

# Software Engineering (CSE3004)

## Software Testing



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# Reference



- R. Mall, Fundamentals of Software Engineering, Fifth Edition, PHI Learning Pvt Ltd., 2018.

# A Few Error Facts

- Even experienced programmers make many errors:

- Avg. 50 bugs per 1000 lines of source code



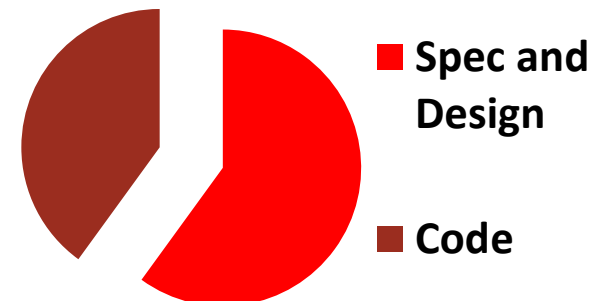
- Extensively tested software contains:

- About 1 bug per 1000 lines of source code.

- Bug distribution:

- 60% spec/design, 40% implementation.

Bug Source



# Capers Jones Rule of Thumb

Each of software review, inspection, and test step will find 30% of the bugs present.

**In IEEE Computer, 1996**

Several independent studies [Jones],[schroeder], etc. conclude:

**85% errors get removed at the end of a typical testing process.**

**Why not more?**

**All practical test techniques are basically heuristics... they help to reduce bugs... but do not guarantee complete bug removal...**

# Testing Facts

- Consumes the largest effort among all development activities:
  - Largest manpower among all roles
  - Implies more job opportunities
- About 50% development effort
  - But 10% of development time?
  - How?  
**Parallelism**
- Testing is getting more complex and sophisticated every year.
  - Larger and more complex programs
  - Newer programming paradigms
  - Newer testing techniques
  - Test automation

# Basic concepts and terminologies



## Mistake, Error, Fault, Bug, Failure

- IEEE std 1044, 1993 defined errors and faults as synonyms: However, **IEEE Revision of std 1044 in 2010 introduced finer distinctions:**
  - A **mistake** is essentially any programmer action that later shows up as an incorrect result during program execution.
  - An **error** is the result of a mistake committed by a developer in any of the development activities.
    - difference between Actual Output and Expected output.
  - **Fault:** It is a condition that causes the software to fail to perform its required function.

Ref: <https://www.360logica.com/blog/difference-between-defect-error-bug-failure-and-fault>

# Basic concepts and terminologies

- **BUG:** A bug is the result of a coding error. An Error found in the development environment before the product is shipped to the customer. **Bug** is terminology of Tester
- **Failure:** a manifestation of a fault (also called defect or bug).
  - A **failure** of a program essentially denotes an incorrect behaviour exhibited by the program during its execution.

# Basic concepts and terminologies

- **IEEE Definitions**

- **Error:** Human mistake that caused fault
- **Fault:** Discrepancy in code that causes a failure.
- **Failure:** External behavior is incorrect

- **Note:**

- **Error** is terminology of Developer.
- **Bug** is terminology of Tester

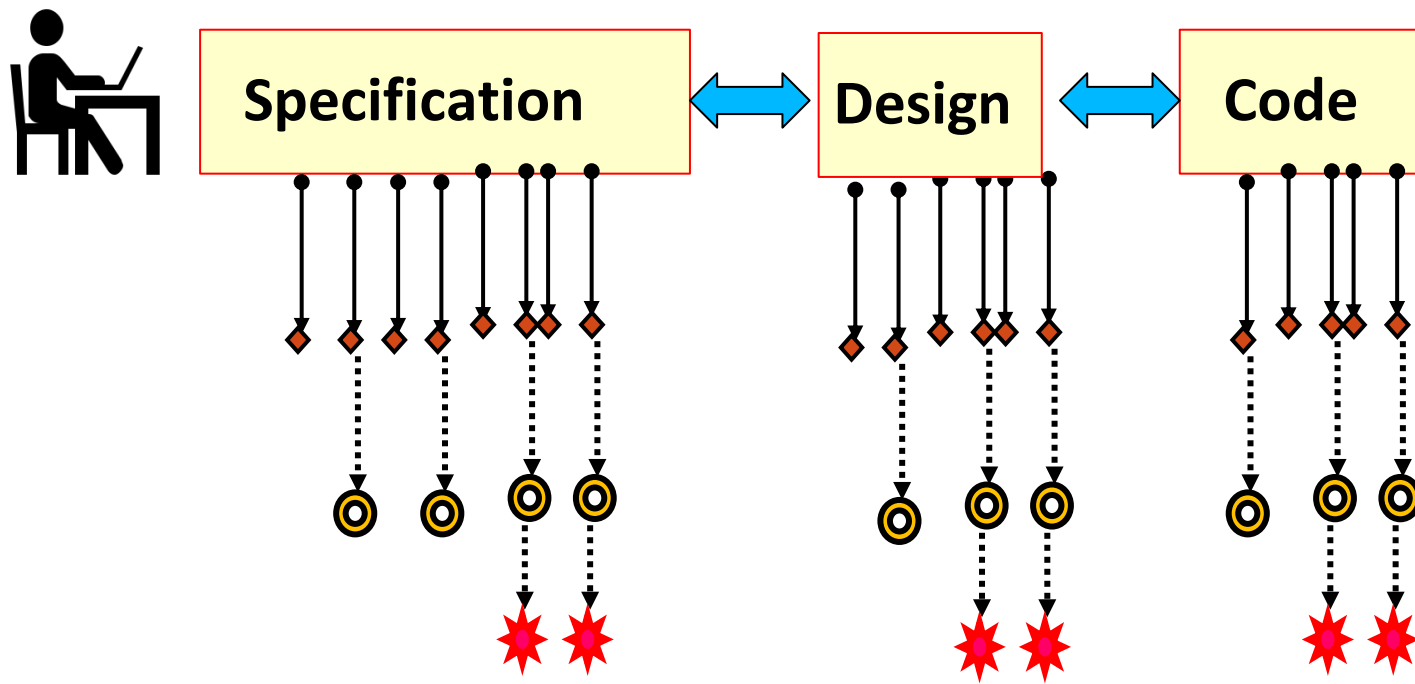


# Errors, Faults, Failures

◆ Error or mistake

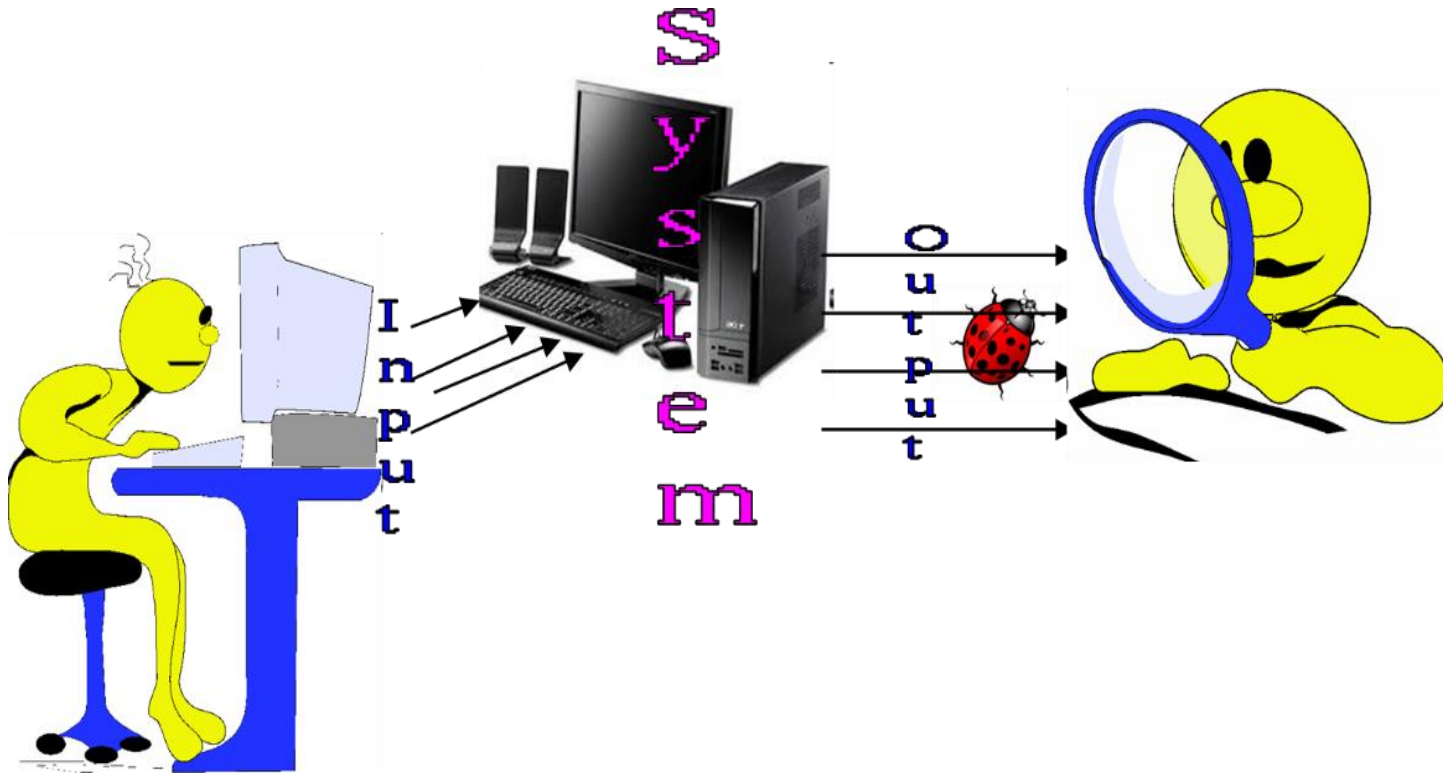
◎ Fault, defect, or bug

★ Failure



# Basic concepts and terminologies

- How to Test?
  - Input test data to the program.
  - Observe the output:
  - Check if the program behaved as expected.



# Basic concepts and terminologies



**Test Cases:** A **test case** is a triplet [I,S,O]

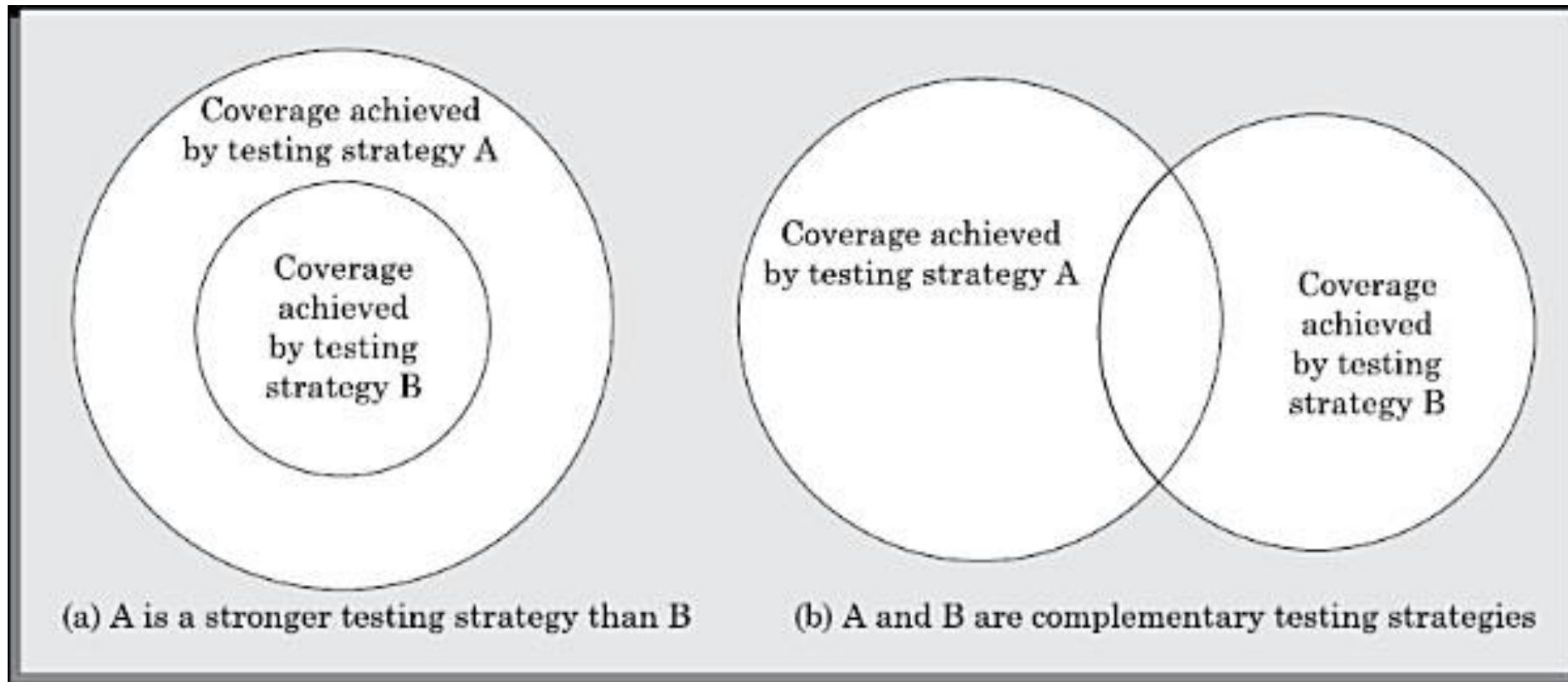
- **I** is the data to be **input** to the system,
  - **S** is the **state** of the system at which the data will be input,
  - **O** is the expected **output** of the system.
- 
- **Positive test case** : A test case is said to be positive if it is designed to test whether the software correctly performs a required functionality.
  - **Negative test case** : A test case is said to be negative, if it is designed to test whether the software carries out something, that is not required of the system.
- 
- Consider a program to manage user login. A positive test case can be designed to check if a login system validates a user with the correct user name and password. A negative test case in this case can be a test case that checks whether the login functionality validates and admits a user with wrong or bogus login user name or password.

# Basic concepts and terminologies

- Test a software using a set of carefully designed test cases:
  - The set of all test cases is called the **test suite**.
- A **test scenario** is an abstract test case in the sense that it only identifies the aspects of the program that are to be tested without identifying the input, state, or output.
  - A test case can be said to be an implementation of a test scenario.
- A **test script** is an encoding of a test case as a short program.

# Basic concepts and terminologies

- **Testability** The testability of a requirement is the degree to which an implementation of it can be adequately tested to determine its conformance to the requirement.



# Basic concepts and terminologies

- A **failure mode** of a software denotes an observable way in which it can fail.
- In other words, all failures that have similar observable symptoms, constitute a failure mode.
  - Example: consider a railway ticket booking software that has three failure modes
    - Failing to book an available seat
    - Incorrect seat booking (e.g., booking an already booked seat)
    - System crash
- **Equivalent faults** denote two or more bugs that result in the system failing in the same failure mode.

