

THINK BIG WE DO™



# CSC 212

## Data Structures & Algorithms

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Recurrences

# Housekeeping

## Review Project [MEC]

- Due October 24, 11:59pm
- Walkthrough video

# Factorial of n (formula)

```
int fact(int num) {  
    if (num == 0)  
        return 1;  
    else  
        return num * fact(num - 1);  
}
```

$$n! = n \times (n - 1) \times (n - 2) \times (n - 3) \times \dots \times 3 \times 2 \times 1$$

$$\sum_{i=1}^n i = 1 \quad \text{for all } n \geq 1$$

$$n! = n * (n - 1)!$$

# Analysis of Binary Search

```
int bsearch(int *A, int lo, int hi, int k) {  
    //base case  
    if (hi < lo)  
        return NOT_FOUND;  
  
    // calculate mid point index  
    int mid = lo + ( (hi - lo) / 2);  
    // key found?  
    if (A[mid] == k)  
        return mid;  
    // key in upper subarray?  
    if (A[mid] < k)  
        return bsearch(A, mid + 1, hi, k);  
    // key is in lower subarray?  
    return bsearch(A, lo, mid - 1, k);  
}
```

$$t(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n > 1 \end{cases}$$

bsearch(A, 0, 12, 48)

lo 0 mid 6 hi 12

because A[mid] < k  
return bsearch(A, 7, 12, 48)

bsearch(A, 7, 12, 48)

lo 7 mid 9 hi 12

because A[mid] < k  
return bsearch(A, 10, 12, 48)

bsearch(A, 10, 12, 48)

lo 10 mid 11 hi 12

because A[mid] == k  
return 11

# Recurrence relations

By itself, a recurrence does not describe the running time of an algorithm

- need a *closed-form* solution (non-recursive description)
- exact closed-form solution may not exist, or may be too difficult to find

For most recurrences, an asymptotic solution of the form  $\Theta()$  is acceptable

