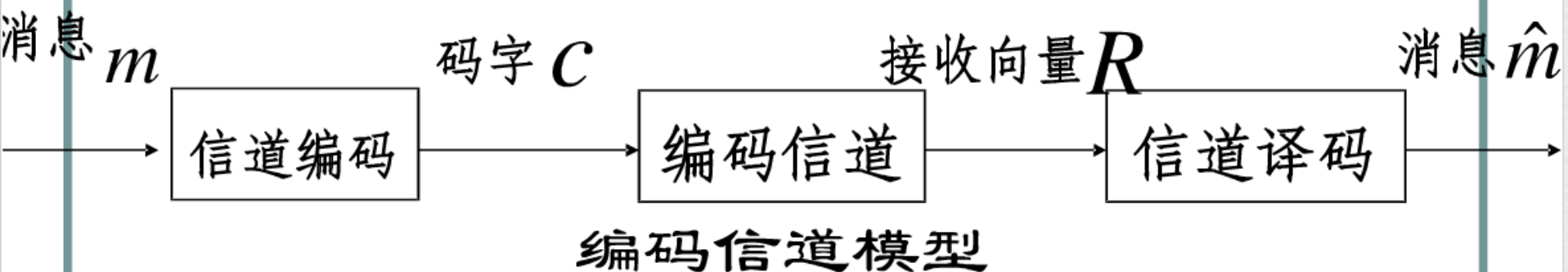




信道编码

- In the seminal paper 'A mathematical theory of communication' published in 1948, Shannon show the theorem about the capacity of the channel. This marked the birth of coding theory.
- It has found wide spread applications:
communication systems, compact disc player, cryptography, storage technology, etc.

- 信道编码主要解决信息在信道上的正确传输为目标的编码。
- 纠错编码
用于检测与纠正信号传输过程中因噪声干扰导致的差错。



$$C = (c_0, c_1, \dots, c_{n-1}), \quad c_i \in \{0, 1\}$$

$$R = (r_0, r_1, \dots, r_{n-1}), \quad r_i \in \{0, 1\}$$

- For example, consider the source encoding of four fruits:

apple	banana	cherry	grape
00	01	10	11
000	011	101	110

(there is only one error introduced, detect one error)

00000	01111	10110	11001
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(there is one error introduced, correct one error)

Goal of channel coding:

- fast encoding of messages;
- easy transmission of encoded messages;
- fast decoding of received messages;
- maximum transfer of information per unit time;
- maximal detection or correction capability.

Definition. Let $A = \{a_1, a_2, \dots, a_q\}$ be a set of size q , which we refer to a code alphabet and whose elements are called code symbols.

1. A q -ary word of length n over A is a sequence $\mathbf{w} = w_1 w_2 \cdots w_n$ with each $w_i \in A$ for all i .

Equivalently, \mathbf{w} may also be regarded as the vector (w_1, \dots, w_n) .

2. A q -ary block code of length n over A is a nonempty set C of q -ary words having the same length n .

3. An element of C is called a codeword in C .

4. The number of codewords in C , denoted by $|C|$, is called the size of C .

5. The rate of a code C of length n is defined to be $\log_q |C|/n$.

6. A code of length n and size M is called an (n, M) -code.

几个概念

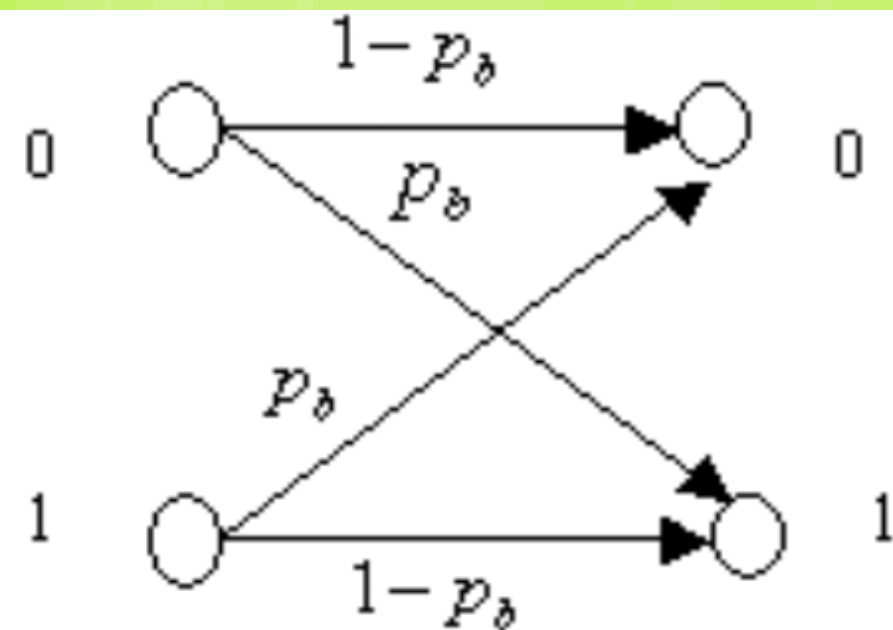
- 当码字 C 和接收向量 R 均由二元序列表示，称编码信道为二进制信道。
- 如果对于任意的 n 都有

