## Mathematical Analysis of Algorithms

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## What is algorithm analysis?

Why do we analyze algorithms?

## The Big Picture

Algorithm analysis is the prediction and comparison of algorithm performance.

Algorithm analysis lets us choose or design the best (or good enough) algorithm for a given problem.

How do we mathematically analyze algorithms?

Give a step-by-step procedure.

## Mathematical Algorithm Analysis

#### How to Analyze an Algorithm:

- Define a reasonable model of computation (cost model).
  - What are the basic operations?
  - Mow much does each basic operation cost?
- ② Model the algorithm's cost with a function T(n).
  - How many basic operations are performed for an input of size n?
- $\odot$  Simplify T(n).
- **4** Classify T(n)'s growth rate.
  - How quickly does T(n) grow?
- **1** Interpret T(n)'s growth rate.
  - How suitable is the algorithm for my problem?

## Defining a Model of Computation

```
int foo(int n) {
    int A = new int[n];
   A[0] = 1;
    for (int i = 1; i < n; i++)
        A[i] = A[i - 1] * (i + 1);
    int sum = 0:
    for (int i = 0; i < n; i++)
        for (int j = 0; j < i; j++)
            sum += A[i]:
    delete[] A;
    return sum;
```

## List as many basic operations as you can think of!

## Defining a Model of Computation

```
int foo(int n) {
    int * A = new int[n];
   A[0] = 1;
    for (int i = 1; i < n; i++)
       A[i] = A[i - 1] * (i + 1);
    int sum = 0:
    for (int i = 0; i < n; i++)
        for (int j = 0; j < i; j++)
            sum += A[i]:
    delete[] A;
    return sum;
```

#### **Basic Operations:**

- Additions
- Multiplications
- Comparisons
- Branches
- Local variables
- Memory allocations
- Allocated memory
- 8 Loads
- Stores
- Assignments
- **①** ...

## Which basic operations are most reasonable? Why?

```
int foo(int n) {
    int* A = new int[n];
   A[0] = 1;
   for (int i = 1; i < n; i++) // Additions?
       A[i] = A[i - 1] * (i + 1); // Additions?
    int sum = 0:
    for (int i = 0; i < n; i++) // Additions?
        for (int j = 0; j < i; j++) // Additions?
           sum += A[i]: // Additions?
    delete[] A;
    return sum:
```

## How many additions? T(n) = ?

```
int foo(int n) {
      int * A = new int[n];
      A[0] = 1:
      for (int i = 1; i < n; i++) // \sum_{i=1}^{n-1} 1 additions A[i] = A[i-1] * (i+1); // \sum_{i=1}^{n-1} 2 additions
       int sum = 0:
      for (int i = 0; i < n; i++) // \sum_{i=0}^{n-1} 1 additions
             for (int j = 0; j < i; j++) //\sum_{i=0}^{n-1}\sum_{j=0}^{i}1 additions
                                                            //\sum_{i=0}^{n-1}\sum_{i=0}^{i-1}1 additions
                    sum += A[i]:
      delete[] A;
      return sum;
   T(n) = \sum_{i=1}^{n-1} 1 + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1
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```

```
int foo(int n) {
    int* A = new int[n];
    A[0] = 1;
    for (int i = 1; i < n; i++)
        A[i] = A[i - 1] * (i + 1); // Multiplications?
    int sum = 0:
    for (int i = 0; i < n; i++)
        for (int j = 0; j < i; j++)
            sum += A[i]:
    delete[] A;
    return sum;
```

## **How many multiplications?** T(n) = ?

```
int foo(int n) {
    int* A = new int[n];
    A[0] = 1:
    for (int i = 1; i < n; i++)
        A[i] = A[i-1] * (i+1); // \sum_{i=1}^{n-1} 1 multiplications
    int sum = 0:
    for (int i = 0; i < n; i++)
        for (int i = 0; i < i; j++)
             sum += A[i];
    delete[] A;
    return sum;
```

**Multiplications:** 
$$T(n) = \sum_{i=1}^{n-1} 1$$

```
int foo(int n) {
    int* A = new int[n];
   A[0] = 1;
    for (int i = 1; i < n; i++) // Comparisons?
       A[i] = A[i - 1] * (i + 1);
    int sum = 0:
    for (int i = 0; i < n; i++) // Comparisons?
        for (int j = 0; j < i; j++) // Comparisons?
            sum += A[i]:
    delete[] A;
    return sum:
```

## How many comparisons? T(n) = ?

