# Unit 8 Context Free Grammer for English

• Geoff Pullum noted in a recent talk that "almost everything most educated Americans believe about English grammar is wrong".

- In this chapter we make a preliminary stab at addressing some of these gaps in our knowledge of grammar and syntax, as well as introducing some of the formal mechanisms that are available for capturing this knowledge
- The word **syntax** comes from the Greek *s´yntaxis*, meaning SYNTAX "setting out together or arrangement",
  - refers to the way words are arranged together.

- Various syntactic notions are seen till now:
  - The regular languages offered a simple way to represent the ordering of strings of words
  - Compute probabilities for these word sequences.
  - Part-of-speech categories could act as a kind of equivalence class for words
- The present one introduces sophisticated notions of syntax and grammar that go well beyond these simpler notions.
- Three main new ideas are introduced in this chapter:
  - Constituency
  - Grammatical relations
  - Subcategorization and dependency

# Constituency

- The fundamental idea of constituency is that groups of words may behave as a single unit or phrase, called a constituent.
  - For example we will see that a group of words called a noun phrase often acts as a unit;
  - noun phrases include single words like she or Michael and phrases like the house, Russian Hill, and a well-weathered, three-story structure.

- How do words group together in English? Consider the **noun phrase**, a sequence of words surrounding at least one noun.
  - Examples of noun phrases:
    - three parties from Brooklyn
    - Harry the Horse
    - a high-class spot such as Mindy's
    - the Broadway coppers
    - they

- How do we know that these words group together (or "form constituents")? One piece of
  evidence is that they can all appear in similar syntactic environments, for example before a verb.
  - three parties from Brooklyn arrive...
  - a high-class spot such as Mindy's attracts. . .
  - the Broadway coppers *love*. . .
  - they sit

- But while the whole noun phrase can occur before a verb, this is not true of each of the individual words that make up a noun phrase.
- Thus to correctly describe facts about the ordering of these words in English, we must be able to say things like "Noun Phrases can occur before verbs".
- Other kinds of evidence for constituency come from what are called preposed or postposed constructions.
- For example, the prepositional phrase on September seventeenth can be placed in a number of different locations in the following examples, including preposed at the beginning, and postposed at the end:

On September seventeenth, I'd like to fly from Atlanta to Denver I'd like to fly on September seventeenth from Atlanta to Denver I'd like to fly from Atlanta to Denver on September seventeenth

• But again, while the entire phrase can be placed differently, the individual words making up the phrase cannot be:

- \*On September, I'd like to fly seventeenth from Atlanta to Denver
- \*On I'd like to fly **September seventeenth** from Atlanta to Denver
- \*I'd like to fly on September from Atlanta to Denver seventeenth

• This chapter will introduce the use of **context-free grammars**, a formalism that will allow us to model these constituency facts

#### **Grammatical relations**

 Grammatical relations are a formalization of ideas from traditional grammar such as SUBJECTS and OBJECTS, and other related notions.

 In the following sentence the noun phrase She is the SUBJECT and a mammoth breakfast is the OBJECT:

She ate a mammoth breakfast

# Subcategorization and dependency relations

• **Subcategorization** and **dependency relations** refer to certain kinds of relations between words and phrases.

For example the verb want can be followed by an infinitive, as in I want to fly to Detroit, or a noun phrase, as in I want a flight to Detroit.

• But the verb *find* cannot be followed by an infinitive (\**I found to fly to Dallas*). These are called facts about the *subcategorization* of the verb

• None of the syntactic mechanisms that we've discussed up until now can easily capture such phenomena.

 They can be modeled much more naturally by grammars that are based on context-free grammars (CFG)

 CFG is integral to many computational applications including grammar checking, semantic interpretation, dialogue understanding, and machine translation.

• They are powerful enough to express sophisticated relations among the words in a sentence and efficient algorithms exist for parsing sentences

#### **Context-Free Grammar**

- The most commonly used mathematical system for modeling constituent structure in English and other natural languages is the **Context-Free Grammar**, or **CFG**.
- Context free grammars are also called **Phrase-Structure Grammars**, and the formalism is equivalent to what is also called **Backus-Naur Form** or **BNF**.
- A context-free grammar consists of :
  - a **set of rules** or productions, each of which expresses the ways that symbols of the language can be grouped and ordered together, and
  - a lexicon of words and symbols.
  - For example, the following productions express that a **NP** (or noun phrase), can be composed of either a **Proper Noun or a determiner (Det)** followed by a **Nominal**; a Nominal can be one or more Nouns.
    - $NP \rightarrow Det\ Nominal$
    - $NP \rightarrow ProperNoun$
    - Nominal → Noun | Nominal Noun

• Context-free rules can be **hierarchically embedded**, so we can combine the previous rules with others like the following which express facts about the lexicon:

- Det  $\rightarrow$  a
- Det  $\rightarrow$  the
- Noun  $\rightarrow$  flight
- The symbols that are used in a CFG are divided into two classes.
  - The symbols that correspond to words in the language ("the", "nightclub")
    are called terminal symbols;
    - the lexicon is the set of rules that introduce these terminal symbols
  - The symbols that express clusters or generalizations of these are called nonterminals

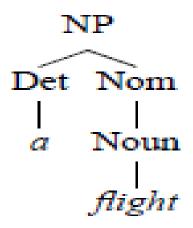
• In each context free rule, the item to the right of the *arrow* (→) is an ordered list of **one or more terminals and non-terminals**, while to the left of the arrow is a **single non-terminal symbol** expressing some cluster or generalization.

 Notice that in the lexicon, the non-terminal associated with each word is its lexical category, or part-of-speech

- A CFG can be thought of in two ways:
  - as a device for generating sentences, and
  - as a device for assigning a structure to a given sentence.
- As a generator, we can read the →arrow as "rewrite the symbol on the left with the string of symbols on the right

- The string a flight can be derived from the non-terminal NP
- This sequence of rule expansions is called a derivation of the string of words
- It is common to represent a derivation by a parse tree (commonly shown inverted with the root at the top)

- In the parse tree for a flight, we say that the node NP immediately dominates the node Det and the node Nom.
- We say that the node NP dominates all the nodes in the tree (Det, Nom, Noun, a, flight).
- The formal language defined by a CFG is the set of strings that are derivable from the designated **start symbol**.



