

3L/01/2022

## Order Statistics

### Problem Statement

Input: An unsorted Array  $A$ , an integer  $k$

Output:- Find the  $k$ th-smallest number in  $A$ .

(Array  $A$  has distinct numbers)

$k = 1$ : minimum element in  $A$

$k = n$ : maximum element in  $A$

To find minimum/maximum you just scan the array.

How much time this will take?  $O(n)$ .

$k = \frac{n}{2}$ : median element in  $A$ .

Suppose you are allowed to use sorting.

→ Sort  $A$  (merge-sort)

→ return  $A[\frac{n}{2}]$ .

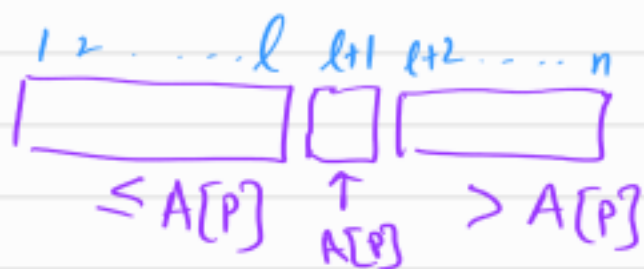
How much time the above algo. will take?

$O(n \log n)$ .

Today we will see a linear time algo.

Recall the subroutine that returns the rank of an element in an array  $A$ .

$\text{Partition}(A[1, \dots, n], p)$   $\xrightarrow{\text{output}}$  how many elements are smaller than  $A[p] + 1$ .



if  $k \leq l \rightarrow$  recurse in the left part  
if  $k > l \rightarrow$  recurse in the right part  
if  $k = l \rightarrow$  return  $A[l+1]$

Select-Val ( $A[1, \dots, n], k$ ):

if  $n = 1$   
return  $A[n]$

else choose a pivot element  $A[p]$

$r \leftarrow \text{Partition}(A[1, \dots, n], p)$

if  $k = r$   
return  $A[r]$

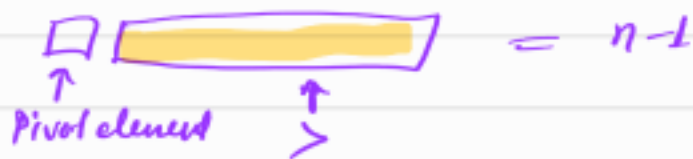
if  $k < r$ ,  
return  $\text{Select-Val}(A[1, \dots, r], k)$

else if  $k > r$   
return  $\text{Select-Val}(A[r+1, \dots, n], k-r)$ .

 pivot element.

  
< pivot element    pivot element    > pivot element.

Unlucky! Pivot element is the minimum in the array.

 =  $n-1$

Similar things will happen if your pivot element is the maximum in the array.

 pivot element

How does the recursion look like.

$$\begin{aligned} T(n) &\leq T(n-1) + \Theta(n) \\ &\leq O(n^2) \end{aligned}$$

Another solution! Randomization.

Choose a pivot element uniformly at random  $A[1, \dots, n]$

Can show that in expectation this will run in  $O(n)$  time.

## Worst Case linear time algorithm:

Suppose you have a recurrence relation as follows.