- ...in the context of analysis of algorithms



# How to solve recurrences?

By *unrolling* (expanding) the recurrence

- a.k.a. *iteration method* or repeated substitution

By *guessing* the answer and proving it correct *by induction*

By using a *Recursion Tree*

By applying the *Master Theorem*

# Unrolling a Recurrence

Keep unrolling the recurrence until you identify a general case

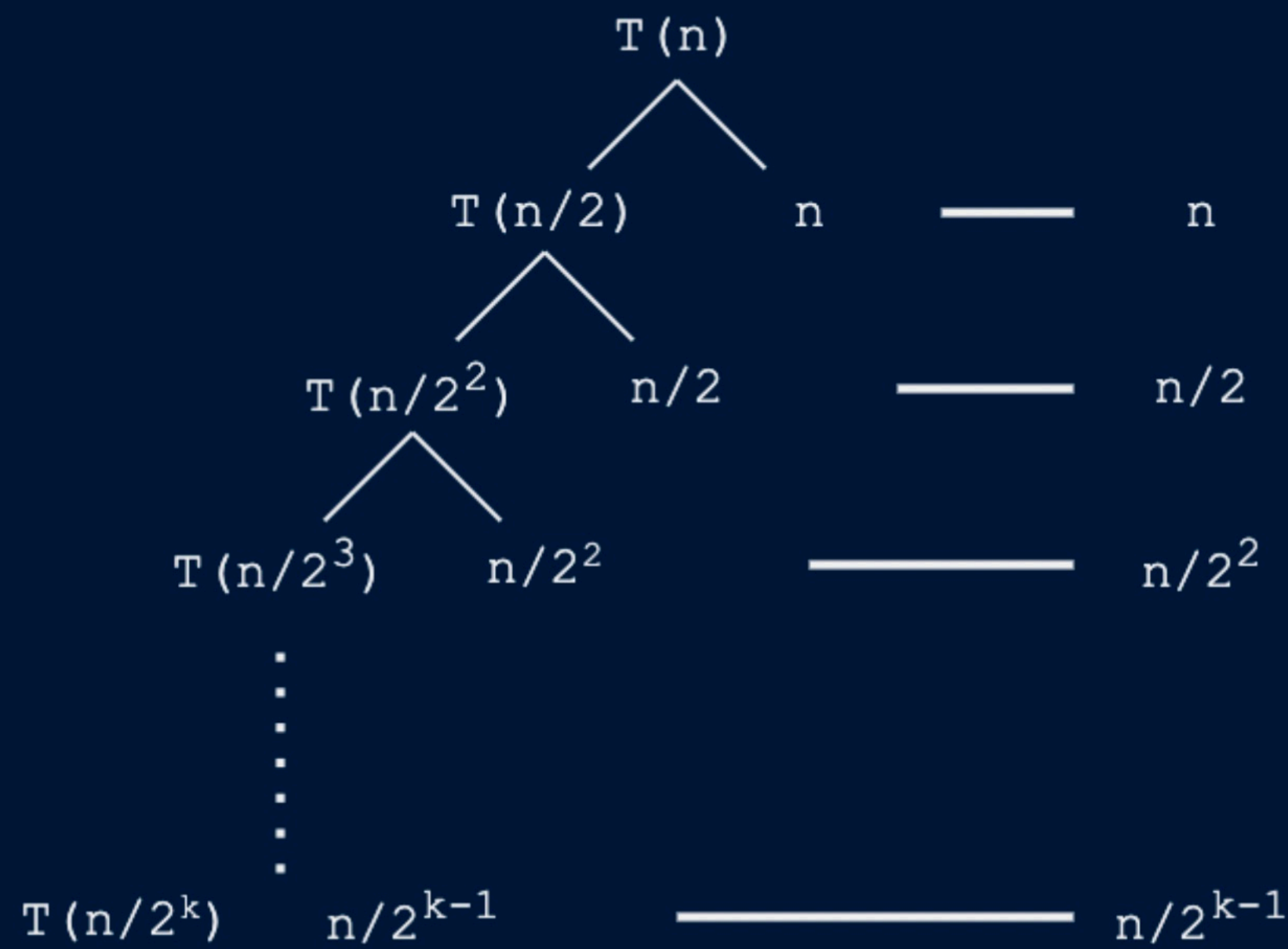
- then use the base case

Not trivial in all cases but it is helpful to build an intuition

- may need induction to prove correctness

# Unrolling the binary search recurrence

$$t(n) = \begin{cases} 1 & \text{if } n = 1 \\ T(n/2) + n & \text{if } n > 1 \end{cases}$$



$$T(n) = n + \frac{n}{2} + \frac{n}{2^2} + \frac{n}{2^3} + \frac{n}{2^k}$$

$$T(n) = \left[ 1 + \frac{1}{2} + \frac{1}{4} + \frac{1}{8} + \frac{1}{2^k} \right]$$

$$\sum_{i=0}^k \frac{1}{2^i} = 1$$

$$n * 1$$

$$T(n) = n$$

$$\Theta(n)$$



## Example 1: powers

Can you write (and solve) the recurrence?

```
int power(int b, int n) {  
    if (n == 0)  
        return 1;  
    return b * power(b, n - 1);  
}
```

## Example 1: powers, con't

$$t(n) = \begin{cases} 1 & \text{if } n = 0 \\ T(n-1) + 1 & \text{if } n > 0 \end{cases}$$

:

$$T(n) = \left[ T(n-2) + 1 \right] + 1$$

$$T(n) = T(n-2) + 2$$

$$T(n) = \left[ T(n-3) + 1 \right] + 2$$

$$T(n) = T(n-3) + 3$$

For  $k$  times...