- Each grammar must have one designated start symbol which is often called
   S.
- Since context-free grammars are often used to define sentences, **S** is usually interpreted as the "**sentence**" node, and the set of strings that are derivable from **S** is the set of sentences in some simplified version of English.

- A sentence can consist of a noun phrase followed by a verb phrase
- A verb phrase in English consists of a verb followed by assorted other things; for example,
   one kind of verb phrase consists of a verb followed by a noun phrase
- Or the verb phrase may have a verb followed by a noun phrase and a prepositional phrase
- Or the verb may be followed by a prepositional phrase alone
- A prepositional phrase generally has a preposition followed by a noun phrase

 $S \rightarrow NP \ VP$  I prefer a morning flight  $VP \rightarrow Verb \ NP$  prefer a morning flight  $VP \rightarrow Verb \ NP \ PP$  leave Boston in the morning leaving on Thursday  $PP \rightarrow Preposition \ NP$  from Los Angeles (locations, times, dates)

# **Context-Free Grammer Example**

```
Noun → flights | breeze | trip | morning | ...
        Verb → is | prefer | like | need | want | fly
   Adjective → cheapest | non - stop | first | latest
                  other direct ...
    Pronoun \rightarrow me | I | you | it | ...
Proper-Noun → Alaska | Baltimore | Los Angeles
                   | Chicago | United | American | ...
 Determiner \rightarrow the | a | an | this | these | that | ...
 Preposition \rightarrow from | to | on | near | ...
Conjunction \rightarrow and or but ...
```

Ten examples from the ATIS corpus  $(L_0)$ 

$$S o NP VP$$
 I + want a morning flight

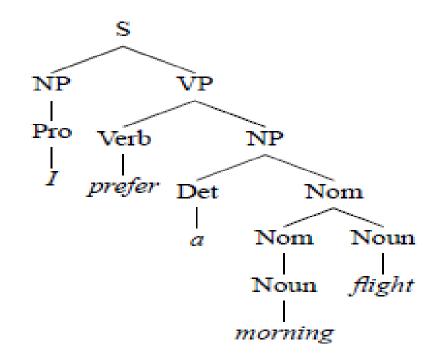
 $NP o Pronoun$  I | Proper-Noun | Los Angeles | Det Nominal | a + flight |

Nominal  $o$  Nominal Noun | morning + flight | flights

 $VP o Verb$  | do | Verb NP | want + a flight | Verb NP PP | leave + Boston + in the morning | Verb PP | leaving + on Thursday

 $PP o Preposition NP$  | from + Los Angeles

The grammar for L<sub>0</sub>, with example phrases for each rule.



The parse tree for "I prefer a morning flight" according to grammar L<sub>0</sub>.

• It is sometimes convenient to represent a parse tree in a more compact format called bracketed notation, essentially the same as LISP tree representations

Example: The bracketed representation of the parse tree (previous slide)

[S [NP [Pro I]] [VP [V prefer] [NP [Det a] [Nom [N morning] [Nom [N flight]]]]]]

- A CFG like that of  $L_0$  defines a formal language.
- A formal language is a set of strings
- Sentences (strings of words) that can be derived by a grammar are in the formal language defined by that grammar, and are called grammatical sentences
- Sentences that cannot be derived by a given formal grammar are not in the language defined by that grammar, are referred to as ungrammatical
- In linguistics, the use of formal languages to model natural languages is called *generative grammar*, since the language is defined by the set of possible sentences "generated" by the grammar.

# Formal definition of context-free grammar

- A context-free grammar G is defined by four parameters N,  $\Sigma$ , P, S ( technically "is a 4-tuple"):
  - N a set of non-terminal symbols (or variables)
  - Σ a set of terminal symbols (disjoint from N)
  - **R** a set of **rules** or productions, each of the form  $A \rightarrow \beta$ , where A is a nonterminal,  $\beta$  is a string of symbols from the infinite set of strings ( $\sum UN$ )\*
  - S is a designated start symbol

# Formal definition of context-free grammar

#### Convention:

Capital letters like A, B, and S	Non-Terminals
S	Start Symbol
Lower-case Greek letters like $\alpha$ , $\beta$ and $\gamma$	Strings drawn from (Σ∪N)*
Lower-case Roman letters like u, v, and w	Strings of terminals

- A language is defined via the concept of **derivation**
- One string derives another one if it can be rewritten as the second one via some series of **rule** applications
- If  $A \rightarrow \beta$  is a production of P and  $\alpha$  and  $\gamma$  are any strings in the set  $(\Sigma \cup N)*$ , then we say that  $\alpha A \gamma$  directly derives  $\alpha \beta \gamma$ , or  $\alpha A \gamma \Rightarrow \alpha \beta \gamma$
- Derivation is then a generalization of direct derivation:

```
Let \alpha 1, \alpha 2, \ldots, \alpha m be strings in (\Sigma \cup N)*, m \ge 1, such that \alpha 1 \Rightarrow \alpha 2, \alpha 2 \Rightarrow \alpha 3, \ldots, \alpha m-1 \Rightarrow \alpha m.
We say that \alpha 1 derives \alpha m, or \alpha 1*\Rightarrow \alpha m.
```

• The language  $L_G$  generated by a grammar G can be defined as the set of strings composed of terminal symbols which can be derived from the designated start symbol S.

$$L_G = \{w | w \text{ is in } \Sigma * \text{ and } S * \Rightarrow w\}$$

• The problem of mapping from a string of words to its parse tree is called **parsing** 

# **SOME GRAMMAR RULES FOR ENGLISH**

## **Sentence-Level Constructions**

- There are a large number of constructions for English sentences, but four are particularly common and important:
- Declarative structure: Structure has a subject noun phrase followed by a verb phrase.

Eg: "I prefer a morning flight".

• Subject NP+VP

• Imperative structure: Structure often begins with a verb phrase, and have no subject. They are called imperative because they are almost always used for commands and suggestions.

Eg: "Show the lowest fare".

• 
$$S \rightarrow VP$$

• **Yes-no-question structure:** Structure are often (though not always) used to ask questions (hence the name), and begin with an auxiliary verb, followed by a subject NP, followed by a VP.

Eg: "Do any of these flights have stops?".

• 
$$S \rightarrow Aux NP VP$$

• Wh-question structure: These may be broadly grouped into two classes of sentence-level structures.

