Contrast maintenance effects in Norwegian retroflexion

Sverre Stausland Johnsen
Phonology circle, MIT
Nov 30 2009

Norwegian retroflexes

- In Urban East Norwegian (UEN), a laminal coronal series /t d n s/ contrasts with a retroflex series /t d η s/
- /kat/ 'cat' /kat/ 'map'
- /bɔːd/ 'boring' /bɔːd/ a man's name
- /tuːn/ 'yard' /tuːn/ 'gymnastics'
- /mas/ 'nagging' /mas/ 'Mars'

Initial contrast

- In initial position, there is a laminal/retroflex contrast only for /s g/ before a vowel:
- /sɔː/ 'saw; so; sow' /sɔː/ 'exhibition'
- /sæɪr/ 'special' /sæɪr/ 'cut; sheer; skerry'
- /sει/ 'see' /şει/ 'happen; spoon'
- Before a consonant, /s §/ do not contrast:
- /spɔː/ 'predict' */şp-/
- /stær/ 'starling' */şt-/
- /ska:p/ 'closet; create' */sk-/

Norwegian retroflexion

- Retroflexes can also be derived across morpheme boundaries
- When a morpheme ends in /-r/, and the following morpheme begins with /t d n s/, the sequence surfaces as /t d η s/
- /vɔɪɾ-tæjn/ > /vɔː-tæjn/ 'spring sign'
- /voir-daig/ > /voi-daig/ 'spring day'
- /υɔɪɾ-nat/ > /υɔː-nat/ 'spring night'
- /υɔɪɾ-suɪr/ > /υɔː-ṣuɪr/ 'spring sun'
- /vɔːɾ-spi]/ > /vɔː-spi]/ 'spring games'
- /υɔɪɾ-stœɪυ/ > /υɔː-stœɪυ/ 'spring dust'
- /vɔɪɾ-skuː/ > /vɔː-skuː/ 'spring shoes'

Loss of contrast

- Under this condition, the contrast between initial /sV-/ and / ξ V-/ is lost:
- /sV-/, /şV-/ > /şV-/
- /vɔːɾ-sin/ > /vɔː-sin/ 'spring mind'
- /vɔːɾ-sin/ > /vɔː-sin/ 'spring shine'
- Before a consonant, no contrast is lost
- /sC-/ > /sC-/

Contrast maintenance

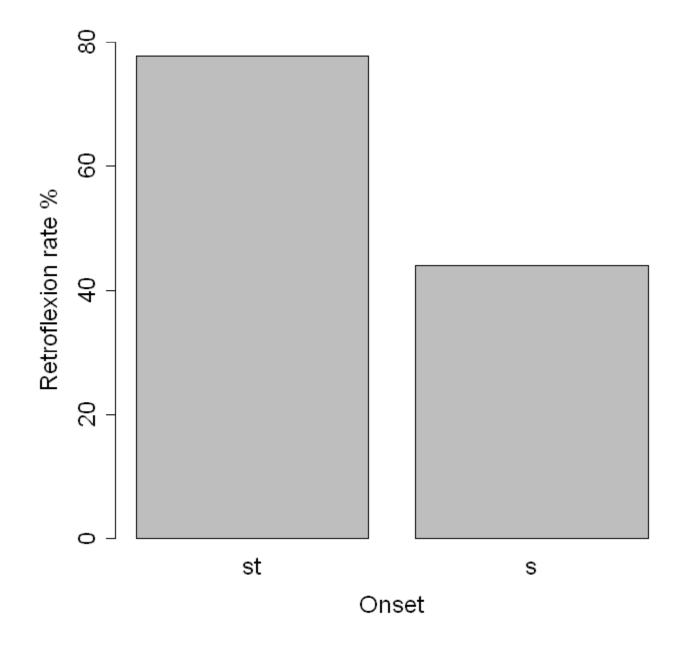
- Phonological rules are often inhibited when they would result in a loss of contrast (Kenstowicz 2005, Ichimura 2006)
- Lenition generally occurs only when no neutralization of contrast would ensue (Gurevich 2004)
- Vowels are less reduced in words that contrast with similar words (Wright 2003, Munson & Solomon 2004)

Contrast maintenance in UEN?

