

# **UNIT-1**

## **Abstract Data Type (ADT)**

Specification and Design Techniques  
Elementary ADTs: Lists, Trees, Stacks,  
Queues, and Dynamic Sets.

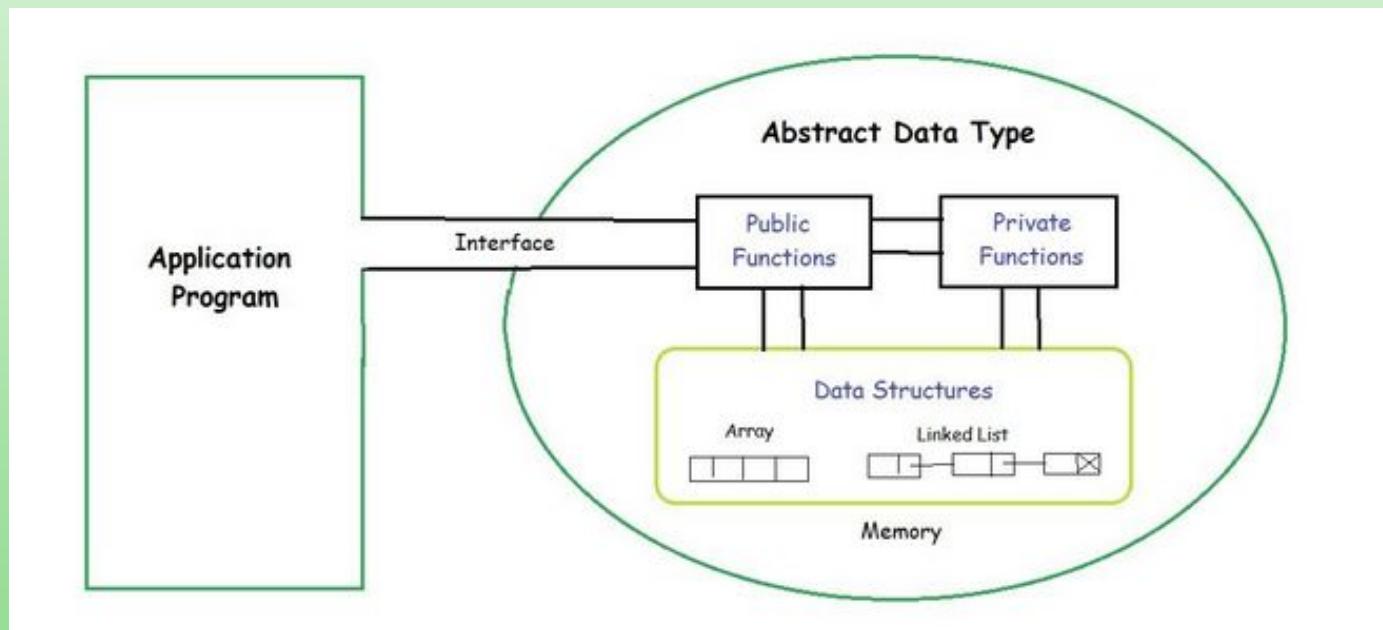
# Abstract Data Types (ADTs)

- An abstract data type (ADT) is an abstraction of a data structure
- An ADT specifies:
  - Data stored
  - Operations on the data
  - Error conditions associated with operations

# Abstract Data Type (ADT)

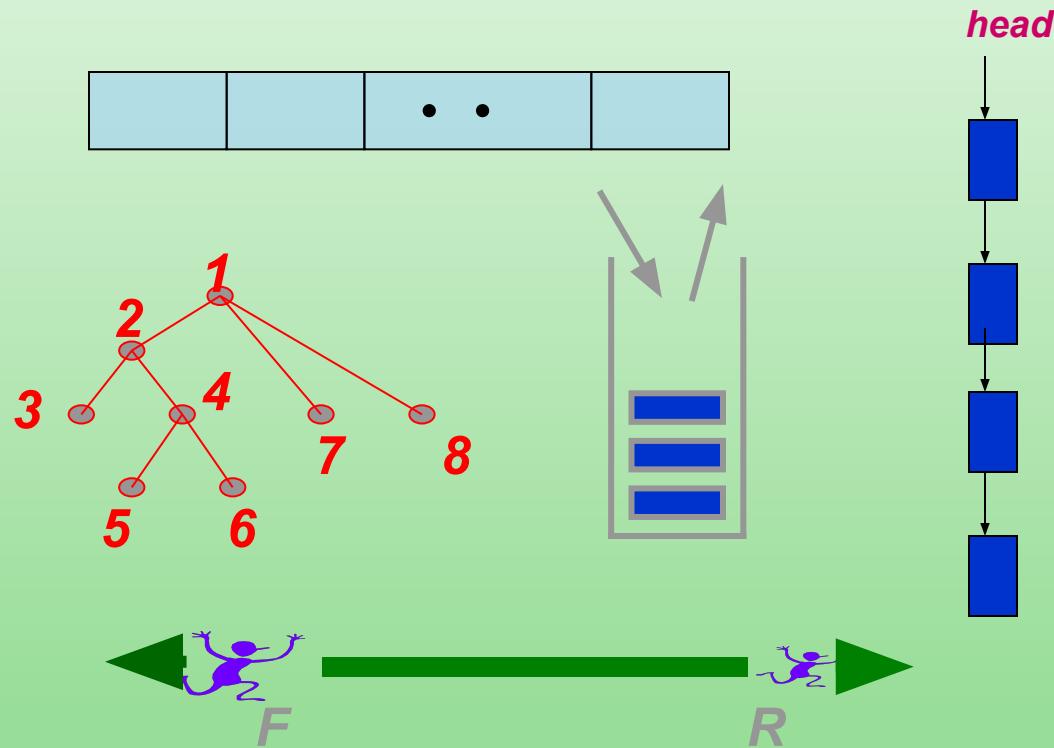
- Definition: a collection of *data* together with a set of *operations* on that data
  - specifications indicate *what* ADT operations do, but not *how* to implement them
  - data structures are part of an ADT's implementation
- Programmer can use an ADT without knowing its implementation.

# Illustration- ADTs



# Elementary Data “Structures”

- **Arrays**
- **Lists**
- **Stacks**
- **Queues**
- **Trees**



*In some languages these are basic data types - in others they need to be implemented*

## Abstract Data Types Overview

Abstract Data Type	Other Common Names	Commonly Implemented with
List	Sequence	<a href="#">Array</a> , <a href="#">Linked List</a>
Queue		<a href="#">Array</a> , <a href="#">Linked List</a>
Double-ended Queue	Dequeue, Deque	<a href="#">Array</a> , <a href="#">Doubly-linked List</a>
Stack		<a href="#">Array</a> , <a href="#">Linked List</a>
Associative Array	Dictionary, Hash Map, Hash, Map**	<a href="#">Hash Table</a>
Set		<a href="#">Red-black Tree</a> , <a href="#">Hash Table</a>
Priority Queue	Heap	<a href="#">Heap</a>

# ADT: Array

C++

```
1  /* Static Allocation (will be allocated in the stack)*/
2  int arr[5];
3  arr[3] = 5;
4
5  /* Dynamic Allocation (will be allocated in the heap)*/
6  int *arr = new int[5];
7  arr[3] = 5;
8
9  /* ... */
10 /* Remember to de-allocate memory allocated in the heap when you're done.*/
11 delete [] arr;
```

Java

```
1  int[] newList = new int[5];
2  newList[2] = 4;
```

# ADT: Array

Function Name*	Provided Functionality
<code>set(i, v)</code>	Sets the value of index $i$ to $v$
<code>get(i)</code>	Returns the value of index $i$

# ADT: Array

1. How would you print out every element in an array?
2. How would you determine if a certain value is in an array?  
(Note: This is sometimes called search.)
3. How would you add two arrays together? (Hint: You have to create a new array.)

Ans 1.

We know the size of our static array. Let's say it's 10. Then, we simply run our get(i) function for every index from 1 to 10.

Ans 2.

We know the size of our static array. Let's say it's 10. Then, we simply run our get(i) function for every index from 1 to 10.

Ans 3.

Let's say we have two arrays, A and B. A has size 4 and B has size 6.

If we want to do the operation A + B, we need to create an array C with size 10.

Then, we can search through every element in A and add them to the corresponding index in C.

Then, we'll look through every element in B and add them to the corresponding index plus 4 in C.

```
1  >>> A = [0,2,4,6]
2  >>> B = [1,3,5,7,9,11]
3  >>> C = A + B
4  >>> C
5  [0,2,4,6,1,3,5,7,9,11]
```

The Java [array type](#) is an example of an implementation of the [array abstract data type](#) that uses an array data structure. Other implementations exist in other languages that we'll look at later.

### Creating an array:

Arrays require a size and type when they are created in Java. Below is an example of how you would declare an array of integers with size 10.

#### EXAMPLE

### Creating an array

#### Java

```
1 >>> int[] myArray = new int[10];
2 >>> System.out.print(Arrays.toString(myArray));
3 [0, 0, 0, 0, 0, 0, 0, 0, 0, 0]
```

Notice how the array defaults to using zeros. This is because we created an array of integers. If we created an array of strings, the default value would be `null`.

#### Java

```
1 >>> String[] myArray = new String[10];
2 >>> System.out.print(Arrays.toString(myArray));
3 [null, null, null, null, null, null, null, null, null, null]
```

## Setting values:

To set a value at a certain index into the Java array, you use the `[]` syntax. The index goes inside the brackets, and the value goes on the other side of the equation. In Java, indexes **start at zero!** Remember to do the appropriate research for your programming language to know how it handles indexes.

### Java

```
1 >>> int[] myArray = new int[5];
2 >>> myArray[1] = 100;
3 >>> myArray[3] = 200;
4 >>> System.out.print(Arrays.toString(myArray));
5 [0, 100, 0, 200, 0]
```

## Getting a value:

Getting a value at a certain index uses the same notation as setting a value.

### EXAMPLE

#### Getting an index's value

### Java

```
1 >>> int[] myArray = new int[5];
2 >>> myArray[1] = 100;
3 >>> System.out.print(myArray[1]);
4 100
```

# Linked Lists

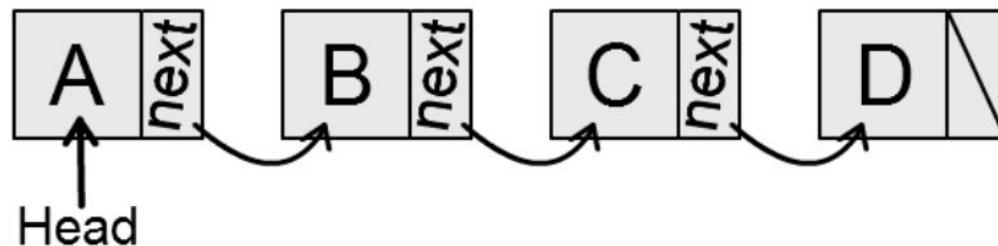
# Lists

- List: a finite sequence of data items  
 $a_1, a_2, a_3, \dots, a_n$
- Typical operations:
  - Creation
  - Insert / remove an element
  - Test for emptiness
  - Find an item/element
  - Current element / next / previous
  - Find k-th element
  - Print the entire list

# Linked Lists

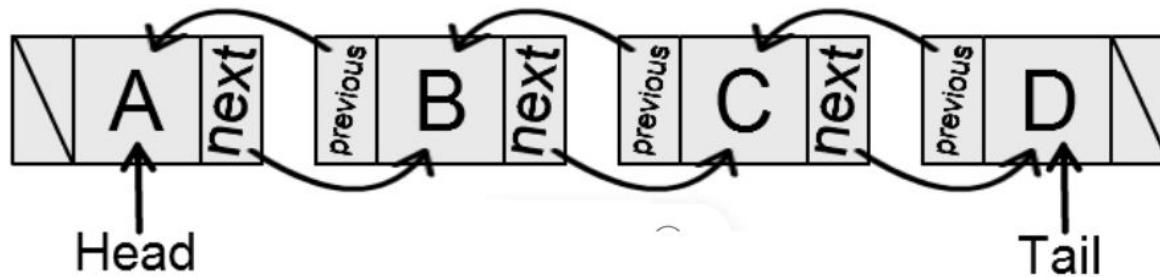
Linked list

A→B→C→D



Doubly linked list

A↔B↔C↔D



# Array-Based List Implementation

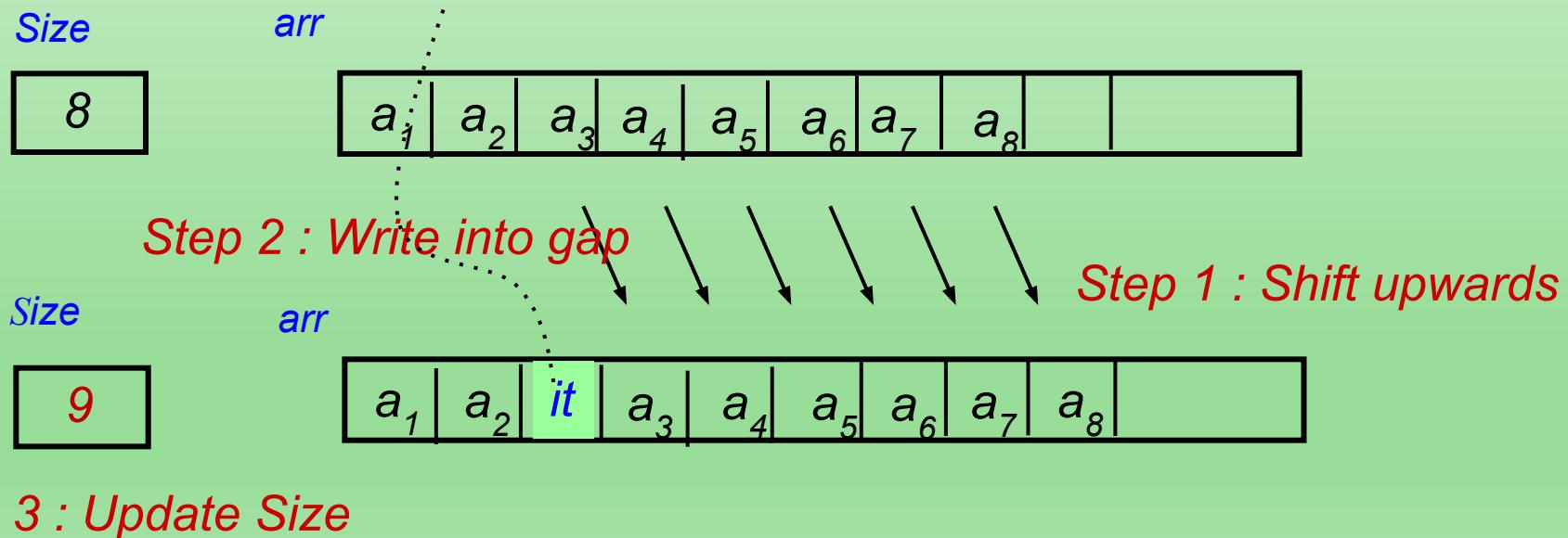
- One simple implementation is to use arrays
  - A sequence of  $n$ -elements
- Maximum size is anticipated apriori.
- Internal variables:
  - Maximum size  $maxSize$  ( $m$ )
  - Current size  $curSize$  ( $n$ )
  - Current index  $cur$
  - Array of elements  $listArray$



# Inserting Into an Array

- While retrieval is very fast, insertion and deletion are very slow
  - Insert has to shift upwards to create gap

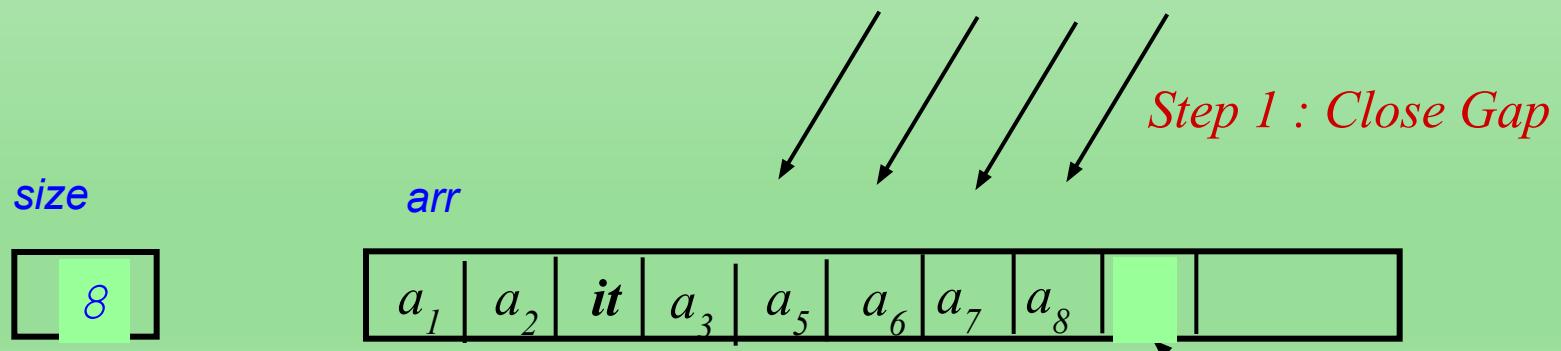
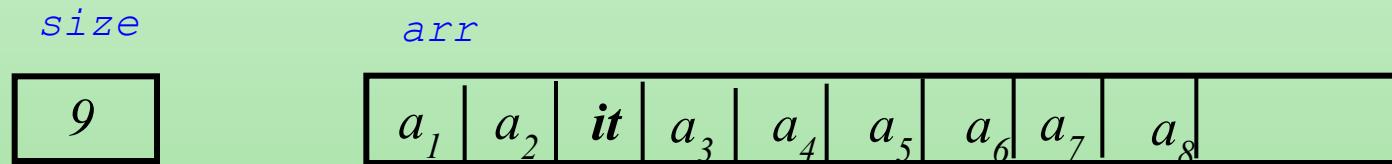
Example : `insert(2, it, arr)`



# Deleting from an Array

- Delete has to shift downwards to close gap of deleted item

*Example:* `deleteItem(4, arr)`

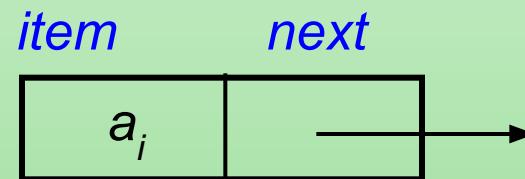


*Step 2 : Update Size*

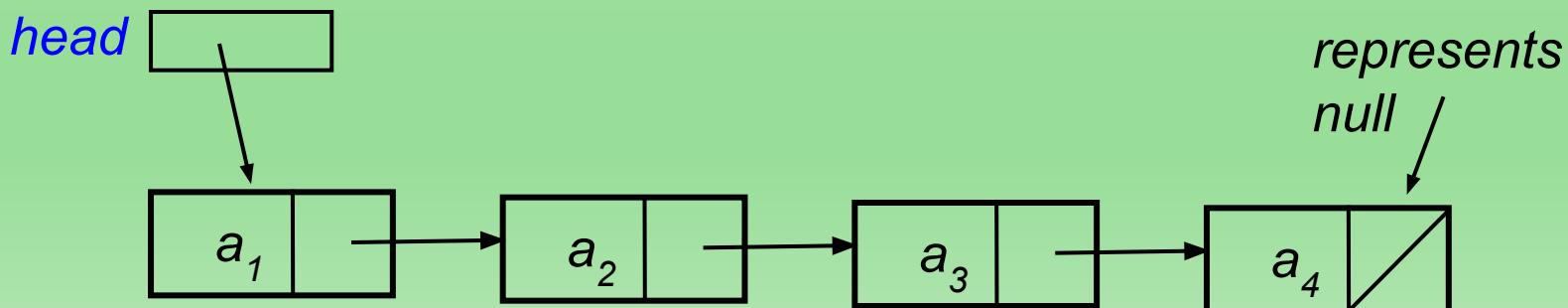
*Not part of list*

# Linked List Approach

- Main problem of array is the slow deletion/insertion since it has to shift items in its *contiguous* memory
- Solution: linked list where items need *not be contiguous* with nodes of the form



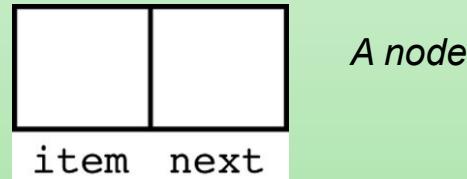
- Sequence (list) of four items  $\langle a_1, a_2, a_3, a_4 \rangle$  can be represented by:



# Pointer-Based Linked Lists

- A node in a linked list is usually a struct  

```
struct Node
{ int item
    Node *next;
}; //end struct
```



