

RnD Project Final Report

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In this RnD project, we study orbits and orbit closures of complex algebraic groups acting rationally on a finite dimensional vector space. To that end, we study representations of Lie algebras, and use Lie group - Lie algebra correspondence. At the end, we prove the celebrated Hilbert-Mumford theorem about stability of orbits.

All vector spaces, Lie algebras and varieties are assumed to be over \mathbb{C} .

1 Solvable Lie Algebras

Definition 1.1. Let V be a vector space of dimension n . Then $\mathfrak{gl}(V)$ is the Lie algebra on vector space of endomorphisms of V with Lie bracket $[x, y] = xy - yx$.

Definition 1.2. Let \mathfrak{g} be a Lie algebra. Then for any $x \in \mathfrak{g}$, define $\text{ad}_{\mathfrak{g}}(x)$ as the linear map $\text{ad}_{\mathfrak{g}}(x)(y) = [x, y]$.

Note that $\text{ad}_{\mathfrak{g}}$ is a representation of \mathfrak{g} . We just use ad when the Lie algebra is evident.

Recall the Jordan decomposition of a matrix:

Theorem 1.3 (Jordan-Chevalley Decomposition). Let V be a finite dimensional vector space, and let $x \in \mathfrak{gl}(V)$.

- (a) There exist $x_s, x_n \in \mathfrak{gl}(V)$ such that x_s is diagonalizable, x_n is nilpotent, and $x = x_s + x_n$. Further, this decomposition is unique.
- (b) There exist polynomials $P, Q \in \mathbb{C}[t]$ without constant terms such that $P(x) = x_s$ and $P(x) = x_s^*$. (Here x_s^* is the conjugate transpose of x_s .)
- (c) The linear map $\text{ad}(x)$ has Jordan decomposition $\text{ad}(x)_s = \text{ad}(x_s)$ and $\text{ad}(x)_n = \text{ad}(x_n)$.

Proof. Parts (a) and (b) follow from the Jordan Canonical Form for matrices. Now note that the endomorphisms $y \rightarrow x_n y$ and $y \rightarrow y x_n$ are nilpotent, and they commute, so by binomial theorem, by taking a sufficient large power, we get that their difference $\text{ad}(x_n)$ is also nilpotent. We now prove that $\text{ad}(x_s)$ is diagonalizable. To that end, let $\{v_1, \dots, v_m\}$ be an eigenbasis of V w.r.t. x_s . Let $e_{i,j}$ be the endomorphism sending v_i to v_j , and everything else to zero. Clearly $e_{i,j}$ is a basis of $\mathfrak{gl}(V)$, and it is easy to see that it must be an eigenbasis w.r.t. $\text{ad}(x_s)$, which proves that it is diagonalizable. Hence Part (c) is proved due to uniqueness of Jordan decomposition. \square

Definition 1.4. Let \mathfrak{g} be a Lie algebra. Define a decreasing sequence of ideals $C_i(\mathfrak{g})$, called the central series of \mathfrak{g} by: $C_0(\mathfrak{g}) = \mathfrak{g}$ and $C_{i+1}(\mathfrak{g}) = [\mathfrak{g}, C_i(\mathfrak{g})]$ for all $i \geq 0$. Then, \mathfrak{g} is called nilpotent if $C_n(\mathfrak{g}) = 0$ for some n .

We state (without proof) a special case of Engel's theorem, which we will use later.

Theorem 1.5 (Engel's Theorem for Matrix Algebras). Let V be a finite dimensional vector space and \mathfrak{g} be a Lie subalgebra of $\mathfrak{gl}(V)$. Suppose every element of \mathfrak{g} is nilpotent, then \mathfrak{g} is a nilpotent Lie algebra.

1.1 Cartan's Criterion

Definition 1.6. Let \mathfrak{g} be a Lie algebra. Define a decreasing sequence of ideals $D_i(\mathfrak{g})$, called the derived series of \mathfrak{g} by: $D_0(\mathfrak{g}) = \mathfrak{g}$ and $D_{i+1}(\mathfrak{g}) = [D_i(\mathfrak{g}), D_i(\mathfrak{g})]$ for all $i \geq 0$. Then, \mathfrak{g} is called solvable if $D_n(\mathfrak{g}) = 0$ for some n .

The following is the most crucial result in proving solvability of some Lie algebra:

Theorem 1.7 (Cartan's Criterion for Solvability). Let V be a finite dimensional vector space and \mathfrak{g} be a Lie subalgebra of $\mathfrak{gl}(V)$. Suppose for all $x \in [\mathfrak{g}, \mathfrak{g}]$ and $y \in \mathfrak{g}$, $\text{Tr}(xy) = 0$. Then \mathfrak{g} is solvable.

Proof. Let $x \in [\mathfrak{g}, \mathfrak{g}]$ be arbitrary. Let $x = x_s + x_n$ be the Jordan decomposition of x , where x_s is diagonalizable and x_n is nilpotent. Let $\lambda_1, \dots, \lambda_n$ be the eigenvalues of x , which are also the eigenvalues of x_s . Write everything as matrices w.r.t. the basis in which x has the Jordan Canonical Form. Then x_s is a diagonal matrix, so xx_s^* is upper triangular with $|\lambda_i|^2$ on the diagonal.