• The **wh-subject-question** structure is identical to the declarative structure, except that the first noun phrase contains some wh-word.

Eg: "Whose flights serve breakfast?"

• 
$$S \rightarrow Wh-NPVP$$

- In the **wh-non-subject question** structure, the wh-phrase is not the subject of the sentence, and so the sentence includes another subject.
  - In these types of sentences the auxiliary appears before the subject NP, just as in the yes-no-question structures.

Eg: "What flights do you have from Burbank to Tacoma Washington?"

• 
$$S \rightarrow Wh-NPAux NP VP$$

## **Clauses and Sentences**

• *Clause:* A clause is the smallest grammatical unit that can express a complete proposition. In traditional grammar, Clauses are often described as forming a complete thought.

• **Sentences:** It may form larger structures. **S** may be in the right side of the production

## The Noun Phrase

• Noun phrases consisting of a head, the central noun in the noun phrase, along with various modifiers that can occur before or after the head noun. Let's take a close look at the various parts.

#### • The Determiners:

- Noun phrases can begin with simple lexical determiners

  Examples: a stop, the flights, this flight, those flights, any flights, some flights
- Determiners are optional in English.
  - For example, determiners may be omitted if the noun they modify is plural: Show me *flights* from San Francisco to Denver on weekdays
- Mass nouns also don't require determination
- substance like *water* and *snow*, don't take the indefinite article "a", and don't tend to pluralize.
- Many abstract nouns are mass nouns (music, homework).

# **Noun phrase**

- The Nominal
- The nominal construction follows the determiner and contains any preand post-head noun modifiers.
- As indicated in grammar  $L_0$ , in its simplest form a nominal can consist of a single noun.
  - Nominal → Noun

• As we'll see, this rule also provides the basis for the bottom of various recursive rules used to capture more complex nominal constructions.

## The Noun Phrase: Before Noun head

#### Predeterminers:

- Word classes appearing in the NP before the determiner
  - · all the flights, all flights

#### Postdeterminers:

- Word classes appearing in the NP between the determiner and the head noun
  - · Cardinal numbers: two friends, one stop
  - Ordinal numbers: the first one, the next day, the second leg, the last flight, the other American flight, and other fares
  - Quantifiers: many fares
    - The quantifiers, much and a little occur only with noncount nouns.

# The Noun Phrase: Before Noun head

- Adjectives occur after quantifiers but before nouns.
  - a first-class fare, a nonstop flight, the longest layover, the earliest lunch flight
- Adjectives can be grouped into a phrase called an adjective phrase or AP.
  - AP can have an adverb before the adjective
    - · the least expensive fare
- NP → (Det) (Card) (Ord) (Quant) (AP) Nominal

# The Noun Phrase: After Noun head

- A head noun can be followed by postmodifiers.
- Three kinds of nominal postmodifiers are very common in English:

- Prepositional phrases all flights from Cleveland
- Non-finite clauses any flights arriving after eleven a.m.
- Relative clauses a flight that serves breakfast

## The Noun Phrase: After Noun head

- The three most common kinds of non-finite postmodifiers are the gerundive (-ing), -ed, and infinitive form.
  - A gerundive consists of a VP begins with the gerundive (-ing)
    - any of those [leaving on Thursday]
    - any flights [arriving after eleven a.m.]
    - flights [arriving within thirty minutes of each other]

```
Nominal \rightarrow Nominal GerundVP
GerundVP \rightarrow GerundV NP | GerundV PP | GerundV | GerundV NP PP
GerundV \rightarrow being | preferring | ariving | leaving | ...
```

- Examples of two other common kinds
  - the last flight to arrive in Boston
  - I need to have dinner served
  - · Which is the aircraft used by this flight?

## The Noun Phrase: After Noun head

- A postnominal relative clause (more correctly a restrictive relative clause)
  - is a clause that often begins with a relative pronoun (that and who are the most common).
  - The relative pronoun functions as the subject of the embedded verb,
    - a flight that serves breakfast
    - · flights that leave in the morning
    - the United flight that arrives in San Jose around ten p.m.
    - the one that leaves at ten thirty five

```
Nominal \rightarrow Nominal RelClause
RelClause \rightarrow (who | that) VP
```

# Some grammar rules for English: Coordination

- NPs and other units can be conjoined with coordinations like and, or, and but.
  - Please repeat [NP [NP the flight] and [NP the coast]]
  - I need to know [NP [NP the aircraft] and [NP flight number]]
  - I would like to fly from Denver stopping in [NP][NP] Pittsburgh] and [NP] Atlanta]]
  - $-NP \rightarrow NP$  and NP
  - $-VP \rightarrow VP$  and VP
  - $-S \rightarrow S$  and S

# Some grammar rules for English: Verb Phrase and Subcategorization

The VP consists of the verb and a number of other constituents.

```
VP \rightarrow Verb disappear VP \rightarrow Verb \ NP prefer a morning flight VP \rightarrow Verb \ NP \ PP leave Boston in the morning VP \rightarrow Verb \ PP leaving on Thursday
```

 An entire embedded sentence, called sentential complement, can follow the verb.

```
You [_{VP} [_{V} \text{ said } [_{S} \text{ there were two flights that were the cheapest}]]]
You [_{VP} [_{V} \text{ said } [_{S} \text{ you had a two hundred sixty six dollar fare}]]]
[_{VP} [_{V} \text{ Tell}] [_{NP} \text{ me}] [_{S} \text{ how to get from the airport in Philadelphia to downtown}]]
I [_{VP} [_{V} \text{ think } [_{S} \text{ I would like to take the nine thirty flight}]]
```

 $VP \rightarrow Verb S$ 

### Some grammar rules for English: Verb Phrase and Subcategorization

- Another potential constituent of the VP is another VP
  - Often the case for verbs like want, would like, try, intent, need

```
I want [_{VP} to fly from Milwaukee to Orlando]
Hi, I want [_{VP} to arrange three flights]
Hello, I'm trying [_{VP} to find a flight that goes from Pittsburgh to Denver after two p.m.]
```

- Recall that verbs can also be followed by particles, word that resemble
  a preposition but that combine with the verb to form a phrasal verb,
  like take off.
  - These particles are generally considered to be an integral part of the verb in a way that other post-verbal elements are not;
  - Phrasal verbs are treated as individual verbs composed of two words.