$$T(n) = T(n-k) + k$$

### Breakdown

$$T(n) = T(n-1) + 1$$

$$T(n-1) = T(n-2) + 1$$

$$T(n-2) = T(n-3) + 1$$

$$T(n-k) = T(n-(k-1)) + 1$$

Substitution  $T(n-1)$

Assume  $n-k = 0 \dots n = k$

$$T(n) = T(n-n) + n$$

$$T(n) = T(0) + n$$

$$T(n) = 1 + n$$

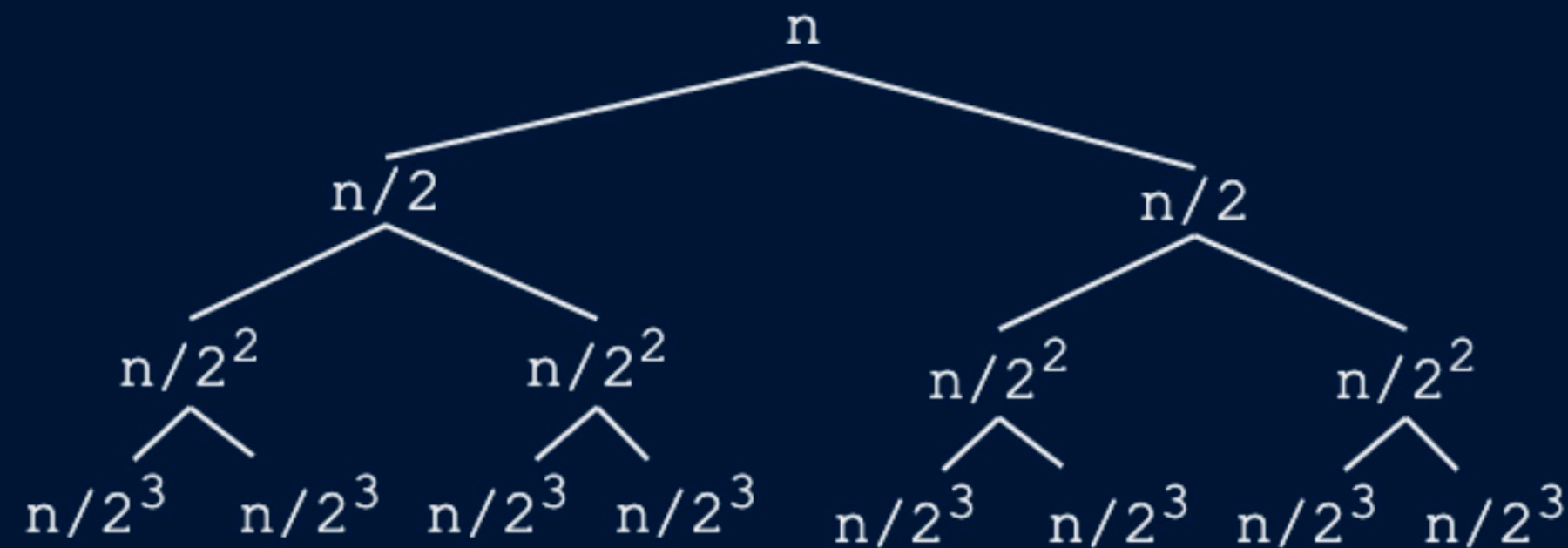
$$T(n) = \Theta(n)$$

$$\Theta(n)$$

## Example 2: merge sort

$$t(n) = \begin{cases} 1 & \text{if } n = 0 \\ 2T(\frac{n}{2}) + n & \text{if } n > 0 \end{cases}$$

### Tree Method



Continues for  $k$  times

Therefore,  $n^k$

$O(n \log n)$

## Example 2: merge sort, con't

### Substitution

$$\begin{array}{l} 2T\left(\frac{n}{2}\right) + n \\ 2T\left(\frac{n}{2^2}\right) + \frac{n}{2} \\ 2\left[2T\left(\frac{n}{2^2}\right) + \frac{n}{2}\right] + n \end{array} \left| \begin{array}{l} 2^2T\left(\frac{n}{2^2} + n + n\right) \\ T\left(\frac{n}{2^2} = 2T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right) \\ 2\left[2T\left(\frac{n}{2^3}\right) + \frac{n}{2^2}\right] + 2n \end{array} \right| \begin{array}{l} T(n) = 2^3T\left(\frac{n}{2^3}\right) + 3n \\ T(n) = 2^kT\left(\frac{n}{2^k}\right) + kn \end{array}$$

$$\begin{array}{l} \text{Assume } T(1) = T(1) \\ \frac{n}{2^k} = 1 \\ \text{Therefore, } n = 2^k \\ k = \log n \end{array} \left| \begin{array}{l} T(n) = 2^kT(1) + kn \\ = n * 1 + n \log n \\ \Theta(n \log n) \end{array} \right.$$

## Example 3: tower of hanoi

$$t(n) = \begin{cases} 1 & \text{if } n = 0 \\ 2T(n-1) + 1 & \text{if } n > 0 \end{cases}$$

### Substitution

$$T(n) = 2T(n-1) + 1$$

$$= 2 \left[ 2T(n-2) + 1 \right] + 1$$

$$T(n) = 2^2 T(n-2) + 2 + 1$$

$$= 2^2 \left[ 2T(n-3) + 1 \right] + 2 + 1$$

$$T(n) = 2^3 T(n-3) + 2^2 + 2 + 1$$

For k times...

$$T(n) = 2^k T(n-k) + 2^k - 1 + 2^k - 2 + \dots + 2^2 + 2 + 1$$

Assume  $n - k = 0$

$$n = k$$

$$2^n T(0) + 1 + 2 + 2^2 + \dots + 2^k - 1$$

$$2^n - 1 + 2^k - 1$$

$$2^n + 2^n - 1$$

$$2^n + 1 - 1$$

$$\Theta(2^n)$$