Write $x = \sum_{i=1}^m [y_i, z_i]$ for $y_i, z_i \in \mathfrak{g}$. Thus

$$\sum_{i=1}^n |\lambda_i|^2 = \text{Tr}(xx_s^*) = \sum_{i=1}^m \text{Tr}([y_i, z_i]x_s^*).$$

We rewrite each term in the RHS:

$$\text{Tr}([y_i, z_i]x_s^*) = \text{Tr}(z_i[x_s^*, y_i]) = \text{Tr}(z_i \text{ad}(x_s^*)(y_i)).$$

The first equality holds because $[y_i, z_i]x_s^* - z_i[x_s^*, y_i] = [y_i, z_i x_s^*]$, which has trace 0. Now, by the theorem about Jordan decomposition, there exists a polynomial $Q \in \mathbb{C}[t]$ without a constant term such that

$$\text{ad}(x_s^*) = \text{ad}(x_s)^* = Q(\text{ad}(x)).$$

Write $Q(t) = \sum_{j=1}^k c_j t^j$. Then $\text{ad}(x_s^*)(y_i) = \sum_{j=1}^k c_j \text{ad}(x)^j y_i$. Further, $\text{ad}(x)^j y_i \in [\mathfrak{g}, \mathfrak{g}]$ because $j \geq 1$. Hence $\text{ad}(x_s^*)(y_i) \in [\mathfrak{g}, \mathfrak{g}]$, so by the given condition

$$\text{Tr}(z_i \text{ad}(x_s^*)(y_i)) = \text{Tr}(\text{ad}(x_s^*)(y_i) z_i) = 0.$$

This proves

$$\sum_{i=1}^n |\lambda_i|^2 = 0,$$

so all eigenvalues of x are 0, which implies that x is nilpotent.

Therefore, all elements of $[\mathfrak{g}, \mathfrak{g}]$ are nilpotent, so by Engel's theorem, $[\mathfrak{g}, \mathfrak{g}]$ is nilpotent; in particular it is solvable. Hence \mathfrak{g} is solvable, as required. \square

2 Semisimple Lie Algebras

Definition 2.1. A Lie algebra \mathfrak{g} is called simple if it is non-abelian and has no proper non-zero ideals.

Definition 2.2. A Lie algebra \mathfrak{g} is called semisimple if it has no non-zero abelian ideal.

It is easy to see that sum of any two solvable ideals is also solvable, so the following definition makes sense.

Definition 2.3. For a Lie algebra \mathfrak{g} , the radical $\text{Rad}(\mathfrak{g})$ is defined to be its greatest solvable ideal.

Lemma 2.4. A non-zero Lie algebra \mathfrak{g} is semisimple iff $\text{Rad}(\mathfrak{g}) = 0$.

Proof. Clearly, any abelian ideal of \mathfrak{g} is contained in $\text{Rad}(\mathfrak{g})$, so the condition is necessary. Conversely, if $\text{Rad}(\mathfrak{g})$ is non-zero, then the last non-zero ideal in its derived series is the required abelian ideal, making \mathfrak{g} not semisimple. \square

2.1 Killing Form

Definition 2.5. Let \mathfrak{g} be a Lie algebra, and let $\beta : \mathfrak{g} \times \mathfrak{g} \rightarrow \mathbb{C}$ be a bilinear form. Then β is called invariant if $\beta(x, [y, z]) = \beta([x, y], z)$ for all $x, y, z \in \mathfrak{g}$.

Note that $\text{Tr}(xy)$ is an invariant bilinear form on $\mathfrak{gl}(V)$. Indeed, trace of $[x, y]$ is always zero, so trace of $[x, y]z - x[y, z] = [y, xz]$ is zero.

Lemma 2.6. Let \mathfrak{g} be a finite dimensional semisimple Lie algebra, and let (V, f) be a faithful finite dimensional representation of \mathfrak{g} . Then the map $\beta_f(x, y) = \text{Tr}(f(x)f(y))$ is an invariant, non-degenerate and symmetric bilinear form on \mathfrak{g} .

Proof. β_f is clearly bilinear and symmetric. Further, since Tr is invariant, so is β_f . So now we prove non-degeneracy. Consider the kernel $\ker(\beta_f)$, i.e., set of all x such that $\beta_f(x, y) = 0$ for all $y \in \mathfrak{g}$. Since β_f is invariant, the kernel is a Lie ideal of \mathfrak{g} . Since f is faithful, $f(\ker(\beta_f))$ is a Lie subalgebra of $\mathfrak{gl}(V)$ isomorphic to $\ker(\beta_f)$. Now apply Cartan's criterion, whose condition trivially holds for $f(\ker(\beta_f))$, so it is solvable, and hence so is $\ker(\beta_f)$. But since \mathfrak{g} is semisimple, it has no non-zero solvable ideals, so $\ker(\beta_f) = 0$, which implies β_f is non-degenerate. \square

We have an example of a faithful representation: namely, $\text{ad}_{\mathfrak{g}}$ on $\mathfrak{g}/\ker(\text{ad}_{\mathfrak{g}})$. This motivates the following definition:

Definition 2.7 (Killing Form). The Killing form on \mathfrak{g} is the bilinear form $\kappa_{\mathfrak{g}}(x, y) = \text{Tr}(\text{ad}_{\mathfrak{g}}(x)\text{ad}_{\mathfrak{g}}(y))$.

