

MA320 | Algebra II. Chap 7: Rings.

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Bao Nhan chén S17-0617 MW in office 10am-4pm.

Defn.

1. Ring  $R = (S, +, \times)$  satisfies:

(i)  $(R, +)$  is an Abelian group. (must have a '0')

(ii)  $\times$  is associative ~~(assoc.)~~

(iii)  $\times$  distributive over  $+$  (both sides) in  $R$ .

2.  $R$  is commutative if  $\times$  is comm.

3.  $R$  has an identity if  $1 \in R$  s.t.  $1 \times a = a \times 1 = a \forall a \in R$ .

Remark

$+$  being comm. is forced. I.e., if  $\exists 1 \in R$ , then

$$(i+1)(a+b) = 1(a+b) + 1(a+b) = a+b+a+b$$

$$(i+1)(a+b) = a+a+b+b$$

and they must be equal (by defn of  $\times$  as a fn).

$(\mathbb{Z}, +, \times)$  is a ring ~~field~~ (comm. ring). In fact, comm. ring  $(\mathbb{Z}, +)$  is a group.  
 $(\mathbb{Z}, \times)$  is not a group (inverse)

Defn

(Division ring (skew field)) is  $R \setminus \{1 \in R\}$  s.t.  $1a = a \cdot 1 = a$ , if

$\forall a \exists$  inverse  $a^{-1}$  s.t.  $a a^{-1} = a^{-1} a = 1$ . (" $(R, \times)$  is also a group")

(Field): comm. division ring.

(Division ring)  $\Leftrightarrow (R \setminus \{0\}, \times)$  is a group.

Eg.

1.  $(\mathbb{Z}, +, \times)$  is a ring,  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are rings. (all are comm.)

2.  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are fields.

3.  $R$  be the set of cont/diff functions  $f: \mathbb{R} \rightarrow \mathbb{R}$ . Define  $(f+g)(x) = f(x)+g(x)$ ,  $(f-g)(x) = f(x)-g(x)$ . Then  $R$  is a ring with 1 as  $x \mapsto 0$  is the 0 in  $R$ .

$R$  is not a field -  $f(x)=x$  has no mult. inverse ( $x \mapsto \frac{1}{x}$  is not continuous at 0).

3.1 Let  $R'$  be the set of cont/diff-fns  $f: \mathbb{R} \setminus \{0\} \rightarrow \mathbb{R}$ .

Then  $x \mapsto \frac{1}{x} \in R'$  is a ring. (check).

3.2 ring of polynomial fns  $f: \mathbb{R} \rightarrow \mathbb{R}$ .

4. Let  $V/\mathbb{R}$  be a finite dim. vector space over  $\mathbb{R}$ . Then let

$\text{End}_{\mathbb{R}}(V) = \text{the lin. maps from } V \text{ to } V$ .

then  $(\text{End}_{\mathbb{R}}(V), +, 0 = \text{composition})$  is a ring with 1 (id).

5.  $\mathbb{Z}/n\mathbb{Z} = \mathbb{Z}_n$  is the quotient gp  $(\mathbb{Z},+)/(\mathbb{Z},+)$

we define  $x : \mathbb{Z}/n\mathbb{Z} \times \mathbb{Z}/n\mathbb{Z} \rightarrow \mathbb{Z}/n\mathbb{Z}$ ,  $(\bar{a}, \bar{b}) \mapsto \bar{a} \cdot \bar{b}$   
where  $a \in \mathbb{Z}$ ,  $\bar{a}$  is the cong. class of  $a$  mod  $n$ .

(Ex: check that  $x$  is well-defined and  $(\mathbb{Z}/n\mathbb{Z}, +, x)$  is a ring.)

(Ex. show that if  $n$  prime, it is a field.)

6. The ring of single elem  $\{*\}$ ,  $* = 0 = 1$

$+ : \{*\} \times \{*\} \rightarrow \{*\}$ ,  $x : \{*\} \times \{*\} \rightarrow \{*\}$ ,  $+ = x$ .

Ex: why  $0=1 \Rightarrow R = \{*\}$ ? ( $\forall |R|=1$ )

ans:  $\forall a \in R$ ,  $a = 1 \cdot a = 0 \cdot a = 0$ .

(qu! show that  
 $\forall a \in R$ ,  $a \cdot 0$   
 $= 0 \cdot a = 0$ )

7.  $2\mathbb{Z}$  is a ring without 1.

Prop. Let  $R$  be a ring.

$$1. 0 \cdot a = a \cdot 0 = 0.$$

$$0 \cdot a = (0+0)a = 0a+0a \Leftrightarrow 0 = 0a \quad \forall a \in R. \quad \square$$

$$2. (-a)b = a(-b) = -(ab).$$

$$0 = (a-a)b = ab + (-a)b \Rightarrow (-a)b = -(ab). \quad \forall a, b \in R. \quad \square$$

$$3. \text{ If } 1 \in R, \text{ then } 1 \text{ is unique and } -a = (-1)a.$$

$$\text{Suppose } 1' \cdot a = a \cdot 1' = a \quad \forall a \in R.$$

$$\text{then } 1' \cdot 1 = 1 \cdot 1' = 1 = 1' \quad \square$$

$$0 = a + (-a) = a(1 + (-1))a.$$

$$\therefore a + (-a) = a + (-1)a. \quad \square$$

$$4. (-a)(-b) = ab.$$

~~$$a(b+c) = (a-b)(-c) = a(-b) + (-a)(-b) = 0.$$~~

$$\therefore -[a(-b)] = (-a)(-b) \Rightarrow -[-(ab)] = (-a)(-b)$$

## MA3201 Chap 7 (2)

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Defn

- (zero divisor) An elem  $0 \neq a \in R$  is called a zero divisor if  $\exists b \in R$  s.t.  $a \cdot b = 0$  or  $b \cdot a = 0$ .  
Ex. Let  $R = \mathbb{Z}/4\mathbb{Z}$ . Then  $\bar{2} \cdot \bar{2} = \bar{4} = \bar{0} \therefore \bar{2}$  is a zero divisor.

- (units) Assume  $0 \neq u \in R$ .  $u \in R$  is a unit in  $R$  if  $\exists v \in R$  s.t.

$uv = vu = 1$ . Denote the units of  $R$ ,  $R^\times = \{x \in R \mid \exists y \in R, xy = yx = 1\}$

Lemma

If  $0 \neq 1 \in R$ . Then  $(R^\times, \cdot)$  is a group.

pf: has inverse, identity, and 3 assoc.

(unit). (given) (given similarly).

Ex. 1. consider  $R = \mathbb{Z}/6\mathbb{Z}$ . then  $\bar{2}, \bar{3}, \bar{4}$  are zero divisors, (note: cannot be 1).

$(\mathbb{Z}/6\mathbb{Z})^\times = \mathbb{Z}_6^\times$  is a group.

Claim:  $(\mathbb{Z}/n\mathbb{Z})^\times = \{\bar{a} \mid (an, n) = 1, a \in \mathbb{Z}\}$ .

Basically bezout's lemma.  $an + ny \equiv an \equiv 1 \pmod{n}$ .

of fas from  $R$  to  $R$ .

- ~~R~~ is a ring. Then

$$f(n) = \begin{cases} 0 & \text{if } n \leq 0 \\ 1 & \text{if } n > 0 \end{cases} \text{ is a zero divisor.}$$

Ex

- $(R^R, +, \circ)$  is not a ring (distributivity)

We claim  $h_0(f+g) \neq h_0f + h_0g$ . where  $h(n) = n^2$ ,  $f(x) = 2x$ ,  $g(x) = 3x$ .

$$(5n)^2 = 25n^2 + 4n^2 + 9n^2 = 14n^2$$

- (Polynomial ring) ring of polynomials  $R[x]$  of ring-coeffs.

e.g.  $\mathbb{R}[x]$ ,  $\mathbb{Q}[x]$ ,  $\mathbb{Z}[x]$ .

- Quaternion (Hamilton). with  $\mathbb{H}$  coeffs.

- (Matrix ring, matadd, matmul) with ring entries.

- Group ring.  $G$  finite group,  $R$  comm. ring ( $\neq 0$ ). The group ring.

$$RG] = \sum_{g \in G} a_g \cdot g \quad [a_g \in R, g \in G]$$

Define  $+$ : comp. wise add.

$\times$ : as usual.  $(a_1g_1 + a_2g_2)(a'_1g'_1 + a'_2g'_2)$

$$= a_1a'_1 \cdot g_1 \cdot g'_1 + \dots$$

Int. dom: comm. ring with no zero divisor (just like integers)  
div. ring: everything ( $a \neq 0$ ) is invertible  $\exists$

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4. The group ring  $\mathbb{C}[\mathbb{Z}/2]$ .  $\mathbb{Z}/2 = \{e, x\}$ . s.t.  $x^2 = e$ .

then  $a \cdot e + b \cdot x$  are elmts. ( $0 \cdot e = 0, 0 \cdot x = 0$ )

$$\text{then } (2 \cdot e + 3 \cdot x)^2 = 4e + 6x + 6x + 9 \cdot x^2 = 13e + 12x.$$

claim:  $\mathbb{C}[\mathbb{Z}_2]$  is a 2-dim  $\mathbb{C}$ -vectorspace with a basis  $\{e, x\}$ .

Defn (subrings) A subring  $S$  of  $(R, +, \cdot)$  is a (n additive subgp) that is closed under  $\cdot$ . Eg: 1.  $\mathbb{Z} \subset \mathbb{Q} \subset \mathbb{R} \subset \mathbb{C}$   
2.  $\mathbb{Z} \subset \mathbb{Z}$ ,  $\mathbb{Z}$  has no inv. elem.

Defn (integral domain) A comm. ring  $R \ni 1 \neq 0$  is called an integral domain if  $R$  has NO zero divisor.

Eg: ①  $\mathbb{R}, \mathbb{Q}, \mathbb{C}$  (in fact, any  $F$ ) are integral domains (every  $\neq 0$ )

②  $\mathbb{Z}$  is an int. dom.

③  $\mathbb{C}[\mathbb{Z}_2]$  is NOT an int. dom, even though comm.

$$(1+x)(1-x) = 1 - x^2 = 1 - 1 = 0 \text{ are 0 divs.}$$

④ If finite,  $R[G]$  is NOT int. dom. (?)

$\neq \{1\}$ .

Prop (cancellation for int. dom.) Let  $R$  be an int. dom. Let  $y, z, \neq 0 \in R \setminus \{0\}$ .  
then if  $xy = xz$ ,  $y = z$ .

Note: not true for non-int. dom:  $(1-x)(1+x) = (1-x) \cdot 0 = 0$  but  $(1-x) \neq 0$

Pf:  $xy = xz \Rightarrow xy - xz = 0 \Rightarrow x(y-z) = 0$ .

but  $x \neq 0$ . Since int. dom, one of  $x, y-z$  must be 0.  $\therefore y = z$ .  $\square$

$\rightarrow$  comm.

Thm  $R$  finite, int. dom. Then  $R$  is a field  $\Leftrightarrow$  comm. div. ring (everything (non-0) is inv.).

Pf: WTS  $\forall x \in R \setminus \{0\}$ ,  $\exists y \in R \setminus \{0\}$  s.t.  $xy (= yx) = 1$ .

We consider the map  $\tilde{x}: R \rightarrow R$ ,  $a \mapsto x \cdot a$ . It is injective by cancellation

$$a \because x \cdot a = x \cdot b \Rightarrow a = b \quad (R \text{ is int. dom})$$

Since  $R$  is finite, it has to be bijective. Thus the pre-image of 1 is the  $y$  desired (since  $x \cdot a = 1$ ).  $\square$

## MA3201 Chap 7 (3)

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**Defn** (product Rng) Let  $S, R$  be rings. Define product ring  $S \times R$  as follows:  
 set:  $S \times R = \{(s, r) | s \in S, r \in R\}$   
 $+, \times$ : component-wise.

Check: assoc & dist.

Identity (if  $S, R$  have):  $(1_S, 1_R)$ .

**Fact.** -  $S' = \{(s, 0) | s \in S\}$  is a subring of  $S \times R$ , identity is  $(1_S, 0)$  ( $\notin S'$ )  
 -  $S \times R$  is not an integral domain (even if both are integral domains), given  $S, R \neq \mathbb{Z}$   
 since  $(s, 0) \cdot (0, r) = (0, 0)$ .

**lem** Consider  $R[x]$  the polynomial ring of an int. dom  $R$ . Then  $R[x]$  is an int. dom. ( $\text{So } (R[x])[y] = R[x, y]$  is also an int. dom.)

**Pf:** Let  $f(x) = a_n x^n + \dots + a_1 x + a_0 \in R[x]$ ,  $a_n \neq 0$ .

we then define  $\deg(f(x)) = n$ .

Let  $f(x), g(x) \in R[x]$ , s.t.  $\deg f(x) = n, \deg g(x) = m$ .

Claim:  $\deg(f(x)g(x)) = n+m$ .

Let  $g(x) = b_m x^m + \dots + b_0$ ,  $b_m \neq 0$ .

then  $f(x)g(x) = a_n b_m x^{n+m} + \dots$

and  $R$  being int. dom. guarantees  $a_n b_m \neq 0$ .  $\square$  claim.

Observe that the highest degree does not vanish  $\Rightarrow$  never get 0  $\Leftrightarrow$  all coeff = 0

**(or.** we have  $(R[x])^* = R^*$

**Pf:** ( $\subseteq$ ) let  $a \in (R[x])^*$ .  $\deg a = 0$ , otherwise  $\exists b \in R[x]$ ,  
 $\deg(ab) \geq 1$  but  $\deg(1) = 0$ .

( $\supseteq$ ) straightforward.

## Ring Homom. & Ideals.

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Def

- Let  $R$  and  $S$  be rings.
1. Any homom.  $\varphi: R \rightarrow S$  a map s.t.
 
$$\varphi(a+b) = \varphi(a) + \varphi(b), \varphi(ab) = \varphi(a)\varphi(b), \forall a, b \in R$$
  2.  $\ker \varphi = \{a \in R \mid \varphi(a) = 0_S\} = \{\varphi^{-1}(0_S)\}$
  3. Bijective ring homom.  $\Leftrightarrow$  a ring isom.  $R \cong S \Leftrightarrow \varphi: R \xrightarrow{\text{isom}} S$ .

Eg.

1. The id map is a homom.
2.  $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}/2\mathbb{Z}$  is a homom,  $\ker \varphi = 2\mathbb{Z}$ . zero map - group hom.  
Since  $\varphi(a \cdot b) = \varphi(a) \cdot \varphi(b)$  ( $a \cdot b = \bar{a} + \bar{b}$ )
3.  $\varphi: \mathbb{Z} \rightarrow \mathbb{Z}, a \mapsto n \cdot a$  is NOT a homom. if  $n \neq 0, 1$   
we check  $\varphi(a+b) = n(a+b) = n \cdot a + n \cdot b = \varphi(a) + \varphi(b)$ .  
but  $\varphi(a \cdot b) = n \cdot a \cdot b \neq n \cdot a \cdot n \cdot b = \varphi(a)\varphi(b)$ .

6.  $R$  comm. with  $1 \neq 0$ . we consider  $\varphi: R[X] \rightarrow R, f(x) \mapsto f(a) \quad a \in R$ .

then  $\varphi$  is a ring hom. ( $R$  needs to be hom).

(check: let  $f(x) = rx, g(x) = sx$

$$\begin{aligned}\varphi(f(x)+g(x)) &= \varphi(rx+sx) | a = r \cdot a + s \cdot a = (r+s)a = (f+g)(a) \\ &\stackrel{\text{defn of } \varphi}{=} \varphi(f(x))+\varphi(g(x))\end{aligned}$$

$$\varphi(f(x) \cdot g(x)) = rsx^2 | x=a = rs a^2$$

$$\varphi(f(x)) \varphi(g(x)) = ra \cdot sa \stackrel{\text{not closed}}{\neq}$$

7. Let  $G = \{e\}$  be the trivial gp. Then  $R[G] \cong R$ .

lem

Let  $\varphi: R \rightarrow S$  be a ring hom.

1.  $\text{Im}(\varphi)$  is a subring of  $S$ .

2.  $\ker \varphi$  is a subring of  $R$ .

3.  $\varphi$  is an isom.  $\Leftrightarrow \exists$  a ring hom.  $\psi: S \rightarrow R$   $\varphi \circ \psi = \text{id}_R$   $\psi \circ \varphi = \text{id}_S$ .

pf(2): we check that  $\ker \varphi$  is closed in  $R$ ,  $+, \cdot$ .

$$\varphi(a+b) = \varphi(a) + \varphi(b) = 0 + 0 = 0 \quad \therefore a+b \in \ker \varphi.$$

$$\varphi(a \cdot b) = \varphi(a) \cdot \varphi(b) = 0 \cdot 0 = 0 \quad \therefore a \cdot b \in \ker \varphi.$$

(1):  $\forall \varphi(a), \varphi(b) \in \text{Im}(\varphi),$

$$\varphi(a) + \varphi(b) = \varphi(a+b) \quad \because a+b \in R.$$

== actually a subring  $\beta$  a subgp first and foremost. we use one step subgp test for  $(R, +) \Leftrightarrow$  closure of subtraction & then closure of  $\times$

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Pf. (3)  $\Rightarrow$  given  $\varphi: R \rightarrow S$  is a surjective hom., wts  $\exists \psi: S \rightarrow R$  s.t.  
 $\varphi \circ \psi = \text{id}_S$ ,  $\psi \circ \varphi = \text{id}_R$ .

Define  $\varphi^{-1}$  (set theoretic) inverse:  $\varphi^{-1}: S \rightarrow R$ ,  $s \mapsto \varphi^{-1}(s)$ .

Now we check  $\varphi^{-1}$  is a ring hom.

$$\varphi^{-1}(\varphi(a) + \varphi(b)) = \varphi^{-1}(a+y) \quad \text{where } a, b \in R, u, y \in S.$$

$$= \varphi^{-1}(\varphi(a+b)) = a+b$$

$$= \varphi^{-1}(\varphi(a)) + \varphi^{-1}(\varphi(b)).$$

$$\varphi^{-1}(\varphi(a) \cdot \varphi(b)) = \varphi^{-1}(\varphi(a \cdot b)) = a \cdot b$$

$$= \varphi^{-1}(a) \cdot \varphi^{-1}(b).$$

Lem Let  $\varphi: R \rightarrow S$  be a ring hom. Then  $\varphi$  is inj  $\Leftrightarrow \ker \varphi = \{0\}$ .

Pf: follows by the fact that  $\varphi$  is also a gp hom. (of abelian gp  $(R, +)$ )

Def. Let  $R$  ring,  $I \subset R$  a subset.  $rI = \{ra \mid a \in I\}$  and  $Ir = \{ar \mid a \in I\}$ .

1.  $I$  is a left ideal of  $R$  if  $I$  is a subrg &  $r \cdot I \subseteq I \forall r \in R$ .

2.  $\leftrightarrow$  right  $\qquad \qquad \qquad$   $I \cdot C \subseteq I \forall r \in R$ .

3.  $I$  is a (2-sided) ideal of  $R$  if  $I$  subrg of  $R$ , both left & right ideal.

Lem  $\varphi: R \rightarrow S$  be a ring hom. Then  $\ker \varphi$  is an ideal of  $R$ .

Pf: suffices to show  $r \in R$ ,

$$r \cdot \ker \varphi \subseteq \ker \varphi \quad \wedge \quad \ker \varphi \subseteq r \cdot \ker \varphi.$$

$$\therefore \varphi(r \cdot x) = \varphi(r) \cdot x = 0 \Rightarrow r \cdot x \in \ker \varphi \quad \forall x \in \ker \varphi, r \in R$$

$$\varphi(x \cdot r) = 0 \cdot \varphi(r) = 0 \Rightarrow x \cdot r \in \ker \varphi \quad \forall x \in \ker \varphi, r \in R.$$

Ej. 1.  $n\mathbb{Z} \subset \mathbb{Z}$  is an ideal.

2.  $0 \subset R$ ,  $R \subset R$  are ideals.

3.  $(R, 0) \subset R \times S$  is an ideal.

Def. (quotient rings)  $I \subset R$  be an ideal, then  $R/I$  is defined as follows:

- As an Abelian gp,  $R/I$  is just the quotient group. ( $a+I = \bar{a}$ ).

- Define multiplication:  $R/I \times R/I \rightarrow R/I$ .

$$(\bar{a}, \bar{b}) \mapsto \bar{a} \cdot \bar{b}.$$

since we pick representatives, check  $\bar{a} \cdot \bar{b} = \bar{a} \cdot \bar{b}$

$$\text{then } \bar{a} \cdot \bar{b} = \bar{a} \cdot \bar{b}.$$

(1) PF: (well def).  $(a+I, b+I) \mapsto (a+I)(b+I)$ .

$\{i_1, i_2\} \subset I$ .

$$= a \cdot b + a \cdot I + I \cdot b + I \cdot I.$$

$$\text{Let } a' = a + i_1, b' = b + i_2. \\ a' \cdot b' = a \cdot b + a \cdot i_2 + i_1 \cdot b + i_1 \cdot i_2 \in a \cdot b + I.$$

□

Claim  $R/I$  is a ring,  $\bar{a} + \bar{b} = \bar{a+b}$ ,  $\bar{a} \cdot \bar{b} = \bar{a \cdot b}$ .

Eg.  $\mathbb{Z}/n\mathbb{Z}, R/0 \cong R, R/R \cong 0$ .

Thm. (Isom-thm)  $I \subset R$  be an ideal.

1. Natural quotient map  $\pi: R \rightarrow R/I$ ;  $a \mapsto \bar{a} (= a+I)$ , is a ring hom.

2. Let  $\varphi: R \rightarrow S$ , be a ring hom. Then  $R/\ker \varphi \cong \varphi(R)$ .

3. ~~such that  $I \subset \ker \varphi$~~  such that  $I \subset \ker \varphi$ . Then  $\varphi$  factors

thru  $R/I$ , that is,  $\exists$  a ring hom  $\bar{\varphi}: R/I \rightarrow S$  such that  
the following diagram commutes:

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ \pi \downarrow & \nearrow & \\ R/I & \xrightarrow{\bar{\varphi}} & S \end{array}$$

(3) is usually called the universal prop. of quotient).

PF: (1) Show  $\pi$  is a ring hom:

$$\pi(a+b) = a+I + b+I = (a+I) + (b+I) = a+I + b+I.$$

$$\pi(a \cdot b) = a \cdot b + I = (a+I)(b+I)$$

(actually + is given by group hom).

(2). we already have  $\cong$  groups. remains to check for mult.

(no need for bijection, since group hom implied it).

$\therefore$  WTS  $\varphi': R/\ker \varphi \rightarrow \varphi(R)$ , and  $\varphi'(\bar{a} \cdot \bar{b}) = \varphi'(a) \cdot \varphi'(b)$ .

where  $a, b \in R$ .

$$\therefore \varphi'(\bar{a} \cdot \bar{b}) = \varphi'(a \cdot b + I) = \varphi(a \cdot b) = \varphi(a) \cdot \varphi(b) \\ = \varphi'(a) \cdot \varphi'(b).$$

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Pf (3): Let  $\bar{\varphi}: R/I \rightarrow S$  a  $I\text{-map}$  i.e.  $a+I \mapsto \varphi(a)$ .

This is the intuitive map following the diagram.

check: well-defined ~~Collaboration~~ (let  $a+I = a'+I$ ).

$$\text{then WTS } \bar{\varphi}(a+I) = \bar{\varphi}(a'+I).$$

but clearly LHS:  $\varphi(a)$ , RHS =  $\varphi(a') = \varphi(a+\lambda I)$  for some  $\lambda \in I$

$$= \varphi(a) + 0 = \varphi(a).$$

Ring hom:  $\bar{\varphi}(\bar{a} \cdot \bar{b}) \rightleftharpoons \bar{\varphi}(\bar{a}) + \bar{\varphi}(\bar{b})$

Eg. 3.  $G$  finite group,  $R$  comm. ring with  $1 \neq 0$ . Then

$$\pi: R[G] \rightarrow R, \sum_{g \in G} a_g \cdot g \mapsto \sum_{g \in G} a_g. g \text{ is a ring hom.}$$

$$\begin{aligned} \text{WTS } \pi((ag+bh)(a'g'+b'h')) &= \pi(ag+bh)\pi(a'g'+b'h') \\ &= \pi(a a' g g' + a b' g h' + b a' h g' + b b' h h') \\ &= a a' + a b' + b a' + b b' \\ &= \pi(a+b)(a'+b') = \pi(ag+bh)\pi(a'g'+b'h'). \end{aligned}$$

(rem.)  $\varphi: G \rightarrow H$  be a homom. Then  $\varphi$  induces a ring hom  $\varphi': R[G] \rightarrow R[H]$ ,

$$\sum_{g \in G} a_g \cdot g \mapsto \sum_{g \in G} (\varphi(a_g) \cdot \varphi(g)).$$

$$\begin{aligned} \text{Pf: } &\text{trivial. } \varphi'(a_1 \cdot g_1 + a_2 \cdot g_2) = a_1 \varphi(g_1) + a_2 \varphi(g_2) \\ &= \varphi'(a_1, g_1) + \varphi'(a_2, g_2). \end{aligned}$$

$$\begin{aligned} \times. \quad \varphi'((a_1 \cdot g_1 + a_2 \cdot g_2)(a_3 \cdot g_3 + a_4 \cdot g_4)) &= \varphi'(a_1 a_3 g_1 g_3 + a_1 a_4 g_1 g_4 + a_2 a_3 g_2 g_3 + a_2 a_4 g_2 g_4) \\ &= a_1 a_3 \varphi(g_1) \varphi(g_3) + a_1 a_4 \varphi(g_1) \varphi(g_4) + \dots \\ &= (a_1 \varphi(g_1) + a_2 \varphi(g_2)) (a_3 \varphi(g_3) + a_4 \varphi(g_4)) = \varphi'(a_1, g_1) \varphi'(a_3, g_3) + \dots \end{aligned}$$

Eg Study the mt. solns to  $x^2 + y^2 \equiv 3z^2 \pmod{3}$ .

