



# Reading Notes for Physics 535

## General Relativity

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September 3, 2023

# Contents

# Chapter 1

## $k$ -Calculus

### 1.1 Galilean Transformation

In Newtonian mechanics, we apply Galilean transformations when changing perspectives between (inertial) frames. Suppose a point  $P$  in frame  $S'$  is measured to have spacetime coordinate  $P_{S'}(x', y', z', t')$ , with **Galilean transformation**, its spacetime coordinate in  $S$  is given by:

$$P_S(x' + vt, y', z', t')$$

### 1.2 Principles of Special Relativity

We start with a theory that underpins the Newtonian theory.

#### **restricted principle of special relativity**

All inertial observers are equivalent as far as the dynamical experiments are concerned.

This states that observers should observe the same result for identical dynamical experiments that are performed in inertial frames. However, Einstein realized that there are no *purely dynamical* experiments, and therefore modified the statement and obtained the first postulate of special relativity.

#### **Postulate I. Principle of Special Relativity**

All inertial observers are equivalent.

### 1.3 The Constancy of Velocity of Light

To describe events (points in spacetime) with  $k$ -calculus, we use “radar methods” to measure time and distance, that is, sending light signals to the event and receive the signal reflected from it. By setting the speed of light equals 1 with natural units, we can define the distance of an object by half of the time difference between emission and reception. The reason to use light is the second postulate, which resulted from observation on binary stars.

#### **Postulate I. Constancy of Velocity of Light**

The velocity of light  $c$  is the same in all inertial systems.

Physically, the velocity of light takes a numerical value of  $c = 2.9979 \times 10^8 \text{m/s}$ . However, in discussions about relativity, we usually adopt natural units, in which  $c := 1$ .

## 1.4 Lorentz Transformation

From the two postulates, we are able to derive the transformation, equivalent to Galilean's, but in a relativistic configuration.

Suppose frame  $S'$  is moving (away) at a speed  $v$  with respect to frame  $S$  in  $x$ -direction. Given the measurements  $P(x, y, z, t)$  in frame  $S$ , the measurement by an observer in frame  $S'$  is given by:

$$P' \left( \frac{t - vx}{\sqrt{1 - v^2}}, \frac{x - vt}{\sqrt{1 - v^2}}, y, z \right)$$

We noticed that:

$$\lim_{v \rightarrow 0} P' = P$$

## 1.5 The Four-Dimensional World View

In Lorentz transformation, we see  $x$  dependence in  $t$ , this is a major difference from Galilean point of view, in which the absolute time frame is invariant. It is also useful to notice the symmetry in space and time, and the mixing of space and time. Quoting Minkowski, "Henceforth space by itself, and time by itself are doomed to fade away into mere shadows, and only a kind of union of the two will preserve an independent reality", we try to discover a quantity that remains invariant under Lorentz Transformations like  $\Delta t$  and  $\Delta r$  under Galilean Transformations.

We define the metric in Minkowski space-time (the space of  $(t, x, y, z) \in \mathbb{R}^4$ ) to be

$$\Delta s^2 = (t_1 - t_2)^2 - (x_1 - x_2)^2 - (y_1 - y_2)^2 - (z_1 - z_2)^2$$

It is the squared interval between events  $(t_1, x_1, y_1, z_1)$  and  $(t_2, x_2, y_2, z_2)$ . Infinitesimally, we have:

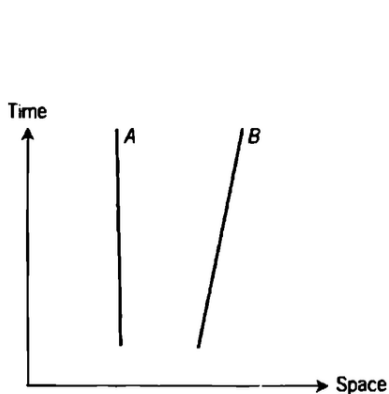
$$ds^2 = dt^2 - dx^2 - dy^2 - dz^2$$

This quantity ( $\Delta s^2$ , or  $ds^2$ ) is invariant under Lorentz Transformation.