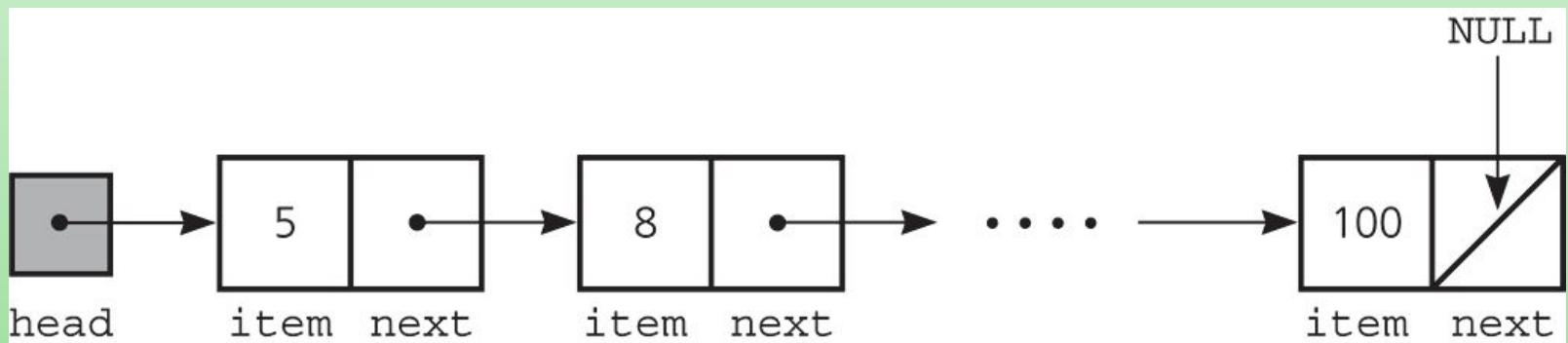
- A node is dynamically allocated  

```
Node *p;
p = malloc(sizeof(Node));
```

# Pointer-Based Linked Lists

- The head pointer points to the first node in a linked list
- If head is *NULL*, the linked list is empty
  - head=NULL
- head=malloc(sizeof(Node))

# A Sample Linked List



# An ADT Interface for List

## ADT — Interface

The Linked List interface can be implemented in different ways, is important to have operations to `insert` a new node and to `remove` a Node:

```
# Main operations
prepend(value)          -> Add a node in the beginning
append(value)           -> Add a node in the end
pop()                  -> Remove a node from the end
popFirst()              -> Remove a node from the beginning

head()                 -> Return the first node
tail()                 -> Return the last node
remove(Node)            -> Remove Node from the list
```

# Traversal – Lists

**Traversal :** You must be thinking, “how could I print all the elements of a Linked List?”

A Linked List can be `traversed`, is possible to navigate in the list using the nodes next element.

```
list = LinkedList(1, 2, 3, 4, 5, 6, 7, 8, 9, 10)
currentNode = LinkedList.head()      # Get the first Node
while the currentNode.next
    print currentNode.value

    currentNode = currentNode.next      # Assign the next element
```

# Search - Lists

**Search:** You must be thinking, “how do I find an element inside the Linked List?”

Is possible to `traverse` the array and compare if the element we are looking for exists in the array:

```
list = LinkedList(1, 2, 3, 4, 5, 6, 7, 8, 9, 10)
targetValue = 7

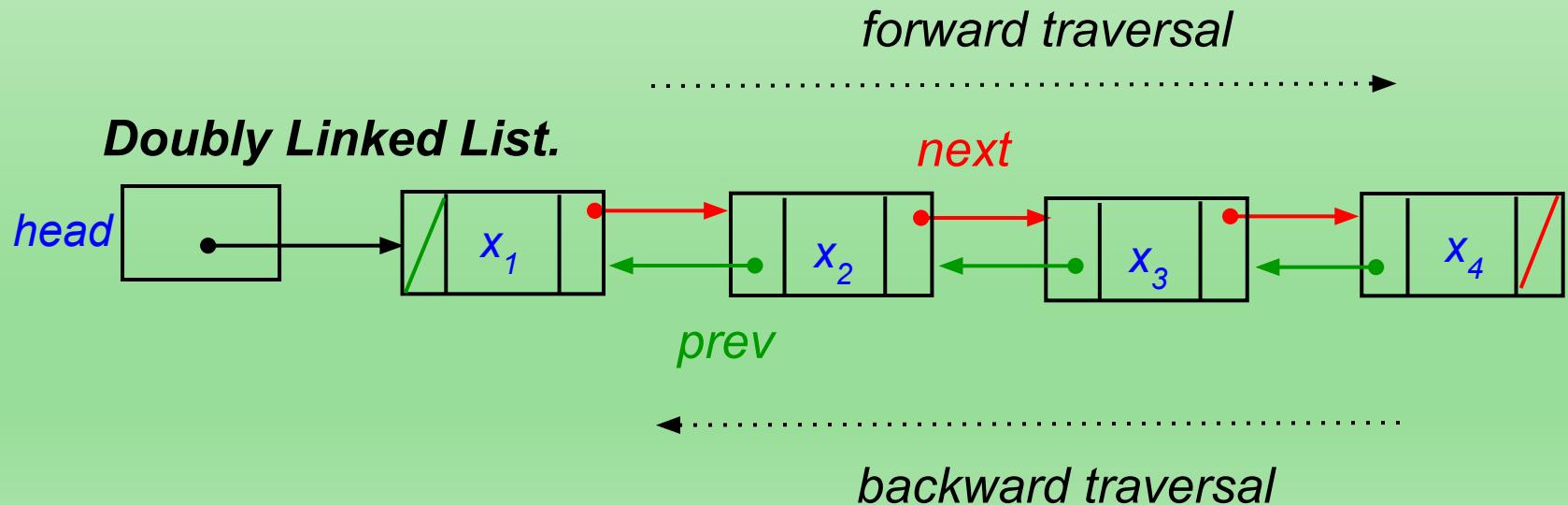
currentNode = LinkedList.head()      # Get the first Node

while the currentNode.next
    if currentNode.value is equal to targetValue
        print Node found

    currentNode = currentNode.next      # Assign the next element
```

# Doubly Liked Lists

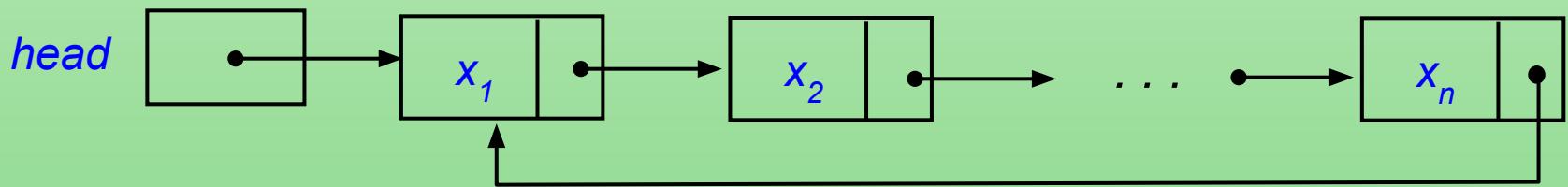
- Frequently, we need to traverse a sequence in BOTH directions efficiently
- *Solution* : Use doubly-linked list where each node has two pointers



# Circular Linked Lists

- May need to cycle through a list repeatedly, e.g. round robin system for a shared resource
- *Solution* : Have the last node point to the first node

*Circular Linked List.*



# Time Complexity Lists

- Insertion -  $O(1)$
- Search -  $O(n)$
- Deletion -  $O(1)$
- Indexing -  $O(n)$
- Space -  $O(n)$

# New Node creation and initialization

Java

```
1 public class Node{  
2     public int value;  
3     public Node next;  
4     public Node(int v , Node n){  
5         value = v;  
6         next = n;  
7     }  
8 }
```

Java

```
1 Node one = new Node(6, null)
```

Java

```
1 Node two = new Node(4, one);
```

Java

```
1 Node three = new Node(5, two)
```

Variable one points to a node that contains the value 6. The next field of the cell is null, which could indicate the end of the list.

This can be represented as a list with two elements in it by writing

4→6

5→4→6

# Linked list construction by reading from an array

## EXAMPLE

It is not necessary for us to create a local variable whenever we need to add a new item to a linked list. A linked list can also be constructed by reading from an array.

Java

```
1 public static Node createArray(int[] array){  
2     Node list = null;  
3     for (int i : array){  
4         list = new Node(i, list)  
5     }  
6     return list;  
7 }
```

# Iteration and Recursion in Linked Lists

## Iteration and Recursion on Linked Lists

A linked list can be printed either by using recursion or using a loop (iteration). Here is an iterative way of printing a linked list:

Java

```
1 public static void printIteratively(Node cell) {  
2     while (cell != null) {  
3         System.out.println(cell.value);  
4         cell = cell.next;  
5     }  
6 }
```

We can write the same method recursively:

Java

```
1 public static void printRecursively(Node cell) {  
2     if (cell != null) {  
3         System.out.println(cell.value);  
4         printRecursively(cell.next);  
5     }  
6 }
```

# Example

## EXAMPLE

Write a method that determines the length of a given linked list recursively.

Using our discussed method of iteration, the method can be written as follows:

Java

```
1 public static void length(Node cell) {  
2     int count = 0;  
3     while (cell != null){  
4         count += 1;  
5         cell = cell.next;  
6     }  
7 }
```

## EXAMPLE

Now write a method that determines the length of a linked list recursively.

We can solve this by considering the base case (when P is null) and the recursive case

$$\text{length}(n) = \text{length}(n - 1) + 1.$$

Java

```
1 public static int length(Node cell) {  
2     if (cell != null)  
3         return 1 + length(cell.next);  
4     return 0;  
5 }
```

# Node Delete from Doubly Linked Lists

Java

```
1  public class DLL {  
2  
3      private class Node {  
4          int value;  
5          Node next, prev;  
6  
7          Node (E v, Node n, Node p) {  
8              value=v; next=n; prev=p;  
9          }  
10  
11         Node () { // used only to create header Node in a list  
12             // that's initially empty  
13             next=prev=this;  
14         }  
15     }  
16  
17     private Node header = new Node();  
18  
19     public boolean isEmpty() {  
20         return header.next == header;  
21     }  
22  
23     private E delete(Node p) {  
24         p.next.prev = p.prev;  
25         p.prev.next = p.next;  
26         return p.value;  
27     }  
28  
29  
30 }
```

# Example

## EXAMPLE

Implement a method to add a node to the end of a linked list:

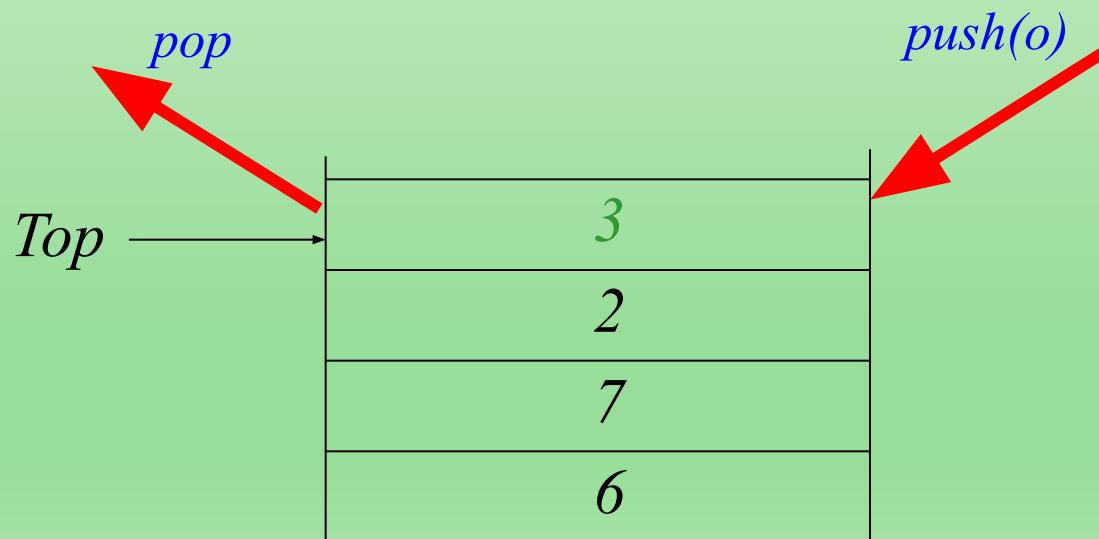
Java

```
1 public void addLast(int s){  
2     Cell p = new Cell(s , header , header.prev);  
3     header.prev.next = p;  
4     header.prev = p;  
5 }
```

# Stacks

# What is a Stack?

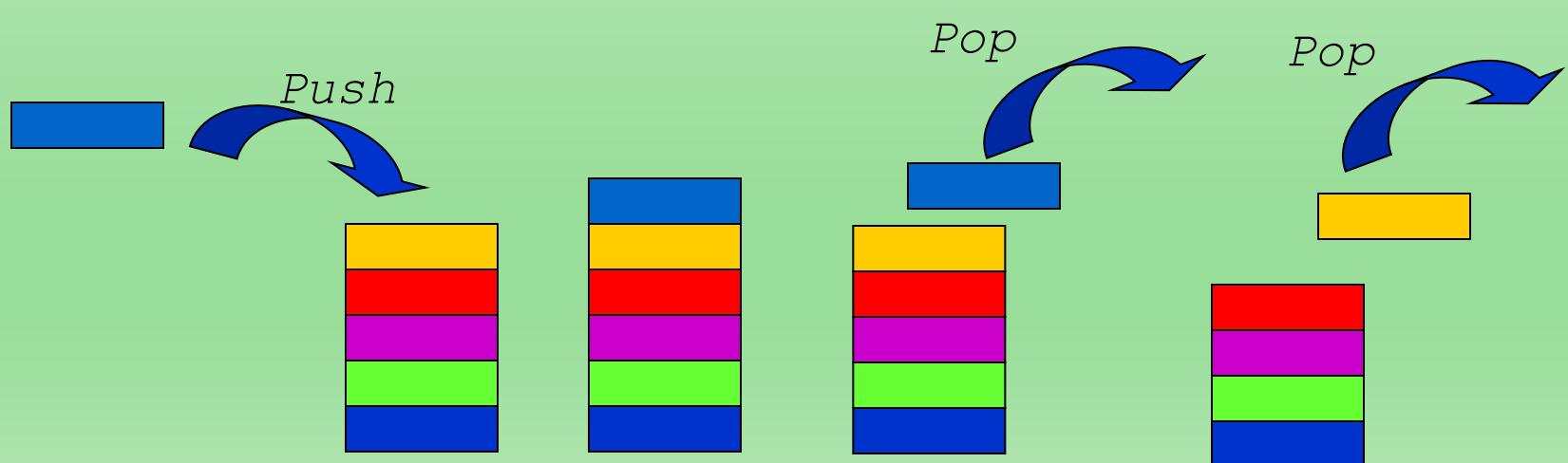
- A *stack* is a list with the restriction that insertions and deletions can be performed in only one position, namely, the end of the list, called the *top*.
- The operations: push (insert) and pop (delete)



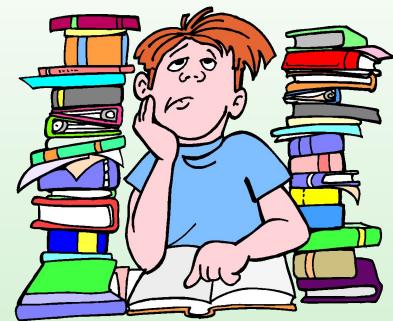
# Stack

A list for which Insert and Delete are allowed only at one end of the list (the *top*)

- LIFO – Last in, First out

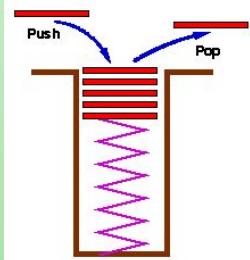


# Stack ADT



There are two basic operations related to the stack.

Function Name*	Provided Functionality
<code>push(i)</code>	Insert element <i>i</i> at the top of the stack.
<code>pop()</code>	Remove the element at the top of the stack.



Stacks are often implemented with the following functions.

Function Name*	Provided Functionality
<code>size()</code>	returns the current size of the stack.
<code>peek()</code>	returns the element at the top of the stack <i>without changing the stack</i>

# Stack ADT Interface

The main functions in the Stack ADT are (S is the stack)

boolean **isEmpty()**; // return true if empty

boolean **isFull(S);** // return true if full

void **push(S, item);** // insert *item* into stack

void **pop(S);** // remove most recent item

void **clear(S);** // remove all items from stack

Item **top(S);** // retrieve most recent item

Item **topAndPop(S);** // return & remove most recent item

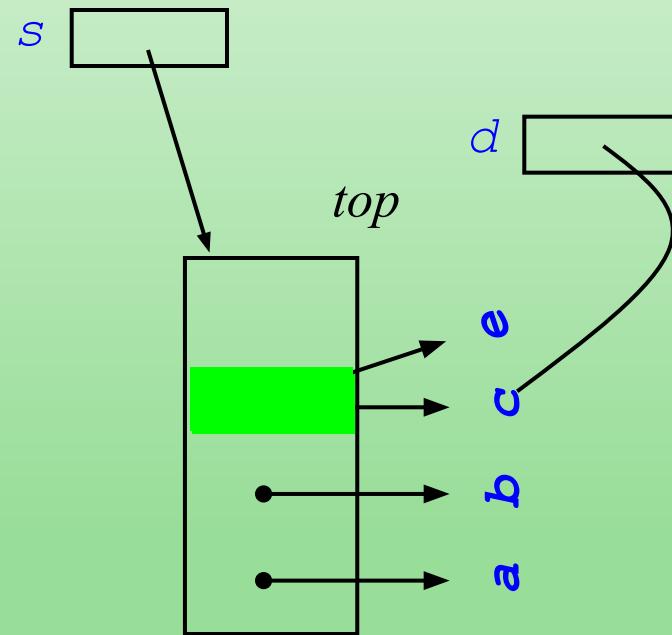
# Exceptions

- Attempting the execution of an operation of ADT may sometimes cause an error condition, called an exception
- Exceptions are said to be “thrown” by an operation that cannot be executed
- In the **Stack** ADT, operations **pop** and **top** cannot be performed if the stack is empty
- Attempting the execution of **pop** or **top** on an empty stack throws an **EmptyStackException**

# Sample Operation

Stack S = malloc(sizeof(stack));

- push(S, “a”);
- push(S, “b”);
- push(S, “c”);
- d=top(S);
- pop(S);
- push(S, “e”);
- pop(S);



# Array-based Stack

- A simple way of implementing the Stack ADT uses an array
- We add elements from left to right
- A variable keeps track of the index of the top element

```
Algorithm size()
    return t + 1

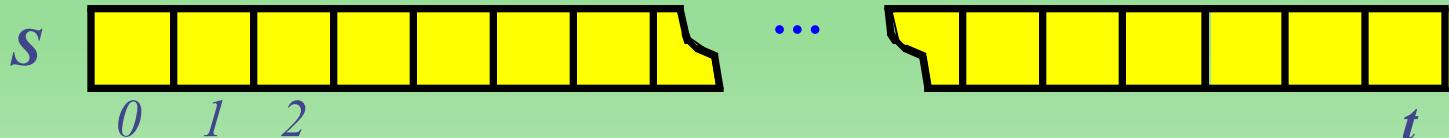
Algorithm pop()
    if empty() then
        throw EmptyStackException
    else
        t = t - 1
    return S[t + 1]
```



# Array-based Stack (cont.)

- The array storing the stack elements may become full
- A push operation will then throw a **FullStackException**
  - Limitation of the array-based implementation
  - Not intrinsic to the Stack ADT

```
Algorithm push(o)
    if t = S.length - 1 then
        throw FullStackException
    else
        t = t + 1
        S[t] = o
```



# Performance and Limitations

## - array-based implementation of stack ADT

- Performance
  - Let  $n$  be the number of elements in the stack
  - The space used is  $O(n)$
  - Each operation runs in time  $O(1)$
- Limitations
  - The maximum size of the stack must be defined *a priori*, and cannot be changed
  - Trying to push a new element into a full stack causes an implementation-specific exception