If  $(x, y, z) \in \mathbb{Z}^3$  is a soln, then consider  $\pi: \mathbb{Z} \rightarrow \mathbb{Z}/3\mathbb{Z}$ .

$$\therefore \text{We must have } \pi(x^2 + y^2) = \pi(3z^2) = 0.$$

$$\therefore (\pi(x))^2 + (\pi(y))^2 = 0.$$

Brute force checking  $\pi(x) = \bar{0}, \bar{1}, \bar{2} = \pi(y)$  gives us  $\pi(x) = \pi(y) = 0$

Thus  $3|x$  and  $3|y$ . Let  $x = 3x'$ ,  $y = 3y'$ , by infinite descent, we must have  $(x, y, z) = (0, 0, 0)$ .

Defn

(Quotient Rings using universal prop.). Let  $I \subset R$  be an ideal. Then the quotient ring of  $R$  by  $I$  is defined to be any  $\tilde{R}$  ( $\cong R/I$ ) together with a ring hom  $\pi: R \rightarrow \tilde{R}$  s.t.

$\forall \varphi: R \rightarrow S$  with  $I \subset \ker \varphi$ ,  $\exists ! \tilde{\varphi} \in \tilde{R} \rightarrow S$  making the diag. below comm.

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ \pi \downarrow & \nearrow \tilde{\varphi} & \\ R/I & \cong & \tilde{R} \end{array}$$

Prop.

The quotient ring  $\tilde{R}$  exists and is unique up to isom.

(category theory)

Pf : Existence is shown before. (3). we show uniqueness:

$$\begin{array}{c} \varphi \rightarrow \tilde{R} \\ \pi \rightarrow R/I \\ I \subset R \end{array} \xrightarrow{\text{id.}} \pi \circ \varphi = \text{id.} \quad \tilde{R} \cong R/I.$$

Thm

(Isomorphism thms, contd). Let  $R$  be a ring.

(2nd):  $A \subset R$  be a subring,  $B \subset R$  an ideal. Define  $A+B = \{a+b \mid a \in A, b \in B\}$ . Then  $A+B/B \cong A/A \cap B$ . (check!  $A+B$  is a ring).

(3rd): Let  $I \subset J \subset R$  be ideals. Then  $R/J = R/I/IJ$ .

(4th/conj):  $\pi: R \rightarrow R/I$ . Then

$$\{I \subset \text{subring of } R\} \leftrightarrow \{\text{subring of } R/I\}.$$

$$\{I \text{ ideals in } R\} \leftrightarrow \{I \text{ ideals of } I\} \quad (\text{preserves})$$

Pf (3rd).  $R \xrightarrow{\pi} R/I$  (if  $\pi$  is surjective (why? comm. and

$$\pi_I: R/I \xrightarrow{\tilde{\varphi}} I/J \quad \text{im. of } \pi_I$$

$$a+I$$

$$a+J$$

- By 1st isom,  $R/I/\ker \tilde{\varphi} \cong \tilde{\varphi}(R/I) \cong R/I$  (surj.).

we just show  $\ker \tilde{\varphi} = I/J$ .

\$

$\ker \varphi$

- Ex. Let  $I, J$  be ideals of  $R$ . Then write.
- $$I+J = \{i+j \mid i \in I, j \in J\}$$
- $$I-J = \{i-j \mid \text{finite sum } i \in I, j \in J\}$$
- $$I^n = I^{n-1} I$$

### Properties of Ideals

Def. Let  $A \subset R$  be a subset.

- Denote  $(A)$  be the ideal generated by  $A$ , that is,  $(A) = \bigcap_{I \text{ ideal}} I$   
 $= R \cdot A \cdot R = \{ \sum r_i a r_i \mid r_i, r_i \in R, a \in A \}$ .  
 check  $RAR$  is an ideal:  $R(RAR) = (RAR)R = RAR$ .  
 or  $r \cdot a \in I$  for any  $a \in A$ .  $\therefore r \cdot a \in (A)$ .
- Denote the left ideal gen. by  $A$ ,  $R \cdot A = \{ \sum r_i a \} = \bigcap_{I \text{ left ideal}} I$ .
- Similarly right  $A \cdot R$ .
- Principle ideals are ideals gen. by a single elem, denoted by  $(a)$ .
- Ideal generated by a finite set is called finitely gen..

Eg

- $(0) \subset R, (1) \subset R = R \subset R$  are pm. ideals.
- $n\mathbb{Z} = (n) \subset \mathbb{Z}$  principle.  $= (n, 2n, 3n) \subset$  gen. by 3 elem.
- The ideal  $(2, n) \subset \mathbb{Z}[n]$  is not a pm. ideal.  
 Pf: Suppose otherwise  $(2, n) = (r)$  for some  $r \in \mathbb{Z}[n]$ .  
 Since we must generate 0 power,  $r \in \mathbb{Z}$ .  $\rightarrow$  F.
- Let  $R = 2\mathbb{Z}[x]$  (without id). Then  $R$  is not a fm. gen. ideal.  
 "Pf": Assume the contrary: then  $R = (f_1, \dots, f_n)$ .  
 say  $\deg f_n = m \geq \deg f_i$ . Then we claim  $2x^{m+1} \notin (f_1, \dots, f_n)$ .  
 (coeff need to be at least 4).

lem

$R$  ring,  $1 \neq 0$ .

field  $\rightarrow$  ring  
 simple  $\rightarrow$  group (7.)

1. Let  $I \subset R$ , then  $I=R \Leftrightarrow I$  contains a unit.

2. If  $R$  is commutative, then  $R$  is a field  $\Leftrightarrow$  only ideals of  $R$  is  $R$  and  $(0)$ .

If ( $\Rightarrow$ )  $1$  is a unit.

( $\Leftarrow$ ) If  $u$  is unit,  $uu^{-1}=1 \in I$ . Then  $r(u^{-1}) \in I \forall r \in R \therefore I=R$ .

(2)  $\Rightarrow \forall I \neq (0)$ ,  $I$  contains a unit. By (1),  $I = R$ .

$\Leftarrow$  Let  $0 \neq u \in R$ . we consider  $(u) = I$ , since  $I = (0)$  or  $R$ ,  $I = R$ .  
 $\therefore (u) = R \cdot u$  and  $1 \in R = R \cdot u \Rightarrow \exists v \in R, uv = 1$ .

Def. (Maximal Ideal) Let  $I \subset R$  be a (left, right-2-sided) ideal. Then  $I$  is called (non-trivial) maximal if.  $I \neq R$  and any ideal  $J \supset I$  is either  $I$  or  $R$ .

Lem. Let  $R$  be comm. with  $1 \neq 0$ . Then

(1)  $R$  is a field  $\Leftrightarrow (0)$  is a max ideal.

(2) Let  $I \subset R$  be an ideal, then  $I$  is maximal.  $\Leftrightarrow R/I$  is a field.

Pf. 1. By lemma, ~~since the only ideals are field  $\Leftrightarrow R, (0)$~~  are the only ideals.

2. Straightforward by 4th Bm.thm, since only ideals in  $R/I$

$\Leftrightarrow \{I \supset I\} \Leftrightarrow \{\text{ideal of } R/I\}$  ( $\pi: R \rightarrow R/I$ ).

$\{R, I\} \Leftrightarrow \{R/I, I/I\}$ .

3.  $R/I$  is a field by (1).

$\Leftarrow$  similar to above, use the bijection in the quotient map.

Ex. 1.  $(p) \subset \mathbb{Z}$  is a max ideal for a prime  $p \in \mathbb{Q}$  ( $p\mathbb{Z} = p\mathbb{Z}$ )

$\Leftrightarrow \mathbb{Z}/p\mathbb{Z}$  is a field (by lemma above)

$\Leftrightarrow \mathbb{Z}/p\mathbb{Z}$  is an mt-dom.

Pf: Let  $\bar{a}, \bar{b} \in \mathbb{Z}/p\mathbb{Z}$ ,  $\bar{a} \neq 0 \neq \bar{b}$ . then  $p \nmid a, p \nmid b \Rightarrow p \nmid ab$ :  
 $\bar{ab} \neq 0 \therefore \mathbb{Z}/p\mathbb{Z}$  is an mt-dom  $\therefore$  a field.

2.  $(x-a) \in \mathbb{C}[x]$  is maximal for any  $a \in \mathbb{C}$ .

Pf: we consider the map  $\varphi: \mathbb{C}[x] \rightarrow \mathbb{C}$ ,  $f(x) \mapsto f(a)$ .

Thm Let  $R$  be a ring with  $I \neq 0$  (com.!). Then any (left, right, 2-sided) proper ideal  $I$  is contained in  $\cup_{J \supset I} J$ , i.e.  $J$  is a maximal ideal of  $R$ .

Pf: standard pf using Zorn's Lemma.

Let  $I$  be a proper ideal, consider the set

$$\Sigma = \{J \subset R \mid J \text{ is an ideal}, J \supset I, J \neq R\}.$$

- $\Sigma \neq \emptyset$ , since  $I \in \Sigma$ ,

Let  $C \subseteq \Sigma$  be a chain w.r.t. inclusion partial order. (i.e.,  $\forall J_1, J_2 \in C$ ,  $J_1 \subseteq J_2$  or  $J_2 \subseteq J_1$ )

Define  $K = \bigcup_{J \in C} J$ .

- $K$  is an ideal containing  $I$ .

$\forall a, b \in K, a, b \in J \in C$  for some  $J$ . Then  $a-b \in J \Rightarrow a-b \in K$ .

- $K \neq R$ . (since  $I \not\subseteq K$ ).

So any chain  $M \subseteq \Sigma$  has an upper bound. By Zorn's Lemma,  $\exists$  maximal element  $M \subseteq \Sigma$ . ~~we claim  $M$  is such a maximal ideal.~~

Say  $M$ . Then we claim  $M$  is a maximal ideal in  $R$  containing  $I$ .   
 Given by Zorn's Lemma  $\rightarrow$  by construction

Eg. we consider  $(pq) \in \mathbb{Z}$  for element primes  $p, q$ . Then  $(pq) \subset (p)$  and  $(pq) \subset (q)$ . So the max. ideal containing  $(pq)$  is not unique!

Def. (prime ideals)  $R$  be comm. with  $I \neq 0$ . An ideal  $P \subset R$  is called prime if.

- $P \neq R$ .

- $\forall a, b \in P$ , we have either  $a \in P$  or  $b \in P$  (or both)

Eg. 1.  $(p) \subset \mathbb{Z}$  is a prime ideal. for any prime  $p \in \mathbb{Z}$ .

Pf: if  $a, b \in P$ ,  $ab = cp \Rightarrow p \mid a$  or  $p \mid b$  since  $p$  prime.

$\therefore$  either  $a = a' \cdot p \Rightarrow a \in P$  or  $b = b' \cdot p \Rightarrow b \in P$ .  $\square$

2.  $(0)$  is a prime ideal in any integral domain.

Pf: if  $a, b \in (0)$ , then  $a=0$  or  $b=0$  since  $R$  is int. dom.

So  $a \in (0)$  or  $b \in (0)$ .  $\square$

Lem.

Let  $R$  be comm. with  $1 \neq 0$ . Let  $P \subset R$  be an ideal. (proper). Then  
 $P$  is prime  $\Leftrightarrow R/P$  is an int. dom. (cor: since field  $\Rightarrow$  int. dom.  
 $P$  is max  $\Rightarrow$  prime).

Pf: ( $\Rightarrow$ ) assume  $P$  prime, show  $R/P$  is an int. dom.  
 $\Leftrightarrow \forall \bar{a}, \bar{b} \in R/P, \bar{a} \cdot \bar{b} = 0 \Rightarrow \bar{a} = \bar{0}$  or  $\bar{b} = \bar{0}$   
 $\Leftrightarrow a \in \ker$  or  $b \in \ker$  ( $\ker = P$ )  
but  $\bar{a} \cdot \bar{b} = \bar{a} \cdot \bar{b} \neq 0$ , and  $\bar{a} \cdot \bar{b} = 0 \Rightarrow a \in \ker \cap b \in \ker$ .

( $\Leftarrow$ )

Defn

Let  $R$  be comm. with  $1 \neq 0$ . Define.

$$\mathfrak{J}(R) = \{x \in R \mid x^n = 0 \text{ for some } n > 0\}.$$

Lem.  $\mathfrak{J}(R)$  is an ideal.Pf: recall: if  $x \in \mathfrak{J}(R)$ ,  $r \cdot x \in \mathfrak{J}(R) \quad \forall r \in R$ .Say  $x^n = 0$ , then  $(rx)^n = r^n \cdot x^n = 0$ .• let  $x, y \in \mathfrak{J}(R)$ , with  $x^n = y^m = 0$ . we compute

$$(x-y)^{n+m} = \sum_{i=0}^{n+m} \frac{(n+m)!}{i!(n+m-i)!} x^i y^{n+m-i} (-1)^{n+m-i} = 0$$

Since for each  $x^i y^{n+m-i}$ , we have either  $i \geq n$  or  $n+m-i \geq m$ .

$$\therefore x^i y^{n+m-i} = 0.$$

Thm  $\mathfrak{J}(R) = \bigcap_{\substack{\text{prime ideal } P \\ \text{of } R}} P$ Pf: (1) We first show  $\mathfrak{J}(R) \subseteq \bigcap P$ .Let  $x^n = 0$ . Then  $x \cdot x^{n-1} \in P$  (since  $0 \in (0)$  is a prime).If  $x^{n-1} \in P$ , we repeat. (Then  $x \cdot x^{n-2} \in P \dots$ )Because base case:  $1 \notin P$ .

Pf (cont'd) (2) show  $\mathcal{P}(R) \supseteq \mathcal{N}$ .

We show the contrapositive: if  $x \notin \mathcal{P}(R)$ ,  $x \notin P$  for some ideal  $P$ .

(Zorn's lemma again). Let  $\alpha$  be given,  $x \notin \mathcal{P}(R)$ .

Let  $\Sigma = \{J \subseteq R \mid J \neq R \text{ and } x^m \notin J + m \in \mathbb{Z}_0\}$ .  $\supset (0)$

Let  $C \subseteq \Sigma$  be a chain w.r.t. the incl. partial ord.

- $K = \bigcup_{J \in C} J$  is an ideal.
- $K \neq R$  ( $1 \notin K$ )
- $x^m \notin K + m > 0$ .

$\therefore K$  is an u.s. of  $C$ . Thus by Zorn's, let the max elem. be  $M \in \Sigma$

Claim:  $M$  is a prime ideal

We also have  $(m \neq R, x^m \notin M + m > 0)$ .  $M$  is also an ideal.

We show  $M$  is prime: suppose otherwise, let  $a, b \in R$  s.t.

$a \notin M, b \notin M$  but  $a \cdot b \in M$ .

Then  $(a) + M \neq M \neq (b) + M$  either way, contradicting.

By maximality of  $M$ ,  $(a) + M, (b) + M$  must contain some power of  $x$ , then

let  $x^s \in (a) + M, x^t \in (b) + M$  for  $s, t > 0$ ,

$\therefore x^{s+t} \in ((a) + M) \cap ((b) + M)$

$\supseteq (ab) + M = M$  (since  $ab \in M$ ).

$\therefore M$  is a prime ideal and  $x \notin M$ .  $\square$

### Field of fractions and localizations (only for comm. rings)

3 defns, more & more generalized.

Motivation Recall the construction of  $\mathbb{Q}$  from  $\mathbb{Z}$ .

$$\mathbb{Q} = \left\{ \frac{a}{b} \mid a \in \mathbb{Z}, b \in \mathbb{Z} \setminus \{0\} \right\}.$$

$$= \left\{ (a, b) \mid a \in \mathbb{Z}, b \in \mathbb{Z} \setminus \{0\} \right\} / \sim$$

$$\sim: (a, b) \sim (c, d) \iff ad = bc \quad (\frac{a}{b} = \frac{c}{d}).$$

Then  $(a, b) + (c, d) \stackrel{\text{def}}{=} (ad + bc, bd)$ . (is well defined (but not trivial)).

$$(a, b) \times (c, d) \stackrel{\text{def}}{=} (ac, bd)$$

Then  $\mathbb{Q}$  is a ring (in add, a field).

Eg. 2. Let  $0 \neq a \in \mathbb{Z}$ . We define  $\mathbb{Z}[\frac{1}{a}] = \left\{ \frac{b}{a^n} \mid b \in \mathbb{Z} \right\} \subset \mathbb{Q}$ .  
In  $\mathbb{Z}[\frac{1}{a}]$ ,  $a$  is invertible.  $a \cdot (\frac{1}{a}) = 1$  (localization)

Defn/Theorem: let  $R$  be an int. dom. (with  $1 \neq 0$ ). Let  $D = R \setminus \{0\}$ . Define the field of fraction of  $R$ , or the quotient field of  $R$ , denoted  $\mathbb{Q}$ , as follows:

1. Define the set  $\mathbb{Q} = \{(a, b) \in R \times D\}$ . Define equiv. relation  $\sim$ ,  $(a, b) \sim (c, d) \iff ad = bc$ .

Define  $\mathbb{Q} = \mathbb{Q}/\sim$ . Write  $\frac{a}{b}$  for the equiv. class  $(a, b)$ .

Pf:  $\sim$  is an equiv. relation.

refl:  $(a, b) \sim (a, b) \iff ab = ba \iff (a, b) \sim (a, b)$

sym:  $ad = bc \iff cb = ad \iff (c, d) \sim (a, b)$ .

trans:  $ad = bc$ , ~~and~~  $cf = de$   $\Rightarrow adf = bce$   $\Rightarrow acf = bcd$   $\Rightarrow af = be \Rightarrow (a, f) \sim (b, e)$

2. Define  $+ : \frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}$ . Check well-def.

Pf: Let  $\frac{a}{b} = \frac{a'}{b'}$ . Then  $\frac{a'd+bc}{bd} = \frac{ad+bc}{bd}$  given  $a'b = b'a$ .

We show  $(a'd+bc)bd = (ad+bc)b'd$ .

$$= a'b'd^2 + b'abcd$$

$$= ab'd^2 + b'bcd$$

$$= (ad+bc)b'd$$

and similarly with  $\frac{c}{d} = \frac{c'}{d'}$ .

3. Define  $\times$  on  $\mathbb{Q}$ :  $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$ . Check well-def.

Pf: Let  $\frac{a}{b} = \frac{a'}{b'}$ . wts  $\frac{a'c}{b'd} = \frac{ac}{bd} \iff a'c bd = ac b'd$ .

$$\Rightarrow a'c bd = (a'b')cd = ab'cd = abc'd. \quad \square$$

Then  $\mathbb{Q}$  is a (well-def) field containing  $R$  via an inj. hom:

$$R \rightarrow \mathbb{Q}, r \mapsto \frac{r}{1}.$$

Pf:  $\ker \phi = \{r \in R \mid \frac{r}{1} = 0 \iff r = 0 \in \mathbb{Q}\}$ .  $rd = 0 \Rightarrow r = 0 \text{ mod } d$ , and  $R$  is an int. dom.

Ex

1.  $\mathbb{Q}$  is the field of fractions (div. field) of  $\mathbb{Z}$ .

2. Let  $R = \mathbb{R}[x]$ . Then the field of fractions of  $R$  consists of elems of the form  $\frac{f(x)}{g(x)}$ .

Def/Thm

Let  $R$  be a comm. ring with  $1 \neq 0$  (not. rec. int. dom). Let  $D \subseteq R - \{0\}$ .

be s.t.  $D \neq \emptyset$ ,  $1 \in D$ , and zero divisors  $\notin D$ , closed under mult.

$$\text{(imagine } \frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd} \leftarrow \neq 0, b \in D \text{.)}$$

We define the localization of  $R$  wrt.  $D$  denoted by  $D^{-1}R$ , as follows :

1. As a set, define  $D^{-1}R = \{(a/b) | a \in R, b \in D\}$ ,

define eqv. reln  $\sim$ :  $(a/b) \sim (c/d)$  if  $ad = bc$ ,

Then  $D^{-1}R = D^{-1}R/\sim$ . Write  $\frac{a}{b}$  as the eqv. class containing  $(a/b)$ .

2. Define  $+$ ,  $\times$  on  $D^{-1}R$  as before.

$$\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}, \quad \frac{a}{b} \times \frac{c}{d} = \frac{ac}{bd}.$$

Then we claim:

1.  $D^{-1}R$  is a (well-defn) ring with embedding.

$$i: R \rightarrow D^{-1}R, r \mapsto \frac{r}{1} = \frac{rd}{d}.$$

2. and  $d \in D \Rightarrow$  invertible in  $D^{-1}R$  via the embedding  $i$ , i.e.,  $\frac{1}{d} \in D^{-1}R$

3. (Universal property) Let  $S$  be any comm. ring with  $1 \neq 0$ . Let  $\varphi: R \rightarrow S$  be a hom. s.f.  $\varphi(D) \subseteq S^\times$ . Then there is a unique map  $D^{-1}\varphi: D^{-1}R \rightarrow S$  making the following diagram  $\mathcal{J}$ :

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ i \downarrow & \nearrow & \\ D^{-1}R & \xrightarrow{D^{-1}\varphi} & \end{array}$$

of (defn)

Pf: (1) & (2) are same as before.

Claim 1:  $\ker i = \{r \in R | i(r) = \frac{r}{1} = 0 = \frac{0}{d}\}$

$\therefore rd = 0$  but  $d \in D$  must not be a zero div.,  $\neq 0 \Rightarrow r = 0$ .

$\therefore$  injective (embedding).

$$d \xrightarrow{\varphi} \varphi(d)$$

Date

No.

Pf (claim 3):

$$\begin{array}{ccc} d & \xrightarrow{\varphi} & \varphi(d) \\ R & \xrightarrow{\varphi} & S \\ i \downarrow & & \nearrow \\ D^+R & \xrightarrow{\varphi} & D^+Q \\ \frac{r}{d} & & \end{array}$$

$\varphi(D) \subset S^*$ .

"define"  $D^+R \rightarrow S$ ,  $\frac{r}{d} \mapsto \varphi(r) \cdot \varphi(d)^{-1}$

check  $D^+\varphi$  is well-defined.

$$\text{Let } \frac{r}{d} = \frac{r'}{d'} \in D^+R.$$

$$\therefore D^+\varphi\left(\frac{r}{d}\right) = \varphi(r) \cdot \varphi(d)^{-1}$$

$$D^+\varphi\left(\frac{r'}{d'}\right) = \varphi(r') \cdot \varphi(d')^{-1}.$$

$$\text{but } rd' = dr' \Rightarrow \varphi(rd') = \varphi(dr')$$

$$\varphi(r)\varphi(d) = \varphi(d)\varphi(r') \text{ as desired.}$$

Eg. 1. Let  $R = \mathbb{Z}$ ,  $D = \wp p^n$  ( $n \geq 0$ ). Then  $D^+R = \mathbb{Z}[\frac{1}{p}]$ .

2. Let  $R = \mathbb{R}[x]$  as poly. fun on  $\mathbb{R}$ . Then  $D = \wp x^n$  ( $n \geq 0$ ). Then

$$D^+R = \{\frac{f(x)}{x^n} \mid f(x) \in \mathbb{R}[x], n \geq 0\} \text{ as function } (\mathbb{R} - \{0\}) \rightarrow \mathbb{R}.$$

Prop.

$\#(1 \neq 0)$   
Let  $R$  be an int. dom. Then the field of fractions  $\mathbb{Q}$  is the smallest field containing  $R$ . I.e. if  $F$  a field containing  $R$ , then  $F$  must contain a subring  $\cong \mathbb{Q}$ .

Pf: Let  $D = R - \{0\}$ ,  $Q = D^+R$ . Then

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & F \\ i \downarrow & \nearrow & \\ D^+R & \xrightarrow{\varphi} & D^+Q \end{array}$$

We claim  $D^+R$  is injective, since  $\ker(D^+\varphi)$  is an ideal in the field  $D^+R$   $\cong \mathbb{Q}$ ,  $\ker(D^+\varphi) = \{0\}$ .

## MATH3701 Chap 7 (10).

(domain might have zero div.)

~~Fact~~ ~~dom~~ Let  $R$  be a comm. ring with  $1 \neq 0$ . Let  $D$  be closed under  $\times$ , a subset of  $R$  containing  $1$ . Then  $\exists$  among  $D^{\perp}R$  f.g. with a ring hom  $i: R \rightarrow D^{\perp}R$  s.t.

(1)  $i(D)$  is invertible.

(2) Let  $S$  be any comm. ring with  $1 \neq 0$ . Let  $\varphi: R \rightarrow S$  s.t.

$\varphi(D) \subset S^*$ . Then  $\exists$  a unique map  $D^{\perp}\varphi: D^{\perp}R \rightarrow S$  making the diagram (a):

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & S \\ i \downarrow & \nearrow D^{\perp}\varphi & \\ D^{\perp}R & & \end{array}$$

$D^{\perp}R$  is unique upto isom. Recall the univ. prop. of  $R/I$ .  
Uniqueness is proved there.

