# McGill University

# MULTIDISCIPLINARY DESIGN OPTIMIZATION MECH 579

Final Project: Shell and tube heat exchanger design optimization

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### 1 Introduction

Shell and tube heat exchangers are crucial in cooling hydraulic fluids, demanding a balance between heat transfer efficiency and cost-effectiveness. There are several applications of this type of heat exchanger in the industry. Optimization involves various components like shell, tube, and baffle to maximize conductivity while maintaining affordability. This project aims to enhance the heat conductivity of a shell and tube heat exchanger using Multi-disciplinary design Optimization.

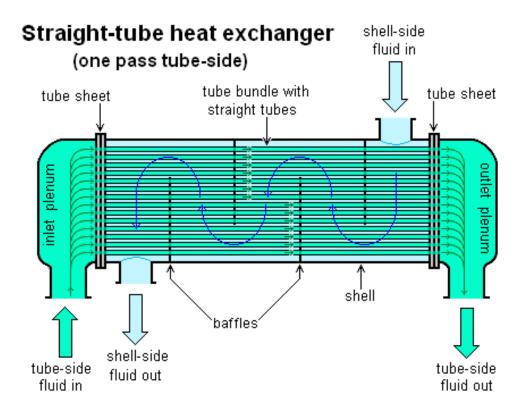


Figure 1: Shell and tube heat exchanger

Fig. 1 shows the diagram of a shell and tube heat exchanger. It is a one-pass tube side. The fluid to be cooled is water flowing in the tube, whereas the shell contains the hydraulic fluid used to cool the water. In this project, I have considered air as the cooling fluid. The entry temperature of the air is lower than the temperature of water.

# 2 Discipline

The following disciplines are involved in a Shell and Tube Heat Exchanger:

- 1. Structure Design
- 2. Thermal Engineering

# 3 Objective function

### 3.1 Structure Design Discipline

For efficient water cooling, a larger shell with more cooling fluid seems ideal, but beyond a point, increasing fluid volume doesn't enhance the cooling rate. Thus, determining the minimum shell size essential for required cooling efficiency is crucial for economic viability. This defines the objective function of the shell subsystem, f:

minimize 
$$F_s = 2.66175 \times L_t \times (D_{ot})^2 \times N_t$$

## 3.2 Thermal Engineering Discipline

Tubes play a vital role in preventing fluid contamination and facilitating efficient heat transfer. Increasing tube count directly enhances overall heat transfer. Objective: Minimize f to maximize the overall heat transfer coefficient U.

$$\text{minimize } F_t = \frac{1}{\mathbf{h}_i A_i (T_i - T_a)} + \left(\frac{1}{2\pi k L_t (T_o - T_i)}\right) \ln \left(\frac{D_{ot}}{D_{it}}\right) + \frac{1}{h_o A_o (T_{eW} - T_o)}$$

# 3.3 Combined objective function

minimize 
$$F = w_1(F_s) - (1 - w_1)F_t$$

where,  $w_1$  is the weight associated with the objective function. In this case, it will be varied from 0.1 to 0.9 in the step of 0.2.

# 4 Optimization problem formulation

```
minimize F = w_1(F_s(\mathbf{X_s}, \mathbf{Z}) - (1 - w_1)F_t(\mathbf{X_t}, \mathbf{Z}, T_o(\mathbf{X_t}, \mathbf{Z}, A))

with respect to \mathbf{X_s} \in R^3, \mathbf{X_t} \in R^3, \mathbf{Z} \in R^2

subject to Governing equation \hat{R}_k(\mathbf{X_t}, A, \mathbf{Z}, T_o(A, \mathbf{X_t}, \mathbf{Z})) = 0

subject to constraint \hat{C}_1(\mathbf{X_t}, \hat{R}_k, \mathbf{Z}, \operatorname{HTR}(\mathbf{X_t}, \hat{R}_k, \mathbf{Z})) > 0

subject to constraint \hat{C}_2(\mathbf{X_t}, \mathbf{Z}) > 0

subject to constraint \hat{C}_3(\mathbf{X_t}, \mathbf{Z}) > 0

subject to constraint \hat{C}_4(\mathbf{X_t}) > 0
```

 $T_o$  will be solved using the heat conduction governing equation given in the constraint section. HTR will be solved using the formulae given in the Design variable section.

# 5 Design variables

## 5.1 Global Variables (Z)

 $L_t = \text{length of a tube}$  $D_{ot} = \text{Outer tube diameter}$ 

#### 5.2 Local Variables

## Structure Design Discipline (X<sub>s</sub>)

 $D_s$  = Diameter of the shell - related to  $D_{ot}$  and  $L_t$  (discussed in section 5.4)

## Thermal Engineering Discipline (X<sub>t</sub>)

 $D_{it} = \text{Inner tube diameter}$ 

 $T_i$  = Temperature of the inner surface of the tube

 $T_o$  = Temperature of the outer surface of the tube

 $V_w$  = Velocity of the water A = Thermal constant (present in the governing equation)

# 5.3 Constant Variables and other parameters

#### Both disciplines

 $P_t$  - Pitch of the tube =  $1.5*D_{ot}$ 

 $N_t = \text{Number of tubes} - 5$ 

 $L_s$  - Length of the shell =  $1.1*L_t$ 

 ${\cal K}$  - Thermal conductivity of the tube

 $V_a$  - Velocity of the cooling fluid

 $T_a$  - Temperature of the cooling fluid

 $T_{eW}$  - Entry temperature of the water

 $T_{iW}$  - Exit temperature of the water

 $h_i$  - Heat transfer coefficient - inside pipe

 $h_o$  - Heat transfer coefficient - outside pipe

 $Re_i$  - Reynolds number - inside pipe

 $\mathrm{Re}_o$  - Reynolds number - outside pipe

 $\dot{M}$  - Mass flow rate of water

Q - Energy supplied from the water

## 5.4 Formulae relating variables

$$D_{s} = 0.660538 \left( \frac{A_{\text{tube}} \left( \frac{P_{t}}{D_{t}} \right)^{2} \cdot D_{t}}{L_{t}} \right)^{1/2}$$

$$h_{i} = \frac{\left( \frac{f}{2} \right) \left( \text{Re}_{i} - 1000 \right) \text{Pr}_{i}}{1 + 12.7 \left( \left( \text{Pr}^{2/3} - 1 \right) (f/2)^{0.5} \right)} \frac{k}{D_{it}}$$

$$h_{o} = 0.0237 \cdot \text{Re}_{o}^{0.618} \cdot \text{Pr}_{o}^{1/3} \cdot \frac{k}{D_{ot}}$$