### Some grammar rules for English: Verb Phrase and Subcategorization

- A VP can have many possible kinds of constituents, not every verb is compatible with every VP.
  - I want a flight ...
  - I want to fly to ...
  - \*I found to fly to Dallas.
- The idea that verbs are compatible with different kinds of complements
  - Traditional grammar subcategorize verbs into two categories (transitive and intransitive).
  - Modern grammars distinguish as many as 100 subcategories

Frame	Verb	Example	
ф	eat, sleep	I want to eat	
NP	prefer, find leave	Find [NP the flight from Pittsburgh to Boston]	
NPNP	show, give, find	Show $[NP]$ me] $[NP]$ airlines with flights from Pittsburgh]	
$PP_{from}PP_{to}$	fly, travel	I would like to fly [pp from Boston] [pp to Philadelphia]	
NP PPwith	help, load	Can you help $[NP]$ me] $[PP]$ with a flight]	
VPto	prefer, want, need	I would prefer [VPto to go by United airlines]	
VPbrst	can, would, might_	Lcan [VPbrst fo from Boston]	200

### **Grammar Equivalence and Normal Form**

- A formal language is defined as a (possibly infinite) set of strings of words
- Two grammars are equivalent if they generate the same set of strings

- Two kinds of grammar equivalence:
  - **Strong equivalence** Two grammars are strongly equivalent if they generate the same set of strings and assign the same phrase structure to each sentence (allowing merely renaming of the non-terminal symbols).
  - Weak equivalence Two grammars are weakly equivalent if they generate the same set of strings but do not assign the same phrase structure to each sentence.

## **Grammar Equivalence and Normal form**

- It is sometimes useful to have a **normal form** for grammars, in which each of the productions takes a particular form.
- A context-free grammar is in Chomsky Normal Form (CNF) (Chomsky, 1963)
  - if it is  $\varepsilon$ -free and if in addition each production is either of the form  $A \rightarrow B$  C or  $A \rightarrow a$ .
  - That is, the right-hand side of each rule either has two non-terminal symbols or one terminal symbol.

Chomsky normal form grammars are binary branching, i.e. they have binary trees.

## **Grammar Equivalence and Normal form**

• For example, a rule of the form

• 
$$A \rightarrow B C D$$

• can be converted into the following weakly equivalence CNF rules:

• 
$$A \rightarrow BX$$

• 
$$X \rightarrow CD$$

• Sometimes using binary branching can actually produce smaller grammars.

# **Parsing with Context Free Grammars**

### **Parsing as Searching**

- Unit 1 and unit 2 showed that finding the right path through a finite-state automaton or finding the right transduction for an input, can be viewed as a search problem.
- For finite-state automata, the search is through the space of all possible paths through a machine.
- In syntactic parsing, the parser can be viewed as searching through the space of possible parse trees to find the correct parse tree for a given sentence.
- Just as the search space of possible paths was defined by the structure of an automata, so the search space of possible parse trees is defined by grammar.

• Parse tree are directly useful in applications such as grammar checking in word-processing systems; a sentence which cannot be parsed may have grammatical errors (or at least be hard to read).

 Typically, parse trees serve as an important intermediate stage of representation for semantic analysis, and thus plays an important role in applications like machine translation, question answering and information extraction.

 Parsing algorithms specify how to recognize the strings of a language and assign each string to one (or more) syntactic analyses

## What is Parsing?

- The process of taking a string and a grammar and returning all possible parse trees for that string
- That is, find all trees, whose root is the start symbol S, which cover exactly the words in the input
- There are two kinds of constraints that should help for parsing.
  - One set of constraints comes from the data, that is, the input sentence itself.
     Whatever else is true of the final parse tree, we know that there must be three leaves and they must be the words book, that, and flight.
  - The second kind of constraint comes from the grammar. We know that whatever else is true of the final parse tree, it must have one root, which must be the **start symbol** *S*.

## **Parsing: Example**

```
S \rightarrow NP VP
                                     Det \rightarrow that \mid this \mid a
S \rightarrow Aux NP VP
                                     Noun \rightarrow book \mid flight \mid meal \mid money
S \rightarrow VP
                                      Verb → book | include | prefer
NP \rightarrow Pronoun
                                     Pronoun \rightarrow I \mid she \mid me
NP \rightarrow Proper-Noun
                                     Proper-Noun → Houston | TWA
NP \rightarrow Det Nominal
Nominal \rightarrow Noun
                                     Aux \rightarrow does
Nominal \rightarrow Nominal Noun
                                     Preposition \rightarrow from \mid to \mid on \mid near \mid through
Nominal \rightarrow Nominal PP
VP \rightarrow Verb
VP \rightarrow Verb NP
VP \rightarrow Verb NP PP
VP \rightarrow Verb PP
VP \rightarrow VP PP
PP \rightarrow Preposition NP
```

VP

Verb

NP

Book

Det Nominal

that

Noun

flight

Figure : The  $\mathcal{L}_1$  miniature English grammar and lexicon.

## **Types of Parsing**

These two constraints give rise to two search strategies underlying most parsers:

- Top-down parsing or goal-directed search:
  - Builds from the root S node to the leaves

- Bottom-up parsing or data-directed search.
  - Parser begins with words of input and builds up trees, applying grammar rules whose RHS matches.

#### **Top-down parsing**

- Searches for a parse tree by trying to build from the **root node** *S* down to the **leaves**.
- Let's consider the search space that a top-down parser explores, assuming for the moment that it builds all possible trees in parallel.
- The algorithm starts by assuming the input can be derived by the designated start symbol *S*.
- The next step is to find the tops of all trees which can start with *S*, by looking for all the grammar rules with *S* on the left-hand side.
- Trees are grown downward until they eventually reach the part-of-speech categories at the bottom of the tree.
- At this point, trees whose leaves fail to match all the words in the input can be rejected

