


# Chapter 6

## Constraint Satisfaction Problems



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Acknowledgements: This presentation is created by Jane hsu based on the lecture slides from *The Artificial Intelligence: A Modern Approach* by Russell & Norvig, a PowerPoint version by Min-Yen Kan, as well as various materials from the web.

# Outline

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- Constraint Satisfaction Problems (CSP)
- Backtracking search for CSPs
- Constraint propagation algorithms
- Problem structure and decomposition
- Local search for CSPs

# Example

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TWO  
+ TWO

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FOUR

SEND  
+ MORE

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MONEY

# Constraint Satisfaction Problems (CSPs)

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- CSP is a specialization of the general search
- state is defined by
  - variables  $X_i$  with values from domain  $D_i$
- goal test is a set of constraints specifying allowable combinations of values for subsets of variables
- Simple example of a formal representation language
- Allows useful general-purpose algorithms with more power than standard search algorithms

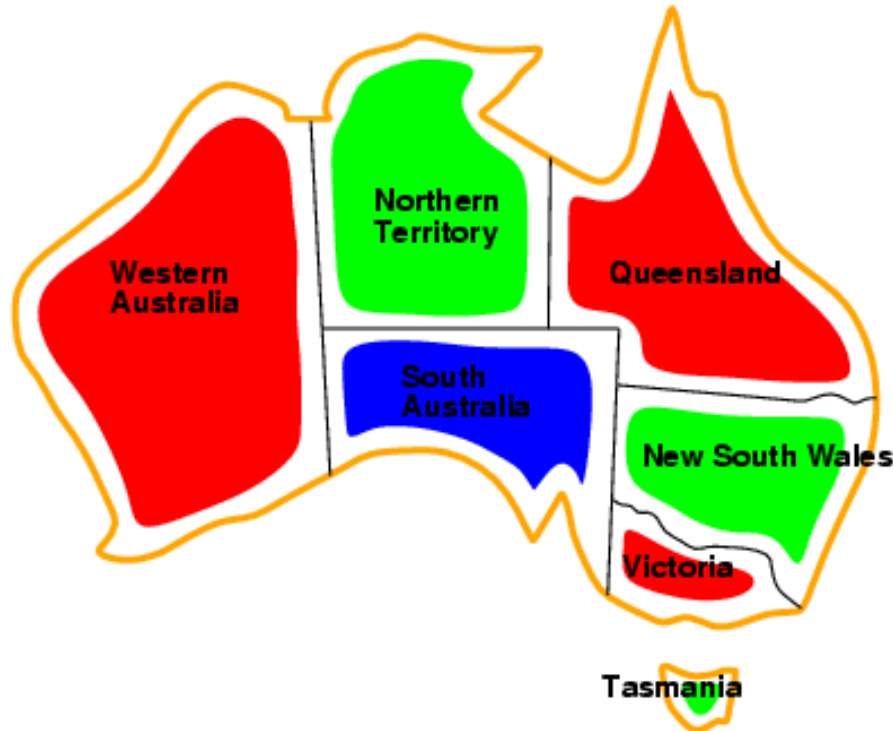
# Example: Map-Coloring



- ❑ **Variables**  $WA, NT, Q, NSW, V, SA, T$
- ❑ **Domains**  $D_i = \{\text{red}, \text{green}, \text{blue}\}$
- ❑ **Constraints**: adjacent regions must have different colors
- ❑ e.g.,  $WA \neq NT$ , or  $(WA, NT) \in \{(\text{red}, \text{green}), (\text{red}, \text{blue}), (\text{green}, \text{red}), (\text{green}, \text{blue}), (\text{blue}, \text{red}), (\text{blue}, \text{green})\}$

# Example: Map-Coloring

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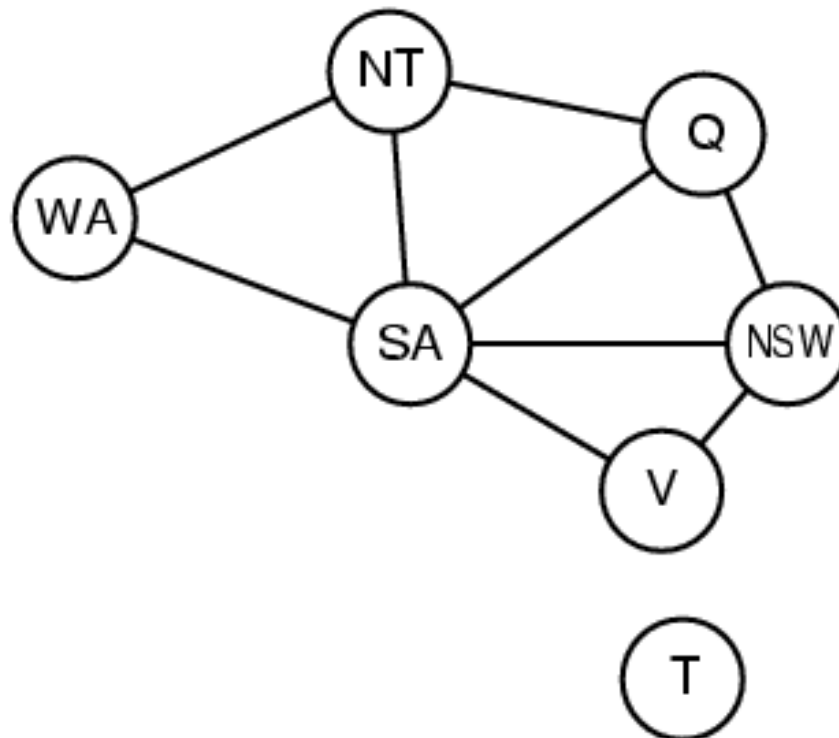


- Solutions are complete and consistent assignments, e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

# Constraint Graph

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- ❑ **Binary CSP**: each constraint relates two variables
- ❑ **Constraint graph**: nodes are variables, arcs are constraints



# Varieties of Constraints

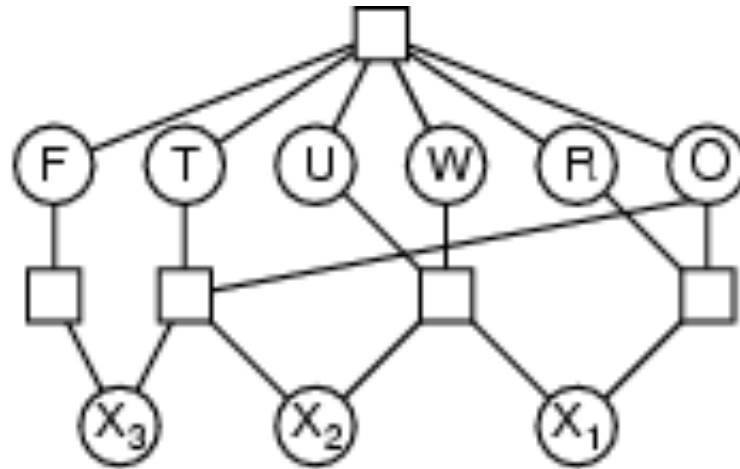
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- **Unary** constraints involve a single variable,
  - e.g.,  $SA \neq \text{red}$
- **Binary** constraints involve pairs of variables,
  - e.g.,  $SA \neq WA$
- **Higher-order** constraints involve 3 or more variables,
  - e.g., cryptarithmic column constraints
- Preferences (soft constraints)
  - e.g., **green** is better than **red**



# Example: Cryptarithmic

$$\begin{array}{r} \text{T W O} \\ + \text{T W O} \\ \hline \text{F O U R} \end{array}$$



- **Variables:**  $F T U W$   
 $R O X_1 X_2 X_3$
- **Domains:**  $\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$
- **Constraints:**  $\text{Alldiff}(F, T, U, W, R, O)$ 
  - $O + O = R + 10 \cdot X_1$
  - $X_1 + W + W = U + 10 \cdot X_2$
  - $X_2 + T + T = O + 10 \cdot X_3$
  - $X_3 = F, T \neq 0, F \neq 0$

# Varieties of CSPs

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## □ Discrete variables

### ■ finite domains:

- $n$  variables, domain size  $d \rightarrow O(d^n)$  complete assignments
- e.g., Boolean CSPs, incl. Boolean satisfiability (NP-complete)

### ■ infinite domains:

- integers, strings, etc.
- e.g., job scheduling, variables are start/end days for each job
- need a constraint language, e.g.,  $StartJob_1 + 5 \leq StartJob_3$

## □ Continuous variables

- e.g., start/end times for Hubble Space Telescope observations
- linear constraints solvable in polynomial time by linear programming

# Real-World CSPs

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- Assignment problems
  - e.g., who teaches what class
- Timetabling problems
  - e.g., which class is offered when and where?
- Transportation scheduling
- Factory scheduling
  
- Notice that many real-world problems may involve real-valued variables

# Standard Search Formulation (Incremental)

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Let's start with the straightforward approach, then fix it

States are defined by the values assigned so far

- **Initial state**: the empty assignment  $\{ \}$
  - **Successor function**: assign a value to an unassigned variable that does not conflict with current assignment  
→ fail if no legal assignments
  - **Goal test**: the current assignment is complete
- 
1. This is the same for all CSPs
  2. Every solution appears at depth  $n$  with  $n$  variables  
→ use depth-first search
  3. Path is irrelevant, so can also use complete-state formulation
  4.  $b = (n - \ell)d$  at depth  $\ell$ , hence  $n! \cdot d^n$  leaves

# Backtracking Search

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- Variable assignments are **commutative**, i.e.,
  - [WA=red then NT=green] same as [NT=green then WA=red]
- Need to consider assignments to a single variable at each node
  - → Given  $d$  values for  $n$  variables, there are  $dn$  leaves.
- Depth-first search for CSPs with single-variable assignments is called backtracking search
- Backtracking search is the basic uninformed algorithm for CSPs
- Can solve n-queens for  $n \approx 25$