# Growable Array-based Stack

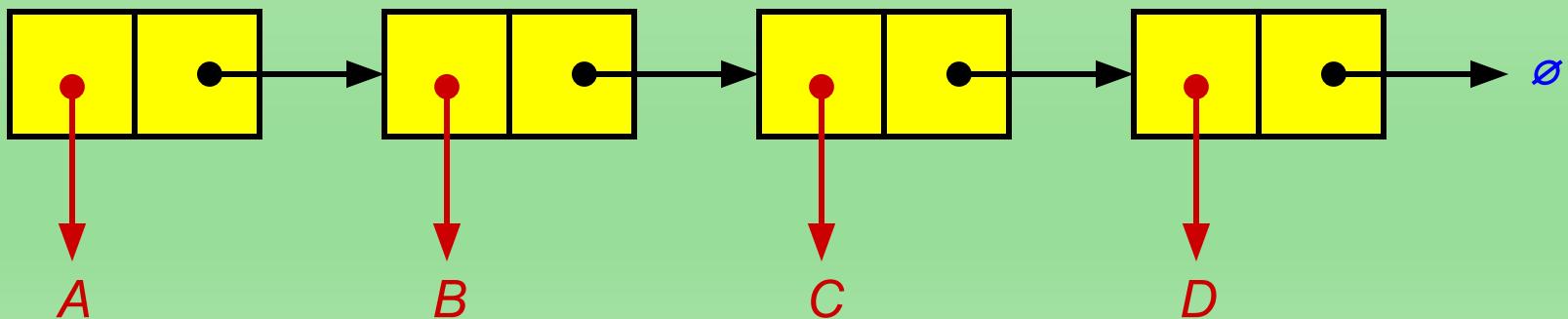
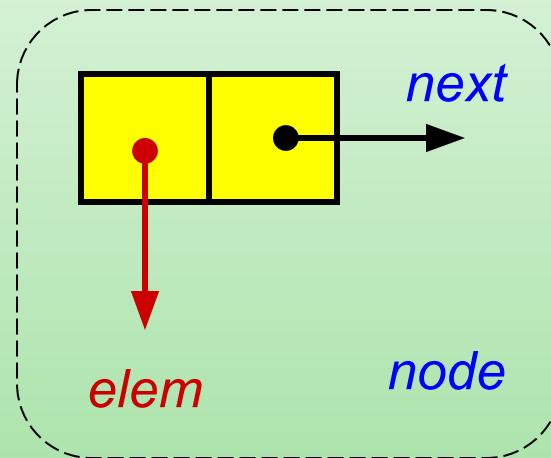
- In a push operation, when the array is full, instead of throwing an exception, we can replace the array with a larger one
- How large should the new array be?
  - incremental strategy: increase the size by a constant  $c$
  - doubling strategy: double the size



```
Algorithm push(o)
    if t = S.length - 1
    then
        A = new array of
            size ...
        for i = 0 to t do
            A[i] = S[i]
        S = A
        t = t + 1
        S[t] = o
```

# Singly Linked List

- A singly linked list is a concrete data structure consisting of a sequence of nodes
- Each node stores
  - element
  - link to the next node

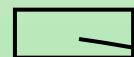


# Implementation by Linked Lists

- Can use a [Linked List](#) as implementation of stack

*Stack*

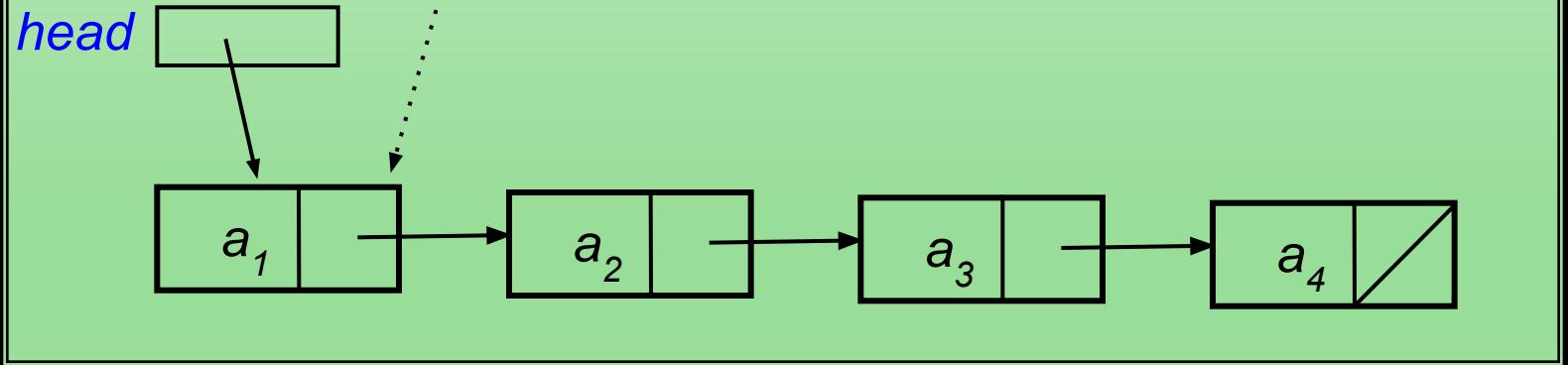
*lst*



*LinkedListItr*

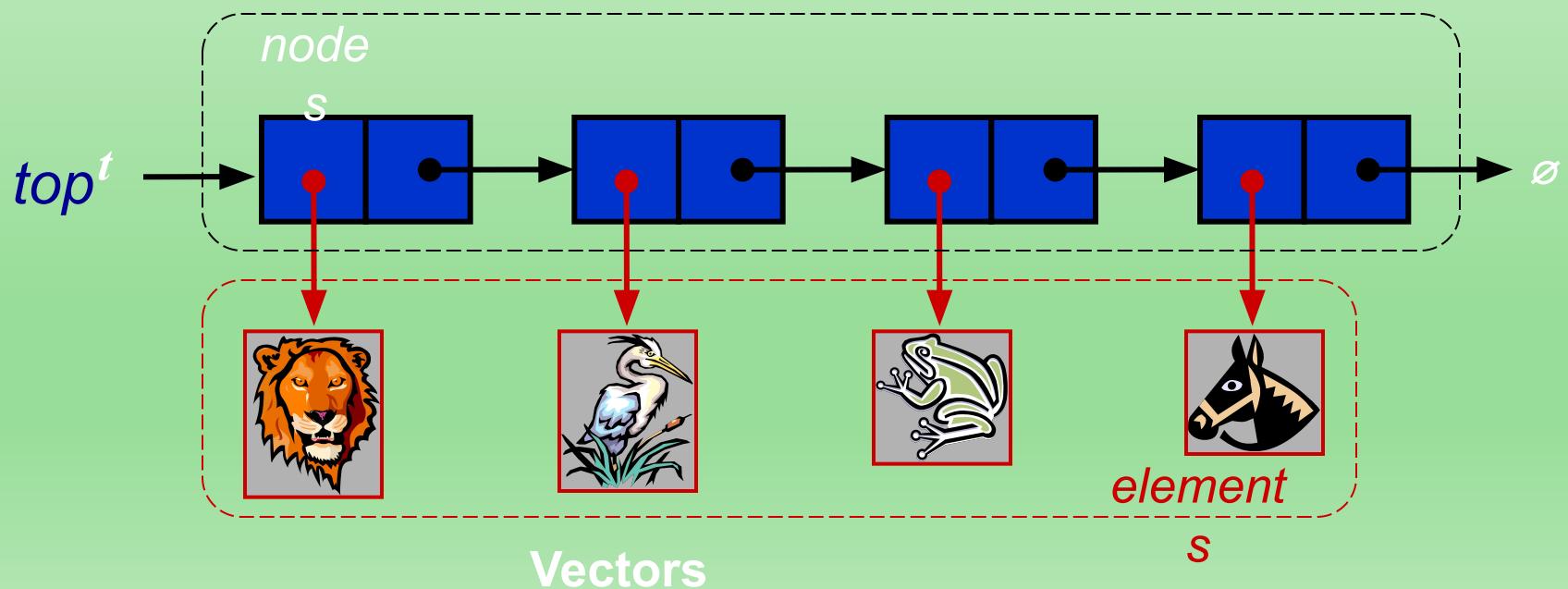
*Top of Stack = Front of Linked-List*

*head*



# Stack with a Singly Linked List

- We can implement a stack with a singly linked list
- The top element is stored at the first node of the list
- The space used is  $O(n)$  and each operation of the Stack ADT takes  $O(1)$  time



# Exercise

What will an empty stack look like after the block of operations below is executed on it?

Java

```
1 push(5)
2 push(3)
3 push(7)
4 push(pop()+1)
5 peek()
```

The stack will look like the following:

1	(5)
2	(5,3)
3	(5,3,7)
4	(5,3,8)

Thus 8 will be outputted. □

# Exercise

- Write a method that reverses the contents of a stack
- Solution

Python

```
1 def reverse(stack):  
2     new_stack = Stack()  
3     while stack.size() != 0:  
4         new_stack.push(stack.pop())  
5     return new_stack
```

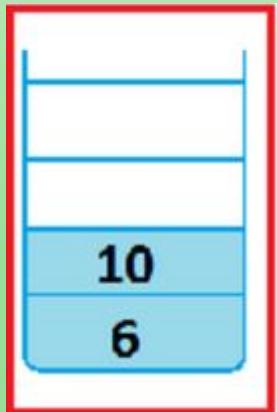
# Exercise

## EXAMPLE

What will an empty stack look like after the block of operations below is executed?

Java

```
1 push(6)  
2 push(5)  
3 push(pop()*2)
```



# Stack ADT

## Time Complexity

---

The time complexity of stacks depends on the type of the data structure you are using and the specific implementation you build. Below is a description of how linked lists and arrays are not that different when it comes to complexity:

	Linked List	Array
Push	$O(1)$	$O(1)$
Pop	$O(1)$	$O(1)$

# Stack Summary

- Stack Operation Complexity for Different

	Array Fixed-Size	Array Expandable (doubling strategy)	List Singly-Linked
Pop()	O(1)	O(1)	O(1)
Push(o)	O(1)	O(n) Worst Case O(1) Best Case O(1) Average Case	O(1)
Top()	O(1)	O(1)	O(1)
Size(), isEmpty()	O(1)	O(1)	O(1)

# Applications

- Many application areas use stacks:
  - line editing
  - bracket matching
  - postfix calculation
  - function call stack
  - Page-visited history in a Web browser
  - Undo sequence in a text editor
  - Saving local variables when one function calls another, and this one calls another

# Line Editing

- A line editor would place characters read into a buffer but may use a backspace symbol (denoted by  $\leftarrow$ ) to do error correction
- *Refined Task*
  - read in a line
  - correct the errors via backspace
  - print the corrected line in reverse

*Input* :

*abc\_defgh* ~~$\leftarrow$~~  $2klpq$  ~~$\leftarrow$~~  $\leftarrow$ *wxyz*

*Corrected Input* :

*abc\_defg2klpwxyz*

*Reversed Output* :

*zyxwplk2gfed\_cba*

# The Procedure

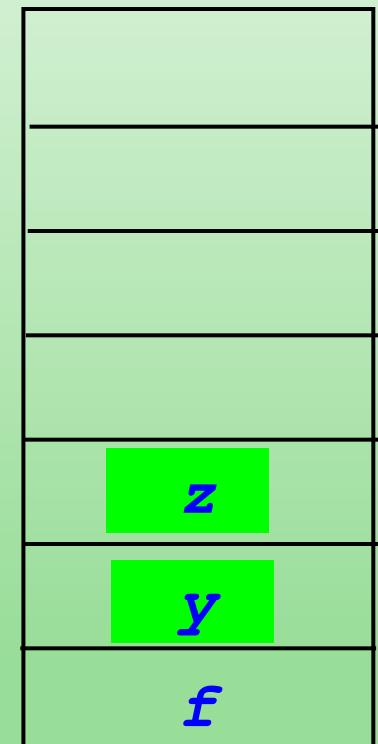
- Initialize a new stack
- For each character read:
  - if it is a backspace, *pop out last char entered*
  - if not a backspace, *push the char into stack*
- To print in reverse, pop out each char for output



*Input* : *fgh<-r<-<-yz*

*Corrected Input* : *fyz*

*Reversed Output* : *zyf*



*Stack*

# Bracket Matching Problem

- Ensures that pairs of brackets are properly matched

- An Example:  $\{a,(b+f[4])^*3,d+f[5]\}$

The diagram illustrates the matching of brackets in the expression  $\{a,(b+f[4])^*3,d+f[5]\}$ . Brackets are color-coded: blue for braces {}, green for parentheses (), and red for square brackets [ ]. Dots indicate the continuation of the sequence.

- Bad Examples:

`(...)..` // too many closing brackets

`(...(...` // too many open brackets

`[...(...]..` // mismatched brackets

The diagram illustrates the mismatched brackets in the expression `[...(...]..`. Brackets are color-coded: blue for braces {}, green for parentheses (), and red for square brackets [ ]. Dots indicate the continuation of the sequence.

# Parentheses Matching

- Each “(”, “{”, or “[” must be paired with a matching “)”, “}”, or “[”
  - correct: ( )(( )){( [ ( )] )}
  - correct: ((( ))(( )){( [ ( )] )}
  - incorrect: )(( )){( [ ( )] )}
  - incorrect: {[ ])}
  - incorrect: (

# Informal Procedure

Initialize the stack to empty

For every char read

    if open bracket then *push onto stack*

    if close bracket, then

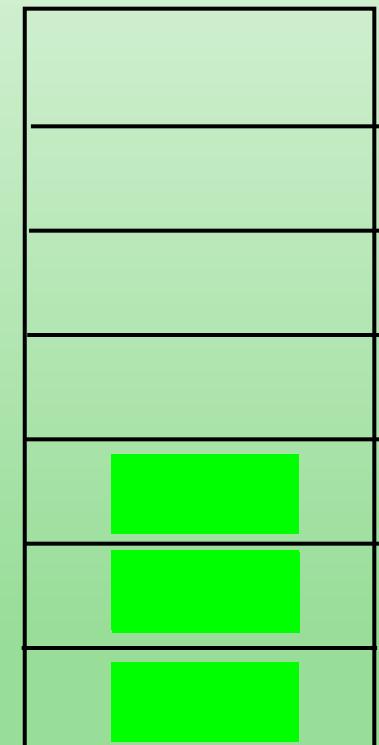
        return & remove most recent item  
            from *the stack*

        if doesn't match then *flag error*

    if non-bracket, *skip the char read*

*Example*

{ a , ( b + f [ 4 ] ) \* 3 , d + f [ 5 ] }



*Stack*

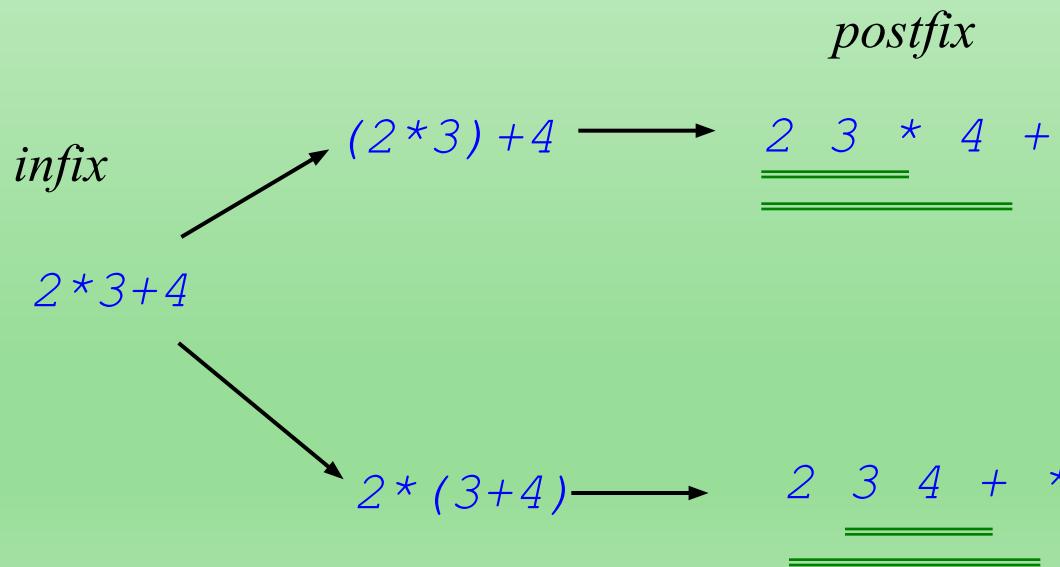
# Postfix Calculator

- Computation of arithmetic expressions can be efficiently carried out in Postfix notation with the help of a stack.

Infix - arg1 op arg2

Prefix - op arg1 arg2

Postfix - arg1 arg2 op



# Informal Procedure

Initialise stack S

For each item read.

If it is an operand,

*push* on the stack

If it is an operator,

*pop* arguments from stack;

*perform operation*;

*push* result onto the stack

Expr

2           *push(S, 2)*

3           *push(S, 3)*

4           *push(S, 4)*

+

*arg2=topAndPop(S)*

*arg1=topAndPop(S)*

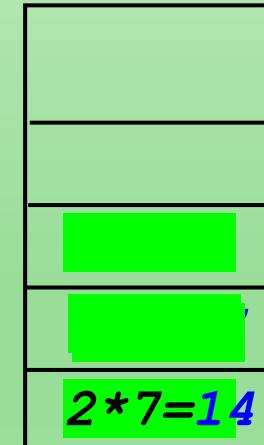
*push(S, arg1+arg2)*

\*

*arg2=topAndPop(S)*

*arg1=topAndPop(S)*

*push(S, arg1\*arg2)*

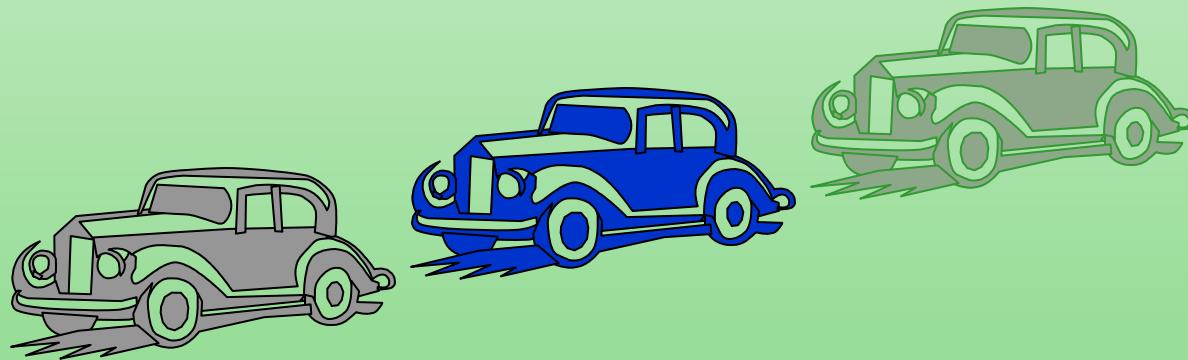


*Stack*

# Summary

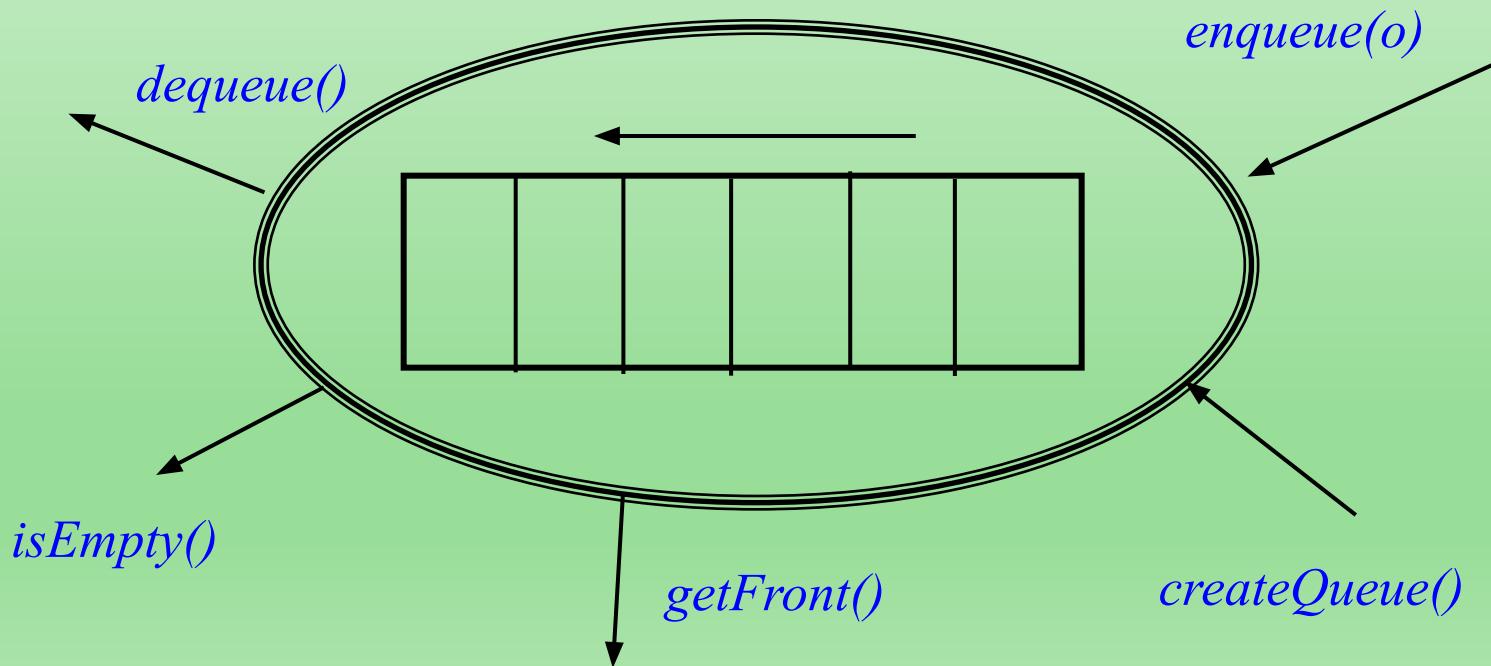
- The ADT stack operations have a last-in, first-out (LIFO) behavior
- Stack has many applications
  - algorithms that operate on algebraic expressions
  - a strong relationship between recursion and stacks exists
- Stack can be implemented using arrays or linked lists

# Queues



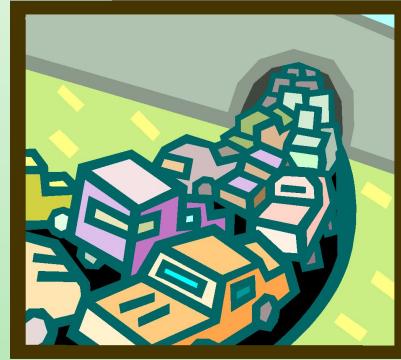
# Queue ADT

- Queues implement the FIFO (first-in first-out) policy
  - An example is the printer/job queue!