- Hypothesis:
- Retroflexion is inhibited in /sV-/, where retroflexion neutralizes a contrast, compared to /sC-/, where no contrast is neutralized
- In the literature:
- Retroflexion is categorical, and not affected by grammatical conditions (Eliasson 1986, Kristoffersen 2000, Torp 2007)

Experiment 1

- 10 UEN subjects
- Read nominal compounds bemmer-s..., where bemmer is a nonce word
- The s-words were 5 high frequent monosyllabic nouns in /sV-/ and 5 in /st-/
- 6 instances of each compound in a text. Each text read 4 times.
- 2416 items analyzed



Statistical analysis

- Linear mixed effects model
- Random effects: Subject, Word
- Fixed effects:
- Block (when in the experiment the subject read the text frame)
- Position (the position of the compound in the text frame)
- Frequency (log frequency of the s-word)
- Onset (/sV-/ vs. /sC-/)

Results

• Likelihood ratio test:

```
• Block p < .001 ***
```

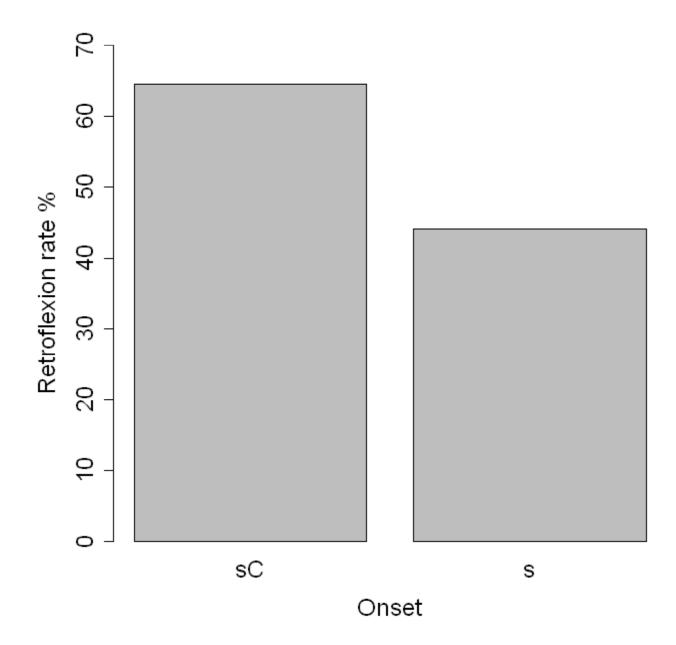
- Position p < .001 ***
- Frequency p > 0.45
- Onset p < .001 ***

Novel words

• Does the same effect of /sV-/ vs. /sC-/ appear for novel words?

Experiment 2

- Nominal compounds sommer-s..., where sommer = 'summer'
- s-word a monosyllabic nonce word in /sV/, /stV/, /skV/
- 3378 items analyzed



• Block p < .001 ***

• Position p < .001 ***

• Onset p < .001 ***

Origin

- Hypothesis confirmed:
- /sV-/ is less likely to undergo retroflexion than /sC-/
- Why?
- Will entertain a naturalistic cause-effect explanation, and not a teleological explanation ('in order to maintain contrast')

Lexical neighborhood

- The lexical neighborhood of a word = other words of the lexicon that are phonologically similar to that word
- The main effect of lexical neighborhood is located at the beginning of a word (Vitevitch 2002a)
- A retroflexed [§V-] token has a big neighborhood
- It is very similar to existing words in /§V-/, /¢V-/, and /sV-/
- Retroflexed [§C-] tokens have a smaller neighborhood
- They are similar to /sC-/ words (which they belong to), but no words in /sC-/ or /cC-/ exist

Lexical neighborhood

- Words with greater neighborhoods are more likely to be misidentified as one of their neighbors (Vitevitch 2002b, 2002c)
- And they are more likely to not be identified with any word at all (Luce & Pisoni 1998, Vitevitch & Luce 1999, Dirks et al. 2001)
- As a result, retroflexed [$\S V$ -] tokens are more likely not to be identified to their correct / $\S V$ -/ word category than retroflexed [$\S C$ -] tokens are