# Backtracking Search Algorithm

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```
function BACKTRACKING-SEARCH(csp) returns solution/failure
  return RECURSIVE-BACKTRACKING({ }, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns soln/failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(VARIABLES[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment given CONSTRAINTS[csp] then
      add {var = value} to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove {var = value} from assignment
  return failure
```

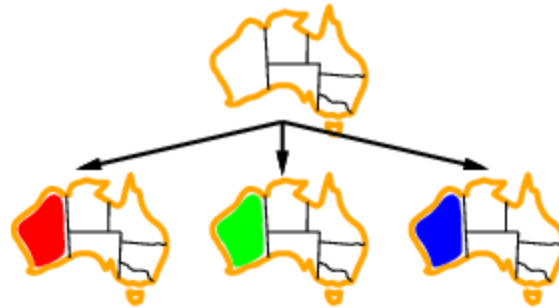
# Backtracking Search Example

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# Backtracking Search Example

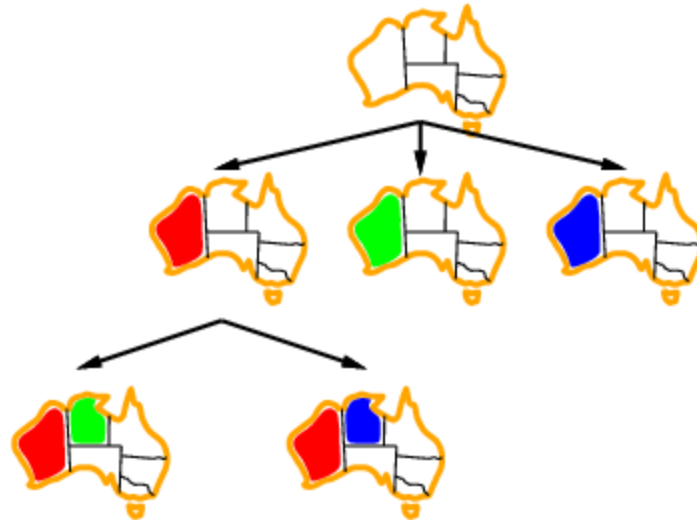
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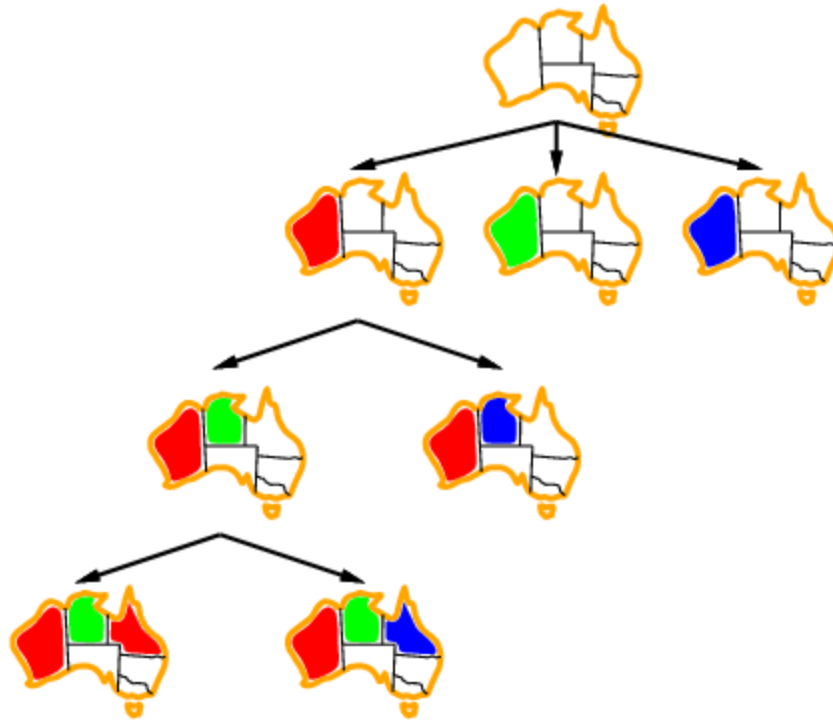
# Backtracking Search Example

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# Backtracking Search Example

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# Improving Backtracking Efficiency

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- **General-purpose** methods can give huge gains in speed:
- Which variable should be assigned next?
- In what order should its values be tried?
- Can we detect inevitable failure early?

# Most Constrained Variable

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- Most constrained variable:  
choose the variable with the fewest legal values

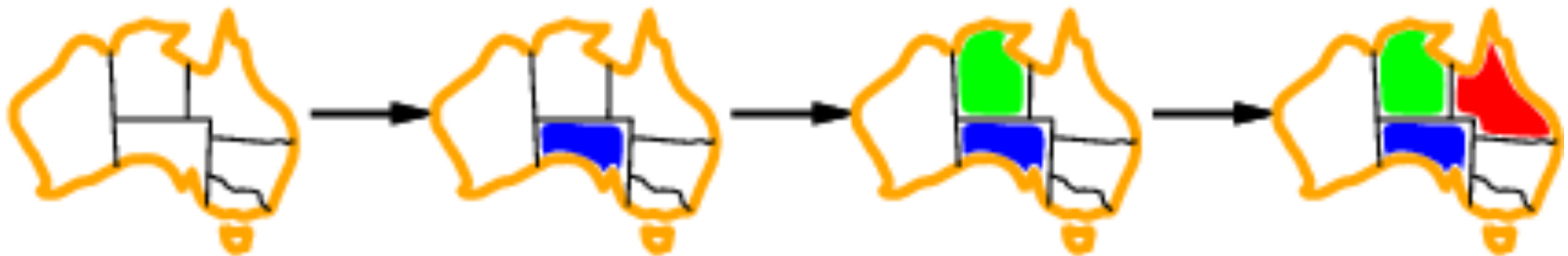


- a.k.a. **minimum remaining values (MRV)**  
heuristic

# Most Constraining Variable

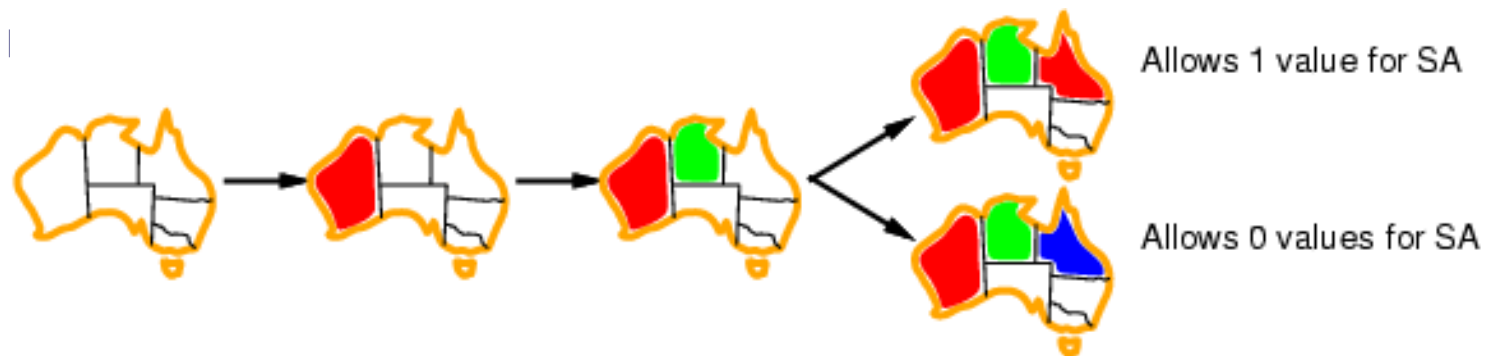
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- Tie-breaker among most constrained variables