$$\text{HTR} = \frac{k(T_{ot} - T_{it}) 2\pi D_{ot} L_{t}}{D_{ot} - D_{it}}$$

## 6 Constraints

| Constraint   | Definition  |  |  |  |  |  |
|--|---|--|--|--|--|--|
| $\hat{R}_k : \frac{d}{dr}(r\frac{dT}{dr}) = 0$   | Temperature across the thickness of                           |  |  |  |  |  |
|  | tube must satisfy the differential heat                       |  |  |  |  |  |
|  | conduction equation   |  |  |  |  |  |
| $\hat{C}_1: L_t/V_w - \left(\frac{Q(D_{ot} - D_{it})}{K(T_o - T_i)2\pi D_{ot} L_t}\right) > 0$ | Energy supplied from water must satisfy required cooling time |  |  |  |  |  |
| $\hat{C}_2: D_o - D_i - 0.0128 > 0$  | Difference between inner and outer                            |  |  |  |  |  |
|  | tube should be greater than 0.0128                            |  |  |  |  |  |
| $\hat{C}_3: D_i - D_o + 0.0215 > 0$  | Difference between inner and outer                            |  |  |  |  |  |
|  | tube should be less than 0.0215                               |  |  |  |  |  |
| $\hat{C}_4: T_o - T_i - 1 > 0$   | The outer tube temperature should al-                         |  |  |  |  |  |
|  | ways be greater than the inner temper-                        |  |  |  |  |  |
|  | ature by 1 $K$  |  |  |  |  |  |

Problem d)Write a code to solve the stated optimization problem using a direct or adjoint method to compute the derivatives. Show your derivation of the equations. Use a fixed step length (No line search is required) and select an appropriate point as your initial design point. Explain the choice of the initial design point.

Governing equation :  $\frac{d}{dr}(r\frac{dT}{dr}) = 0$ 

The following analytical equations can be derived from the above governing equation:

Analytical equation:  $T_o = A * \ln \frac{D_{ot}}{D_{it}} + T_i$ 

#### Method to find derivative

For finding the gradient and hessian of the objective function, automatic differentiation is used and this is calculated using the **Autograd** library. This gradient and hessian are then supplied to the scipy library for optimization.

#### **Initial Point Selection**

The design variable vector:  $\mathbf{X} = [\text{Inner tube dia, Outer tube dia, Inner tube temp, A (constant), Length of tube, Velocity of Water, Outer tube temp].$ 

The initial point:  $\mathbf{X} = [0.1, 0.21, 245, 30, 11, 0.11, 322].$ 

| Parameters | Bounds               |
|------------|----------------------|
| $D_{it}$   | 0.025  to  0.416  ft |
| $D_{ot}$   | 0.0416 to 0.429 ft   |
| $T_i$      | 243 to 322 K         |
| A          | 0 to 41              |
| $L_t$      | 5 to 12 ft           |
| $V_w$      | 0.1 to 3.0 $ft/s$    |
| $T_o$      | 273 to 322 K         |

Table 1: Bounds on the parameters for practical applications

For the length, the unit is taken in ft, temperature in K, and Velocity in ft/s. The inner and outer tube diameter is taken around 0.1 ft and 0.21 ft, respectively. The initial points are in the bounds for practical applications. The inner and outer temp is taken around 245 and 322 k, respectively. The initial velocity of water is taken in 0.11 ft/s, this has been selected as keeping in mind mass flow rate.

#### Weight $(W_1)$ for multiobjective function

For the contour plots and function optimization  $W_1 = 0.5$ . However, for plotting the Pareto front  $W_1$  is varying from 0.1 to 0.9 in the step of 0.2.

#### Constant variable values

| Parameters                            | Values                  |
|---------------------------------------|-------------------------|
| Prandtl number (cooling fluid) $Pr_l$ | 4.34                    |
| Prandtl number (water) $Pr_w$         | 6.90                    |
| Velocity (cooling fluid)              | 5 ft/s                  |
| Temperature (cooling fluid)           | 242 K                   |
| Thermal conductivity (steel)          | $0.00257 \; Btu/ft/s/F$ |
| Entry temperature (Water)             | 323 K                   |
| Exit temperature (Water)              | 273 K                   |
| Mass flow rate (Water)                | 2.94~Kg/s               |

Table 2: Constant value of the parameters

Problem e) Show a contour plot of the function, f(x) and overplot the optimization path.

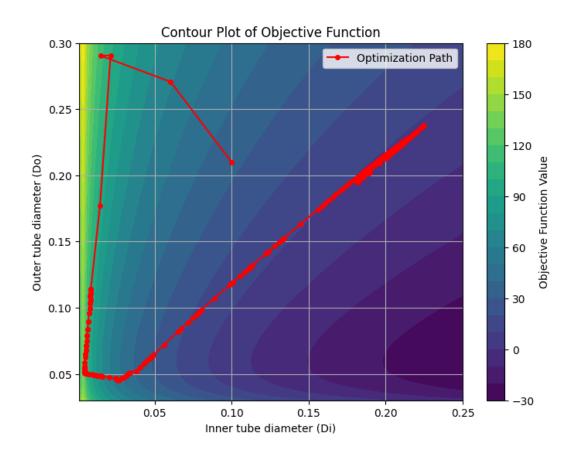


Figure 2: Contour plot and optimization path of algorithm

Fig. 2 shows the contour plot of the objective function based on two parameters inner and outer tube diameter. It also shows the optimization path for the algorithm. For plotting the objective function, these two parameters have been used. For this optimization weight is kept equal for both the objective functions from structure and thermal discipline. So, in this case,  $W_1 = 0.5$ .

The "trust-constr" method is used in the Scipy library for optimization. This method used the finite difference method to calculate the gradient of the lagrangian function and the constraint. The tolerance value of the convergence is set at the default value of 1E-08.

The final optimized point: X = [0.188, 0.201, 272, 15.234, 11.999, 0.249, 273].

Problem f) Provide convergence plots of the log of the norm of the gradient and the function value versus the design iterations.

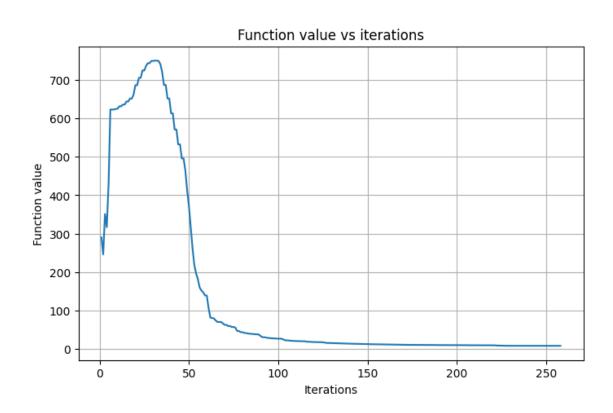


Figure 3: Convergence plot of the objective function vs iterations

Fig. 3 shows the minimization of the function value vs the number of iterations. Fig. 4 shows the convergence of the norm of the gradient vs the number of iterations. For the optimization problem, the gradient norm of the lagrangian function is reduced till 1E-08.