Phonotactic probability

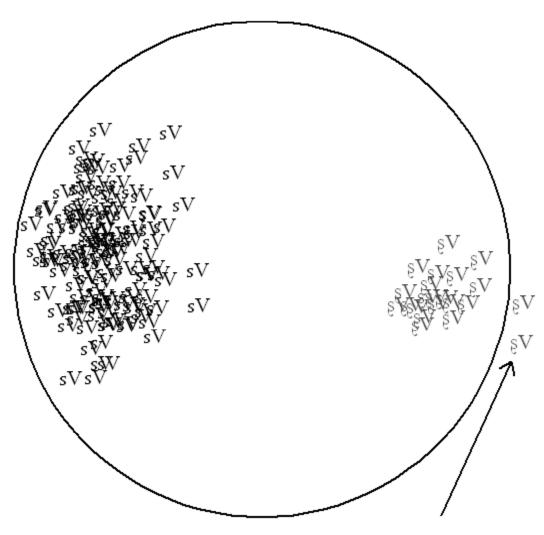
- Phonotactic probability = the probability of a certain phonotactic sequence in the lexicon
- [$\S V$ -] has a high phonotactic probability (/ $\S V$ -/ words & retroflexed tokens of / $\S V$ -/ words)
- [§C-] has a low phonotactic probability (only retroflexed tokens of /sC-/ words)

Phonotactic probability

- Non-words with a high phonotactic probability are more likely to be categorized as real words (Vitevitch & Luce 1999)
- The retroflexed tokens of /sεŋ/ 'bed' and /stæjn/ 'stone' are [şεŋ] and [ştæjn]
- Neither /şεŋ/ nor /ştæjn/ are UEN words
- But /şεŋ/ has a high phonotactic probability, /ştæjn/ does not
- Speakers are therefore more likely to err and categorize a token [sεŋ] as a word /sεŋ/ than to categorize a token [stæjn] as a word /stæjn/

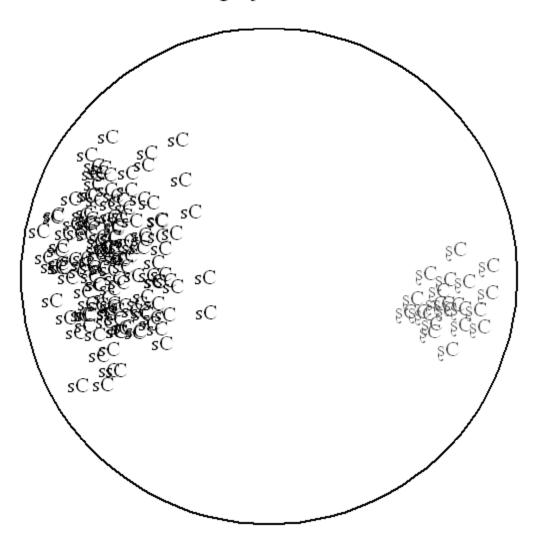
- The effect of these two factors (neighborhood & phonotactic probability) are the same:
- There is a higher chance that a retroflexed $[\S V-]$ token is not correctly identified than for a retroflexed $[\S C-]$ token
- This effect can be illustrated within an exemplar model