Clearly $\kappa_{\mathfrak{g}}$ is symmetric and invariant. Cartan's criterion implies that \mathfrak{g} is solvable if $[\mathfrak{g}, \mathfrak{g}] \subset \ker(\kappa_{\mathfrak{g}})$ (as long as finite dimensional). The Killing form allows us to get a nice criterion for semisimple algebras:

Theorem 2.8 (Cartan-Killing Criterion). Let \mathfrak{g} be a finite dimensional Lie algebra. Then \mathfrak{g} is semisimple iff $\kappa_{\mathfrak{g}}$ is non-degenerate.

Proof. We first show that $\ker(\kappa_{\mathfrak{g}}) \subset \text{Rad}(\mathfrak{g})$ for any Lie algebra. Indeed, if $\mathfrak{i} = \ker(\kappa_{\mathfrak{g}})$, then \mathfrak{i} is a Lie ideal of \mathfrak{g} . The Killing form $\kappa_{\mathfrak{g}}$ restricted to $\mathfrak{i} \times \mathfrak{i}$ is the Killing form on \mathfrak{i} ; this follows because image of $\text{ad}_{\mathfrak{g}}(x)$ for $x \in \mathfrak{i}$ is in \mathfrak{i} . Thus the Killing form $\kappa_{\mathfrak{i}}$ is zero, which implies \mathfrak{i} is solvable. Hence $\mathfrak{i} \subset \text{Rad}(\mathfrak{g})$, as required. This proves that \mathfrak{g} semisimple $\implies \kappa_{\mathfrak{g}}$ is non-degenerate.

For the other direction, we first prove that $\ker(\text{ad}_{\mathfrak{g}}) = 0$. Indeed, $\ker(\text{ad}_{\mathfrak{g}})$ is an abelian ideal of \mathfrak{g} , so it is zero since \mathfrak{g} is semisimple. So $\text{ad}_{\mathfrak{g}}$ is a faithful representation of \mathfrak{g} , so by Lemma 2.6, $\kappa_{\mathfrak{g}}$ is non-degenerate. \square

2.2 Structure of Semisimple Lie Algebras

Definition 2.9. Let \mathfrak{g} be a semisimple Lie algebra. For any Lie ideal \mathfrak{i} , define \mathfrak{i}^{\perp} to be the ideal of all elements y such that $\kappa_{\mathfrak{g}}(x, y) = 0$ for all $x \in \mathfrak{i}$.

Lemma 2.10. Let \mathfrak{g} be a finite dimensional semisimple Lie algebra. Then for any ideal \mathfrak{i} , both \mathfrak{i} and \mathfrak{i}^{\perp} are semisimple as Lie algebras, and $\mathfrak{i} \oplus \mathfrak{i}^{\perp} = \mathfrak{g}$.

Proof. Since $\kappa_{\mathfrak{g}}$ is non-degenerate, $\mathfrak{g} = \mathfrak{i} \oplus \mathfrak{i}^\perp$ holds. In particular, $[\mathfrak{i}, \mathfrak{i}^\perp] \subset \mathfrak{i} \cap \mathfrak{i}^\perp = 0$, which implies $[\mathfrak{i}, \mathfrak{g}] = [\mathfrak{i}, \mathfrak{i}]$. Thus any ideal of \mathfrak{i} is an ideal of \mathfrak{g} , which proves that the former is also semisimple. \square

Note that the above lemma also proves that every quotient of \mathfrak{g} is semisimple: Indeed, $\mathfrak{g}/\mathfrak{i} \cong \mathfrak{i}^\perp$.

Theorem 2.11. *Let \mathfrak{g} be a non-zero semisimple Lie algebra. Then*

(a) *There exists $t \in \mathbb{N}$ and ideals $\mathfrak{j}_1, \dots, \mathfrak{j}_t \in \mathfrak{g}$ that are simple as Lie algebras, such that*

$$\mathfrak{g} = \bigoplus_{i=1}^t \mathfrak{j}_i$$

(b) *If \mathfrak{j} is any ideal of \mathfrak{g} that is a simple Lie algebra, then $\mathfrak{j} = \mathfrak{j}_i$ for some i .*

Proof. For Part (a), just choose a simple ideal of \mathfrak{g} (exists because any minimal non-abelian ideal would be simple). Then just use Lemma 2.10 repeatedly to get the required decomposition. This can be done since \mathfrak{g} is finite dimensional.