# Applications of Queues

- Operating systems
- Mail services
- CPU scheduling
- Elevators
- Keyboard buffering
- Networks- The network has used a queue to store your texts so that they arrive in the same order you sent them.
- Or, when you send data to a website, you want to make sure that the data gets there in the right order.
- So, the website has a queue where it collects your data as it waits for it to be processed



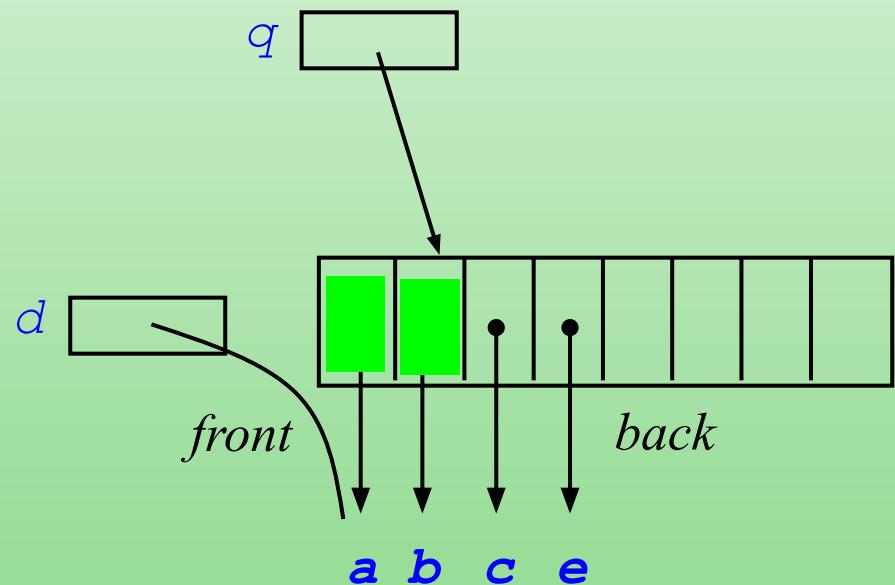
# The Queue ADT



- The **Queue** ADT stores arbitrary objects
- Insertions and deletions follow the **first-in first-out (FIFO)** scheme
- Insertions are at the rear of the queue and removals are at the front of the queue
- Main queue operations:
  - **enqueue(object o)**: inserts element o at the end of the queue
  - **dequeue()**: removes and returns the element at the front of the queue
- Auxiliary queue operations:
  - **front()**: returns the element at the front without removing it
  - **size()**: returns the number of elements stored
  - **isEmpty()**: returns a Boolean value indicating whether no elements are stored
- Exceptions
  - Attempting the execution of dequeue or front on an empty queue throws an **EmptyQueueException**

# Sample Operation

- `Queue *Q;`
- `enqueue(Q, "a");`
- `enqueue(Q, "b");`
- `enqueue(Q, "c");`
- `d=getFront(Q);`
- `dequeue(Q);`
- `enqueue(Q, "e");`
- `dequeue(Q);`



# Queue ADT interface

- The main functions in the Queue ADT are (Q is the queue)

**void enqueue(o, Q)** // insert o to back of Q

**void dequeue(Q);** // remove oldest item

**Item getFront(Q);** // retrieve oldest item

**boolean isEmpty(Q);** // checks if Q is empty

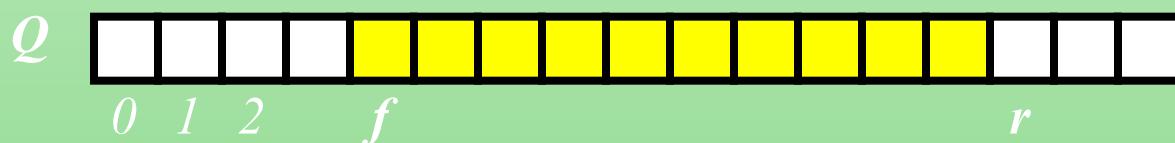
**boolean isFull(Q);** // checks if Q is full

**void clear(Q);** // make Q empty

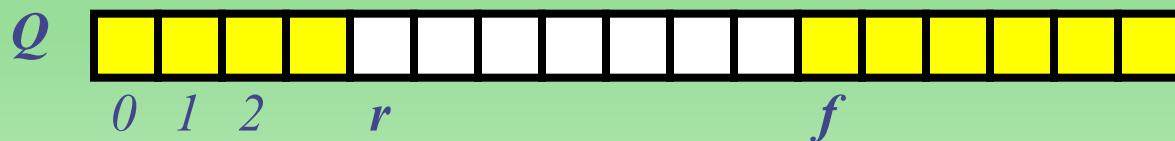
# Array-based Queue

- Use an array of size  $N$  in a circular fashion
- Two variables keep track of the front and rear
  - $f$  index of the front element
  - $r$  index immediately past the rear element
- Array location  $r$  is kept empty

*normal configuration*



*wrapped-around configuration*



# Queue Operations

- We use the modulo operator (remainder of division)

*Algorithm size()*

return  $(N + r - f) \bmod N$

*Algorithm isEmpty()*

return  $(f = r)$



$0 \quad 1 \quad 2 \quad f \qquad \qquad \qquad r$

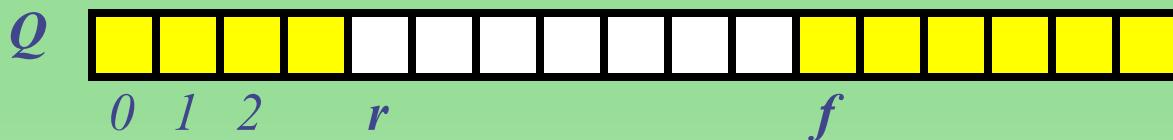
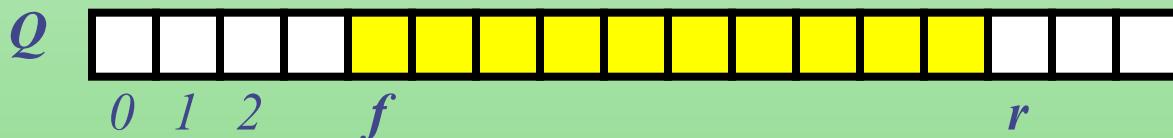


$0 \quad 1 \quad 2 \quad r \qquad \qquad \qquad f$

# Queue Operations (cont.)

- Operation enqueue throws an exception if the array is full
- This exception is implementation-dependent

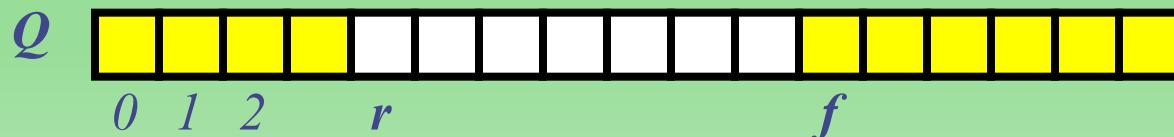
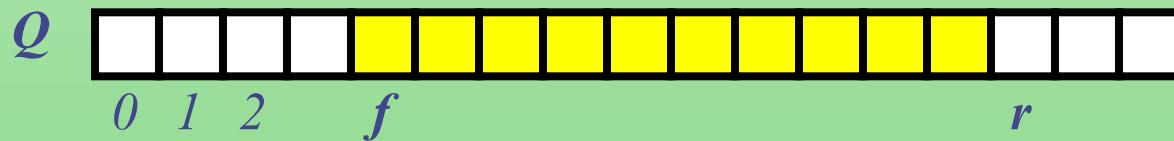
```
Algorithm enqueue(o)
    if size() = N - 1 then
        throw
    FullQueueException
    else
        Q[r] = o
        r = (r + 1) mod N
```



# Queue Operations (cont.)

- Operation `dequeue` throws an exception if the queue is empty
- This exception is specified in the queue ADT

```
Algorithm dequeue()
    if isEmpty() then
        throw
    EmptyQueueException
    else
        o = Q[f]
        f = (f + 1) mod N
    return o
```

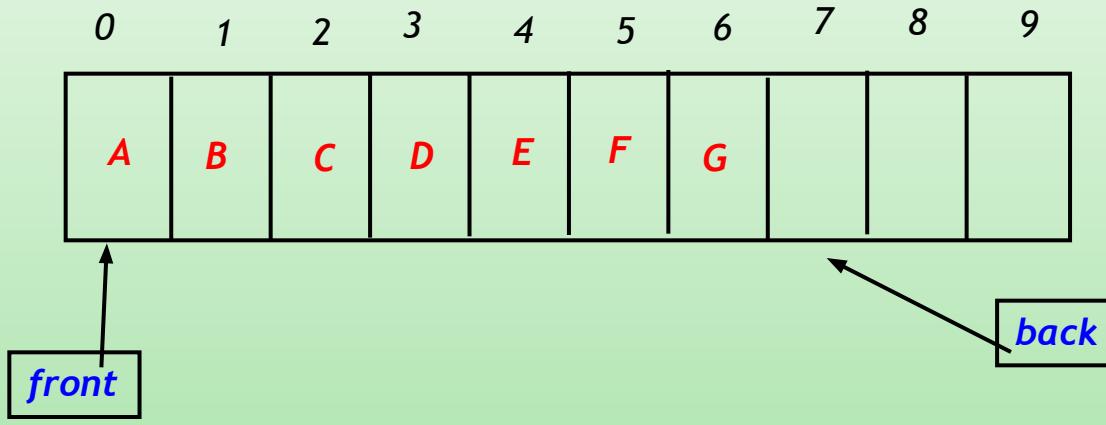


# Growable Array-based Queue

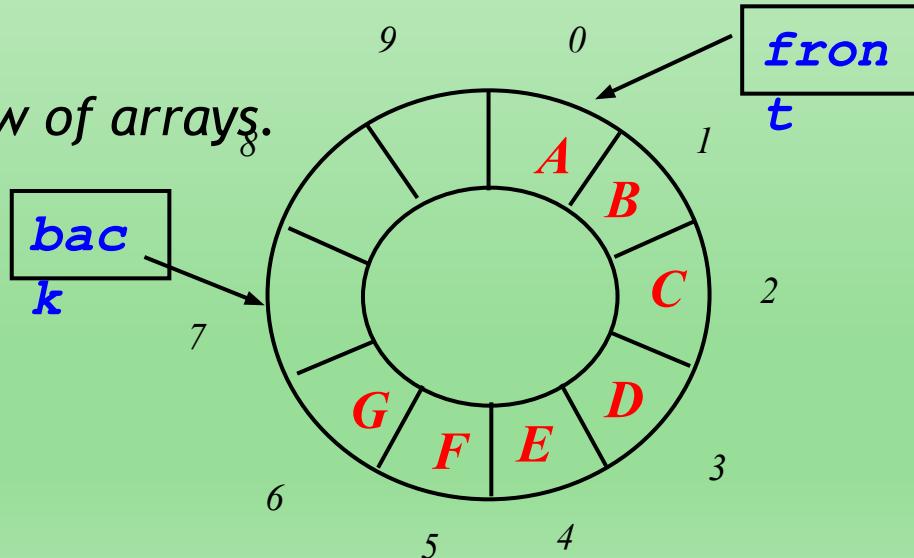
- In an enqueue operation, when the array is full, instead of throwing an exception, we can replace the array with a larger one
- Similar to what we did for an array-based stack
- The enqueue operation has amortized running time
  - $O(n)$  with the incremental strategy
  - $O(1)$  with the doubling strategy

# Circular Array

- To implement queue, it is best to view arrays as circular structure



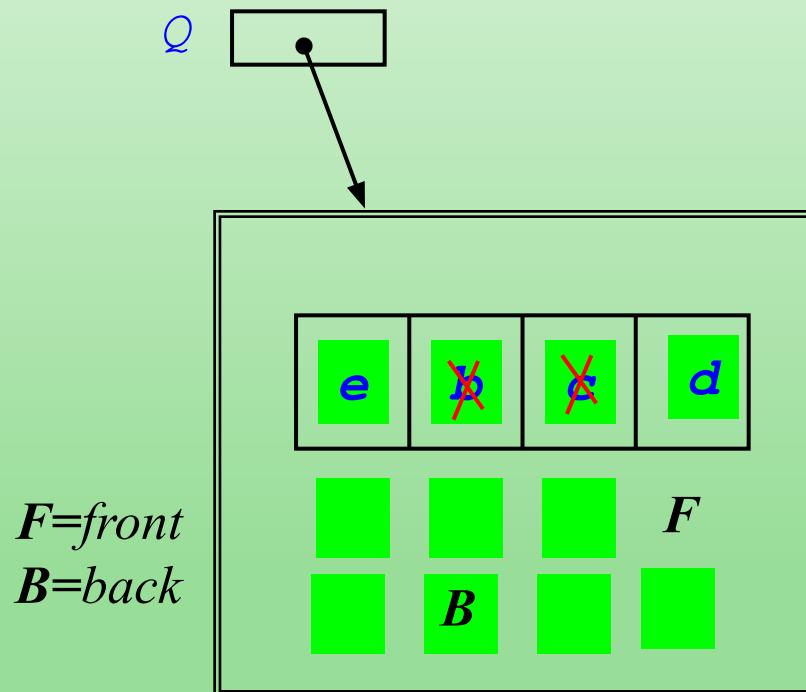
*Circular view of arrays.*



# Sample

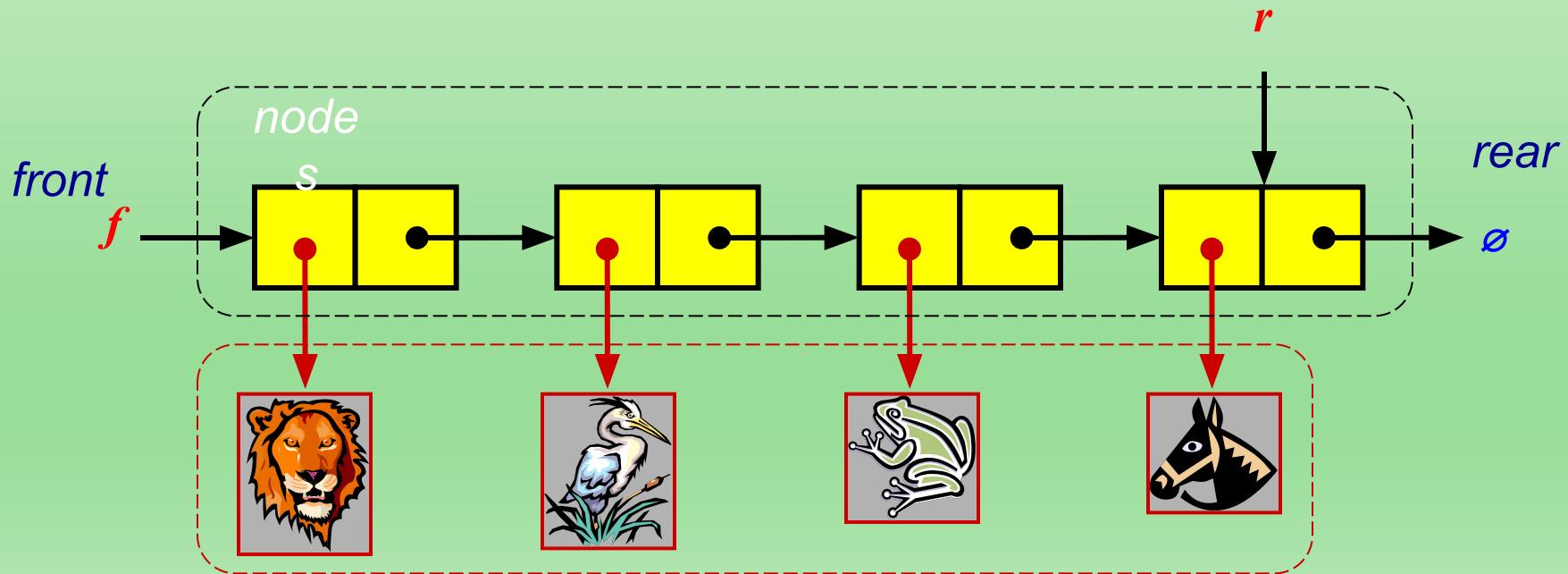
```
Queue *Q;
```

- enqueue(Q, "a");
- enqueue(Q, "b");
- enqueue(Q, "c");
- dequeue(Q);
- dequeue(Q);
- enqueue(Q, "d");
- enqueue(Q, "e");
- dequeue(Q);



# Queue with a Singly Linked List

- We can implement a queue with a singly linked list
  - The front element is stored at the head of the list
  - The rear element is stored at the tail of the list
- The space used is  $O(n)$  and each operation of the Queue ADT takes  $O(1)$  time
- NOTE: we do not have the limitation of the array based implementation on the size of the stack b/c the size of the linked list is not fixed, i.e., the queue is NEVER full.



## Example: Adding and Removing Items in a Queue

The following demonstration uses the [above](#) implementation of a queue using Python.

Instantiating the queue:

```
>>> queue = Queue()  
>>> queue.size()  
0
```

Adding three people to the queue:

```
>>> queue.enqueue('Alice')  
>>> queue.enqueue('Bob')  
>>> queue.enqueue('Charlie')  
>>> queue.size()  
3
```

The queue currently looks like this (remember, the **head** is on the left):

```
>>> queue.qlist  
['Alice', 'Bob', 'Charlie']
```

Dequeuing the queue:

```
>>> queue.dequeue()
'Alice'
>>> queue.qlist
['Bob', 'Charlie']
>>> queue.dequeue()
'Bob'
>>> queue.qlist
['Charlie']
>>> queue.dequeue()
'Charlie'
>>> queue.qlist
[]
```

Now the queue is empty and no dequeuing or peeking can be done:

```
>>> queue.dequeue()
queue underflow
>>> queue.peek()
queue is empty
```

- Consider a line of 10 people waiting to buy food at a fast-food restaurant. Imagine this line is represented by a queue.
- Where is the head of this queue, the person in front or the person in the back?
- If the person in front buys their food and leaves, how many people need to move (not including the person who left)?
- Is this  $O(n)$  time or  $O(1)$  time? Is this queue implemented using an array or a linked list?

- A1. The head of the queue is the person at the front of the line.
- A2. 9 people have to move (10 people minus the 1 who left).
- A3. This is  $O(n)$  time because it is dependent on the number of people in the line (in this case, 10). This queue is implemented using an array.

