

# CSC 544 Homework 1

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## Problem 1

Let  $Z = \{01^k0 \mid k \geq 0\}$ .

### Part (a)

Give a GNFA that recognizes  $Z$ .

The GNFA has two states: one is the start state, the other is the accept state. There is a single arrow from the start state to the accept state labeled with the regular expression  $01^*0$ .

### Part (b)

Give a regular expression that generates  $Z$ .

The expression  $01^*0$  generates  $Z$ .

## Problem 2

### Part (a)

Let  $L = \{\text{abra}(\text{cad})^k\text{abra} \mid k \geq 1\}$ . Prove or disprove:  $L$  is regular.

*Proof.* We will show that  $L$  is regular by construction. Let  $A = \{\text{abra}\}$  and  $C = \{\text{cad}\}$ . Since  $A$  and  $C$  have finite cardinality, they are regular. Define  $L' = A \circ C \circ C^* \circ A$ . Since  $A$  and  $C$  are regular, and the class of regular languages is closed under  $\circ$  and  $*$ ,  $L'$  is regular.

We will argue that  $L' = L$ . Note that

$$\begin{aligned}
L' &= A \circ C \circ C^* \circ A \\
&= \{\text{abra}\} \circ \{\text{cad}\} \circ \{(\text{cad})^k \mid k \geq 0\} \circ \{\text{abra}\} \\
&= \{\text{abra}\} \circ \{(\text{cad})^k \mid k \geq 1\} \circ \{\text{abra}\} \\
&= \{\text{abra}(\text{cad})^k \text{abra} \mid k \geq 1\} \\
&= L
\end{aligned}$$

so  $L$  is regular as desired. //

### Part (b)

Let  $L = \{(\text{abra})^r(\text{cad})^k(\text{abra})^r \mid k \geq 1, r \geq 0\}$ . Prove or disprove:  $L$  is regular.

*Proof.* We will show that  $L$  is not regular via the pumping lemma. Assume that  $L$  is regular, and let  $p$  be the pumping length. Take

$$s = (\text{abra})^p(\text{cad})(\text{abra})^p$$

so  $s \in L$ .

We will show that  $s$  cannot be pumped. For any choice of  $u, v, w$  such that  $uvw = s$  and  $1 \leq |uv| \leq p$ ,  $v$  contains some fragment of some abra to the left of cad. Pumping down, we have that  $s' = uv^0w = uw \in L$ . However,  $s'$  contains too few symbols to form enough abra's on the left to match the  $p$  abras on the right (*the casework is too painful for anything but hand waving*), so  $s' \notin L$ , which is impossible. Hence  $L$  is not regular. //

## Problem 3

Let  $\Sigma = \{0, 1\}$ .

### Part (a)

Let  $L = \{xyx \mid x, y \in \Sigma^*\}$ . Prove that  $L$  is regular.

*Proof.* We will show that  $L$  is regular by construction. The main idea is that  $L$  contains all strings (e.g. take  $x = \epsilon$ ). Since  $\Sigma$  has finite cardinality,  $\Sigma$  is regular. Define  $L' = \Sigma^*$ . Since  $\Sigma$  is regular, and the class of regular languages is closed under  $*$ ,  $L'$  is regular.

We will argue that  $L' = L$ . Clearly  $L \subseteq L' = \Sigma^*$ . Fix some  $s \in L'$ . Let  $x = \epsilon$  and  $y = s$ . Then  $x \in \Sigma^*$  and  $y \in L' = \Sigma^*$ . By definition,  $xyx \in L$ , so  $xyx = \epsilon s \epsilon = s \in L$ . Therefore,  $L' \subseteq L$ . Hence,  $L' = L$ , so  $L$  is regular as desired. //

## Part (b)

Let  $L = \{xyxy \mid x, y \in \Sigma^*\}$ . Prove that  $L$  is not regular.

*Proof.* We will show that  $L$  is not regular via the pumping lemma. Assume that  $L$  is regular, and let  $p$  be the pumping length. Take  $x = 0^p 1^p$  and  $y = 0^p 1^p$ . Then  $x, y \in \Sigma^*$ , so  $s = xyxy \in L$ .

We will show that  $s$  cannot be pumped. For any choice of  $u, v, w$  such that  $uvw = s$  and  $1 \leq |uv| \leq p$ ,  $v = 0^k$  for some  $1 \leq k \leq p$  since the first  $p$  symbols in  $s$  are all zero. By the pumping lemma,  $s' = uv^0w = 0^{p-k}1^p0^p1^p \in L$ . Suppose there exists some choice of  $x', y'$  such that  $s' = x'y'x'y'$ . Then, the first half of  $s'$  is  $x'y' = 0^{p-k}1^p1^{k/2}$  and the second half of  $s'$  is  $x'y' = 1^{p-k/2}0^p$ . However, the first half is not the same as the second half, so  $x'y' \neq x'y'$  which is impossible. Thus, there is no choice of  $x'y'$  such that  $s' = x'y'x'y'$ , so  $s' \notin L$ , which is impossible. Hence  $L$  is not regular as desired. //

## Problem 4

### Part (a)

Prove or disprove: the class of regular languages is closed under union.