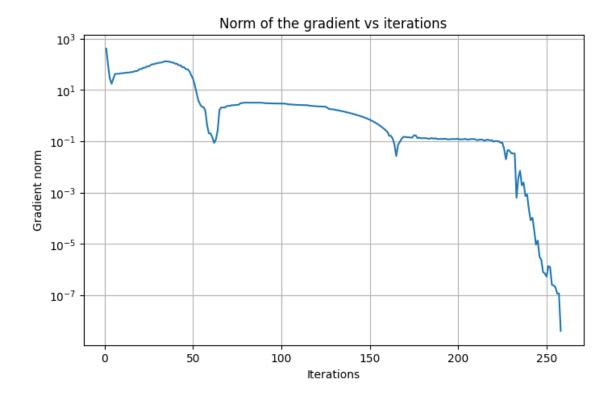


Figure 4: Convergence plot of the gradient norm vs iterations

Problem g) Demonstrate that at every design iteration, the governing equations are fully satisfied.

Governing equation :  $\frac{d}{dr}(r\frac{dT}{dr}) = 0$ 

The following analytical equations can be derived from the above governing equation:

Analytical equation:  $T_o = A * \ln \frac{D_{ot}}{D_{it}} + T_i$ 

The 1D heat conduction equation is used as the equality constraint in the above optimization problem. Fig. 5 shows the optimized values satisfying the governing equations at each iteration. For plotting the graph, the optimized point value at each iteration was put in the governing equation and the obtained governing equation value was plotted at each iteration.

The initial fluctuations in the values are because of the initial random point selection which does not satisfy the governing equation. But even if the initial points do not satisfy the governing equations, the new optimized points obtained in the next iterations satisfy the governing equations.

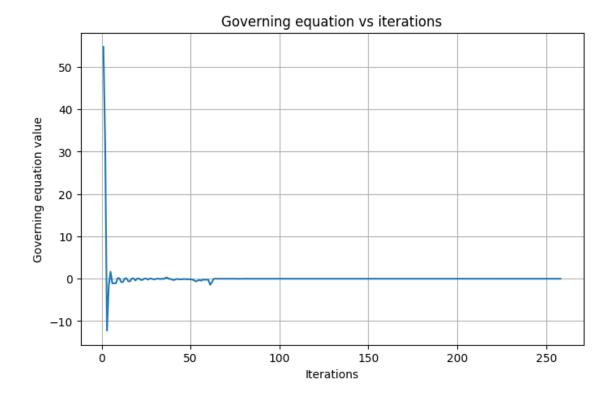


Figure 5: Plot showing the optimized values satisfying the governing equations at each iteration

#### Pareto front

Fig. 6 shows the Pareto front for the multiobjective function. As mentioned in the beginning, the objective function is the combination of two functions namely: the structure function and the thermal design function. To plot this Pareto front, weight is varied from 0.1 to 0.9 in the step of 0.2, and the function value is obtained at all the optimized points for multiobjective functions at each weight.

| Weight | $D_i$  | $D_o$  | $T_i$ | A     | $L_t$ | $V_w$ | $T_o$ |
|--------|--------|--------|-------|-------|-------|-------|-------|
| 0.1    | 0.325  | 0.338  | 272   | 25.39 | 11.99 | 0.419 | 273   |
| 0.3    | 0.233  | 0.246  | 272   | 18.72 | 11.99 | 0.304 | 273   |
| 0.5    | 0.188  | 0.201  | 272   | 15.23 | 11.99 | 0.249 | 273   |
| 0.7    | 0.151  | 0.163  | 271.9 | 13.06 | 11.99 | 0.215 | 273   |
| 0.9    | 0.0968 | 0.1096 | 271.1 | 14.9  | 11.99 | 0.251 | 273   |

Table 3: Optimized parameter value at each weight value

Table 3 shows the optimized parameters value at each of the weights.