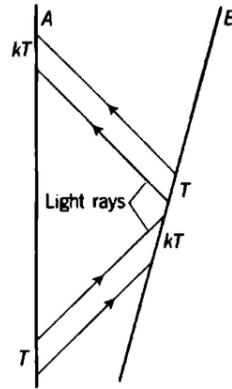
Note, the Lorentz Transformation defined in the previous section is only the valid when the two frames are oriented in the standard way - the relative velocity between the two are in  $x$ -direction. When dealing with a more general orientation case, one can always apply rotation to turn the configuration in to a standard one, as long as the relative velocity  $v$  is a fixed vector without acceleration.

## 1.6 The $k$ -factor

We can finally introduce  $k$ -calculus in the study of special relativity. For simplicity, let's start from 2-dimension spacetime  $(t, x)$ .



**Fig. 2.6** The world-lines of observers  $A$  and  $B$ .

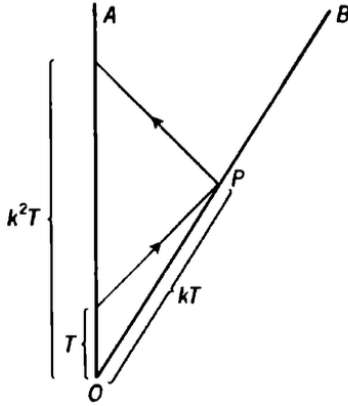


**Fig. 2.7** The reciprocal nature of the  $k$ -factor.

Figure 1-1: World lines of observer  $A$  in  $S$  and  $B$  in  $S'$ ,  $S'$  is moving away from  $S$  with a speed  $v$  in  $x$  direction. The light rays form an angle of  $\pi/4$  with respect to the  $x$ -axis as we are using the natural unit where  $c := 1$ .

In Fig 2.7 of 1-1, we see that  $A$  sent 2 light rays to  $B$  with a time interval  $T$ . It is reasonable to assume that the interval between receiving two light rays measured by  $B$  is  $kT$ , proportional to  $T$  by a constant  $k$ . Obviously,  $k$ -factor is a quantity that is characteristic of the motion of  $B$  relative to  $A$ . We also assume that if  $A$  and  $B$  are inertial observers,  $k$  is constant in time. While doing this, we are implicitly assuming a linear relationship between the space and time coordinates of  $A$  and  $B$ .

For simplicity of calculation, we use the configuration described by the figure below.



**Fig. 2.9** Relating the  $k$ -factor to the relative speed of separation.

Fig 1-2. assume that  $A$  and  $B$  synchronize their clocks to zero when they cross at event  $O$ . After a time  $T$ ,  $A$  sends a signal to  $B$ , which is reflected back at event  $P$ . From  $B$ 's point of view, a light signal is sent to  $A$  after a time lapse of  $kT$  by  $B$ 's clock.

There are two ways of calculating the  $k$ -factor, with or without the Lorentz Transform.

### Method 1

In this method, we calculate the coordinate of  $P$  in  $A$ 's frame, and apply Lorentz transformation to obtain the time interval measured with  $B$ 's watch. Let  $A_s$  be the event where  $A$  sends the signal and  $A_r$  be the time  $A$  receives the signal from  $B$ .

We have:

$$\begin{aligned} A_s P : \quad t &= x + T \\ O P : \quad t &= \frac{x}{v} \end{aligned}$$

calculate the coordinate of intersection  $P$ , we obtain:

$$\begin{aligned} t_P &= \frac{1}{1-v}T, x_P = \frac{v}{1-v}T \\ A_r P : \quad -1(t - t_P) &= x - x_P \end{aligned}$$

This gives  $k^2T$ , or the length of  $A_r O$ :

$$k^2T = \frac{1+v}{1-v}T$$

We can confirm that the calculation of  $k$  is correct by doing the following. With the coordinate of  $P$ , apply Lorentz transformation:

$$\begin{aligned} t'_P &= \frac{t_P - vx_P}{\sqrt{1-v^2}} = \sqrt{\frac{1+v}{1-v}}T \\ x'_P &= t'_P = \frac{x_P - vt_P}{\sqrt{1-v^2}} = 0 \end{aligned}$$

Therefore, from the perspective of  $B$ , he did not move in his frame while his time advanced by  $\sqrt{\frac{1+v}{1-v}}T$ . The calculation in  $B$ 's frames gives the value of  $k$  while the calculation in  $A$ 's frame gives the value of  $k^2$ . Since  $k_A^2 = k_B^2$ , we confirm the calculation result to be correct. Note, when  $B$  is approaching  $A$ , we can easily obtain the value of  $k$  by switching  $v$  to  $-v$ .