Now, let \mathfrak{j} be any ideal of \mathfrak{g} that is simple as a Lie algebra. Then $[\mathfrak{j}, \mathfrak{g}]$ is an ideal of \mathfrak{j} , and it is non-zero because $\ker(\text{ad}_{\mathfrak{g}}) = 0$. Hence

$$\mathfrak{j} = [\mathfrak{j}, \mathfrak{g}] = \bigoplus_{i=1}^t [\mathfrak{j}, \mathfrak{j}_i].$$

So by simplicity of \mathfrak{j} and \mathfrak{j} , $\mathfrak{j} = [\mathfrak{j}, \mathfrak{j}_i]$ for some i . \square

Corollary 2.12. *If \mathfrak{g} is semisimple Lie algebra, then $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$.*

Proof. By the proof of Part (b) of Theorem 2.11, $[\mathfrak{j}_i, \mathfrak{j}_i] = \mathfrak{j}_i$, and $[\mathfrak{j}_i, \mathfrak{j}_k] = 0$ for all $i \neq k$. Hence

$$[\mathfrak{g}, \mathfrak{g}] = \bigoplus_{1 \leq i, k \leq t} [\mathfrak{j}_i, \mathfrak{j}_k] = \mathfrak{g} = \bigoplus_{i=1}^t \mathfrak{j}_i = \mathfrak{g}.$$

\square

3 Complete reducibility of Finite Dimensional Representations

3.1 The Casimir Element

Lemma 3.1. *Let \mathfrak{g} be a finite dimensional semisimple Lie algebra, β be an invariant, non-degenerate and symmetric bilinear form on \mathfrak{g} , and let (V, f) be a representation of \mathfrak{g} . Let (x_1, \dots, x_n) be a basis of \mathfrak{g} and let (y_1, \dots, y_n) be its dual basis wrt β . Then, the element*

$$c = \sum_{i=1}^n f(x_i)f(y_i)$$

is a \mathfrak{g} -invariant endomorphism of (V, f) .

Proof. Let $z \in \mathfrak{g}$ be arbitrary. Suppose

$$[z, x_i] = \sum_{j=1}^n a_{i,j}x_j \text{ and } [z, y_i] = \sum_{j=1}^n b_{i,j}y_j$$

for all $1 \leq i \leq n$. By invariance of β ,

$$a_{i,j} = \beta([z, x_i], y_j) = -\beta([x_i, z], y_j) = -\beta(x_i, [z, y_j]) = -b_{j,i}.$$

Hence,

$$\begin{aligned} f(z)c - cf(z) &= [f(z), c] \\ &= \sum_{i=1}^n [f(z), f(x_i)f(y_i)] \\ &= \sum_{i=1}^n [f(z), f(x_i)]f(y_i) + \sum_{i=1}^n f(x_i)[f(z), f(y_i)] \\ &= \sum_{1 \leq i, j \leq n} a_{i,j}f(x_j)f(y_i) + \sum_{1 \leq i, j \leq n} b_{i,j}f(x_i)f(y_j) \\ &= \sum_{1 \leq i, j \leq n} (a_{i,j} + b_{j,i})f(x_j)f(y_i) \\ &= 0. \end{aligned}$$

This proves c is \mathfrak{g} -invariant, as required. \square

Such a c is called the Casimir element. Observe that $\text{Tr}(c) = \dim(\mathfrak{g}) \neq 0$. If (V, f) is a faithful and finite dimensional representation of \mathfrak{g} , we have a natural invariant non-degenerate symmetric bilinear form $\beta_f(x, y) = \text{Tr}(f(x)f(y))$, as proved in Lemma 2.6. Thus when we mention ‘‘Casimir element of a representation’’, this is the bilinear form we are using.

3.2 Weyl’s Theorem

Lemma 3.2. *Let \mathfrak{g} be a finite dimensional semisimple Lie algebra, and let (V, f) be a finite dimensional representation of \mathfrak{g} . If W is a codimension 1 subrepresentation of (V, f) , then there exists a subrepresentation X of (V, f) such that $V = W \oplus X$.*

Proof. We proceed by induction on $\dim(V)$. If $\dim(V) = 1$, the claim is trivial. So now assume $\dim(V) > 1$, and let W be a subrepresentation of V with codimension 1. Note that, by going modulo the kernel of f , we may assume (V, f) is a faithful representation.

First assume W is simple. Consider the Casimir element c of V ; it is a \mathfrak{g} -invariant endomorphism of V . Since $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$, \mathfrak{g} acts trivially on one-dimensional representations; in particular on V/W . Therefore $f(V) \subset W$, and so $c(V) \subset W$. Further, by Schur’s lemma, c is a scalar on W , say multiplication by $\lambda \in \mathbb{C}$. Since $\text{Tr}(c) \neq 0$, $\lambda \neq 0$, and so $c(V) = W$ and c is an isomorphism on W . Therefore $\ker(c)$ is a one-dimensional subrepresentation of V that intersects W only at 0, and so is a direct summand of W .