## **Top-down Parsing Example**

 $S \rightarrow NP VP$ 

 $S \rightarrow Aux NP VP$ 

 $S \rightarrow VP$ 

 $NP \rightarrow Pronoun$ 

 $NP \rightarrow Proper-Noun$ 

 $NP \rightarrow Det Nominal$ 

 $Nominal \rightarrow Noun$ 

 $Nominal \rightarrow Nominal Noun$ 

 $Nominal \rightarrow Nominal PP$ 

 $VP \rightarrow Verb$ 

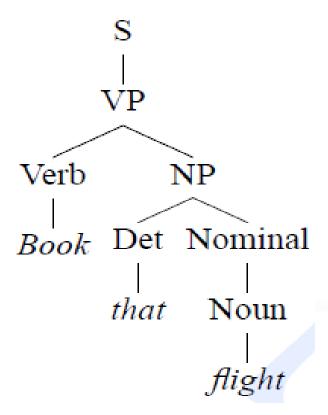
 $VP \rightarrow Verb NP$ 

 $VP \rightarrow Verb NP PP$ 

 $VP \rightarrow Verb PP$ 

 $VP \rightarrow VP PP$ 

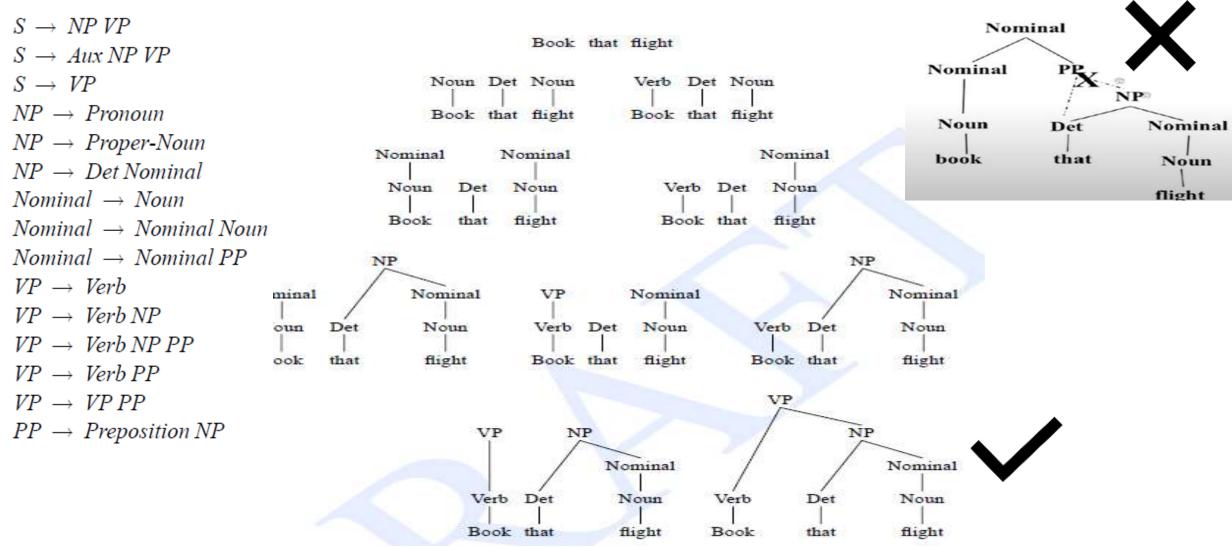
 $PP \rightarrow Preposition NP$ 



### **Bottom-up parsing**

- In bottom-up parsing the parser starts with the words of the input, and tries to build trees from the words up, again by applying rules from the grammar one at a time.
- The parse is successful if the parser succeeds in building a tree rooted in the start symbol *S* that covers all of the input.

## Bottom-up parsing for the example 'book that flight'

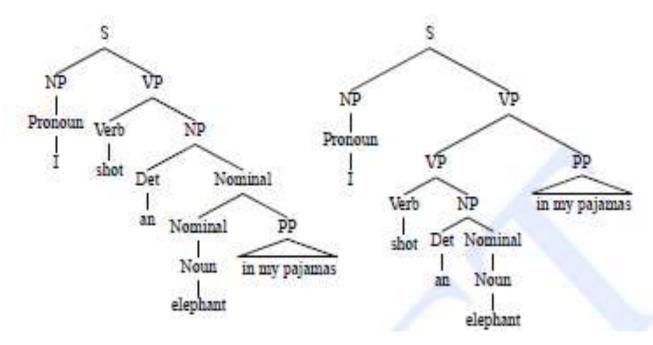


### **Comparing Top-Down and Bottom-Up Parsing**

- The top-down strategy never wastes time exploring trees that cannot result in a full parse tree, since it begins by generating just those trees.
  - But explores many options that never connect to the actual sentence
- In the bottom-up strategy, by contrast, never explores options that do not connect to the actual sentence i.e. no hope of leading to an S
  - But can explore options that can never lead to a full parse tree

## **Ambiguity**

- Ambiguity is a serious problem faced by parsers. (Already seen in Part-of-speech ambiguity)
- Ambiguity also arises in the syntactic structures used in parsing and is called as structural ambiguity.
  - Structural ambiguity occurs when the grammar assigns more than one possible parse to a sentence.
  - Groucho Marx's well-known line as Captain Spaulding is ambiguous because the phrase in my pajamas can be part of the NP headed by elephant or the verb-phrase headed by shot.



 Three common kinds of ambiguity are attachment ambiguity, coordination ambiguity, and Local ambiguity.

- A sentence has an **attachment ambiguity** if a particular constituent can be attached to the parse tree at more than one place.
  - The Groucho Marx sentence above is an example of attachment ambiguity.
- In **coordination ambiguity** there are different sets of phrases that can be conjoined by a conjunction like *and*.
  - For example, the phrase old men and women can be bracketed as [old [men and women]], referring to old men and old women, or as [old men] and [women], in which case it is only the men who are old.