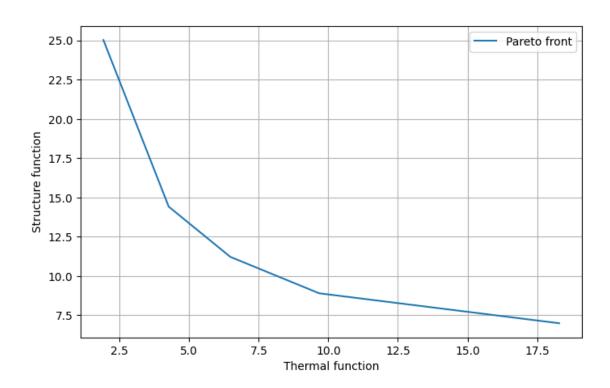


Figure 6: Plot showing the Pareto front for the multiobjective function

```
+*In[28]:*+
[source, ipython3]
import autograd.numpy as np
from autograd import grad, hessian
from scipy.optimize import minimize, approx_fprime
from scipy.optimize import NonlinearConstraint
from scipy.optimize import Bounds
import matplotlib.pyplot as plt
from mpl toolkits.mplot3d import Axes3D
+*In[29]:*+
[source, ipython3]
def obj func(X):
    x1, x2, x3, x4, x5, x6, x7 = X
    Di = x1
    Do = x2
    Ti = x3
    A = x4
    Lt = x5
    Vw = x6
    To = x7
    # constants
    Pr 1 = 4.34
    Pr_w = 6.90
    V1 = 5 \# ft/s
    T_{wi} = 323
    \#T \text{ we} = 273
    \#T 1 = 273
    Ta = 242
    k = 0.00257
    v = 1.05*0.00001  # visocosity of fluid - same for both
    r = 1.4*0.00001 # roughness of the pipe
    # variable
    Ai = (np.pi*Di**2)/4
    Ao = (np.pi*Do**2)/4
    Re_i = (Vl * Di)/v
    Re_o = (Vw * Do)/v
    w1 = 0.5
    \#To = A*np.log(Do/Di) + Ti
    S = np.log10(Re_i/1.816*np.log10(1.1*Re_i/np.log10(1+1.1*Re_i)))
    f = -2*np.log10(2.18*S/Re_i + r/(3.71*Di))
    Nu_i = ((f/2)*(Re_i-1000)*Pr_l)/(1+12.7*(Pr_l**(2/3)-1)*(f/2)**0.5)
```

```
Nu o = 0.0237*Re o**0.618*Pr w**(1/3)
    h i = Nu i*k/Di
    h_o = Nu_o*k/Do
    part_1 = 1/(h_i*Ai*(Ti - Ta))
    part_2 = (1/(2*np.pi*k*Lt))*A*np.log(Do/Di)
    part_3 = 1/(h_o*Ao*(T_wi - To))
    therm_val = part_1 + part_2 + part_3
    shell val = 2.66175 * Lt * Do**2 * 5
   obj_val = w1*shell_val + (1-w1)*therm_val
    return obj_val
def grad_obj_func(X):
    grad_fun = grad(obj_func)
    grad_val_fun = grad_fun(X)
    return grad_val_fun
def hess_obj_func(X):
   H fun = hessian(obj func)
    H_matrix_fun = H_fun(X)
    return H_matrix_fun
# Functions for constraint 1
def constraint_1(X):
    x1, x2, x3, x4, x5, x6, x7 = X # Fix variable name
    Di = x1
    Do = x2
   Ti = x3
   A = x4
   Lt = x5
    Vw = x6
   To = x7
   T_wi = 323
   T_we = 273
    m = 2.94
    cp = 0.998
    k = 0.00257
    Q = m*cp*(T_wi - T_we)
    \#To = A*np.log(Do/Di) + Ti
    const_val = (Lt/Vw) - (Q*(Do - Di))/(k*(To - Ti)*2*np.pi*Do*Lt) # Fix formula
```

```
# Functions for constraint 1
def constraint_2(X):
    x1, x2, x3, x4, x5, x6, x7 = X # Fix variable name
    Di = x1
   Do = x2
   Ti = x3
   A = x4
   Lt = x5
   Vw = x6
   To = x7
    const_val_2 = To - ((A*np.log(Do/Di)) + Ti) # Fix formula
    return const_val_2
# Functions for constraint 1
def constraint_3(X):
    x1, x2, x3, x4, x5, x6, x7 = X # Fix variable name
    Di = x1
   Do = x2
   Ti = x3
   A = x4
   Lt = x5
   Vw = x6
   To = x7
    const_val_3 = Do - Di - 0.0128 # Fix formula
    return const_val_3
# Functions for constraint 1
def constraint_4(X):
    x1, x2, x3, x4, x5, x6, x7 = X # Fix variable name
   Di = x1
   Do = x2
    Ti = x3
   A = x4
   Lt = x5
   Vw = x6
   To = x7
    const_val_4 = Di - Do + 0.0215 # Fix formula
    return const_val_4
```