```
int foo(int n) {
     int * A = new int[n];
    A[0] = 1:
    for (int i = 1; i < n; i++) // \sum_{i=1}^{n} 1 comparisons
         A[i] = A[i - 1] * (i + 1):
     int sum = 0:
    for (int i = 0; i < n; i++) //\sum_{i=0}^{n} 1 comparisons
         for (int j = 0; j < i; j++) //\sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 comparisons
              sum += A[i];
    delete[] A;
    return sum;
```

**Comparisons:**  $T(n) = \sum_{i=1}^{n} 1 + \sum_{i=0}^{n} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1$ 

```
int foo(int n) {
    int* A = new int[n];
   A[0] = 1;
                                     // Memory accesses?
    for (int i = 1; i < n; i++)
       A[i] = A[i-1] * (i+1); // Memory accesses?
    int sum = 0:
    for (int i = 0; i < n; i++)
        for (int j = 0; j < i; j++)
            sum += A[i]:
                                 // Memory accesses?
    delete[] A;
    return sum;
```

How many memory accesses (indexing)? T(n) = ?

```
int foo(int n) {
    int * A = new int[n];
    A[0] = 1;
                                            // 1 memory accesses
    for (int i = 1; i < n; i++)
         A[i] = A[i-1] * (i+1); // \sum_{i=1}^{n-1} 2 \text{ memory accesses}
     int sum = 0:
    for (int i = 0; i < n; i++)
         for (int j = 0; j < i; j++)
                                           //\sum_{i=0}^{n-1}\sum_{i=0}^{i-1}1 memory acces
              sum += A[i];
    delete[] A;
    return sum;
```

**Memory Accesses:**  $T(n) = 1 + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$ 

```
int foo(int n) {
  int* A = new int[n];
                        // Assignments?
  A[i] = A[i - 1] * (i + 1); // Assignments?
  for (int j = 0; j < i; j++) // Assignments?
        sum += A[i]:
                // Assignments?
  delete[] A;
  return sum:
```

## How many assignments? T(n) = ?