- Local ambiguity occurs when some part of a sentence is ambiguous, that is, has more than one parse, even if the whole sentence is not ambiguous.
  - For example the sentence *Book that flight* is unambiguous, but when the parser sees the first word *Book*, it cannot know if it is a verb or a noun until later. Thus it must consider both possible parses

## **Dynamic Programming Parsing Methods**

- Ambiguity gives rise to problems and confusions in standard bottom-up or top-down parsers
- Also to avoid extensive repeated work, caching of intermediate results is required
- Dynamic programming provides a framework for caching the results and also solving the ambiguity problem, like it helped with the Minimum Edit Distance
  - Recall that dynamic programming approaches systematically fill in tables of solutions to sub-problems.
  - When complete, the tables contain the solution to all the sub-problems needed to solve the problem as a whole

- The efficiency gain arises from the fact that these subtrees are discovered once, stored, and then used in all parses calling for that constituent.
  - This solves the re-parsing problem (subtrees are looked up, not re-parsed)
  - Partially solves the ambiguity problem (the dynamic programming table implicitly stores all possible parses by storing all the constituents with links that enable the parses to be reconstructed)
- Three most widely used methods are
  - The Cocke-Kasami-Younger (CKY) algorithm
    - bottom-up parser, requires normalizing the grammar
  - The Earley algorithm
    - Top-down parser, doesn't require normalizing the grammar, more complex
  - Chart Parsing
    - retain completed phrases in a chart and can combine top-down and bottom-up searches

## **CKY Parsing (Cocke-Kasami-Younger Parsing)**

- Grammars used with it must be in Chomsky Normal Form (CNF)
  - Either, exactly two non-terminals on the RHS
  - Or, 1 terminal symbol on the RHS
- Bottom-up parsing stores phrases formed from all substrings in a triangular table(chart)

#### **Conversion to CNF**

• Assuming we're dealing with an  $\epsilon$ -free grammar, there are three situations we need to address in any generic grammar:

#### 1. Rules that mix terminals with non-terminals on the right-hand side

- Solution: introduce a new dummy non-terminal that covers only the original terminal.
- Example: a rule for an infinitive verb phrase such as INF-VP → to VP would be replaced by the two rules INF-VP → TO VP and TO → to

#### 2. Rules with a single non-terminal on the right are called unit productions

 Solution: Unit productions are eliminated by rewriting the right-hand side of the original rules with the right-hand side of all the non-unit production rules that they ultimately lead to. • **Example:** More formally, if A  $*\Rightarrow$  B by a chain of one or more unit productions, and B $\rightarrow \gamma$  is a non-unit production in our grammar, then we add A  $\rightarrow \gamma$  for each such rule in the grammar, and discard all the intervening unit productions.

#### 3. Rules with right-hand sides longer than two

- **Solution:** Introduction of new non-terminals that spread the longer sequences over several new productions.
- **Example:** if we have a rule like  $A \rightarrow B C\gamma$ 
  - we replace the leftmost pair of non-terminals with a new non-terminal and introduce a new production result in the following new rules.
    - $X1 \rightarrow BC$
    - A  $\rightarrow$  X1  $\gamma$

## Conversion to CNF: Example

#### **Original Grammar**

#### $S \rightarrow NPVP$ $S \rightarrow Aux NP VP$ $S \rightarrow VP$ NP → Pronoun NP → Proper-Noun NP → Det Nominal Nominal → Noun Nominal → Nominal Noun Nominal → Nominal PP VP → Verb VP → Verb NP $VP \rightarrow VP PP$ PP → Prep NP Pronoun $\rightarrow$ I | he | she | me Noun → book | flight | meal | money Verb → book | include | prefer Proper-Noun → Houston | NWA

#### **Chomsky Normal Form**

```
S \rightarrow NP VP
S \rightarrow X1 VP
X1 \rightarrow Aux NP
S \rightarrow book \mid include \mid prefer
S → Verb NP
S \rightarrow VPPP
NP \rightarrow I \mid he \mid she \mid me
NP → Houston | NWA
NP → Det Nominal
Nominal → book | flight | meal | money
Nominal → Nominal Noun
Nominal → Nominal PP
VP → book | include | prefer
VP → Verb NP
VP \rightarrow VP PP
PP → Prep NP
Pronoun \rightarrow I | he | she | me
Noun → book | flight | meal | money
Verb → book | include | prefer
Proper-Noun → Houston | NWA
```

The entire conversion process can be summarized as follows:

- Copy all conforming rules to the new grammar unchanged
- 2. Convert terminals within rules to dummy non-terminals
- 3. Convert unit-productions
- 4. Binarize all rules and add to new grammar

## **CKY Parsing**

- Involves parsing substrings of length 1, then length 2, and so on until the entire string has been parsed.
- This is useful because the shorter substrings from previous iterations can be used when applying grammar rules to parse the longer substrings.

- Let n be the number of words in the input. Think about n + 1 lines separating them, numbered 0 to n.
- x<sub>ij</sub> will denote the words between line i and j
- We build a table so that x<sub>ij</sub> contains all the possible non-terminal spanning for words between line i and j.
- We build the Table bottom-up.

- For a sentence of length n, we will work with the upper-triangular portion of an  $(n+1)\times(n+1)$  matrix.
  - Each **cell** [*i*, *j*] in this matrix contains a set of non-terminals that represent all the constituents that span positions *i* through *j* of the input

### **CKY parsing for CFG**

a	pilot 2	likes	flying	planes 5
1	2	3	4	5

 $S \rightarrow NP VP$  $VP \rightarrow VBG NNS$ 

VP → VBZ VP VP → VBZ NP

 $NP \rightarrow DT NN$ 

NP - JJ NNS

 $DT \rightarrow a$ 

NN → pilot

VBZ → likes

 $VBG \rightarrow flying$ 

JJ → flying

NNS -- planes

a 1	pilot 2	likes 3	flying 4	planes 5	
DT					S → NP VP VP → VBG NI VP → VBZ VF VP → VBZ NF
	er <sup>e</sup>				NP → DT NN NP → JJ NNS # DT → 3 NN → pilot VBZ → likes
	u.				VBG → flying  JJ → flying  NNS → planes

Step 1

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP			
	NN			
		-	+	

S → NP VP VP → VBG NNS VP → VBZ VP VP → VBZ NP NP → DT NN NP → JJ NNS DT → a NN → pilot VBZ → likes VBG → flying JJ → flying NNS → planes

Step 3

	planes
4	5

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

Step 2

a 1	pilot 2	likes 3	flying 4	planes 5	
DT	NP				
	NN				
		VBZ			
				9	*

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ NP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow llivas$   $VBG \rightarrow flying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

Step 4

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP			
	NN	js:		
		VBZ		
	-		-	

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$  $NN \rightarrow planes$ 

Step 5

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP	G.		
	NN	-		
		VBZ		
			וו	
		1		

S — NP VP VP — VBG NNS VP — VBZ VP VP — VBZ NP NP — DT NN NP — JJ NNS DT — a NN — pilot VBZ — filving JJ — flying NNS — planes

Step 7

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP	3		,
	NN	-		
		VBZ		
	-	-	-	

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow DT \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow fikes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