Pf: we construct  $D^{\perp}R$  and the ring hom  $i: R \rightarrow D^{\perp}R$ .

(1)  $D^{\perp}R = \{(a, b) \mid a \in R, b \in D\} / \sim$  where

~~\*~~  $\sim: (a, b) \sim (c, d)$  if  $\exists u \in D$  s.t.  $x \cdot (ad - bc) = 0$ .

[Recall defns 1, 2]

- 1.  $R$  is an int. dom. Thus  $x(ad - bc) = 0 \Rightarrow (x \neq 0 \Rightarrow ad - bc = 0)$ .
- 2.  $D$  has no zero div. Thus  $y \in D \Rightarrow y \neq 0 \Rightarrow (ad - bc = 0)$ .

Thus this defn generalizes both.

(2) Define  $+$  on  $D^{\perp}R$ .

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}.$$

(check)

(3) Define  $\times$  on  $D^{\perp}R$

$$\frac{a}{b} \times \frac{c}{d} = \frac{ac}{bd}.$$

(check). Let  $\frac{a}{b} = \frac{a'}{b'}$   $\Leftrightarrow x(ab' - ba') = 0$

$$\text{Then } \frac{ac}{bd} = \frac{a'c}{b'd}.$$

$$\Leftrightarrow \exists y, y(ac + b'd - a'c'b) = 0$$

$$y(ab' - a'b)cd = 0 \quad \text{done.} \quad \Rightarrow 0.$$

Then  $D^{\perp}R$  is a well-def. ring with  $i: R \rightarrow D^{\perp}R$ ,  $r \mapsto \frac{r}{1} = \frac{rd}{d}$ . satisfying  
(the univ. prop) conditions (1), (2).

Ex

1. Let  $R$  be any comm ring with  $I \neq 0$ . Let  $D = \{0, 1\}$ , then we claim  $D^{\perp}R \cong \{0\}$ .

Pf:  $i: R \rightarrow D^{\perp}R$ ,  $0 \mapsto 0$  is injective.

2. Let  $I\mathbb{Z} = \mathbb{Z}/6\mathbb{Z}$ . Let  $D = \{\bar{2}, \bar{4}, \bar{1}\}$ . Then, we claim

$$D^{\perp}R \cong \mathbb{Z}/3\mathbb{Z} \quad \bar{3} = \frac{3}{1}$$

Pf: In  $D^{\perp}R$ , we have  $\frac{3}{1} \cdot \frac{1}{2} \cdot \frac{1}{2} = \frac{3}{1} = \bar{0} = \bar{3}$ .

$$\cdot \frac{\bar{5}}{1} = \frac{\bar{2}}{1} \quad (\frac{\bar{2}}{1} + \frac{\bar{3}}{1} = \frac{\bar{5}}{1})$$

$$\cdot \frac{\bar{1}}{2} = \frac{\bar{2}}{1} \Leftrightarrow x(\bar{1} \cdot \bar{1} - \bar{2} \cdot \bar{2}) = x - (\bar{3}) = 0 \text{ in } \mathbb{Z}/6\mathbb{Z}.$$

if  $x = \bar{z} \in D$ .

$$\cdot \frac{\bar{2}}{1} \neq 1 = \frac{\bar{1}}{1}$$

$$\cdot \frac{\bar{1}}{4} = \frac{\bar{4}}{1}, \quad \frac{\bar{4}}{1} = 1.$$

$$\mathbb{Z}/6\mathbb{Z} \rightarrow \mathbb{Z}/3\mathbb{Z} \quad \text{claim } D^{\perp}R \cong \mathbb{Z}/3\mathbb{Z}.$$



$$D = \{\bar{3^n} | n \geq 0\} = \{\bar{3}, \bar{1}\} \subset \mathbb{Z}/6\mathbb{Z}$$

$$\mathbb{Z}/2\mathbb{Z}$$

Lemma

1.  $\ker(i) = \{r \in I \mid x \cdot r = 0 \text{ for some } x \in D\}$ .

2.  $D^{\perp}R = 0 \Leftrightarrow 0 \in D$ .

Pr.(1)  $i: R \rightarrow D^{\perp}R$   $r \mapsto \frac{r}{1}$

Then  $\frac{r}{1} = \frac{0}{1} \Leftrightarrow x \cdot (r \cdot 1 - 1 \cdot 0) = x \cdot r = 0$ .

(2) ( $\Leftarrow$ ) If  $0 \in D$ , then  $\frac{0}{1} = 0$  in  $D^{\perp}R$  since  $0 \cdot (a - b \cdot 0) = 0$ .

( $\Leftarrow$ ) If  $D^{\perp}R = 0$ , then  $\frac{1}{1} = 0$ , so

$$x(1 \cdot 1 - 0 \cdot 1) = x = 0.$$

□

Thm Chinese Remainder Thm.

Eg.  $\exists$  ring BDM.

$$\mathbb{Z}/2 \times 3 \times 5\mathbb{Z} \cong \mathbb{Z}/30\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z} \times \mathbb{Z}/5\mathbb{Z}$$

$$x \mapsto \bar{1}, \bar{2}, \bar{5} \quad x=17$$

there is a unique integer mod 30, s.t.

$$n \equiv 1 \pmod{2}, \quad n \equiv 2 \pmod{3}, \quad n \equiv 2 \pmod{5}.$$

Def.  $R$  comm,  $I \neq 0$ . Two ideals  $A, B$  of  $R$  are coprime if  $A+B=R$ .

( $(2)+(3)=\mathbb{Z}$  so "2", "3" are coprime.)

Recall in HW1, under  $A, B$  coprime, we have  $A \cap B = A \cdot B$ .

Thm (CRT) Let  $R$  comm  $I \neq 0$ ,  $A_1, \dots, A_k$  pairwise coprime ideals of  $R$ . Then we have the BDM.

$$R/A_1 A_2 \dots A_k \cong R/A_1 \times R/A_2 \times \dots \times R/A_k.$$

$$(\cong R/A_1 \cap A_2 \cap \dots \cap A_k)$$

Pf: First we have a ring hom

$$\varphi: R \rightarrow R/A_1 \times R/A_2 \times \dots \times R/A_k.$$

$$r \mapsto (r+A_1, r+A_2, \dots, r+A_k)$$

Note that  $\varphi(r)=0 \Rightarrow r \in A_1, r \in A_2, \dots, r \in A_k$ .

$$\therefore \ker(\varphi) = A_1 \cap A_2 \cap \dots \cap A_k.$$

Case:  $k=2$

Claim:  $\varphi: R \rightarrow R/A_1 \times R/A_2$  is surjective.

Recall  $A_1 + A_2 = R$  by assumption. Let  $a_1 + a_2 = 1$  for some  $a_1, a_2$ .

$$\text{Then } \varphi(a_1) = (a_1+A_1, a_1+A_2)$$

$$= (0+A_1, 1+A_2)$$

$$= (0+A_1, 1+A_2) = (\bar{0}, \bar{1}) \in R/A_1 \times R/A_2.$$

Similarly,  $\varphi(a_2) = (\bar{1}, \bar{0}) \in R/A_1 \times R/A_2$ .

Thus for any  $(\bar{a}, \bar{b}) \in R/A_1 \times R/A_2$ ,

$$\begin{aligned} \varphi(a_1 a_2 + b a_1) &= \varphi(a_2 a_1 + b a_1 + a_1) \\ &= (\bar{a}, \bar{b}). \end{aligned}$$

$\therefore$  By 1st LDM,  $R/A_1 A_2 \cong R/A_1 \times R/A_2$ .

Case: General.

WTS  $R/A_1 \dots A_k \cong R/A_1 \times R/A_2 \dots A_k \cong R/A_1 \times \dots \times R/A_k$ .

Claim: if  $A_1, A_2 \dots A_k$  are coprime, then we are done by the  $k=2$  case and induction.

Pf of claim: since  $A_i$  are coprime, we have

$\forall i=2, \dots, k, \exists x_i, y_i \in A_1, y_i \in A_i$  s.t.

$$x_1 y_2 + y_2 = 1$$

$$x_2 y_3 + y_3 = 1$$

$$\therefore (x_2 y_3 + y_3) \cdot (x_3 y_4 + y_4) \dots (x_k y_k + y_k) = 1.$$

Expanding,  $\sum_{i=2}^k ( ) x_i + y_2 y_3 \dots y_k = 1$

$$x_1 x_2 \dots x_k \in A_1 \cap A_2 \dots A_k.$$

thus  $x_1 x_2 \dots x_k \in A_1$ . thus coprime.  $\square$

MATH3201 Chap 8. PID. (all rings are comm. w/ the 3 prop.)

Overview Integral Domain  $\nsubseteq$  UFD  $\nsubseteq$  PID  $\nsubseteq$  Eucl.d.in Domain  $\nsubseteq$  (fields).

Def. (PID) A an principle ideal domain  $\Leftrightarrow$  an int. dom in which every ideal is principle, i.e.,  $(x)$  (gen by a single elem) =  $Rx = \{ax \mid a \in R\}$  (or every sump of a cyliz gp.  $\Rightarrow$  cyliz). (not RnR since comm).

Eg.  $\mathbb{Z}$  is a PID (actually a Euclidean Domain).

Prf: Let  $(0) \neq I \subset \mathbb{Z}$  be an ideal. Then let  $r \in I$  be the elem s.t.  $|r|$  is minimal. We claim  $I = (r)$ .

Clearly  $(r) \subset I$ .

We show  $I \subset (r)$ . Let  $x \in I$  be given, then do euclid. div.

$x = qr + y$  for some  $q, r \in \mathbb{Z}$  s.t.  $|y| < |r|$ .

Then by the assumption that  $|r|$  is minimal ( $\neq 0$ ),

we must have  $y = 0$ .  $\therefore x = qr \Rightarrow x = (r) = R \cdot r$ .

$\therefore I = (r)$  and  $\mathbb{Z}$  is a PID.

Rem. Generalizing this gives us Euclidean Domains.

Prop\*  $\text{PID} \rightarrow$  Let  $R$  be a PID. Then any prime ideal  $P$  is also maximal.

Prf 1. Let  $p \in P$ . ( $R$  is a PID)

2. Let  $I \subsetneq P$ . If  $I = R$ , we are done. (defn of max).

2.1 Let  $I = (a)$ ,  $a \notin P$ . (otherwise  $I = P$ ).

2.2 Since  $P \subset I$ ,  $p \in I = R \cdot a$ . Then  $\exists b \in R$ ,  $p = a \cdot b$ . (comm)

2.3  $\therefore p = a \cdot b \in P \Rightarrow a \in P$  (impossible) or  $b \in P = R_p$

2.4  $b = pc$  for some  $c$ .

$\therefore p = a \cdot pc \Rightarrow ac \in I \Rightarrow (a) = R$ .  $\square$

**Def.** Let  $R$  be a comm. ring  $I \neq 0$ . (or an int. dom). Let  $a, b \in R$  with  $b \neq 0$ .

1. we say  $a$  is a mult. of  $b$ , or  $b$  divides  $a$ , if  $a = bc$  for  $c \in R$ . we write  $b | a$ .

2. GCD of  $a, b$  is a non-0 elem  $d \in R$ , s.t.

- $d | a, d | b$ .
- $\forall d', d' | a, d' | b \Rightarrow d' | d$ .

(abuse of) notation: write  $d = \text{gcd}(a, b)$ . we discuss existence/uniqueness

**Lemma.**  $R$  int. dom,  $a, b \in R$ ,  $b \neq 0$ . Let  $d, e$  be gcd of  $a, b$ . Then  $d = u \cdot e$  for some unit  $u$ .

Prf: since both  $d, e$  are gcd, we have  
 $d | e, e | d$ .

$\therefore d | e$  i.e.  $d|x = e, ey = d$  for some  $x, y \in R$ .

$\Rightarrow eyx = e$ . Then  $yx = 1$  since  $e \neq 0$ .  $\square$

**Prop.** Let  $R$  be PID,  $a, b \in R$ , with  $b \neq 0$ . Let  $(a, b) = (d)$ . Then  $d = \text{gcd}(a, b)$ . So gcd always exists in a PID and is of the form  $an + by = d$ .

Prf: 1. we show  $d | a$  and  $d | b$ .

1.1  $a \in (d) \Rightarrow a = da'$  for some  $a' \in R$

1.2  $b \in (d) \Rightarrow b = db'$  for some  $b' \in R$

2. we show  $\forall d' \in R, d' | a \wedge d' | b \Rightarrow d' | d$

2.1 then  $a = d'a''$ ,  $b = d'b''$ .  $\therefore a \in (d'), b \in (d')$ .

2.2  $\therefore (a, b) \subset (d') \Rightarrow (d) \subset (d')$ .

2.3 Thus  $d \in (d) \subset (d') \Rightarrow d' | d$ .  $\square$

**Remark** 1. We don't need  $R$  to be PID, only  $(a, b) = (d)$ .

2. It's more natural to define GCD using ideals.

i.e., if multiple GCD, then  $(d) = (d')$  if  $d = ud$ .

## MA3201 Chap 8 (2)

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**Defn** (Noetherian Rings)  $R$  comm with  $\mathbb{Z} \neq 0$ , is called Noetherian, if  
↑ chain of ideals in  $R$ ,  $I_1 \subset I_2 \subset I_3 \subset \dots$ , (asc. chain condition)  
we can find  $m > 0$  such that  $I_m = I_k$  for all  $k \geq m$ .  
or equivalently  $\bigcup_{i=1}^{\infty} I_i = I_m$ .

**Rem** Asc. chain cond (ACC), is a finiteness assumption.  
If  $R$  is Noetherian, then we can show any ideal is contained in  
a maximal ideal without Zorn's lemma. (no as here, we have lim. m)

**Thm** Let  $R$  be a PID. Then  $R$  is Noetherian (and thus any ideal is contained in a max. ideal)

**Pf:** We show the chain of ideals  $I_1 \subset I_2 \subset \dots$  stabilizes.

Then  $I = \bigcup_{i=1}^{\infty} I_i$  is an ideal. (check if unsure)

$= (r)$  for some  $r \in R$ .

Then  $r \in I \Rightarrow r \in I_m$  for some  $m > 0$ . Then  $I \subset I_m \subset I$ .  $\square$

Since  $(r) = I$  and  $r \in I_m$ .

**Def.**

**Defn.** Let  $R$  be an int. dom. A norm on  $R$  is a fn  $N: R \rightarrow \mathbb{Z}_{\geq 0}$  s.t.  
 $N(0) = 0$ .  
 $R$  is called a Euclidean Domain if we can perform the Euclidean division  
wrt some norm  $N$ :

for  $a, b \in R$  with  $b \neq 0$ , we have

$a = qb + r$  with  $r = 0$  or  $N(r) < N(b)$ .

$r$  is called the quotient,  $r$  remainder.

**Rem** we often consider the case  $N(r) > 0$  &  $r \neq 0$  ( $r = 0 \Leftrightarrow N(r) = 0$ )  
Then we just need  $a = qb + r$  with  $N(r) < N(b)$ .

**Eg.**

1. Let  $R = \mathbb{Z}$ ,  $N(r) = |r|$ .  $R$  is an Euclidean domain.

2. Any field with any norm. ( $\because$  the choice of norm is not unique.)

3.  $\mathbb{IR}[x]$  with  $N(f(x)) = \deg f(x)$ .

**Pf by eg:** Let  $a = x^2 + x + 1$ ,  $b = x + 1$

$\therefore a - q_1 b = x^2 + x + 1 - x^2 - x - 1$  has a lower degree.

$q_1$

$r$

4. Any discrete valuation ring  $R \subset K$  at field, with  $N(r) = v(r)$ .  
 for  $r \neq 0$  and  $N(0) = 0$ , where  $v: K^* \rightarrow \mathbb{Z}$  is the discrete valuation. ( $\star$ ) check.

5. Gaussian Integers:  $\mathbb{Z}[i] = \{a+bi \mid a, b \in \mathbb{Z}\} \subset \mathbb{C}$ .  
 with  $N(a+bi) = a^2 + b^2 = (a+bi)(a-bi)$ .  $\therefore$  ED.

Pf: Let  $\alpha, \beta \in \mathbb{Z}[i] \subset \mathbb{C}$  with  $\beta \neq 0$ . Then

$$\frac{\alpha}{\beta} = x+yi \in \mathbb{Q}[i] = \{a+bi \mid a \in \mathbb{Q}, b \in \mathbb{Q}\} \subset \mathbb{C}$$

Let  $m, n$  be integers s.t.  $|x-m| \leq \frac{1}{2}$ ,  $|y-n| \leq \frac{1}{2}$ .

$$\begin{aligned} \alpha &= (x+yi)\beta \\ &= (m+ni) + (x-m) + (y-n)i \\ &= (m+ni)\beta + ((x-m) + (y-n)i)\beta. \end{aligned}$$

$$\text{Then } N(r) = N((m-n)+(y-n)i)\beta$$

$$\begin{aligned} &= N((x-m)+(y-n)i) \cdot N(\beta) \quad (\text{norm of complex num.}) \\ &\leq \left(\frac{1}{2}\right)^2 + \left(\frac{1}{2}\right)^2 \cdot N(\beta) < N(\beta). \end{aligned}$$

prop. Let  $R$  be a Eucl. Dom. Then  $R$  is a PID.

Pf: Let  $I \subset R$  be a non-0 ideal. Then  $I = (r)$  where  $r$  is the elem in  $I$  with minimal norm.

$$r \in I \Rightarrow Cr \subset I.$$

We just show  $I \subset C(r)$ . Choose any  $a \in I$ . Then  $a \in (r)$ .

$$\begin{aligned} \text{ED, } a &= qr + b \Rightarrow N(b) < N(r) = 0 \text{ or } b = 0. \\ \therefore b = 0 &\Rightarrow a = qr \Rightarrow a \in (r) \quad \square \end{aligned}$$

Rem There are ex of PIDs that's not a ED, eg.

$$\mathbb{Z}[\sqrt{-5}/2] = \{a+bi \mid a, b \in \mathbb{Z}\}. \quad (\text{DPF 8.2})$$

PR

(Discrete valuation rings are Euclidean Domains).

Recall 1.  $v(ab) = v(a) + v(b)$ , 2.  $v(a+b) \geq \min(v(a), v(b))$ , 3.  $v(\beta \text{ svn})$ .

Let  $a, b \in R$ ,  $b \neq 0$ . Then  $v(a) \geq v(b)$  or  $v(a) < v(b)$ .

Case 1:  $v(a) \geq v(b) \Rightarrow a \mid b \text{ or } a \mid b \text{ and } v(a) > v(b) \Rightarrow v(ab^{-1}) + v(b) = v(a) = v(b)$

Case 2:  $v(a) < v(b) \Rightarrow a = b - q \text{ for some } q \in R$

$$\Rightarrow ab^{-1} = q \Rightarrow a > b$$

□

$$\Rightarrow ab^{-1} = q \Rightarrow a > b$$

Recall in PID ( $\supseteq \text{ED}$ ), the  $\gcd(a, b)$  always exist. ( $b \neq 0$ )

Then let  $R$  be a Euclidean domain with norm  $N$ . Then we can find the  $\gcd$  of  $a, (b \neq 0)$  using the Euclidean Algorithm:

(If  $R = \mathbb{Z}$ ,  $N(r) = r$ ,  $a = 1071$ ,  $b = 462$ . Let  $d = \gcd(1071, 462)$  we just want the element  $m(1071, 462)$  with minimum norm, i.e.,  $(d) = (1071, 462)$ .)

$$1071 = 2 \times 462 + 147$$

$$462 = 3 \times 147 + 21$$

$$147 = 7 \times 21 + 0.$$

Then we see that  $(1071, 462) = (462, 147)$

$$147 \in \uparrow \quad 1071 \in \uparrow$$

$$= (1071, 21) = (147, 21) = (21, 0) = (21) = (\gcd).$$

### Unique Factorization Domains (UFD) (e.g. $6 = 2 \times 3$ ).

Def Let  $R$  be an int dom.

1. Let  $r \in R$ ,  $r \neq 0$ ,  $r$  not a unit. Then  $r$  is irreducible in  $R$  if whenever  $r = a \cdot b$ , either  $a$  or  $b$  is a unit.
2. A non-zero elem  $p$  is a prime in  $R$  if  $(p) \subseteq R$  is a prime ideal.  
Now, if  $p \mid ab \Rightarrow p \mid a$  or  $p \mid b$ .  
 $(ab \in (p)) \Rightarrow a \in (p)$  or  $b \in (p)$ .

Rem 1, 2 are actually different in general.

Eg. 1. Consider  $\mathbb{Z}[\sqrt{-5}]$ , then  $3, 3$  is irreducible but not a prime.

$$\text{not prime: } 3^2 = (2 + \sqrt{-5})(2 - \sqrt{-5}).$$

$[(2 + \sqrt{-5})(2 - \sqrt{-5})] \in (3)$ , but  $2 + \sqrt{-5} \notin (3)$  (?)

Irreducibility: Let  $3 = \alpha \cdot \beta$ , we show  $\alpha$  or  $\beta$  is a unit.

$$\alpha = x\alpha + y\beta\sqrt{-5}$$

$$\beta = x\beta + y\alpha\sqrt{-5}$$

$$\text{Complex norm: } N(3) = 9 = N(\alpha \cdot \beta) = N(\alpha) \cdot N(\beta)$$

But  $N(\alpha) \neq \pm 3 \nmid \alpha \in R$  because

$$= x_\alpha^2 + y_\alpha^2 \text{ if } x_\alpha, y_\alpha \in \mathbb{Z}. \Rightarrow N(\alpha) = \pm 1 \text{ wlog}$$

$$\Rightarrow \alpha = 1$$

( $\downarrow$  complex norm)

claim: if  $u$  unit,  $(u) = R$ .

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Lemma: let  $R$  be an int. dom. If  $p \in R$  prime, then  $p \in R$  irreducible.

Pf: let  $p = ab$  and wts if  $a$  or  $b$  is unit.

$p$  is prime  $\Rightarrow p \mid a$  or  $p \mid b$ . (a|cp) or, b|cp)

wlog if  $p \mid a$ , then  $a = rp$  for some  $r \in R$ .

$\therefore p = ab = prb$ .

$\therefore p(1-rb) = 0 \Rightarrow 1-rb = 0$  since int dom,  $p \neq 0$ .

$\Rightarrow b \in R$  unit.

Prop: let  $R$  be a PID. Then  $p \in R$  prime  $\Leftrightarrow p \in R$  irreducible.

Pf: ( $\Rightarrow$ ) True as above for all int dom.

( $\Leftarrow$ ) (of  $p$  be irreducible (non-zero, non-unit)).

We want to prove  $(p)$  is a (non-trivial) prime ideal.

But in PID, prime ideals = maximal ideals.

(Let  $M = (m) \supseteq (p)$  be a maximal ideal (existence given by Noetherian Ring, or Zorn's lemma).)

$\therefore p \in (m) \Rightarrow p = mr$  for some  $r \in R$ .

If  $m$  is unit,  $M = R$  which we do not want.

$\therefore m$  <sup>not</sup> unit,  $p$  irreducible  $\Rightarrow r$  is a unit.

So  $pr^{-1} = m \Rightarrow M \subset (p)$  (why?)

$\Rightarrow M = (p) \Rightarrow p \in R$  maximal ( $\Rightarrow$  prime).

Cor.  $\mathbb{Z}[\sqrt{-5}] \subset \mathbb{C}$  is an int. dom (since  $\mathbb{C}$  is a field) but not a PID  
(since  $3$  is reducible but not prime)

Def. (Unique Factorization Domain) UFD is an int. dom.  $R$  such that every non-zero, non-unit  $r \in R$  sat. if

1.  $r = p_1 \dots p_n$  can be written as a (finite) prod of irreducible elems  $p_i$  (not necessarily diff.)

2. The factorization  $r = p_1 \dots p_n$  is unique, up to mult by units.  
that is, if  $r = q_1 \dots q_n$ , then  $q_i = u_i p_i$  for some unit  $u_i$ .

Eg. ~~Defn~~ M 12,  $6 = 2 \times 3 = (-3) \times (-2)$ . (upto sign & unit mult.)

Prop. Let  $R$  be a UFD. Then a non-zero, non-unit  $p \in R$  is prime  $\Leftrightarrow p$  is irreducible.

Pf: ( $\Rightarrow$ ) It fails again under integral domains.

( $\Leftarrow$ ) Let  $p$  be irreducible. We show  $p$  is a prime.

We then consider the unique fact of:

$p \neq 0$ ,  $p$  not unit,  $p$  irreducible. Let  $a, b \in (p) \Rightarrow p | ab$   
(wts  $p | a$  or  $p | b$ )

$\therefore p | ab$  for some  $a, b \in R$ . We use ~~UFD~~ and  
factorise, similar to this eg:

Eg let  $a = p_1, b = p_2, r = p_3$ .

$$\therefore pp_3 = p_1p_2$$

$\Rightarrow p = u_1p_1$  or  $p = u_2p_2$  for some units  $u_1, u_2 \in R$ .

$\Rightarrow u_1^{-1}p = p_1$  or  $u_2^{-1}p = p_2 \Rightarrow p \mid p_1$  or  $p \mid p_2$ .

$\Rightarrow a \in (p)$  or  $b \in (p)$

Recall

UFD is a int dom  $R$ . in which every non-0, non-unit element  $r$  satisfies 2 things:

1.  $r = q_1 \dots q_n$  into a finite pdt of reducibles.