# Verification versus Validation

- Verification is the process of determining:
  - Whether output of one phase of development conforms to its previous phase.
  - "Are we building the product right".
- Validation is the process of determining:
  - Whether a fully developed system conforms to its SRS document.
  - "Are we building the right product".
- Verification is concerned with phase containment of errors:
  - Whereas, the aim of validation is that the final product is error free.

# Verification and Validation Techniques



## Verification

- Review
- Simulation
- Unit testing
- Integration testing

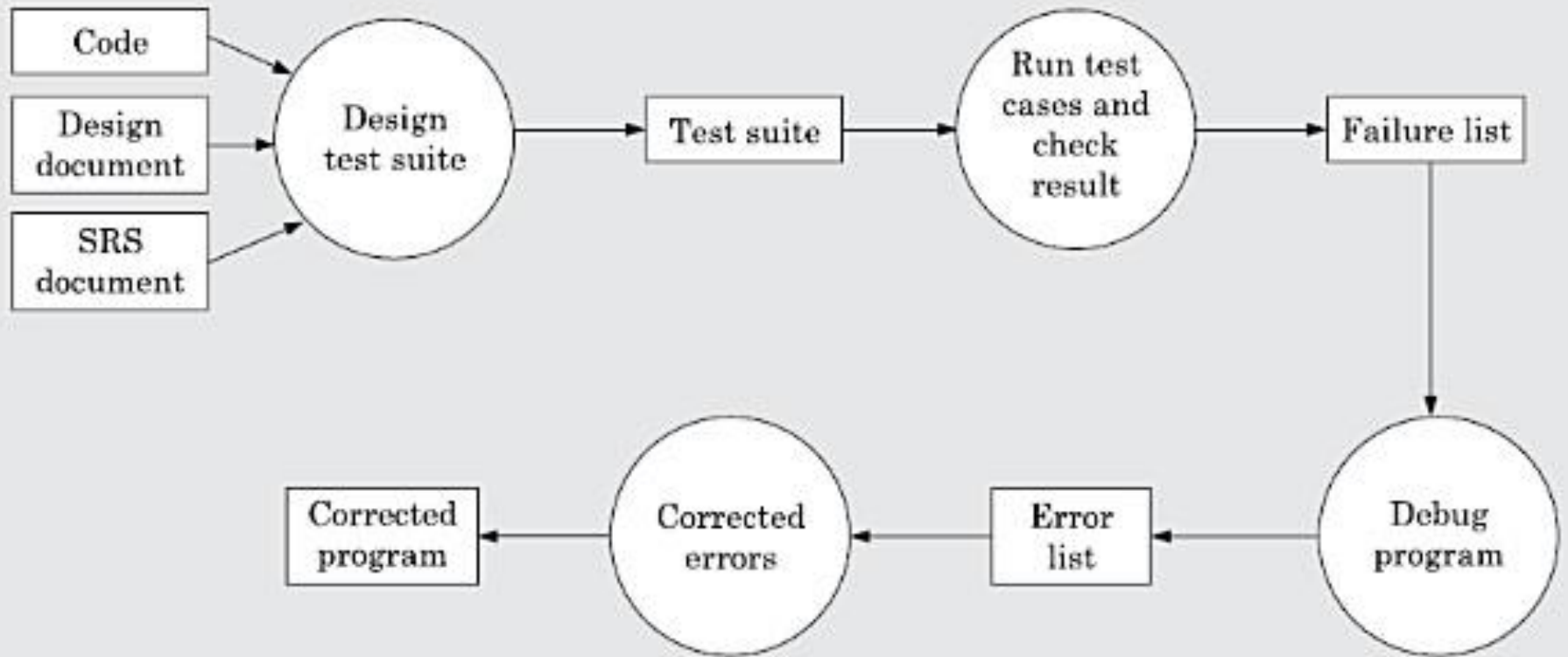
## Validation

- System testing

**Error detection techniques = Verification techniques + Validation techniques**



# Testing Activities



# Overview of Activities During System and Integration Testing

- Test Suite Design
- Run test cases
- Check results to detect failures.
- Prepare failure list
- Debug to locate errors
- Correct errors.

**Tester**

**Developer**

# Test Cases

- Each test case typically tries to establish correct working of some functionality:
  - Executes (covers) some program elements.
  - For certain restricted types of faults, fault-based testing can be used.
  
- **Test case [I,S,O]**
  1. **Set the program in the required state:** Book record created, member record created, Book issued
  2. **Give the defined input:** Select renew book option and request renew for a further 2 week period.
  3. **Observe the output:** Compare it to the expected output.

# Sample: Recording of Test Case & Results

- **Test Case number**
- **Test Case author**
- **Test purpose**
- **Pre-condition**
- **Test inputs**
- **Expected outputs (if any)**
- **Post-condition**
- **Test Execution history**
  - **Test execution date**
  - **Person executing Test**
  - **Test execution result (s) : Pass/Fail**
    - **If failed : Failure information and fix status**

# Why Design of Test Cases?

- Exhaustive testing of any non-trivial system is impractical:
  - Input data domain is extremely large.
- Design an **optimal test suite**, meaning:
  - Reasonable size, and uncovers as many errors as possible.
- Systematic approaches are required to design an **effective test suite**:
  - **Each test case in the suite should target different faults.**

# Design of Test Cases

- The number of test cases in a randomly selected test suite:
  - Does not indicate the effectiveness of testing.

- Consider following example function:

**find-max(int x, int y)**

- Find maximum of two integers x and y.

- The code has a simple programming error:

**If (x>y)**

**max = x;**

**else**

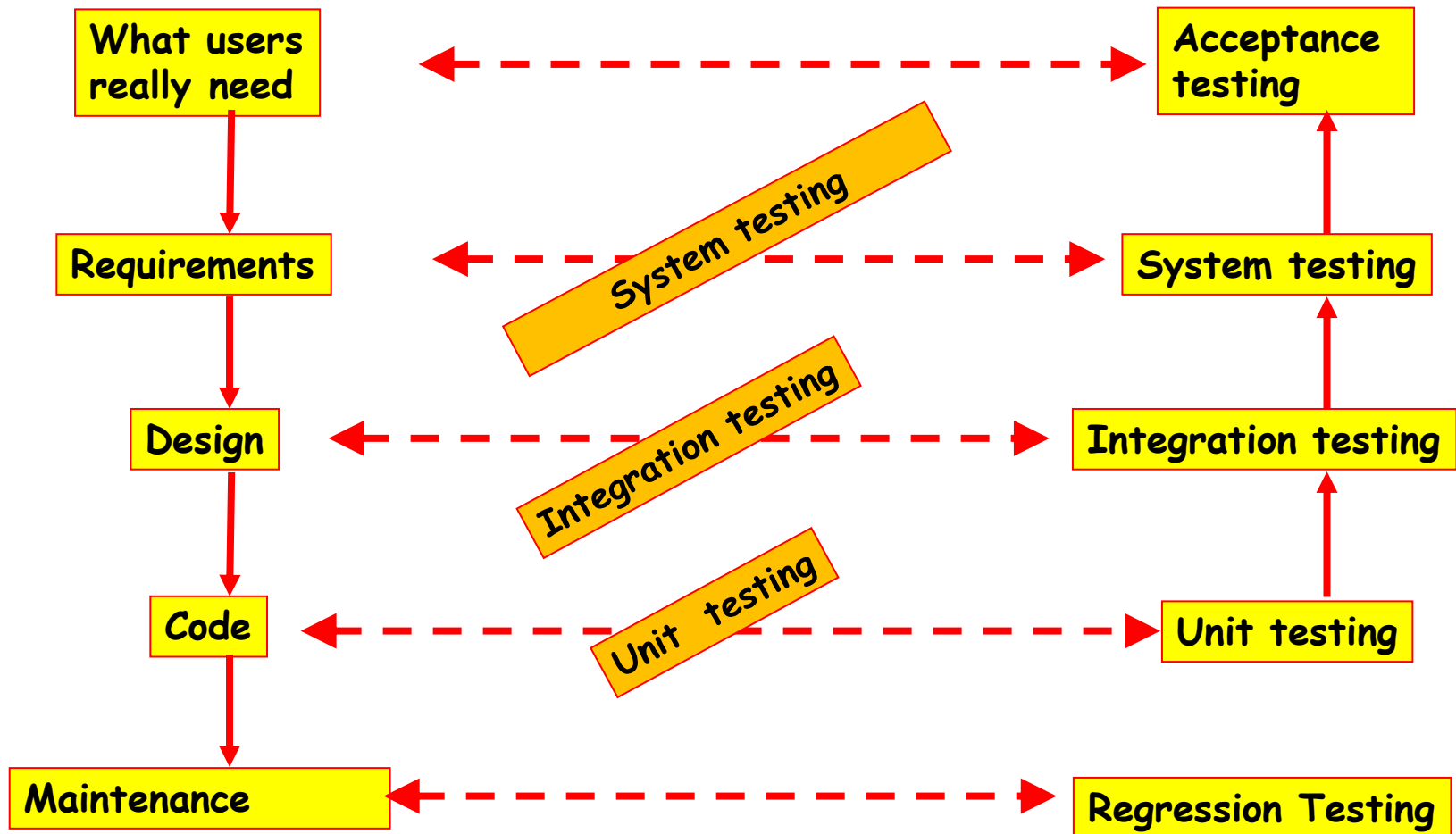
**max = x; // should be max=y;**

- Test suite {(x=3,y=2);(x=2,y=3)} can detect the bug,
- A larger test suite {(x=3,y=2);(x=4,y=3); (x=5,y=1)} does not detect the bug.

# 4 Testing Levels

- Software tested at 4 levels:
  - Unit testing
    - Test each module (unit, or component) independently
    - **Mostly done by developers of the modules**
  - Integration testing
    - Modules are integrated in steps according to an integration plan
    - The partially integrated system is tested at each integration step.
    - Identifies interface compatibility, unexpected parameter values or state interactions, and run-time exceptions
  - System testing
    - Test the system as a whole
    - **Often done by separate testing or QA team**
  - Regression testing

# Levels of Testing





# Types of Testing

- Based on types test:
  - **Functionality test**
    - Unit, Integration and system level testing
  - **Performance test**
    - Stress, Volume, Configuration, Compatibility, Regression, Recovery, Maintainance, Documentation, Usability, Security.

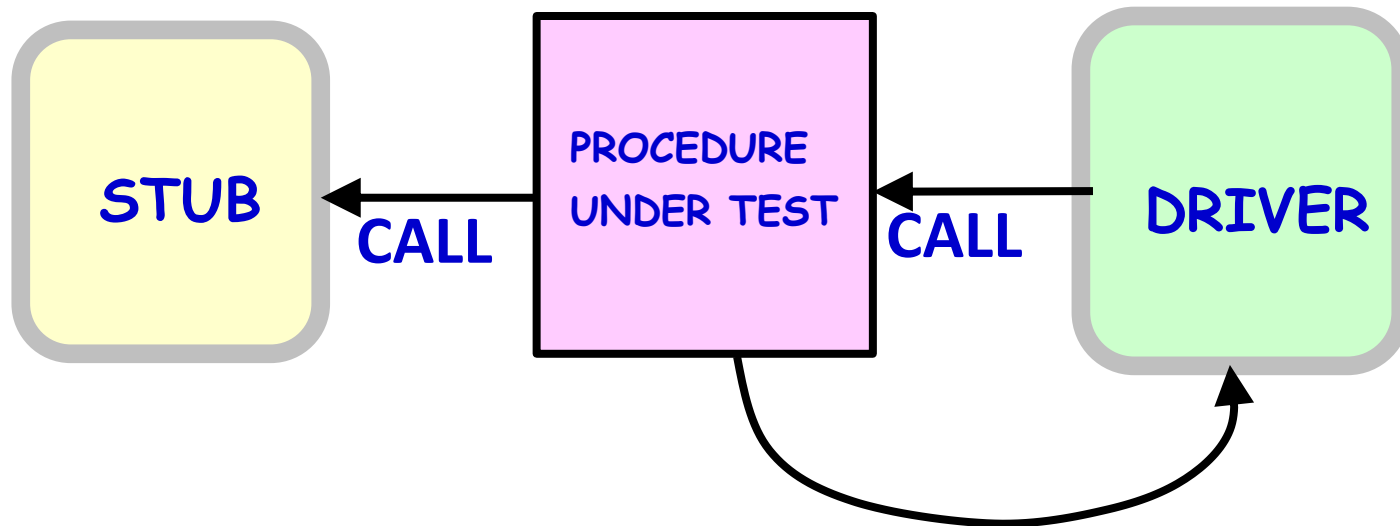
- Unit Testing

# Unit Testing

- **Testing of individual methods, modules, classes, or components in isolation:**
  - Carried out before integrating with other parts of the software being developed.

# Unit Testing

- Following support required for Unit testing:
  - **Driver:** Simulates the behavior of a function that calls and supplies necessary data to the function being tested.
  - **Stub:** Simulates the behavior of a function that has not yet been written.



**Access To Nonlocal Variables**

# Design of Unit Test Cases

- There are essentially three main approaches to design test cases:

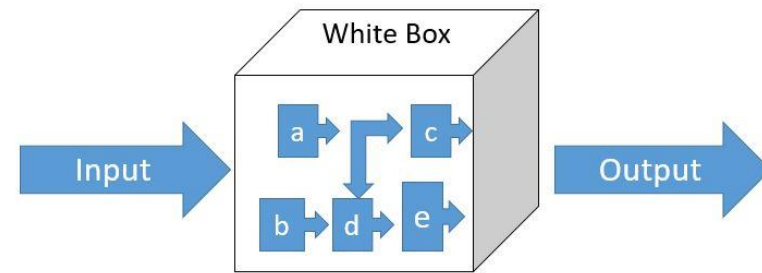
- Black-box approach**

- Equivalence class partitioning
- Boundary value analysis



- White-box (or glass-box) approach**

- Fault based testing
- Coverage based testing



- Grey-box approach**



- **Black-box testing**

# Black Box Testing

- Software considered as a black box:
  - Test data derived from the specification
    - **No knowledge of code necessary**
- Also known as:
  - Data-driven or
  - Input/output driven testing
- The goal is to achieve the thoroughness of exhaustive input testing with much less effort!!!!

# Black-Box Testing

- Test cases are designed using only **functional specification** of the software:
  - Without any knowledge of the internal structure of the software.
- Black-box testing is also known as **functional testing**.