Now assume W is not simple. Consider a proper non-zero representation W' of W . Let $(V/W', g)$ be the quotient representation, and let $\pi : V \rightarrow V/W'$ be the projection map. Then $\pi(W)$ has codimension 1 in V/W' , and $\dim(V/W') < \dim(V)$. So by induction hypothesis, there exists a subrepresentation W_1 of V such that $W' \subset W_1$ and $V/W' = \pi(W) \oplus \pi(W_1)$. $\pi(W_1)$ has dimension 1, so $\dim(W_1) = \dim(W') + 1 < \dim(V)$. Hence applying the induction hypothesis to W_1 , we get a subrepresentation W_2 of V having dimension 1 such that $W_1 = W' \oplus W_2$. Note that $\dim(W) + \dim(W_2) = \dim(V)$. Further, W and W_2 are disjoint, because $W \cap W_1 = W'$ but W_2 is disjoint from W' . Thus $V = W \oplus W_2$, as required. \square

Theorem 3.3 (Weyl's Theorem). *Let \mathfrak{g} be a finite dimensional semisimple Lie algebra. Then any finite dimensional representation of \mathfrak{g} is completely reducible. More strongly, any subrepresentation is a direct summand.*

Proof. Let (V, ρ) be a finite dimensional representation. If it is simple, we are done. Else, let W be a proper non-zero subrepresentation of V . Consider $\text{Hom}(V, W)$ as a representation of \mathfrak{g} , via the map μ given by

$$(\mu(x)(f))(w) = (\rho(x) \circ f)(w) - (f \circ \rho(x))(w).$$

Let \mathcal{V} and \mathcal{W} be subspaces of $\text{Hom}(V, W)$ consisting of maps that are scalar and 0 respectively on W . Then for any $f \in \mathcal{V}, x \in \mathfrak{g}$ and $w \in W$, by definition $(\mu(x)(f))(w) = 0$. Therefore \mathcal{V}, \mathcal{W} are subrepresentations of $\text{Hom}(V, W)$, and \mathfrak{g} sends \mathcal{V} to \mathcal{W} . Also from definition, \mathcal{W} is a codimension 1 subspace of \mathcal{V} .

Now, applying the above lemma, there exists a one dimensional representation of \mathcal{V} that is a direct summand of \mathcal{W} . Say the representation is generated by f ; WLOG $f|_W = \text{Id}_W$. Then since $\mathfrak{g} = [\mathfrak{g}, \mathfrak{g}]$, \mathfrak{g} acts trivially on $\mathbb{C}f$. Therefore $\ker(f)$ is a subrepresentation of V , and since f is identity on W , $\ker(f) \cap W = (0)$. Therefore by a dimension argument, $\ker(f)$ is a direct summand of W . \square

4 Linear Algebraic Groups

In this section, the topology that we will be using is the Zariski topology.

Definition 4.1 (Linear Algebraic Group). *A subgroup $G \subset GL_n(\mathbb{C})$ is called a linear algebraic group if G is an affine variety as viewed as a subset of \mathbb{C}^{n^2} .*

It is not hard to prove that e , i.e., the identity is a simple point (in the Zariski topology) for a linear algebraic group G . Thus we can talk about the tangent space at identity, which can be looked at as the space of derivations at e . This has a Lie algebra structure, and this will be the Lie algebra associated with G . We will generally denote groups with capital letters and their corresponding Lie algebras with mathfrak letters. The topology that we use is the standard Zariski topology.

We use the notation $\bigwedge^d V$ to denote the d^{th} exterior power of a vector space V . Since exterior products are quotients of tensor products, there is a natural extension of action (of Lie group or algebra) on V to action on exterior power.

Lemma 4.2 (Action on Exterior Powers). *Let W be a vector space, and let M be a d -dimensional subspace of W . Let $L = \bigwedge^d M$ and $V = \bigwedge^d W$. Then for any $X \in GL(W)$ and $x \in \mathfrak{gl}(W)$,*

$$(a) X \cdot L = L \text{ iff } X \cdot M = M.$$

$$(b) x \cdot L \subset L \text{ iff } x \cdot M \subset M.$$

Proof. In each case, the “if” part is obvious. For Part (a), choose a basis $\{w_1, \dots, w_n\}$ of W such that first d vectors form a basis for M , and such that $X \cdot M$ is spanned by w_{l+1}, \dots, w_{l+d} for some $l \geq 0$. Since L is the one-dimensional vector space spanned by $w_1 \wedge \dots \wedge w_d$, X sends this vector to some non-zero multiple of itself. However, clearly this image must lie in the vector space spanned by $w_{l+1} \wedge \dots \wedge w_{l+d}$, which implies $l = 0$.