return const\_val

```
# Functions for constraint 1
def constraint_5(X):
    x1, x2, x3, x4, x5, x6, x7 = X # Fix variable name
    Di = x1
    Do = x2
    Ti = x3
    A = x4
    Lt = x5
    Vw = x6
    To = x7
    \#To = A*np.log(Do/Di) + Ti
    const_val_5 = To - Ti - 1 # Fix formula
    return const_val_5
+*In[30]:*+
[source, ipython3]
_ _ _ _
# Define the values of the independent variables
X0 = np.array([0.1, 0.21, 245, 30, 11, 0.11, 322])
# Define bounds for variables
bounds = ((0.025, 0.416), # Di
          (0.0416, 0.429), # Do
          (243, 322), # Ti
          (0, 41), #A
          (5, 12), # Lt
          (0.1, 3.0), # Vw
          (273, 322)) # To
# Define multiple constraints
{'type': 'ineq', 'fun': constraint_3},
{'type': 'ineq', 'fun': constraint_4},
{'type': 'ineq', 'fun': constraint_5}]
# Initialize lists to store convergence data
itr_list = []
obj_list = []
grad_list = []
value_list = []
grad_lag = []
```

```
def callback function(xk, state):
   itr list.append(state.niter)
   obj_list.append(obj_func(xk))
   grad lag.append(state.optimality)
   value_list.append(xk)
   grad_list.append(np.linalg.norm(grad_obj_func(xk)))
   callback function.iteration += 1
callback_function.iteration = 0 # Initialize iteration count
# Minimize the objective function
#result = minimize(obj_func, X0, method='SLSQP', bounds=bounds,
constraints=constraints, callback=callback_function)
result = minimize(obj_func, X0, method='trust-constr', jac=grad_obj_func,
hess=hess_obj_func, \
             bounds=bounds, constraints=constraints, \
                options={'gtol': 1e-8,'xtol':1e-12, 'maxiter': 300, 'verbose': 3},
\
                callback=callback function);
# Extract the first and second elements of value_list
Di_val = [value[0] for value in value_list]
Do_val = [value[1] for value in value_list]
A_val = [value[3] for value in value_list]
Ti val = [value[2] for value in value list]
To_val = [value[6] for value in value_list]
# Print the result
print("Optimal solution:", result.x)
gov_val = []
for i in range(len(Ti val)):
   gov = To val[i] - (A val[i]*np.log(Do val[i]/Di val[i]) + Ti val[i])
   gov val.append(gov)
+*Out[30]:*+
| niter | f evals | CG iter | obj func | tr radius | opt | c viol | penalty
|barrier param|CG stop|
-----|
| +2.9070e+02 | 1.00e+00 | 4.02e+02 | 5.47e+01 | 1.00e+00 |
1.00e-01 | 0
                    | +2.4636e+02 | 7.00e+00 | 9.19e+01 | 3.16e+01 | 1.00e+00 |
   2 | 2 |
                4
1.00e-01
             2
                    | +3.5135e+02 | 7.71e+00 | 2.78e+01 | 1.23e+01 | 9.65e+00 |
   3 | 3 | 10
```

```
1.00e-01
                         | +3.1716e+02 | 9.29e+00 | 1.73e+01 | 1.69e+00 | 9.65e+00 |
    4
                   16
1.00e-01
               1
                         | +4.2760e+02 | 9.63e+00 | 2.79e+01 | 1.66e+00 | 1.11e+02 |
                   22
    5
            5
1.00e-01
                   27
                         | +6.2275e+02 | 9.63e+00 | 4.24e+01 | 1.08e+00 | 1.11e+02 |
    6
            6
1.00e-01
                         | +6.2275e+02 | 9.63e-01 | 4.24e+01 | 1.08e+00 | 1.11e+02 |
    7
                   32
1.00e-01
                         | +6.2275e+02 | 9.63e-02 | 4.24e+01 | 1.08e+00 | 1.11e+02 |
    8
            8
                   37
1.00e-01
               2
                         | +6.2466e+02 | 6.74e-01 | 4.42e+01 | 1.13e-01 | 1.11e+02 |
    9
                   39
            9
1.00e-01
               2
                         | +6.2466e+02 | 6.74e-02 | 4.42e+01 | 1.13e-01 | 1.11e+02 |
   10
           10
                   44
1.00e-01
                         | +6.3106e+02 | 4.72e-01 | 4.58e+01 | 7.96e-01 | 1.11e+02 |
   11
           11
                   45
1.00e-01
                         | +6.3106e+02 | 7.94e-02 | 4.58e+01 | 7.96e-01 | 1.11e+02 |
           12
                   49
   12
1.00e-01
               2
                   52
                         | +6.3564e+02 | 5.56e-01 | 4.73e+01 | 7.63e-02 | 1.11e+02 |
   13
           13
1.00e-01
               2
                         | +6.3564e+02 | 8.70e-02 | 4.73e+01 | 7.63e-02 | 1.11e+02 |
   14
           14
                   56
1.00e-01
               2
                         | +6.4350e+02 | 6.09e-01 | 4.96e+01 | 6.10e-01 | 1.11e+02 |
   15
           15
                   58
1.00e-01
               2
                         | +6.4350e+02 | 1.29e-01 | 4.96e+01 | 6.10e-01 | 1.11e+02 |
   16
           16
                   61
1.00e-01
               2
                         | +6.5127e+02 | 2.57e-01 | 5.35e+01 | 7.03e-02 | 1.11e+02 |
           17
                   64
   17
1.00e-01
               2
                         | +6.5127e+02 | 1.29e-01 | 5.35e+01 | 7.03e-02 | 1.11e+02 |
   18
           18
                   67
1.00e-01
               2
                         | +6.6140e+02 | 2.57e-01 | 5.74e+01 | 4.27e-01 | 1.11e+02 |
   19
           19
                   70
               2
1.00e-01
                   73
                         | +6.8594e+02 | 5.14e-01 | 6.50e+01 | 6.08e-02 | 1.52e+02 |
   20
           20
1.00e-01
               2
                         | +6.8594e+02 | 2.35e-01 | 6.50e+01 | 6.08e-02 | 1.52e+02 |
   21
           21
                   76
1.00e-01
               2
                         | +7.0517e+02 | 4.71e-01 | 7.36e+01 | 2.76e-01 | 1.52e+02 |
                   79
   22
           22
1.00e-01
                         | +7.0517e+02 | 2.35e-01 | 7.36e+01 | 2.76e-01 | 1.52e+02 |
           23
                   82
   23
1.00e-01
               2
                         | +7.2426e+02 | 4.71e-01 | 8.20e+01 | 5.14e-02 | 1.52e+02 |
           24
                   84
   24
1.00e-01
               2
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                        | +1.2744e+01 | 8.56e-02 | 4.88e-01 | 2.56e-04 | 1.97e+02 |
                  251
   154
           210
1.00e-01
               2
                        | +1.2660e+01 | 8.56e-02 | 4.40e-01 | 2.40e-04 | 1.97e+02 |
           211
                  252
   155
1.00e-01
              2
                   253
                        | +1.2581e+01 | 8.56e-02 | 3.94e-01 | 2.22e-04 | 1.97e+02 |
   156
           212
1.00e-01
               2
                        | +1.2506e+01 | 8.56e-02 | 3.49e-01 | 2.04e-04 | 1.97e+02 |
   157
           213
                   254
1.00e-01
               2
                        | +1.2435e+01 | 8.56e-02 | 3.07e-01 | 1.85e-04 | 1.97e+02 |