- Most constraining variable:
  - choose the variable with the most constraints on remaining variables



# Least Constraining Value

- Given a variable, choose the least constraining value:
  - the one that rules out the fewest values in the remaining variables



- Combining these heuristics makes 1000 queens feasible

# Intelligent Backtracking

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- Chronological Backtracking
  - Basic policy: when the search fails, back up to the preceding variable and try a different value, i.e. the most recent decision point is revisited.
- Alternative: go all the way back to one of the set of variables that caused the failure.
  - Conflict set
- Backjumping: backtracks to the most recent variable in the conflict set

# Sudoku

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	2		3
		4	2
3	4		
2		3	



# Solve the Puzzle

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	6		1		4		5	
		8	3		5	6		
2								1
8			4		7			6
		6				3		
7			9		1			4
5								2
		7	2		6	9		
	4		5		8		7	

# Constraint Satisfaction Problems: Sudoku Solver

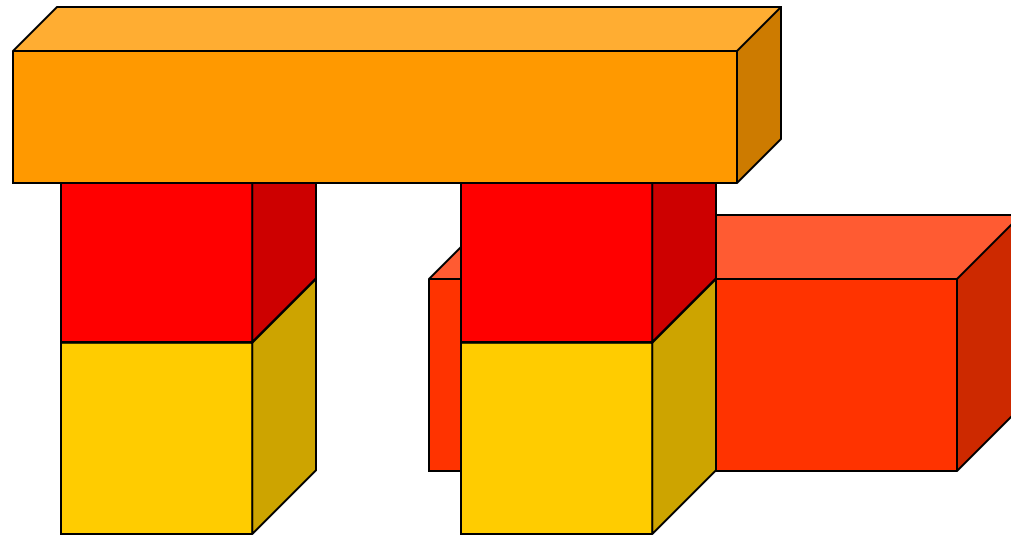
By  
Brandon Adame

# Waltz Labeling Algorithm



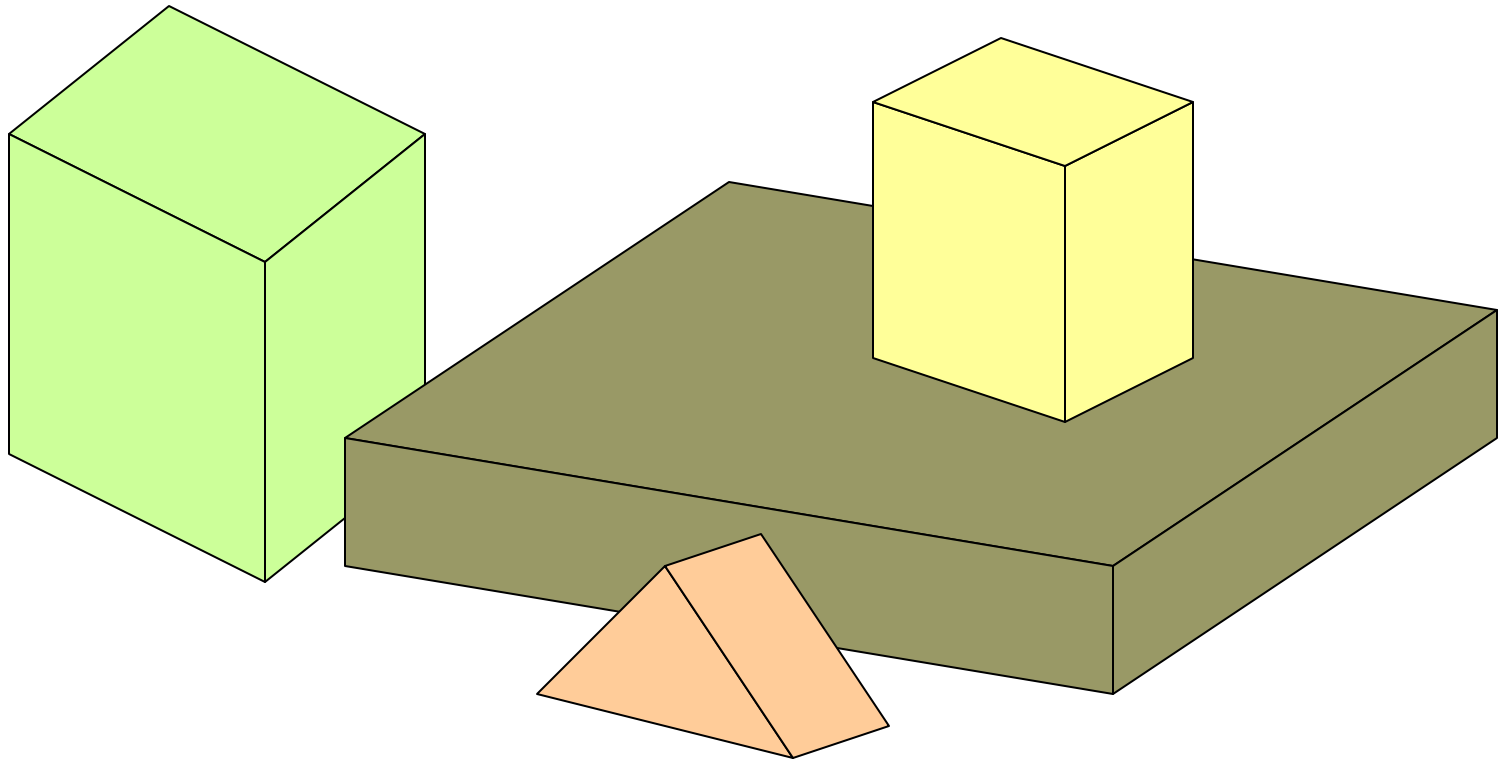
# Example: Scene Analysis for Polyhedra

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# Edge Labeling

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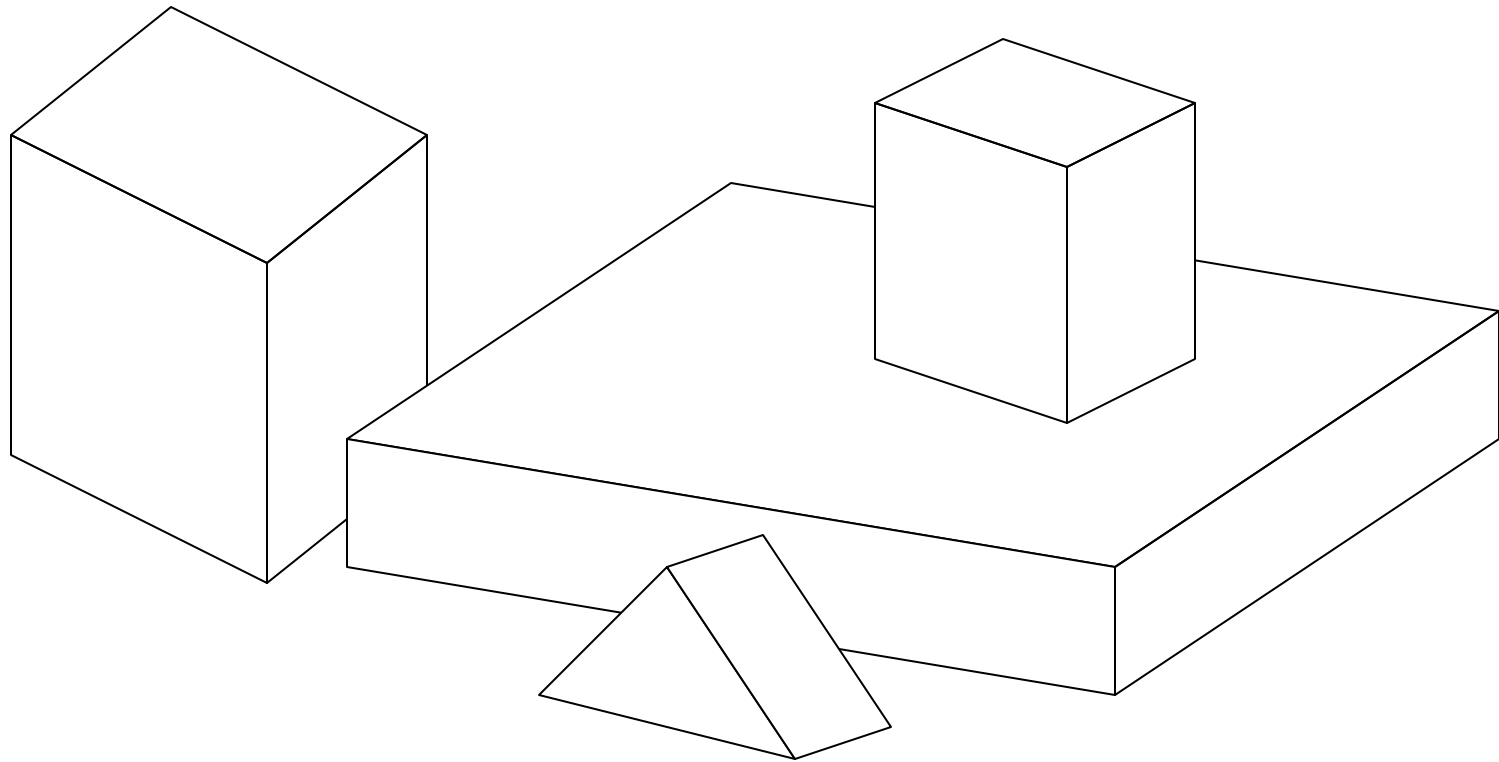
# Edge Labeling as a CSP

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- A **variable** is associated with each junction
- The **domain** of a variable is the label set of the corresponding junction
- Each **constraint** imposes that the values given to two adjacent junctions give the same label to the joining edge