# What is Hard about BB Testing

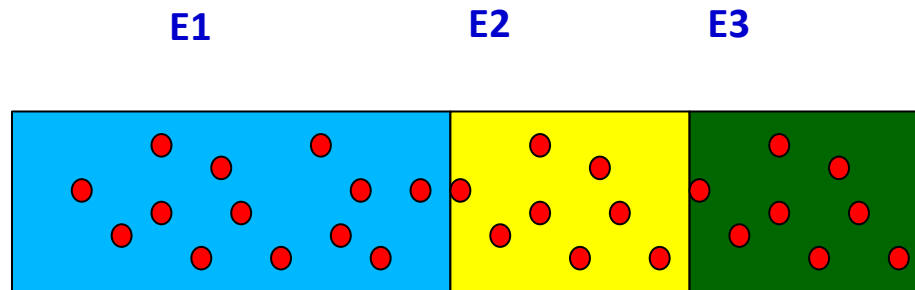
- Data domain is large
- A function may take multiple parameters:
  - We need to consider the combinations of the values of the different parameters.
- Consider `int check-equal(int x, int y)`
- Assuming a 64 bit computer
  - Input space =  $2^{128}$
- Assuming it takes 10secs to key-in an integer pair:
  - It would take about a billion years to enter all possible values!
  - Automatic testing has its own problems!

# Black Box testing: Equivalence Class Partitioning

- The input values to a program:
  - Partitioned into **equivalence classes**.
- Partitioning is done such that:
  - **Program behaves in similar ways to every input value belonging to an equivalence class.**
  - **At the least, there should be as many equivalence classes as scenarios.**

# Why Define Equivalence Classes?

- Premise:
  - Testing code with any one representative value from a equivalence class:
  - As good as testing using any other values from the equivalence class.

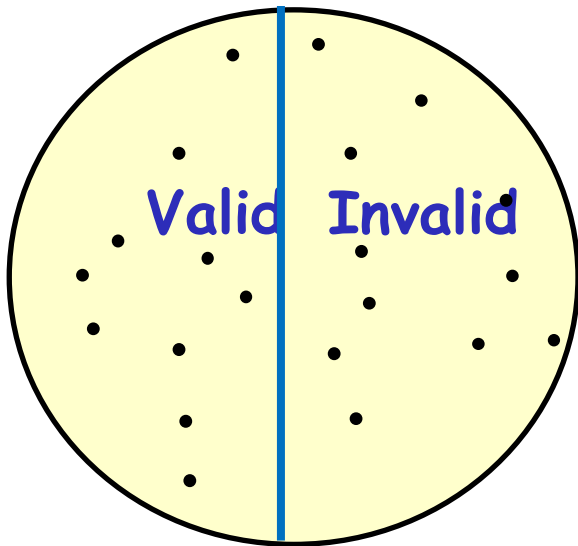


- Example: Given three sides, determine the type of the triangle:
  - Isosceles
  - Scalene
  - Equilateral, etc.

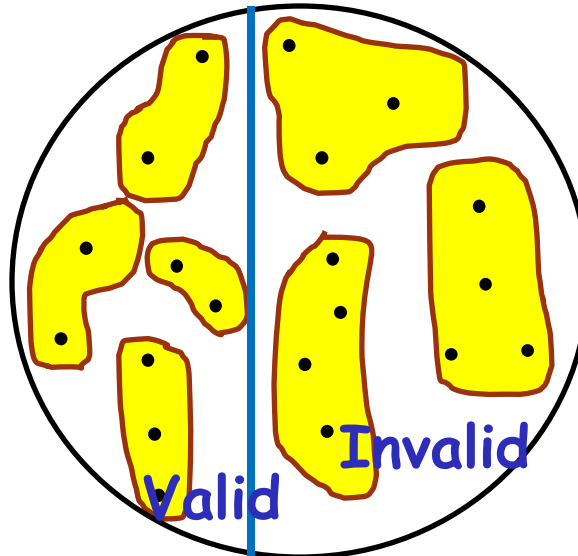
# Equivalence Partitioning

- How do you identify equivalence classes?
  - **Identify scenarios**
  - **Examine the input data.**
  - **Examine output**

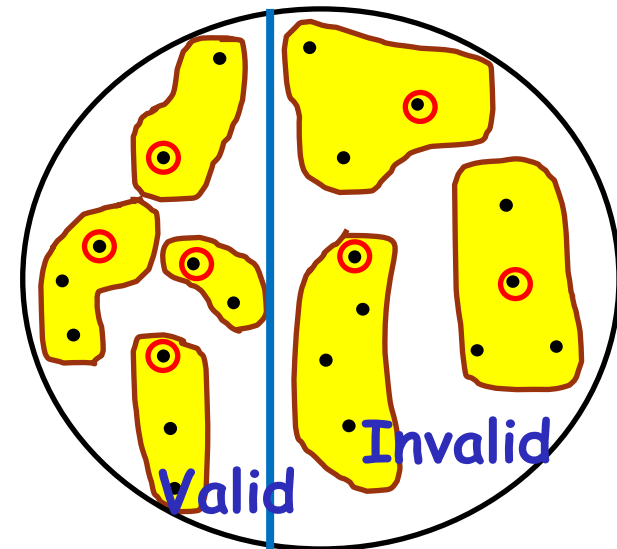
First-level partitioning:  
Valid vs. Invalid test cases



Further partition valid and invalid test cases into equivalence classes

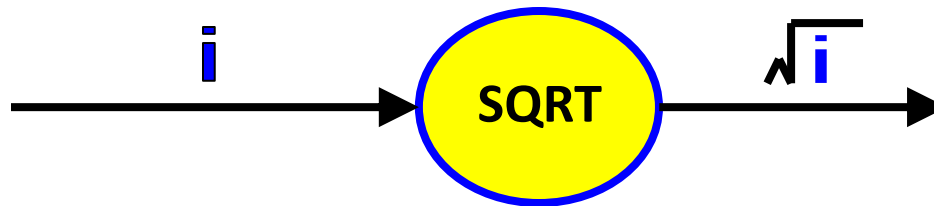


Create a test case for at least one value from each equivalence class



# Example (cont.)

- A program reads an input value in the range of 1 and 5000:
  - Computes the square root of the input number

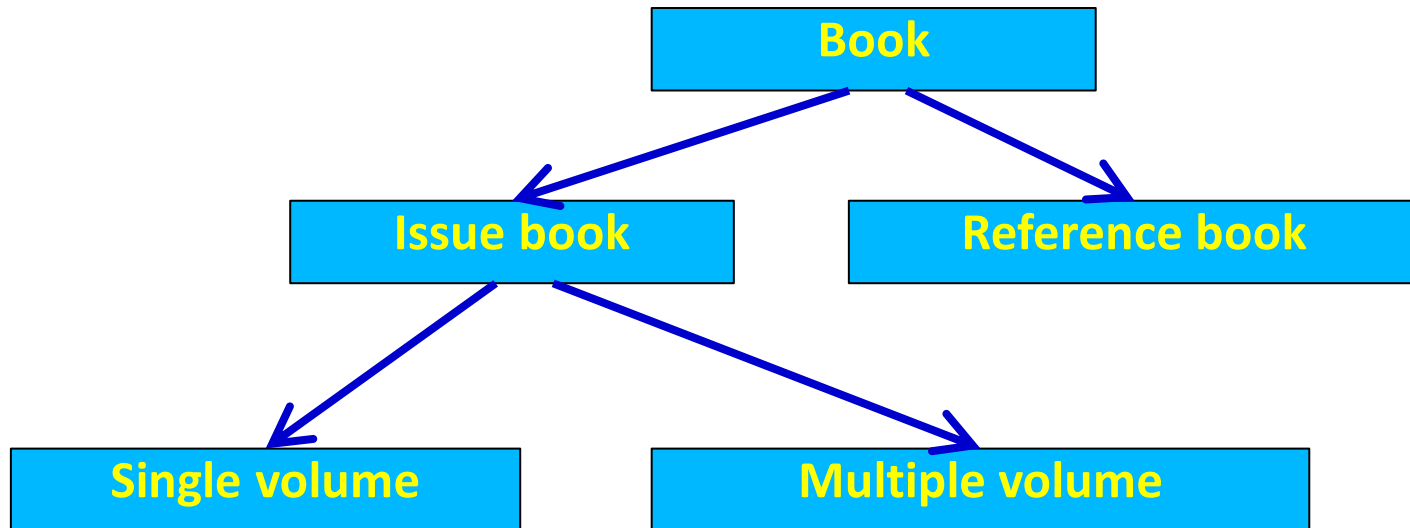


- Three equivalence classes:
  - The set of negative integers,
  - Set of integers in the range of 1 and 5000,
  - Integers larger than 5000.
  - A possible test suite can be:
    - $\{-5, 500, 6000\}$ .



# Equivalence Partitioning

- A set of input values constitute an equivalence class if the tester believes these are processed identically:
  - Example : `issue book(book id) ;`
  - Different set or sequence of instructions may be executed based on book type.



# Black Box testing: Boundary Value Analysis



- Some typical programming errors occur:
  - At boundaries of equivalence classes
  - **Might be purely due to psychological factors.**
- Programmers often fail to see:
  - **Special processing required at the boundaries of equivalence classes.**
- Boundary value analysis:
  - **Select test cases at boundaries of different equivalence classes.**

# Boundary Value Testing Example

- Process employment applications based on a person's age.

<b>0-16</b>	<b>Do not hire</b>
16-18	May hire on part time basis
<b>18-55</b>	<b>May hire full time</b>
55-99	Do not hire

- Notice the problem at the boundaries.
  - Age "16" is included in two different equivalence classes (as are 18 and 55).



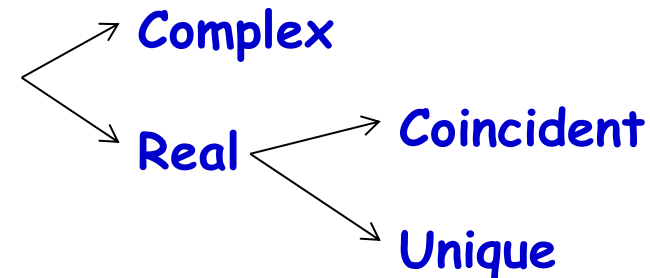
# Example 1

- For a function that computes the square root of an integer in the range of 1 and 5000:
  - Test cases must include the values: {0,1,2,4999,5000,5001}.



# Quiz: BB Test Design

- Design black box test suite for a function that solves a quadratic equation of the form  $ax^2+bx+c=0$ .
- Equivalence classes
  - Invalid Equation
  - Valid Equation: Roots?



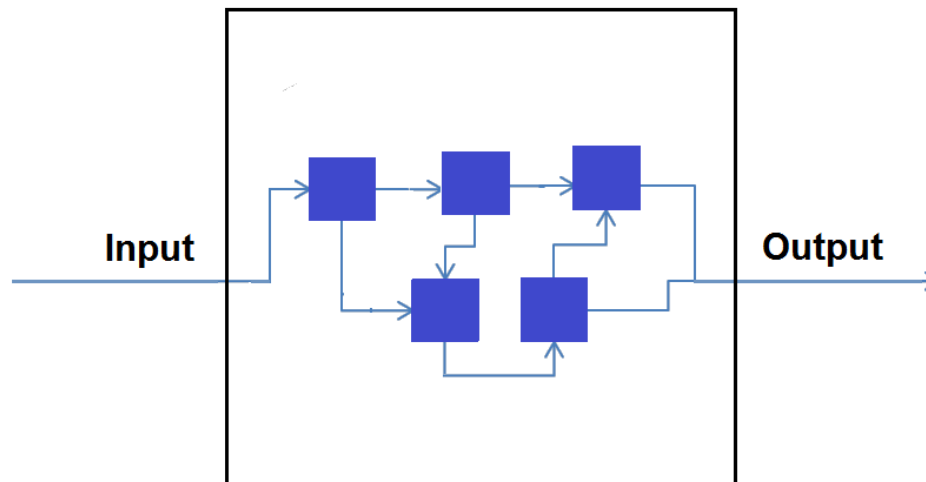
# Quiz: Design test Cases

- Customers on a e-commerce site get following discount:
  - A member gets 10% discount for purchases lower than Rs. 2000, else 15% discount
  - Purchase using SBI card fetches 5% discount
  - If the purchase amount after all discounts exceeds Rs. 2000/- then shipping is free.

- **White-Box Testing**

# What is White-box Testing?

- White-box test cases designed based on:
  - Code structure of program.
  - White-box testing is also called structural testing.



# White-Box Testing Strategies

- **Coverage-based:** Design test cases to cover certain program elements.
  - Statement coverage
  - Branch coverage
  - Condition coverage
  - MCC/MDC coverage
  - Path coverage
  - Data flow-based testing
  
- **Fault-based:** Design test cases to expose some category of faults
  - Mutation testing

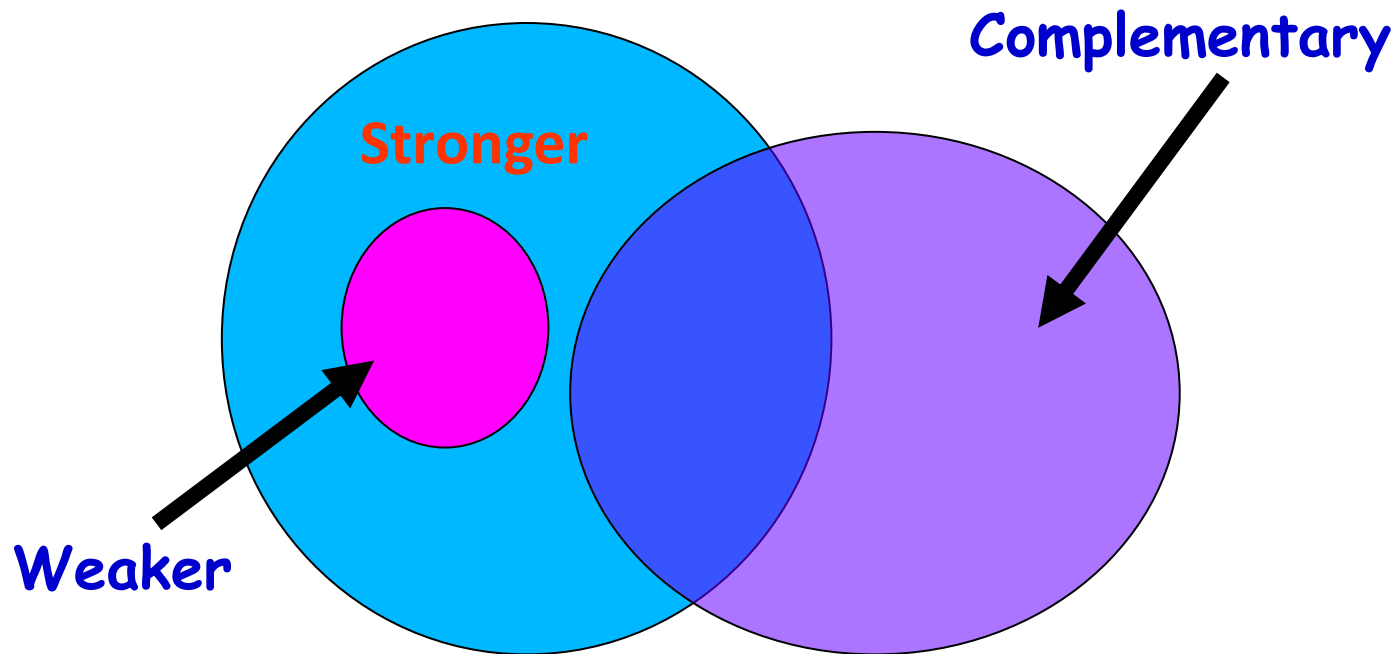
# Types of program element Coverage



- **Statement:** each statement executed at least once
- **Branch:** each branch traversed (and every entry point taken) at least once
- **Condition:** each condition True at least once and False at least once
- **Multiple Condition:** All combination of Condition covered
- **Path:** each linearly independent path in CFG covered
- **Data flow based:** every definition and uses of data covered

# Stronger, Weaker, and Complementary Testing

- Stronger testing: Superset of weaker testing
  - A stronger testing covers all the elements covered by a weaker testing.
  - Covers some additional elements not covered by weaker testing





# Statement Coverage

- Statement coverage strategy:
  - Design test cases so that every statement in the program is executed at least once.
  