2. The factorization in (1) is unique upto perm and unit.mult.  
ie, if  $r = p_1 \dots p_n$ , then  $p_i = u_i q_i$  for some unit  $u_i$ .  
after rearrangement. ( $0 \leq i \leq n$ )

Prop

$R$  UFD.  $a, b \in R$ , non-zero. Suppose

$$a = u_1 p_1^{a_1} p_2^{a_2} \dots p_m^{a_m}, \quad b = v_1 p_1^{b_1} p_2^{b_2} \dots p_m^{b_m}$$

for irreducibles  $p_1, \dots, p_m$  and  $a_i, b_i \geq 0$

Then  $\gcd(a, b) = c = p_1^{c_1} \dots p_m^{c_m}$  where  $c_i = \min(a_i, b_i) + 1$ .  
(and exists).

Pf : ( $\gcd$  is least power of all irreducible factors)

Let  $d \mid a$ . We claim then we can write

$d = w \cdot p_1^{d_1} \cdots p_n^{d_n}$  with  $d_i \leq a_i$  (and w  $\neq$  unit)

then we also have, if  $d \mid b$ ,

$d = w \cdot p_1^{d_1} \cdots p_n^{d_n}$  with  $d_i \leq b_i$ .

$$\Rightarrow d_i \leq \min(a_i, b_i)$$

for any common divisor.

(left as exercise)

Then  $\text{PID} \Rightarrow \text{UFD}$ .

Pf In any PID, "prime"  $\Rightarrow$  "irreducible"  $\Rightarrow$  "maximal".

Let  $r \in R$  be non-0, non-unit in a PID  $R$ .

Claim:  $r$  has a irreducible factor.

If  $r$  is irreducible, we are done.

Otherwise,  $r = a \cdot b$  where  $a, b$  not units. (defn)

If  $a$  or  $b$  is irreducible, we are done.

Otherwise, say  $a = a_1 b_1$  where  $a_1, b_1$  not units.

Continuing all these

$$r = a \cdot b \Rightarrow a \mid r \Rightarrow (r) \subset (a) \subset (a_1) \subset \dots$$

But PIDs are Noetherian. So the chain stabilizes  
and  $r$  has a reducible factor,  $a_m$ .

corr. of pf:  $\forall r \in R$  PID,  $r$  has only finitely many  
irreducible factors.

claim: The factorization  $r = p_1 \cdots p_n$  is unique up to unit. by  
units and perm.

Pf: Idea! use the fact that prime  $\Leftrightarrow$  irreducible.

Let  $q_1 \cdots q_m = r = p_1 \cdots p_n$ , then  $q_1 \mid (p_1 \cdots p_n)$

$\Rightarrow p_1 \cdots p_n \in (q_1)$ . since  $(q_1)$  is a prime (irreducible) ideal,

we must have  $p_1 \in (q_1) \Rightarrow q_1 \mid p_1$ . but this is absurd

wlog,  $\Rightarrow q_1 \cap p_1 = \emptyset$ , must be a unit  
since  $p_1$  is irreducible.

repeating, we have QED.

Gaussian Integers  $\mathbb{Z}[i] = \{a+bi \mid a, b \in \mathbb{Z}\}$ . Euclidean Dom.

Thm 1.  $p \in \mathbb{Z}^+$  be a prime. Then  $p = a^2 + b^2$  for some  $a, b \in \mathbb{Z}$   
iff  $p \equiv 2, 1 \pmod{4}$ .

The expr. 3 unique up to  $\pm 1$  and perm.

2. The irreducible elements in  $\mathbb{Z}[i]$  are of the form (upto units)

(i)  $1 \pm i$  ( $\text{norm } 2$ ,  $1+i=2$ )

(ii) a prime  $p \in \mathbb{Z}$  with  $p \equiv 3 \pmod{4}$  ( $\text{norm } p^2$ )

(iii)  $a \pm bi$  where  $a^2 + b^2 = (a+b)i(a-bi) = p$  for some  $p \in \mathbb{Z}$ .

We prove (2), and show  $(2) \Rightarrow (1)$ .

Lem The units in  $\mathbb{Z}[i]$  are  $\pm 1, \pm i$ .

Pf. Let  $u$  be a unit. Then  $u \cdot u^{-1} = 1$ , so  
 $N(u \cdot u^{-1}) = N(u) \cdot N(u^{-1}) = N(1) = 1 = a^2 + b^2$ .  
 $\therefore a = 0$  and  $b = \pm 1$ , or  $a = \pm 1, b = 0$ .  $\square$

Lem 1. If  $\alpha \in \mathbb{Z}[i]$  be irreducible. Then  $N(\alpha) = p^2$  or  $N(\alpha) = p$   
for some prime  $p \in \mathbb{Z}$ .

2. Let  $\beta \in \mathbb{Z}[i]$  be such that  $N(\beta) = p$  for some prime  
 $p \in \mathbb{Z}$ , then  $\beta$  is irreducible.

Pf (1): Since irreducible  $\Leftrightarrow$  prime (CSD, PID), Then  $(\alpha)$  is a prime ideal. Consider the embedding  $\mathbb{Z} \hookrightarrow \mathbb{Z}[i]$ , then  $(\alpha) (= \varphi^{-1}((\alpha))) \cap \mathbb{Z}$  is a prime ideal in  $\mathbb{Z}$ . (HWL)  
(we are clear here the pre-image,  $\varphi^{-1}((\alpha)) \neq \mathbb{Z}$  since otherwise,  $\pm 1 \in (\alpha)$  not prime).

Since  $(\alpha)$  is prime,  $\exists$  some  $p \in \mathbb{Z}$ ,  $p \in (\alpha)$ .

$$\Rightarrow p = \alpha - \alpha' \Rightarrow N(p) = N(\alpha \cdot \alpha') = p^2 + 0^2 \\ = N(\alpha) \cdot N(\alpha')$$

$$N(\alpha) = 1, p, p^2. \text{ But } N(\alpha) \neq 1, \therefore N(\alpha) = p, p^2.$$

Pf (1): WTS if  $N(\beta) = p$ , for some  $p$  prime, then  $\beta$  is irreducible.

Clearly then if  $\beta = \beta_1 \beta_2$ ,

$$N(\beta) = N(\beta_1 \beta_2)$$

$$= N(\beta_1) N(\beta_2) = (\alpha p \text{ or } p \times 1)$$

$\Rightarrow$  either  $\beta_1, \beta_2$  is unit.  $\Rightarrow \beta$  is irreducible.

Rem

1. If we can decompose a prime  $p \in \mathbb{Z}$  in  $\mathbb{Z}[i]$ , then case ① applies:  $N(p) = p^2$  and we have at most 2 irreducible factors:

$$N(\alpha) = N(\alpha') = p \text{ where } p = \alpha \cdot \alpha'.$$

$$\text{or } N(\alpha) = p^2.$$

2. If  $\alpha$  is reducible and  $N(\alpha) = p^2$ , then  $\alpha = up$  for some unit  $u$ .

3. We shall study irreducibles of the form  $N(\alpha) = p$  (alw. irreducible)

Lem

let  $p \in \mathbb{Z}$  be a prime Meyer.

1. If  $p \equiv 2 \pmod{4}$  Then  $p = (1+i)(1-i)$ .

2. If  $p \equiv 3 \pmod{4}$  Then  $p$  is irreducible in  $\mathbb{Z}[i]$

Pf: (2)  $N(p) = p^2$ . If we show  $\forall \alpha \in \mathbb{Z}[i] \ N(\alpha) \neq p$ , then  $p \in \mathbb{Z}$  can only be expressed as a  $p = \alpha \cdot \alpha'$  with either being unit (which is, by definition prime).

WTS:  $\forall \alpha \in \mathbb{Z}[i] \ N(\alpha) \neq p$  irreducible.

Let  $\alpha = x+yi$ ,  $x, y \in \mathbb{Z}$ . Then  $N(\alpha) = x^2+y^2 \in \mathbb{Z}$ .

Consider  $\overline{N(\alpha)} \in \mathbb{Z}/4\mathbb{Z}$ .

$$\overline{x^2+y^2} = \overline{x^2} + \overline{y^2} = \overline{x^2} + \overline{y^2} \neq 3. \quad \square$$

4to cor. Let  $p$  be a prime. If  $p \equiv 3 \pmod{4}$ , then  $p \nmid a^2+b^2$   $\forall a, b \in \mathbb{Z}$ .

O

(1)

Lem. If  $p \equiv 1 \pmod{4}$ , then  $p | n^2 + 1$  for some  $n \in \mathbb{Z}$ .

"Pf": consider  $n^2 + 1 \equiv 0 \pmod{p}$ .

Then lemma  $\Leftrightarrow n^2 + 1 \equiv 0 \pmod{p}$  has a soln.

Note that  $\mathbb{Z}/p\mathbb{Z} \cong \mathbb{Z}/(p-1)\mathbb{Z}$  is a cyclic gp of order  $p-1$ .  
(classification of finite abelian groups.)

Since  $p \equiv 1 \pmod{4} \Rightarrow 4 | p-1$ . Then we can find an elem.  $r \in (\mathbb{Z}/p\mathbb{Z})^\times$  of order 4 (since cyclic group of order  $(p-1)$  has one and only one subgroup for each divisor of its order).  $\therefore r^4 = 1$ . ....

Cor. Let  $p \in \mathbb{Z}$  be a prime s.t.  $p \equiv 1 \pmod{4}$ . Then  $p$  is reducible in  $\mathbb{Z}[i]$ .  
(We know  $p = \alpha \cdot \bar{\alpha}$  for irreducible  $\alpha$  and  $\bar{\alpha}$ )  $\leftarrow$  defn of unique (due to UFD).

Pf! Assume  $p$  is irreducible. Lemma above gives us  $p | n^2 + 1$  for some  $n \in \mathbb{Z}$ .  $\therefore p | (n+i)(n-i)$

Since prime  $\Leftrightarrow$  irreducible,

we must have  $p | n+i$  or  $p | n-i$ .

WLOG if  $p | n+i$ ,  $pr = n+i$  for some  $r \in \mathbb{Z}[i]$ .

taking complex conjugate,

$\bar{pr} = \bar{p}\bar{r} = n-i$  but since  $p \in \mathbb{Z}$ ,  $\bar{p} = p$

$\therefore p | \bar{r}$  for some  $\bar{r} \in \mathbb{Z}[i]$

$\therefore p | n+i$  AND  $p | n-i$

$\Rightarrow p | (n+i) - (n-i) = 2i$

but  $p \nmid 2$  (odd),  $p \nmid i$  (since  $p \in \mathbb{Z}$ )  $\therefore \square$

Cor  $p \equiv 1 \pmod{4} \Rightarrow \exists \alpha \in \mathbb{Z}[i], N(\alpha) = p$

Cor

If  $I$  is prime in  $R \rightarrow (I) \subset R[x]$  is also prime.  
 $\nearrow I \text{ is idm} \quad \nearrow \text{polynomial rings over idm is Idm.}$

Pf:  $R/I[x] \cong R[x]/(I)$  is an Idm,  
 thus  $(I)$  is prime.  $\nearrow$  implies  $(I)$  is prime.

### Polynomial rings over Fields

Let  $F$  be a (non-trivial) field. Then  $F[x]$  is a Euclidean domain with the norm  $N(f(x)) = \deg(f(x))$

$$\begin{aligned} \text{Ex: in } F[x]: 5x^3 + x - 1, x - 1 \\ 5x^3 + x - 1 &= 5x^2(x - 1) + (5x^2 + x + 1) \\ &\leftarrow \cancel{5x^2(x-1)} + \cancel{5x^2(x+1)} \\ 5x^2 + x + 1 &= 5x(x - 1) + 6x + 1 \\ 6x + 1 &= 6(x - 1) + \cancel{5}. \end{aligned}$$

Summary

1.  $F[x]$  where  $F$  is a field is a ED (PID, UFD).

2. Let  $f(x) \in F[x]$ . Then  $f(a) = 0$  for some  $a \in F \iff x-a \mid f(x)$ .

Pf: Consider  $f(x)$  divided by  $(x-a)$ .

Since ED,  $f(x) = q(x)(x-a) + c$  for some  $c \in F$  since  $N(x-a) = 1$

$$\therefore f(a) = q(a)(a-a) + c = 0 \Rightarrow c = 0$$

3. Let  $f(x) \in F[x]$  with  $\deg n$ . Then  $f(x)$  has at most  $n$  roots in  $F$ .  
 (counting multiplicity).

Pf: Induction on degree  $n$ .  $n=0$  is clear.

Let  $\deg k$  be given. If there are  $n$  roots, we are done.

Otherwise,  $f(x) = q(x)(x-a)$  by the previous lemma, with root  $a$ .

By defn of Euclidean DIVE,  $\deg(q) = n-1$ , which means  $q(x)$  has at most  $n-1$  roots.

4.  $F[x]/(f(x))$  is a field  $\Leftrightarrow f(x)$  is irreducible (or prime or maximal)

5. Let  $p(x), q(x)$  be irreducible in  $F[x]$ .

If  $(p(x)) \neq (q(x))$  or  $p(x) \neq u \cdot q(x)$  for some unit  $u \in F[x]$   
then  $(p(x))$  and  $(q(x))$  are coprime.  $(cp(x)) + (cq(x)) = F[x]$

6. Let  $f(x) = p_1^{a_1}(x) p_2^{a_2}(x) \dots p_n^{a_n}(x)$  be the irreducible factorization.

Then  $F[x]/(f(x)) \cong F[x]/(p_1^{a_1}(x)) \times \dots \times F[x]/(p_n^{a_n}(x))$   
(Recall  $\mathbb{Z}/6\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$ ).

Prop

$F$  be a field,  $G \subset F^\times$  be a finite subgp. Then  $G$  is cyclic.

In particular  $(\mathbb{Z}/p\mathbb{Z})^\times$  is cyclic for prime  $p$ .

Pf:  $G$  must be Abelian and finite (since  $G_i$  is abelian).

By the classification of finite Abelian groups,

$$G \cong \mathbb{Z}/d_1\mathbb{Z} \times \mathbb{Z}/d_2\mathbb{Z} \times \dots \times \mathbb{Z}/d_n\mathbb{Z}.$$

where  $d_1 | d_2 | \dots | d_n$ . (divisibility chain)

Consider the polynomial  $x^{d_1} - 1$  in  $F[x]$ . Then it can have at most  $d_1$  roots in  $F$ , hence in  $G$  as well.

But  $\forall r \in \mathbb{Z}/d_1\mathbb{Z}$ , if  $r$  is a root for  $x^{d_1} - 1$ .

and  $d_2 | d_1$ ,  $\forall r \in \mathbb{Z}/d_2\mathbb{Z}$ ,

$\therefore$  we must have  $n=1$  (to have at most  $d_1$  roots).

### Polynomial ring vs functions

$F$  field,  $P$  the ring of polynomial funs on  $F$ .

$$P = \{ \text{fns}: F \rightarrow F \mid f(x) = \sum_{i=0}^n a_i x^i + a_{i+1}x, a_i \in F \}.$$

$$\text{Eg: } F = \mathbb{F}_2 = \mathbb{Z}/2\mathbb{Z}$$

Then the fn  $f(x) = x+1: F \rightarrow F$

$$0 \mapsto 1$$

$$1 \mapsto 0.$$

$$f(x) = x^2 + 1: F \rightarrow F$$

$$0 \mapsto 1$$

$$1 \mapsto 0.$$

$\therefore f = g$  on  $P$ .

We have a map from:  $F[x] \rightarrow P$   
 $\text{"expr"} \leftarrow f(x) \mapsto f(x)$  "fn"

Clearly from the ex.,  $F_2$ , this is not always 1-1.

Prop  $\varphi: F[x] \rightarrow P$ ,  $\beta$  an iso  $\Leftrightarrow F$  is infinite.

Pf:  $\varphi$  is surjective by defn of  $P$ .

$(\varphi, \beta \text{ iso} \Leftrightarrow \varphi \text{ is inj} \Leftrightarrow \ker \varphi = 0)$ .

We see that  $\ker \varphi = \{f \in F[x] \mid f(a) = 0 \forall a \in F\}$ .

If  $F$  is finite  $= \{a_1, \dots, a_n\}$ , then:

$$(x-a_1) \dots (x-a_n) \in \ker \varphi \neq \{0\}$$

$F$  is infinite  $\Rightarrow$  if  $f \in F[x]$ , it has only

finitely many ( $\leq n = \deg(f)$ ) roots. Thus

~~at most~~  $\forall f \in F[x]$ ,  $\ker \varphi$  unless is the zero map.

Thm (D&F 9.3) Let  $R$  be comm with  $I \neq 0$ . Then  $R$  is a UFD  $\Leftrightarrow R[x]$  is a UFD. ( $\Leftrightarrow R[x, y]$  is a UFD  $\Leftrightarrow \dots$ )

Cor  $\mathbb{Z}[x]$  is a UFD (but not a PID)

Irreducible Polynomials in  $F[x]$  (?) field.

Let  $F$  be a field. Recall  $(F[x])^* = F^* = F - \{0\}$ .

Lem. Let  $f(x) \in F[x]$  with  $\deg \geq 2$  or  $3$ . Then  $f(x)$  is irreducible if  $f(x)$  has no root in  $F$ . Then  $F[x]/(f(x))$  is a field.

Pf: If  $\deg(f) = 2$  or  $3$ , and  $f(x)$  is reducible, then  $a(x) \cdot b(x) = f(x)$  where  $\deg(a) < \deg(f)$  and  $\deg(b) < \deg(f)$ .

(i.e.  $a, b$  has  $\deg > 0$  (&  $F$ ). otherwise,  $a, b$  is a unit in  $F[x]$   $\Rightarrow$  reducible).

one of them must give roots after  $\alpha$  not. we have shown the contrapositive of the Lemma.  $\square$

Eg. (1) Consider the field  $\mathbb{F}_3 \cong \mathbb{Z}/11\mathbb{Z}$ . Then

$(x^2 + 3x + 4)$  in  $\mathbb{F}_3[x]$  since

$$(f(x)) = 3^2 + 3^2 + 4 = 22 \equiv 0 \pmod{11} \text{ in } \mathbb{F}_{11}.$$

$$\therefore f(x) = (x-3)(x-5)$$

$$= x(x-3) + 6x + 4$$

$$= x(x-3) - 5(x-3).$$

(2)  $x^2 + x + 1$  is irreducible in  $\mathbb{F}_2[x] = \mathbb{Z}_2[x]$  by the lemma.

$\therefore \mathbb{F}_2[x]/(f(x))$  is a field.

We claim  $\mathbb{F}_2[x]/(f(x))$  is a  $\mathbb{F}_2$ -vector space of 2-dim.

Pf: We claim that  $\{\bar{1}, \bar{x}\}$  is the basis of the vector space.  
 $\Leftrightarrow a\bar{x} + b\bar{1}$  spans  $\mathbb{F}_2[x]/(f(x))$ .  $\square$

$$\begin{aligned} \text{Eg. } \bar{x}^3 &= \bar{x} \cdot \bar{x}^2 = \bar{x}(-\bar{x}-1) \quad (x^2 + x + 1 = \bar{1}) \\ &= -\bar{x}^2 - \bar{x} \\ &= \bar{x} + \bar{1} - \bar{x} = \bar{1} \end{aligned}$$

$$\begin{aligned} \text{Eg. } (\bar{1} + \bar{x}) \cdot \bar{x} &= \bar{x} + \bar{x}^2 \\ &= \bar{x} - \bar{x} - \bar{1} = -\bar{1} \\ &= \bar{1} \quad (\mathbb{F}_2) \end{aligned}$$

(2) If we want to construct a field of 256 elements (recall QR code).  
we just want to find the "correct" polynomials.

# MA3701 W6L1 Irreducible Polynomials, Modulus

Date \_\_\_\_\_ No. \_\_\_\_\_

- Recap:
- $F[x]$ , field  $F \Rightarrow$  Euclidean Dom. ( $\Rightarrow$  PID = UFD)
  - $\deg f(x) \in F[x]$ , if  $\deg f \geq 1$ , then  $f(x)$  is irreducible  
 $\Leftrightarrow f(x)$  has no roots in  $F$ .

## Irreducible Polynomials in $Z[x]$ . (as prep for NT/Galois)

(Lemma) Let  $R$  be an int. dom, with prime ideal  $I \subset R$ .  
 Let  $p(x) \in R[x]$  be monic (leading coeff = 1) and non-constant.  
 Then if  $\overline{p(x)}$  is irreducible in  $R/I[x] \cong R[x]/I$ , then  $p(x)$   
 $\Rightarrow p(x)$  is irreducible in  $R[x]$ .

(Monic  $\Rightarrow$  no interference from  $R$ ).  $\leftarrow$  non-unit.

e.g.  $2x+2 = 2(x+1)$  is reducible with factor 2 in  $R[x]$ .

Pf: Assume the contrary that  $p(x) = a(x)b(x)$ .

Monic  $p(x) \Rightarrow \deg a(x) \geq 1, \deg b(x) \geq 1$ .

(otherwise  $a(x) = 1$  is a unit.  $\rightarrow$  E).

Then consider the image of  $p(x)$  in  $R/I[x] \cong R[x]/I$ .

$$\therefore \overline{p(x)} = \overline{a(x)} \cdot \overline{b(x)}.$$

We claim  $\deg \overline{a(x)} \geq 1$  and  $\deg \overline{b(x)} \geq 1$ , contradicting assumption

We have  $a(x) = a_0 + a_1x + \dots, b(x) = b_0 + b_1x + \dots$

where  $a_0 b_1 = 1$ . Since  $p(x) = a(x)b(x)$ .

$\Rightarrow \overline{a_0}, \overline{b_1} \in R/I$  are not 0. (?)

$\Rightarrow \deg \overline{a(x)}, \deg \overline{b(x)} \geq 1 \Rightarrow \overline{p(x)} = \overline{a(x)} \cdot \overline{b(x)}$

$\Rightarrow$  reducible in  $R/I[x]$ .  $\rightarrow$  E.

(recall units in  $R/I[x] = (R/I)^*$ ). (?) -

Eg. 1.  $x^2+x+1$  is med. in  $Z[x]$ , since it is irreducible in  $Z_2[x]$ .  
 (both 0, 1 are not roots)

2.  $x^2+1$  is not med. in  $Z[x]$  since it is med. in  $Z_3[x]$ .

$$0+1 \equiv 1, 1+1 \equiv 2, 4+1 \equiv 2.$$

$$(x^2+1 = (\bar{x}+\bar{1})(\bar{x}-\bar{1}))$$

Prop (Eisenstein's criterion for divisibility) Let  $R$  be an int. dom (usually  $\mathbb{Z}$ ) with a prime ideal  $I \subset R$ . Let  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in R[x]$  be a monic polynomial, non-constant.

Then  $a_{n-1}, a_{n-2}, \dots, a_0 \in I$  but  $a_0 \notin I^2 \Rightarrow f(x)$  is irred. in  $R[x]$ .

Eg: Let  $f(x) = x^2 + 10x + 5 \in \mathbb{Z}[x]$ . Let  $I = (5)$   
since  $\text{deg } f(x) \geq 2$ ,  $I^2 = (25)$ ,  $5 \notin (25)$ ,  $f(x)$  is irred.

Pf: By contrad. Suppose the contrary,

$$\begin{aligned} (\text{eg}) \quad \text{Let } f(x) &= (x-a)(x-b) \text{ for } a, b \in \mathbb{Z} \\ &= x^2 - (a+b)x + ab. \\ &= x^2 + 10x + 5 \end{aligned}$$

$$\text{then } 5 | ab \Rightarrow 5 | a \text{ or } 5 | b.$$

$$\text{If } 5 | a, \text{ then since } 5 | (a+b), 5 | b \Rightarrow 5^2 | ab.$$

Pf: Assume  $f(x) = a(x) \cdot b(x)$ , with  $\deg a(x)$ ,  $\deg b(x) \geq 1$ .  
we want to show contradiction that  $a_0 \in I^2$ .

$$\text{Let } a(x) = a_r x^r + \dots + a_0$$

$$b(x) = b_s x^s + \dots + b_0.$$

$$\therefore a_r b_s = 1 \rightarrow I \text{ some int. dom.}$$

Consider the image of  $f(x)$  in  $R/I[x]$ , which is a field  
since  $I$  is prime.

$$\text{we have } \overline{f(x)} = \overline{a(x)} \cdot \overline{b(x)}.$$

$$\text{since } f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$$

$$\in I, \text{ sent to } 0.$$

$$\therefore \overline{f(x)} = \overline{x}^n.$$

$$\therefore \overline{a(x)} \cdot \overline{b(x)} = \overline{x}^n + \dots + (\overline{a_0} + \overline{b_0} + \dots + \overline{a_s b_{n-s}}) \overline{x}^n$$

$$\neq (\overline{a_0} + \overline{b_0} + \overline{a_s b_{n-s}}) \overline{x}^n + \overline{a_s b_{n-s}}.$$

Comparing coeff,  $\overline{a_0} \overline{b_0} = \overline{0} \Rightarrow a_0 \in I$  or  $b_0 \in I$ .

