comparing Expected Runs Without Bunt Versus After Bunt
    - Under Current State = 0.93 Runs
    - After Bunt = 0.75 Runs (Clearly Worse)
  - All of This is Based on the Average Hitter

- What if I am Batting? Should I Bunt?

- Strike Out 85% of the Time
- Single 10% of the Time
- Walk 5% of the Time
- Suppose Stupid Manager Lets Swing for the Fence

$$E[X] = 0.85 \times E[X|1100] + 0.1 \times E[X|0101] + 0.05 \times E[X|0110] = 0.73$$

└ Assume Runner Gets to Third



# Baseball Decision-Making



- Should We Steal if Man on First and No Outs?

- Suppose I am on First Base...No
- Suppose Usain Bolt is on First Base...Yes
- Short Answer: Depends on How Fast the Runner Is?
- Let  $p$  = Probability of a Successful Steal
- Expect 0.93 Runs Under Current State = 0100
- Success: State = 0010 with 1.17 Expected Runs
- Failure: State = 1000 with 0.29 Expected Runs
- Based on Law of Conditional Expectations for Expected Runs After Steal

$$E[X] = p \times 1.17 + (1 - p) \times 0.29$$

- When do We Want to Steal?

$$p \times 1.17 + (1 - p) \times 0.29 > 0.93$$

$$1.17p + 0.29 - 0.29p > 0.93 \longrightarrow p > \frac{0.93 - 0.29}{0.88} = 72.7\%$$

$$0.88p + 0.29 > 0.93$$





# Baseball Decision-Making

- Should We Steal if Man on First and No Outs?
  - Historically, 70% Chance of Success on Steals
  - Implies Bad Idea Based on Average Rate
  - Suppose Super Mario is on 1<sup>st</sup> Base with 95% Chance of Stealing

$$E[X] = 0.95 \times 1.17 + (1 - 0.95) \times 0.29 = 1.126$$

- Marginal Increase:  
 $1.126 - 0.93 = +0.196 \text{ Runs}$
- Solution: Chill Out Super Mario

- Conservative Versus Liberal Base Running
  - Expected 0.93 Runs in State = 0100
  - Single Gets Hit and Runner Is Faced With Two Choices
    - Scenario 1: Attempt to Get to 3<sup>rd</sup> Base
    - Scenario 2: Stop at 2<sup>nd</sup> Base





# Baseball Decision-Making

- **Conservative Versus Liberal Base Running**

- Under Scenario 1: Expect 1.86 Runs in State = 0101
- Under Scenario 2: Expect 1.49 Runs in State = 0110
- If Runner is Out: Expect 0.55 Runs in State = 1100
- Let  $p$  = Probability Base Runner Gets to 3<sup>rd</sup> Base
- If  $p = 0.72$ , then...

$$p \times 1.86 + (1 - p) \times 0.55 = 1.49$$

- Interpretation: If Base Runner has a 72% Chance of Getting to 3<sup>rd</sup> Base, the Expected Number of Runs Under the Attempt "Breaks Even" with the Expected Number of Runs of Being a Coward
- Historically, 97% of the Time Base Runner Succeeds
- Only Thing That's on My Mind, is Who's Gonna Run This Town Tonight





# Baseball Decision-Making

- Conservative Versus Liberal Base Running

Breakeven Probability Needed to Justify Trying for the Extra Base

Runner on	Number of Outs	Breakeven Probability of Success Needed on a Single
First	0	.72
First	1	.73
First	2	.85
Second	0	.95
Second	1	.76
Second	2	.43







# Final Inspiration

If you are scared of a new situation, then lean in; you may just get hit by a pitch.

-Mahatma Mario