- The principal idea:
  - Unless a statement is executed,
  - We have no way of knowing if an error exists in that statement.

# Statement Coverage

- Coverage measurement:

# executed statements

# statements

- **Rationale:** a fault in a statement can only be revealed by executing the faulty statement
- However, observing that a statement behaves properly for one input value:
  - **No guarantee that it will behave correctly for all input values!**

# Example

```
int f1(int x, int y){  
1   while (x != y){  
2       if (x>y) then  
3           x=x-y;  
4       else  
5           y=y-x;  
6   }  
7   return x;  
}
```

## Euclid's GCD Algorithm

- By choosing the test set  $\{(x=4,y=3), (x=3,y=4)\}$
- All statements are executed at least once.

# Branch Coverage

- Also called decision coverage.
- Test cases are designed such that:
  - Each branch condition
    - Assumes true as well as false value.
- **Adequacy criterion:** Each branch (edge in the CFG) must be executed at least once.
- Coverage:  
$$\frac{\text{\# executed branches}}{\text{\# branches}}$$

# Example

```
int f1(int x,int y){  
1   while (x != y){  
2       if (x>y) then  
3           x=x-y;  
4       else  
5           y=y-x;  
6   }  
7   return x;  
}
```

Test cases for branch coverage can be:

$\{(x=3,y=3), (x=4,y=3), (x=3,y=4)\}$

# Branch vs Statement Coverage

- Branch testing guarantees statement coverage:
  - A stronger testing compared to the statement coverage-based testing.
- Traversing all edges of a graph causes all nodes to be visited
  - So a test suite that satisfies branch adequacy criterion also satisfies statement adequacy criterion for the same program.
- The converse is not true:
  - A statement-adequate (or node-adequate) test suite may not be branch-adequate (edge-adequate).
  - Example:  $\text{if}(x > 2)$   
 $\quad x += 1$   
 $x = 3$  covers the statements but not all branches

# All Branches can still miss conditions

- Sample fault: missing operator (negation)

**digit\_high == 1 && digit\_low == -1**

- Branch adequacy criterion can be satisfied by varying only digit\_high=0, 1
  - **The faulty sub-expression might not be tested!**
  - Even though we test both outcomes of the branch

# Condition Coverage

- Basic condition (BCC) coverage .
  - Each basic condition in every conditional expression assumes both true and false values during testing
- **Adequacy criterion:** each basic condition must be executed at least once.
- Coverage:  
# truth values taken by all basic conditions  
 $2 * \# \text{ basic conditions}$



# Basic Condition Coverage

- **Simple or (basic) Condition Testing:**
  - Test cases make each atomic condition to have both T and F values
  - Example: **if (a>10 && b<50)**
  - **The following test inputs would achieve basic condition coverage**
    - **a=15, b=30**
    - **a=5, b=60**
- Does basic condition coverage subsume decision coverage?

# Example

- Consider the conditional expression
  - $((A \mid\mid B) \&\& C)$ :
  - Just two test cases are required
    - $\{(A=T, B=T, C=F), (A=F, B=F, C=T)\}$
- Basic condition coverage may not achieve branch coverage

# Multiple condition coverage

- In the multiple condition (MC) coverage-based testing, test cases are designed to make each component of a composite conditional expression to assume both true and false values.
- Test cases make Conditions to assume all possible combinations of truth values.

Consider: **if (a || b && c) then ...**

Test	a	b	c
1	T	T	T
2	T	T	F
3	T	F	T
4	T	F	F
5	F	T	T
6	F	T	F
7	F	F	T
8	F	F	F

For a composite conditional expression with  $n$  components,  $2^n$  test cases are required

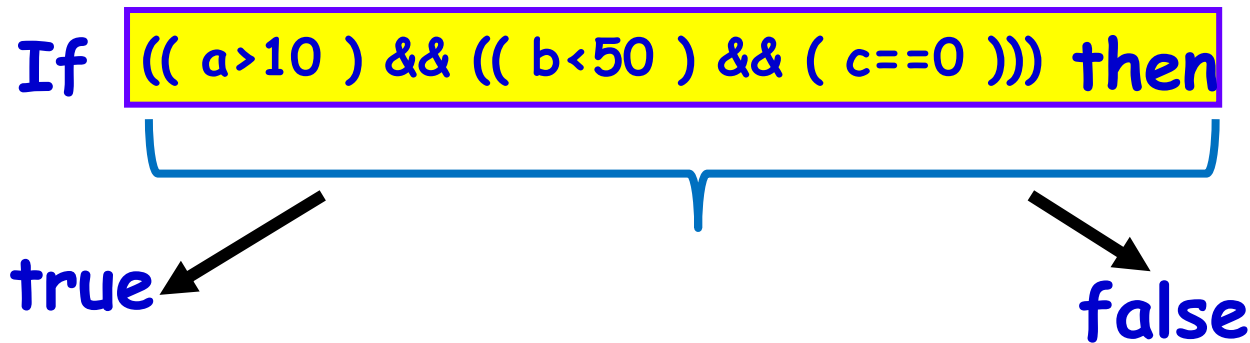
# MC/DC coverage



- **Modified condition/decision coverage (MC/DC)**
- A test suit would achieve MC/DC if during execution of the test suite each condition in a decision expression independently affects the outcome of the decision.
- Three requirements for MC/DC
  - **Requirement1:** Every decision expression in a program must take both true as well as false values (Same as branch/decision coverage)
  - **Requirement 2:** Every condition in a decision must assume both true and false values (same as BCC)
  - **Requirement 3:** Each condition in a decision should independently affect the decision's outcome

# MC/DC Requirement 1

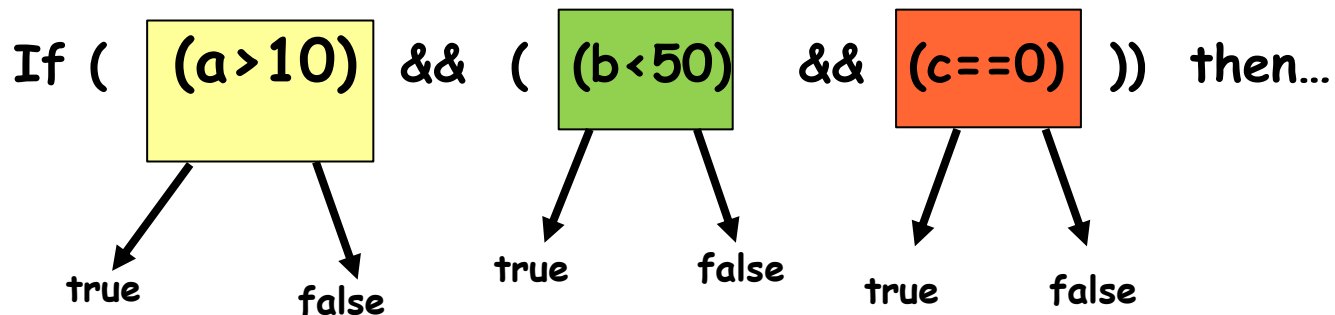
- Every decision expression in a program must take both true as well as false values (Same as branch/decision coverage)
- **The decision is made to take both T/F values.**



- Test suite: {(a=5, b=10, c=1) , (a=15, b=10, c=0) }
- **This is as in Branch coverage.**

# MC/DC Requirement 2

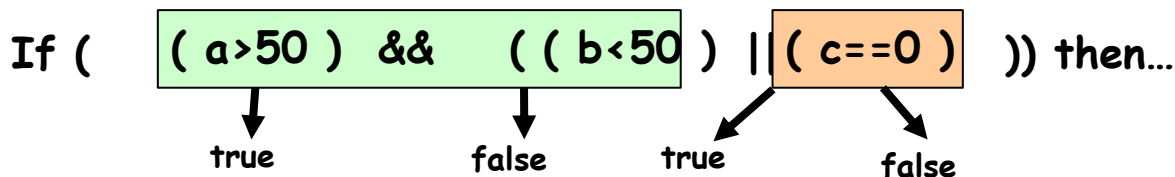
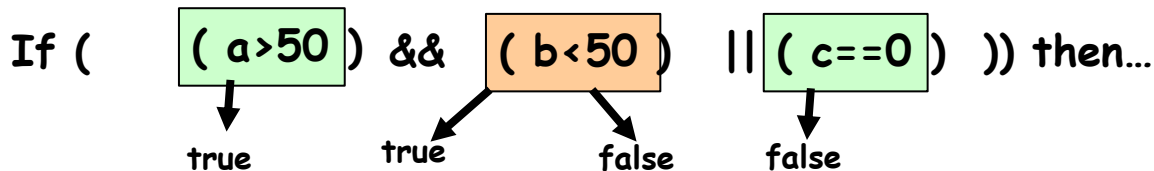
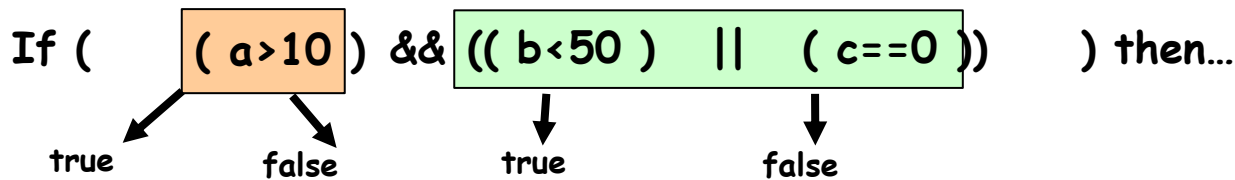
- Every condition in a decision must assume both true and false values (same as BCC)
- Test cases make every condition in the decision to evaluate to both T and F at least once.



- Test suite: {(a=10, b=10, c=5) , (a=20, b=60, c=0) }

# MC/DC Requirement 3

- Each condition in a decision should independently affect the decision's outcome
- Every condition in the decision independently affects the decision's outcome.



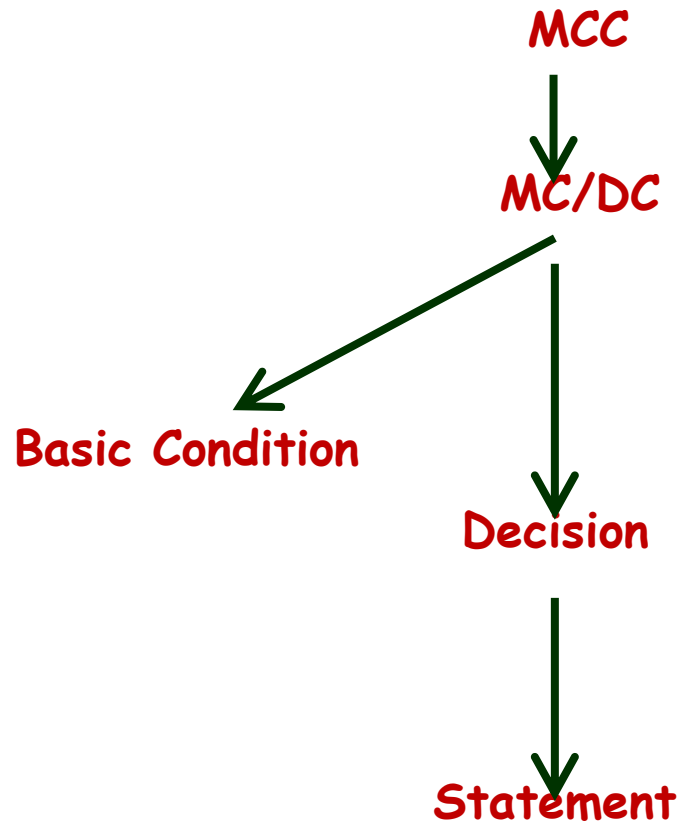
- Test suite: {(a=5, b=30, c=1) , (a=15, b=30, c=1)  
(a=15, b=10, c=1) , (a=15, b=50, c=1)  
(a=60, b=60, c=0) , (a=60, b=60, c=1)  
}

# Shortcomings of Condition Testing

- **Redundancy of test cases:** Condition evaluation could be compiler-dependent:
  - Short circuit evaluation of conditions
  - **if(a>30 && b<50)...**
    - If a>30 is FALSE compiler need not evaluate (b<50)
  - Similarly, **if(a>30 || b<50)...**
    - If a>30 is TRUE compiler need not evaluate (b<50)
- **Coverage may be Unachievable:** Possible dependencies among variables:
  - Example: **((chr=='A') || (chr=='E'))** can not both be true at the same time



# Hierarchy



# MC/DC: Summary

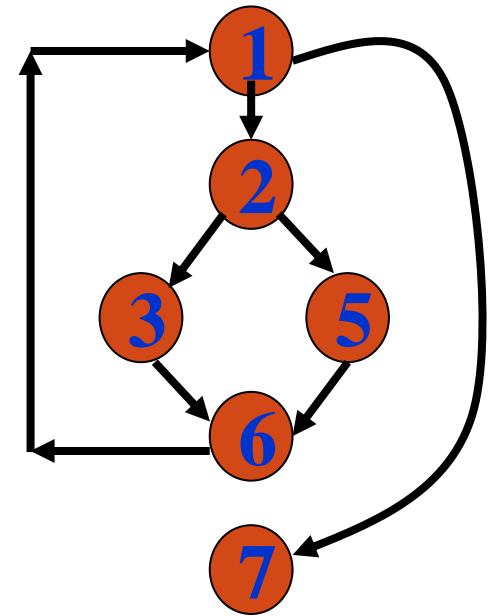


- MC/DC essentially is :
  - basic condition coverage (C)
  - branch coverage (DC)
  - plus one additional condition (M): every condition must *independently affect* the decision's output
  
- It is subsumed by MCC and subsumes all other criteria discussed so far
  - stronger than statement and branch coverage
  
- **A good balance of thoroughness and test size and therefore widely used...**

- **Path Testing**

# Path Coverage

- Design test cases such that:
  - **All linearly independent paths in the program are executed at least once.**
- Defined in terms of
  - Control flow graph (CFG) of a program.
- A control flow graph (CFG) describes:
  - The sequence in which different instructions of a program get executed.
  - The way control flows through the program.