Step 6

a	pilot	likes	flying	planes
1	2	3	4	5
DT	NP			
	NN	-		
		VBZ		
			JJ VBG	

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow liying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

Step 8

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP			
	NN	V.Es	323	
		VBZ		
			)J VBG	

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ NP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

#### Step 9

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP	3	. 2	
	NN	-	-	
		VBZ	5	
			JJ VBG	NP
				NNS

 $VP \rightarrow VBG\ NNS$   $VP \rightarrow VBZ\ VP$   $VP \rightarrow VBZ\ NP$   $NP \rightarrow DT\ NN$   $NP \rightarrow JJ\ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$   $NNS \rightarrow planes$ 

S - NP VP

Step 11

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP	ii-	-	
	NN	Įši.	ī	
		VBZ	2	
			JJ VBG	
				NNS

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow z$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$  $NNS \rightarrow planes$ 

Step 10

a 1	pilot 2	likes 3	flying 4	planes 5	
DT	NP		2		# VP → VBG NNS
	NN	-	1007		$VP \rightarrow VBZ VP$ $VP \rightarrow VBZ NP$ $NP \rightarrow DT NN$
		VBZ	-		NP → JJ NNS DT → a NN → pilot VBZ → likes
			JJ VBG	NP VP	VBG — flying
				NNS	

Step 12

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP		-	S
	NN	e e	3	2
		VBZ	ā	VP VP
			JJ VBG	NP VP
				NNS

VP → VBG NNS
VP → VBZ VP
VP → VBZ NP
NP → DT NN
NP → JJ NNS
DT → a
NN → pilot
VBZ → likas
VBG → flying
JJ → flying

NNS - planes

 $S \rightarrow NP VP$ 

Step13

a 1	pilot 2	likes 3	flying 4	planes 5
DT	NP	-	-	SS
	NN			
		VBZ	-	VP VP
			JJ VBG	NP VP
				NNS

 $S \rightarrow NP \ VP$   $VP \rightarrow VBG \ NNS$   $VP \rightarrow VBZ \ VP$   $VP \rightarrow VBZ \ NP$   $NP \rightarrow DT \ NN$   $NP \rightarrow JJ \ NNS$   $DT \rightarrow a$   $NN \rightarrow pilot$   $VBZ \rightarrow likes$   $VBG \rightarrow flying$   $JJ \rightarrow flying$   $NNS \rightarrow planes$ 

## **CKY Algorithm**

```
function CKY-PARSE(words, grammar) returns table

for j \leftarrow from 1 to LENGTH(words) do

table[j-1,j] \leftarrow \{A \mid A \rightarrow words[j] \in grammar\}

for i \leftarrow from j-2 downto 0 do

for k \leftarrow i+1 to j-1 do

table[i,j] \leftarrow table[i,j] \cup

\{A \mid A \rightarrow BC \in grammar,

B \in table[i,k],
C \in table[k,j]\}
```

#### STATISTICAL PARSING

• The CKY algorithm could represent some ambiguities but were not equipped to resolve them.

 A probabilistic parser offers a solution to the problem: compute the probability of each interpretation, and choose the most-probable interpretations.

• The most commonly used probabilistic grammar is the **probabilistic** context-free grammar (PCFG), a probabilistic augmentation of context-free grammars in which each rule is associated with a probability

#### PROBABILISTIC CONTEXT-FREE GRAMMARS

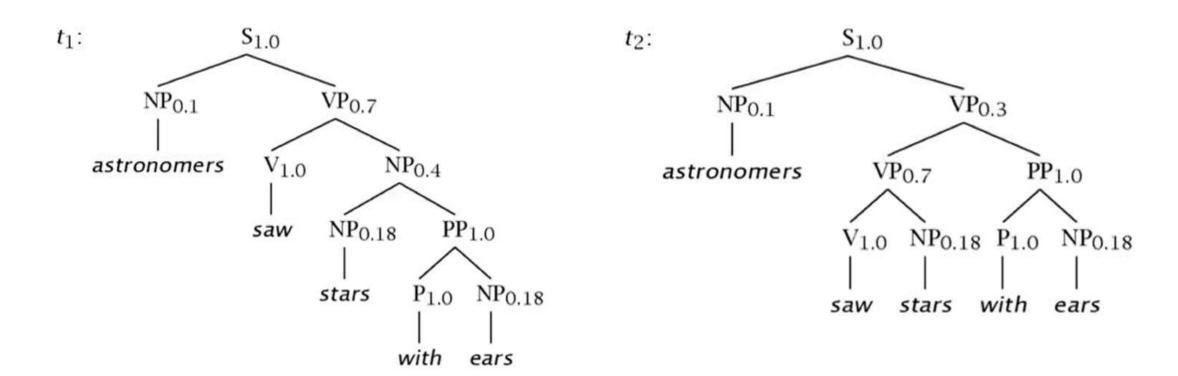
- PCFG differs from CFG by augmenting each rule in R with a conditional probability:  $A \rightarrow b [p]$
- Here *p* expresses the probability that the given non-terminal *A* will be expanded to the sequence b. That is, *p* is the conditional probability of a given expansion b given the left-hand-side (LHS) non-terminal *A*.
- We can represent this probability as  $P(A \rightarrow b)$  or as  $P(A \rightarrow b|A)$  or as P(RHS|LHS)
- Thus if we consider all the possible expansions of a non-terminal, the sum of their probabilities must be 1

$$\sum_{\beta} P(A \to \beta) = 1$$

# A Simple PCFG (in CNF)

S	$\rightarrow$	NP VP	1.0	NP →	NP PP	0.4
VP	$\rightarrow$	V NP	0.7	NP →	astronomers	0.1
VP	$\rightarrow$	VP PP	0.3	NP →	ears	0.18
PP	<b>→</b>	P NP	1.0	NP →	saw	0.04
P	$\rightarrow$	with	1.0	NP →	stars	0.18
V	->	saw	1.0	NP →	telescope	0.1

## Example trees



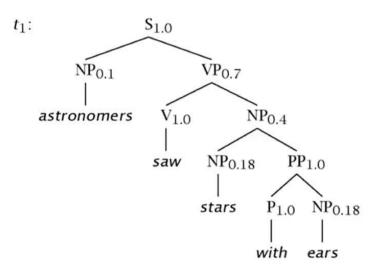
### **Probabilities of Trees and Strings**