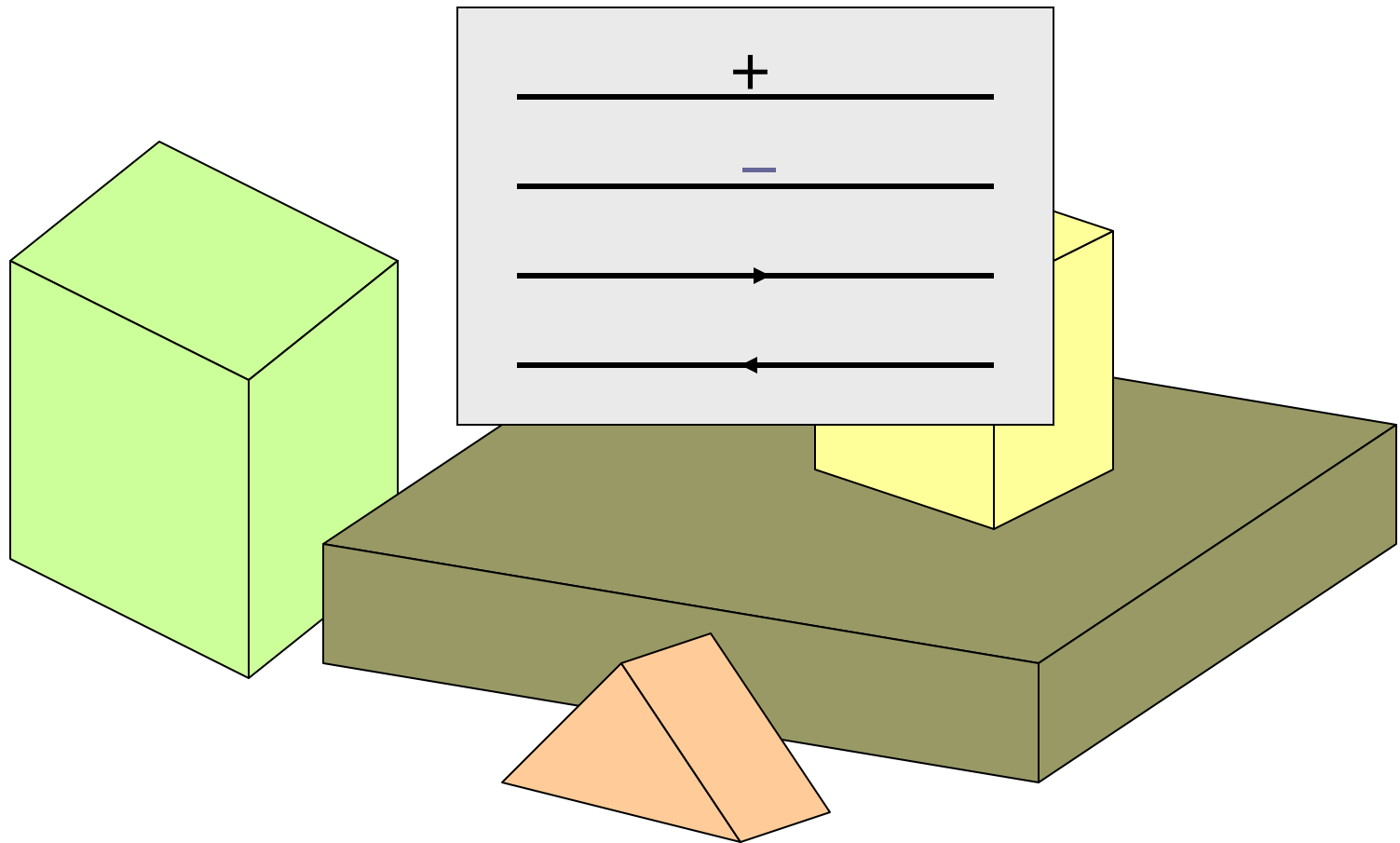
# The Line Labeling Problem

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# Edge Labeling

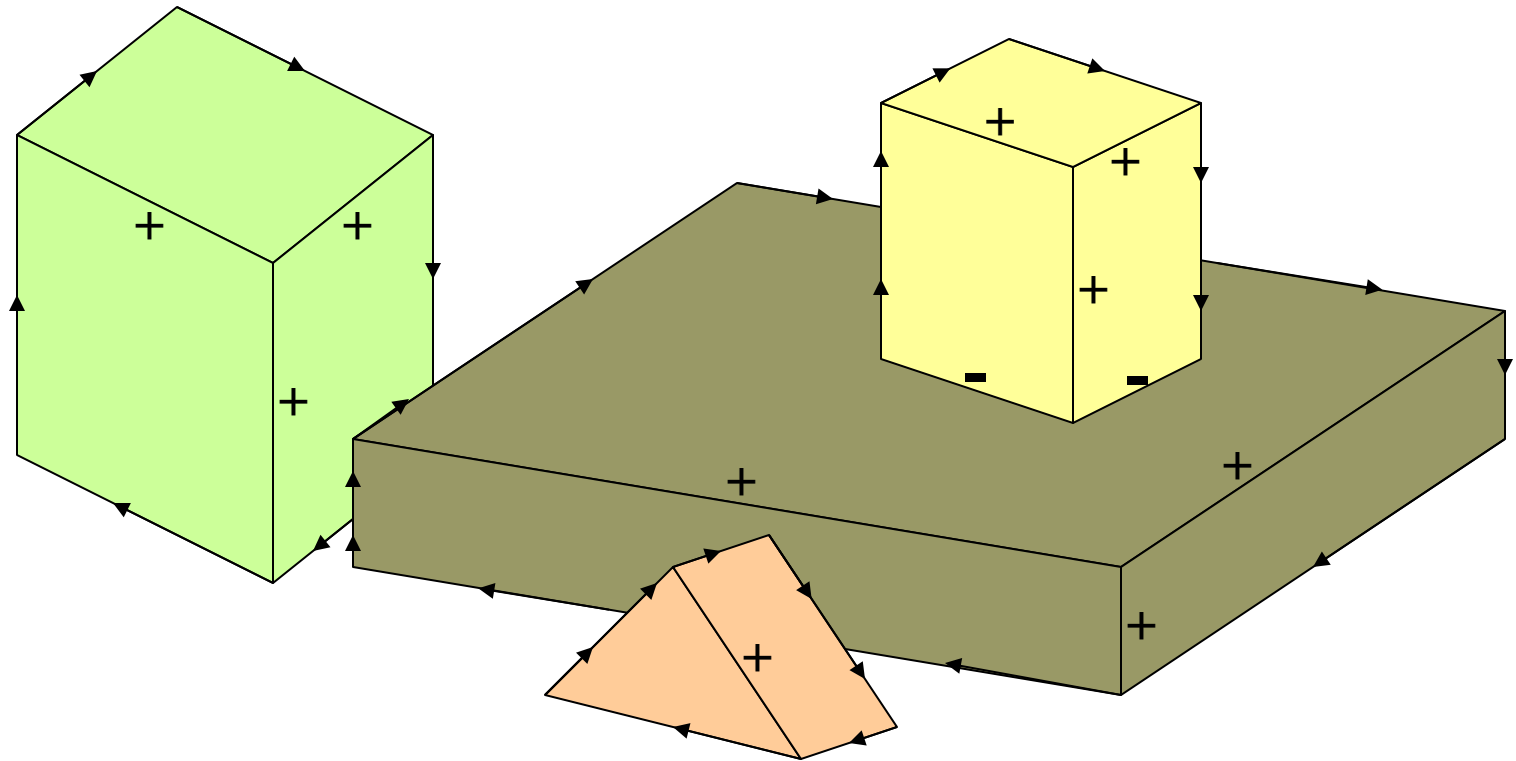
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# Edge Labeling

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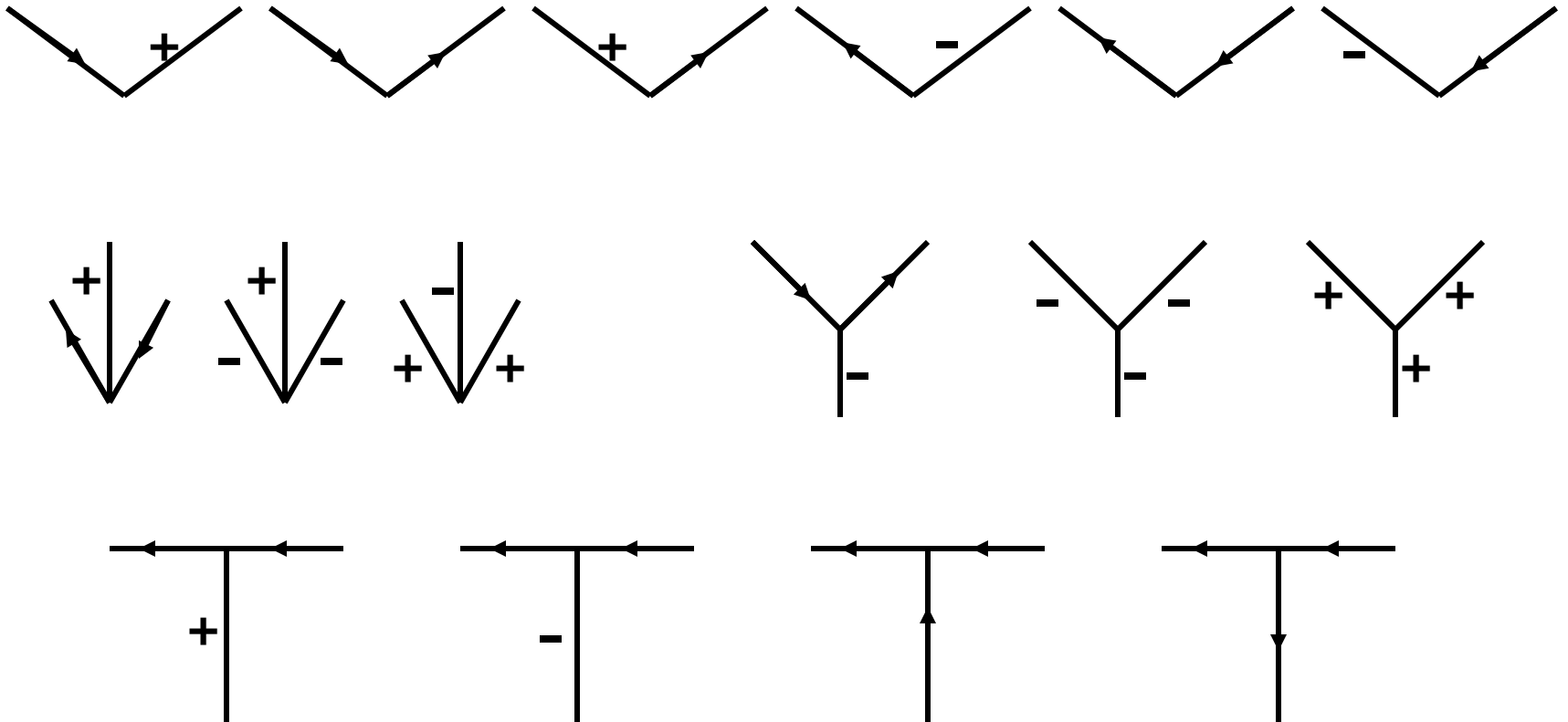
# Combinatorics: Simple Case

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- Trihedral vertices, no concave or crack edges, uniform illumination (no shadows)
  - 4 ways to label a line, so
    - $4^2 = 16$  labelings for an L
    - $4^3 = 64$  labelings for fork, arrow, and T
- BUT out of 208 junctions, only 18 are physically possible.

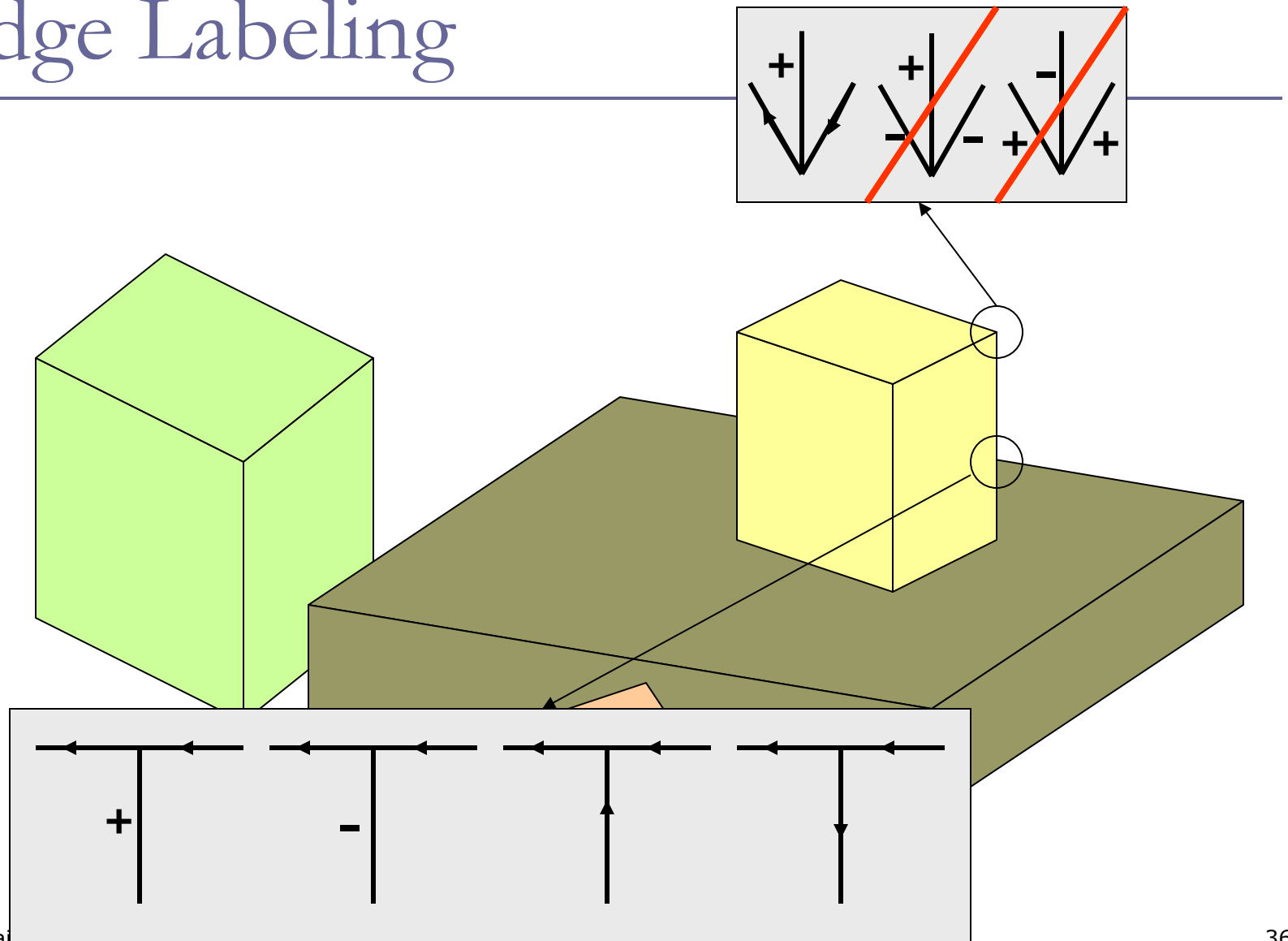
# The Huffman-Clowes Label Sets

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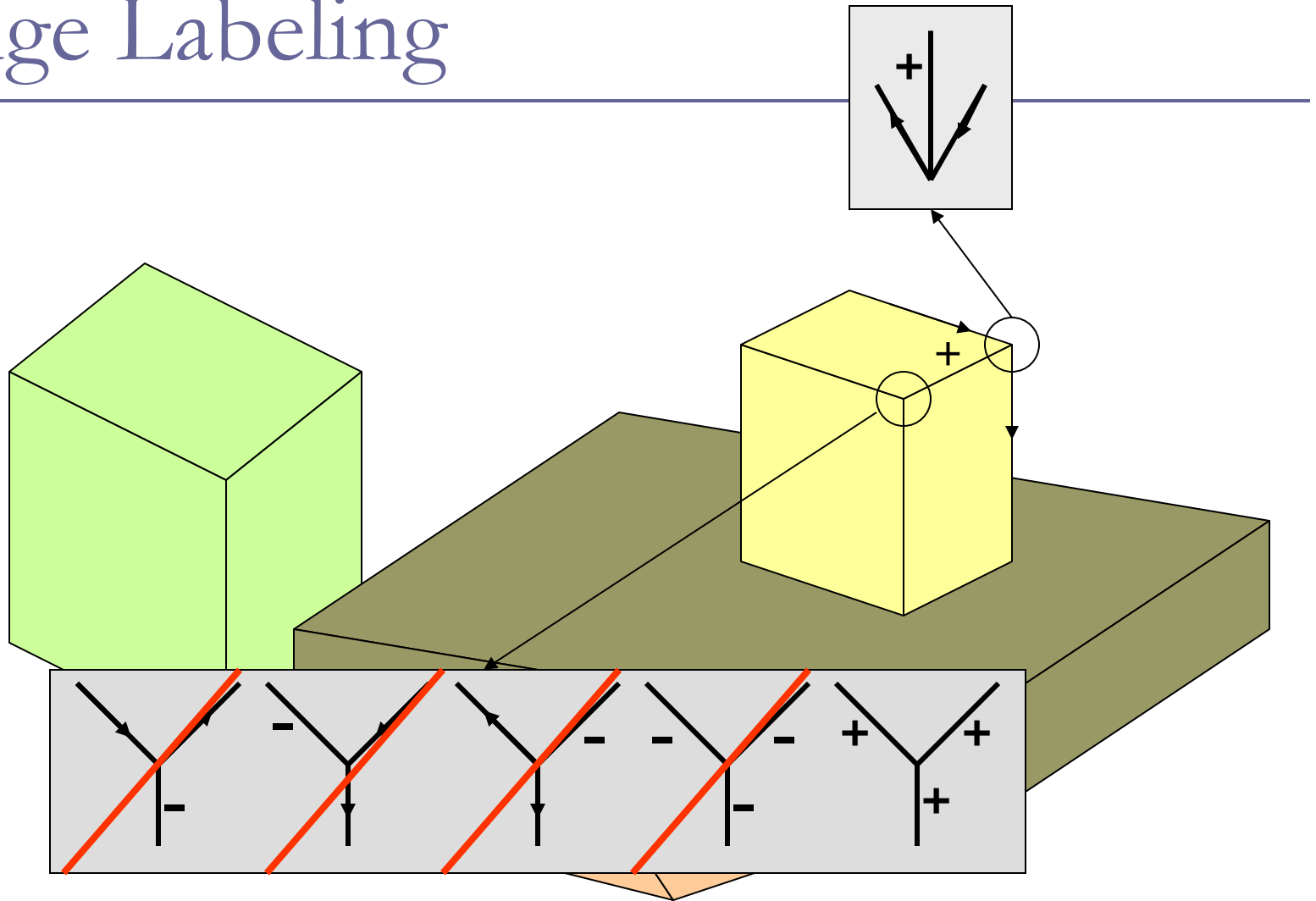


(Waltz, 1975; Mackworth, 1977)

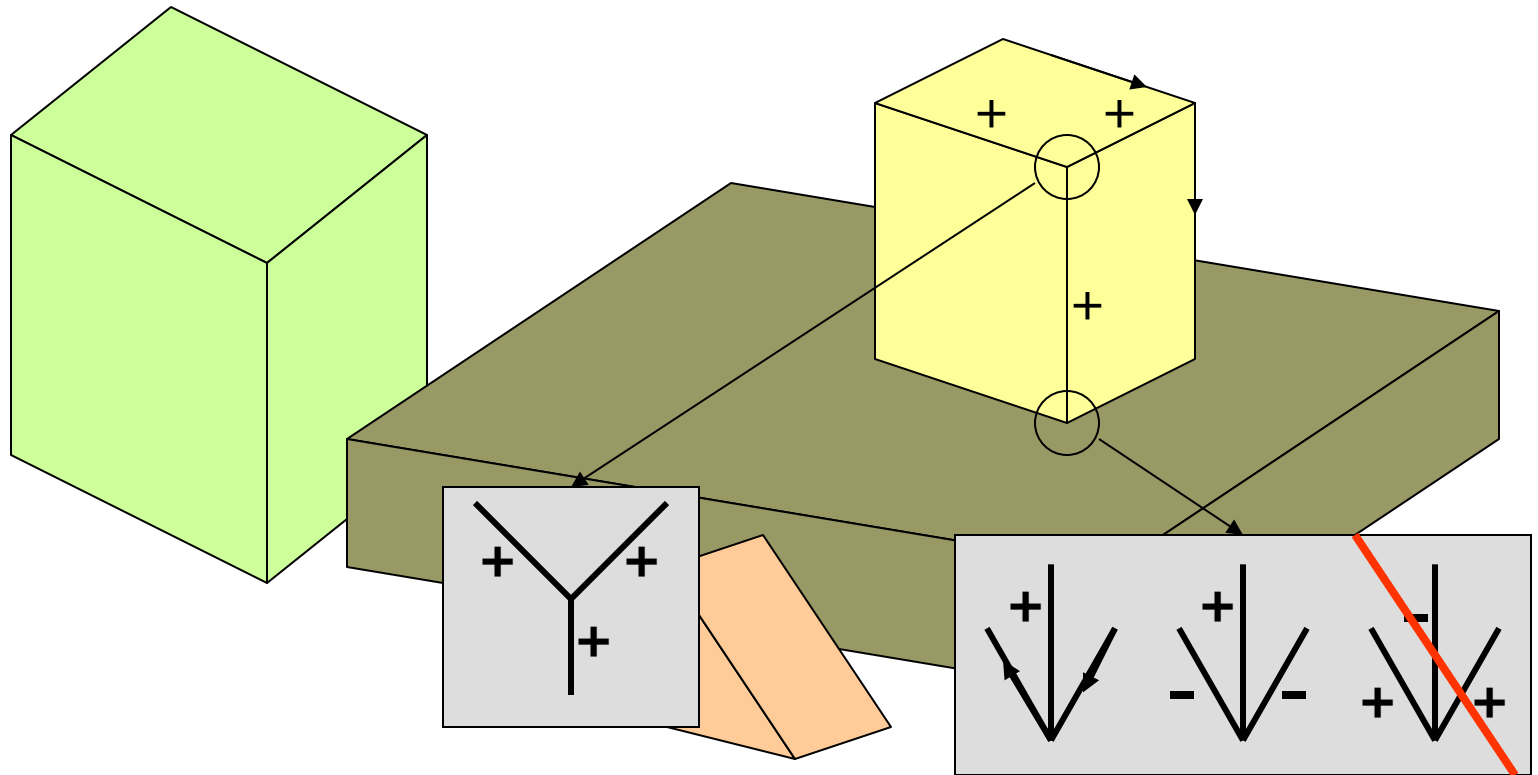
# Edge Labeling



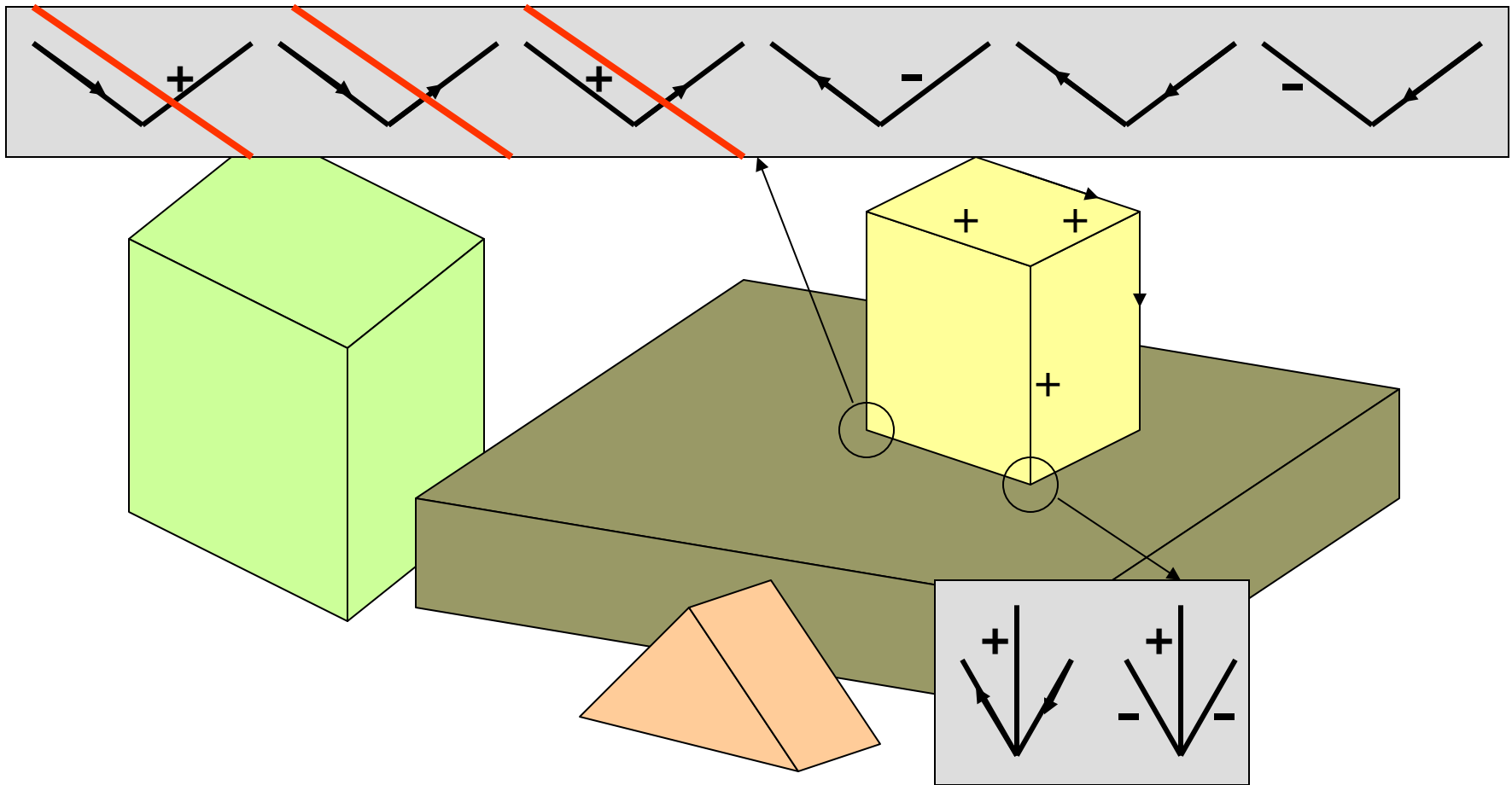
# Edge Labeling



# Edge Labeling



# Edge Labeling



# Waltz's Algorithm

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1. Identify and label the lines at the border.
2. Label each vertex with all possible labelings for its vertex type.
3. Pick a vertex (starting from the border),  $V$   
For each neighboring vertex,  $N$ ,
  - If  $N$  and  $V$  agree on the possible labelings for the line between them, do nothing.
  - Otherwise, remove the inconsistent labelings.
  - Propagate the constraint by repeating the process for all neighboring vertices.

Termination condition:

- Every vertex has been visited at least once.
- There are no more constraints to propagate.