# Queue Summary

- Queue Operation Complexity for Different Implementations

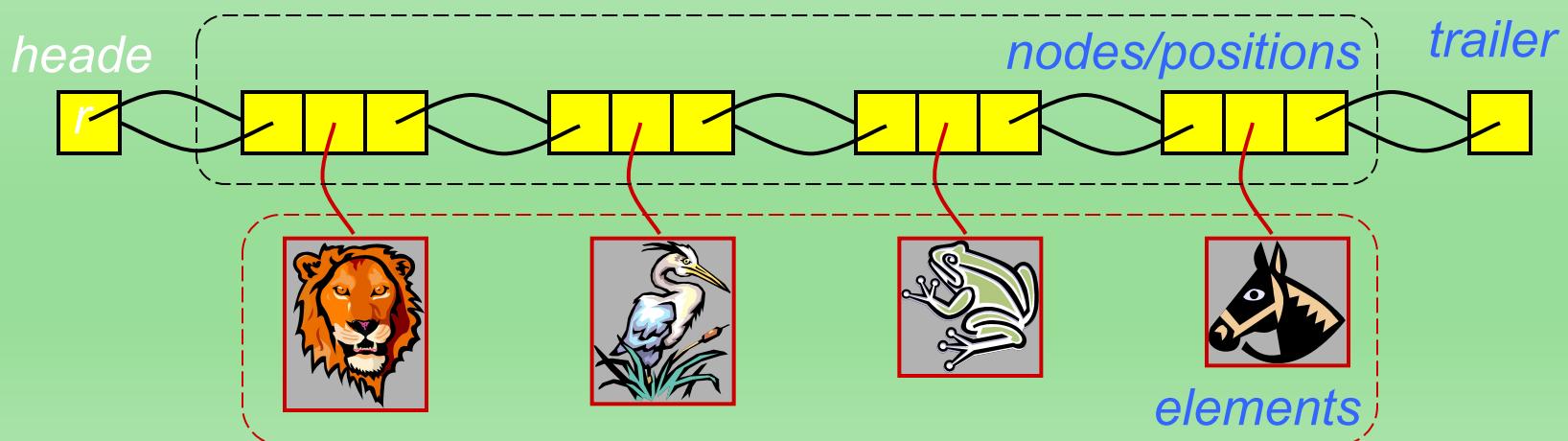
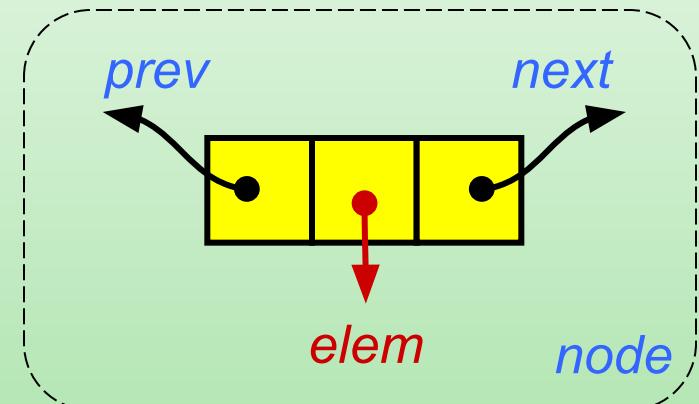
	Array Fixed-Size	Array Expandable (doubling strategy)	List Singly-Linked
dequeue()	O(1)	O(1)	O(1)
enqueue(o)	O(1)	O(n) Worst Case O(1) Best Case O(1) Average Case	O(1)
front()	O(1)	O(1)	O(1)
Size(), isEmpty()	O(1)	O(1)	O(1)

# The Double-Ended Queue ADT

- The Double-Ended Queue, or **Deque**, ADT stores arbitrary objects. (Pronounced ‘deck’)
- Richer than stack or queue ADTs. Supports insertions and deletions at both the front and the end.
- Main deque operations:
  - **insertFirst(object o)**: inserts element o at the beginning of the deque
  - **insertLast(object o)**: inserts element o at the end of the deque
  - **RemoveFirst()**: removes and returns the element at the front of the queue
  - **RemoveLast()**: removes and returns the element at the end of the queue
- Auxiliary queue operations:
  - **first()**: returns the element at the front without removing it
  - **last()**: returns the element at the front without removing it
  - **size()**: returns the number of elements stored
  - **isEmpty()**: returns a Boolean value indicating whether no elements are stored
- Exceptions
  - Attempting the execution of `dequeue` or `front` on an empty queue throws an **EmptyDequeException**

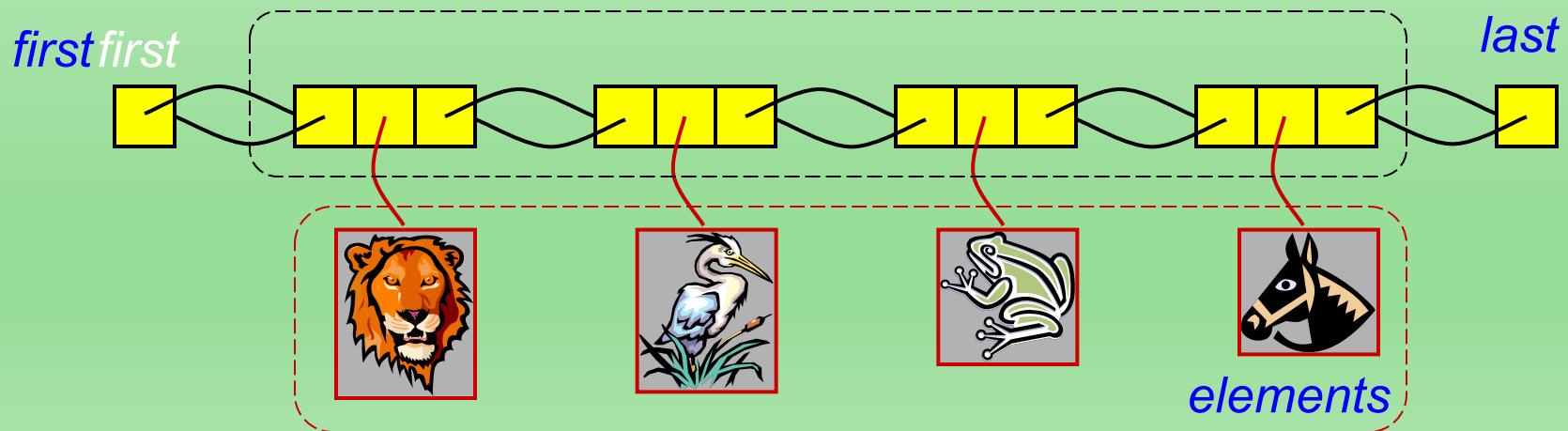
# Doubly Linked List

- A doubly linked list provides a natural implementation of the Deque ADT
- Nodes implement Position and store:
  - element
  - link to the previous node
  - link to the next node
- Special trailer and header nodes



# Deque with a Doubly Linked List

- We can implement a deque with a doubly linked list
  - The front element is stored at the first node
  - The rear element is stored at the last node
- The space used is  $O(n)$  and each operation of the Deque ADT takes  $O(1)$  time



# Performance and Limitations

## - doubly linked list implementation of deque ADT

- Performance
  - Let  $n$  be the number of elements in the stack
  - The space used is  $O(n)$
  - Each operation runs in time  $O(1)$
- Limitations
  - NOTE: we do not have the limitation of the array based implementation on the size of the stack b/c the size of the linked list is not fixed, i.e., the deque is NEVER full.

# Queue ADT

## DEFINITION

There are two basic operations related to the queue:

Function Name*	Provided Functionality
enqueue( <i>i</i> )	Insert element <i>i</i> at the tail of the queue.
dequeue()	Remove the element at the head of the queue.

Additionally, queues are often implemented with the following operations to save computation:

Function Name*	Provided Functionality
size()	returns the current size of the queue
peek()	returns the element at the head of the queue <i>without changing the queue</i>

# Deque Summary

- Deque Operation Complexity for Different

	Array Fixed-Si ze	Array Expandable (doubling strategy)	List Singly-Link ed	List Doubly-Lin ked
removeFirst(), removeLast()	O(1)	O(1)	O(n) for one at list tail, O(1) for other	O(1)
insertFirst(o), InsertLast(o)	O(1)	O(n) Worst Case O(1) Best Case O(1) Average Case	O(1)	O(1)
first(), last	O(1)	O(1)	O(1)	O(1)
Size(), isEmpty()	O(1)	O(1)	O(1)	O(1)

# Implementing Stacks and Queues with Deques

*Stacks with  
Deques:*

Stack Method	Deque Implementation
size()	size()
isEmpty()	isEmpty()
top()	last()
push(e)	insertLast(e)
pop()	removeLast()

*Queues with  
Deques:*

Queue Method	Deque Implementation
size()	size()
isEmpty()	isEmpty()
front()	first()
enqueue()	insertLast(e)
dequeue()	removeFirst()

# Disjoint Sets

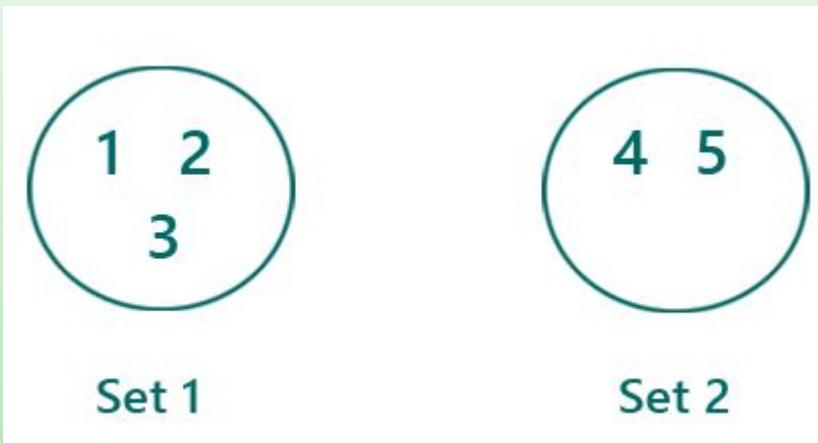
# Disjoint Sets

- A data structure that stores non overlapping or disjoint subset of elements is called disjoint set data structure. The disjoint set data structure supports following operations:
  - Adding new sets to the disjoint set.
  - Merging disjoint sets to a single disjoint set using **Union** operation.
  - Finding representative of a disjoint set using **Find** operation.
  - Check if two sets are disjoint or not.
- Consider a situation with a number of persons and the following tasks to be performed on them:
  - Add a **new friendship relation**, i.e. a person x becomes the friend of another person y i.e adding new element to a set.
  - Find whether individual x is a friend of individual y (direct or indirect friend)

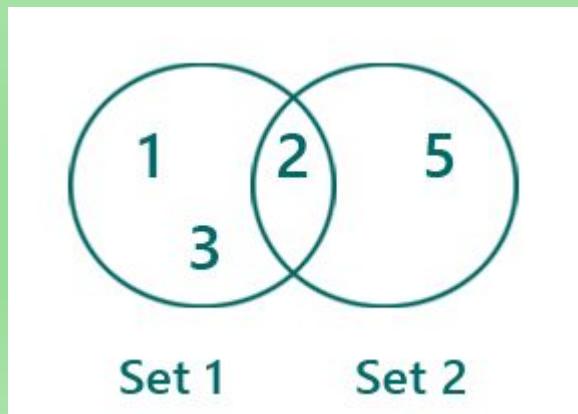
# Disjoint Set ADT

- Disjoint sets mean a collection of elements without any specific order.
  - To implement disjoint set ADT, an array is enough also.
  - ADT set is an auxiliary data structure used to solve many algorithmic problems based on graphs.
- 
- **Disjoint Sets ADT**
  - The basic operations on a disjoint set are the below ones:
    1. **MAKESET(X)**: create a new set with element X
    2. **UNION(X, Y)**: creating a set with X & Y and it deletes individual sets of X & Y.
    3. **FIND(X)**: It finds the set in which X is there. (X can't be in two different sets since it's named as disjoint set ADT)

# Disjoint Set ADT-Examples



*The above is an example of disjoint set ADT whereas the below one is not since it's not disjoint.*

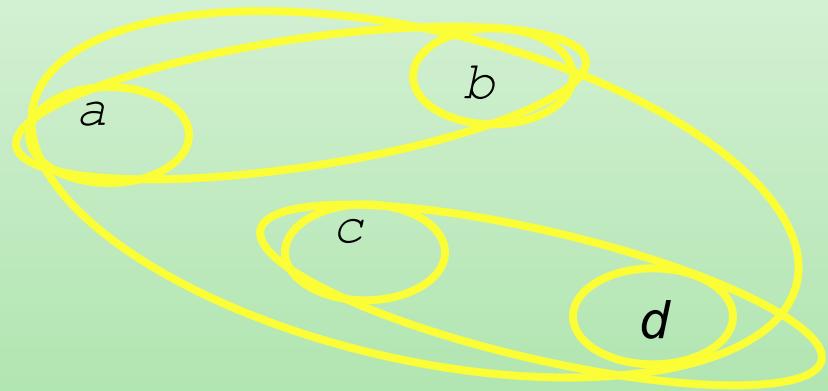


# Abstract Data Type: Disjoint Sets

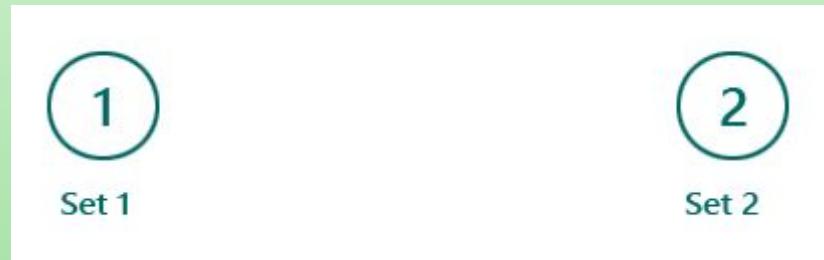
- State: collection of disjoint dynamic sets
  - The number of sets and their composition can change, but they must always be disjoint.
  - Each set has a representative element that serves as the name of the set.
  - For example,  $S=\{a,b,c\}$  can be represented by a.
- Operations:
  - **Make-Set(x)**: creates singleton set  $\{x\}$  and adds it to the collection of sets.
  - **Union(x,y)**: replaces x's set  $S_x$  and y's set  $S_y$  with  $S_x \cup S_y$
  - **Find-Set(x)**: returns (a pointer to) the representative of the set containing x

# Disjoint Sets Example

- Make-Set(a)
- Make-Set(b)
- Make-Set(c )
- Make-Set(d)
- Union(a,b)      *returns a*
- Union(c,d)      *returns c*
- Find-Set(b)
- Find-Set(d)
- Union(b,d)



- $\text{MAKESET}(X)$ ,  $\text{MAKESET}(Y)$  creates two disjoint sets having element X & Y.  
Like below:



$\text{FIND}(X)$ : It returns name of the set where X exists which is here set1

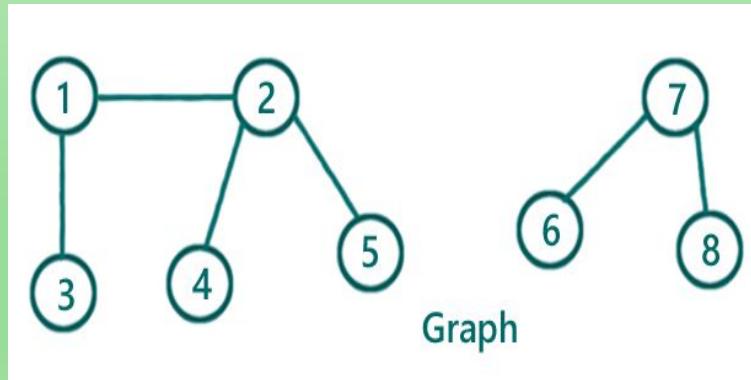
- UNION(X, Y): It creates a new set with element X & Y and removes the old sets of X & Y. UNION(X, Y) returns the below:



- **Application of disjoint set ADT**
  1. Representing network connectivity
  2. Finding cycle in an undirected graph
  3. Kruskal's Minimum spanning tree algorithm
  4. In gaming algorithms'

# Example

- Find disjoint sets in a graph using disjoint set ADT operations FIND, UNION
- What does disjoint sets in a graph mean?
- Disjoint sets in a graph mean components of a graph. Each connected component is treated as a disjoint set since it has no relation with the other components. Each connection (edge) is said to be the relation between two nodes. Two nodes having a relation falls in the same set.
- In the below input graph-We have two components and thus two disjoint sets. Those are,



# Example

The Disjoint Sets data structure can be used in **Kruskal's MST algorithm** to test whether adding an edge to a set of edges would cause a cycle.

Recall that in Kruskal's algorithm the edges chosen so far form a forest. The basic idea is to represent each connected component of this forest by its set of vertices.

In other words, each dynamic set represents a tree.

# Example (continued)

Initially, form the singleton sets  $\{v_1\}, \dots, \{v_n\}$ .

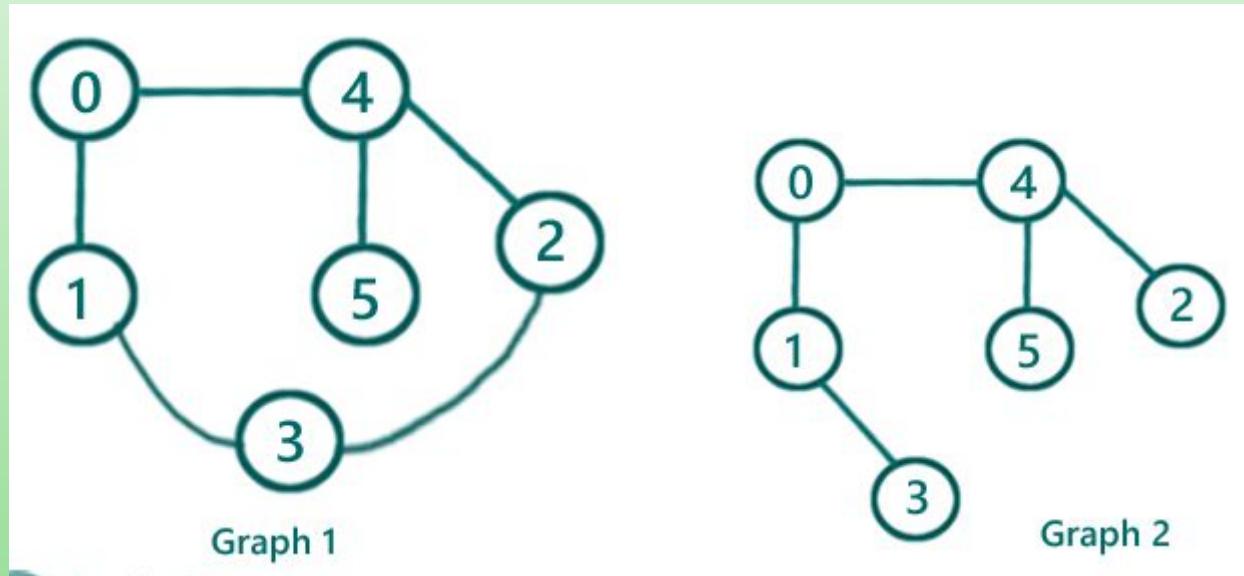
- **For all** vertices  $v$  in  $V$  do  
    Make-Set( $v$ ).

Add an edge unless this would form a cycle:

2. **For all** edges  $e=(u,v)$  taken in increasing weight do  
    Union( $u,v$ ) **unless** Find-Set( $u$ )=Find-Set( $v$ ).

## Example- Finding a cycle in an undirected graph using Disjoint Sets

- In the below example, graph 1 has a cycle where graph2 don't have any cycle.



## How we can use disjoint set ADT to find whether there is a cycle or not.

- An edge is a relation b/w two nodes and two nodes having an edge b/w them, are supposed to be in the same disjoint set.
- Through, ADT operation FIND(X) & UNION(X, Y), we create disjoint sets out of the available edges.
- To detect a cycle in an undirected graph the algorithm would be:
- For each edge in the edge list:
- Find parents(set name) of the source and destination nodes respectively (Though we are using terms like source & destination node, the edges are undirected).
- If both have the same parent, that means they belong to the same set already and this edge creates a cycle. Since both the node already belong to the same set, that means even without this edge we could have reached one node from other. So, the addition of this edge creates a cycle since edges are undirected.
- Else
- Do the UNION of them to bring them under the same set.

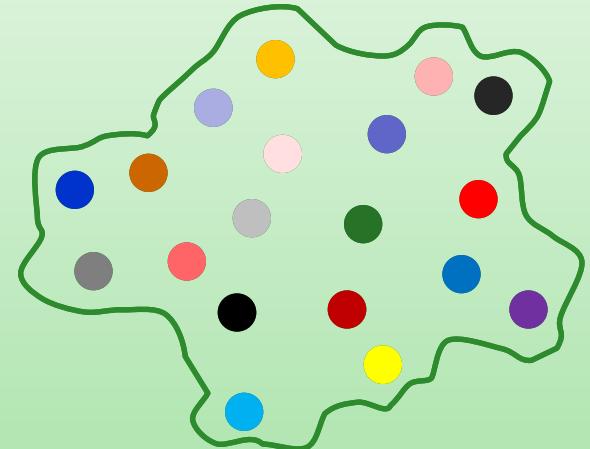
# A Key Idea

If you put marbles into a sack of marbles, how do you get back your *original* marbles?

You only can do that if all marbles are somehow unique.

The Dictionary and Set ADTs insist that everything put inside of them must be unique (i.e., no duplicates).

This is achieved through **keys**.



# The Dictionary (a.k.a. Map) ADT

Data:

- Set of (key, value) pairs
- keys are mapped to values
- keys must be comparable
- keys must be unique

Standard Operations:

- insert(key, value)
- find(key)
- delete(key)

# Dictionary ADT

## Dictionary ADT

- Dictionary (map, association list) is a data structure, which is generally an association of unique keys with some values.
- One may bind a value to a key, delete a key (and naturally an associated value) and lookup for a value by the key. Values are not required to be unique.
- Simple usage example is an explanatory dictionary. In the example, words are keys and explanations are values.

## Operations

- **Dictionary create()** creates empty dictionary  
**boolean isEmpty(Dictionary d)** tells whether the dictionary **d** is empty  
**put(Dictionary d, Key k, Value v)** associates key **k** with a value **v**; if key **k** already presents in the dictionary old value is replaced by **v**  
**Value get(Dictionary d, Key k)** returns a value, associated with key **k** or null, if dictionary contains no such key  
**remove(Dictionary d, Key k)** removes key **k** and associated value  
**destroy(Dictionary d)** destroys dictionary **d**

# Dictionaries

Dictionaries are often implemented as **hash tables**

Here a dictionary has been declared and initialized.