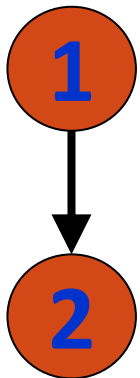
# How to Draw Control Flow Graph?

- **Number all statements of a program.**
- **Numbered statements:**
  - Represent nodes of control flow graph.
- **Draw an edge from one node to another node:**
  - **If execution of the statement representing the first node can result in transfer of control to the other node.**
- **Every program is composed of:**
  - **Sequence**
  - **Selection**
  - **Iteration**

# How to Draw Control flow Graph?

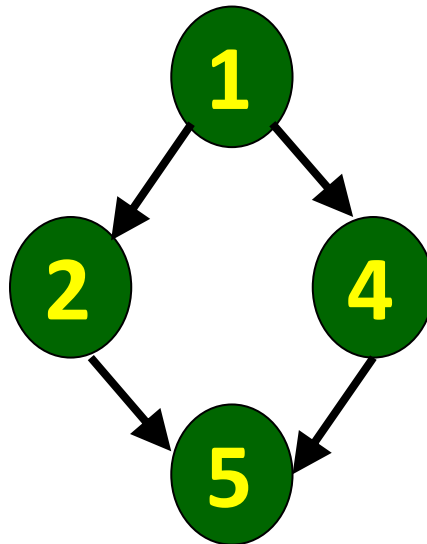
## Sequence:

1 a=5;  
2 b=a\*b-1;



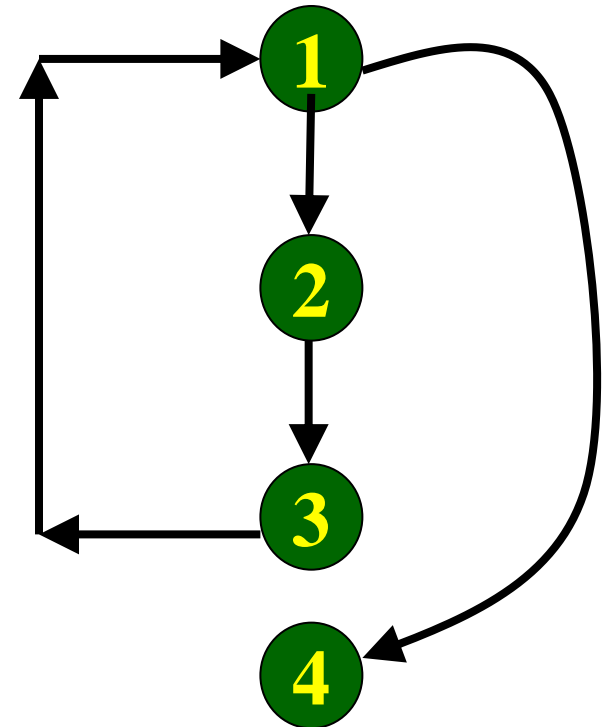
## Selection:

1 if(a>b) then  
2     c=3;  
3 else  
4     c=5;  
5 c=c\*c;



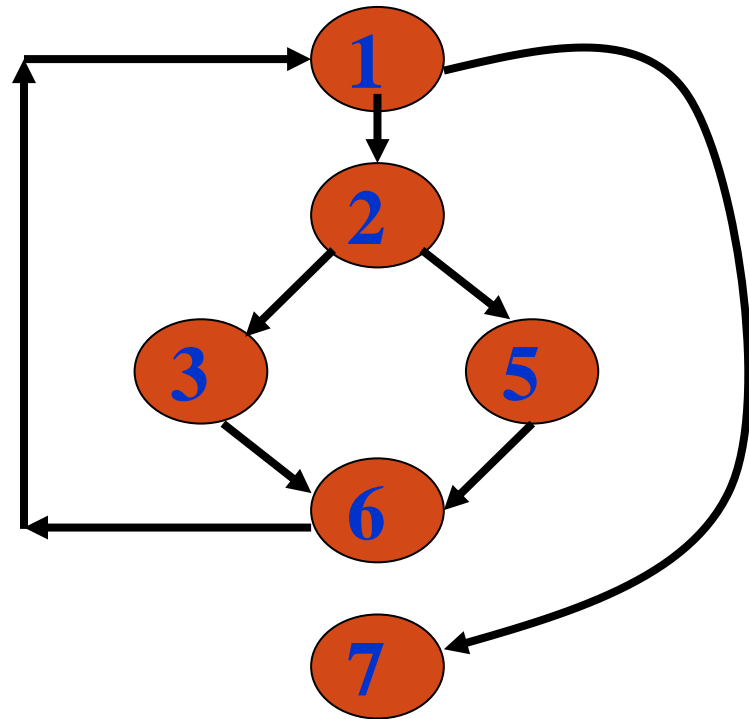
## Iteration:

1 while(a>b){  
2     b=b\*a;  
3     b=b-1;}  
4 c=b+d;



# Example

```
int f1(int x,int y){  
1   while (x != y){  
2       if (x>y) then  
3           x=x-y;  
4       else  
5           y=y-x;  
6   }  
7   return x;  
}
```



- A path through a program:
  - A node and edge sequence from the starting node to a terminal node of the control flow graph.
  - There may be several terminal nodes for program.
- **All path criterion:** In the presence of loops, the number paths can become extremely large:
  - **This makes all path testing impractical**
- **Linearly independent path:**
  - Any path through the program that: Introduces at least one new edge:
    - Not included in any other independent paths.



# McCabe's Cyclomatic Metric

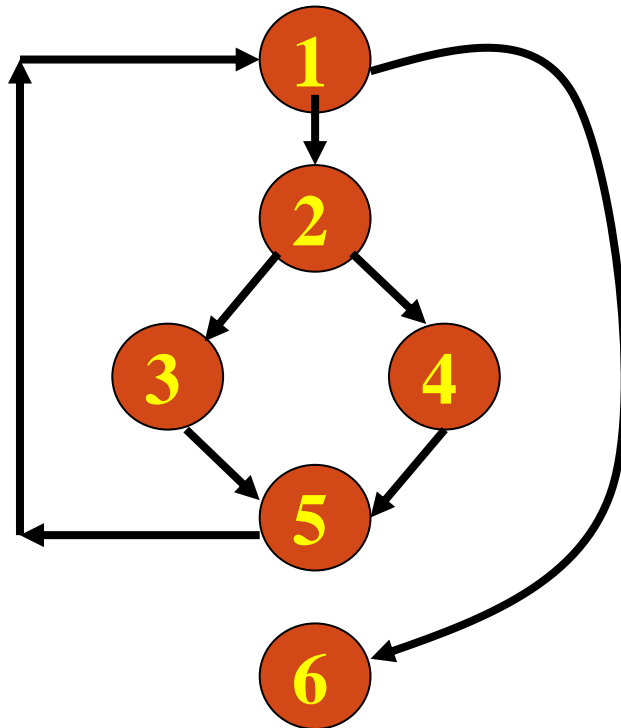
- For complicated programs:
  - It is not easy to determine the number of independent paths.
- Therefore, McCabe's cyclomatic Metric is used to estimate upper bound on the linear independent paths
- Provides a practical way of determining:
  - The maximum number of test cases required for basis path testing.
- McCabe's metric provides:
  - **A quantitative measure of testing difficulty and the reliability**

# McCabe's Cyclomatic Metric

- Given a control flow graph G, cyclomatic complexity  $V(G)$ :

- $V(G) = E - N + 2$

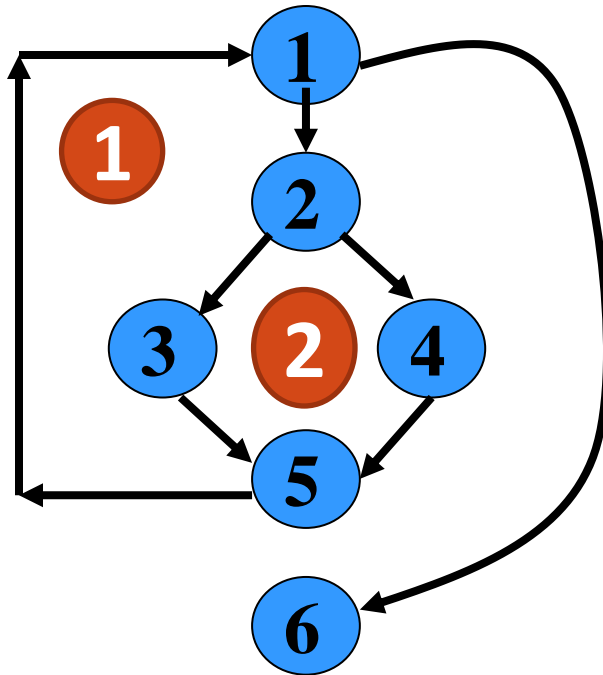
- N is the number of nodes in G
- E is the number of edges in G



Cyclomatic complexity =  
 $7 - 6 + 2 = 3.$

# Cyclomatic Complexity

- Another way of computing cyclomatic complexity:
  - inspect control flow graph
  - determine number of bounded areas in the graph
- $V(G) = \text{Total number of bounded areas} + 1$ 
  - Any region enclosed by a nodes and edge sequence.



From a visual examination of the CFG:

Number of bounded areas is 2.

Cyclomatic complexity =  $2 + 1 = 3$ .

# Cyclomatic Complexity

- The first method of computing  $V(G)$  is amenable to automation:
  - You can write a program which determines the number of nodes and edges of a graph
  - Applies the formula to find  $V(G)$ .
- Knowing the number of test cases required:
  - Does not make it any easier to derive the test cases,
  - Only gives an indication of the minimum number of test cases required.
- The cyclomatic complexity of a program provides:
  - A upper bound on the number of test cases to be designed
  - To guarantee coverage of all linearly independent paths.

# Practical Path Testing

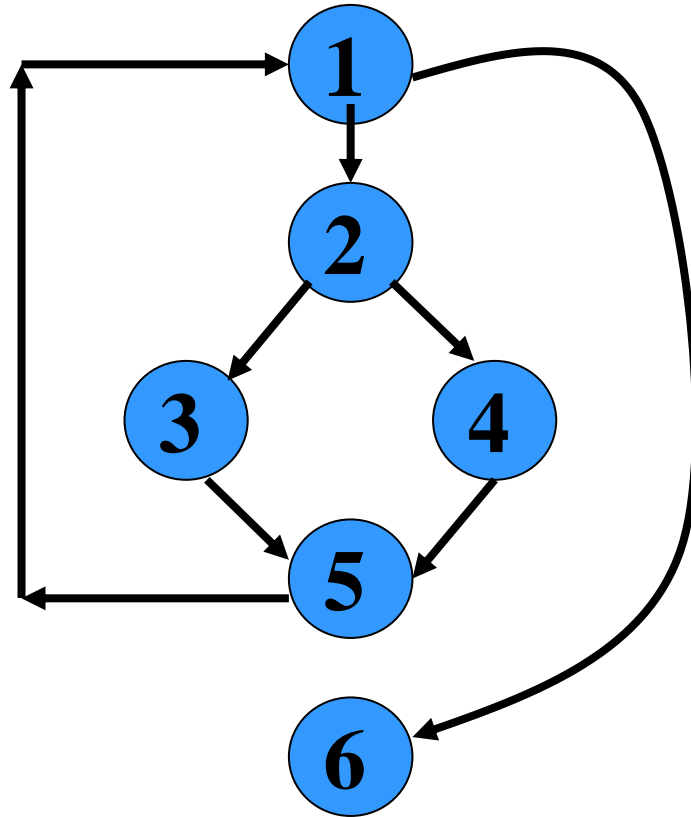
- The tester proposes initial set of test data:
  - Using his experience and judgment.
- A dynamic program analyzer used:
  - Measures which parts of the program have been tested
  - Result used to determine when to stop testing.

# Derivation of Test Cases

- Draw control flow graph.
- Determine  $V(G)$ .
- Determine the set of linearly independent paths.
- Prepare test cases:
  - Force execution along each path.
  - Not practical for larger programs.

# Example

```
int f1(int x,int y){  
1 while (x != y){  
2   if (x>y) then  
3     x=x-y;  
4   else y=y-x;  
5 }  
6 return x;    }
```



# Derivation of Test Cases

- Number of independent paths: 3
  - 1,6 test case ( $x=1, y=1$ )
  - 1,2,3,5,1,6 test case( $x=1, y=2$ )
  - 1,2,4,5,1,6 test case( $x=2, y=1$ )



# An Interesting Application of Cyclomatic Complexity



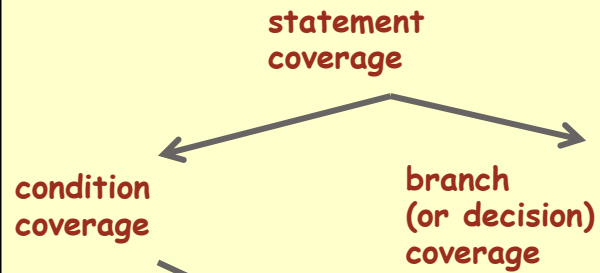
- Relationship exists between:
  - McCabe's metric
  - The number of errors existing in the code,
  - The time required to find and correct the errors.

# Cyclomatic Complexity

- Cyclomatic complexity of a program:
  - Also indicates the psychological complexity of a program.
  - Difficulty level of understanding the program.
- From maintenance perspective,
  - Limit cyclomatic complexity of modules
    - To some reasonable value.
- Good software development organizations:
  - Restrict cyclomatic complexity of functions to a maximum of ten or so.

# White-Box Testing : Recap

weakest



branch and condition  
(or condition / decision) coverage

Practically important coverage techniques

modified condition / decision  
coverage

independent path (or  
basis path)  
coverage

multiple- condition coverage

strongest

All path  
coverage

- **Data flow Testing**

# Data Flow-Based Testing

- Select test paths of a program:
  - According to the locations of
    - Definitions and uses of different variables in a program.

```
1 X(){
2   int a=5; /* Defines variable a */
3   ....
4   While(c>5) {
5       b=a*a; /*Uses variable a */
6       a=a-1; /* Defines variable a */
7       ...
8   }
9   print(a); } /*Uses variable a */
```

# Data Flow-Based Testing

- For a statement numbered S,
  - $DEF(S) = \{X/\text{statement } S \text{ contains a definition of } X\}$
  - $USES(S) = \{X/\text{statement } S \text{ contains a use of } X\}$
  - Example: **1: a=b;**  $DEF(1)=\{a\}$ ,  $USES(1)=\{b\}$ .
  - Example: **2: a=a+b;**  $DEF(1)=\{a\}$ ,  $USES(1)=\{a,b\}$ .