$$P(R|C) = \prod P(r_i | c_i)$$

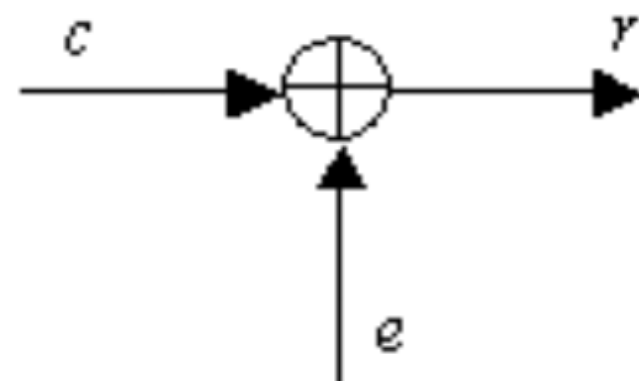
则称此二进制信道为无记忆二进制信道。

- 如果 $P(0|1) = P(1|0) = p_b$

则称此信道为无记忆二进制对称信道 BSC.



BSC转移概率



BSC编码信道

BSC输入输出关系等效为

$$\begin{cases} r = c + e \quad \text{mod } 2 \\ p(e = 1) = p_b, p(e = 0) = 1 - p_b \end{cases}$$

差错图案

差错图案：随机序列 (e_i) 或 $e = [e_0, e_1, \dots, e_{n-1}]$

第 i 位上的一个随机错误： $e_i = 1$

例如： $C = [10000]$, $e = [01000]$, $R = ?$

$R = (11000)$

码元的组成及关系

- 消息序列 m 总以 k 个码元为一组传输，称 k 个码元的码组为**信息码组**。
- 信道编码器按一定规则对每个信息码组附加一些多余的码元，构成长为 n 个码元的码组 c （**信道编码**）。
- 附加的 $r=n-k$ 个码元称为**监督码元**

检错和纠错能力

- 常用汉明距离来描述检纠差错的数目，对于两个 n 长的向量 $u = (u_1, \dots, u_n), v = (v_1, \dots, v_n)$, 它们的汉明距离为

$$d(u, v) = \sum_{i=1, u_i \neq v_i}^n 1.$$

- 码 C 的最小汉明距离 d_{\min} : 任意两码字之间的汉明距离的最小值

$$d_{\min} = \min_{c \neq c'} d(c, c').$$

汉明距离的性质

定理 对任意的 $x, y, z \in \{0, 1\}^n$, 汉明距离具有如下性质：

- (1) (非负性) $d(x, y) \geq 0$;
- (2) (自反性) $d(x, y) = 0$ 当且仅当 $x=y$.
- (3) (对称性) $d(x, y) = d(y, x)$.
- (4) (三角不等式) $d(x, y) \leq d(x, z) + d(z, y)$.

- Definition. A code C of length n , size M and distance d is referred to as an (n, M, d) -code. The numbers n , M and d are called the parameters of the code.
- $M = |C|$.

Definition. Let l be a positive integer. A code C is l -error-detecting if , whenever a codeword incurs at least one but at most l errors, the resulting word is not a codeword.

Definition. Let t be a positive integer. A code C is t -error-correcting if minimum distance decoding is able to correct t or fewer errors.

大数逻辑译码

(Maximum likelihood decoding)

Definition. The maximum likelihood decoding (MLD) rule will conclude that c_x is the most likely codeword transmitted if c_x maximizes the forward channel probability, i.e.,

$$P(x \text{ received} | c_x \text{ sent}) = \max_{c \in C} P(x \text{ received} | c \text{ sent}).$$

There are two kinds of MLD:

- Complete maximum likelihood decoding (CMLD). If a word \mathbf{x} is received, find the most likely codeword transmitted. If there are more than one such codewords, select one of them arbitrarily.
- Incomplete maximum likelihood decoding (CMLD). If a word \mathbf{x} is received, find the most likely codeword transmitted. If there are more than one such codewords, request a retransmission.

Minimum distance decoding (最小距离译码)

Definition. If a word \mathbf{x} is received, the minimum distance decoding rule will decode \mathbf{x} to \mathbf{c}_x is minimal among all the codewords in C , i.e.,

$$d(\mathbf{x}, \mathbf{c}_x) = \min_{\mathbf{c} \in C} d(\mathbf{x}, \mathbf{c}).$$

Similarly, complete and incomplete minimum distance decoding rule.

