

## Insertion Sort and Merge Sort

- Why Sorting?
- Insert Sort
- Merge sort (divide & conquer)
- Recurrence Solving

### □ Why Sorting?

- obvious: phone book
- Problems become easy once sorted

e.g. Find a median

$$A[0:n] \rightarrow B[0:n] \quad \text{median} = B[n/2]$$

Binary Search: look for specific item  $k$

$$A[0:n] \rightarrow B[0:n], \text{ compare } k \text{ to } B[n/2]$$

- Not so obvious application

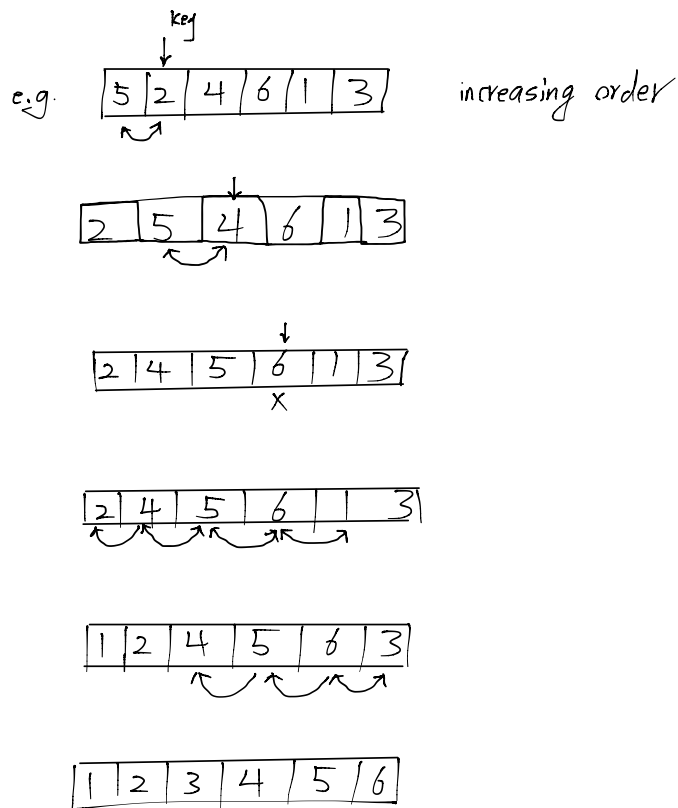
data compression

Computer Graphics: front to back rendering

## Insertion Sort

For  $i = 1, 2, \dots, n$ :

insert  $A[i]$  into sorted array  $A[0:i-1]$  by pairwise swaps down to the correct position

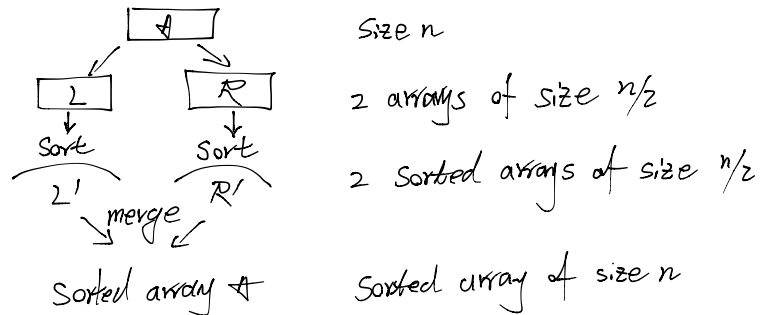


{  $\Theta(n)$  steps (key positions)  
 each step is  $\Theta(n)$  swaps / compares  
 $\Rightarrow \Theta(n^2)$  Algorithm if comparison is as expensive as swaps

If comparison much more expensive than swaps, do a binary search on  $A[0:i-1]$  already sorted, then  $\Theta(n \lg n)$  is the cost.

