# The K-Means Clustering Method

- K-Means (MacQueen'67, Lloyd'57/'82)
  - Each cluster is represented by the center of the cluster
- Given K, the number of clusters, the K-Means clustering algorithm is outlined as follows
  - Select K points as initial centroids
  - □ (Repeat) →
    - Form K clusters by assigning each point to its closest centroid
    - Re-compute the centroids (i.e., mean point) of each cluster
  - Until convergence criterion is satisfied
- Different kinds of measures can be used
  - $\square$  Manhattan distance (L<sub>1</sub> norm), Euclidean distance (L<sub>2</sub> norm), Cosine similarity

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#### Variations of *K-Means*

- ☐ There are many variants of the *K-Means* method, varying in different aspects
  - Choosing better initial centroid estimates
- anian (entroid Itanhansmw
- □ K-means++, Intelligent K-Means, Genetic K-Means

To be discussed in this lecture

- Choosing different representative prototypes for the clusters
  - □ K-Medoids K-Medians, K-Modes
- Applying feature transformation techniques
  - Weighted K-Means, Kernel K-Means

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To be discussed in this lecture

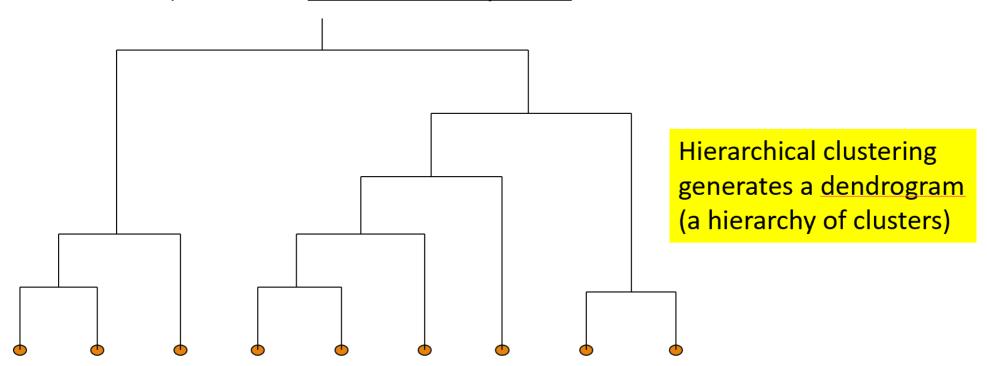
### **Hierarchical Clustering Methods**

- Basic Concepts of Hierarchical Algorithms
- Agglomerative Clustering Algorithms
- Divisive Clustering Algorithms
- Extensions to Hierarchical Clustering
- BIRCH: A Micro-Clustering-Based Approach
- CURE: Exploring Well-Scattered Representative Points
- CHAMELEON: Graph Partitioning on the KNN Graph of the Data
- Probabilistic Hierarchical Clustering



#### **Dendrogram: Shows How Clusters are Merged**

- Dendrogram: Decompose a set of data objects into a tree of clusters by multi-level nested partitioning
- A <u>clustering</u> of the data objects is obtained by <u>cutting</u> the <u>dendrogram</u> at the desired level, then each <u>connected component</u> forms a cluster



#### Clustering Validation and Assessment

- Major issues on clustering validation and assessment
  - Clustering evaluation
    - □ Evaluating the goodness of the clustering
  - Clustering stability
    - □ To understand the sensitivity of the clustering result to various algorithm parameters, e.g., # of clusters
  - Clustering tendency
    - Assess the suitability of clustering, i.e., whether the data has any inherent grouping structure

ล คาบเหมางสม ของการทั่ว Clustering

#### **Measuring Clustering Quality**

- □ Clustering Evaluation: Evaluating the goodness of clustering results
  - No commonly recognized best suitable measure in practice
- - Compare a clustering against prior or expert-specified knowledge (i.e., the ground truth) using certain clustering quality measure
- -> รุยยพทยุงอง แกกงบยุ่ม Internal: Unsupervised, criteria derived from data itself
  - □ Evaluate the goodness of a clustering by considering how well the clusters are separated and how compact the clusters are, e.g., silhouette coefficient
  - **Relative**: Directly compare different <u>clusterings</u>, usually those obtained via different parameter settings for the same algorithm

#### Measuring Clustering Quality: External Methods

- $\square$  Given the ground truth T, Q(C, T) is the quality measure for a clustering C
- $\square$  Q(C, T) is good if it satisfies the following **four** essential criteria
  - □ Cluster homogeneity ⇒ กลุ่มที่ ไม่มีสั่วไม่เงมีผนัน มางปก่คุมเดียวกัน
    - ☐ The purer, the better
  - □ Cluster completeness = กลุ่มที่เป็น สาาดียากับ ความในกลุ่ม เกี่ยวกัน
    - ☐ Assign objects belonging to the same category in the ground truth to the same cluster
  - Rag bag better than alien ⇒ หื่างางนุน
    - □ Putting a heterogeneous object into a pure cluster should be penalized more than putting it into a *rag bag* (i.e., "miscellaneous" or "other" category)
  - □ Small cluster preservation = ไปดูเจาเลก (วุ่มมากเก็นไป
    - Splitting a small category into pieces is more harmful than splitting a large category into pieces

### Internal Measures (I): BetaCV Measure

- □ A trade-off in maximizing intra-cluster compactness and inter-cluster separation
- $\Box$  Given a clustering  $C = \{C_1, \ldots, C_k\}$  with k clusters, cluster  $C_i$  containing  $\underline{n}_i = |C_i|$  points
  - $\square$  Let W(S, R) be sum of weights on all edges with one vertex in S and the other in R
  - The sum of all the intra-cluster weights over all clusters:  $W_{in} = \frac{1}{2} \sum_{i=1}^{k} W(C_i, C_i)$
  - The sum of all the inter-cluster weights:  $W_{out} = \frac{1}{2} \sum_{i=1}^{k} W(C_i, \overline{C_i}) = \sum_{i=1}^{k-1} \sum_{j>i}^{l=1} W(C_i, C_j)$
  - ☐ The number of distinct intra-cluster edges:  $N_{in} = \sum_{i=1}^{k} {n_i \choose 2}$
  - The number of distinct inter-cluster edges:  $N_{out} = \sum_{i=1}^{k-1} \sum_{j=1}^{k} n_i n_j$
- Beta-CV measure:  $BetaCV = \frac{W_{in}/N_{in}}{W_{out}/N_{out}}$ 
  - The ratio of the mean intra-cluster distance to the mean inter-cluster distance
  - The smaller, the better the clustering