检错和纠错能力

定理 对于一个最小距离为 d_{\min} 纠错码，如下三个结论仅有其中任意一个结论成立，

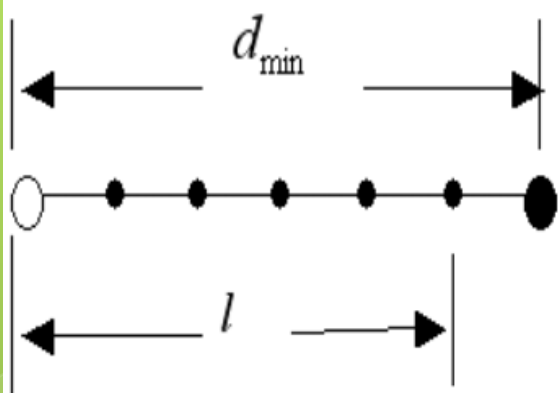
(1) 可以检测出任意小于等于 $l = d_{\min} - 1$ 个差错；

(2) 可以纠正任意小于等于 $t = \left\lfloor \frac{d_{\min} - 1}{2} \right\rfloor$ 个差错；

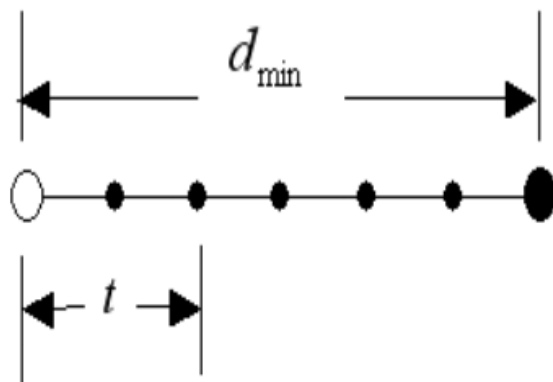
(3) 可以检测出任意小于等于 l 同时纠正小于等于 t 个差错，其中 l 和 t 满足

$$\begin{cases} l + t \leq d_{\min} - 1 \\ t < l \end{cases}$$

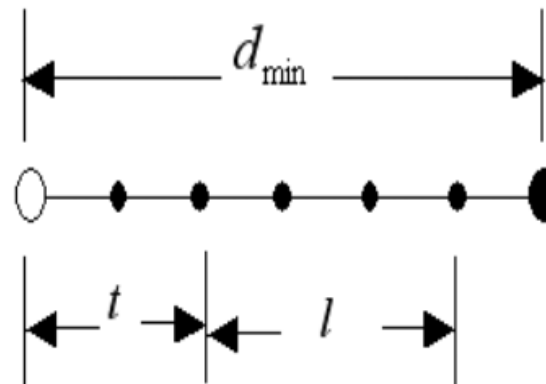
检错和纠错能力



检 l 错



纠 t 错



纠 t 检 l 错

最小码距与检纠错能力

Proof. (1). Suppose $d(C) \geq l + 1$. If $\mathbf{c} \in C$ and \mathbf{x} are such that $1 \leq d(\mathbf{c}, \mathbf{x}) \leq l < d(C)$, then $\mathbf{x} \in C$; hence, C is l -error-detecting.

(2) Suppose that $d(C) \geq 2t + 1$. Let \mathbf{c} be the codeword sent and let \mathbf{x} be the word received. If t or fewer errors occur in the transmission, then $d(\mathbf{x}, \mathbf{c}) \leq t$. Hence, for any codeword $\mathbf{c}' \in C$, $\mathbf{c} \neq \mathbf{c}'$, we have

$$\begin{aligned} d(\mathbf{x}, \mathbf{c}') &\geq d(\mathbf{c}, \mathbf{c}') - d(\mathbf{x}, \mathbf{c}) \\ &\geq 2t + 1 - t \\ &= t + 1 \\ &> d(\mathbf{x}, \mathbf{c}). \end{aligned}$$

- (3) holds from (1) and (2).

定义 设 n 是一个正整数, $A=\{0,1\}$, 定义一个长为 n 的二元奇偶校验码 C 是 A^n 中包含偶数个 1 字构成的集合。

例 设 $A=\{0,1\}$, $n=4$, 则有

$$A^n = \{ 0000, 0001, 0010, 0011, 0100, 0101, 0110, \\ 0111, 1000, 1001, 1010, 1011, 1100, 1101, 1110, 1111 \}$$

$$C=\{0000, 0011, 0101, 0110, 1001, 1010, 1100, 1111\}$$

这个奇偶校验码能够检测 1 个错误而不能纠正一个错误。Why ?

- 定义：设 n 是一个正整数， $A=\{0,1\}$ ，则 A^n 中有 2^n 个元素。定义一个长为 n 的二元重复码 C 是只包含两个元素的集合，即只包含全0和全1的字符串。
- 例 设 $A=\{0,1\}$, $n=5$.
 $C=\{00000,11111\}$.
最小距离为5，可以纠2个错。

码的最小距离越大，它的检错和纠错能力也就相应的越大。因此在纠错码中总是要求码具有较大的最小距离。

Let $C = \{00000, 00111, 11111\}$ be a binary code.
Then $d(C) = 2$ since

$$d(00000, 00111) = 3$$

$$d(00000, 11111) = 5$$

$$d(00111, 11111) = 2.$$

Hence, C is a binary $(5, 3, 2)$ -code.