For Part (b), choose a basis of M such that x maps the first part into a basis of $x \cdot M$, and sends all other basis vectors outside M . Choose $y \in \mathfrak{gl}(W)$ which agrees with x on the first part, while send the other basis vectors to 0. Clearly y leaves M stable, so we can replace x by $x - y$, and assume M intersects $x \cdot M$ trivially. Now, suppose w_1, \dots, w_d is the chosen basis so that $x \cdot w_1, \dots, x \cdot w_c$ is a basis of $x \cdot M$, and $x \cdot w_i = 0$ for all $i \geq c + 1$. Note that $w_1, \dots, w_d, x \cdot w_1, \dots, x \cdot w_c$ are linearly independent by assumption. Now,

$$x \cdot (w_1 \wedge \dots \wedge w_d) = \sum_{i=1}^d w_1 \wedge \dots \wedge (x \cdot w_i) \wedge \dots \wedge w_d.$$

This, by assumption is a multiple of $w_1 \wedge \dots \wedge w_d$. But the vectors $w_1 \wedge \dots \wedge (x \cdot w_i) \wedge \dots \wedge w_d$, for $1 \leq i \leq c$, are linearly independent with $w_1 \wedge \dots \wedge w_d$, which is impossible unless $c = 0$. Thus $x \cdot M = 0$, which clearly is a subset of M . \square

Theorem 4.3 (Chevalley’s Theorem). *Let G be a linear algebraic group, and let H be a closed subgroup. Then there is a rational representation $\phi : G \rightarrow GL(V)$ and a one-dimensional subspace L of V such that*

$$H = \{X \in G \mid \phi(X)L = L\},$$

$$\mathfrak{h} = \{x \in \mathfrak{g} \mid d\phi(x)L \subset L\}.$$

Proof. The idea is to look at action of G on the space of polynomial functions on G , via $(g \cdot f)(x) = f(g^{-1}x)$ (this is the standard left regular representation). Let I be the ideal of functions that are H -invariant. Since H is Zariski-closed, it is precisely the stabilizer of I . Indeed, H is a subset of the stabilizer trivially, and if $g \notin H$, then by looking at $G \setminus H$ which is a dense open neighborhood of g , we can find a polynomial $f \in I$ such that $f(g) = 1$. Then $(g^{-1} \cdot f)(e) = f(g) = 1 \notin I$, so g cannot stabilize I .

Now, by Hilbert’s Basis Theorem, I is finitely generated, say by f_1, \dots, f_n . As we had proved in the course, I can be contained in a finite-dimensional G -stable vector space W (this was proved using the fact that group action can be thought of as an element of the tensor product). Let $M = W \cap I$. Then $f_1, \dots, f_n \in M$, so if g stabilizes M , then it also stabilizes I , so $g \in H$. The converse is obvious, so H is precisely the stabilizer of M .

All that remains is to compress M into a one-dimensional space. However, this can be done by the above Lemma by taking $V = \bigwedge^d W$ and $L = \bigwedge^d M$, where $d = \dim(M)$. \square

Theorem 4.4. Let G be a linear algebraic group, and let N be a closed normal subgroup of G . Then there is a rational representation $\psi : G \rightarrow \mathrm{GL}(V)$ such that $N = \ker(\psi)$ and $\mathfrak{n} = \ker(d\psi)$.

Proof. Use Chevalley's theorem to construct a morphism $\phi : G \rightarrow \mathrm{GL}(V)$ and a line L in V whose stabilizer in G (resp. \mathfrak{g}) is N (resp. \mathfrak{n}). Let χ be a character of N , i.e., a morphism $N \rightarrow \mathbb{C}^*$. Let V_χ be the subspace of V such that N acts on V_χ via χ ; i.e., $x \cdot v = \chi(x)v$ for all $x \in N$ and $v \in V_\chi$. Consider the sum of all such V_χ . This sum is direct (because of Dedekind's theorem on characters), and thus only finitely many V_χ appear. Further, since N is normal, it is not hard to see that $\phi(G)$ just permutes the V_χ . So, we can assume V is just the direct sum of V_χ , WLOG.

Let W be the subspace of $\mathrm{End}(V)$ consisting of endomorphisms that stabilize each V_χ . We have a natural isomorphism $W \cong \bigsqcup \mathrm{End}(V_\chi)$. Note that $\mathrm{GL}(V)$ acts on $\mathrm{End}(V)$ via conjugation. Then, $\phi(G)$ stabilizes W , because W stabilizes all V_χ and $\phi(G)$ just permutes the V_χ . Thus we get a representation $\psi : G \rightarrow \mathrm{GL}(W)$, which is ϕ , followed by conjugation, followed by restriction to W . This is clearly a rational representation.

It remains to analyze the kernels. If $x \in N$, then $\phi(x)$ acts as a scalar on each V_χ , so conjugating by $\phi(x)$ has no effect on W , i.e., $x \in \ker(\phi)$. Conversely, suppose $\psi(x) = e$. Then $\phi(x)$ stabilizes all V_χ and commutes with $\mathrm{End}(V_\chi)$, which is only possible if $\phi(x)$ acts as a scalar on each V_χ . But, L as defined above lies in one of the V_χ , and $\phi(x)$ acts as a scalar on it, so by Chevalley's theorem, $x \in N$, as required.