# Forward Checking

## □ Idea:

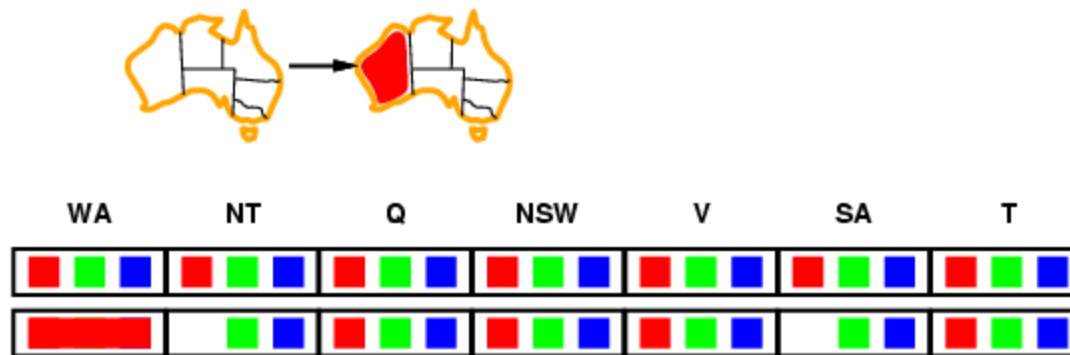
- Keep track of remaining legal values for unassigned variables
- Terminate search when any variable has no legal values
- 



# Forward checking

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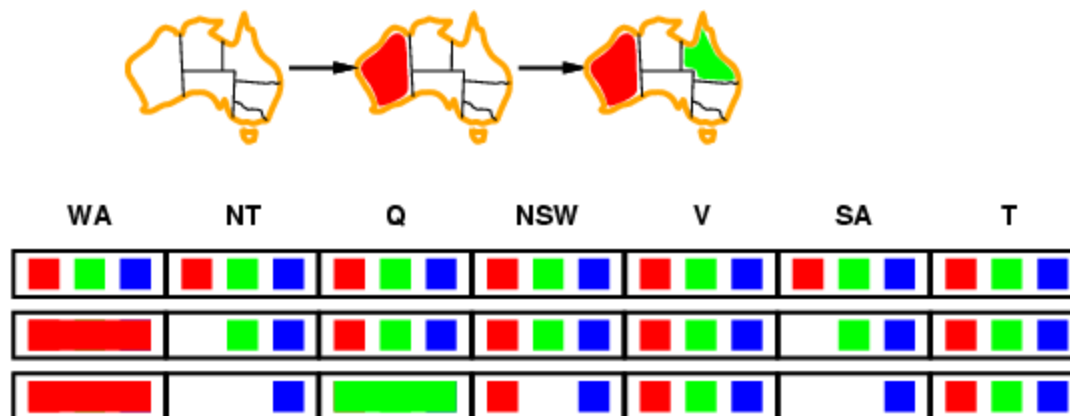
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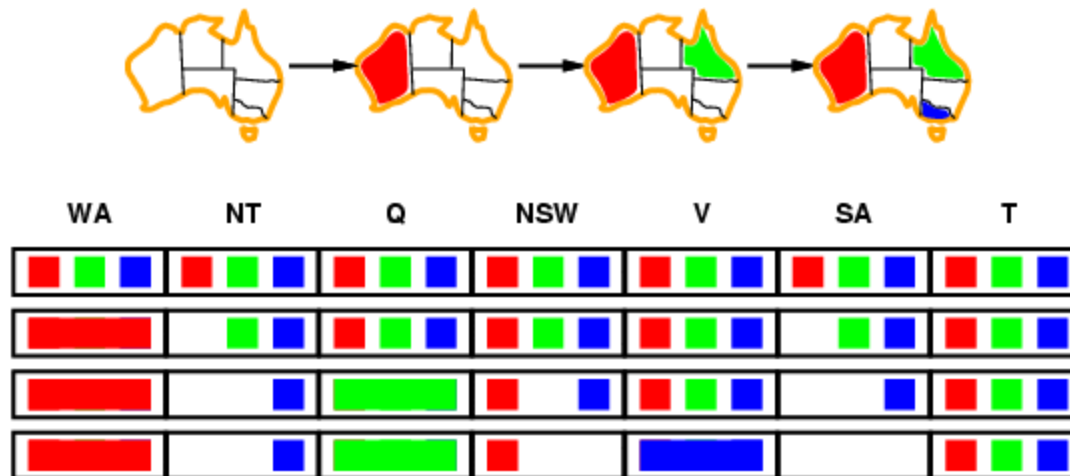
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# Forward Checking

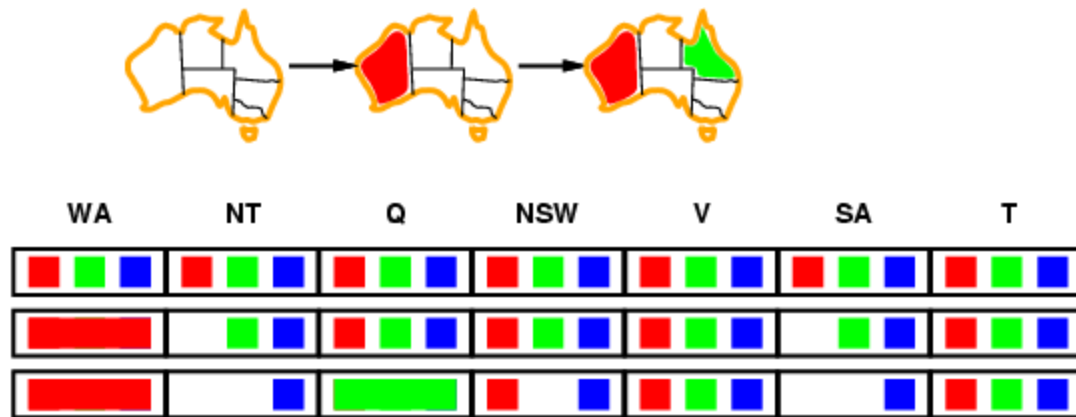
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- Keep track of remaining legal values for unassigned variables
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- 



# Constraint Propagation

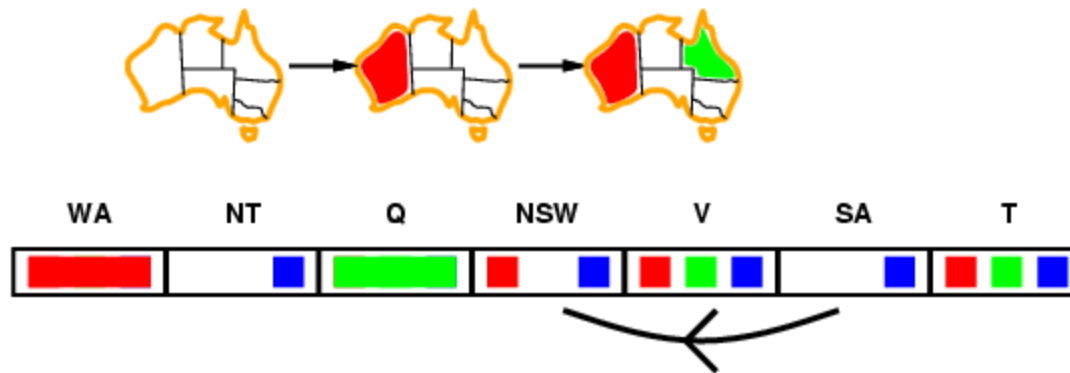
- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:



- NT and SA cannot both be blue!
- Constraint propagation** repeatedly enforces constraints locally

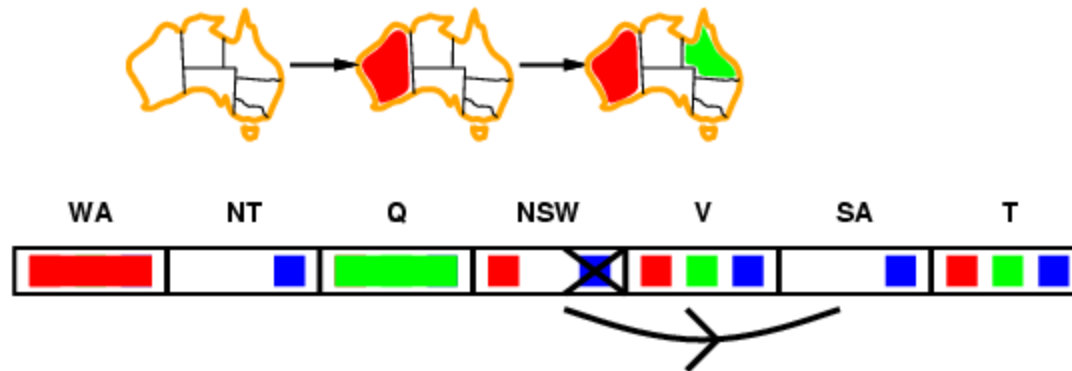
# Arc Consistency

- Simplest form of propagation makes each arc **consistent**
- $X \rightarrow Y$  is consistent iff  
for **every** value  $x$  of  $X$  there is **some** allowed  $y$



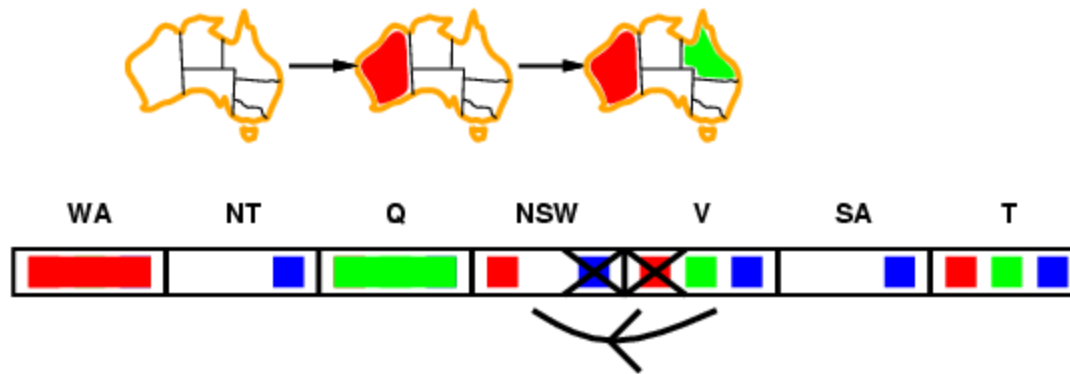
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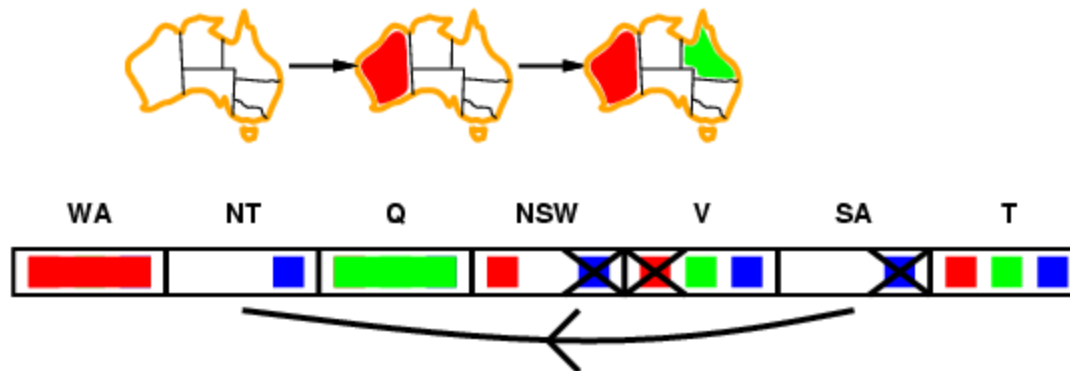


- If  $X$  loses a value, neighbors of  $X$  need to be rechecked



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- $X \rightarrow Y$  is consistent iff  
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- If  $X$  loses a value, neighbors of  $X$  need to be rechecked
- Arc consistency detects failure earlier than forward checking
- Can be run as a preprocessor or after each assignment