### Method 2 (book method)

We can simply mark the coordinate the coordinate of  $P$  according to  $A$ 's clock:

$$(t_P, x_P)_A = \left( \frac{1}{2}(k^2 + 1)T, \frac{1}{2}(k^2 - 1)T \right)$$

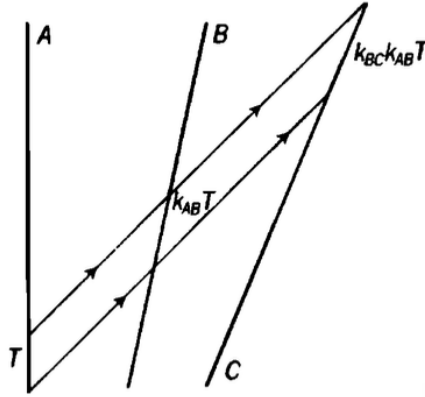
we can get velocity form linearity in assumption:

$$v = \frac{x}{t} = \sqrt{\frac{1+v}{1-v}}T$$

Note, we require  $k > 1$  for separating observers and  $k < 1$  for approaching observers. This is the commonly known "Doppler Shift", while  $k > 1$  represents a **redshift**, and  $k < 1$  represents a **blueshift**.

## 1.7 Composition Laws for Velocities

Consider the configuration described in Figure 1-3.



**Fig. 2.11** Composition of  $k$ -factors.

Figure 1-3: In this configuration, there are 3 observers  $A, B, C$ .  $k_{AB}$  denotes the  $k$ -factor between  $A$  and  $B$ , with  $k_{AC}$  and  $k_{BC}$  defined similarly.

It follows immediately that

$$k_{AC} = k_{AB}k_{BC}$$

$$v_{AC} = \frac{v_{AB} + v_{BC}}{1 - v_{AB}v_{BC}}$$

In the classical limit where  $v_{AB}, v_{BC} \ll 1$ , we have:

$$v_{AC} = v_{AB} + v_{BC}$$

When either  $v_{AB}$  or  $v_{BC}$  is the velocity of light, we have:

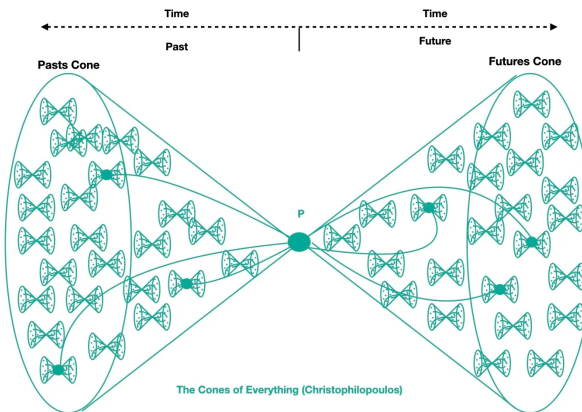
$$v_{AC} = 1$$

From the composition law, we can show that, if we add two speeds less than the speed of light, then we again obtain a speed less than the speed of light. This does not mean, as is sometimes stated, that nothing can move faster than the speed of light in special relativity, but rather that the speed of light is a border which can not be crossed or even reached. More precisely, special relativity allows for the existence of three classes of particles: **subluminal**, **luminal**, and **superluminal**.

## 1.8 Relativity of Simultaneity and Clock Paradox

Please refer to *d'Inverno's book section 2.10-2.11* for this. It is straight forward and easy to understand.

The most intriguing concept to me here is the definition of causality and causal contact.



## Chapter 2

# Key Attributes of Special Relativity

### 2.1 Standard Derivation of Lorentz Transformations

### 2.2