*Proof.* Let  $L_1$  and  $L_2$  be regular languages. We will show that  $L = L_1 \cup L_2$  is regular by construction. The main idea is to simulate  $M_1$  and  $M_2$  simultaneously, and accept if either accepts.

Since  $L_1$  is regular, there exists some DFA  $M_1 = (Q_1, \Sigma_1, \delta_1, q_0^1, F_1)$  that recognizes  $L_1$ , and since  $L_2$  is regular, there exists some DFA  $M_2 = (Q_2, \Sigma_2, \delta_2, q_0^2, F_2)$  that recognizes  $L_2$ . Define DFA

$$M = ((Q_1 \cup q_{\text{reject}}) \times (Q_2 \cup q_{\text{reject}}), \Sigma_1 \cup \Sigma_2, \delta, (q_0^1, q_0^2), (F_1 \times Q_2) \cup (Q_1 \times F_2))$$

where

$$\delta(s_k, (q_i^1, q_j^2)) = \begin{cases} (\delta_1(q_i^1), \delta_2(q_j^2)) & \text{if } s_k \in \Sigma_1 \wedge s_k \in \Sigma_2 \wedge q_i^1 \in Q_1 \wedge q_j^2 \in Q_2 \\ (\delta_1(q_i^1), q_{\text{reject}}) & \text{if } s_k \in \Sigma_1 \wedge s_k \notin \Sigma_2 \wedge q_i^1 \in Q_1 \\ (q_{\text{reject}}, \delta_2(q_j^2)) & \text{if } s_k \notin \Sigma_1 \wedge s_k \in \Sigma_2 \wedge q_j^2 \in Q_2 \\ (q_{\text{reject}}, q_{\text{reject}}) & \text{otherwise} \end{cases}$$

We will show that  $M$  recognizes  $L$ . First, we show that if  $s \in L$ , then  $M$  accepts  $s$ . Then, we show that if  $M$  accepts  $s$ , then  $s \in L$ .

Fix some  $s \in L$  such that  $s = s_1 s_2 \dots s_m$ . Define  $r_0 = (q_0^1, q_0^2)$ , and  $r_{i+1} = \delta(s_i, r_i)$  for  $0 \leq i \leq m-1$ . Since  $s \in L$ , either  $s \in L_1$  or  $s \in L_2$ . Assume  $s \in L_1$ . Then  $M_1$  accepts  $s$ , so there exists some  $r_0^1 = q_0^1$ ,  $r_{i+1}^1 = \delta_1(s_i, r_i^1)$  for  $0 \leq i \leq m-1$ , where  $r_m^1 \in F_1$ . Consider the sequence of states  $r_0, r_1, \dots, r_m$

taken by  $M$  on  $s$ . Since the first component of  $r_0$  is  $q_0^1$ , by definition of  $M$ , the first component of each  $r_i$  is  $r_i^1$ . Thus, the first component of  $r_m$  is  $r_m^1$ , so  $r_m \in F_1 \times Q_2$ . By definition,  $r_m$  is an accept state, so  $M$  accepts  $s$ . The case where  $s \in L_2$  is similar.

Fix some  $s$  accepted by  $M$  such that  $s = s_1 s_2 \dots s_m$ . Then, there exists some  $r_0 = (q_0^1, q_0^2)$  and  $r_{i+1} = \delta(s_i, r_i)$  for  $0 \leq i \leq m-1$  such that  $r_m = (q_j^1, q_k^2)$  where  $q_j^1 \in Q_1$  or  $q_k^2 \in Q_2$ . Assume  $q_j^1 \in Q_1$ . By definition of  $M$ , there exists  $r_0^1 = q_0^1, r_{i+1}^1 = \delta_1(s_i, r_i^1)$  for  $0 \leq i \leq m-1$ , such that  $r_m^1 = q_j^1 \in Q_1$ , namely  $r_i^1$  is the first component of each  $r_i$ . Thus,  $M_1$  accepts  $s$ , so  $s \in L_1 \subseteq L$ . The case where  $q_k^2 \in Q_2$  is similar.

Thus  $M$  accepts  $s$  if and only if  $s \in L$ . Hence  $L$  is regular as desired. //

## Part (b)

Prove or disprove: the class of regular languages is closed under concatenation.

*Proof.* Let  $L_1$  and  $L_2$  be regular languages. We will show that  $L = L_1 \circ L_2$  is regular by construction. The main idea is to non-deterministically guess a split  $s = ab$  such that  $a \in L_1$  and  $b \in L_2$ .