   158
           214
                   255
1.00e-01
                        | +1.2370e+01 | 8.56e-02 | 2.67e-01 | 1.64e-04 | 1.97e+02 |
          215
                  256
   159
1.00e-01
               2
                        | +1.2308e+01 | 1.71e-01 | 2.30e-01 | 1.42e-04 | 1.97e+02 |
   160
           216
                   257
1.00e-01
                        | +1.2196e+01 | 1.20e+00 | 1.62e-01 | 9.26e-06 | 1.97e+02 |
                   258
   161
           218
1.00e-01
                        | +1.2196e+01 | 1.20e-01 | 1.62e-01 | 9.26e-06 | 1.97e+02 |
           219
                   260
   162
               2
1.00e-01
                        | +1.2128e+01 | 8.39e-01 | 1.24e-01 | 1.60e-06 | 1.97e+02 |
   163
           221
                   261
1.00e-01
               2
                        | +1.1725e+01 | 1.68e+00 | 7.26e-02 | 2.90e-04 | 1.97e+02 |
   164
           223
                   262
1.00e-01
                        | +1.1662e+01 | 1.68e+00 | 2.64e-02 | 6.67e-04 | 1.97e+02 |
           224
   165
                   264
1.00e-01
                        | +1.1684e+01 | 1.68e+00 | 7.27e-02 | 1.68e-03 | 1.97e+02 |
           225
   166
                   266
1.00e-01
               2
                        | +1.1689e+01 | 3.36e+00 | 9.35e-02 | 4.77e-06 | 1.97e+02 |
                   268
   167
           227
1.00e-01
                        | +1.1644e+01 | 3.36e+00 | 1.24e-01 | 1.34e-05 | 1.97e+02 |
   168
           229
                   270
1.00e-01
                        | +1.1383e+01 | 6.08e+00 | 1.47e-01 | 9.72e-06 | 1.97e+02 |
   169
           231
                   273
1.00e-01
   170
                   275
                        | +1.1383e+01 | 6.08e-01 | 1.47e-01 | 9.72e-06 | 1.97e+02 |
           233
1.00e-01
               2
                        | +1.1317e+01 | 1.22e+00 | 1.42e-01 | 1.96e-04 | 1.97e+02 |
   171
           234
                   277
1.00e-01
               2
                        | +1.1317e+01 | 1.22e-01 | 1.42e-01 | 1.96e-04 | 1.97e+02 |
   172
           236
                   279
1.00e-01
                        | +1.1306e+01 | 1.22e-01 | 1.40e-01 | 1.42e-04 | 1.97e+02 |
   173
           237
                   281
1.00e-01
               2
                        | +1.1296e+01 | 8.51e-01 | 1.37e-01 | 1.66e-06 | 1.97e+02 |
   174
           239
                   283
1.00e-01
                        | +1.1255e+01 | 1.70e+00 | 1.69e-01 | 1.33e-04 | 1.97e+02 |
   175
           241
                   284
1.00e-01
                        | +1.1255e+01 | 1.70e-01 | 1.69e-01 | 1.33e-04 | 1.97e+02 |
           243
                   286
   176
1.00e-01
               2
                        | +1.1212e+01 | 3.40e-01 | 1.31e-01 | 8.63e-05 | 1.97e+02 |
   177
           245
                   288
1.00e-01
               2
                        | +1.1175e+01 | 3.40e-01 | 1.38e-01 | 7.93e-05 | 1.97e+02 |
  178
           247
                   290
```

```
1.00e-01
                        | +1.1143e+01 | 6.80e-01 | 1.30e-01 | 2.88e-05 | 1.97e+02 |
                   292
   179
           249
1.00e-01
                        | +1.1143e+01 | 6.80e-02 | 1.30e-01 | 2.88e-05 | 1.97e+02 |
           251
                   294
   180
1.00e-01
               2
                   295
                        | +1.1134e+01 | 4.76e-01 | 1.32e-01 | 9.10e-07 | 1.97e+02 |
   181
           253
1.00e-01
               2
                        | +1.1084e+01 | 9.53e-01 | 1.31e-01 | 1.02e-07 | 1.97e+02 |
   182
           255
                   296
1.00e-01
                        | +1.0985e+01 | 1.91e+00 | 1.25e-01 | 9.15e-07 | 1.97e+02 |
   183
           257
                   297
1.00e-01
                        | +1.0985e+01 | 1.91e-01 | 1.25e-01 | 9.15e-07 | 1.97e+02 |
           259
                   299
   184
1.00e-01
               2
                        | +1.0962e+01 | 3.81e-01 | 1.34e-01 | 6.74e-05 | 1.97e+02 |
   185
           261
                   300
1.00e-01
                        | +1.0921e+01 | 7.62e-01 | 1.25e-01 | 2.65e-05 | 1.97e+02 |
                   302
   186
           263
1.00e-01
                        | +1.0830e+01 | 7.62e-01 | 1.31e-01 | 6.34e-05 | 1.97e+02 |
           265
                   304
   187
1.00e-01
               2
                        | +1.0743e+01 | 5.33e+00 | 1.22e-01 | 1.69e-06 | 1.97e+02 |
   188
           267
                   306
1.00e-01
               2
                        | +1.0743e+01 | 5.33e-01 | 1.22e-01 | 1.69e-06 | 1.97e+02 |
   189
           269
                   308
1.00e-01
                        | +1.0743e+01 | 5.33e-02 | 1.22e-01 | 1.69e-06 | 1.97e+02 |
           271
   190
                   309
1.00e-01
                        | +1.0736e+01 | 3.73e-01 | 1.23e-01 | 2.79e-07 | 1.97e+02 |
           273
   191
                   310
1.00e-01
               2
                        | +1.0692e+01 | 2.61e+00 | 1.24e-01 | 7.41e-07 | 1.97e+02 |
   192
           275 l
                   311
1.00e-01
                        | +1.0692e+01 | 2.61e-01 | 1.24e-01 | 7.41e-07 | 1.97e+02 |
   193
           277
                   313
1.00e-01
                        | +1.0669e+01 | 5.23e-01 | 1.18e-01 | 4.66e-06 | 1.97e+02 |
   194
           279
                   314
1.00e-01
   195
                   316
                        | +1.0669e+01 | 5.23e-02 | 1.18e-01 | 4.66e-06 | 1.97e+02 |
           281
1.00e-01
               2
                        | +1.0660e+01 | 3.66e-01 | 1.21e-01 | 1.83e-06 | 1.97e+02 |
   196
           283
                   317
1.00e-01
               2
                        | +1.0660e+01 | 3.66e-02 | 1.21e-01 | 1.83e-06 | 1.97e+02 |
   197
           285
                   318
1.00e-01
                        | +1.0655e+01 | 2.56e-01 | 1.21e-01 | 3.12e-08 | 1.97e+02 |
   198
           287
                   319
1.00e-01
               2
                        | +1.0624e+01 | 1.79e+00 | 1.23e-01 | 1.05e-06 | 1.97e+02 |
   199
           289
                   320
1.00e-01
                        | +1.0624e+01 | 1.79e-01 | 1.23e-01 | 1.05e-06 | 1.97e+02 |
           291
   200
                   322
1.00e-01
                        | +1.0608e+01 | 3.59e-01 | 1.18e-01 | 2.76e-06 | 1.97e+02 |
           293 l
   201
                   323
1.00e-01
               2
                        | +1.0608e+01 | 3.59e-02 | 1.18e-01 | 2.76e-06 | 1.97e+02 |