# Definition-use chain (DU chain)

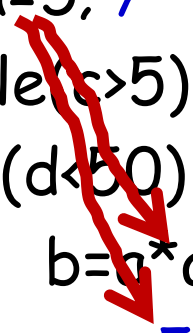
- $[X, S, S1]$ ,
  - $S$  and  $S1$  are statement numbers,
  - $X$  in  $DEF(S)$
  - $X$  in  $USES(S1)$ , and
  - the definition of  $X$  in the statement  $S$  is live at statement  $S1$ .

There exist a path from  $S$  to  $S1$  not containing any definition of  $X$

# DU Chain Example

A variable  $X$  is said to be live at statement  $S1$ , if  
 $X$  is defined at a statement  $S$ :  
There exists a path from  $S$  to  $S1$  not containing any  
definition of  $X$ .

```
1 X(){  
2   int a=5; /* Defines variable a */           [a,2,5]  
3   While(c>5) {                                [a,2,6]  
4     if (d<50)                                  [a,7,7]  
5       b=a*a; /*Uses variable a */  
6       c=a+5;  
7       a=a-1; /* Defines variable a */  
8     }  
9   print(a); } /*Uses variable a */
```





# Data Flow-Based Testing

- One simple data flow testing strategy:
  - **Every DU chain in a program be covered at least once.**
- Data flow testing strategies:
  - Useful for selecting test paths of a program containing nested if and loop statements.

# Data Flow-Based Testing

- 1 X(){
- 2 B1;    /\* Defines variable a \*/
- 3 While(C1) {
- 4    if (C2)
- 5        if(C4) B4; /\*Uses variable a \*/
- 6        else B5;
- 7        else if (C3) B2;
- 8        else B3;    }
- 9 B6 }

# Data Flow-Based Testing

- $[a, 2, 5]$ : a DU chain.
- Assume:
  - $DEF(X) = \{B1, B2, B3, B4, B5\}$
  - $USES(X) = \{B2, B3, B4, B5, B6\}$
  - There are 25 DU chains.
- However only 5 paths are needed to cover these chains.

- **Mutation Testing**

# Mutation Testing

- In this, software is first tested:
  - Using an initial test suite designed using white-box strategies we already discussed.
- After the initial testing is complete,
  - Mutation testing is taken up.
- The idea behind mutation testing:
  - **Make a few arbitrary small changes to a program at a time.**

# Main Idea

- Insert faults into a program:
  - Check whether the test suite is able to detect these.
  - This either validates or invalidates the test suite.
- Each time the program is changed:
  - It is called a **mutated program**
  - The change is called a **mutant**.

# Mutation Testing

- A mutated program:
  - Tested against the full test suite of the program.
- If there exists at least one test case in the test suite for which:
  - A mutant gives an incorrect result,
  - **Then the mutant is said to be dead.**
- If a mutant remains alive:
  - Even after all test cases have been exhausted,
  - **The test suite is enhanced to kill the mutant.**
- The process of generation and killing of mutants:
  - **Can be automated by predefining a set of primitive changes that can be applied to the program.**

# Mutation Testing

- Example primitive changes to a program:
  - Deleting a statement
  - Altering an arithmetic operator,
  - Changing the value of a constant,
  - Changing a data type, etc.



# Traditional Mutation Operators

- Deletion of a statement
- Boolean:
  - Replacement of a statement with another  
eg. `==` and `>=`, `<` and `<=`
  - Replacement of boolean expressions with *true* or *false* eg. `a || b` with *true*
- Replacement of arithmetic  
eg. `*` and `+`, `/` and `-`
- Replacement of a variable (ensuring same scope/type)

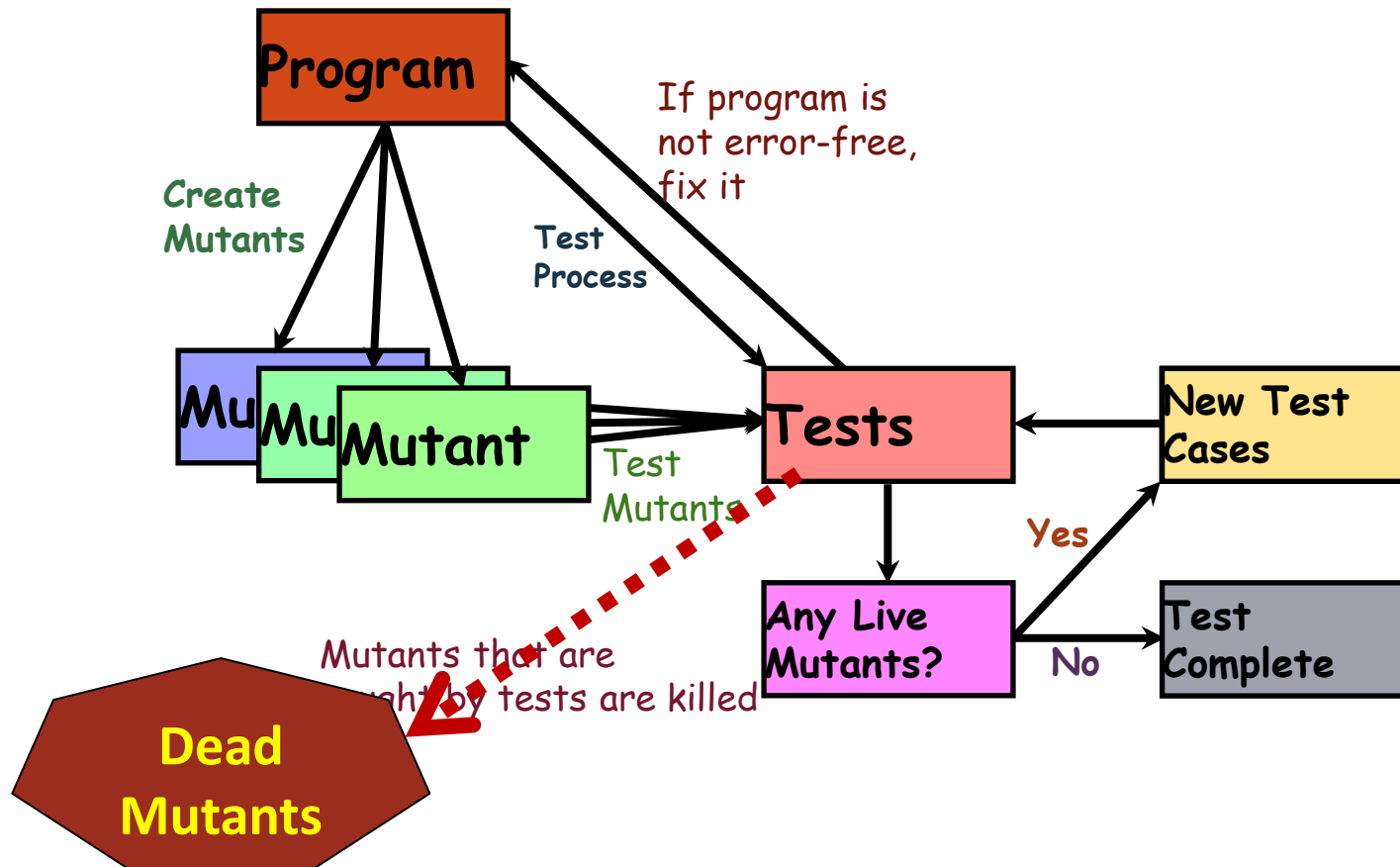
# Underlying Hypotheses

- Mutation testing is based on the following two hypotheses:
  - **The Competent Programmer Hypothesis**
    - Programmers create programs that are close to being correct:
      - Differ from the correct program by some simple errors.
  - **The Coupling Effect**

Both of these were proposed by DeMillo *et al.*, 1978

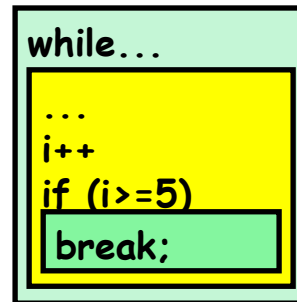
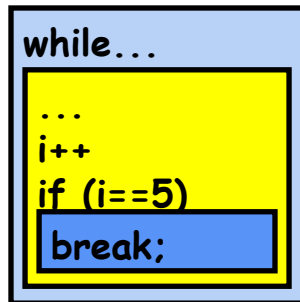
    - **Complex errors are caused due to several simple errors.**
    - It therefore suffices to check for the presence of the simple errors

# The Mutation Process



# Equivalent Mutants

- There may be surviving mutants that **cannot be killed**,
  - These are called **Equivalent Mutants**
- Although syntactically different:
  - These mutants are **indistinguishable** through testing.
- Therefore have to be checked 'by hand'



# Disadvantages of Mutation Testing

- Equivalent mutants
- Computationally very expensive.
  - A large number of possible mutants can be generated.
- Certain types of faults are very difficult to inject.
  - Only simple syntactic faults introduced

# Quiz 1



- Identify one advantage and one disadvantage of the mutation test technique.
- Identify two advantages and two disadvantages of the mutation test technique.
- **Adv:**
  - Can be automated
  - Helps effectively strengthen black box and coverage-based test suite
- **Disadv:**
  - Equivalent mutants

# Why Both BB and WB Testing?

## Black-box

- Impossible to write a test case for every possible set of inputs and outputs
- Some code parts may not be reachable
- Does not tell if extra functionality has been implemented.

## White-box

- Does not address the question of whether a program matches the specification
- Does not tell if all functionalities have been implemented
- Does not uncover any missing program logic

- **Debugging**



# Debugging



- Once errors are identified:
  - **Debug:** Identify precise location of errors
  - **Fix errors**
  - **Regression test**
- Each debugging approach has its advantages and disadvantages:
  - Each is useful in appropriate circumstances.

# Testing vs Debugging

## TESTING

Activity to check whether the actual results match the expected results of the software and to ensure that it is defect-free

Process of finding and locating defects of the software

Performed by the testing team

Purpose is to find many defects as possible

## DEBUGGING

Process of finding and resolving defects or problems within a computer program, which prevent correct operation of computer software or a system

Process of fixing the identified defects

Performed by the development team

Purpose is to remove the detected defects

# Debugging approaches

- Brute force methods
- Symbolic debugging
- Backtracking
- Cause elimination method
- Program slicing

# Brute-Force Method

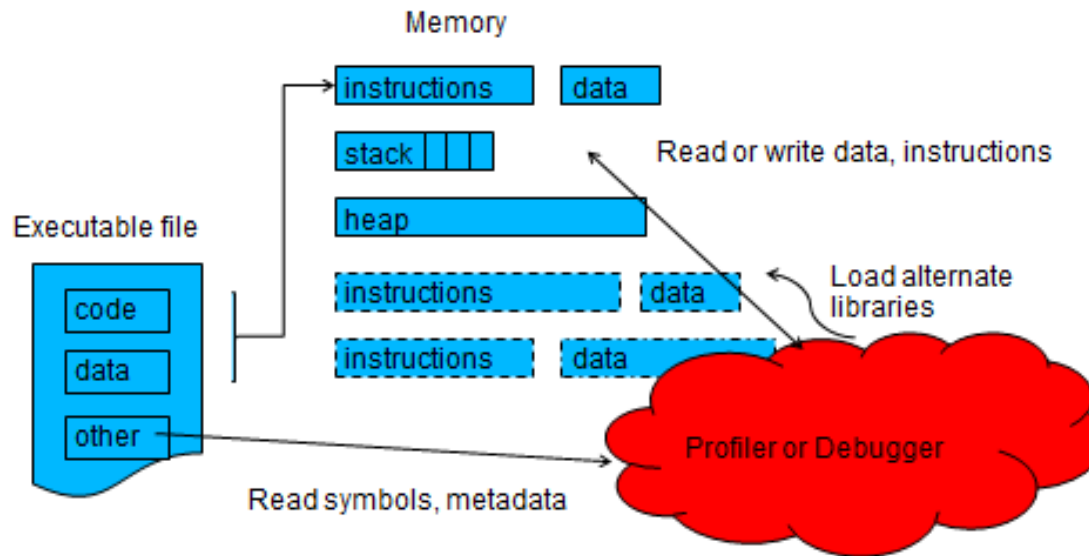
- This is the most common method of debugging:
  - Least efficient method
  - Program is loaded with print statements
  - Print the intermediate values
  - Hope that some of printed values will help identify the error

# Symbolic Debugger

- More systematic than the Brute force method:
  - Symbolic debuggers get their name for historical reasons
  - Early debuggers supported only examination of values from a **program dump**:
    - Tedious to determine which variable a byte sequence corresponds to.

# Symbolic Debugger

- Symbolic debugging involves directly inspecting the state of a running program, using debugging symbols embedded in the executable to correlate memory locations or stack frames to specific variables or lines of code.
- Likewise, symbolic debuggers are capable of controlling the execution of an application; stopping it at certain points for inspection, or slowly stepping through its execution instruction-by-instruction so that its control flow can be observed.