Since  $L_1$  is regular, there exists some NFA  $M_1 = (Q_1, \Sigma_1, \delta_1, q_0^1, F_1)$  that recognizes  $L_1$ , and since  $L_2$  is regular, there exists some NFA  $M_2 = (Q_2, \Sigma_2, \delta_2, q_0^2, F_2)$  that recognizes  $L_2$ . Define NFA

$$M = (Q_1 \cup Q_2, \Sigma_1 \cup \Sigma_2, \delta, q_0^1, F_2)$$

where

$$\begin{aligned} \delta'_1(s_k, q_i) &= \begin{cases} \delta_1(s_k, q_i) & \text{if } q_i \in Q_1 \wedge s_k \in \Sigma_1 \cup \{\epsilon\} \\ \emptyset & \text{otherwise} \end{cases} \\ \delta'_2(s_k, q_i) &= \begin{cases} \delta_2(s_k, q_i) & \text{if } q_i \in Q_2 \wedge s_k \in \Sigma_2 \cup \{\epsilon\} \\ \emptyset & \text{otherwise} \end{cases} \\ \delta(s_k, q_i) &= \begin{cases} \delta'_1(s_k, q_i) \cup \{q_0^2\} & \text{if } q_i \in F_1 \wedge s_k = \epsilon \\ \delta'_1(s_k, q_i) \cup \delta'_2(s_k, q_i) & \text{otherwise} \end{cases} \end{aligned}$$

We will show that  $M$  recognizes  $L$ . First, we show that if  $s \in L$ , then  $M$  accepts  $s$ . Then, we show that if  $M$  accepts  $s$ , then  $s \in L$ .

Fix some  $s \in L$  where  $s = s_1 s_2 \dots s_m$ . Then, there exists  $a \in L_1$  and  $b \in L_2$  such that  $s = ab$ . Since  $a \in L_1$ ,  $M_1$  accepts  $a$ . Therefore, there exists some  $r_0^1 = q_0^1, r_i^1 \in \delta_1(a_i, r_{i-1}^1)$  for  $1 \leq i \leq |a|$ , where  $r_{|a|}^1 \in F_1$ . Likewise,  $M_2$  accepts  $b$ . Therefore, there exists some  $r_0^2 = q_0^2, r_i^2 \in \delta_2(b_i, r_{i-1}^2)$  for  $1 \leq i \leq |b|$ , where

$r_{|b|}^2 \in F_2$ . Define  $s' = acb = s$ , and  $r = r_1 r_2 \dots r_{|s'|}$  where

$$r_i = \begin{cases} r_0^1 & \text{if } i = 0 \\ r_i^1 & \text{if } 1 \leq i \leq |a| \\ r_0^2 & \text{if } i = |a| + 1 \\ r_i^2 & \text{if } |a| + 2 \leq i \leq |s'| \end{cases}$$

Now, we must verify that  $r$  is an accepting computation of  $M$  on  $s'$ :

1. We have that  $r_0 = r_0^1 = q_0^1$  which is the start state of  $M$ .
2. We consider three subcases

(a) Assume  $1 \leq i \leq |a|$ . Then

$$r_i = r_i^1 \in \delta_1(a_i, r_{i-1}^1) = \delta'_1(s'_i, r_{i-1}) \subseteq \delta(s'_i, r_{i-1})$$

(b) Assume  $i = |a| + 1$ . Then

$$r_i = r_0^2 = q_0^2 \in \delta(\epsilon, r_{|a|}^1) = \delta(s'_{|a|}, r_{i-1})$$

(c) Assume  $|a| + 2 \leq i \leq |s'|$ . Then

$$r_i = r_i^2 \in \delta_2(b_i, r_{i-|a|-2}^2) = \delta'_1(s'_i, r_{i-1}) \subseteq \delta(s'_i, r_{i-1})$$

so for all  $1 \leq i \leq |s'|$ ,  $r_i \in \delta(s'_i, r_{i-1})$ .

3. We have that  $r_{|s'|} = r_{|b|}^2 \in F_2$ , so the final state is an accept state.

Therefore,  $M$  accepts  $s$ .

Fix some  $s = s_1 s_2 \dots s_m$  where  $M$  accepts  $s$ . Then, there exists some accepting sequence of states  $r = r_1 r_2 \dots r_t \epsilon r_{t+2} \dots r_m$ , where  $r$  must contain  $\epsilon$  otherwise all  $q \in Q_2$  are unreachable, which is impossible since  $r_m \in F_2 \subseteq Q_2$ . Define  $a = s_1 \dots s_k$  and  $b = s_{k+2} \dots s_m$ . We must show that  $a \in L_1$  and  $b \in L_1$ . First, we show that  $a \in L_1$ . Since

Thus  $M$  accepts  $s$  if and only if  $s \in L$ . Hence  $L$  is regular as desired. //

### Part (c)

Prove or disprove: the class of regular languages is closed under star.

*Proof.* TODO //