Suppose  $a_0 \in I$ ,  $\overline{a_0} \overline{b_0} = \overline{0} \Rightarrow a_0 b_0 = 0$  (by  $n$ )

If  $b_0 \in I$ , we are done. Else  $\overline{a_0} = 0 \Rightarrow \overline{b_0} \overline{a_0} = \overline{0}$  (by  $n^2$ )

(similarly, ..., we assure at the end  $r \leq s$ ,

$$\overline{a_r} \overline{b_s} + \sum_{i+j=r+s} a_i b_j = \overline{b_s} = \overline{0} \rightarrow \square$$

$\frac{1}{I}$  (why?)

$\Rightarrow$  2.  $[Q[x], y]$  for  $x \in \text{dom } R$ , then  $y^n - x$  is irreducible.  
 $x \in (n) \subset R[x]$  but  $n \notin (n^2) = (n^2)$   
 $\uparrow$  otherwise  $R[x]/(n) \cong R$ ,  $R$  int dom.

### Modules (Simple group? simple ring?)

right.

Defn. Let  $R$  ring,  $A$  left  $R$ -module,  $\beta$  an Abelian gp  $M$ , together with an action map  $R \times M \rightarrow M$ ,  $(r \times m) \mapsto r \cdot m = rm$ .

s.t.  $\forall m, n \in M, r, s \in R$ , action.  $m \cdot (r+s) = m \cdot r + m \cdot s$

$$1. (r+s) \cdot m = r \cdot m + s \cdot m \quad 1. m \cdot (rs) = (m \cdot r) \cdot s$$

$$2. (rs) \cdot m = r \cdot (s \cdot m). \quad 2. \text{cancel}$$

$$3. \underset{\text{act on } M}{r \cdot (m+n)} = r \cdot m + r \cdot n. \quad 3. (m+n) \cdot r = m \cdot r + n \cdot r$$

$$4. 1 \cdot m = m \quad \text{if } 1 \in R. \quad 4. m \cdot 1 = m \quad \text{if } 1 \in R$$

Rem. 1.  $M$  admits a  $R$ -mod structure  $\Leftrightarrow$  A morphism from  $R$  to

~~the~~  $\text{Hom}_{\text{Ab}}(M, M)$  such that  $1_R \mapsto \text{id}$ . (condition?

2. we focus on left actions/modules ( $r: M \rightarrow M$ ,  $\beta$  a gp hom.)

3.  $R$  comm  $\Rightarrow$  left = right.

Eg. 1. We have the  $\mathbb{O}$  module for any ring  $R$ .  $\checkmark$  the only elem. in  $M$  is  $0$ .  
Then we can define  $r \cdot 0 = 0$ . ( $R \times M \rightarrow M$ ,  $(r, 0) \mapsto 0$ )

(trivial action?)

2. Let  $R$  be a ring, then  $R$  is a left-mod via left multiplication.

define the action  $R \times M \rightarrow M$  as the ~~left mult~~ where  $M = R$ .

check that 1. dist 2. assoc. 3. dist 4. id all are fulfilled.

$R$  is also a right-mod.

If  $R$  is commutative, ~~they~~ there are the same.  $m \cdot r = r \cdot m$ .

3. If  $F$  is a field, then  $F$ -modules are  $F$ -vector spaces.

4. Let  $M$  be an Abelian gp. Then  $M$  is a  $\mathbb{Z}$ -mod via the action.

$$q \cdot m = \underbrace{m + m + \dots + m}_{q \text{ times}} \quad q > 0.$$

5. Let  $I \subset R$  be a left ideal. Then  $I$  is a left  $R$ -mod. via the left multiplication. Note that  $R \times I \rightarrow I$  is fulfilled, as the range is with  $I$ .

Gp action: gp acting on a set

Modules: ring acting on an Abelian group (add +) and hence the extra properties.

Note  $\text{Hom}_{\text{Ab}}(M, M)$  is a ring, since  $f, g \in \text{Hom}_{\text{Ab}}(M, M)$

$$(fg)(cm) = f(cm) + g(m).$$

$$(f+g)(m) = f(g(m)).$$

$$R \times M \rightarrow M$$

Eg. (cont) 6. (non-ex). Let  $R = M = \mathbb{Z}$ . We know  $\mathbb{Z} \times \mathbb{Z} \xrightarrow{\cdot m} \mathbb{Z}$  is an action of  $R$  on  $M$ . But if we define  $(r, m) \mapsto rm$  is not an action of  $R$  on  $M$ . (why?)  
(probably distributive prop. fail).

Def. Submod Let  $R$  be a ring. Let  $M$  be a  $R$ -Mod. Let  $N \subseteq M$  be an (Abelian) subgroup.  $N$  is called a  $R$ -submodule of  $M$  if  $N$  is closed under the  $R$ -action, that is,

$$r \cdot n \in N \quad \forall r \in R, n \in N.$$

Eg. 1. If we consider  $R \curvearrowright R$  via the left mult, then  $\text{left ideal } I \subset R$  will be a  $R$ -submod. of  $R$ .

①

2.  $\{0\}$  mod is the submod of any  $R$ -mod, since  $r \cdot 0 = 0$ .

2'.  $M$  is a submod of  $M$ . (trivial).

3.

3. Let  $M$  be a  $\mathbb{Z}$ -mod. ( $\Leftrightarrow M$  is an Ab. gp). Then

$N \subseteq M$  is a  $\mathbb{Z}$ -submod  $\Leftrightarrow N \subseteq M$  is a (abelian) subgp.

3'. Field  $\Rightarrow$  any  $F$ -mod,  $M$  is a  $F$ -vector space.

Then any  $F$ -submod (of  $M$ ) is a  $F$ -subspace of  $M$ .



4. Let  $S \subset R$  be a subring containing 1. Then  $R$  is a  $S$ -mod via left.mult  $s \cdot x = sx$ . Then  $S \subset R$  is a  $S$ -submod of  $R$ .

5. Let  $F$  be a field, consider  $F[\alpha]$ , let  $M$  be a  $F[\alpha]$ -mod.

Then by (4) we can consider the actions of only elements in  $F \subset F[\alpha]$ .

Then  $M$  is also a module over  $F$  (via restriction).

$F[\alpha] \times M \rightarrow M$  restrict to  $F \times M \rightarrow M$ .

So  $M$  is a  $F$ -vector space with an action of  $\alpha$ .

$$\alpha: M \rightarrow M, m \mapsto \alpha \cdot m.$$

We claim  $\alpha: M \rightarrow M$  is a linear transf.

$$\text{pf: } \forall a, \forall x, y \in M \quad a(\alpha x) = \alpha(ax)$$

$$\text{RHS: } a, \alpha \in F[\alpha] \Rightarrow a(\alpha \cdot v) = \alpha(a \cdot v) \text{ (mod. action)}$$

$$\text{LHS: } \alpha \cdot (ax) = (a\alpha) \cdot x \quad \text{OK} \quad \square$$

similarly  $\forall f \in F: M \rightarrow M, m \mapsto f(m) \cdot m$  is a linear. transf.

5'. (reverse) given a  $F$ -vector space  $M$  with a linear map  $T: M \rightarrow M$ , we can define a  $F[\alpha]$ -module structure on  $M$  via

$$F[\alpha] \times M \rightarrow M$$

$$(f(\alpha), m) \mapsto f(T) \cdot m$$

$$= (a_0 T^n + a_{n-1} T^{n-1} + \dots + a_0) \cdot m$$

### Recap Irreducible Polynomials:

1. Check in quotient gp. irreducible in  $R[\alpha]/I \Rightarrow$  irreducible in  $R[\alpha]$ .
2. Eisenstein's criterion,  $a_{n-1}, a_{n-2}, \dots, a_0 \in I, a_n = 1, a_0 \notin I^2 \Rightarrow$  irreducible

Module defn:  $R$  ring with  $I$ , abelian sp  $M$ . left  $R$ -action,  $R \times M \rightarrow M$

$$(r, m) \mapsto rm$$

$$\text{s.t. 1. } r(an+b) = ram+rb$$

$$2. (r+s)m = r \cdot s + rm$$

$$3. rs(m) = r \cdot (sm)$$

$$4. 1 \cdot m = m.$$

$- M$  is Ab sp  $\Leftrightarrow M$  is a  $\mathbb{Z}$ -mod.

$- M$  is a  $F$ -vs. for field  $F \Leftrightarrow M$  is a  $F$ -mod.

$- M$  is gp,  $\text{Hom}_{Ab}(F, M) = \text{End}_{Ab}(M)$ . Then  $M$  is a  $\text{End}_{Ab}(M)$ -Mod,  $f \cdot m = f(m)$

Recap  
(cont'd)

- $F$  field, then  $F^n$ ,  $\mathbf{3}^n$ ,  $\text{Mat}_{n \times n}(F)$ -mod.  
(Check:  $M(v+u) = Mv + Mu$ ,  $(M+N)v = Mv + Nv$ ,  
 $(MN)v = M(Nv)$ ,  $1_F v = v$ .)

- $M$  is a  $R$ -mod  $\Leftrightarrow$  we have a ring hom  $R \rightarrow \text{End}_{\mathbb{A}}(M)$ .  
( $R \times M \rightarrow M$  induces  $R \rightarrow \text{End}_{\mathbb{A}}(M)$ .)
- Recall  $G$  acts on  $\mathbb{A}$  induces  $G \rightarrow \text{Aut}(\mathbb{A})$ . (bijective)

Lem. Let  $\varphi: S \rightarrow R$  be a ring hom. s.t.  $\varphi: 1_S \mapsto 1_R$ . Let  $M$  be a  $R$ -mod. (clifff)  
Then  $M$  is naturally a  $S$ -mod via the action.  
 $s \cdot m := \varphi(s) \cdot m$ . for any  $s \in S$ ,  $m \in M$ .  
action:  $S \times M \rightarrow M$ .

Prf: 1st Prf we check the requirements for  $S$ -modules:

- $\forall s, t \in S$ ,  $(s+t)m = \varphi(s+t) \cdot m = [\varphi(s) + \varphi(t)] \cdot m$ .
- $\forall s \in S$ ,  $\forall m \in M$ ,  $s(m+n) = \varphi(s)[m+n]$
- $\varphi(st)m = \varphi(s)[\varphi(t)m]$ .
- $1_S \cdot M = \varphi(1_S) \cdot M = 1_R \cdot M$ .

2nd Prf we know  $R \cong M$ . we have, equivalently, a ring hom  
 $\varphi: R \rightarrow \text{End}_{\mathbb{A}}(M)$ ,  $r \mapsto \varphi_r$  such that  $r \cdot m = \varphi_r(m)$

(Pre-) composing with  $\varphi: S \rightarrow R$ , we have

$\varphi \circ \varphi: S \rightarrow \text{End}_{\mathbb{A}}(M)$  and thus  $S$  induces a ring hom to  $\uparrow$  as well  $\Rightarrow S \cong M$ .  $\square$

Eg 1. Let  $S \subseteq R$  be a subring where  $1_S \neq 1_R$ . Then any  $R$ -mod  $M$  is also a  $S$ -mod, since we already have  $R \cong M$ , we have  $S \cong M$  by the restriction ( $R \cong M \rightarrow S \cong M$  is it? but why?)

2.  $F[x]^{\cong} M \rightarrow F^{\cong} M$  by restriction for any  $F$ -mod  $M$ .

3. Let  $R$  be a ring with  $1$ . (Eg  $\mathbb{Z}_3$ ), then we have a ring hom  $\mathbb{Z} \rightarrow R$ ,  $n \mapsto n \cdot 1 = 1 + 1 + \dots + 1$   $n$  times. Then any  $R$ -mod  $M$  is also a  $\mathbb{Z}$ -mod. (check how.)

Basic Properties of Modules.

Prop.

Let  $R \neq \{0\}$ ,  $M$  be a  $R$ -Mod. ( $R \rightarrow \text{End}_{\text{Ab}}(M)$ )

(1)  $0_R \cdot m = 0_m$ ,  $(-1)_R \cdot m = -m$ .

(2) Any intersection of submodules of  $M$  is still a submodule.(3)  $\text{Ann}_M(R) \subset R$ ,  $\text{Ann}_M(R) = \{r \in R \mid r \cdot m = 0 \text{ for all } m\}$ , is an ideal of  $R$ .(4) Let  $z \in R$  be central, i.e.  $az = z a \forall a \in R$ . Then

$$z \cdot M = \{z \cdot m \mid m \in M\} \text{ is a } R\text{-submodule of } M.$$

If (1) if we see the  $R \rightarrow \text{End}_{\text{Ab}}(M)$  rough how, then we must have

$$0_R \mapsto 0_{\text{End}(M)}, -1_R \mapsto -I_{\text{End}(M)}.$$

Alternative Proofs:  $0_R \cdot m = (0_R + 0_R) \cdot m$ 

$$= 0_R \cdot m + 0_R \cdot m \Rightarrow 0_R \cdot m = 0_m \text{ in } M$$

$$0 = (1 + (-1)) \cdot m = 1 \cdot m + (-1) \cdot m = m + (-1) \cdot m$$

 $\Rightarrow (-1) \cdot m = -m$ . must be the inverse.(2) Let  $I$  be an index set, let  $M_c$  with  $c \in I$  be a collection of  $(R \rightarrow)$  submodules of  $M$ .Then  $\bigcap_{c \in I} M_c = N$ , is an abelian subgroup of  $M$ . (all subgps are normal)  
we just need to check closedness under  $\times$ !

$$\forall r, n \in N, \text{ if exists } m \text{ all submodule in } M_c \Rightarrow r \cdot n \in \text{all submod} \\ \Rightarrow r \cdot n \in N. \quad \square.$$

(3) Recall homework:  $\text{Ann}_M(R)$  is an ideal in  $R$ .

Recall: any ideal is a module.

(4) Check: Abelian Subgp:  $\forall z \in M, z \in \mathbb{Z}M$ ,

$$z \cdot m - z \cdot n = z \cdot m + (-z) \cdot n.$$

$$= z \cdot (m - n) \in \mathbb{Z} \cdot M.$$

(closed under  $\times$ ):  $\forall r \in R, r \cdot (z \cdot m) = r \cdot z \cdot m$ 

Reason

$$= z \cdot (rm) \in \mathbb{Z} \cdot M.$$

lem

Let  $M$  be a  $R$ -mod ( $R \supseteq I$ ). Let  $I \subset \text{Ann}_M(R)$  be an ideal.  
 Then  $M$  is naturally a  $R/I$ -mod, via the action.  
 $(r+I) \cdot m = r \cdot m$  for  $r \in R, m \in M$ .

Pf. 1st Pf: we have  $\varphi: R \rightarrow \text{End}_{A_b}(M)$ . Then  $\text{Ann}_M(R) = \ker \varphi$  (why?).  
 Then if  $I \subset \ker \varphi$ , we have.

$$\begin{array}{ccc} R & \xrightarrow{\varphi} & \text{End}_{A_b}(M) \\ \pi \downarrow & & \downarrow \bar{\varphi} \\ R/I & \xrightarrow{\bar{\varphi}} & \text{End}_{A_b}(M) \end{array}$$

2nd Pf: First, we check well-def. Let  $r+I = r'+I \Leftrightarrow r = r' + a$  for  $a \in I$ .  
 Then  $(r+I) \cdot m = r \cdot m = (r'+a) \cdot m = r' \cdot m + a \cdot m = r' \cdot m$ .  
 $\quad \quad \quad (\text{in } R/I)$

Check requirements:

$$\begin{aligned} (r+I+s+I) \cdot m &= \varphi_{r+s}(r+s+I) \cdot m = (r+s) \cdot m \\ &= (r+I) \cdot m + (s+I) \cdot m = r \cdot m + s \cdot m = (r+s) \cdot m \end{aligned}$$

Def/Prop

Let  $R \supseteq I \neq 0$ , i.e.  $M$  be a  $R$ -mod.  $N \subseteq M$  be a subset. Then define

$$R \cdot N = \left\{ \sum_{n=1}^{\infty} a_n n \mid a_i \in R, n \in \mathbb{N} \right\} \subseteq M.$$

It is a submodule of  $M$ . (check)

1. 2. call any elem. in  $R \cdot N$  a  $R$ -linear combi.

1. 3. call  $R \cdot N$  the  $R$ -submod of  $M$   
generated by  $N$ .

1. 4.  $M$  is called finitely generated if  $M = R \cdot A$  for a finite set  $A$ .

1. 5.  $M$  is cyclic if it is generated by some single elem.

2. let  $M_1, \dots, M_n$  be submods of  $M$ , we define

$$\sum_{i=1}^n M_i = \left\{ \sum_{i=1}^n m_i \mid m_i \in M_i \right\} \text{ and it is a } R\text{-submod of } M.$$

3. let  $M_c$   $R$ -submods of a  $R$ -mod  $M$ , for  $c \in I$  (potentially infinite),

then  $\sum_{i \in I} m_i = \{ \sum_{i \in I} m_{i,c} \mid m_c \in M_c \text{ with finitely many non-0 terms} \}$

Is also a submodule of  $M$ . (check)

### Module Homomorphisms

Defn

let  $R \neq \{0\}$ . Let  $M, N$  be  $R$ -modules (fix the ring over the mods  $M, N$ )

1. A  $R$ -mod homomorphism (morphism, map)  $\varphi: M \rightarrow N$  is a map such that

- (i)  $\varphi(m+m') = \varphi(m) + \varphi(m')$  for  $m, m' \in M$  (Ab. gp. hom)
- (ii)  $\varphi(r \cdot m) = r \cdot \varphi(m)$  for  $m \in M, r \in R$ .

$$R \xrightarrow{\sim} M \quad R \xrightarrow{\sim} N.$$

2. A  $R$ -mod homom.  $\varphi: M \rightarrow N$  is an isom (of  $R$ -modules) if it is a bijection, or equiv.  $\exists R$ -mod map  $\psi: N \rightarrow M$  s.t.

$$\varphi \circ \psi = \text{id}_N \text{ and } \psi \circ \varphi = \text{id}_M.$$

3. Let  $\varphi: M \rightarrow N$  be a  $R$ -mod hom. Define  $\ker \varphi$  and  $\text{Im } \varphi$  naturally.  
 $\ker \varphi = \{m \in M \mid \varphi(m) = 0\}, \text{Im } \varphi = \{\varphi(m) \mid m \in M\}$ .  
 and both  $\ker \varphi$  and  $\text{Im } \varphi$  are  $R$ -submodules (of  $M, N$  resp.)

4. Define the set of  $R$ -mod maps  $M \rightarrow N$  as  $\text{Hom}_R(M, N)$ .  
 Also write  $\text{Hom}_R(M, M) = \text{End}_R(M)$ .

Pg (1) If  $F$  field,  $M, N$   $F$ -mods. Then  $F$ -mod map  $\varphi: M \rightarrow N$  is just a  $F$ -linear map. (Linear-trans between vs.  $M, N$ )

$$(\varphi(m+m')) = \varphi(m) + \varphi(m'), \quad \varphi(r \cdot m) = r \cdot \varphi(m).$$

(1')  $F = \mathbb{R}$ , let  $M, N = \mathbb{R}^n$ . Then  $\mathbb{R}$ -mod hom.

$$T: M \rightarrow N, x \mapsto ax \quad (\text{cannot add } \mathbb{R}\text{-val terms})$$

$$\text{Then } T(x+y) = Tx+Ty,$$

$T(cx) = cT(x)$ . just like linear maps.

2.  $R = \mathbb{Z} = M = N$ .  $M, N$  are  $R$ -mods. Then a  $\mathbb{Z}$ -mod map  $\varphi: M \rightarrow N$  is just an Abelian Grp hom  $\varphi(q \cdot m) = q \cdot \varphi(m)$ ,

$$(\varphi(m+m')) = (\varphi(m)) + (\varphi(m'))$$

$$\varphi \left( \underbrace{(1+1+\dots+1)}_m + \underbrace{(1+1+\dots+1)}_{m'} \right) = \underbrace{\varphi(1+1+\dots+1)}_q$$

$$\varphi(m+m') = \underbrace{\varphi(1+1+\dots+1)}_q$$

Eg (cont) 3. Let  $R \rightarrow R$  via left mult. Then

$\phi_a: R \rightarrow R$ ,  $r \mapsto r \cdot a$  is a  $R$ -mod hom.

Check  $\phi_a(m+m') = (m+m') \cdot a = m \cdot a + m' \cdot a = \phi_a(m) + \phi_a(m')$ .

$\phi_a(r \cdot m) = a(r \cdot m) = r(m \cdot a) = \phi_a(m) \cdot \phi_a(r)$ .

(3') Let  $R \rightarrow R$  via left mult, and  $z \in R$  be central ( $zr=rz \forall r \in R$ ).

Then  $\phi_z: R \rightarrow R$ ,  $r \mapsto z \cdot r = r \cdot z$  is a  $R$ -mod hom.

Recap

Module defn:  $R\text{-mod } M$ . Submodule  $N \subset M$ .usually with  $1 \neq 0$  unless specified otherwise.Ex. Let  $G = \mathbb{Z}_2 \cong \mathbb{Z}_2$ . Let  $R = \mathbb{C}[G] = \{ae + be \mid a, b \in \mathbb{C}\}$ .

Any  $R\text{-mod } V$  is naturally a  $\mathbb{C}$ -vector space =  $\mathbb{C}\text{-mod}$   
since we have an embedding  $\mathbb{C} \rightarrow R$ ,  $a \mapsto a \cdot e$ .

We consider  $V = \mathbb{C}e_1 \oplus \mathbb{C}e_2 (\cong \mathbb{C}^2)$ . We define a  $R$ -action  
on  $V$  via  $(12) \cdot (ae_1 + be_2) = ae_2 + be_1$ ,

extend this linearly:  $m, n \in \mathbb{C}$

$$\begin{aligned} [m \mathbb{C}e_1 + n \mathbb{C}e_2] \cdot (ae_1 + be_2) \\ = m \cdot (ae_1 + be_2) + n \cdot (ae_2 + be_1) \end{aligned}$$

We can check this defines a  $R$ -mod structure on  $V$ .

(Intrans  $\Rightarrow \text{Hom}_{\mathbb{C}\text{-mod}}(V, V)$ )

Claim: any  $x = ae + be \in R$ , is a lin. trans from  $V$  to  $V$ . but lack properties of field  
Pf: recall  $R \otimes V \Leftrightarrow \text{A ring hom } R \rightarrow \text{Hom}_{\mathbb{C}\text{-mod}}(V, V)$ . other direction  
check that  $\forall v, w \in V, x \in \mathbb{C}[G]$ .

$$x \cdot (v+w) = x \cdot v + x \cdot w \quad (\text{abelian hom})$$

$$\begin{aligned} x \cdot (k \cdot v) &= k \cdot (x \cdot v) \quad \text{for } k \in \mathbb{C} \\ &= (kx) \cdot v \quad (\mathbb{C}, \text{comm.}) = k \cdot (x \cdot v). \end{aligned}$$

We then consider submodules of  $V$ :

Let  $W \subseteq \mathbb{C}(e_1 + e_2) \subset V$  be a 1-dim subspace of  $V$ .

Claim:  $W$  is a submod of  $V$ .

Pf: just check  $W$  is closed under  $R$ -act. i.e., we can restrict  $R \otimes V \rightarrow V$  to  $R \otimes W \rightarrow W$ .

$$\text{eg. } (12) \cdot (k(e_1 + e_2)) = k(e_2 + e_1) \in W.$$

Claim:  $U = \mathbb{C}(e_1 - e_2)$  is also a submod of  $V$ .

$$\text{Idea: } (12) \cdot k(e_1 - e_2) = -k(e_1 - e_2).$$

We also have  $V = W \oplus U$  as  $\mathbb{C}$ -vector spaces.  
it is also a  $R$ -mod (will be defined).

Recall  $R$ -mod hom  $\varphi: M \rightarrow N$ :

$$1. \varphi(n_1 + n_2) = \varphi(n_1) + \varphi(n_2) \quad 2. \varphi(r \cdot n) = r \cdot \varphi(n).$$

lem

(Basic Properties of  $R$ -mod. homomorphisms):

Let  $M, N, L$  be  $R$ -mods.

(1) Let  $\varphi: M \rightarrow N$  be a  $R$ -mod map. Then  $\ker \varphi$  and  $\text{Im } \varphi$  are  $R$ -submods.

Pf:  $\ker \varphi = \{n \in M \mid \varphi(n) = 0\}$  is an Ab. subgp of  $M$ .

Just need to check it's closed under  $R$ -action.

$\therefore$  let  $m \in \ker \varphi$ .  $\forall r \in R$ , wts  $r \cdot m \in \ker \varphi$ .

$$\Rightarrow \varphi(r \cdot m) \not\equiv 0$$

$$\varphi(r \cdot m) = r \cdot \varphi(m). \text{ but } R \rightarrow \text{Hom}_{\mathbb{C}\text{-}M}(M, N).$$

$$= r \cdot 0 \text{ maps } 0 \mapsto 0.$$

$$= 0. \therefore r \cdot m \in \ker \varphi.$$

$$\text{Im } \varphi = \{n \in N \mid \varphi(n) \in \ker \varphi\}.$$

$$\forall n \in \text{Im } \varphi, r \cdot n \in \text{Im } \varphi,$$

$$r \cdot \varphi(n) = \varphi(r \cdot n) \text{ but } r \cdot n \in M.$$

$$= \varphi(n) = n \in N \Rightarrow r \cdot \varphi(n) \in \text{Im } \varphi.$$

(2) Let  $\varphi, \psi \in \text{Hom}_{\mathbb{C}\text{-}M}(M, N)$ . Define  $\varphi + \psi: M \rightarrow N$ ,

$$(\varphi + \psi)(m) = \varphi(m) + \psi(m) = \varphi(m) + \varphi(m) = (\varphi + \psi)(m).$$

We claim  $\varphi + \psi \in \text{Hom}_R(M, N)$ .