Ref: [https://cvw.cac.cornell.edu/Profiling/debugging\\_runtime\\_symbolic](https://cvw.cac.cornell.edu/Profiling/debugging_runtime_symbolic)

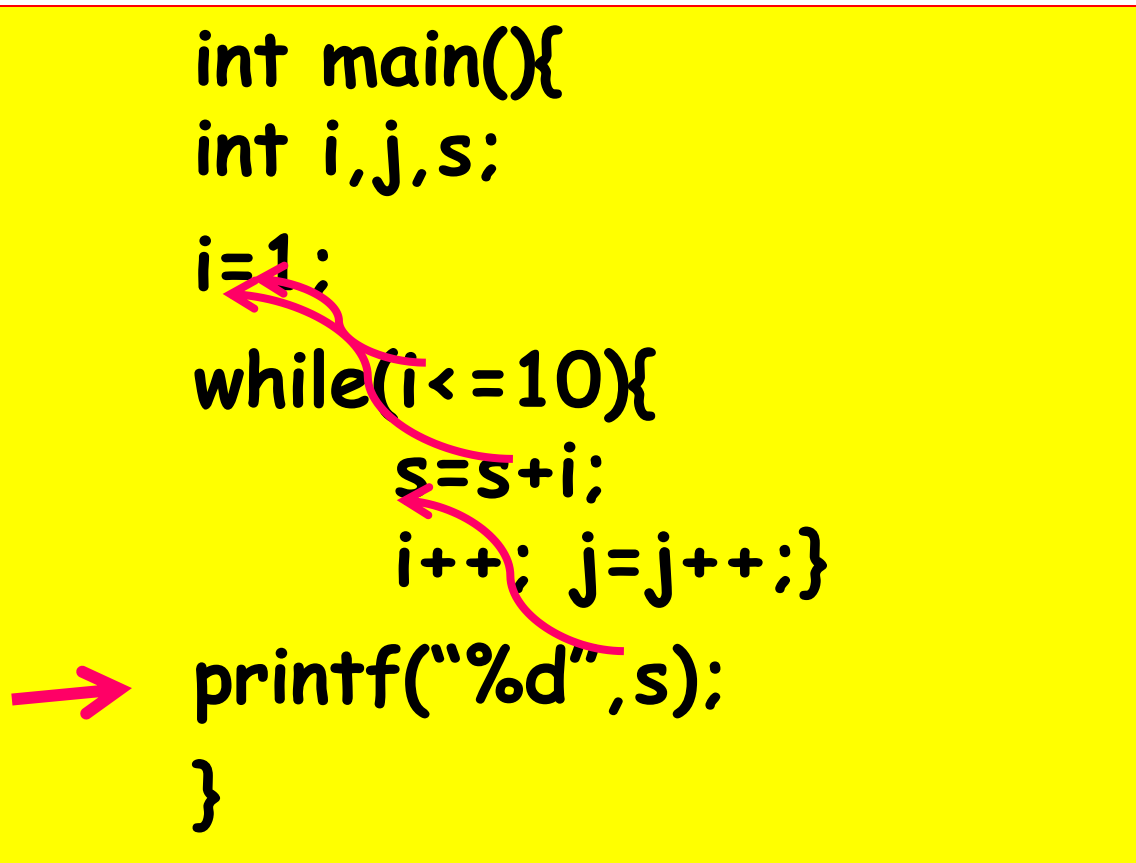
# Backtracking

- This is a fairly common approach.
- Beginning at the statement where an error symptom has been observed:
  - Source code is traced backwards until the error is discovered.

```
int main(){
int i,j,s;

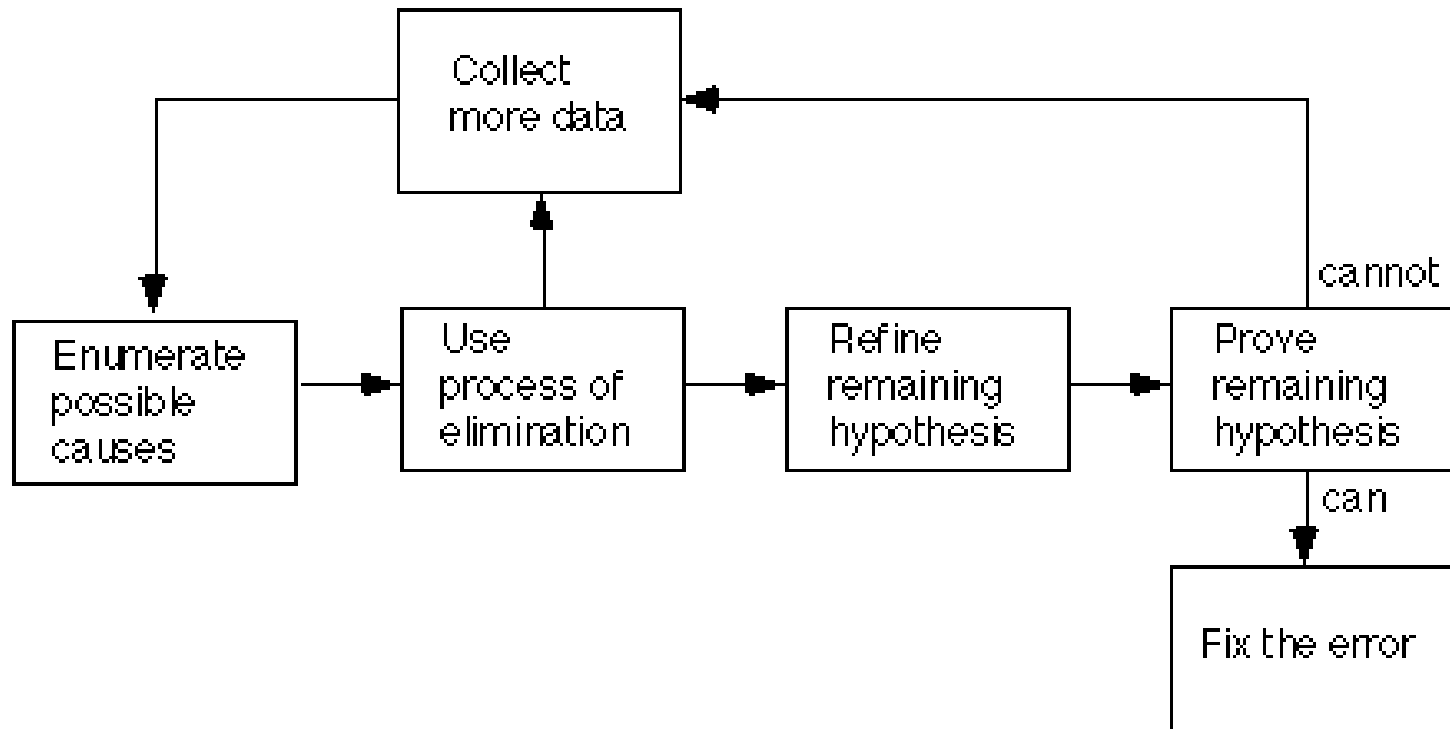
i=1;
while(i<=10){
    s=s+i;
    i++; j=j++;}

printf("%d",s);
}
```



# Cause elimination method

- Once a failure is observed, the symptoms of the failure are noted.
- Based on the symptoms, the causes which could possibly have contribute to the symptom is identified and test area conducted to eliminate each





# Program slicing

- Similar to backtracking with a modification:
  - The search space is reduced by defining slice
  - A slice of a program for a particular variable and at a particular statement is the set of source lines preceding this statement that can influence the value of that variable.

1 <code>scanf("%d",&amp;n);</code>	1 <code>scanf("%d",&amp;n);</code>
2 <code>sum=0;</code>	2 <code>sum=0;</code>
3 <code>product=1;</code>	3
4 <code>while (n&gt;0)</code>	4 <code>while (n&gt;0)</code>
5 <code>{</code>	5 <code>{</code>
6 <code>sum=sum+n;</code>	6 <code>sum=sum+n;</code>
7 <code>product=product*n;</code>	7
8 <code>n=n-1;</code>	8 <code>n=n-1;</code>
9 <code>}</code>	9 <code>}</code>
<i>p</i> : Original Program	<i>p'</i> : Slice of <i>p</i> w.r.t. <i>sum</i> at line 9

Img ref: Mark Harman, David Binkley, Sebastian Danicic, Amorphous program slicing, Journal of Systems and Software, Volume 68, Issue 1, Pages 45-64, 2003,

# Debugging Guidelines

- Debugging requires a thorough understanding of program design.
- Debugging may sometimes require full redesign of the program.
- A common mistake novice programmers often make:
  - Not fixing the error but the error symptoms.

# Program Analysis Tools

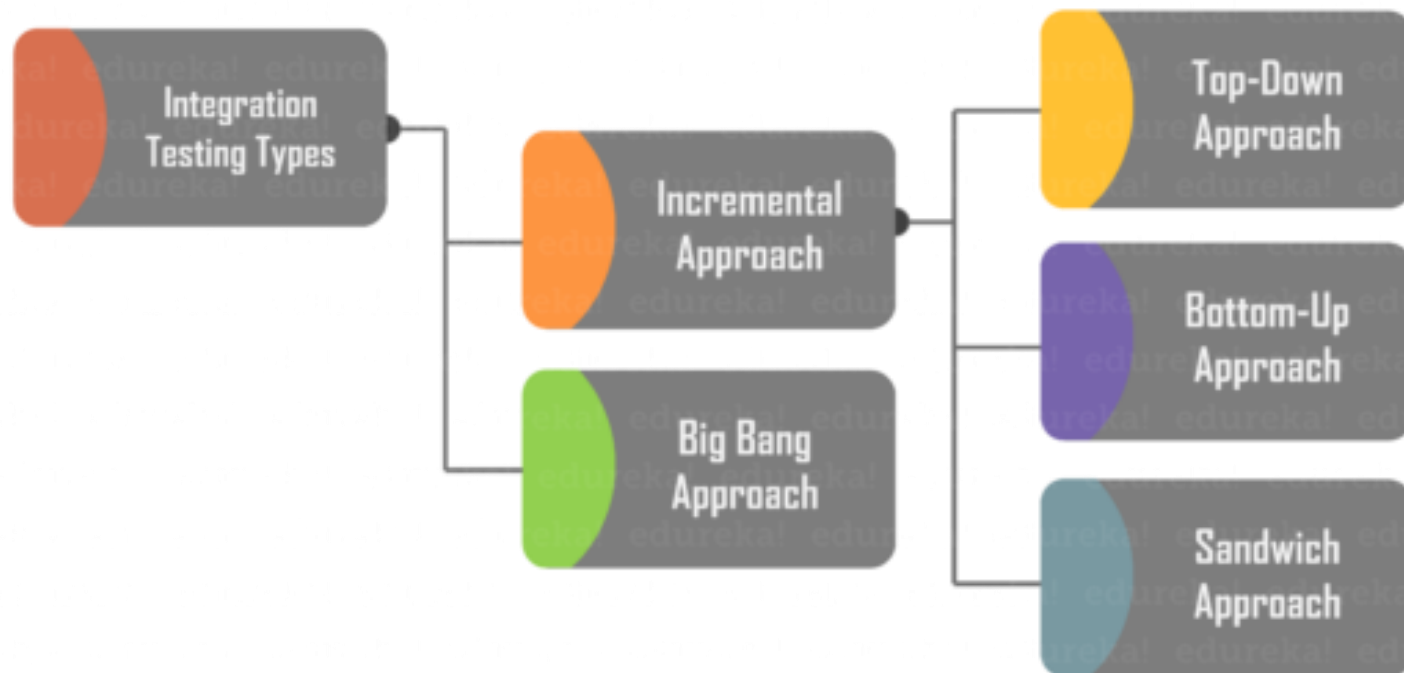


- There are essentially two categories of program analysis tools:
  - **Static analysis tools**
    - Assess properties of a program without executing it.
    - Analyze the source code to certain analytical conclusions.
    - Check whether: Coding standards been adhered to? Commenting is adequate?
    - Programming errors such as:
      - Uninitialized variables
      - Mismatch between actual and formal parameters.
      - Variables declared but never used, etc.
  - **Dynamic analysis tools**
    - Require the program to be executed:
    - Its behavior recorded.
    - Produce reports such as adequacy of test cases.

- **Integration testing**

# Integration Testing Approaches

- Develop the integration plan by examining the structure chart :
  - big bang approach
  - top-down approach
  - bottom-up approach
  - mixed approach

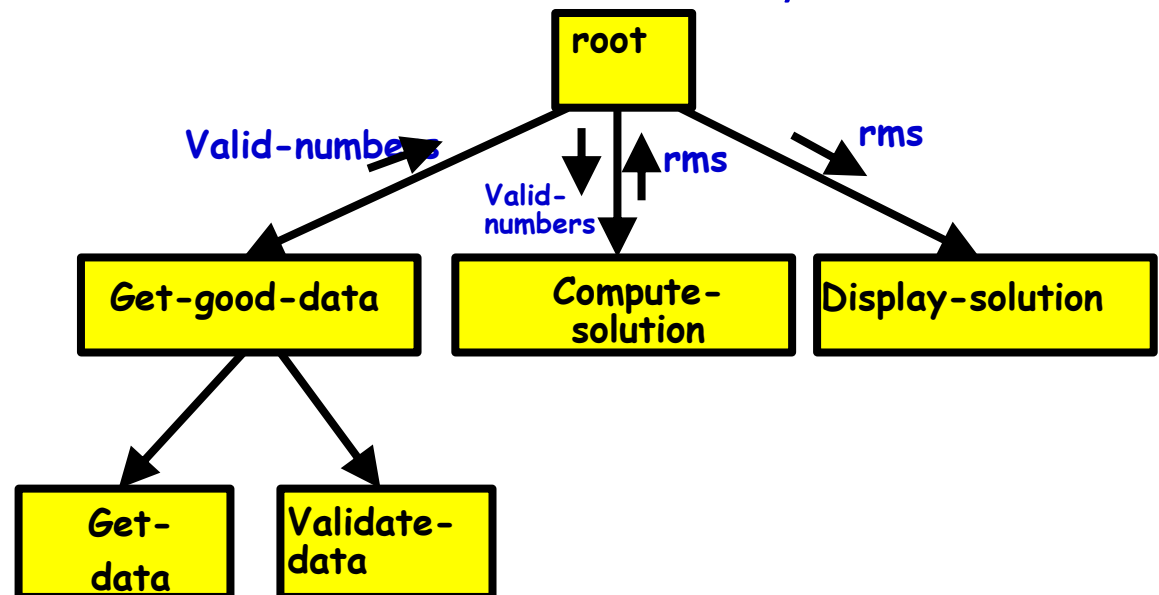


# Big Bang Integration Testing

- Big bang approach is the simplest integration testing approach:
  - All the modules are simply put together and tested.
  - This technique is used only for very small systems.
- Main problems with this approach:
  - If an error is found:
    - It is very difficult to localize the error
    - The error may potentially belong to any of the modules being integrated.
  - Debugging errors found during big bang integration testing are very expensive to fix.

# Bottom-up Integration Testing

- Integrate and test the bottom level modules first.
- A disadvantage of bottom-up testing:
  - When the system is made up of a large number of small subsystems.
  - This extreme case corresponds to the big bang approach.
- Testing can start only after bottom level modules are ready.



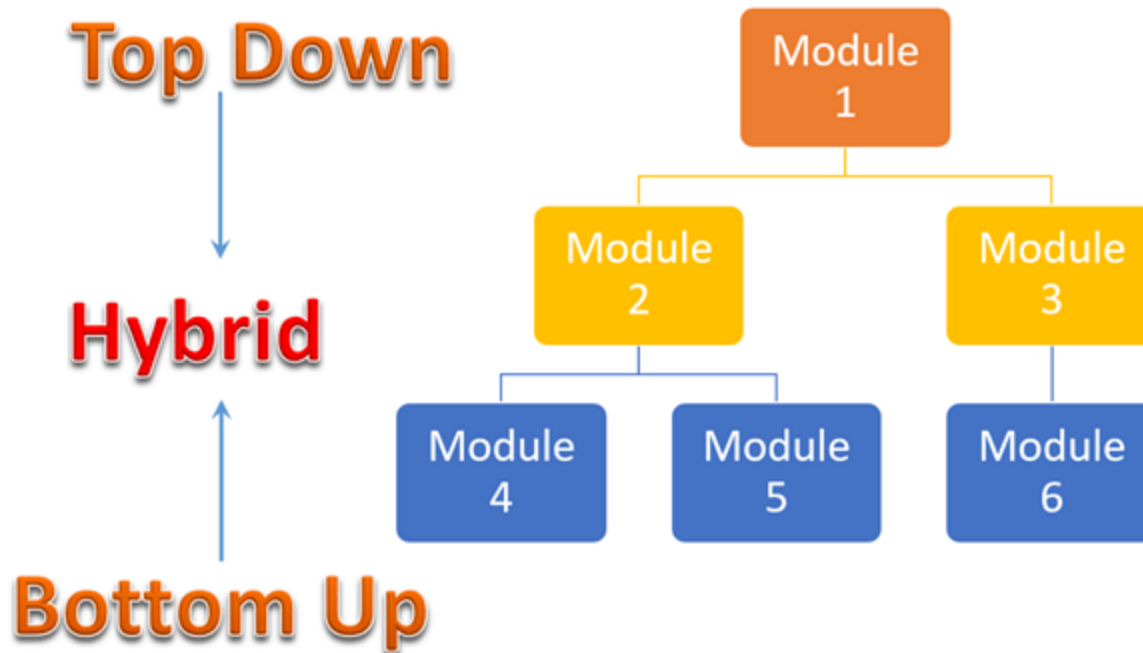
# Top-down Integration Testing

- Top-down integration testing starts with the main routine and one or two subordinate routines in the system.
- After the top-level 'skeleton' has been tested:
  - immediate subordinate modules of the 'skeleton' are combined with it and tested.
  - Testing waits till all top-level modules are coded and unit tested.