```
int foo(int n) {
     int * A = new int[n];
                                                     // 1 assignments
     A[0] = 1;
                                                     // 1 assignments
     for (int i = 1; i < n; i++) //\sum_{i=1}^{n-1} 1 assignments
           A[i] = A[i - 1] * (i + 1); // \sum_{i=1}^{n-1} 1 assignments
      int sum = 0:
                                                     // 1 assignments
     for (int i = 0; i < n; i++) //\sum_{i=0}^{n-1} 1 assignments
           for (int j = 0; j < i; j++) //\sum_{i=0}^{n-1}\sum_{j=0}^{i}1 assignments
                                                    //\sum_{i=0}^{n-1}\sum_{i=0}^{i-1}1 assignments
                 sum += A[i]:
     delete[] A;
     return sum:
T(n) = 3 + \sum_{i=1}^{n} 1 + \sum_{i=1}^{n-1} 1 + \sum_{i=0}^{n} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1
```

#### Addition Cost Function

$$T(n) = \sum_{i=1}^{n-1} 1 + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

Apply (1) with m = n - 1:

$$\sum_{i=1}^{n-1} 1 = n - 1$$

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

#### Addition Cost Function

$$T(n) = (n-1) + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

$$\sum_{i=1}^{n-1} 2 = ?$$

# What identity should we use?

#### Identities:

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i)$$
 where  $c$  is a **constant** and  $f$  is a function

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where  $f$  and  $g$  are functions

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#### Addition Cost Function

$$T(n) = (n-1) + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (3) with 
$$a = 1$$
,  $b = n - 1$ ,  $c = 2$  and  $f(i) = 1$ 

$$\sum_{i=1}^{n-1} 2 = \sum_{i=1}^{n-1} 2 \cdot 1 = 2 \sum_{i=1}^{n-1} 1$$

What identity should we use?

#### **Identities:**

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where f and g are functions

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#### Addition Cost Function

$$T(n) = (n-1) + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (3) with 
$$a = 1$$
,  $b = n - 1$ ,  $c = 2$  and  $f(i) = 1$ 

$$\sum_{i=1}^{n-1} 2 = \sum_{i=1}^{n-1} 2 \cdot 1 = 2 \sum_{i=1}^{n-1} 1$$

Apply (1) with m = n - 1

$$2\sum_{i=1}^{n-1}1=2(n-1)$$

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where  $f$  and  $g$  are functions

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

$$\sum_{i=0}^{n-1} 1 = ?$$

## What identity should we use?

#### **Identities:**

$$\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i)$$
 where  $c$  is a **constant** and  $f$  is a function

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where  $f$  and  $g$  are functions

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#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

Apply (1) with m=n-1

$$\sum_{i=0}^{n-1} 1 = 1 + \sum_{i=1}^{n-1} 1 = (n-1) + 1 = n$$
 2 
$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

- **constant** and f is a function

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

$$\sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 = ?$$

## What identity should we use?

$$\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i)$$
 where  $c$  is a **constant** and  $f$  is a function

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where f and g are functions

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (1) with m = i

$$\sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 = \sum_{i=0}^{n-1} \left( 1 + \sum_{j=1}^{i} 1 \right)$$
$$= \sum_{i=0}^{n-1} (i+1)$$

What identity should we use?

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$$
where  $f$  and  $g$  are functions

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (4) with a = 0, b = n - 1, f(i) = i and g(i) = 1

$$\sum_{i=0}^{n-1} (i+1) = \sum_{i=0}^{n-1} i + \sum_{i=0}^{n-1} 1$$

What identities should we use?

#### **Identities:**

$$\sum_{i=1}^{m} 1 = m$$

 $\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i)$  where c is a **constant** and f is a function

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#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (1) with m = n - 1

$$\sum_{i=0}^{n-1} 1 = 1 + \sum_{i=1}^{n-1} 1$$
$$= 1 + (n-1) = n$$

SO

$$\sum_{i=0}^{n-1} i + \sum_{i=0}^{n-1} 1 = n + \sum_{i=0}^{n-1} i$$

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

$$\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i)$$
 where  $c$  is a **constant** and  $f$  is a function

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

Apply (2) with m = n - 1

$$\sum_{i=0}^{n-1} i = 0 + \sum_{i=1}^{n-1} i$$
$$= \frac{(n-1)((n-1)+1)}{2}$$

SO

$$n + \sum_{i=0}^{n-1} i = n + \frac{n(n-1)}{2}$$

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=a}^{b} cf(i) = c \sum_{i=a}^{b} f(i) \text{ where } c \text{ is a}$$
**constant** and  $f$  is a function

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \left(n + \frac{n(n-1)}{2}\right) + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Simplification:

$$\sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1 = ?$$

# What is the simplified form?

$$\sum_{i=1}^{m} 1 = m$$

- $\sum_{i=a}^{b} (f(i) + g(i)) = \sum_{i=a}^{b} f(i) + \sum_{i=a}^{b} g(i)$ where f and g are functions

#### Addition Cost Function

$$T(n) = (n-1) + 2(n-1) + n + \left(n + \frac{n(n-1)}{2}\right) + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### **Simplification:**

Apply (1) then (2)

$$\sum_{i=0}^{n-1} \sum_{i=0}^{i-1} 1 = \sum_{i=0}^{n-1} i = \frac{n(n-1)}{2} \quad 2 \quad \sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

$$\sum_{i=1}^{m} 1 = m$$

$$\sum_{i=1}^{m} i = \frac{m(m+1)}{2}$$

- **constant** and f is a function
- where f and g are functions

#### **Simplification:**

We have that

$$T(n) = (n-1) + 2(n-1) + n + \left(n + \frac{n(n-1)}{2}\right) + \frac{n(n-1)}{2}$$
$$= n - 1 + 2n - 2 + n + n + n(n-1)$$
$$= n^2 + 4n - 3$$

so the final answer is

$$T(n) = n^2 + 4n - 3$$

### Multiplication Cost Function

$$T(n) = \sum_{i=1}^{n-1} 1$$

#### Comparisons Cost Function

$$T(n) = \sum_{i=1}^{n} 1 + \sum_{i=0}^{n} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1$$

#### Memory Accesses Cost Function

$$T(n) = 1 + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

#### Assignments Cost Function

$$T(n) = 3 + \sum_{i=1}^{n-1} 1 + \sum_{i=1}^{n-1} 1 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$

## Try to simplify the other cost functions!

## Multiplication Cost Function

$$T(n) = \sum_{i=1}^{n-1} 1$$
$$= n - 1$$

#### Comparisons Cost Function

$$T(n) = \sum_{i=1}^{n} 1 + \sum_{i=0}^{n} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1$$
$$= n + (n+1) + \frac{n(n+1)}{2}$$
$$= \frac{1}{2}n^2 + \frac{5}{2}n + 1$$

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## Memory Accesses Cost Function

$$T(n) = 1 + \sum_{i=1}^{n-1} 2 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$
$$= 1 + 2(n-1) + \frac{n(n-1)}{2}$$
$$= \frac{1}{2}n^2 + \frac{3}{2}n - 1$$

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#### Assignments Cost Function

$$T(n) = 3 + \sum_{i=1}^{n-1} 1 + \sum_{i=1}^{n-1} 1 + \sum_{i=0}^{n-1} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i} 1 + \sum_{i=0}^{n-1} \sum_{j=0}^{i-1} 1$$
  
= 3 + (n-1) + (n-1) + n +  $\frac{n(n+1)}{2}$  +  $\frac{n(n-1)}{2}$   
=  $n^2 + 3n + 1$ 

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# Pitfall: Cannot represent loops with non-one increments using standard summations!

```
int baz(int n) {
    int total = 0;
    for (int i = 0; i < n; i += 2)
        total += i * i;
    return total;
}</pre>
```

Solution: Compute number of operations for small values of *n*, then guess and check the formula OR learn Knuth's summation notation (advanced)!

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```
int bar(int n) {
                                           Choose a model of
    int * A = new int[n];
                                            computation and
                                         analyze this algorithm!
    for (int i = 0; i < n; i++)
        A[i] = i + 1;
    int result = 0:
    for (int k = 0; k < n * n; k++)
        for (int i = 0; i \le k; i++)
            for (int i = j; i < n; i++)
                 result += A[i]:
    for (int t = 0; t < 7; t++)
        result += A[0]:
    delete [] A;
    return result:
```