

# 函数式编程原理

## Lecture 5

# split函数的特点

- If  $\text{length}(L) > 1$  and  $\text{split}(L) = (A, B)$ , then  $A$  and  $B$  have *smaller* length than  $L$ .
- This follows from the spec, using some fairly obvious facts:

$A @ B$  is a perm of  $L$ , so  
 $\text{length}(A) + \text{length}(B) = \text{length}(L)$

$\text{length}(A)$  &  $\text{length}(B)$  differ by 0 or 1

if  $n > 1$  and  $n$  odd,  $(n \text{ div } 2) + 1 < n$   
if  $n > 1$  and  $n$  even,  $n \text{ div } 2 < n$

# 用归纳法证明Merge函数的正确性

For all  $\leftarrow$ -sorted lists  $A$  and  $B$ ,  
 $\text{merge}(A, B) = \text{a } \leftarrow\text{-sorted permutation of } A @ B.$

- **Method:** *strong* induction on  $\text{length}(A) * \text{length}(B)$ .
- **Base cases:**  $(A, [])$  and  $([], B)$ .
  - (i) Show: if  $A$  is  $\leftarrow$ -sorted,  $\text{merge}(A, []) = \text{a } \leftarrow\text{-sorted perm of } A @ []$ .
  - (ii) Show: if  $B$  is  $\leftarrow$ -sorted,  $\text{merge}([], B) = \text{a } \leftarrow\text{-sorted perm of } [] @ B$ .
- **Inductive case:**  $(x::A, y::B)$ .

Induction Hypothesis: for all *smaller*  $(A', B')$ , if  $A'$  &  $B'$  are  $\leftarrow$ -sorted,  $\text{merge}(A', B') = \text{a } \leftarrow\text{-sorted perm of } A' @ B'$ .

Show: if  $x::A$  and  $y::B$  are  $\leftarrow$ -sorted,  
 $\text{merge}(x::A, y::B) = \text{a } \leftarrow\text{-sorted perm of } (x::A) @ (y::B)$ .

# Merge函数的特点

```
fun merge (A, [ ]) = A
| merge ([ ], B) = B
| merge (x::A, y::B) = case compare(x, y) of
    LESS => x :: merge(A, y::B)
    | EQUAL => x::y::merge(A, B)
    | GREATER => y :: merge(x::A, B)
```

Does clause order matter? **NO**

Patterns are { Exhaustive  
Overlap of first two clauses is harmless  
Each yields `merge([ ], [ ]) = [ ]`

Could use *nested if-then-else* instead of **case**.

But we need a 3-way branch, so **case** is *better style*.

# 开始使用帮助(helper)函数

- We defined *split* and *merge*
- We *proved* they meet their specs
- Now let's use them to implement the **mergesort** algorithm...

# 归并排序—— mergesort

msort : int list -> int list

(\* REQUIRES true \*)

(\* ENSURES msort(L) = a <-sorted perm of L \*)

**fun** msort [ ] = [ ]

| msort [x] = [x]

| msort L = **let**

**val** (A, B) = split L

**val** A' = msort A

**val** B' = msort B

**in** merge (A', B')

**end**

*an  
alternative  
version*

# msort的正确性验证

For all  $L$ :int list,  
 $\text{msort}(L) = \text{a } \leftarrow\text{-sorted permutation of } L.$

- **Method:** by strong induction on *length* of  $L$
- **Base cases:**  $L = []$ ,  $L = [x]$ 
  - (i) Show  $\text{msort } [] = \text{a sorted perm of } []$
  - (ii) Show  $\text{msort } [x] = \text{a sorted perm of } [x]$
- **Inductive case:**  $\text{length}(L) > 1$ .  
Inductive hypothesis: for all *shorter* lists  $R$ ,  
 $\text{msort } R = \text{a sorted perm of } R$ .  
Show  $\text{msort } L = \text{a sorted perm of } L$ .

# 插入排序程序性能分析

```
fun ins (x, [ ]) = [x]
  | ins (x, y::L) = case compare(x, y) of
    GREATER => y::ins(x, L)
    | _      => x::y::L
```

- $W_{\text{ins}}(n)$ : the work for `ins(x, L)`  
(length  $L = n$ )

- $W_{\text{ins}}(n)$  is  $O(n)$

```
fun isort [ ] = [ ]
  | isort (x::L) = ins (x, isort L)
```

- $W_{\text{isort}}(n)$ : the work for `isort(L)`  
(length  $L = n$ )

- $W_{\text{isort}}(n)$  is  $O(n^2)$



# 归并排序程序性能分析

```
fun split [ ] = ([ ], [ ])
  | split [x] = ([x], [ ])
  | split (x::y::L) =
    let val (A, B) = split L
in (x::A, y::B)
end
```

- $W_{\text{split}}(n)$ : work of `split(L)`  
(length(L)=n)

- $W_{\text{split}}(n)$  is  $O(n)$

```
merge (A, [ ]) = A
  | merge ([ ], B) = B
  | merge (x::A, y::B) = case compare(x, y) of
    LESS => x :: merge(A, y::B)
    | EQUAL => x::y::merge(A, B)
    | GREATER => y :: merge(x::A, B)
```

- $W_{\text{merge}}(n)$ : work of `merge(A,B)`  
(length(A)+length(B)=n)

- $W_{\text{merge}}(n)$  is  $O(n)$

# 归并排序程序性能分析

```
fun msort [ ] = [ ]  
  | msort [x] = [x]  
  | msort L = let  
    val (A, B) = split L  
  in  
    merge (msort A, msort B)  
  end
```

- $W_{\text{msort}}(n)$ : work of `msort(L)`  
(length(L)=n)
- $W_{\text{msort}}(n)$  is  $O(n \log n)$

- 有没有新的数据类型能并发执行?
  - Tree结构
  - 用树结构进行排序

**msort(L)性能优于isort(L)，还能继续提升性能吗？**

- 从程序算法上考虑： .....
- 从数据结构上考虑： List结构为线性（顺序）结构（在[ ]基础上利用 '::' 进行线性扩展），因此很难提升性能（无法并发/并行）