# Mixed Integration Testing

- Mixed (or sandwiched) integration testing:
  - Uses both top-down and bottom-up testing approaches.
  - **Most common approach**



- **System testing**

# System Testing

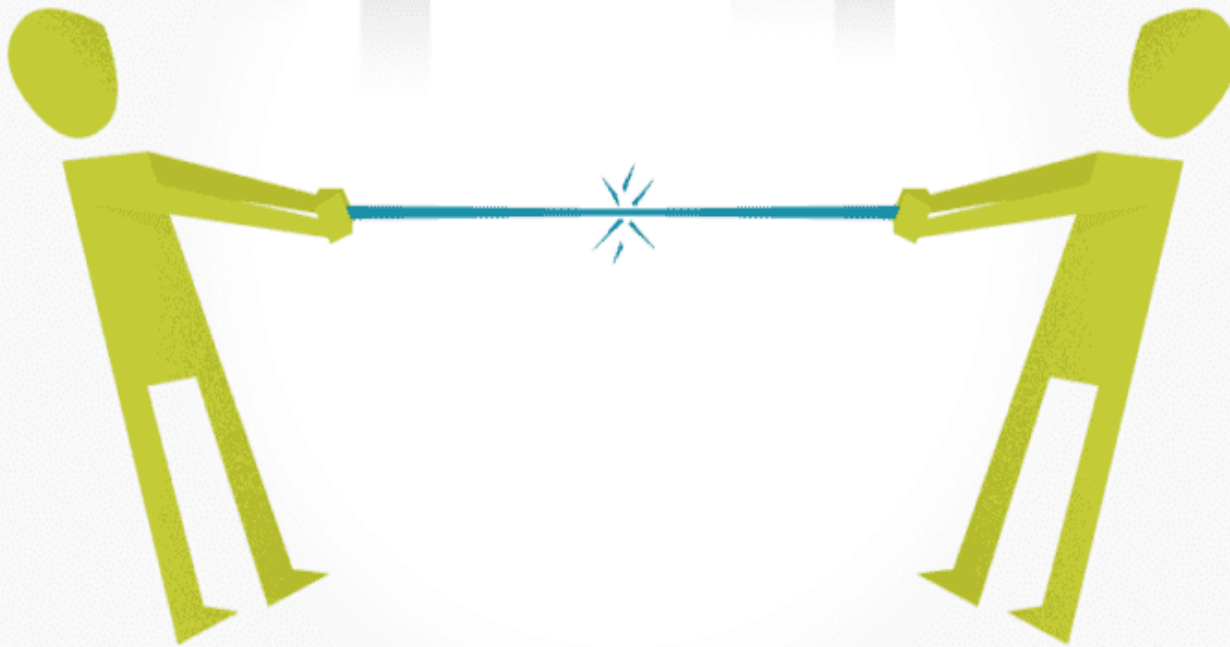
- Objective:
  - **Validate a fully developed software against its requirements.**
- There are three main types of system testing:
  - **Alpha Testing:** System testing carried out by the test team within the developing organization.
    - Test cases are designed based on the SRS document
  - **Beta Testing:** System testing performed by a select group of friendly customers.
  - **Acceptance Testing:** System testing performed by the customer himself:
    - **To determine whether the system should be accepted or rejected.**

# Performance Testing

- Addresses non-functional requirements.
  - May sometimes involve testing hardware and software together.
  - There are several categories of performance testing.
- Performance testing
  - Stress testing
  - Volume testing
  - Configuration testing
  - Compatibility testing
  - Recovery testing
  - Maintenance testing
  - Documentation testing

# Stress Testing

- Stress testing (also called endurance testing):
  - Impose abnormal input to stress the capabilities of the software.
  - **Input data volume, input data rate, processing time, utilization of memory, etc. are tested beyond the designed capacity.**



# Stress Testing

- If the requirements is to handle a specified number of users, or devices:
  - Stress testing evaluates system performance when all users or devices are busy simultaneously.
- If an operating system is supposed to support 15 multiprogrammed jobs,
  - The system is stressed by attempting to run 15 or more jobs simultaneously.
- A real-time system might be tested
  - To determine the effect of simultaneous arrival of several high-priority interrupts.
- Stress testing usually involves an element of time or size,
  - Such as the number of records transferred per unit time,
  - The maximum number of users active at any time, input data size, etc.
- Therefore stress testing may not be applicable to many types of systems.

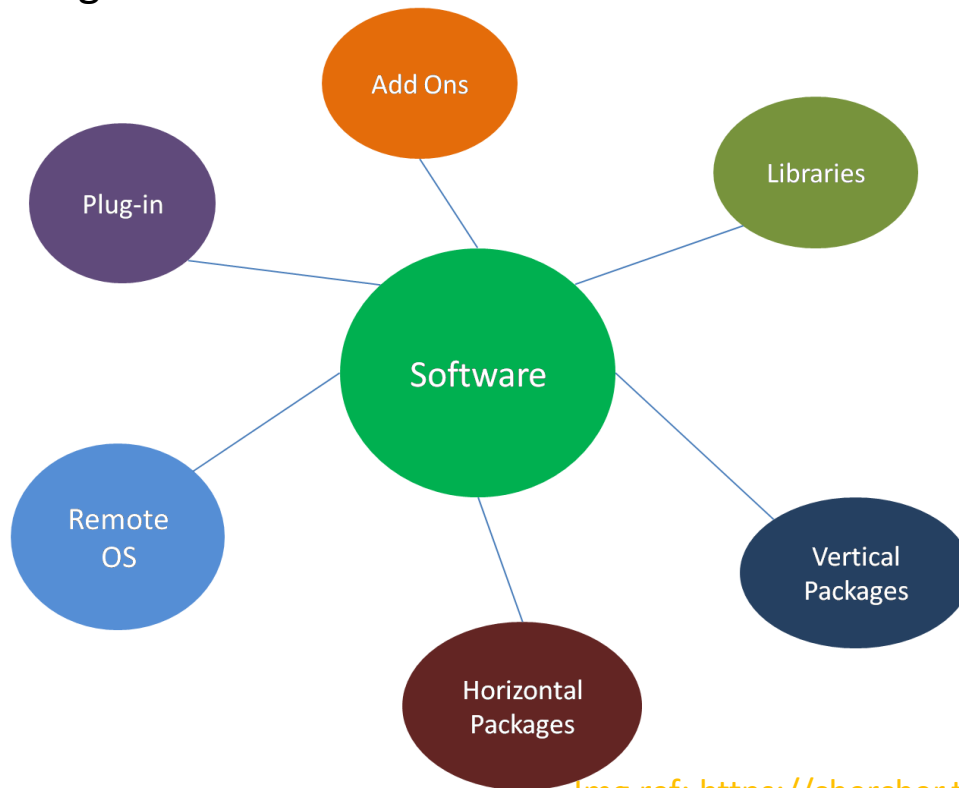
# Volume Testing

- Addresses handling large amounts of data in the system:
  - Whether data structures (e.g. queues, stacks, arrays, etc.) are large enough to handle all possible situations.
  - Fields, records, and files are stressed to check if their size can accommodate all possible data volumes.



# Configuration Testing

- Analyze system behavior:
  - in various hardware and software configurations specified in the requirements
  - sometimes systems are built in various configurations for different users
  - for instance, a minimal system may serve a single user,
    - other configurations for additional users.



Img ref: <https://chercher.tech/testing/configuration-testing>



# Compatibility Testing

- These tests are needed when the system interfaces with other systems:
  - Check whether the interface functions as required.



- If a system is to communicate with a large database system to retrieve information:
  - A compatibility test examines speed and accuracy of retrieval.

# Recovery Testing

- These tests check response to:
  - Presence of faults or to the loss of data, power, devices, or services
  - Subject system to loss of resources
    - Check if the system recovers properly.



# Maintenance Testing

- Diagnostic tools and procedures:
  - help find source of problems.
  - It may be required to supply
    - memory maps
    - diagnostic programs
    - traces of transactions,
    - circuit diagrams, etc.
  
- Verify that:
  - all required artifacts for maintenance exist, they function properly

# Documentation tests

- Check that required documents exist and are consistent:
  - user guides,
  - maintenance guides,
  - technical documents
  
- Sometimes requirements specify:
  - Format and audience of specific documents
  - Documents are evaluated for compliance

# Error seeding

- Error seeding technique is used to estimate the number of residual errors in a software
- Make a few arbitrary changes to the program:
  - Artificial errors are seeded into the program.
  - Check how many of the seeded errors are detected during testing.
- The kinds of seeded errors should match closely with existing errors:
  - However, it is difficult to predict the types of errors that exist.
- Categories of remaining errors:
  - Can be estimated by analyzing historical data from similar projects.

# Error seeding

- Let:
  - N be the total number of errors in the system
  - n of these errors be found by testing.
  - S be the total number of seeded errors,
  - s of the seeded errors be found during testing.

- $n/N = s/S$
- $N = S \times n/s$
- remaining defects:  
$$N - n = n \times ((S - 1)/s)$$

## EXAMPLE:

- 100 errors were introduced.
- 90 of these errors were found during testing
- 50 other errors were also found.
- Remaining errors=  
$$50 (100-90)/90 = 6$$

# Quiz 3



- Before system testing 100 errors were seeded.
- During system testing 90 of these were detected.
- 150 other errors were also detected
- **How many unknown errors remain after system testing?**

# Regression testing

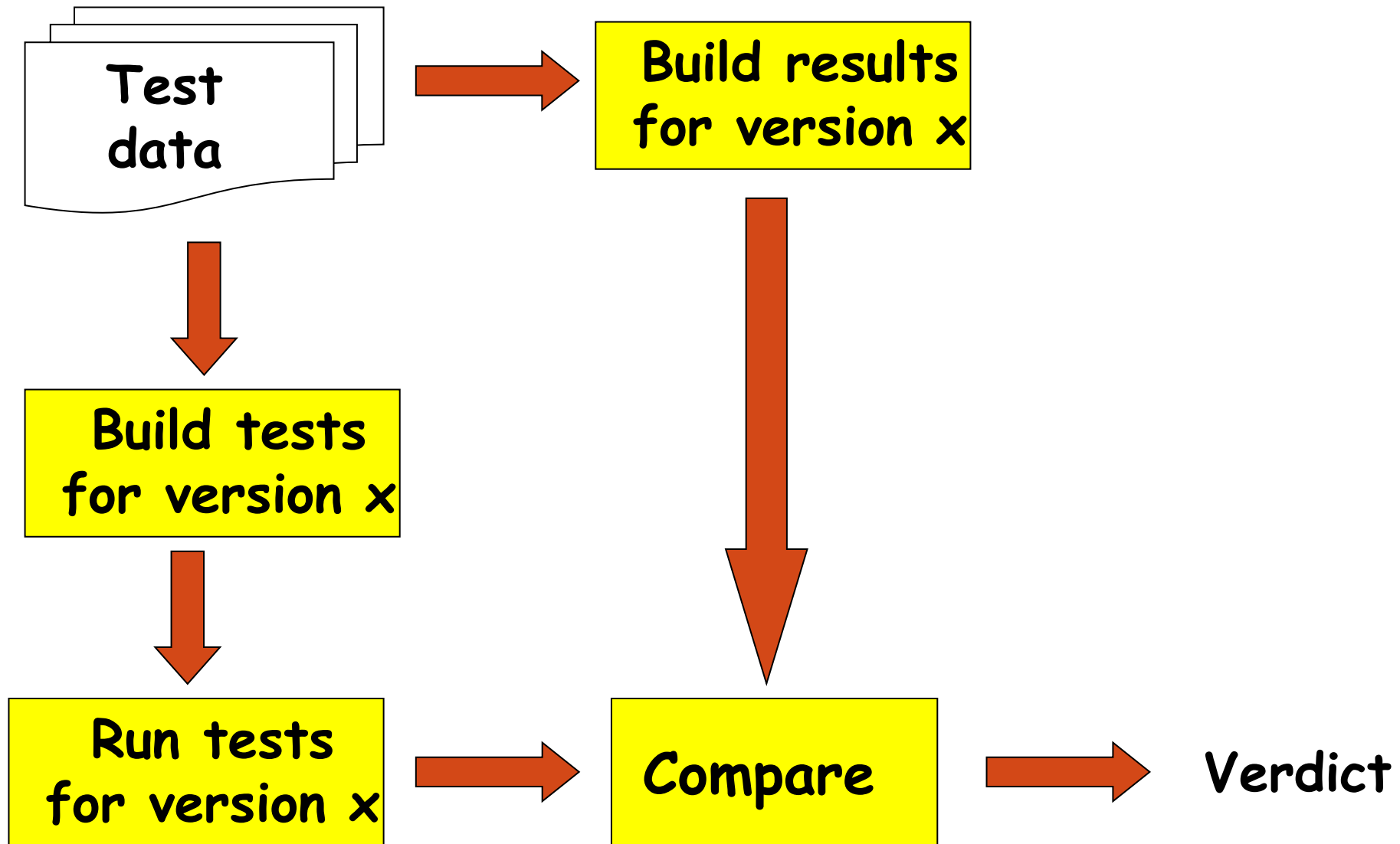
- Regression testing is testing done to check that a system update does not cause new errors or re-introduce errors that have been corrected earlier.
- It spans unit, integration, and system level testing
- Any system during use undergoes frequent code changes.
  - Corrective, Adaptive, and Perfective changes.
- Regression testing needed after every change:
  - Ensures unchanged features continue to work fine.
- Resolution testing checks whether the defect has been fixed. Regression testing checks whether the unmodified functionalities still continue to work correctly.



# Major Regression Testing Tasks

- Test revalidation (RTV):
  - Check which tests remain valid
- Test selection (RTS):
  - Identify tests that execute modified portions.
- Test minimization (RTM):
  - Remove redundant tests.
- Test prioritization (RTP):
  - Prioritize tests based on certain criteria.

# Automating regression testing



- End of Chapter

*Thanks*