   202
           295
                   325
1.00e-01
               2
                        | +1.0603e+01 | 2.51e-01 | 1.19e-01 | 2.87e-07 | 1.97e+02 |
   203
           297
                   326
```

```
1.00e-01
                        | +1.0572e+01 | 5.02e-01 | 1.24e-01 | 1.40e-05 | 1.97e+02 |
                   327
   204
           299 |
1.00e-01
                        | +1.0519e+01 | 1.00e+00 | 1.16e-01 | 6.76e-06 | 1.97e+02 |
   205
           301
                   329
1.00e-01
               2
                        | +1.0396e+01 | 1.00e+00 | 1.17e-01 | 1.05e-05 | 1.97e+02 |
   206
           303
                   331
1.00e-01
               2
                        | +1.0248e+01 | 7.03e+00 | 1.22e-01 | 2.05e-05 | 1.97e+02 |
   207
           305 l
                   333
1.00e-01
                        | +1.0248e+01 | 7.03e-01 | 1.22e-01 | 2.05e-05 | 1.97e+02 |
   208
           307 l
                   336
1.00e-01
                        | +1.0248e+01 | 7.03e-02 | 1.22e-01 | 2.05e-05 | 1.97e+02 |
           309
                   338
   209
1.00e-01
               2
                        | +1.0243e+01 | 1.41e-01 | 1.15e-01 | 6.91e-06 | 1.97e+02 |
   210
           311
                   339
1.00e-01
                        | +1.0237e+01 | 2.81e-01 | 1.09e-01 | 4.76e-06 | 1.97e+02 |
                   340
   211
           313
1.00e-01
                        | +1.0210e+01 | 5.62e-01 | 1.16e-01 | 2.41e-05 | 1.97e+02 |
           315
                   342
   212
1.00e-01
               2
                        | +1.0210e+01 | 5.62e-02 | 1.16e-01 | 2.41e-05 | 1.97e+02 |
   213
           317
                   344
1.00e-01
               2
                        | +1.0206e+01 | 3.94e-01 | 1.12e-01 | 9.86e-07 | 1.97e+02 |
   214
           319
                   345
1.00e-01
                        | +1.0179e+01 | 3.94e-01 | 1.04e-01 | 1.07e-06 | 1.97e+02 |
   215
           321
                   346
1.00e-01
               2
                        | +1.0138e+01 | 7.87e-01 | 1.14e-01 | 5.63e-05 | 1.97e+02 |
           323
   216
                   348
1.00e-01
               2
                        | +1.0138e+01 | 7.87e-02 | 1.14e-01 | 5.63e-05 | 1.97e+02 |
                   350
   217
           325 l
1.00e-01
                        | +1.0133e+01 | 5.51e-01 | 1.08e-01 | 3.29e-06 | 1.97e+02 |
   218
           327
                   351
1.00e-01
                        | +1.0080e+01 | 5.51e-01 | 1.10e-01 | 7.37e-06 | 1.97e+02 |
   219
           329
                   352
1.00e-01
               2
   220
                   354
                        | +1.0036e+01 | 3.86e+00 | 9.63e-02 | 7.97e-06 | 1.97e+02 |
           331
1.00e-01
               2
                        | +1.0036e+01 | 1.93e+01 | 1.02e-01 | 7.97e-06 | 1.00e+00 |
   221
           331
                   354
2.00e-02
                        | +9.6431e+00 | 1.93e+01 | 1.00e-01 | 1.93e-03 | 1.00e+00 |
   222
           332
                   358
2.00e-02
                        | +9.3957e+00 | 1.93e+01 | 9.89e-02 | 1.10e-01 | 1.00e+00 |
           333
   223
                   361
2.00e-02
                        | +9.2505e+00 | 1.93e+01 | 8.72e-02 | 7.98e-04 | 1.00e+00 |
           334
                   364
   224
2.00e-02
                        | +9.2505e+00 | 2.44e+00 | 8.72e-02 | 7.98e-04 | 1.00e+00 |
   225
           335
                   368
2.00e-02
                        | +9.0487e+00 | 4.88e+00 | 4.97e-02 | 3.25e-03 | 1.00e+00 |
   226
                   370
           336
2.00e-02
                        | +8.9017e+00 | 4.98e+00 | 1.97e-02 | 3.41e-03 | 1.00e+00 |
   227
           337
                   372
2.00e-02
  228
           338
                   374
                        | +8.8248e+00 | 1.32e+01 | 4.52e-02 | 8.36e-04 | 1.00e+00 |
```

```
2.00e-02
                        | +8.8101e+00 | 1.32e+01 | 4.31e-02 | 9.80e-06 | 1.00e+00 |
           339
                   377
   229
2.00e-02
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   230
           340
                   380
2.00e-02
                   381
                        | +8.8459e+00 | 1.32e+01 | 3.35e-02 | 3.10e-08 | 1.00e+00 |
   231
           341
2.00e-02
                        | +8.8456e+00 | 1.32e+01 | 3.30e-02 | 2.72e-08 | 1.00e+00 |
   232
           342
                   382
2.00e-02
                        | +8.8115e+00 | 1.32e+01 | 6.24e-04 | 7.07e-06 | 1.00e+00 |
   233
           344
                   384
2.00e-02
                        | +8.8115e+00 | 6.61e+01 | 3.67e-03 | 7.07e-06 | 1.00e+00 |
           344
                   384
   234
4.00e-03
                        | +8.7543e+00 | 6.61e+01 | 7.04e-03 | 1.11e-04 | 1.00e+00 |
   235
           345 l
                   386
4.00e-03
                        | +8.7413e+00 | 6.61e+01 | 1.91e-03 | 3.14e-06 | 1.00e+00 |
                   388
   236
           346
4.00e-03
                        | +8.7413e+00 | 3.31e+02 | 2.44e-03 | 3.14e-06 | 1.00e+00 |
   237
           346
                   388
8.00e-04
                   390
                        | +8.7252e+00 | 3.31e+02 | 7.32e-04 | 5.64e-07 | 1.00e+00 |
   238
           347
8.00e-04
                        | +8.7252e+00 | 1.65e+03 | 8.38e-04 | 5.64e-07 | 1.00e+00 |
   239
           347
                   390
1.60e-04
                        | +8.7220e+00 | 1.65e+03 | 2.19e-04 | 3.29e-08 | 1.00e+00 |
   240
           348
                   392
1.60e-04
                        | +8.7218e+00 | 1.65e+03 | 8.30e-05 | 2.98e-09 | 1.00e+00 |
           349
                   397
   241
1.60e-04
                   397
                        | +8.7218e+00 | 8.27e+03 | 1.04e-04 | 2.98e-09 | 1.00e+00 |
   242
           349
3.20e-05
                        | +8.7213e+00 | 8.27e+03 | 3.43e-05 | 9.54e-10 | 1.00e+00 |
   243
           350
                   399
3.20e-05
                        | +8.7212e+00 | 8.27e+03 | 9.31e-06 | 1.04e-09 | 1.00e+00 |
   244
           351
                   404
3.20e-05
   245
                   404
                        | +8.7212e+00 | 4.13e+04 | 1.36e-05 | 1.04e-09 | 1.00e+00 |
           351
6.40e-06
                        | +8.7211e+00 | 4.13e+04 | 3.23e-06 | 6.08e-11 | 1.00e+00 |
   246
           352
                   406
6.40e-06
                        | +8.7211e+00 | 2.07e+05 | 2.38e-06 | 6.08e-11 | 1.00e+00 |