# Arc Consistency Algorithm AC-3

**function** AC-3(*csp*) **returns** the CSP, possibly with reduced domains

**inputs:** *csp*, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$

**local variables:** *queue*, a queue of arcs, initially all the arcs in *csp*

**while** *queue* is not empty **do**

$(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\text{queue})$

**if** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **then**

**for each**  $X_k$  **in** NEIGHBORS[ $X_i$ ] **do**

            add  $(X_k, X_i)$  to *queue*

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**function** REMOVE-INCONSISTENT-VALUES( $X_i, X_j$ ) **returns** true iff succeeds

*removed*  $\leftarrow$  false

**for each**  $x$  **in** DOMAIN[ $X_i$ ] **do**

**if** no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy the constraint  $X_i \leftrightarrow X_j$

**then** delete  $x$  from DOMAIN[ $X_i$ ]; *removed*  $\leftarrow$  true

**return** *removed*

$O(n^2d^3)$ , can be reduced to  $O(n^2d^2)$  (but detecting **all** is NP-hard)

$n$ : the number of variables;  $d$ : the size of domain

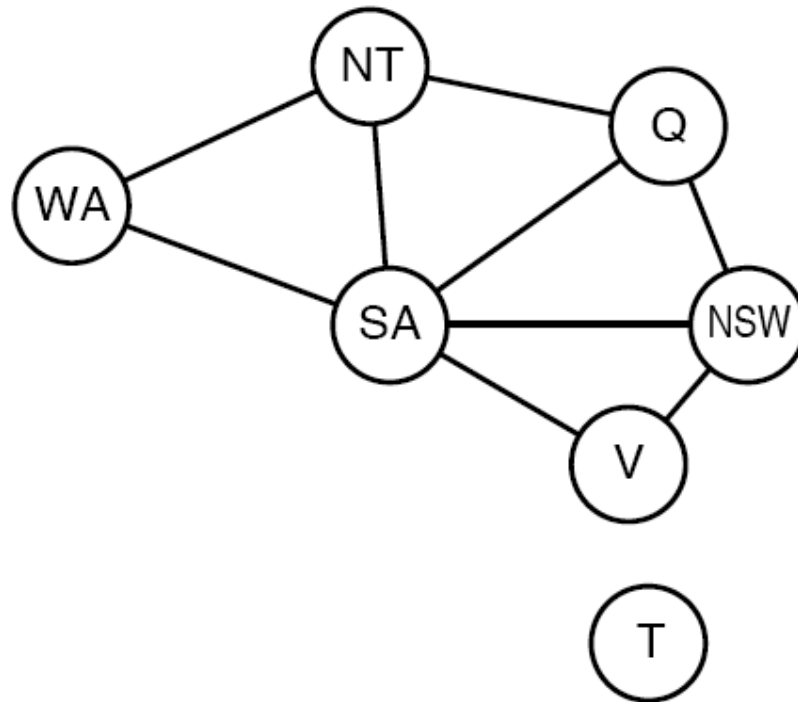
# $k$ -Consistency

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- A CSP is  $k$ -consistent if, for any set of  $k-1$  variables and for any consistent assignment to those variables, a consistent value can always be assigned to the  $k^{\text{th}}$  variable.
  - Node-consistency
  - Path-consistency
- A graph is strongly  $k$ -consistent if it is  $k$ -consistent and is also  $(k-1)$ -consistent,  $(k-2)$ -consistent,...all the way to 1-consistent.

# Problem Structure

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Tasmania and mainland are **independent subproblems**