Pf: Check  $(\varphi + \psi)(rm) = (\varphi + \psi)(r \cdot m)$  (easy with  $M, N$  abelian)

$$\text{Check } (\varphi + \psi)(r \cdot m) \not\equiv (\varphi + \psi)(r \cdot m)$$

$$= r \cdot \varphi(m) + r \cdot \psi(m)$$

$$= (\varphi + \psi)(rm).$$

(Props of  $R$ -mod maps, cont'd).(3) Let  $\varphi \in \text{Hom}_R(M, N)$ ,  $\phi \in \text{Hom}_R(N, L)$ . Then  
 $\phi \circ \varphi \in \text{Hom}_R(M, L)$ .

Pf: check:  $\phi \circ \varphi(m_1 + m_2) = \phi \circ \varphi(m_1) + \phi \circ \varphi(m_2)$   
 (check:  $\phi \circ \varphi(r \cdot m) = r[\phi \circ \varphi(m)]$ )

(4)  $\text{Hom}_R(M, M) = \text{End}_R(M)$ , is a ring. And  $M$  is naturally a  $\text{End}_R(M)$ -module.

Pf. Check  $(\text{End}_R(M), +, \circ)$  is a ring.  
 The action  $\text{End}_R(M) \times M \rightarrow M$ , just  
 $f \cdot m = f(m)$ .

Eg End. of vector spaces are just matrices.

Quotient Modules

Defn.  $M$  be a  $R$ -mod with a submod  $N \subseteq M$ . Define the quotient  $R$ -mod  $M/N$  as  $M/N = M/N$  as quotient Abelian grp  
 $\cdot r(m+N) = r \cdot m + N \quad \forall r \in R, m \in M$ . (representative chosen def)

Moreover the quotient map  $\pi: M \rightarrow M/N$ , is a  $R$ -mod homomorph. sm.

Pf: (well-defn). Let  $m+N = m'+N$ ,  $r(m+N) \Rightarrow r(m'+N)$ .

$$\begin{aligned} r(m+N) &= r \cdot m + N \in N \\ &= r \cdot m' + (r \cdot n) + N = r \cdot m' + N \end{aligned}$$

(Exercise: this defines a  $R$ -mod struc on  $M/N$ .)

$$1. (r+s) \cdot (m+N) = r \cdot m + N + s \cdot m + N$$

$$\begin{aligned} &= r \cdot m + s \cdot m + N \quad (\text{ab. sp}) \\ &= (r+s) \cdot m + N \end{aligned}$$

(2)

- Eg. 1. Let  $F$  be a field, then  $M/N$  is just the quotient vector space.  
 2. Let  $I \subset R$  be a left ideal  $\Leftrightarrow I$  is a  $R$ -submod of  $R$ .  
 Then  $\pi: R \rightarrow R/I$  is a  $R$ -mod hom.

Lem. (universal property of quotient) Let  $R$  be a ring with  $I \neq 0$ . Let  $M$  be a  $R$ -mod with submod  $N \subseteq M$ . Then  $\exists$   $R$ -mod  $L$  and  $R$ -mod map  $\varphi: M \rightarrow L$  s.t.  $\varphi(N) = 0$  ( $\Leftrightarrow \text{ker } \varphi \cap N \subseteq \text{ker } \varphi$ )

Then we have a unique  $R$ -mod map  $\bar{\varphi}: M/N \rightarrow L$  s.t. the following diagram commutes.

$$\begin{array}{ccc} M & \xrightarrow{\varphi} & L \\ \downarrow \pi \quad \downarrow \bar{\varphi} & \nearrow \varphi(m) & \searrow \bar{\varphi}(m+N) \\ M/N & & \end{array}$$

### Isomorphism Thm for modules.

Thm Let  $R$  be a ring with  $I \neq 0$ .

(1)  $\forall M, N \text{ } R\text{-modules. } \varphi: M \rightarrow N \text{ a mod. map. Then } M/\ker \varphi \cong \varphi(M) = \text{Im } (\varphi).$

\* Define:  $\text{coker } \varphi = N/\text{Im } \varphi = N/\varphi(M)$ .

thi3, if  $M \neq N$  but the sp opn. st.

(2) Let  $M, N$  be submodules of  $L$ . Then  $M+N/M \cong N/N \cap M$   
 Here  $M+N = \{m+n \in L \mid m \in M, n \in N\}$ .

(3) Then we have  $L/M \cong L/N /_{M \cap N}$  as  $R$ -modules.

(4) Suppose  $M, N$  are  $R$ -mods where  $N \subseteq M$ . They are in bijection via  $\pi: M \rightarrow M/N$ .

$\{ \text{Submodules of } M \text{ containing } N \} \longleftrightarrow \{ \text{Submodules of } M/N \}$ .

### MA3201 W7L1 (3) by cycle

Defn: Let  $M = R \cdot m$  for some  $m \in M$ . Then

$R/I \cong M$  as  $R$ -mods for some left ideals  $I \subset R$ .

Pf: we define  $\varphi: R \xrightarrow{\text{surj}} M, r \mapsto r \cdot m$  we claim  $\varphi$  is a  $R$ -mod hom.

Show  $\varphi(s+tr) \Rightarrow \varphi(s) + \varphi(tr)$ .

$$(s+tr) \cdot m = s \cdot m + r \cdot m$$

Show  $\varphi(sr) \Rightarrow r \cdot \varphi(s)$ .

$$(r \cdot s) \cdot m = r \cdot (s \cdot m)$$

So by the iso. thm,  $R/\ker \varphi \cong M$ , where  $\ker \varphi$  is a  $R$ -submod (or left ideal) of  $R$ .

### Simple Module(s)

use modules to study rings: e.g. using vector spaces to study matrices.

Defn: Let  $R$  ring with  $1 \neq 0$ .  $R$ -mod  $M$  is simple (or irreducible), if  $M \neq 0$  such that  $0, M$  are the only submodules of  $M$ .

Eg. ① Let  $F$  be a field, then 1-dim  $F$ -mods are simple. (No subspaces)

② Let  $R = \mathbb{Z}$ . Then simple  $\mathbb{Z}$ -mods are of the form  $\mathbb{Z}/p\mathbb{Z}$  for prime  $p$ s. (can show all simple mods are of  $\mathbb{Z}_p$  form)

③ Let  $R = \text{Mat}_{n \times n}(F)$  for a field  $F$ . Let  $V = F^n$  be a  $R$ -Mod. Then  $F^n$  is simple.

Idea: let  $0 \neq W \subseteq V$  be a  $R$ -submodule. we claim  $W = V$ .

We can take any  $w \in W$ . Then  $\forall v \in V, \exists A \in M_n(F)$

s.t.  $Aw = v \in W$  since  $W$  is a submodule (closed under mod action).

e.g. Given any  $v \in F^n, \exists A \in M_n(F), Aw = v$  where  $w \in w \in V$ .

□

It is hard to know how simple modules look like, given a ring mod.

Lem: (Schur's Lemma)  $R$  ring with  $I$ . Let  $M, N$  be simple  $R$ -mods.

Then  $\varphi: M \rightarrow N$  is either an isom. or  $0$ .

In particular,  $\text{End}_R(M)$ ,  $\exists$  a division ring. (every non-zero are invertible  $\Leftrightarrow$  fields but not necessarily commutative).

Pf:  $\ker \varphi$  is a submod of  $M = 0$  or  $M$ .

$$\text{Im } \varphi : \begin{array}{c} \longrightarrow \\ \emptyset \text{ is a} \end{array} N \stackrel{\text{isom or } 0}{=} \underline{M \text{ or } 0}$$

$$\varphi: F \rightarrow F \text{ s.t. } \varphi(x \cdot y) = x \cdot \varphi(y).$$

Eg. ①  $\text{End}_F(F) \cong F$  (module homs  $F \rightarrow F \cong F$ , scalar mult).

(\*) ②  $\text{End}_{\mathbb{Z}}(\mathbb{Z}/p\mathbb{Z}) \cong \mathbb{Z}/p\mathbb{Z}$  (matrices).

③  $\text{End}_{M_n(F)}(F^n) \cong F$  ( $\varphi: F^n \rightarrow F^n$  s.t.  $\varphi(A \cdot v) = A \cdot \varphi(v)$ )  
(the center of the ring is the scalar matrices)

Rem. If  $J$  ring [without]  $1$ . Then a  $J$ -mod  $M \neq 0$  is simple if  
•  $0, M$  are the only submods of  $M$ .  
 $J \cdot M = \{j \cdot m \mid j \in J, m \in M\} = M$

Eg.  $J = \mathbb{Z}$  as ab. gp but  $x \cdot y = 0$ . Then  $J^0 J = 0$ .

MA3701 W7L2

Recall : Basic props of mod. hom :  $\phi: M \rightarrow N$

Quotient mods :  $N \cong M \rightarrow M/N$ .

Isom. thus for mods (which are Ab gps + additional props).

Simple mods: only 0 and M as submods. Building blocks

### R-algebra

Defn: Let R be a comm. ring with  $I_R$ . A R-algebra A is a ring with  $I_A$  together with a ring hom  $f: R \rightarrow A$ ,  $I_R \mapsto I_A$  s.t.  $f(R) \subseteq Z(A)$ . (image is contained in the center.)

Eg: (1)  $R[G]$  is a R-algebra. (Any A-module is a R-mod if R field) via restriction composition

(2)  $R[G]$  is a R-algebra for any finite gp G.

(3) Let M be a R-mod. Then  $\text{End}_R(M)$  is a R-algebra.

The map  $f: R \rightarrow \text{End}_R(M)$

$$r \mapsto (f_r: M \rightarrow M, m \mapsto r \cdot m)$$

Recall R comm  $\Rightarrow f_r(s \cdot m) = r \cdot s \cdot m = s \cdot r \cdot m = s \cdot f_r(m)$

$\Rightarrow$   $f_r$  is an R-mod hom.

(3') R=F be a field. Then  $M \cong F^m$  is a F-vs.

Then  $\text{End}_F(F^m) \cong \text{Mat}_{n \times n}(F)$  is a F-algebra.

Rem. (1) A ring is just a  $\mathbb{Z}$ -algebra with the ring hom:

$$\mathbb{Z} \rightarrow R, 1 \mapsto 1$$

(2) A R-alg. A is a ring  $A \ni 1$  that is also a R-mod, where the R-action is compatible with the ring operations. e.g.

$$R \otimes A = R \cdot A \quad r \cdot a = f(r)a \text{ (either } f(r) = r \text{ or } f(r) = r)$$

(3) F be a field, A be a F-alg (e.g.  $A = \mathbb{C}[G]$ ). Then any A-mod is automatically a F-vector space.

### Direct sum & Direct pdt of mods of mods I (finite)

Defn: Let R be a ring with  $I \neq 0$ . Let  $M_1, \dots, M_n$  be R-mods. we define their direct sum (=direct pdt)  $M = M_1 \oplus M_2 \oplus \dots \oplus M_n$  as :

- $M = M_1 \oplus \dots \oplus M_n$  as sets (abelian gps  
 $(= M_1 \times M_2 \times \dots \times M_n)$ ).
- The  $R$ -action on  $M$  is defined as  
 $r(M_1, M_2, \dots, M_n) = (r \cdot M_1, r \cdot M_2, \dots, r \cdot M_n)$ .

It's easy to check that  $M$  is also an  $R$ -mod.

Lem: ① we have  $R$ -mod maps  $f_i: M \rightarrow M_i$ ,  $m_i \mapsto (0, \dots, m_i, \dots, 0)$

$$g_i: M \rightarrow M_i, (m_1, m_2, \dots, m_i, \dots, m_n) \mapsto m_i.$$

② we have  $M_1 \oplus M_2 \cong M_2 \oplus M_1$ .

$$\text{so we write } M_1 \oplus \dots \oplus M_n = \bigoplus_{i=1}^n M_i.$$

Eg: ①  $R^n = \overbrace{R \oplus \dots \oplus R}^n = \bigoplus_{i=1}^n R = R^{\oplus n}$ .

(ambiguity:  $R^n = \{ \sum_{i=1}^n r_i e_i \mid r_i \in R \}$  finite rank  $n$ ) we call this a free  $R$ -mod of rank  $n$ .

We often write  $e_i = (0, \dots, 0, 1, 0, \dots, 0) \in R^{\oplus n}$ . They form a  $R$ -basis of  $R^{\oplus n}$ , that is, any  $m \in R^{\oplus n}$  can be written uniquely as  $m = m_1 e_1 + m_2 e_2 + \dots + m_n e_n$ .

② we have (by CRT)  $\mathbb{Z}_6 \cong \mathbb{Z}_3 \oplus \mathbb{Z}_2$  as  $\mathbb{Z}$ -modules. (ideals)  
simple  $\mathbb{Z}$ -modules (simple gps)

Lem: Let  $M$  be a  $R$ -mod,  $m_1, m_2, \dots, m_n \in M$ . Then  $\exists$  unique  $R$ -mod hom  $\varphi: R^{\oplus n} \rightarrow M$  such that  $\varphi(e_i) \mapsto m_i$ .

If  $M = R \cdot (m_1, \dots, m_n)$  is finitely generated, then  $\varphi$  is surjective.

Pf: The uniqueness is forced by the  $R$ -mod hom, that is,  
 $\varphi(a_1 e_1 + a_2 e_2 + \dots + a_n e_n) = a_1 \cdot m_1 + \dots + a_n \cdot m_n$ .

This can be used to define  $\varphi$ . It remains to check that  $\varphi$  is indeed a  $R$ -mod map. Eg:

$$\begin{aligned} \varphi(r \cdot (a_1 e_1 + a_2 e_2 + \dots + a_n e_n)) &= \varphi(r a_1 e_1 + r a_2 e_2 + \dots + r a_n e_n) \\ &= r \cdot (a_1 \cdot m_1 + a_2 \cdot m_2 + \dots + a_n \cdot m_n) = r \cdot \varphi(\dots) \end{aligned}$$

## MA3201 W7L2 (v).

Prop. (univ. prop of  $\oplus$ ) Let  $R$  be a ring with 1. Let  $M_1, \dots, M_n$  be  $R$ -mod. Then for any collection of  $R$ -mod hom  $\varphi_i: M_i \rightarrow N$  there is a unique  $R$ -mod hom  $\varphi: \bigoplus_{i=1}^n M_i \rightarrow N$  s.t. the diagram commutes:

$$\begin{array}{ccc} M_i & \xrightarrow{f_i} & \bigoplus_{i=1}^n M_i = M_1 \oplus \dots \oplus M_n \\ \varphi_i \downarrow & \lrcorner & \downarrow \varphi \\ N & & \end{array}$$

Pf: we define  $\varphi: \bigoplus_{i=1}^n M_i \rightarrow N$  (forced by the commutivity diag.) by  $(m_1, \dots, m_n) \mapsto \varphi_1(m_1) + \varphi_2(m_2) + \dots + \varphi_n(m_n)$

This also shows the uniqueness (by Ab. gp only, no need mod theory)

It remains to check  $\varphi$  is a  $R$ -mod hom (i.e  $R$ -action).

$$\varphi(r \cdot (m_1, m_2, \dots, m_n)) = \varphi(rm_1, \dots, rm_n)$$

$$= \varphi(rm_1) + \dots + \varphi(rm_n) \quad \square$$

$$r \cdot \varphi(m_1, \dots, m_n) = r \cdot \varphi(m_1) + \dots \quad \square$$

Prop: Let  $R$  be a ring with id 1. Let  $N_1, N_2, \dots, N_k$  be submods of  $M$ .

characterization  
of basis

Then the following are equivalent:

(1) The natural map  $\bigoplus_{i=1}^k N_i \rightarrow \sum_{i=1}^k N_i (= \{ \sum_{i=1}^k n_i \mid n_i \in N_i \} \subseteq M)$

is an isomorphism of  $R$ -mods.

(2) Any  $x \in \sum_{i=1}^k N_i \cap M$  can be written uniquely as  $x = a_1 + a_2 + \dots + a_k$  with  $a_i \in N_i$ .

(3) The intersection  $N_j \cap \sum_{i \neq j} N_i = 0$  (i.e trivial) for any  $j$ .

↓ more in  $\mathbb{Z}_6$       ↓ more in  $\mathbb{Z}_6$

(Recall  $\mathbb{Z}_6 = \langle 1, 2 \rangle$ , " $\mathbb{Z}_2$ " =  $\{ \overline{0}, \overline{1} \}$ , " $\mathbb{Z}_3$ " =  $\{ \overline{0}, \overline{1}, \overline{2} \}$ , )

$$\mathbb{Z}_2 \cap \mathbb{Z}_3 = \{ \overline{0} \}.$$

This generalizes direct sum of vector subspaces to  $R$ -mods.

Pf: With note that  $\varphi$  is iso  $\Leftrightarrow \ker\varphi = \{0\}$ .

$$\ker\varphi = \{(n_1, \dots, n_k) \in \bigoplus_{i=1}^k N_i \mid \sum_{i=1}^k n_i = 0\}.$$

(1)  $\Rightarrow$  (2): Note that  $\ker\varphi = \{0\}$  has a unique expression.

wts unique expr.  
 $0 = 0 + 0 + \dots + 0$

$\forall n \in \sum N_i$  Given any  $x \in \sum N_i$ , assume ( $\forall \rightarrow \exists$ )

$$x = a_1 + \dots + a_k = b_1 + \dots + b_k$$

$$\Rightarrow x - x = 0 = (a_1 - b_1) + \dots + (a_k - b_k)$$

Since 0 is written uniquely,  $a_i - b_i = 0 \forall i = 1, \dots, k$ .

$$\Rightarrow a_i = b_i.$$

□

(2)  $\Rightarrow$  (3): wts written uniquely  $\Rightarrow N_i \cap \bigoplus_{j \neq i} N_j = 0$ .

Assume the contrary that  $x \neq 0$ ,  $x \in N_j \cap \sum_{i \neq j} N_i$  for some  $j$ .

$$\Rightarrow x = 0 + \dots + 0 + x + 0 + \dots + 0$$

$$\in N_j$$

distinct exprs. ( $\rightarrow \exists$ )

$$= a_1 + \dots + a_j + 0 + a_{j+1} + \dots + a_n$$

(3)  $\Rightarrow$  (1): wts  $N_i \cap \bigoplus_{j \neq i} N_j = 0 \Rightarrow$  iso  $\bigoplus \rightarrow \sum$  (trivial b/c).

Let  $(n_1, \dots, n_k) \in \ker\varphi$ . Then  $\sum n_i = 0$ .

$$\Rightarrow -n_j = \sum_{i \neq j} n_i \in N_j \cap \sum_{i \neq j} N_i = 0 \text{ (by (3))}$$

$\Rightarrow n_j = 0$  for any  $j$ .

Prop. ("direct pnf"): Let  $R$  be a ring with  $1$ . Let  $M_1, \dots, M_n$  be  $R$ -mods. Then

for any  $R$ -mod map  $\varphi: N \rightarrow M$  there is a unique  $R$ -mod map

$\varphi_*: N \rightarrow \bigoplus_{i=1}^n M_i$  ( $= \prod_{i=1}^n M_i$ ) s.t. the following diag. commutes:

$$\begin{array}{ccc} & N & \\ \varphi_i \downarrow & \swarrow \varphi & \\ M_i & \xleftarrow{g_i} & \bigoplus_{i=1}^n M_i \end{array}$$

(uniqueness is usually easy. R-mod etc are real things we need to check.)

MA3201 W7L2(3).

Pf: Define  $\varphi: N \rightarrow \bigoplus_{i=1}^n M_i$

$$s \mapsto (\varphi_1(s), \varphi_2(s), \dots, \varphi_n(s)) \quad (\text{forced defn})$$

This already forced the uniqueness. We show it's a R-mod hom.

$$\varphi(s) = (\varphi_1(s), \varphi_2(s), \dots, \varphi_n(s))$$

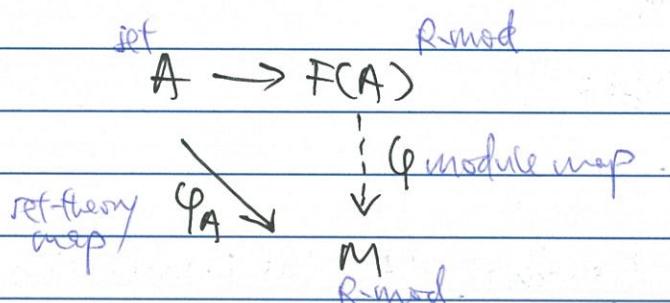
$$r \cdot \varphi(s) = (r \cdot \varphi_1(s), r \cdot \varphi_2(s), \dots, r \cdot \varphi_n(s))$$

$$r \cdot \varphi(s) \equiv$$

□

### Free Modules

Defn:  $R \geq 1$ . Let  $A = \{a_1, \dots, a_n\}$  be a finite set. Then the free  $R$ -mod over  $A$  (generated by  $A$ ) is a  $R$ -mod  $F(A)$  tgt with a set-theoretical map  $A \rightarrow F(A)$  s.t. for any set-theoretical map  $\varphi: A \rightarrow M$  for a  $R$ -mod  $M$ ,  $\exists$  a  $R$ -mod hom  $\psi: F(A) \rightarrow M$  s.t. diag comm:



We claim such  $F(A)$  exists and  $F(A) \cong \bigoplus_{i=1}^n R^{\oplus n}$ .

Pf: Consider  $F(A) = R^{\oplus n}$ , and thus the  $A \rightarrow F(A)$  map as

$$A \rightarrow R^{\oplus n}, a_i \mapsto e_i.$$

Then given any  $\varphi_A: A \rightarrow M$ ,  $a_i \mapsto m_i$ , the induced map is not.

$$\psi: (F(A) \cong) R^{\oplus n} \rightarrow M, e_i \mapsto m_i.$$

This gives uniqueness, we now check  $\psi$  is a  $R$ -mod map:

(~~ACCE~~ (given by univ-prop of  $\oplus$ )).

Preview: We will show if  $R$  comm,  $R \geq 1$ ,  $R^{\oplus n} = R^{\oplus m} \Rightarrow n=m$ .

We will talk about  $\bigoplus_{i=1}^n M_i$  vs  $\prod_{i=1}^n M_i$ .

# MA3201 W8LI

Recall:  $M_1, \dots, M_n$  R-modules. Define  $\bigoplus_{i=1}^n M_i = M_1 \times \dots \times M_n$ .

- Free modules over a finite set A,  $F(A) \cong R^n$  if  $\# F(A) = n$ .

- $M_i \xrightarrow{\text{embedding}} \bigoplus M_i$  Pf: (uniqueness)

$$\varphi_i \downarrow N \quad \downarrow \varphi$$

$$\begin{array}{ccc} M_i & \xrightarrow{\text{id}} & \bigoplus M_i \\ \downarrow & & \downarrow \\ M_i & \xrightarrow{\text{id}} & N \\ \downarrow & & \downarrow \\ m_i & \xrightarrow{\text{id}} & \bigoplus M_i \end{array}$$

$$\varphi_i: N \xrightarrow{\varphi} \bigoplus M_i \quad \varphi: n \mapsto (\varphi_1(\mathbb{S}), \varphi_2(\mathbb{S}), \dots, \varphi_n(\mathbb{S}))$$

$$M_i \xrightarrow{\varphi_i} \bigoplus M_i$$

- Recall  $F(A) \cong R^n$ .

## Free Modules

Thm. (11.1) If F-field, then  $F^n \cong F^m$  (as F-modules)  $\Leftrightarrow n=m$ .

Thm. Let  $R \supset I$  be comm. Then  $R^n \cong R^m \Leftrightarrow n=m$ .

Lem. Let  $R \supset I$ ,  $M_1, \dots, M_n$  be R-modules with submodules  $N_i \subseteq M_i$ .

$$\text{Then } \bigoplus_{i=1}^n M_i / \bigoplus_{i=1}^n N_i \cong \bigoplus_{i=1}^n M_i / N_i.$$

Pf. Recall  $\bigoplus_{i=1}^n M_i = M_1 \times \dots \times M_n$ . Then consider the following diag:

$$\begin{array}{ccc} M_i & \xrightarrow{\pi_i} & \bigoplus_{i=1}^n M_i \\ \pi_i \downarrow & & \downarrow \pi \\ M_i / N_i & \xrightarrow{\cong} & \bigoplus_{i=1}^n M_i / N_i \end{array}$$

We can see  $\pi_i$  is surjective with kernel  $= \bigoplus_{j \neq i} N_j$ . The lemma follows from the isomorphism theorem. □

## MA3201 W8C1 (2).

Cor: Let  $I \subset R$  be a left ideal (thus right module). Then

$$R^n / I \cdot R^n \cong R/I \oplus \dots \oplus R/I \cong \bigoplus_{i=1}^n R/I$$

Recall  $I \cdot M = \{ \sum_{\text{finite}} r_m | r \in I, m \in M \} \subseteq M$ .

Pf: From the lemma, it suffices to know that  $I \cdot R^n \cong \bigoplus_{i=1}^n IR$ .  
 "constant" vector.  $\square$ .

Thm: Pf:  $R^n \cong R^m \Leftrightarrow n=m$  (given  $R \neq 1$  comm).