Category: /sV/-word

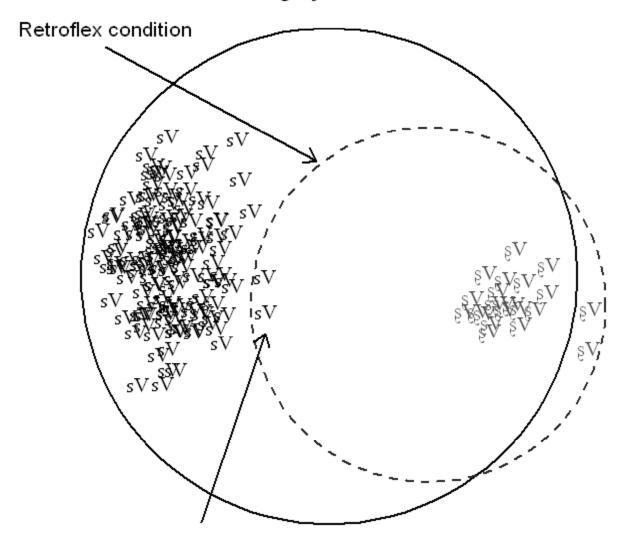


Tokens not identified

Category: /sC/-word



Category: /sV/-word

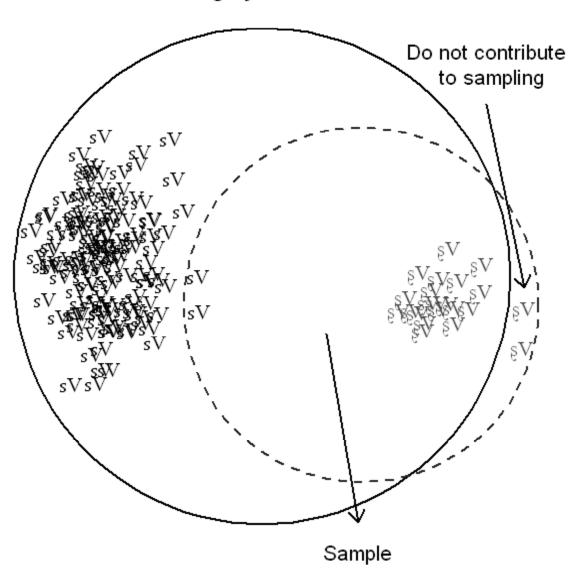


Hyperarticulated tokens

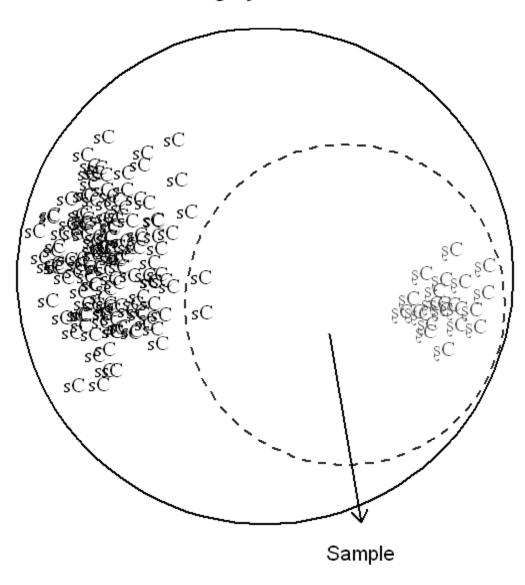
Production

- Production in the exemplar model:
- For category /x/, sample randomly from its identified tokens (Pierrehumbert 2002)
- UEN 'retroflex rule' = sample from the retroflex condition of the category

Category: /sV/-word



Category: /sC/-word



- There are more retroflex [$\S C$ -] tokens contributing to the sampling of a / $\S C$ -/ word in the retroflex condition than there are retroflex [$\S V$ -] tokens contributing for a / $\S V$ -/ word
- The likelihood of producing a retroflex token in the retroflex condition is therefore higher for /sC- / than for /sV-/
- Every perception/production loop adds some increased chance of /sV-/ and /sC-/ being different ⇒ a significant difference after numerous loops

Work to be done

- Calculate the lexical neighborhood and phonotactic probability of every item in experiment 1 & 2
- Add those values to the model
- See if the are significant predictors of retroflexion
- And if they cancel out the predicting value of the 'Onset' effect

References

- Dirks, D. et al. 2001. Examination of the neighborhood activation theory in normal and hearingimpaired listeners.
- Eliasson, S. 1986. Sandhi in Peninsular Scandinavian.
- Gurevich, N. 2004. Lenition and contrast.
- Ichimura, L. 2006. Anti-homophony blocking and its productivity in transparadigmatic relations.
- Kenstowicz, M. 2005. Paradigmatic uniformity and contrast.
- Kristoffersen, G. 2000. The phonology of Norwegian.
- Luce, P. & D. Pisoni. 1998. Recognizing spoken words: The neighborhood activation model.
- Munson, B. & N. Solomon. 2004. The effect of phonological neighborhood density on vowel articulation.
- Pierrehumbert, J. 2002. Word-specific phonetics.
- Torp, A. 2007. R ei urokråke i språket.
- Vitevitch, M. 2002a. Influence of onset density on spoken word recognition.
- Vitevitch, M. 2002b. The influence of phonological similarity neighborhoods on speech production.
- Vitevitch, M. 2002c. Naturalistic and experimental analyses of word frequency and neighborhood density effects in slips of the ear.
- Vitevitch, M. & P. Luce. 1999. Probabilistic phonotactics and neighborhood activation in spoken word recognition.
- Wright, R. 2003. Factors of lexical competition in vowel articulation