The identifier (name) of the dictionary is `results`.

The key is the name of the student and the value is the result of a recent assessment.

```
1 | DICTONARY results = {"Detra" : 17,
2 |           "Nova" : 84,
3 |           "Charlie" : 22,
4 |           "Hwa" : 75,
5 |           "Roxann" : 92,
6 |           "Elsa" : 29}
```

The use of a student's first name as a key is unlikely to be a sensible choice as you would probably have more than one student with the same name (and key values must be unique).

Note that a dictionary is **unordered**.

No attempt should be made to keep the data in any kind of order, as it is arranged to optimise the speed of retrieving a value by key rather than by position.

# Retrieve a value from a dictionary

- To retrieve a value from a dictionary you must use the key. This will return the associated data value.
- The key is used in the same way that an index is used for an array.
- In pseudocode and in many programming languages, the key value (or a variable containing the key value) is enclosed within square brackets.

```
1 | score = results["Charlie"]
2 | print(score)
```

- A procedure based on the example above will display 22, which is the value associated with the key **Charlie**.
- Depending on the programming language, attempting to retrieve data using a missing key may give a default value or throw an exception.

# Examples

1. Given the contents of the following dictionary named scores:

DICTIONARY scores = {13 : 23, 9 : 84, 46 : 22, 23 : 75}

What value will be retrieved if you specify scores[23]?

2. DICTIONARY countries = {"France" : ["Paris", 67], "Germany" : ["Berlin", 83], "Belgium" : ["Brussels", 23], "Ireland" : ["Dublin", 11]}

What does countries["Ireland"] return?

# Answers

- **Answer: 75**
- The contents of the dictionary are key-value pairs. The first item in the dictionary has the key 13 and the next key is 9. Key 23 is associated with the value 75.

***Answer :***

The values in the dictionary countries are arrays. Therefore, the statement: countries["Ireland"] will return ["Dublin", 11]

Each array has two elements. The first is in position 0,0 and the second is in position 1,1.

Therefore countries["Ireland"][1] will return the second element from the array ["Dublin", 11] which is 11.

# Insert a new value

- To insert a new value you need to provide a key and the data. Remember that the key must be unique; if the key does not exist in the dictionary, the key-value pair is inserted.
- Since the key "Bob" does not currently exist in the dictionary, a new entry will be made for the key Bob with the associated value 78.

```
1 | results["Bob"] = 78
```

# Update a value in the dictionary

To update a value in the dictionary, you need to provide a valid key and the associated data. If the key does not exist in the dictionary, then a new key-value pair will be inserted instead.

```
1 | results["Hwa"] = 71
```

*Here the value associated with the key **Hwa** will be updated to 71 (from 75).*

# Remove a key-value pair

- If you remove a key, its associated value will be removed as well. If the key does not exist in the dictionary,
- an exception will be thrown.

```
1 | results.pop("Elsa")
```

# The Set ADT

Data:

- keys must be comparable
- keys must be unique

Standard Operations:

- insert(key)
- find(key)
- delete(key)

*insert(deibel)*

- *jfogarty*
- *trobison*
- *swanson*
- *deibel*
- *djg*
- *tompa*
- *tanimoto*
- *rea*

*find(swanson)*

*swanson*

# Comparing Set and Dictionary

Set and Dictionary are essentially the same

- Set has no values and only keys
- Dictionary's values are "just along for the ride"
- The same data structure ideas thus work for both dictionaries and sets
- We will thus focus on implementing dictionaries

But this may not hold if your Set ADT has other important mathematical set operations

- Examples: union, intersection, isSubset, etc.
- These are binary operators on sets
- There are better data structures for these

# Sets as ADT

- Sets are a type of abstract data type that allows you to store a list of non-repeated values. Their name derives from the mathematical concept of finite sets.
- Unlike an array, sets are unordered and unindexed.
- You can think about sets as a room full of people you know. They can move around the room, changing order, without altering the set of people in that room. Plus, there are no duplicate people (unless you know someone who has cloned themselves).
- These are the two properties of a set: the data is unordered and it is not duplicated.

# SET ADT

The set has four basic operations.

Function Name*	Provided Functionality
<code>insert(<i>i</i>)</code>	Adds <i>i</i> to the set
<code>remove(<i>i</i>)</code>	Removes <i>i</i> from the set
<code>size()</code>	Returns the size of the set
<code>contains(<i>i</i>)</code>	Returns whether or not the set contains <i>i</i>

Sometimes, operations are implemented that allow interactions between two sets

Function Name*	Provided Functionality
<code>union(<i>S, T</i>)</code>	Returns the union of set <i>S</i> and set <i>T</i>
<code>intersection(<i>S, T</i>)</code>	Returns the intersection of set <i>S</i> and set <i>T</i>
<code>difference(<i>S, T</i>)</code>	Returns the difference of set <i>S</i> and set <i>T</i>
<code>subset(<i>S, T</i>)</code>	Returns whether or not set <i>S</i> is a subset of set <i>T</i>

# Examples

1) What will be the output of the following?

Python

```
1 >>> set1 = Set([1, 2, 3, 4, 1, 4])
2 >>> set1
```

2) What will be the output of the following?

Python

```
1 >>> set1 = Set([1, 3, 5, 7])
2 >>> set2 = Set([2, 3, 4, 5])
3 >>> set1.union(set2)
```

4) What will be the output of the following?

Python

```
1 >>> set1 = Set([1, 2, 3])
2 >>> set2 = Set([2, 3, 4])
3 >>> set1.subset(set2)
```

3) What will be the output of the following?

Python

```
1 >>> set1 = Set([1, 3, 5, 7])
2 >>> set2 = Set([2, 3, 4, 5])
3 >>> set1.intersection(set2)
```

# Answers

This set was instantiated with multiple values of 1 and 4. So, those will be removed

Python

```
1 >>> set  
2 [1, 2, 3, 4]
```

We're taking the union of both sets, so we want each element from both sets, but we exclude duplicate because we're using sets.

Python

```
1 >>> set1.union(set2)  
2 [1, 2, 3, 4, 5, 7]
```

To take the intersection from both sets, we want to return one copy of each element that exist in *both* sets.

Python

```
1 >>> set1.intersection(set2)  
2 [3, 5]
```

We're asking if `set1` is a subset of `set2`. `Set1` has the value 1 in it, and `set2` doesn't. So, it is false.

Python

```
1 >>> set1.subset(set2)  
2 False
```

# Time Complexity

- Hash Table Insert -  $O(1)$
- Hash Table Remove -  $O(1)$
- Hash Table Contains -  $O(1)$

# Uses

Any time you want to store information according to some key and then be able to retrieve it efficiently, a **dictionary** helps:

- Networks: router tables
- Operating systems: page tables
- Compilers: symbol tables
- Databases: dictionaries
- Search: inverted indexes, phone directories, ...

# Dictionary: ADTs

Arrays and linked lists are viable options, just not great good ones.

For a dictionary with  $n$  key/value pairs, the worst-case performances are:

	Insert	Find	Delete
Unsorted Array	$O(1)$	$O(n)$	$O(n)$
Unsorted Linked List	$O(1)$	$O(n)$	$O(n)$
Sorted Array	$O(n)$	$O(\log n)$	$O(n)$
Sorted Linked List	$O(n)$	$O(n)$	$O(n)$

*Again, the array shifting is costly*

# Trees

# Tree Data Structure

## Introduction

A tree is recursively defined non-linear (hierarchical) data structure. It comprises nodes linked together in a hierarchical manner. Each node has a label and the references to the child nodes. Figure 1 shows an example of a tree.

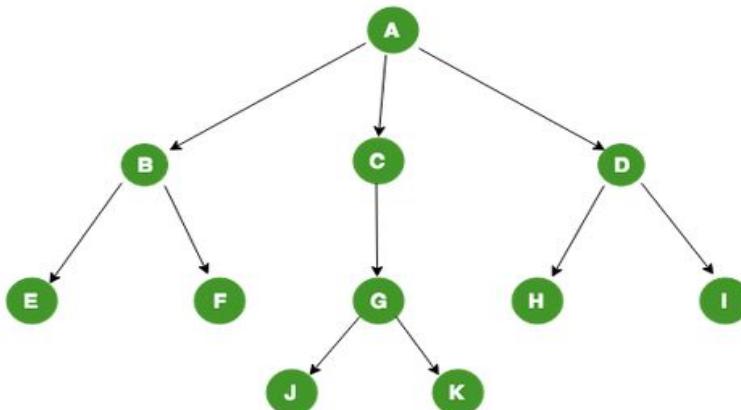


Fig 1: An example of a tree

One of the nodes in the tree is distinguished as a *root* and we call this tree as a *rooted tree*. In figure 1, the node labeled A is a root of the tree. All the nodes except the root node have exactly one parent node and each node has 0 or more child nodes.

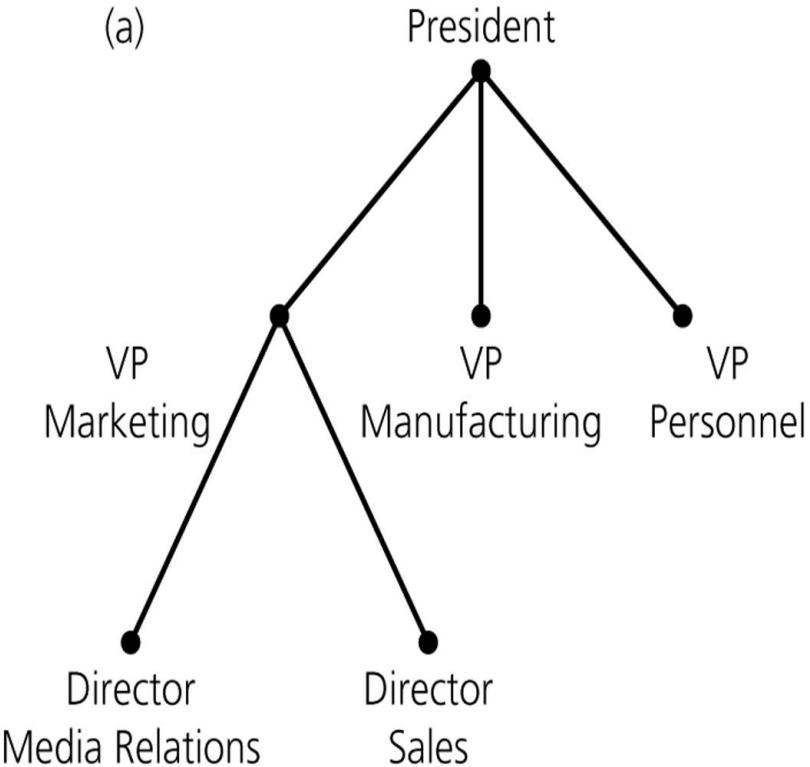
Mathematically, a tree can be defined as an *acyclic*, *undirected*, and *connected* graph.

- **Acyclic:** There are no cycles i.e. there is exactly one path that connects every node to every other node. For example, In figure 1, to go from E to D there is only one path E-B-A-D.
- **Undirected:** All the edges in the tree are bidirectional.
- **Connected:** From every node, we can go to every other node. The disconnected trees are called a forest.

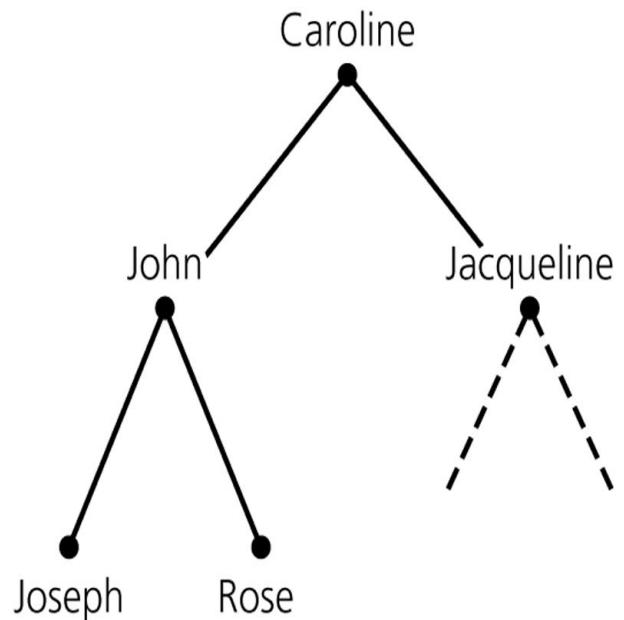
In mathematics and graph theory, trees are mostly undirected but in computer science, a tree is mostly assumed to be directed. That means in the tree data structure, we don't have references that point to the parents.

# General Tree v.s. Binary Tree

(a)

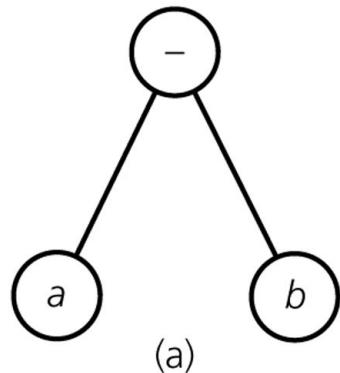


(b)

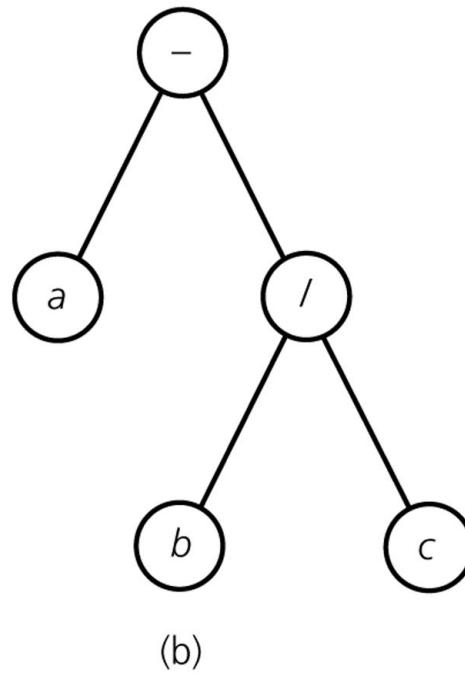


# Represent Algebraic Expressions using Binary Tree

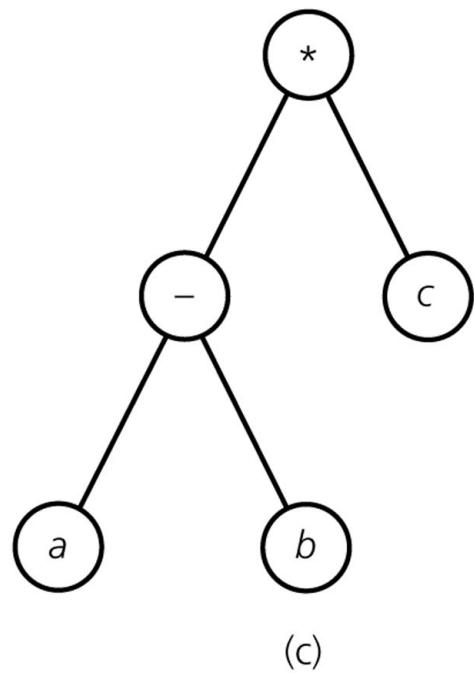
$a - b$



$a - b / c$



$(a - b) * c$



# Applications of tree data structure

1. Trees are best to store information that forms the tree structure naturally. Examples: File System, Syntax trees
2. Storing information in trees are faster than storing information in arrays and linked lists for operations like insert or read or delete.
3. Height balanced trees like a Red-Black tree or AVL tree have  $O(\log n)$  time complexity for all the operations.
4. Trees like B- tree and B+ trees are used in the database for indexing.
5. One special kind of binary tree called heap is useful in HeapSort, Priority queue etc.

# Tree Data Structure

## Node

A node is an element of a tree. A tree is nothing but nodes linked together. Figure 2 illustrates this.

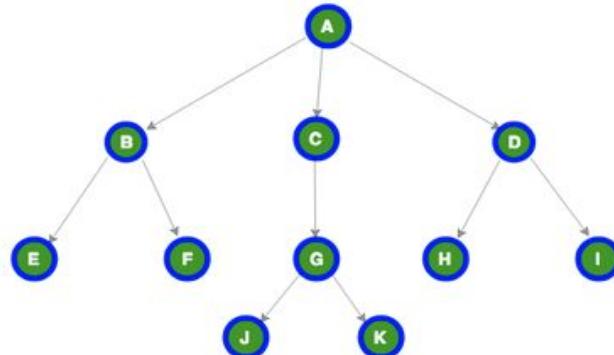


Fig 2: The nodes of the tree

All the circles in the figure are nodes.

## Root

Root is the first node of the tree. We can consider root as an origin of a tree. A tree has exactly one root. Once we have the root, we can get to any nodes in the tree. Figure 3 illustrates a root node.

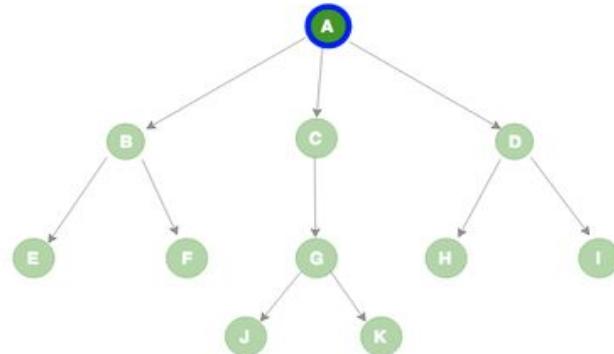


Fig 3: A root of the tree

# Tree Data Structure

## Child

A child is a node that is directly connected to another node when moving away from the root. In Figure 4, (B, C, D) are child nodes of A, (E, F) are child nodes of B, G is a child node of C, (H, I) are child nodes of D and (J, K) are child nodes of G.

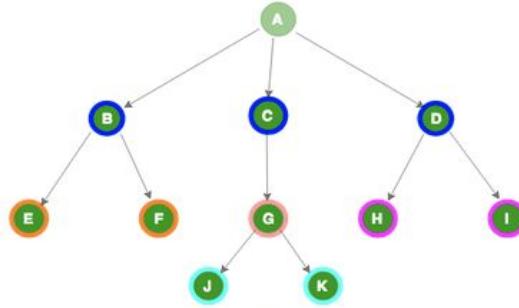


Fig 4: Child Nodes

## Parent

A parent node is a node that has one or more child nodes. This is a converse notion of a child node. In Figure 5, A is a parent of (B, C, D), B is a parent of (E, F), C is a parent of G, D is a parent of (H, I) and G is a parent of (J, K).

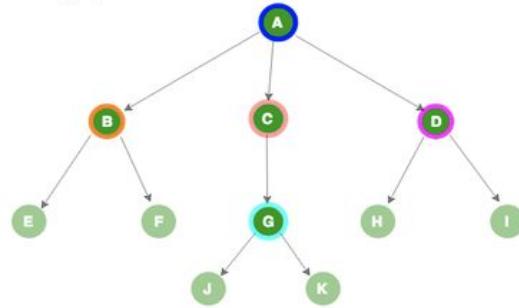


Fig 5: Parent Nodes

# Tree Data Structure

## Siblings

A group of nodes with the same parent are called siblings. Figure 6 shows the siblings in the tree. The nodes with the same border color are siblings.

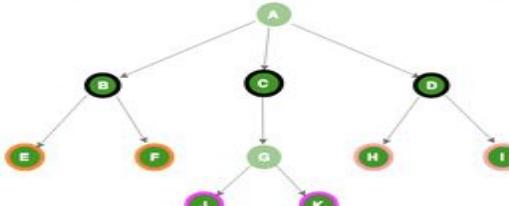


Fig 6: Siblings

## Leaf Node

A node with no children is called a leaf. A leaf node is also called an external node or a terminal node. We can not go further down the tree from the leaf node. In Figure 7, E, F, J, K, H and I are leaf nodes.

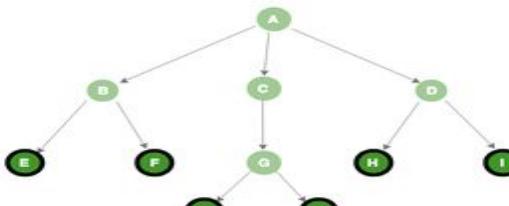


Fig 7: Leaf Nodes

## Internal Node

An internal node has at least one child. All nodes except leaf nodes are internal nodes of the tree. It is also called a branch node. Figure 8 shows the internal nodes of the tree.

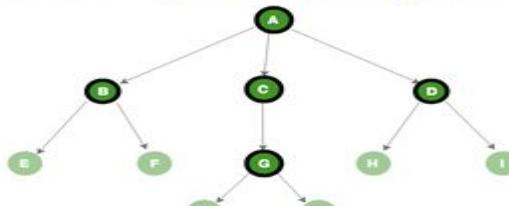


Fig 8: Internal Nodes

## Edge

An edge is a connection between one node and another. In a tree, parent and child are connected by an edge.