Identifiable as **connected components** of constraint graph

# Analysis

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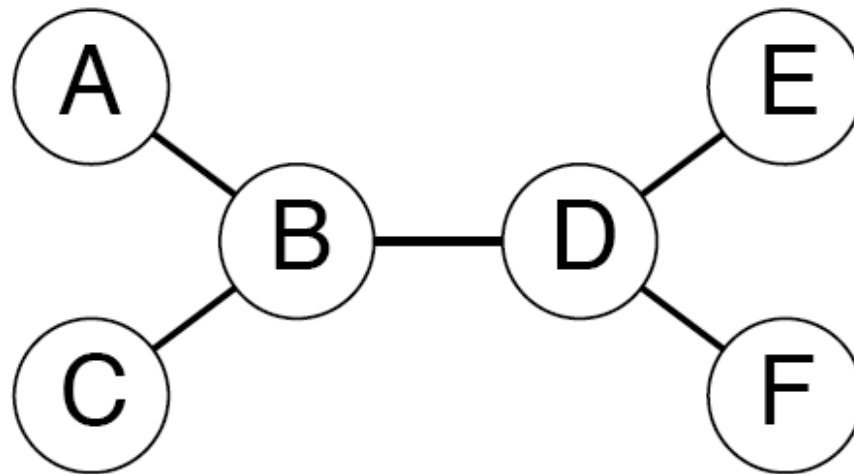
- Suppose each subproblem has  $c$  variables out of  $n$  total
- Worst-case solution cost is linear in  $n$ .

$$\frac{n}{c} \bullet d^c$$

- Example:  $n=80, d=2, c=20$ 
  - $2^{80} = 4$  billion years at 10 million nodes/sec
  - $4 \times 2^{20} = 0.4$  seconds at 10 million nodes/sec

# Tree-Structured CSPs

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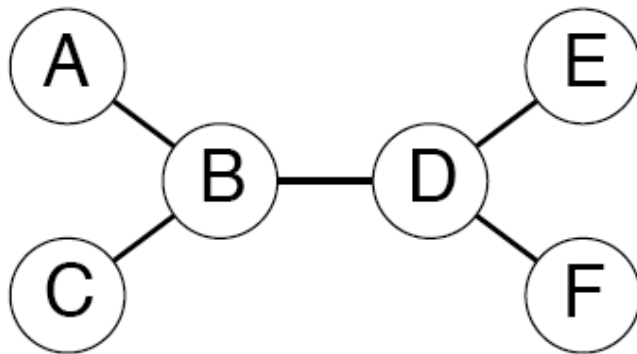
**Theorem:** if the constraint graph has no loops, the CSP can be solved in  $O(nd^2)$  time

Compare to general CSPs, where worst-case time is  $O(d^n)$

# Algorithm

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1. Choose a variable as root, order variables from root to leaves such that every node's parent precedes it in the ordering



2. For  $j$  from  $n$  down to 2, apply  $\text{REMOVEINCONSISTENT}(\text{Parent}(X_j), X_j)$
3. For  $j$  from 1 to  $n$ , assign  $X_j$  consistently with  $\text{Parent}(X_j)$

# Local Search for CSPs

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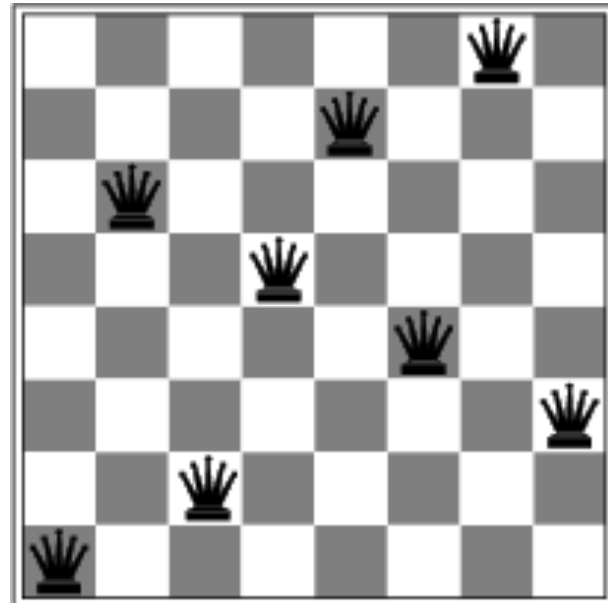
- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned
- To apply to CSPs:
  - allow states with unsatisfied constraints
  - operators **reassign** variable values
- Variable selection: randomly select any conflicted variable
- Value selection by **min-conflicts** heuristic:
  - choose value that violates the fewest constraints
  - i.e., hill-climb with  $h(n)$  = total number of violated constraints



# Evaluation Function

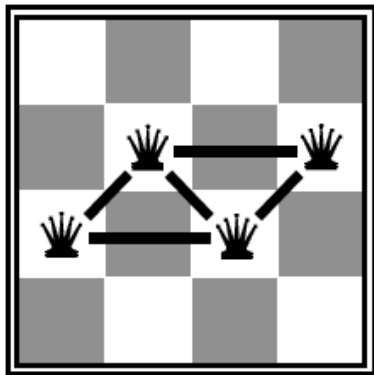