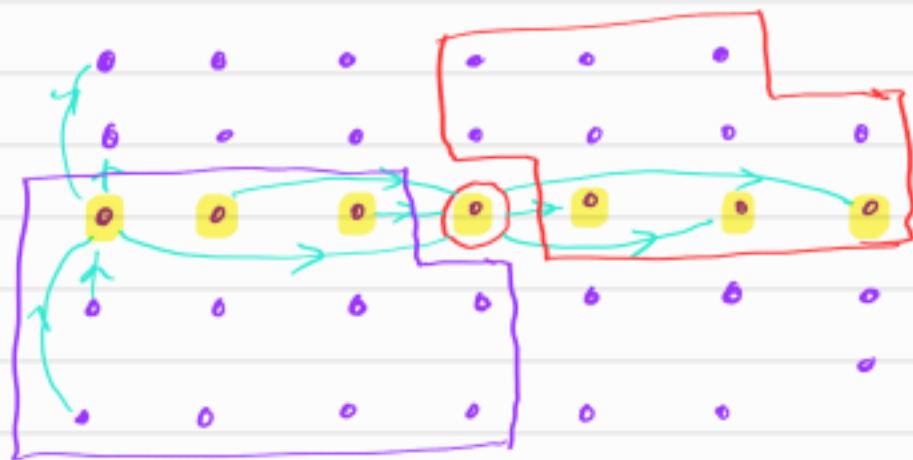
$$T(n) \leq T(\lambda n) + \Theta(n)$$

where  $\lambda < 1$ .

$$\begin{aligned} &\leq T(\lambda \cdot \lambda n) + \Theta(\lambda n) + \Theta(n) \\ &\leq T(\lambda^3 \cdot n) + \Theta(\lambda^2 n) + \Theta(\lambda n) + \Theta(n) \\ &\leq \Theta(n) (1 + \lambda + \lambda^2 + \lambda^3 + \dots + \lambda^{\log n}) \\ &\quad \uparrow \text{Geometric Series.} \\ &\leq \Theta(n) \cdot \frac{1(1 - \lambda^{\log n + 1})}{1 - \lambda} \\ &\leq \Theta(n) \cdot \frac{1}{1 - \lambda} \leftarrow \text{Some constant.} \end{aligned}$$

Aim is to find a pivot that guarantees that the size of the subproblem is

at most a fraction of initial size.  
(strictly  $< 1$ ).



$$\begin{array}{l} a \rightarrow b \\ a < b \end{array}$$

Step 1:- make  $\lceil \frac{n}{5} \rceil$  groups of size exactly 5 except the last one.

Step 2:- find median in each group.  
you will take constant time for each group.  
so overall  $\Theta(n)$  time.

Step 3:- Find median in the set of yellow elements. Make a recursive call on this set of  $\lceil \frac{n}{5} \rceil$  elements.

# elements smaller than median

$$\geq 3 \left( \left\lfloor \frac{1}{2} \lceil \frac{n}{5} \rceil \right\rfloor - 1 \right) \geq \frac{3n}{10} - 3$$

# elements larger than median

$$\geq 3 \left( \left\lfloor \frac{1}{2} \lceil \frac{n}{5} \rceil \right\rfloor - 2 \right) \geq \frac{3n}{10} - 6$$

Step 4:- Choosing the median found in Step 3.

make a call to the partition subroutine.

Step 5:- if the rank of the median found in step 3 is  $r$ .

if  $k = r$ , then return  $A[r]$

if  $k < r$ , then make a recursive call to  $A[1, \dots, r-1]$  to find the  $k$ -th smallest element.

if  $k > r$ , then make a recursive call to  $A[r+1, \dots, n]$  to find the  $(k-r)$ th smallest element.

Runtime recursion:-

$$\begin{aligned} T(n) &\leq T\left(\frac{n}{5}\right) + T\left(\frac{7n}{10}\right) + O(n) \\ &\leq O(n) \quad (\text{Solve!}) \end{aligned}$$

Recall:-  $T(n) \leq 2T\left(\frac{n}{2}\right) + O(n)$

This solves to  $\rightarrow n \log n$ .

$\frac{n}{5} = \frac{2n}{10}$ ,  $\frac{7n}{10}$  : size of two subproblems  $\leq \frac{2n}{10} + \frac{7n}{10} = \frac{9n}{10}$

Quick Sort: (1) find  $\frac{n}{2}$ -th smallest element using the above algorithm in  $O(n)$  time.

(2) recurse on to two subproblems

Runtime:  $T(n) \leq 2 \cdot T\left(\frac{n}{2}\right) + O(n)$