Binary search make over all insertion algorithm cost  $\Theta(n \lg n)$  if doing compares, but still  $\Theta(n^2)$  if doing swaps (have to insert, takes time)

## □ Merge Sort (Divide & Conquer)



Merge: Two Sorted arrays as input.

e.g.  $(L')$   $(R')$

20
13
7
2

12
11
9
1

two finger algo:

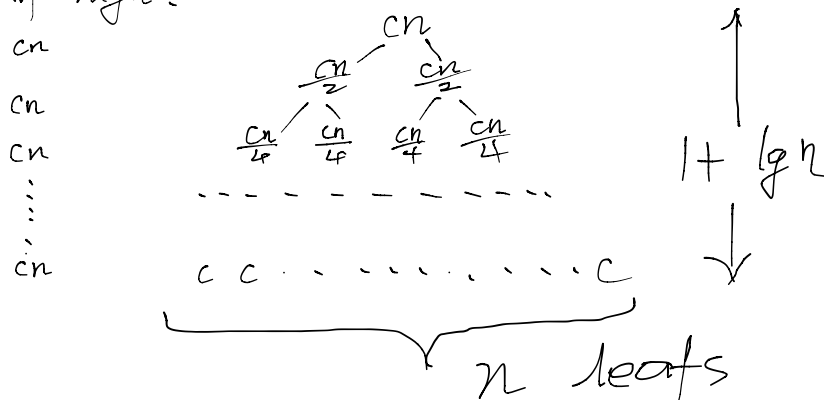
1 2 7 9 11 12 13 20

$\Theta(n)$  complexity

Complexity of merge sort:

$$T(n) = \underbrace{c_1}_{\text{divide}} + \underbrace{2T(n/2)}_{\text{recursion}} + \underbrace{c \cdot n}_{\text{merge}}$$

Proof of  $n \lg n$ :



$$T(n) = (1 + \lg n) \cdot Cn = \Theta(n \lg n)$$

## □ Compare Insertion and Merge Sort

	Insertion Sort	Merge Sort
Cost	$\Theta(n^2)$	$\Theta(n \lg n)$
auxiliary memory	$\Theta(1)$ (in-place sort)	$\Theta(n)$ (copy elements)

\* Merge sort in Python =  $2.2 n \lg n$  (us)

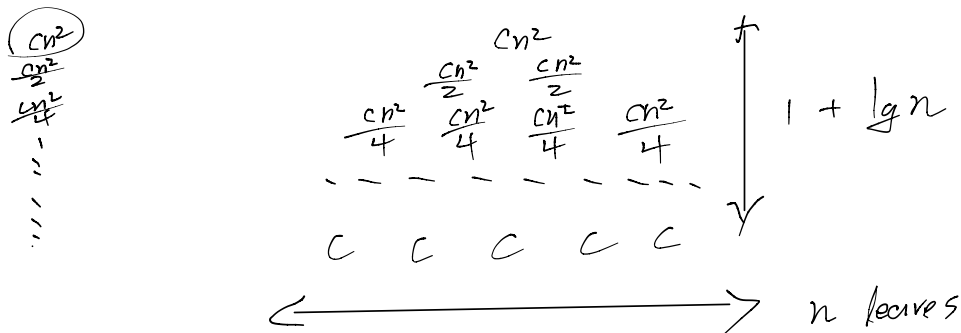
Insertion sort in python =  $0.2 n^2$  us

Insertion sort in C =  $0.01 n^2$  us

## □ Recurrence Solving

recursion tree

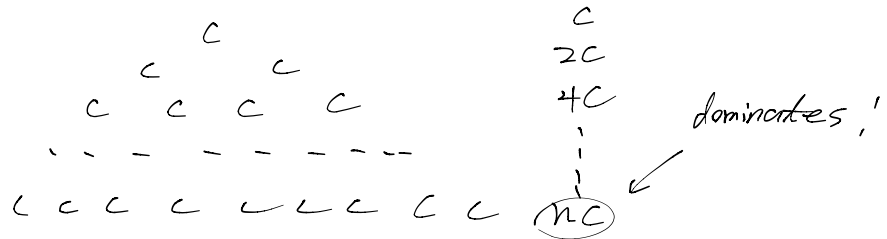
e.g.  $T(n) = 2T(n/2) + Cn^2$



\* All of the work done at the root!! (dominant)

$\Theta(n^2)$  algorithm

e.g.  $T(n) = 2T(\frac{n}{2}) + \Theta(1)$



\* all of the work done by the leaves