   247
           352
                   406
1.28e-06
                        | +8.7210e+00 | 2.07e+05 | 8.02e-07 | 2.56e-12 | 1.00e+00 |
           353
   248
                   408
1.28e-06
                        | +8.7210e+00 | 2.07e+05 | 6.99e-07 | 7.54e-10 | 1.00e+00 |
   249
           354
                   413
1.28e-06
                        | +8.7210e+00 | 1.03e+06 | 5.29e-07 | 7.54e-10 | 1.00e+00 |
   250
           354
                   413
2.56e-07
                        | +8.7210e+00 | 1.03e+06 | 1.36e-06 | 1.06e-11 | 1.00e+00 |
   251
                   415
           355 |
2.56e-07
                        | +8.7210e+00 | 1.03e+06 | 1.23e-06 | 5.68e-14 | 1.00e+00 |
   252
           356
                   416
2.56e-07
                        | +8.7210e+00 | 1.03e+06 | 2.56e-07 | 0.00e+00 | 1.00e+00 |
   253
           358
                   417
```

```
2.56e-07
                       | +8.7210e+00 | 1.03e+06 | 2.40e-07 | 1.33e-09 | 1.00e+00 |
  254 | 359 | 423
2.56e-07
                       | +8.7210e+00 | 5.17e+06 | 2.05e-07 | 1.33e-09 | 1.00e+00 |
  255 | 359 |
                  423
5.12e-08
              0
  256
          360
                  425
                       | +8.7210e+00 | 5.17e+06 | 1.16e-07 | 6.20e-12 | 1.00e+00 |
5.12e-08
  257 | 361 |
                 431
                       | +8.7210e+00 | 5.17e+06 | 1.17e-07 | 2.01e-10 | 1.00e+00 |
5.12e-08
              1
          362 | 432
                      | +8.7210e+00 | 5.17e+06 | 4.06e-09 | 0.00e+00 | 1.00e+00 |
  258
5.12e-08
`gtol` termination condition is satisfied.
Number of iterations: 258, function evaluations: 362, CG iterations: 432,
optimality: 4.06e-09, constraint violation: 0.00e+00, execution time: 5.5 s.
Optimal solution: [1.88675408e-01 2.01475408e-01 2.72000001e+02 1.52347973e+01
1.19999998e+01 2.49482263e-01 2.73000001e+02]
+*In[31]:*+
[source, ipython3]
# Define the ranges for Do and Lt
Do values = np.linspace(0.03, 0.3, 100) # Range for Do
Di_values = np.linspace(0.001, 0.25, 100) # Range for Di
# Create a grid of Do and Lt values
Do_grid, Di_grid = np.meshgrid(Do_values, Di_values)
# Compute the objective function values for each combination of Do and Lt
obj_values = obj_func([0.05, 0.1, 274, 40, 0.58, 0.5, 322]) # Assuming initial
values for other variables
Z = np.array([[obj func([Di, Do, 272, 13.7, 12, 0.226, 273]) for Do in Do values]
for Di in Di values])
# Plot the contour
plt.figure(figsize=(8, 6))
contour = plt.contourf(Di_grid, Do_grid, Z, cmap='viridis', levels=20)
cbar = plt.colorbar(contour)
cbar.set_label('Objective Function Value')
plt.xlabel('Inner tube diameter (Di)')
plt.ylabel('Outer tube diameter (Do)')
plt.title('Contour Plot of Objective Function')
# Plot the optimization path
plt.plot(Di_val, Do_val, color='red', marker='o', linestyle='-', label='Optimization
Path',markersize=4)
# Show the legend
```

```
plt.legend()
#plt.savefig('Optimization path.png',dpi = 300)
# Show the plot
plt.grid(True)
plt.show()
+*Out[31]:*+
![png](output_3_0.png)
+*In[32]:*+
[source, ipython3]
# Create a 3D plot
fig = plt.figure(figsize=(10, 8))
ax = fig.add_subplot(111, projection='3d')
# Plot the surface
surf = ax.plot_surface(Di_grid, Do_grid, Z, cmap='viridis', edgecolor='none')
cbar = fig.colorbar(surf)
cbar.set label('Objective Function Value')
ax.set_xlabel('Inner tube diameter (Di)')
ax.set_ylabel('Outer tube diameter (Do)')
ax.set_zlabel('Objective Function Value')
ax.set_title('Surface Plot of Objective Function')
#plt.savefig('Surface_plot.png',dpi = 300)
plt.show()
+*Out[32]:*+
![png](output_4_0.png)
+*In[33]:*+
[source, ipython3]
fig = plt.figure(figsize=(8, 5))
plt.plot(itr_list,obj_list)
plt.xlabel('Iterations')
plt.ylabel('Function value')
plt.title('Function value vs iterations')
#plt.savefig('fun_value.png',dpi = 300)
# Show the plot
```

```
plt.grid(True)
plt.show()
----
+*Out[33]:*+
![png](output_5_0.png)
+*In[34]:*+
[source, ipython3]
fig = plt.figure(figsize=(8, 5))
plt.plot(itr_list,grad_lag)
plt.xlabel('Iterations')
plt.ylabel('Gradient norm')
plt.yscale('log')
plt.title('Norm of the gradient vs iterations')
#plt.savefig('grad_value.png',dpi = 300)
# Show the plot
plt.grid(True)
plt.show()
----
+*Out[34]:*+
![png](output_6_0.png)
+*In[35]:*+
[source, ipython3]
fig = plt.figure(figsize=(8, 5))
plt.plot(itr_list,gov_val)
plt.xlabel('Iterations')
plt.ylabel('Governing equation value')
plt.title('Governing equation vs iterations')
#plt.savefig('gov_value.png',dpi = 300)
# Show the plot
plt.grid(True)
plt.show()
----
+*Out[35]:*+
```

```
![png](output_7_0.png)
+*In[36]:*+
[source, ipython3]
X01 = [0.3256, 0.33844, 272, 25.938, 11.999, 0.419, 273]
X03 = [0.2332, 0.24608, 272, 18.7211, 11.999, 0.3047, 273]
X05 = [0.188, 0.2014, 272, 15.23, 11.999, 0.249, 273]
X07 = [0.1506, 0.1634, 271.93, 13.06, 11.999, 0.215, 273]
X09 = [0.0968, 0.1096, 271.1, 14.901, 11.999, 0.251, 273]
X_{value} = np.array([X01,X03,X05,X07,X09])
shell value = []
therm value = []
for i in range(len(X value)):
    Di = X_value[i][0]
    Do = X_value[i][1]
    Ti = X_value[i][2]
    A = X_value[i][3]
    Lt = X value[i][4]
    Vw = X_value[i][5]
    To = X_{value}[i][6]
    # constants
    Pr_1 = 4.34
    Pr w = 6.90
    Vl = 5 # ft/s
    T wi = 323
    \#T \text{ we} = 273
    \#T 1 = 273
    Ta = 242
    k = 0.00257
    v = 1.05*0.00001  # visocosity of fluid - same for both
    r = 1.4*0.00001 # roughness of the pipe
    # variable
    Ai = (np.pi*Di**2)/4
    Ao = (np.pi*Do**2)/4
    Re i = (Vl * Di)/v
    Re_o = (Vw * Do)/v
    S = np.log10(Re_i/1.816*np.log10(1.1*Re_i/np.log10(1+1.1*Re_i)))
    f = -2*np.log10(2.18*S/Re_i + r/(3.71*Di))
    Nu_i = ((f/2)*(Re_i-1000)*Pr_1)/(1+12.7*(Pr_1**(2/3)-1)*(f/2)**0.5)
    Nu_o = 0.0237*Re_o**0.618*Pr_w**(1/3)
```

```
h i = Nu i*k/Di
    h_o = Nu_o*k/Do
    part_1 = 1/(h_i*Ai*(Ti - Ta))
    part_2 = (1/(2*np.pi*k*Lt))*A*np.log(Do/Di)
    part_3 = 1/(h_o*Ao*(T_wi - To))
    therm_val = part_1 + part_2 + part_3
    shell val = 2.66175 * Lt * Do**2 * 5
    shell_value.append(shell_val)
    therm_value.append(therm_val)
fig = plt.figure(figsize=(8, 5))
plt.plot(shell_value,therm_value, label = 'Pareto front')
plt.xlabel('Thermal function')
plt.ylabel('Structure function')
plt.legend()
#plt.savefig('pareto_value.png',dpi = 300)
# Show the plot
plt.grid(True)
plt.show()
----
+*Out[36]:*+
![png](output_8_0.png)
+*In[ ]:*+
[source, ipython3]
```