Let  $I$  be a maximal 2-sided ideal of  $R$ . Then if we have

$$R^n \cong R^m, \text{ we must have } I \cdot R^n \cong I \cdot R^m.$$

$$\varphi: R^n \rightarrow R^m \quad \varphi(I \cdot R^n) = I \cdot \varphi(R^n) = I \cdot R^m.$$

By taking quotients, we have.

$$\begin{aligned} R^n / I \cdot R^n &\cong R^m / I \cdot R^m \\ n \amalg &\qquad m \amalg \\ (\bigoplus_{i=1}^n R/I) &\cong (\bigoplus_{j=1}^m R/I) \end{aligned}$$

or  
 ↪  $I$  is maximal.

Since  $I$  acts trivially (?)  $R/I = R$ -modules. So we have a  $\mathbb{F}$ -mod isom

$$\bigoplus_{i=1}^n R \cong \bigoplus_{j=1}^m R \Rightarrow n=m \text{ by linear algebra.}$$

Note: If we have isom:  $M_1 \cong M_2$ ,  $N_2$  submod of  $M_2$ .

$$\text{Then } M_1 \xrightarrow{\cong} M_2 \xrightarrow{\text{mod}} M_2/N_2.$$

$$\text{1st isom: } M_1 / \ker(\varphi \circ \pi) \cong M_2 / N_2.$$

$$\Rightarrow M_1 / N_1 \cong M_2 / N_2.$$

Defn/Prop:  $M_C$ ,  $C \in I$   $R$ -mods, then direct sum  $\bigoplus_{C \in I} M_C$  with  $R$ -mod hom

$f_C: M_C \rightarrow \bigoplus_{C \in I} M_C$  add. Then for any  $(f_C: M_C \rightarrow N)$ ,  
 there exists a unique  $\varphi: \bigoplus_{C \in I} M_C \rightarrow N$  such that:

$$M_c \rightarrow \bigoplus_{c \in I} M_c$$

$$\varphi_c \downarrow \circlearrowleft N \leftarrow \varphi$$

Pf claim: The direct sum exists and is unique up to iso.

Define  $\bigoplus_{c \in I} M_c$  as follows. As sets,

- $= \{ \sum_{c \in I} m_c \mid m_c = 0 \text{ except for finitely many } c \}.$
- Define  $+$  on  $\bigoplus_{c \in I} M_c$  as  $(m_i) + (n_c) = (m_i + n_c)$ .
- Define  $R$ -action component-wise.  $r \cdot (m_c) = (r \cdot m_c)$ .

Then for any  $R$ -mod hom  $\varphi_c: M_c \rightarrow N$ , we define.

$$\varphi: \bigoplus_{c \in I} M_c \rightarrow N, (m_c) \mapsto \sum_{c \in I} \varphi_c(m_c) \quad (\text{finite sum due to assumption}).$$

Then we can check that the map  $\varphi$  is unique, up to isom.  $\square$

Defn / Prop (Arbitrary direct product) Let  $M_c \in I$  often be  $R$ -mods. The direct prod is a  $R$ -mod  $\prod_{c \in I} M_c$  together with a  $R$ -mod hom  $f_c: \prod_{c \in I} M_c \rightarrow M_c$  s.t.  $\forall \varphi_c: N \rightarrow M_c$ , the following holds:

$$\begin{array}{ccc} & N & \\ \varphi_c \swarrow & & \searrow \varphi \\ M_c & \longleftarrow & \prod_{c \in I} M_c \end{array}$$

Pf: We define  $\prod_{c \in I} M_c$  as follows:

- As sets,  $\prod_{c \in I} M_c = \bigoplus_{c \in I} M_c$ ,
- Define  $+$  on  $\prod_{c \in I} M_c$  component-wise,
- Define  $R$ -action on  $\prod_{c \in I} M_c$  component-wise,
- $\prod_{c \in I} M_c \xrightarrow{f_c} M_c$  be the projection to the  $c$ -th component

Then  $\forall \varphi_c: N \rightarrow M_c$ , define

$$\varphi: \prod N \rightarrow \prod_{c \in I} M_c, n \mapsto (\varphi_c(n)).$$

Then we can check uniqueness.  $\square$

MA3201 WFL1 (3).

Rem:  $\bigoplus_{i \in \mathbb{Z}_{\geq 0}} \mathbb{Z} \not\cong \bigoplus_{i \in \mathbb{Z}_{\geq 0}} \mathbb{Z}$  as  $\mathbb{Z}$ -modules.  
(DAF 10.3 Ex 24).

### Direct sum & product of modules III

Let  $M_1, \dots, M_s, N_1, \dots, N_t$  be  $R$ -modules. we want to study

$\text{Hom}_R(\bigoplus_{i=1}^s M_i, \bigoplus_{j=1}^t N_j)$ ,  $\text{End}_R(\bigoplus_{i=1}^s M_i)$ ,  $\text{End}_R(R^n (= \bigoplus_{i=1}^n R))$

Eg. let  $R = F$  be a field. Then  $\text{End}_F(F^n) \cong \text{Mat}_{n \times n}(F)$ .

Defn ("temporary") Let  $M_1, \dots, M_s$  be  $R$ -modules, we define the following  
"matrix" ring  $\text{End}_R(\bigoplus_{i=1}^s M_i)$ .

• As a set,  $\text{End}_R(\bigoplus_{i=1}^s M_i) = \{(a_{ij})_{s \times s} \mid a_{ij} \in \text{Hom}(M_j, M_i)\}$

• Define +,  $\cdot$  component-wise:

$$(a_{ij}) + (b_{ij}) = (a_{ij} + b_{ij}) \quad \forall i, j.$$

• Define  $\cdot_M$  component-wise:

$$(a_{ij}) \cdot (b_{ij}) = (c_{ij}) \quad \text{where } c_{ij} = \sum a_{ik} \circ b_{kj} \quad \forall i, j.$$

$$(c_{ij}) = \sum_{k=1}^s a_{ik} \circ b_{kj} \quad \text{where } a_{ik} \in \text{Hom}(M_k, M_i), \\ b_{kj} \in \text{Hom}(M_j, M_k)$$

Thm: we have  $\text{End}_R(\bigoplus_{i=1}^s M_i) \cong \text{End}_R(\bigoplus_{i=1}^s M_i)$ .

In particular, we have  $\text{End}_R(R^n) \cong \text{Mat}_{n \times n}(R)$ .

Pf: we define the map as follows:

$$\begin{array}{ccc} M_j & \xrightarrow{f_j} & \bigoplus_{i=1}^s M_i \\ \varphi_{ij} \downarrow & \xleftarrow{g_j} & \downarrow \varphi \\ M_i & \xrightarrow{f_i} & \bigoplus_{i=1}^s M_i \end{array}$$

$\varphi: \text{End}_R(\bigoplus_{i=1}^s M_i) \rightarrow \text{End}_R(\bigoplus_{i=1}^s M_i)$  by  
 $\varphi: \varphi \mapsto (\varphi_{ij})_{s \times s}$ .

$$\begin{array}{ccccc}
 M_1 & \xrightarrow{\varphi_{11}} & M_1 \oplus M_2 & \xleftarrow{\varphi_{12}} & M_2 \\
 \varphi_{11} \downarrow & & \downarrow \varphi & & \downarrow \varphi_{22} \\
 M_1 & \xrightarrow{\varphi_{21}} & M_1 \oplus M_2 & \xleftarrow{\varphi_{22}} & M_2
 \end{array}$$

Let  $M_1 \oplus M_2 \ni a = a_1 + a_2$  with  $a_i \in M_i$ ,  $a_i \in M_2$ . Then

$$\varphi(a) = \begin{bmatrix} \varphi_{11} & \varphi_{12} \\ \varphi_{21} & \varphi_{22} \end{bmatrix} \begin{bmatrix} a_1 \\ a_2 \end{bmatrix} = \begin{bmatrix} \varphi_{11}(a_1) + \varphi_{12}(a_2) \\ \varphi_{21}(a_1) + \varphi_{22}(a_2) \end{bmatrix}.$$

The argument is the same as linear algebra. ( $\text{End}_F(F^n) \cong M_{n \times n}(F)$ )

Cor. The following composition can be computed using matrices:

$$\text{Hom}_R(R^k, R^l) \times \text{Hom}_R(R^k, R^l) \xrightarrow{\text{comp}} \text{Hom}_R(R^k, R^l)$$

on SS

$$\text{Mat}_{k \times l}(R) \times \text{Mat}_{k \times k}(R) \xrightarrow{\text{comp}} \text{Mat}_{l \times k}(R).$$

MA3201 W8L2

Recall:  $\text{End}_R(R^n) \cong \text{Mat}_{n \times n}(R)$ . (we consider the comm. case, usually).  
U:  $\text{End}_F(F^n) \cong \text{Mat}_{n \times n}(F)$ .

### Noetherian Modules

Defn: let  $R$  be ring with  $R \ni 1$ . A  $R$ -mod  $M$  is Noetherian, if any descending chain of submods of  $M$  stabilize. That is,

$$A_1 \subseteq A_2 \subseteq A_3 \subseteq \dots \quad \forall A_i \text{ are submod of } M.$$

Then  $\exists k$ ,  $A_k = A_{k+1} = \dots$  or equivalently,

$$\bigcup A_i = A_k \text{ for some } k \in \mathbb{N}. \text{ This is the 'use' property.}$$

Prop. Let  $M$  be a  $R$ -mod. Then  $M$  is Noetherian  $\iff$  any submod of  $M$  is finitely generated. That is,  $N = R\{n_1, \dots, n_k\} = \left\{ \sum_{i=1}^k r_i n_i \mid r_i \in R \right\}$ .

Pf: ( $\Rightarrow$ ) Assume  $M$  is Noetherian. Let  $N \subseteq M$  be a submod.

Assume the contrary,  $N$  is not finitely gen, then we can find a seq. of elements in  $N$ , denoted  $\{n_i\}_{i=1}^\infty$ . Then any ~~subset~~ finite subset of that will not generate  $N$ . That is,

$$\{n_i \in R\{n_1, \dots, n_{i-1}\} \mid i \in \mathbb{N} \text{ by assumption.}$$

Then we have an ascending chain of modules:

$$R\{n\} \subsetneq R\{n_1, \dots, n_2\} \subsetneq \dots$$

( $\Leftarrow$ ) Suppose all submods of  $M$ ,  $N$ , are fm.gen. Then for any asc. chain:

$$N_1 \subsetneq N_2 \subsetneq \dots \text{ let } N = \bigcup_{i=1}^\infty N_i.$$

This is a submod of  $M$  ~~but~~ hence finitely generated by assumption.

Then  $N = R\{n_1, \dots, n_k\}$  for some  $n_i \in N$ . We find the submod in the chain that contains  $\{n_1, \dots, n_k\}$  property, say  $N_\lambda$ , then

$$N_\lambda = N_{\lambda+1} = \dots = N$$

Eg. There are finitely generated  $M$  with non-fingen submods  $N$ .

$R = F[x_1, x_2, \dots]$ , let  $M = R = R\{1\}$ . The polynomials w/out constant term,  $N$  is a submod of  $M$  and is not fm.gen. (why?)

Prop. (Noetherian if submod and quotient are Noetherian) If  $M$  is an  $R$ -mod, then  $M$  is Noetherian  $\Leftrightarrow$   $N$  submod  $M$ ,  $N$  and  $M/N$  are Noetherian.

Pf :  $(\Rightarrow)$   $M$  is Noetherian, therefore any submod  $N$  is fingen.

We show further that  $M/N$  is Noetherian.

Let  $L \subseteq M/N$  be a submod, consider  $\text{gen}(L)$  then

$$L \subseteq M \Rightarrow L = R\langle l_1, \dots, l_n \rangle, \text{ then}$$

$$L/N = R\langle l_1 + N, \dots, l_n + N \rangle.$$

$(\Leftarrow)$   $N, M/N$  are Noetherian, then any submod of  $N, M/N$  are finitely generated. WTS  $L \subseteq M$  is fingen  $\Leftrightarrow L$  submod  $M$ .

Consider  $g(L) \cap M/N$ , the image of  $L$  in  $M/N = \overline{L}$ .

$\therefore \overline{L}$  is fingen, say  $\overline{L} = R\langle \overline{a}_1 + N, \dots, \overline{a}_s + N \rangle$ .

$L \cap N \subseteq N$  is also fingen,  $L \cap N = R\langle b_1, \dots, b_n \rangle$ .

We claim  $L$  is generated as  $R$ -submod by

$\{a_1, \dots, a_s, b_1, \dots, b_n\}$ . If  $x \in L$ , then

$$x + N = r_1 a_1 + r_2 a_2 + \dots + r_s a_s + N, \quad (r_i \in R).$$

$$\therefore x - (r_1 a_1 + \dots + r_s a_s) \in N \cap L.$$

$$\therefore x = r_1 a_1 + \dots + r_s a_s + r'_1 b_1 + \dots + r'_n b_n. \quad (\text{any } x \in L \text{ is gen. by the set})$$

Defn: Let  $R$  be with I. we say  $R$  is left (or right) Noetherian if  $R$  is a Noetherian module as a left (or right)  $R$ -mod via left (or right) multiplication. (Noetherian is a mod-property).

We say  $R$  is Noetherian if it's both left and right Noetherian.

Recall:  $R \text{ is a PID} \Rightarrow R \text{ is Noetherian}$ .

## MA3201 W8L2 (2)

Lemma: Let  $R$  be left Noetherian. Then any finitely generated  $R$ -mod is Noetherian.

Pf: we first show that  $R^n$  is Noetherian. (by induction)

$$R \times R = R \oplus R = R^2, \text{ and } R \times \{0\} \subset R^2, \text{ it's a submod.}$$

then  $\{0\} \times R = R^2 / R \times \{0\}$ . It's also Noetherian.

Continue with this, we have the results desired.

Let  $M = R\{m_1, \dots, m_n\}$  be f.g.en  $R$ -mod.

We have a surjective map  $R^n \xrightarrow{\exists i \mapsto m_i} M$ , And thus  $M$  is a quotient of a Noetherian module  $R^n$ , hence Noetherian.

Cor: Any subgp of a finitely generated Ab. gp ( $\mathbb{Z}$ -module) is finitely generated.

$$\text{coker}(\varphi: M \rightarrow N) = N/\text{Im}(M).$$

Defn: Let  $R$  be a PID, then any f.g.en  $R$ -mod  $M$  is also

finitely presented. That is,  $M \cong \text{coker}(\varphi: R^m \rightarrow R^n)$  ( $\cong \text{Mat}_{m \times n}(R)$ ) OR  $\exists f: R^n \rightarrow M$ , the kernel is f.g.en.

so we can find  $g: R^m \rightarrow \ker f$ .

$$\begin{array}{ccc} R^m & \xrightarrow{g} & \ker f \\ & \downarrow \varphi & \\ R^n & \xrightarrow{f} & M \end{array}$$

Pf: we know  $M = R\{m_1, \dots, m_n\}$ . Then we can find  $R$ -mod map

$f: R^n \rightarrow M$ ,  $e_i \mapsto m_i$ . Then  $M \cong R^n / \ker f$  ( $\text{Bf}, \text{Im} f$ ).

Since  $R^n$  is Noetherian, the submod  $\ker f$  is f.g.en, say

$\ker f = R\{s_1, \dots, s_m\}$ . Then we can find  $R$ -mod map

$R^m \rightarrow \ker f$ ,  $e_i \mapsto s_i$ .

Then we define  $\varphi: R^m \rightarrow \ker f \subset R^n$  and  $\text{Im } \varphi = \ker f$ .

Then  $\text{coker}(\varphi: R^m \rightarrow R^n) = R^n / \text{Im } \varphi = R^n / \ker f \cong M$ .

□.

Eg. Assume  $M \cong \text{oker } (\phi: R^3 \rightarrow R^3)$  for a PID  $R$ .

Assume  $\phi: R^3 \rightarrow R^3$  is the matrix  $\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & \alpha \end{bmatrix}$

Then  $M \cong R^2 \oplus R/\alpha$

Prop: Let  $R$  be a ring with  $I$ . Let  $M$  be a Noetherian module.

Then  $M$  has a simple quotient, that is  $\exists \text{ submodule } N \subseteq M$  such that  $M/N$  is a simple  $R$ -mod. (A module needn't have a simple submodule/quot.)

Pf: (Recall  $M/N$  is simple if  $M/N \neq 0$ , &  $M/N$  are submods.) this happens when  $N$  is max. Existence of  $N$   
is given by Zorn's  
for Noetherian.

Then  $M$  is simple  $\Rightarrow$  we are done.

Otherwise, we can find  $N_1 \subsetneq M$ , if  $M/N_1$  is simple we are done.

Otherwise,  $\exists 0 \neq N_2 \subset M/N_1$ , then  $N_2 \neq M/N_1$ .

then we continue with  $M/N_2$ , etc.

Since  $M$  is Noetherian, we have an ascending chain  $N_i$  that stabilizes.

$\therefore M/N_k$  must be simple for some  $k$ . □

(Cor: Any finitely gen  $\mathbb{Z}$ -module/ $\mathbb{Z}$  has a simple quotient) (?)

Example: 1. Let  $R = F[x]$  for a field  $F$ . But  $R^2/R$  has no simple ~~submod~~ quotients.

(any module  $M \subseteq R$ ,  $x \cdot M$  is a submodule of  $M$ ).

2. Let  $R = F[x]$ , then  $M = \text{Hom}_F(f(x), F)$  has no simple ~~submod~~ quotients.

$$(g(w) \cdot f)(h(w)) = f(g(w) \cdot h(w)) \\ g \in R \subseteq M \quad h \in F[x] \\ \in F.$$

(Free if  $F$  is field  $\Rightarrow F[x]$  is PID)

Thm! (Hilbert basis theorem) Let  $R$  be a comm. Noetherian Ring. Then  $R[x]$  is Noe.

Pf: Suppose otherwise, then there is an ideal  $I$  that is not finitely generated (as an  $R[x]$ -mod). Construct a sequence  $\{f_i\}_{i=1}^{\infty}$  in  $I$  as follows:

$f_i \in R[x]/\langle f_1, \dots, f_{i-1} \rangle$ ,  $f_i \in I \setminus R[x]/\langle f_1, \dots, f_{i-1} \rangle$ . be of minimal deg.  
(deg. is just presented in non-increasing)

MA3201 (WFL2C3).

PF (Hilbert basis theorem, cf 8):

Let  $a_1$  be the leading coeff of  $f_i (= a_{i,n}x^n + \dots)$ .

Consider the chain of ideals in  $R$ :

$$(a_1) \subset (a_1, a_2) \subset \dots$$

If it stabilizes (why). Say  $(a_1, \dots, a_m) = (a_1, \dots, a_m, a_{m+1}) = \dots$

$$\therefore a_{m+1} = \sum_{i=1}^m r_i a_i \quad \text{↓ same deg.} \quad \text{So the deg } a_{m+1}.$$

Then consider  $g = \sum_{i=1}^m r_i a_i f_i \cdot (x^{m+1} - m_i)$  where  $\deg f_i = m_i$ .

Since  $f_{m+1} \notin R[x]\{f_1, \dots, f_m\}$  so

$f_{m+1} - g \in R[x]\{f_1, \dots, f_m\}$  with degree  $< \deg f_{m+1}$ .

$\therefore a_m \rightarrow$  choice of  $f_{m+1}$ .  $\square$

(of course)

Recall conclusion. Ascending chain stabilizes

$\Rightarrow$  Noetherian

$\Leftrightarrow$  Any subgp is finitely generated.

$\Leftrightarrow$  Any submod  $N, MN$  etc is Noetherian.

Eg:- V finite vector spaces of fields  $F$  are Noetherian. (by (A)).

-  $R$  PID, then any fingen  $R$ -mod  $M$ , is Noe.

We have surj. map  $R^n \xrightarrow{\varphi} M$ .

Since  $R^n$  is Noe, ker  $\varphi \subset R^n$  is also fingen.

(\*)

$$\therefore R^n \subset$$

MA3201 W9 L1

Eg. Let  $f = \begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix} \in M_2(\mathbb{Z})$ . Consider  $M \cong \text{coker}(f: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2)$ .

Smith Normal Form (CD&F Ex 15-20)

Motivational Lem: Let  $R$  be a ring,  $\varphi: R^n \rightarrow R^m$  be a  $R$ -mod hom. Let  $S: R^n \rightarrow R^n$  and  $T: R^m \rightarrow R^m$  be isom. of  $R$ -mods.  
Then  $\text{coker}(\varphi: R^m \rightarrow R^n) \cong \text{coker}(T \circ \varphi \circ S: R^m \rightarrow R^n)$

$$\begin{array}{ccc}
 R^m & & R^n \\
 S \downarrow & \xrightarrow{\varphi} & \uparrow T \\
 R^m & \xrightarrow{\varphi} & R^n \\
 R^m \xrightarrow{S} R^m \xrightarrow{\varphi \circ S} R^n & \xrightarrow{T} & R^n \xrightarrow{T \circ \varphi} M \\
 & & = R^n / \varphi(R^m)
 \end{array}$$

Pf: consider  $\text{coker}(\varphi) = \text{coker}(\varphi: R^m \rightarrow M) = R^m / \varphi(R^m)$ .  
 $\text{coker}(T \circ \varphi \circ S) = \text{coker}(T \circ \varphi: R^m \rightarrow M) = R^m / (T \circ \varphi)(R^m) = R^m / \varphi(R^m)$ .

we want to show  $M \cong \text{coker}(T \circ \varphi \circ S)$

$$= \text{coker}(\varphi)$$

we consider the map  $f: R^n \xrightarrow{T^{-1}} R^n \xrightarrow{\pi} M$ .

$$\begin{array}{ccc}
 R^n & \xrightarrow{T^{-1}} & R^n \xrightarrow{\pi} M \\
 \text{---} & \text{---} & \text{---} \\
 \text{---} & \text{---} & \text{---}
 \end{array}$$

•  $f$  is surjective.

$$\cdot R^n / \ker f \cong M$$

$$\cdot \ker f = T(\ker \pi)$$

we claim  $\text{Im}(T \circ \varphi \circ S) = \ker f$ . Then

$$\text{coker}(T \circ \varphi \circ S) \cong \frac{R^n}{\text{Im}(T \circ \varphi \circ S)} \cong R^n / \ker f \cong M.$$

Proof:

$$\text{① } \text{Im}(T \circ \varphi \circ S) \subset \ker f \Leftrightarrow f(\text{Im}(T \circ \varphi \circ S)) = 0.$$

$$\begin{aligned}
 \text{Then } f(T \circ \varphi \circ S(R^m)) &= \pi \circ T \circ \varphi \circ S(R^m) \\
 &= \pi \circ \varphi \circ S(R^m) \\
 &\stackrel{S \text{ is iso}}{=} 0.
 \end{aligned}$$

$$\text{② } \ker f \not\subset \text{Im}(T \circ \varphi \circ S) \quad \text{---}$$

$$\ker f = \ker(\frac{\pi \circ T \circ \varphi}{T \circ \varphi}) = T(\ker \pi) = T(\text{Im } \varphi)$$

$$\text{Im}(T \circ \varphi \circ S) = T(\text{Im } (\varphi \circ S)) = T(\text{Im } \varphi).$$

(Since  $S$  is injective,  $S(R^m) = R^m$ ).

MA3201 W9(1) (2).

Eg. ① Let  $R = F$  be a field. Let  $M = \text{coker}(\varphi: M^2 \rightarrow M^2)$  with  $\varphi = \begin{pmatrix} 1 & 1 \\ 2 & 2 \end{pmatrix}$ .  
Then  $\varphi \begin{pmatrix} a \\ b \end{pmatrix} = \begin{pmatrix} a+b \\ 2a+2b \end{pmatrix}$ .

Then taking  $T = \begin{pmatrix} 1 & 0 \\ -2 & 1 \end{pmatrix}$ , ( $T^{-1} = \begin{pmatrix} 1 & 0 \\ 2 & 1 \end{pmatrix}$ ),

$$S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}, (S^{-1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}),$$

$$\therefore T\varphi S = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}.$$

so  $\text{coker } \varphi \cong \text{coker}(T\varphi S) \cong \mathbb{Z}$ .

since  $T\varphi S: R^2 \rightarrow R^2$ ,  $(\begin{pmatrix} a \\ b \end{pmatrix}) \mapsto (\begin{pmatrix} a \\ b \end{pmatrix})$ .

② Let  $\varphi: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$  be  $(a, b) \mapsto (a+b, 2b)$ . Then we claim

$\text{coker } \varphi \cong \mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z}$ . We have  $\varphi = \begin{pmatrix} 1 & 1 \\ 0 & 2 \end{pmatrix} \in M_2(\mathbb{Z}) \cong \text{End}_{\mathbb{Z}}(\mathbb{Z}^2)$

Let  $S = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$ . Then  $\varphi S = \begin{pmatrix} 1 & 0 \\ 0 & 2 \end{pmatrix}$

so  $\text{coker } \varphi \cong \text{coker } \varphi S$ . Then  $\varphi \cdot S: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ ,  $(\begin{pmatrix} a \\ b \end{pmatrix}) \mapsto (\begin{pmatrix} a \\ 2b \end{pmatrix})$

$\therefore \text{Im } \varphi S = \mathbb{Z} \oplus 2\mathbb{Z}$ . Then  $\frac{\mathbb{Z} \oplus 2\mathbb{Z}}{\mathbb{Z} \oplus 2\mathbb{Z}} \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/2\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z}$ .