To analyze $\ker(d\phi)$, we take differentials. Note that differential of the conjugation map is ad . Hence, if $x \in \mathfrak{n}$, then $d\phi(x)$ acts as a scalar on each V_χ , so $\mathrm{ad}(d\phi(x)) = 0$ on W , i.e., $x \in \ker(d\phi)$. Conversely, if $\mathrm{ad}(d\phi(x)) = 0$ on W , then $d\phi(x)$ stabilizes each V_χ and commutes with $\mathrm{End}(V_\chi)$. Hence it acts as a scalar on each V_χ , so $d\phi(x)L \subset L$, which forces $x \in \mathfrak{n}$ by Chevalley's theorem. \square

4.1 Algebraic Group - Lie Algebra Correspondence

Theorem 4.5 (Algebraic Group - Lie Algebra correspondence). *The following are true for a linear algebraic group G :*

- (a) *If $\phi : G \rightarrow G'$ is a morphism of linear algebraic groups, then $\ker(d\phi)$ is the Lie algebra associated with $\ker(\phi)$.*
- (b) *If I, J are closed subgroups of G , then $\mathfrak{i} \cap \mathfrak{j}$ is the Lie algebra associated with $I \cap J$.*
- (c) *If G is connected, there is a one-to-one and inclusion preserving correspondence between closed connected subgroups of G and their corresponding Lie algebras.*

Proof. For Part (a), we can WLOG assume ϕ is surjective, and we can think of it as an isomorphism from $G \rightarrow G/\ker(\phi)$. Then, the theorem follows from the construction done in Theorem 4.4.

For Part (b), let $\pi : G \rightarrow G/J$ be the canonical morphism. Consider the restriction $\pi' : I \rightarrow \pi(I)$. Note that we can identify $\pi(I)$ with $I/I \cap J$. Hence, by Part (a), the Lie algebra associated with $I \cap J$ is $\ker(d\pi') = \mathfrak{i} \cap \ker(d\pi) = \mathfrak{i} \cap \mathfrak{j}$.

Part (c) follows immediately from the first two parts. \square

Theorem 4.6. Let G be a connected linear algebraic group, and let H be a closed connected subgroup. Then \mathfrak{h} is an ideal of \mathfrak{g} iff H is normal in G .

Proof. "If" part follows from Theorem 4.3, since a kernel is always an ideal. Now assume \mathfrak{h} is an ideal. Let $N = \{x \in G \mid x^{-1}Hx = H\}$. Taking differentials, we see that

$$\mathfrak{n} = \{x \in \mathfrak{g} \mid [x, \mathfrak{h}] \subset \mathfrak{h}\} = \mathfrak{g},$$

since \mathfrak{h} is an ideal. Because G is connected, by the group-algebra correspondence, this forces $G = N$, which implies H is normal. \square

4.2 Semisimple Groups

Definition 4.7. A linear algebraic group G is called semisimple if it has no closed connected commutative normal subgroup except e .

Theorem 4.8. A connected linear algebraic group G is semisimple iff \mathfrak{g} is semisimple.

Proof. Suppose \mathfrak{g} is semisimple. If N is a closed connected commutative normal subgroup of G , then \mathfrak{n} is a commutative ideal. So $\mathfrak{n} = 0$, forcing $N = e$.

Conversely, assume \mathfrak{n} is commutative. Look at the ideal

$$\mathfrak{h} = \{y \in \mathfrak{g} \mid [x, y] = 0 \text{ for all } x \in \mathfrak{n}\}.$$

This contains \mathfrak{n} since it is commutative. Now, Theorem 4.5 implies that H is normal; as a result, the connected component of the center of H that contains the identity is also normal. But G is semisimple, so this is identity, which implies that the corresponding Lie algebra is 0. The corresponding Lie algebra would have been set of all y such that $[x, y] = 0$ for all $x \in \mathfrak{h}$, which contains \mathfrak{n} . Hence $\mathfrak{n} = 0$, as required. \square

The above theorem implies that we can switch between semisimple groups and their semisimple Lie algebras. This means we can carry over a lot of results, including complete reducibility of representations, to semisimple groups (and rational representations). The groups for which complete reducibility holds are called reductive groups; thus all semisimple groups are reductive.

5 Hilbert-Mumford Theorem

We present a fairly elementary proof of the Hilbert-Mumford theorem, which uses the following lemma:

Lemma 5.1. *Let $m_{i,j}$ for $1 \leq i \leq r$ and $1 \leq j \leq n$ be integers satisfying the following property: If b_1, \dots, b_r are integers, not all zero, such that*

$$\sum_{i=1}^r b_i m_{i,j} = 0 \quad \forall 1 \leq j \leq n,$$

then some two of the b_i have opposite sign. Then, there exist integers c_j such that

$$\sum_{j=1}^n m_{i,j} c_j > 0 \quad \forall 1 \leq i \leq r.$$

Proof. It is enough to prove the statement for rationals c_i , and just scale later. Also, the given condition holds for rationals b_i , by scaling. It translates to the kernel of the linear map

$$M : (b_1, \dots, b_r) \rightarrow \left(\sum_{i=1}^r b_i m_{i,1}, \dots, \sum_{i=1}^r b_i m_{i,n} \right)$$

intersecting the cone of non-negative entries in \mathbb{Q}^r only at the origin. Thus, due to density of \mathbb{Q} in \mathbb{R} , it intersects non-negative cone of \mathbb{R}^r only at the origin. Consider the map M^t . Note that $\ker(M)$ and $\text{Im}(M^t)$ are orthogonal complements in \mathbb{R}^r .