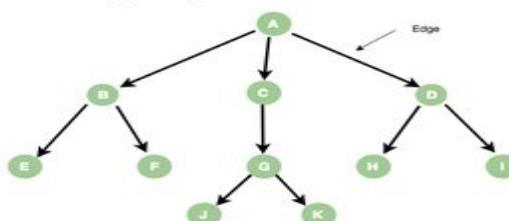


Fig 9: Visualization of edges of a tree

## Descendant

A descendant node of a node is any node in the path from that node to the leaf node (including the leaf node). The immediate descendant of a node is the “child” node. In figure 10, the descendant nodes of node C are G, J and K

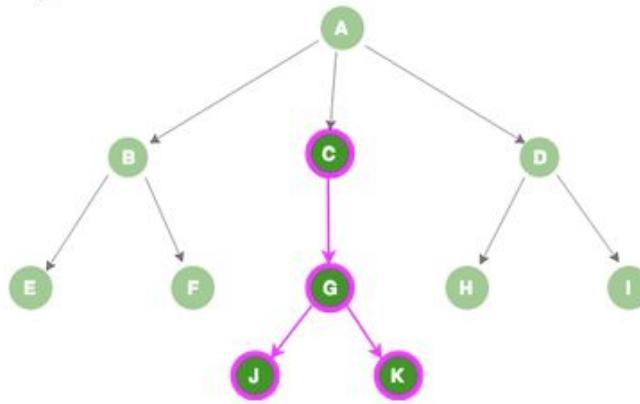


Fig 10: Descendants of node C

## Ancestor

An ancestor node of a node is any node in the path from that node to the root node (including the root node). In figure 10, the ancestor nodes of node J are G, C and A.

## Path

The sequence of nodes and edges connecting a node and its descendants is called a path. In Figure 11, the path between A and E is A-B-E and the path between C and J is C-G-J

]

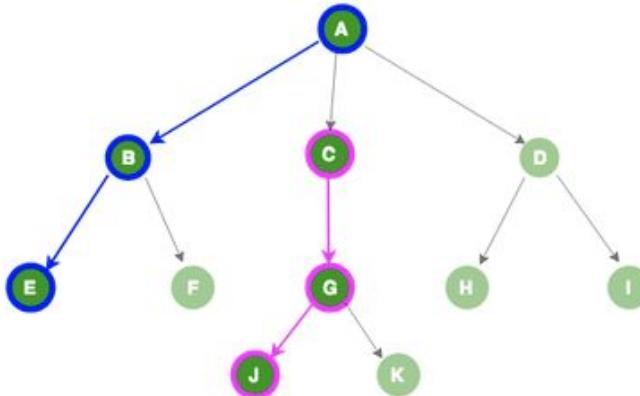


Fig 11: Illustrating Paths

## Ancestor

An ancestor node of a node is any node in the path from that node to the root node (including the root node). In figure 10, the ancestor nodes of node J are G, C and A.

## Path

The sequence of nodes and edges connecting a node and its descendants is called a path. In Figure 11, the path between A and E is A-B-E and the path between C and J is C-G-J

]

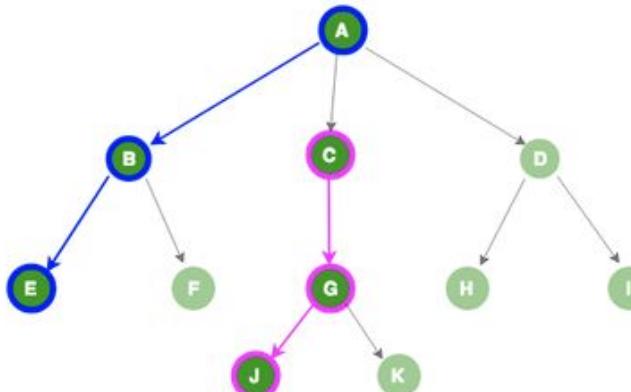


Fig 11: Illustrating Paths

## Degree

A degree of a node is the number of children. Since leaf node doesn't have children, its degree is 0. The degree of a node can be any whole number from 0 to N. Figure 12 shows nodes with the corresponding degree.

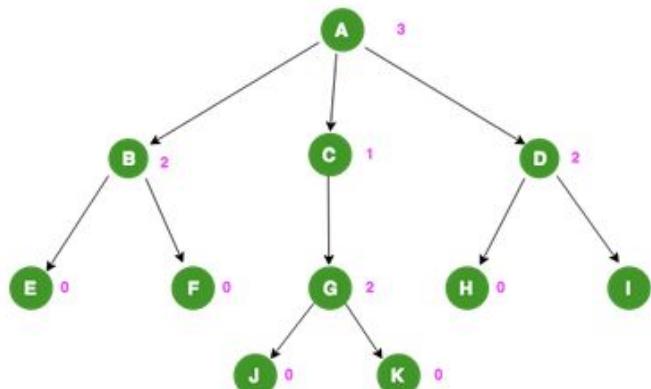


Fig 12: A tree with nodes and corresponding degree

## Level

A level of a particular node is the number of edges between the node and a root + 1. The level always starts with 1 i.e. the level of a root is 1. The children of the root have level 2. The children of a level 2 node have level 3 and so on. Figure 13 illustrates this.

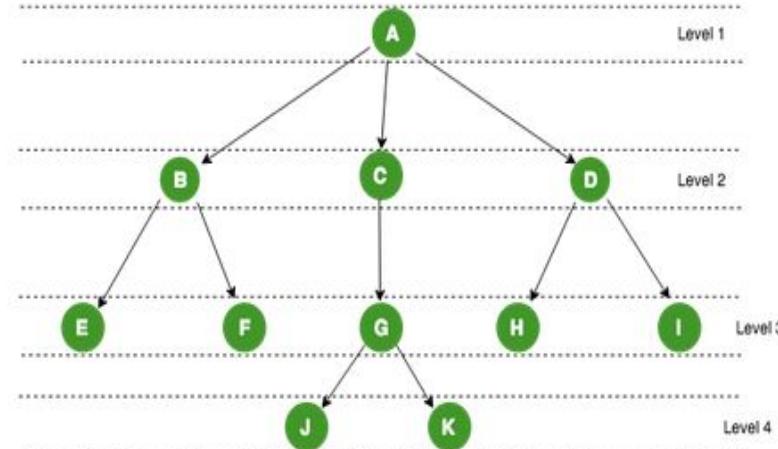


Fig 13: Level of nodes

## Height

The height of a node is the number of edges on the longest path between that node and a leaf. The height of a leaf node is 0 and the root has the largest height. The height of the root node is called the *Height of Tree*. In Figure 14, the height of the tree is 3.

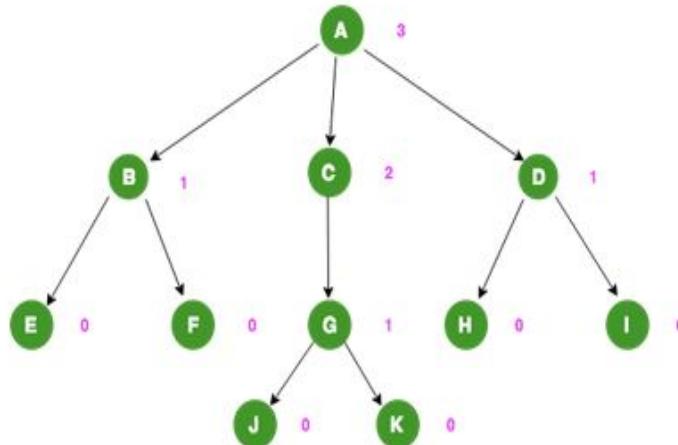


Fig 14: Height of nodes

## Depth

The depth of a node is the number of edges between the node and the root of the tree. The depth of the tree is 0. The depth of the tree is the depth of a leaf node on the longest path. In Figure 15, the depth of the tree is 3.

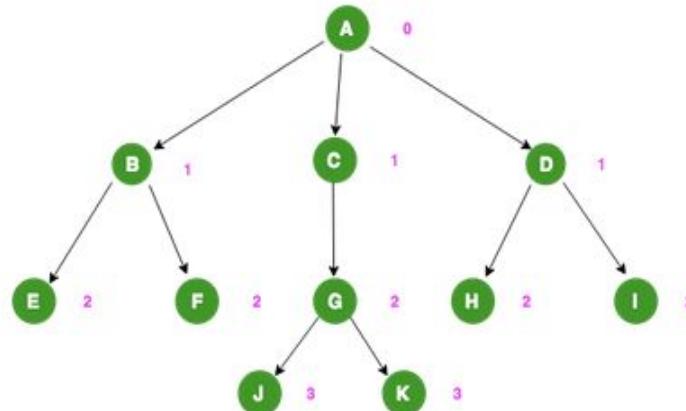


Fig 15: Depth of nodes

## Subtrees

A node and all its descendants form a subtree. The subtree of a root node is the tree itself. Figure 16 shows some of the subtrees of the tree.

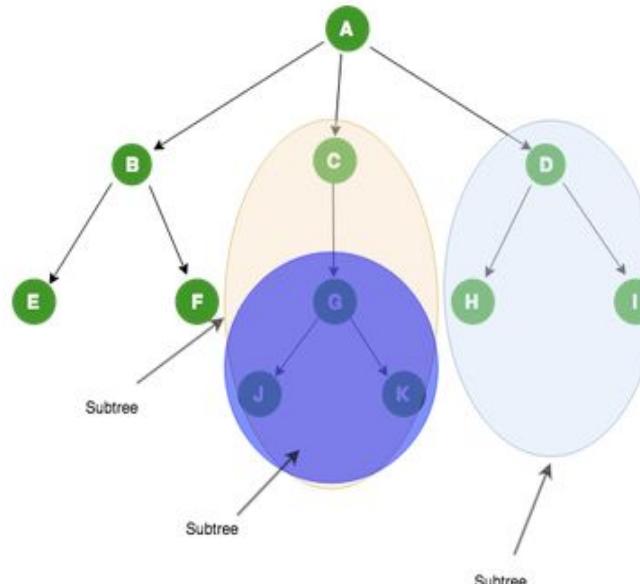


Fig 16: Illustrating Subtrees

# Full Binary Trees

## Full Binary Tree

A full binary tree is a tree in which each node has either 0 or 2 children. The leaf nodes have 0 children and all other nodes have exactly 2 children. Figure 2 shows an example of a full binary tree.

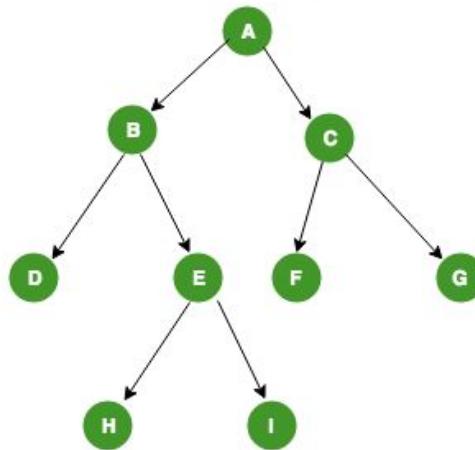


Fig 2: An example of a full binary tree

In a full binary tree, the number of leaf nodes = number of internal nodes + 1.

# Complete Binary Tree

## Complete Binary Tree

A complete binary tree is a tree in which every level, except possibly the last, is filled. The nodes in the last level are filled from left to right.

### Properties of a complete binary tree

1. The last level of a complete binary tree can have 1 to  $2^h$  nodes where h is the height of the tree.
2. The number of internal nodes is the floor of  $n/2$ , where n is the total number of nodes.

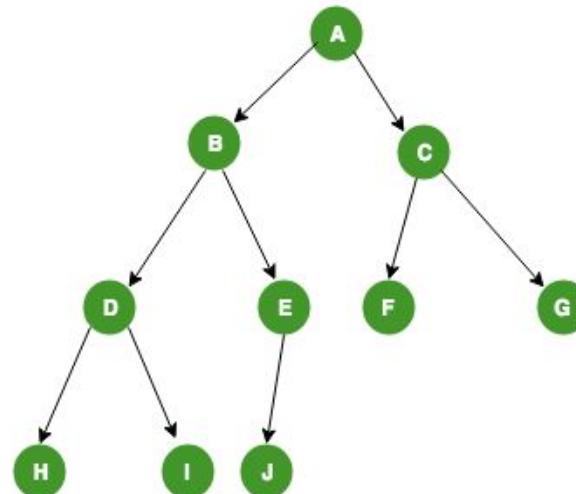


Fig 3: An example of a complete binary tree

# Perfect Binary Tree

## Perfect Binary Tree

A perfect binary tree is a tree where all the interior nodes have 2 children and all the leaf nodes are on the same level.

### Properties of a perfect binary tree

1. The number of leaf nodes =  $(n + 1)/2$ , where n is the total number of nodes.
2. The total number of nodes =  $2^{h + 1} - 1$ , where h is the height of the tree.

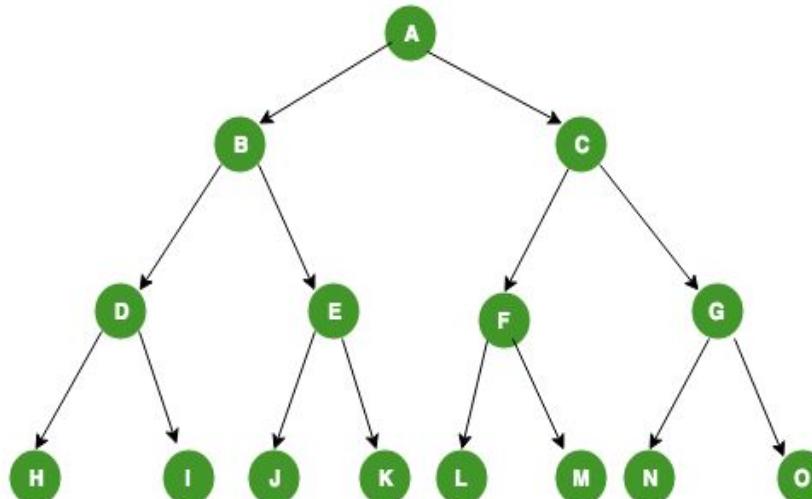


Fig 4: An example of a perfect binary tree

# Balanced Binary Trees

## Balanced Binary Tree

A balanced binary tree is a binary tree where the height of the left and the right subtree is differed by at most 1. This must be valid for each subtree. Examples of a balanced binary tree include AVL tree, Red-Black Tree, 2/3 Tree etc. One of the interesting property of a balanced tree is that the height of the tree is  $O(\log n)$  which gives a guarantee that the insertion, deletion, and search operations are efficient.

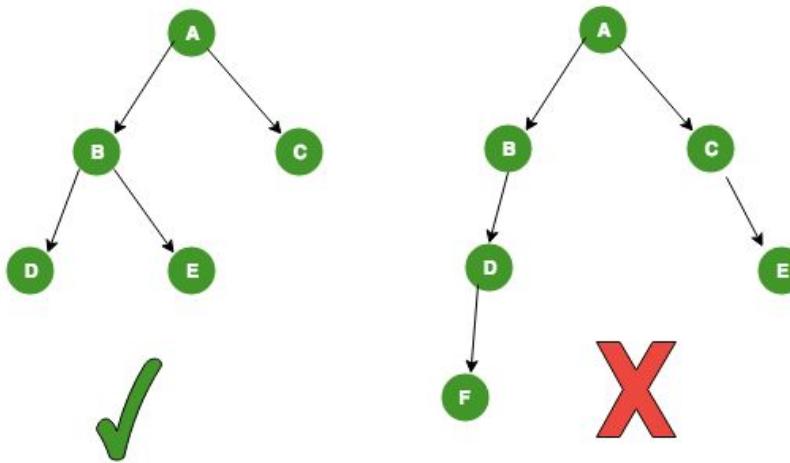


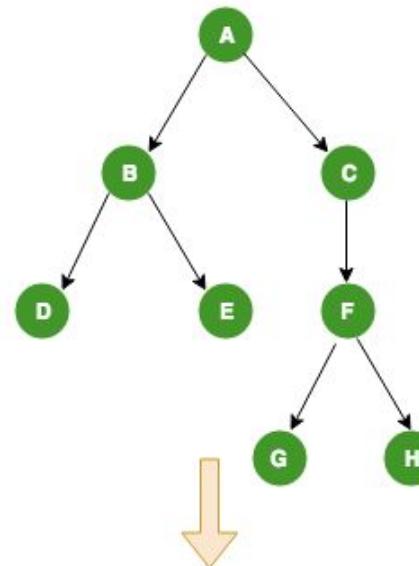
Fig 5: An example of a valid balanced binary tree (left) and invalid balanced binary tree (right)

# Representation

There are two popular representations of a binary tree. One is the array representation and another is the linked list representation.

## Using array

A binary tree can be implemented efficiently using an array. The array representation is most suited for a complete binary tree where the waste of memory is minimum. We need to allocate  $2^{h+1} - 1$  array items, where  $h$  is the height of the tree, that can fit any kind of binary tree. If  $i$  is the index of a parent, then the index of the left child is  $2i + 1$  and the index of the right child is  $2i + 2$ . Fig 6 shows a binary tree and its corresponding array representation.



A	B	C	D	E	F	-	-	-	-	-	G	H	-	-
---	---	---	---	---	---	---	---	---	---	---	---	---	---	---

Fig 6: A binary tree and its array representation

## Using linked list

In linked list representation, each node of the tree holds the reference for left and right child. Figure 7 shows a binary tree and its representation using the linked list.

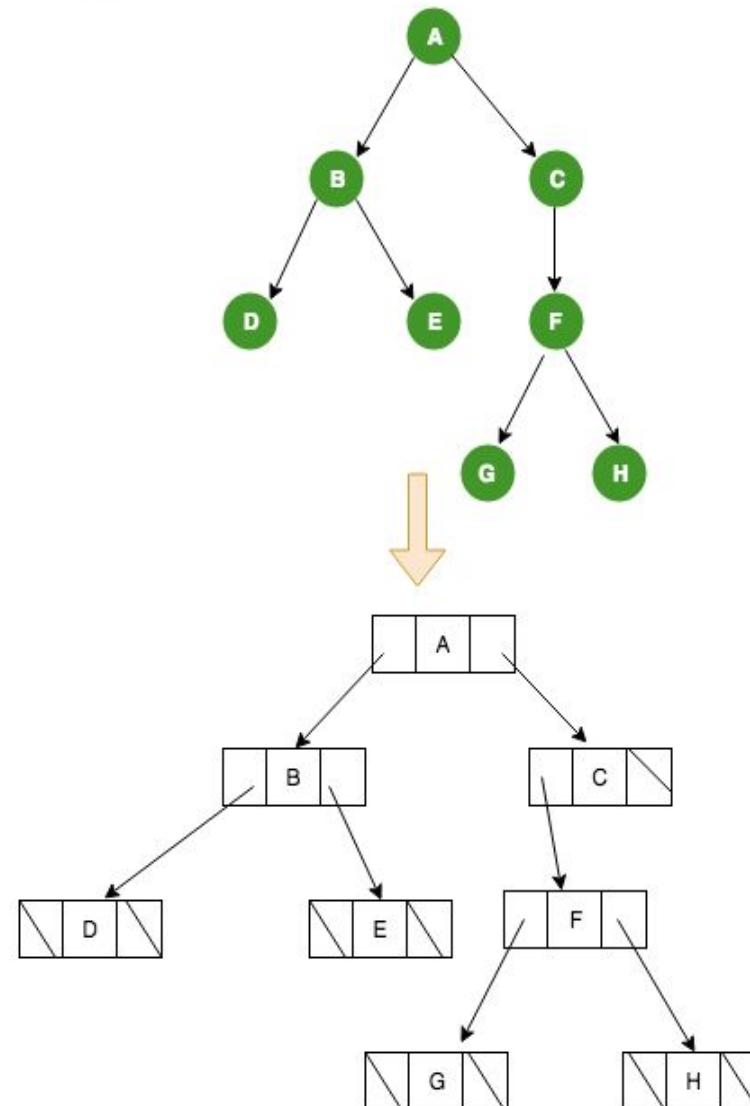


Fig 7: A binary tree implementation using linked list

# Traversals

---

There are two types of traversal in a tree: (1) depth-first traversal and (2) breadth-first traversal.

## Depth-first traversal

---

In depth-first traversal, we visit the child nodes before visiting the next sibling. In this way, we go deeper and deeper until there is no more child node left (i.e. we reach the leaf node). The algorithm for depth-first traversal is given below.

At node N,

- (1) Recursively traverse its left subtree.
- (2) Recursively traverse its right subtree.
- (3) Visit the node N itself.

Depending upon the order in which these operations occur, breadth-first traversal can be further divided into three categories.

1. **Pre-Order traversal:** We visit the root node first, then recursively traverse the left subtree and recursively traverse the right subtree. The pseudocode is given below.

```
procedure pre-order(node) {
    if (node is not null) {
        print node.data;
        pre-order(node.leftnode);
        pre-order(node.rightnode);
    }
}
```

The pre-order traversal on the binary tree given in figure 1 is : A, B, D, E, C, F ,G, H.