- $h$  = number of pairs of queens that are attacking each other, either directly or indirectly
  - $h = 17$  for the state on the left
  - $h = 1$  for the state (local minimum) on the right

18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♙	13	16	13	16
♙	14	17	15	♙	14	16	16
17	♙	16	18	15	♙	15	♙
18	14	♙	15	15	14	♙	16
14	14	13	17	12	14	12	18

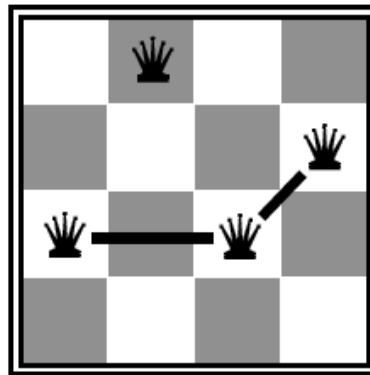
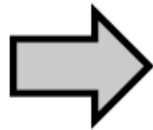


# Example: $n$ -queens

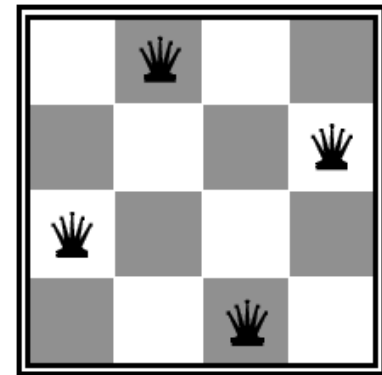
- Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal
  - States:** any configuration of  $n$  queens
    - E.g. 4 queens in 4 columns ( $4^4 = 256$  states)
  - Actions:** move any queen within its column
  - Goal test:** no attacks
  - Evaluation:**  $h(n)$  = number of attacks



$h = 5$



$h = 2$



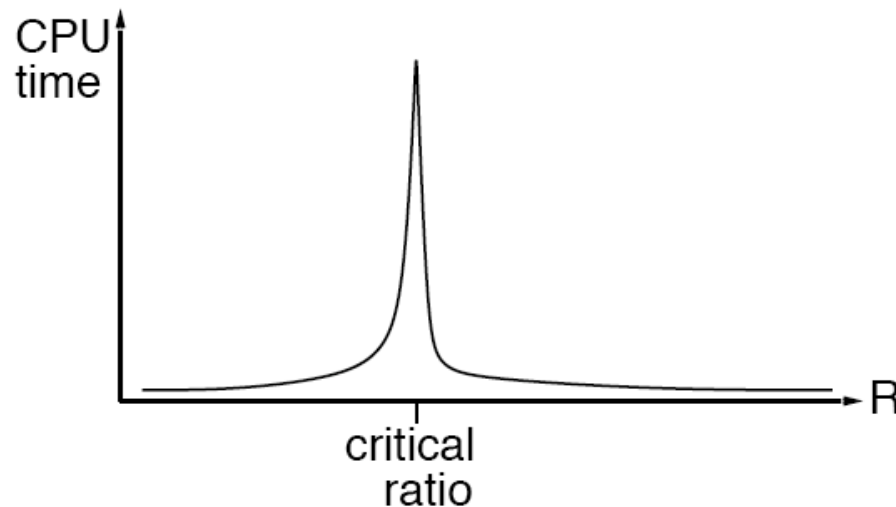
$h = 0$

# Performance of min-conflicts

Given random initial state, can solve  $n$ -queens in almost constant time for arbitrary  $n$  with high probability (e.g.,  $n = 10,000,000$ )

The same appears to be true for any randomly-generated CSP **except** in a narrow range of the ratio

$$R = \frac{\text{number of constraints}}{\text{number of variables}}$$



# Summary

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- CSPs are a special kind of problem:
  - states defined by values of a fixed set of variables
  - goal test defined by constraints on variable values
- Backtracking = depth-first search with one variable assigned per node
- Variable ordering and value selection heuristics help significantly
- Forward checking prevents assignments that guarantee later failure
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies
- Iterative min-conflicts is usually effective in practice