We show the following: If K is a subspace of \mathbb{R}^r that intersects the non-negative cone only at origin, then K^\perp intersects the interior of the cone. Suppose K has co-dimension $k \geq 2$. Consider the image of the non-negative cone in the projection $\mathbb{R}^r/K \cong \mathbb{R}^k$; call it D . Clearly D is closed. Further, $\mathbb{R}^k \setminus \{0\}$ is connected since $k \geq 2$, so D and $-D$ cannot cover $\mathbb{R}^k \setminus \{0\}$, so there is a non-zero vector $v \in \mathbb{R}^k$ such that $\mathbb{R}v \cap D \setminus \{0\} = \emptyset$. Thus if we add the pull-back of v to K , we get a subspace of one higher dimension, which also intersects the non-negative cone only at 0. Keep doing this until we get K having codimension 1. Then, suppose K is the hyperplane $\sum_{i=1}^r \lambda_i x_i = 0$. Since K intersects non-negative cone only at the origin, all the λ_i must be non-zero and have the same sign, WLOG all positive. Then $(\lambda_1, \dots, \lambda_r) \in K^\perp$ is a vector that is in the interior of the cone, as required.

Applying the above property to $\ker(M)$, we get that some vector in $\text{Im}(M^t)$ lies in interior of the non-negative cone. By scaling and using density of rationals, we can assume this vector (c_1, \dots, c_n) to have integer entries. This vector satisfies the precise condition that we want. \square

The Hilbert-Mumford theorem gives a nice characterization of unstable points in terms of one parameter subgroups.

Theorem 5.2. *Let G be a reductive group acting linearly on a vector space V . Let $v \in V$ be G -unstable, i.e., the closure of the orbit $\overline{G \cdot v}$ contains 0. Then there exists a one-parameter subgroup $\lambda : \mathbb{C}^* \rightarrow G$ such that $\lim_{t \rightarrow 0} \lambda(t) \cdot v = 0$.*

Before we prove this theorem, we would need another lemma relating unstable points in G to unstable points in the maximal torus T :

Lemma 5.3. *Let $T \leq G$ be a maximal torus in G , and suppose $v \in V$ is G -unstable. Then the orbit $G \cdot v$ contains a vector u which is T -unstable.*

Proof. We skip the proof here, but the key idea is: In a reductive group, the set of elements conjugate to the maximal torus form an open dense subset of G . If these set of elements is G_s , we can also show that $G_s \cdot v$ is an open dense subgroup of $G \cdot v$, which will prove the lemma. \square

Now we can finally prove the theorem.

Proof. Moving to the torus, we can assume v is T -unstable. Hence V can be split into different weight classes. More concretely, we can write any $v \in V$ as $\sum_{i=1}^r v_i$ where T acts by scalar multiplication on each v_i . Suppose

$$(t_1, t_2, \dots, t_n) \cdot v_i = t_1^{m_{i,1}} \cdots t_n^{m_{i,n}} v_i.$$

for all $1 \leq i \leq r$ and $(t_1, \dots, t_n) \in T$.

We claim that $m_{i,j}$ satisfy the conditions of Lemma 5.1. Indeed, assume there are integers b_i , not all zero and of the same sign, such that

$$\sum_{i=1}^r b_i m_{i,j} = 0 \quad \forall 1 \leq j \leq n.$$

Let $t^{(k)} \in T$ be a sequence such that $t^{(k)} \cdot v \rightarrow 0$, which exists since v is T -unstable. This means

$$(t_1^{(k)})^{m_{1,1}} \cdots (t_n^{(k)})^{m_{1,n}} \rightarrow 0$$

for all $1 \leq i \leq r$, as $k \rightarrow \infty$. WLOG suppose $b_1 \neq 0$. Then we can rewrite

$$m_{1,j} = -\frac{b_2}{b_1} m_{2,j} - \cdots - \frac{b_r}{b_1} m_{r,j}$$

for all j . Thus,

$$t_1^{-m_{1,1}} \cdots t_n^{-m_{1,n}} = (t_1^{m_{2,1}} \cdots t_1^{m_{2,n}})^{\frac{b_2}{b_1}} \cdots (t_1^{m_{r,1}} \cdots t_1^{m_{r,n}})^{\frac{b_r}{b_1}}.$$

Note that each $\frac{b_i}{b_1} \geq 0$. Now let t_i run over $t_i^{(k)}$. As $k \rightarrow \infty$, the RHS tends to zero, while the LHS is the reciprocal of a quantity tending to zero, contradiction!.

Hence $m_{i,j}$ satisfy the conditions of Lemma 5.1, so there exist integers c_j such that

$$\sum_{j=1}^n m_{i,j} c_j > 0 \quad \forall 1 \leq i \leq r.$$

Now, consider the 1-P.S. $\lambda(t) = \text{diag}(t^{c_1}, \dots, t^{c_n})$. Now,

$$\lambda(t) \cdot v = \sum_{i=1}^r t^{\sum_{j=1}^n m_{i,j} c_j} v_i,$$

which tends to 0 as $t \rightarrow 0$, as required. \square

6 References

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