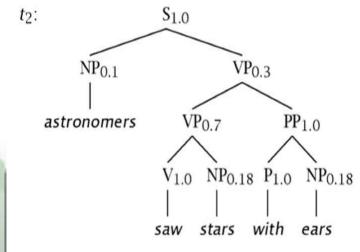
- P(t): The probability of tree is the product of the probabilities of the rules used to generate it
- P(w<sub>1n</sub>): The probability of the string is the sum of the probabilities of the trees which have that string as their yield

#### **Probabilities of Trees and Strings**

#### Probabilities

- Parse tree 1:  $.05 \times .20 \times .30 \times .20 \times .60 \times .20 \times .75 \times .10 \times .30 = 1.62 \times 10^{-6}$
- Parse tree 2:  $.05 \times .05 \times .30 \times .20 \times .60 \times .75 \times .10 \times .15 \times .75 \times .30 = 2.28 \times 10^{-7}$





#### Features of PCFG

- As the number of possible trees for a given input grows, a PCFG gives some idea of the plausibility of a particular parse
- But the probability estimates are based purely on structural factors, and do not factor in lexical co-occurrence. Thus, PCFG does not give a very good idea of the plausibility of the sentence.
- Real text tends to have grammatical mistakes. PCFG avoids this problem by ruling out nothing, but by giving implausible sentences a low probability
- In practice, a PCFG is a worse language model for English than an n-gram model
- All else being equal, the probability of a smaller tree is greater than a larger tree

## **CKY for PCFG**

a 1	pilot 2	likes 3	flying 4	planes 5	$S \rightarrow NP VP$	[1.0]
					$VP \rightarrow VBG \ NNS$ $VP \rightarrow VBZ \ VP$ $VP \rightarrow VBZ \ NP$ $NP \rightarrow DT \ NN$ $NP \rightarrow JJ \ NNS$ $DT \rightarrow a$ $NN \rightarrow pilot$ $VBZ \rightarrow likes$ $VBG \rightarrow flying$ $JJ \rightarrow flying$ $NNS \rightarrow planes$	[1.0] [0.1] [0.3] [0.3] [0.4] [0.3] [0.1] [0.4] [0.5] [0.1] [.34]

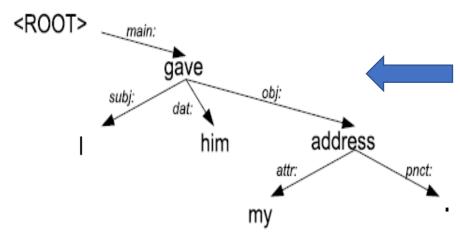
## **CKY for PCFG**

а 1	pilot 2	likes 3	flying 4	planes 5
DT [0.3]	NP [.009]	:= :	-:	S &. [1.4688×10 <sup>-5</sup> ] S [6.12×10 <sup>-6</sup> ]
	NN [0.1]			:=
		VBZ [0.4]		VP [.001632] VP [.00068]
			JJ [0.1] VBG [0.5]	NP [.0136] VP [.017]
				NNS [.34]

#### **Dependency Grammars**

- **Dependency grammars** that are becoming quite important in speech and language processing, where constituents and phrase-structure rules do not play any fundamental role.
- Instead of that, the syntactic structure of a sentence is described purely in terms of words and binary semantic or syntactic relations between these words.
- It follows the notion of traditional grammar "parsing a sentence into subject and predicate" that is based on lexical relations rather than constituent relations.

#### **Dependency Grammars**



The links are drawn from a fixed inventory of around 35 relations, most of which roughly represent grammatical functions or very general semantic relations.

Shows an example parse of the sentence I gave him my address, using the dependency grammar formalism.

Note that there are no non-terminal or phrasal nodes; each link in the parse tree holds between two lexical nodes (augmented with the special <ROOT> node).

Dependency Description	on
------------------------	----

subj	syntactic subject
obj dat	direct object (incl. sentential complements)
dat	indirect object
pcomp	complement of a preposition
comp	predicate nominals (complements of copulas)
tmp loc	temporal adverbials
loc	location adverbials
attr	premodifying (attributive) nominals (genitives, etc.)
mod	nominal postmodifiers (prepositional phrases, etc.)

#### **Dependency Grammars**

- Advantage of dependency formalisms is the strong predictive parsing power that words have for their dependents.
- Knowing the identity of the verb is often a very useful cue for deciding which noun is likely
  to be the subject or the object.
- Another advantage of pure dependency grammars is their ability to handle languages with relatively free word order.
  - For example an object might occur before or after a location adverbial or a comp.

- A phrase-structure grammar would need a separate rule for each possible place in the parse tree such that an adverbial phrase could occur.
- A dependency grammar would just have one link-type representing this particular adverbial relation. Thus a dependency grammar abstracts away from word-order variation, representing only the information that is necessary for the parse.

#### **Exercise**

- 1. Show the CYK Algorithm with the following example:
  - CNF grammar G
    - S→ AB | BC
    - A→ BA | a
    - B→CC | b
    - C→AB | a
  - w is ababa
  - Whether ababa is in L(G)?

2. Use the CKY algorithm to find the parse tree for "Book the flight through Houston" using the CNF form shown below.

```
S \rightarrow NP VP
                              S \rightarrow NPVP
S \rightarrow Aux NP VP
                              S \rightarrow XIVP
                              XI \rightarrow Aux NP
S \rightarrow VP
                              S \rightarrow book \mid include \mid prefer
                              S - Verb NP
                              S \rightarrow X2PP
                              S - Verb PP
                              S \rightarrow VPPP
NP - Pronoun
                              NP \rightarrow I \mid she \mid me
NP → Proper-Noun
                              NP → TWA | Houston
NP → Det Nominal
                              NP → Det Nominal
Nominal → Noun
                              Nominal → book | flight | meal | money
Nominal → Nominal Noun | Nominal → Nominal Noun
Nominal → Nominal PP
                              Nominal → Nominal PP
VP → Verb
                              VP → book | include | prefer
                              VP → Verb NP
VP \rightarrow Verb NP
VP → Verb NP PP
                              VP \rightarrow X2 PP
                              X2 → Verb NP
                              VP → Verb PP
VP → Verb PP
VP \rightarrow VP PP
                              VP \rightarrow VPPP
PP - Preposition NP
                              PP -> Preposition NP
```

# **END**