2. **In-Order traversal:** We visit the left subtree recursively, visit the node and finally visit the right subtree. The pseudocode is given below.

```
procedure in-order(node) {
    if (node is not null) {
        in-order(node.leftnode);
        print node.data;
        in-order(node.rightnode);
    }
}
```

The in-order traversal on the binary tree given in figure 1 is : D, B, E, A, G, F, H, C

3. **Post-Order traversal:** We visit the left subtree recursively, visit the right subtree recursively and finally visit the node. The pseudocode is given below.

```
procedure post-order(node) {
    if (node is not null) {
        post-order(node.leftnode);
        post-order(node.rightnode);
        print node.data;
    }
}
```

## Breadth-first traversal

It is also known as level-order traversal. In this traversal, we visit every node of a level before going to the lower level. The pseudocode for level-order traversal is given below.

```
procedure level-order(node) {  
    queue.enqueue(node);  
  
    while (queue is not empty) {  
        node = queue.dequeue();  
        if (node is not null) {  
            print node.data;  
            queue.enqueue(node.leftnode);  
            queue.enqueue(node.rightnode);  
        }  
    }  
}
```

The level-order traversal on the tree given in figure 1 is: A, B, C, D, E, F, G, H. This is illustrated in figure 8.

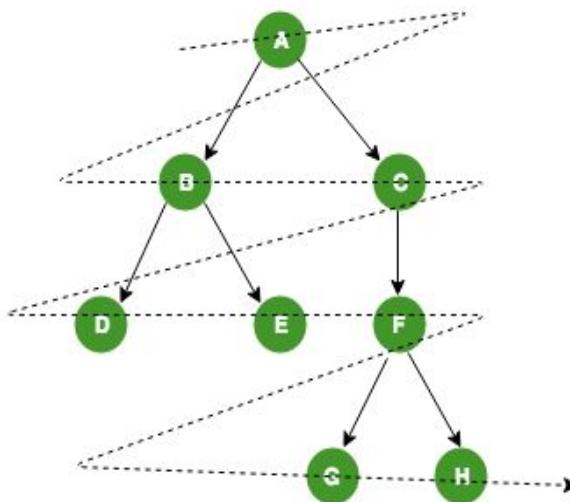
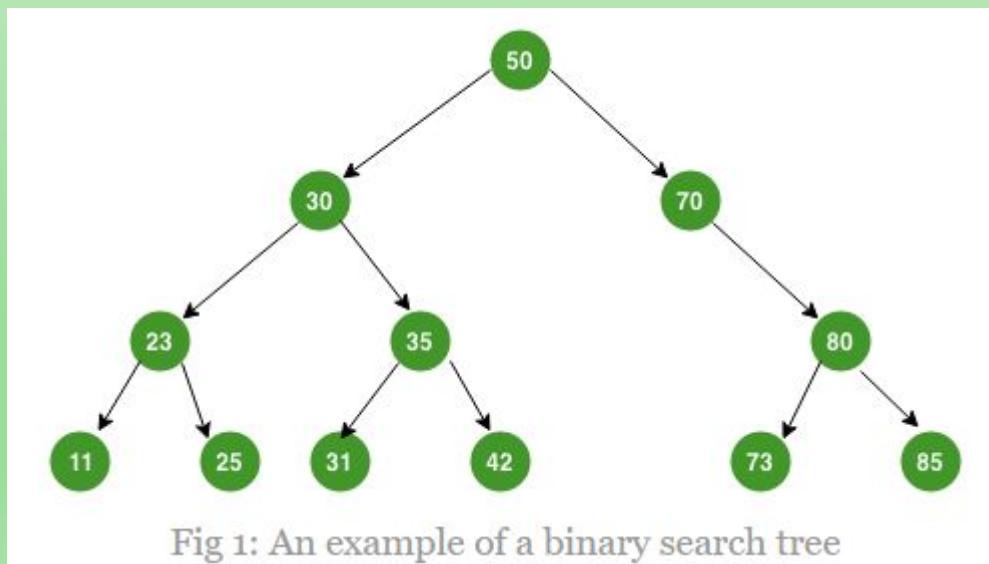


Fig 8: Illustrating level-order traversal

# BST

- If you look at any node in the figure, the nodes in the left subtree are less or equal to the node and the nodes in the right subtree are greater than or equal to the node.
- The height of a randomly generated binary search tree is  $O(\log n)$ .
- Due to this, on average, operations in binary search tree take only  $O(\log n)$  time.



# BST

- Some binary trees can have the height of one of the subtrees much larger than the other. In that case, the operations can take linear time.

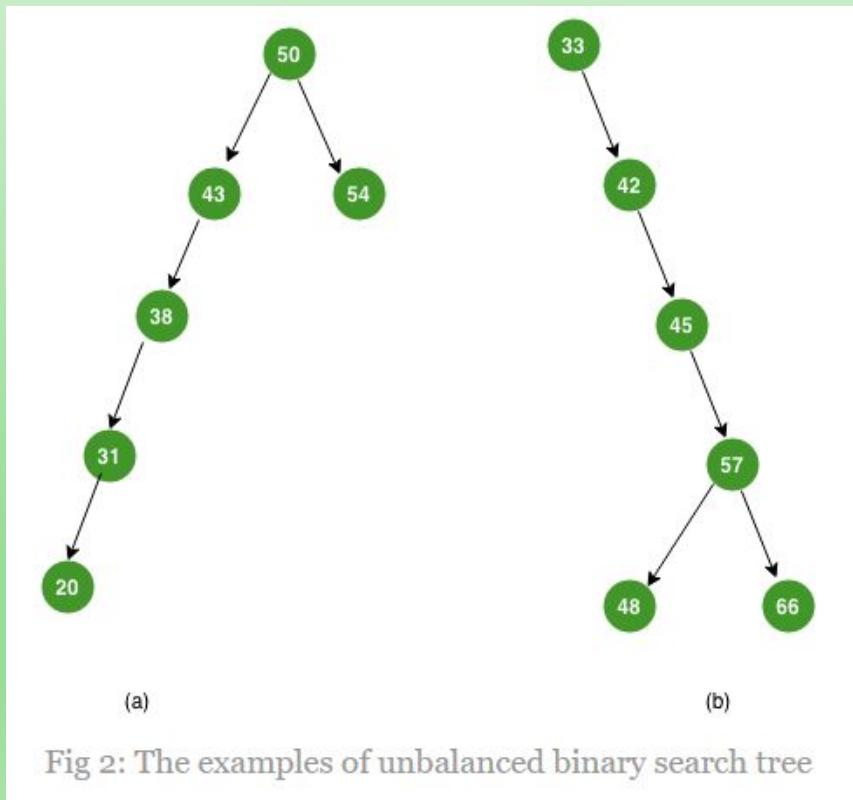
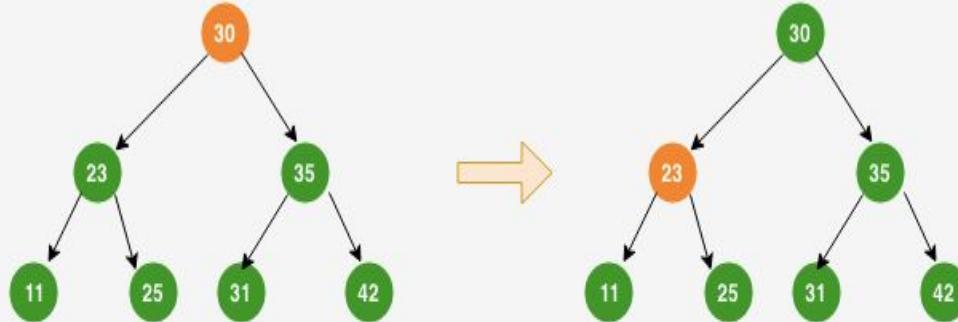
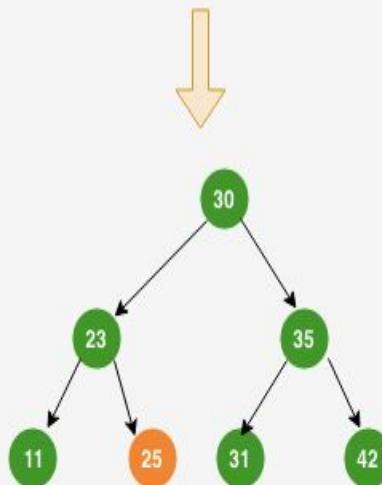


Fig 2: The examples of unbalanced binary search tree



Step 1: Compare 25 with the key of the root node which is 30. Since 25 is smaller than 30, we continue to the search process in the left subtree.

Step 2: Compare 25 with 23. Since 25 is larger, we continue the search process on the right subtree.



Step 3: Since the key we are looking for is exactly the same as the key of the node we are currently at, the process terminates and return the node.

The recursive algorithm for the search operation is given below.

```
TREE-SEARCH(x, k)
  if x == NIL or k == x.key
    return x
  if k < x.key
    return TREE-SEARCH(x.left, k)
  else return TREE-SEARCH(x.right, k)
```

Fig 3: Illustrating the search on BST

# Examples

## Minimum and Maximum

Given a binary search tree, we can find a node whose key is minimum by following the left child pointers from the root all the way down to the leaf node. Similarly, we can find the key whose key is maximum by following the right child pointers. In other words, the left-most node of a left subtree has the minimum key and the right-most node of a right subtree has the maximum key. Figure 4 illustrates this with an example tree.

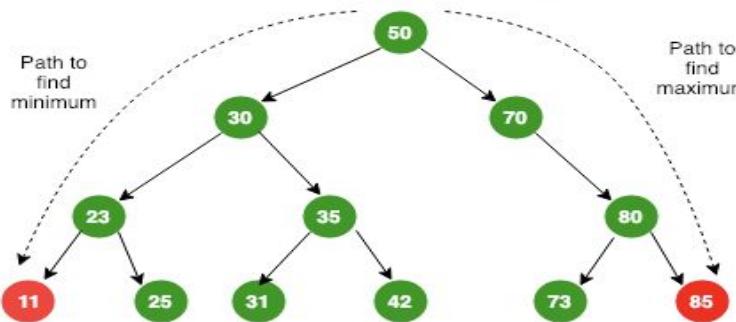


Fig 4: Illustrating the minimum and maximum operations

An algorithm to find the node with minimum key is presented below.

```
TREE-MINIMUM(x)
  while x.left != NIL
    x = x.left
  return x
```

The algorithm for the maximum is symmetric.

```
TREE-MAXIMUM(x)
  while x.right != NIL
    x = x.right
  return x
```

The running time of `TREE-MINIMUM` and `TREE-MAXIMUM` is  $O(h)$  where  $h$  is the height of the tree.

# Binary Search Trees (BST)

## Operations on BST

The summary of the operations I am going to discuss and their running times are outlined in the table below.

S. No.	Operation	Average Case	Worst Case
1	Search	$O(\log n)$	$O(n)$
2	Minimum	$O(\log n)$	$O(n)$
3	Maximum	$O(\log n)$	$O(n)$
4	Predecessor	$O(\log n)$	$O(n)$
5	Successor	$O(\log n)$	$O(n)$
6	Insert	$O(\log n)$	$O(n)$
7	Delete	$O(\log n)$	$O(n)$

The algorithm for insertion operation is given below.

TREE-INSERT( $z$ )

```

y = NIL
x = root
while x != NIL
    y = x
    if z.key < x.key
        x = x.left
    else
        x = x.right
    z.parent = y
    if y == NIL
        root = z
    elseif z.key < y.key
        y.left = z
    else
        y.right = z
  
```

The running time of this operation is  $O(h)$  since we travel down the tree following a simple path.

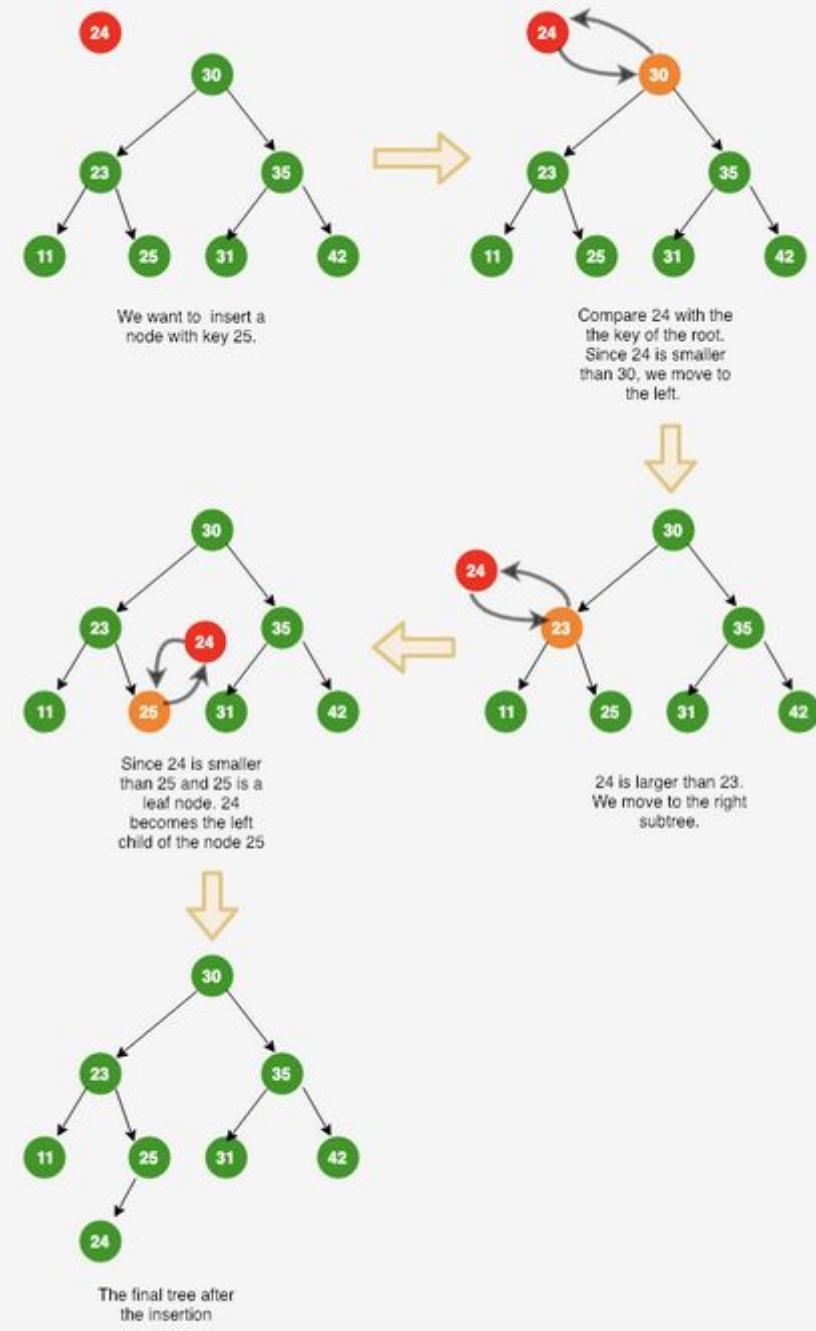


Fig 5: Illustrating the insertion operation

## Deletion

The deletion operation is a little bit tricky as we need to conserve the BST property after we delete the node. We may need to transform the tree in order to conserve the BST property. We need to handle three different cases in order to delete a node x. First two cases are simple and the last one is a little bit difficult.

- **Case 1:** When x is a leaf node, we simply delete the node and change the x's parent node's left and right pointer accordingly.
- **Case 2:** When x has only one child (either left or right), we delete the node x and set the parent node's left or right pointer to the x's child node. Similarly, we adjust the parent pointer of x's child node.
- **Case 3:** When x has both the children then we do the following.
  1. We copy the minimum node y of x's right subtree and place it in the place of x.
  2. Delete y. In deleting y, we need to handle either case 1 or case 2 depending upon whether y has one child or doesn't have any children.

Let me explain the case 3 with the help of an example.

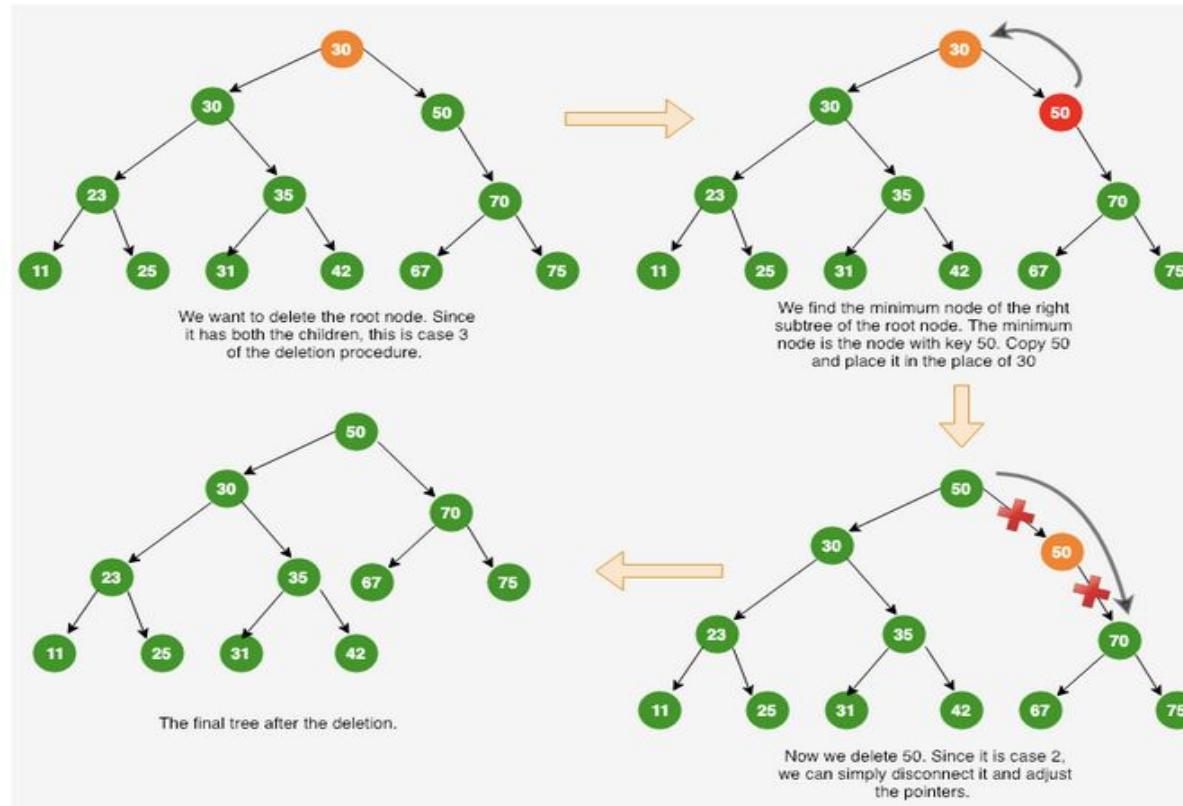


Fig 6: Illustrating the case 3 of the deletion procedure.

The algorithm for the deletion is given below.

```
TREE-DELETE(node, key)
    if node == NIL
        return node
    elseif key < node.key
        node.left = TREE-DELETE(node.left, key)
    elseif key > node.key
        node.right = TREE-DELETE(node.right, key)
    else
        //case 1
        if node.left == NIL and node.right == NIL
            delete node
            node = NIL
        // case 2
        elseif node.left == NIL
            temp = node
            node = node.right
            delete temp
        elseif node.right == NIL
            temp = node
            node = node->left
            delete temp
        // case 3
        else
            temp = TREE-MINIMUM(node.right)
            node.key = temp.key
            node.right = TREE-DELETE(node.right, temp.key)
    return node
```

The complexity of the deletion procedure is  $O(h)$ .

## ADT Binary Tree

Binary tree
<i>root</i>
<i>left subtree</i>
<i>right subtree</i>
<i>createTree()</i>
<i>destroyBinaryTree()</i>
<i>isEmpty()</i>
<i>getRootData()</i>
<i>setRootData()</i>
<i>attachRight()</i>
<i>attachLeftSubtree()</i>
<i>attachRightSubtree()</i>
<i>detachLeftSubtree()</i>
<i>detachRightSubtree()</i>
<i>getLeftSubtree()</i>
<i>getRightSubtree()</i>
<i>preorderTraverse()</i>
<i>inorderTraverse()</i>
<i>postorderTraverse()</i>

## ADT Binary Search Tree

BinarySearchTree
<i>root</i>
<i>left subtree</i>
<i>right subtree</i>
<i>createBinarySearchTree()</i>
<i>destroyBinarySearchTree()</i>
<i>isEmpty()</i>
<i>searchTreeInsert()</i>
<i>searchTreeDelete()</i>
<i>searchTreeRetrieve()</i>
<i>preorderTraverse()</i>
<i>inorderTraverse()</i>
<i>postorderTraverse()</i>

# References

- Queues. *Brilliant.org*. Retrieved 16:14, February 25, 2023, from  
<https://brilliant.org/wiki/queues-basic/>