④ (Let  $\varphi: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$ ,  $(a, b) \mapsto (2a+3b, 5a+7b)$ . Then)

$$\varphi = \begin{pmatrix} 2 & 3 \\ 5 & 7 \end{pmatrix}: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2$$

$= S_1^{-1}$  not invertible in  $M_2(\mathbb{Z})$ .

$$\text{We try } \begin{pmatrix} 2 & 3 \\ 5 & 7 \end{pmatrix} \begin{pmatrix} 2 & -3 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix},$$

$$\text{then } \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & 3 \\ 5 & 7 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

$$\text{and } \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & -3 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

Defn:  $S_1 = \begin{pmatrix} 2 & -3 \\ 5 & 2 \end{pmatrix}$  with inverse  $\begin{pmatrix} 2 & 3 \\ 5 & 7 \end{pmatrix}$

$$\text{try check } \begin{pmatrix} 2 & 3 \\ 5 & 7 \end{pmatrix} \begin{pmatrix} 2 & -3 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

$$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \begin{pmatrix} 2 & -3 \\ -1 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}.$$

$\therefore$  we have  $S = \begin{pmatrix} 2 & -3 \\ 5 & 2 \end{pmatrix}$ ,  $T = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$  s.t.  $T\varphi S = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

We have Then  $\text{coker}(\varphi) \cong \text{coker}(T\varphi S) = 0$ . (i.e.  $\varphi$  is surjective).

Recall: M PID,  $a, b \in R$ ,  $(a, b) = (\alpha)$  for some  $\alpha$  and  $\alpha = \gcd(a, b)$ .  
 $\exists c, d \in R$ ,  $ac + bd = \alpha$ .

lem: R PID.  $a, b \in R$  coprime ( $\gcd(a, b) = 1 \Leftrightarrow (a, b) = (1)$ )  $\exists c, d$ ,  
 $ac + bd = 1$ . Then

$\begin{bmatrix} a & b \\ -d & c \end{bmatrix}, \begin{bmatrix} c & -b \\ d & a \end{bmatrix}, \begin{bmatrix} a-d \\ b \\ c \end{bmatrix}, \begin{bmatrix} c & a \\ -c & \alpha \end{bmatrix}$  are all invertible

in  $\text{Mat}_2(R)$ . (Note that if not ED,  $c, d$  cannot be found easily using Euclid's algorithm  
(Conversely they are inverses of each other.)

Cor: with the above assumptions, we can embed it into bigger  
matrices:  $\begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & a & b & 0 \\ 0 & -d & c & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$  is invertible.

lem. Let  $a, b \in R$ ,  $\alpha = \gcd(a, b)$ . Then  $\exists$  invertible matrices.  
 $S, T \in \text{Mat}_2(R)$ , such that.

$$\forall \begin{bmatrix} a & b \\ ? & ? \end{bmatrix} \cdot S = \begin{bmatrix} \alpha & 0 \\ ? & ? \end{bmatrix} \text{ and } T \cdot \begin{bmatrix} a & ? \\ b & ? \end{bmatrix} = \begin{bmatrix} \alpha & ? \\ 0 & ? \end{bmatrix}$$

Similarly we have  $\begin{bmatrix} a & b & c \\ ? & ? & ? \end{bmatrix} \cdot S = \begin{bmatrix} \alpha & 0 & c \\ ? & ? & ? \end{bmatrix}$ .

pf: Let  $a = \alpha a'$ ,  $b = \alpha b'$  and  $ac + bd = \alpha$  for some  $c, d \in R$ .

Then  $a'c + b'd = 1$ .  $T = \begin{bmatrix} c & d \\ -b' & a' \end{bmatrix}$ .

$$\therefore T \cdot \begin{bmatrix} a & ? \\ b & ? \end{bmatrix} = \begin{bmatrix} ac + bd & ? \\ -b'a + a'b & ? \end{bmatrix} = \begin{bmatrix} \alpha & ? \\ 0 & ? \end{bmatrix}$$

$-a'b'a + a'b'\alpha$

(S can be shown similarly.)

Lemma: let  $a, b \in R$ , then  $\exists$  invertible  $S, T \in \text{Mat}_{2,2}(R)$ , s.t.

$$T \cdot \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \cdot S = \begin{bmatrix} \alpha & 0 \\ 0 & \beta \end{bmatrix} \text{ with } \alpha | \beta$$

Actually  $\alpha = \gcd(a, b)$ ,  $\alpha \beta = ab = \det \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}$ .

# MA3201 W9L1

Pf (Lemma): Let  $a = \alpha a'$ ,  $b = \alpha b'$ ,  $\alpha = \gcd(a, b)$ . Then  $ac + bd = \alpha$  and  $a'c + b'd = 1$ . Then  $T = \begin{bmatrix} c & d \\ b' & a' \end{bmatrix}$  is invertible.

Consider the following process:

$$\begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix} \rightarrow \begin{bmatrix} 0 & 0 \\ 0 & b \end{bmatrix} \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} = \begin{bmatrix} a & 0 \\ b & b \end{bmatrix} \rightarrow \begin{bmatrix} c & d \\ -b' & a' \end{bmatrix} \begin{bmatrix} a & 0 \\ b & 1 \end{bmatrix}$$

$$= \begin{bmatrix} \alpha & db \\ 0 & a'b \end{bmatrix} \rightarrow \begin{bmatrix} \alpha & db \\ 0 & a'b \end{bmatrix} \cdot \begin{bmatrix} 1 & -db' \\ 0 & 1 \end{bmatrix} = \begin{bmatrix} \alpha & -db'\alpha + db \\ 0 & a'b \end{bmatrix}$$

$$= \begin{bmatrix} \alpha & 0 \\ 0 & a'b \end{bmatrix}. \text{ Then } \alpha | b \Rightarrow \alpha | a'b.$$

$$\therefore T = \begin{bmatrix} c & d \\ -b' & a' \end{bmatrix}, S = \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \cdot \begin{bmatrix} 1 & -db' \\ 0 & 1 \end{bmatrix} \text{ and}$$

$$T \begin{bmatrix} a & 0 \\ 0 & b \end{bmatrix}, S = \begin{bmatrix} \alpha & 0 \\ 0 & a'b \end{bmatrix}$$

Summary of invertible ops:

$$\begin{bmatrix} a & b & c \\ * & * & * \end{bmatrix} \xrightarrow{\text{Row 1} \leftrightarrow \text{Row 2}} \begin{bmatrix} \gcd(a, b) & 0 & c \\ * & * & * \end{bmatrix}$$

$$\begin{bmatrix} a & b & c \\ * & * & * \end{bmatrix} \xrightarrow{\text{Row 1} \rightarrow \text{Row 1} - k \text{Row 2}} \begin{bmatrix} a & (b + ka) & c \\ * & * & * \end{bmatrix}$$

$$\begin{bmatrix} a & b & c \\ d & ef & \end{bmatrix} \xrightarrow{\text{Suppose } d \neq 0} \begin{bmatrix} d & ef \\ a & bc \end{bmatrix}$$

$$\begin{bmatrix} b & a & c \\ e & d & f \end{bmatrix} = \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \end{bmatrix} \begin{bmatrix} a & b & c \\ d & ef & \end{bmatrix}.$$

$$\begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \end{bmatrix} \xrightarrow{\text{Row 1} \leftrightarrow \text{Row 2}} \begin{bmatrix} \alpha & 0 & 0 \\ 0 & \beta & 0 \end{bmatrix} \text{ with } \alpha | \beta.$$

## MA3201 WQ L2

Lem: If  $T: M \rightarrow M$ , so.  $\pi: M \rightarrow N$ . Then,  $\ker(\pi \circ T^{-1}) = T \ker(\pi)$   
Pf:  $\ker(\pi \circ T^{-1}) = (\pi \circ T^{-1})(0) = T \circ \pi^{-1}(0) = T(\ker(\pi))$ .

### Structure Theory of Finitely generated Modules for PID (Noetherian Rings)

Setup:  $M$  fingen  $R$ -mod,  $R$  is a PID. Then  $R^n \xrightarrow{f} M$ .

Then  $\ker f \subset R^n$  is also finitely generated (Noetherian)

$$\text{Then } R^m \xrightarrow{\varphi} \ker f \subset R^n \xrightarrow{f} M$$

$$\text{Then } \operatorname{Im} \varphi = \ker f. \text{ So } \operatorname{coker} \varphi = R^n / \operatorname{Im} \varphi = R^n / \ker f \cong M.$$

key lemma: (R need not be PID)  $\varphi: R^m \rightarrow R^n$  a  $R$ -mod map.

$S: R^m \rightarrow R^m$ ,  $T: R^n \rightarrow R^n$  are isom of  $R$ -mod.

$$\therefore \operatorname{coker}(\varphi: R^m \rightarrow R^n) = \operatorname{coker}(T \circ \varphi \circ S: R^m \rightarrow R^n).$$

Summary of mutable operations:

combine row/col to gcd and 0:

$$\begin{bmatrix} a & b & c \\ 2 & ? & ? \end{bmatrix} \rightarrow \begin{bmatrix} \gcd(a, b) & 0 & c \\ ? & ? & ? \end{bmatrix},$$

$$\begin{bmatrix} a & ? & ? \\ d & ? & ? \end{bmatrix} \rightarrow \begin{bmatrix} \gcd(a, d) & ? & ? \\ 0 & ? & ? \end{bmatrix}$$

~~add multiples:~~  $\begin{bmatrix} a & b & c \\ 2 & ? & ? \end{bmatrix} \rightarrow \begin{bmatrix} a & b+ka & c \\ ? & ? & ? \end{bmatrix}, \begin{bmatrix} a & ? & ? \\ d & ? & ? \end{bmatrix} \rightarrow \begin{bmatrix} a & ? & ? \\ d+ad & ? & ? \end{bmatrix}$

~~swap row/col.~~  $\begin{bmatrix} a & b & c \\ d & e & f \end{bmatrix} \rightarrow \begin{bmatrix} d & e & f \\ a & b & c \end{bmatrix}, \begin{bmatrix} a & b & c \\ d & e & f \end{bmatrix} \rightarrow \begin{bmatrix} b & a & c \\ e & d & f \end{bmatrix}$

~~diagonal mat multiply.~~  $\begin{bmatrix} a & 0 & 0 \\ 0 & b & 0 \end{bmatrix} \rightarrow \begin{bmatrix} \alpha & 0 & 0 \\ 0 & \beta & 0 \end{bmatrix} \propto 1/r. (\alpha = \gcd(a, b), \alpha\beta = ab).$

MA3201 W9(2)(2).

Thm (Smith normal form) Let  $R$  be a PIDs. Let  $\varphi: R^m \rightarrow R^n$  be a  $R$ -mod hom. We consider  $\varphi: R^{m \times n} \rightarrow \text{Mat}_{n \times m}(R) \cong \text{Hom}_R(R^m, R^n)$ . Then there exists invertible

$S \in \text{Mat}_{n \times n}(R)$ ,  $T \in \text{Mat}_{m \times m}(R)$  s.t.

$$S \cdot \varphi \cdot T = \text{diag}(\alpha_1, \alpha_2, \dots, \alpha_k, 0, 0, \dots, 0).$$

with  $\alpha_1 | \alpha_2 | \dots, \alpha_i \neq 0 \forall i$ .

The entries of  $\{\alpha_i\}$  are called the invariant factors of  $\varphi$ .

The RHS (diag mat) is called the SNF of  $\varphi$ .

Pf: Explain construction by example: a  $2 \times 3$  matrix.

$$\begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \end{bmatrix} \xrightarrow{\text{reversible operations}} \begin{bmatrix} a_{11}' = \gcd(a_{11}, a_{12}) & 0 & a_{13} \\ ? & ? & ? \end{bmatrix}$$

$$\xrightarrow{} \begin{bmatrix} a_{11}' & a_{13} & 0 \\ ?, ?, ? \end{bmatrix}$$

$$\xrightarrow{} \begin{bmatrix} a_{11}'' = \gcd(a_{11}', a_{13}) & 0 & 0 \\ a_{21} \text{ (say)} & ?, ? \end{bmatrix}$$

$$\left\{ \begin{array}{l} \text{if } a_{11}'' | a_{21}, \rightarrow \begin{bmatrix} 1 & 0 \\ -a_{21}/a_{11}'' & 1 \end{bmatrix} \begin{bmatrix} ?, ? \end{bmatrix} = \begin{bmatrix} a_{11}'' & 0 & 0 \\ 0 & ?, ? \end{bmatrix} \\ \text{eliminate 2nd row} \end{array} \right.$$

$$\left\{ \begin{array}{l} \text{if } a_{11}' + a_{21}, \rightarrow \begin{bmatrix} a_{11}'' (\gcd(a_{11}'', a_{21})) & ?, ? \\ 0 & ?, ? \end{bmatrix} \end{array} \right.$$

Seems like we are hawfoulle, but good fly is gcd is getting "smaller".

But if  $a_{11}'' | a_{21}$ , then # of inv. factors in  $a_{11}'''$

$\leftarrow$  in  $a_{11}'''$  (PID  $\Rightarrow$  UFD), then taking GCD is equal to taking common power. will +.

$\therefore$  After finitely many steps, we will have case 1:  $\begin{bmatrix} b_{11} & 0 & 0 \\ 0 & ?, ? \end{bmatrix}$ .

Theorem (Fundamental Theorem for finitely generated modules over PID, existence, invariant factors).

Let  $M$  be a fg  $R$ -module for a PID  $R$ . Then, as  $R$ -module,

$$M \cong R^k \oplus R/(\alpha_1) \oplus R/(\alpha_2) \oplus \dots \oplus R/(\alpha_r) \text{ with } \alpha_1 \neq 0, \\ \alpha_1 | \alpha_2 | \dots, \alpha_i \in R^* \text{ (otherwise } R/(\alpha_i) = 0\text{)} \quad (\text{otherwise } M \cong R^{k+r})$$

The  $\{\alpha_i \mid k+1 \leq i \leq r\}$  are called the invariant factors of  $M$ .

$k$  is called the free rank of  $M$ .

(the decomposition is not proved to be unique, so we say the planes are not well defined yet).

Pf: Let  $M \cong \text{coker}(\varphi: R^m \rightarrow R^n) \cong \text{coker}(S \circ \varphi \circ T: R^m \rightarrow R^n)$  for some invertible  $S$  &  $T$ , such that  $S \circ \varphi \circ T = \text{diag}(\alpha_1, \dots, \alpha_r, u_1, \dots, u_r, 0, \dots)$  where  $u_i$  are units.  
 $(u_1(u_2) \dots)(\alpha_1 | \alpha_2 | \dots | \alpha_r \neq 0, \alpha_i \in R^*)$ .

Then we have  $\text{Im}(S \circ \varphi \circ T) = (\alpha_1) \oplus (\alpha_2) \oplus \dots \oplus (\alpha_r) \oplus R \oplus \dots \oplus R \oplus 0 \dots$

Then we have

$$\text{coker}(S \circ \varphi \circ T) \cong M \cong R^k \oplus R/(\alpha_1) \oplus \dots \oplus R/(\alpha_r)$$

Eg. ① Let  $M \cong \text{coker}(\varphi: R^m \rightarrow R)$ . Then we have  
 $\text{Im } \varphi = (\varphi(e_1), \varphi(e_2), \dots, \varphi(e_m)) = (\alpha_1)$  since  $R$  is a PID.  
 Then  $M \cong R/(\alpha_1)$  where  $\alpha_1$  could be a unit or 0.

② Any fg.  $F$ -module for a field  $F$  is isom to  $F^k$  for some  $k$ .  
 (why?) (no ideals except trivial, OR every elem is a unit). In other words every  $F$ -vector space has a basis.

③ Any fg Abelian gp. is isom to  $\mathbb{Z}^k \oplus \mathbb{Z}_{n_1} \oplus \mathbb{Z}_{n_2} \oplus \dots \oplus \mathbb{Z}_{n_r}$ .  
 where  $n_1 | n_2 | \dots | n_r$ ,  $n_i \notin \mathbb{Z}^* \setminus \{1\}$ ,  $n_i \neq 0$ .

MA3201 W9(2)(3).

Eg. (4) Let  $F$  be a field. Then any finite  $G \subset F^*$  subgrp  $\cong$  13q cyclic.

Pf: Recall  $F[x]$  is an Euclidean Domain. Then any fact of  $\text{deg}(n)$  must have  $n$  roots. Then let (since  $G_1$  is Abelian).  
 $G \cong \mathbb{Z}^F \oplus \mathbb{Z}_{n_1} \oplus \dots \oplus \mathbb{Z}_{n_r}$   $\times$  since  $G_1$  is finite.

Then we can consider the polynomial  $x^{n_r} - 1 \in F[x]$ .

Since  $n_1 | n_2 | \dots | n_r$ , then  $x^{n_r} - 1$  must have at most  $n_r$  roots  
in  $G \subset F^*$ .  $\therefore G \cong \mathbb{Z}/n_r\mathbb{Z}$  or  $\cong \mathbb{Z}/n\mathbb{Z}$  for some  $n$ . why?

(5) Any finite dimensional  $F^n$ -mod. (finitely dim. vs.  $V$  and  $T: V \rightarrow V$ )  
is isomorphic to  $F^n/(f_1(n)) \oplus \dots \oplus F^n/(f_r(n))$   $\in \text{End}_F(V)$ .  
with  $f_1(n) | f_2(n) | \dots | f_r(n)$ ,  $f_i(n) \neq 0$ ,  $f_i(n) \in F$ .

Recall: CRT for PID:

$$R(\alpha_i) = R/(p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}) = R/(p_1^{a_1}) \oplus \dots \oplus R/(p_r^{a_r}).$$

Then (existence, elementary factors) let  $M$  be a fg  $R$ -mod for a PID  $R$ .

Then  $M \cong R \oplus R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s})$  for NOT necessarily  
distinct primes  $p_i$  and  $a_i > 0$ .

Then  $\{p_i^{a_i}\}$  (multiset) are called the elementary factors of  $M$ .

Pf: The difference now is possible repetition. We can "distribute" factors to  
 $\alpha_1, \alpha_2, \dots$  s.t.  $\alpha_i \alpha_j$  has each inverse to  $p_i^{a_i}$  that  
might repeat.

$$\cong R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s})$$

$$M \cong R \oplus R/(\alpha_1) \oplus R/(\alpha_2) \oplus \dots$$

$\cong R/(p_1^{a_1}) \oplus \dots$  (IOW, we can combine/split into factors.)

Defn: let  $M$  be a  $R$ -mod for any ring  $R$ . Define inpt.

$$\text{Tor}(M) = \{m \in M \mid r \cdot m = 0 \text{ for some } 0 \neq r \in R\}.$$

$M$  is called torsion free if  $\text{Tor}(M) = 0$ .

Hw4: If  $R$  is a PID, then  $\text{Tor}(M) \subset M$  is a submod.

Lemma: (General R) Let  $\phi: M \rightarrow N$  be a R-mod hom. Then  $\phi(\text{Tor}(M)) \subseteq \text{Tor}(N)$ . ( $\phi$  isom then  $\text{Tor}(M) = \text{Tor}(N)$ .)

Pf: Let  $m \in \text{Tor}(M)$  s.t.  $rm = 0$  with  $r \neq 0$ .

Then  $\phi(r \cdot m) = r \cdot \phi(m) = 0 \Rightarrow \phi(m) \in \text{Tor}(N)$ .  $\square$

Prop. Let R be a PID. Let M be a f.g. module over R. Then M is torsion free  $\Leftrightarrow M$  is free ( $\Leftrightarrow M \cong R^k$  or infinite).

(characterizes free with torsion free when R is PID and M f.g.)

Pf: Let  $M \cong R^k \oplus R/\alpha_1 \oplus \dots \oplus R/\alpha_r$ ;  $\alpha_1 | \alpha_2 | \dots | \alpha_r \neq 0$ ,  $\alpha_i \in R^*$ .  
Since  $\exists m$ ,  $\text{Tor}(M) = R/\alpha_1 \oplus \dots \oplus R/\alpha_r$ . ( $\forall r, r \cdot R^k \neq 0$ ).  
 $\therefore \text{Tor}(M) = 0 \Leftrightarrow M \cong R^k$ .

Eg. ① Let  $R = \mathbb{Z}[x]$  (not a PID). Then  $(2, x) = M \subset R$  is torsion free but not free. ( $\text{cannot be sent to } 0$ )

Pf: (not free) suppose otherwise,  $(2, x) \cong R = \mathbb{Z}[x] = (\alpha)$  torsion free.  
 $\Rightarrow (2, x)$  is a principal ideal. ( $\rightarrow \epsilon$ , shown before).

②  $\mathbb{Q}$  (abelian gp) is torsion free but not free. (as a  $\mathbb{Z}$ -mod).

Pf: (torsion free):  $\forall n \in \mathbb{Z}, f \in \mathbb{Q}, n \cdot f \neq 0$  if  $n \neq 0$ .

(not free): Suppose A s.t.  $F(A) \cong \mathbb{Q}$ .

Case 1:  $A = \{n\}$  single elem.

Then  $n \mapsto p/q$  with  $\text{gcd}(p, q) = 1$ .

$\varphi: F(A) \xrightarrow{\cong} \mathbb{Q}$

Then  $\varphi(F(A)) = \{p/q\} \neq \mathbb{Q}$  (e.g.,  $\frac{p}{q} = \frac{1}{2}$  then  $\frac{1}{2} \notin \varphi(F(A))$ ).

Case 2:  $|A| \geq 2$ .

Let  $\mathbb{Z}^2 \hookrightarrow F(A)$ ,  $(1, 0) \mapsto n$ ,  $(0, 1) \mapsto y$ .

Then the composition  $\mathbb{Z}^2 \hookrightarrow F(A) \xrightarrow{\cong} \mathbb{Q}$ .

just map the first 2 coordinates.  $(1, 0) \mapsto n \mapsto p_1/q_1$ ,  
 $(0, 1) \mapsto y \mapsto p_2/q_2$ .

is an injective map with kernel = 0.

However,  $(q_1, p_2, -p_1 q_2) \mapsto q_1 p_2 + p_1 q_2 - p_1 q_2 \frac{p_2}{q_2} = p_2 p_1 - p_1 p_2 = 0$ .

kernel  $\neq 0$ . ( $\rightarrow \epsilon$ )

## MA3201 W10 L1

Recall: Let  $M$  be a f.g.  $R$ -mod for a pd  $R$ . Then

$$M \cong R^k \oplus R/(\alpha_1) \oplus R/(\alpha_2) \oplus \dots \oplus R/(\alpha_r), \quad \alpha_1 | \alpha_2 | \dots, \alpha_r \neq 0, \alpha_i \in R^\times$$

$$\cong R^k \oplus R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s}) \quad (\text{by CRT}).$$

where  $p_i$  are NOT necessarily distinct primes of  $R$ , with  $a_i > 0$ .

Recall by eg: Let  $M$  be an Ab. gp, generated by  $x, y$  subject to the relations  $2x + 5y = 0, 3x + 7y = 0$ . Show that  $M \cong 0$ .

Pf: we consider the map  $\mathbb{Z}^2 \rightarrow M, (1, 0) \mapsto x, (0, 1) \mapsto y$   
more precisely,  $(a, b) \mapsto ax + by$ .

We know  $\ker \pi = ((2, 5), (3, 7))$ . (ideal gen.)

Then we consider a map

$$\varphi: \mathbb{Z}^2 \rightarrow \mathbb{Z}^2 \rightarrow M,$$

$$(1, 0) \mapsto (2, 5), (0, 1) \mapsto (3, 7).$$

Then  $\text{Im } \varphi = \ker \pi$ ,  $\text{coker } \varphi = \mathbb{Z}^2 / \text{Im } \varphi = \mathbb{Z}^2 / \ker \pi \cong M$ .

We have  $\varphi = \begin{bmatrix} 2 & 3 \\ 5 & 7 \end{bmatrix}$ . we then consider  $T = \begin{bmatrix} 1 & 0 \\ -3 & 1 \end{bmatrix}, S = \begin{bmatrix} 2 & -3 \\ -1 & 2 \end{bmatrix}$

$$\text{Then } T \varphi S = \begin{bmatrix} 1 & 0 \\ 0 & -1 \end{bmatrix}$$

$$(S^{-1} = \begin{bmatrix} 2 & 3 \\ 1 & 2 \end{bmatrix})$$

$M \cong \text{coker } \varphi \cong \text{coker}(T \varphi S)$  (as long as  $T, S$  are invertible  
 $= 0$  (btw)) .

## Structure theory II

Let  $R$  be a PID. Let  $M$  be a f.g.  $R$ -mod. we know

$$M \cong R^k \oplus R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s})$$

$$\cong R^k \oplus R/(q_1^{b_1}) \oplus \dots \oplus R/(q_r^{b_r})$$

these forms are from splitting up to  $x$ s.

Here  $p_i, q_i$  are not necessarily distinct primes and  $a_i, b_i > 0$ .

Thus: we have  $k = k'$  and  $p_i^{a_i} = u_i q_i^{b_i}$  for some units  $u_i$ , up to perm.

Lemma:  $\text{Tor}(M) \cong R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s})$   
 $\cong R/(q_1^{b_1}) \oplus \dots \oplus R/(q_r^{b_r})$ .

Lemma: we have  $k=k'$ , and this is the free rank of  $M$ .

$$\text{pf: } R/(k\text{em}) \cong R^k \cong R^{k'}$$

Thus  $k=k'$ , since  $R$  is commutative. (why?).

Recall  $(R/I)^k \cong (R/I)^{k'}$  for maximal ideal  $I$ .

$$F^k \cong F^{k'} \quad (\text{why then } k=k') \quad \begin{array}{l} \text{max ideals are prime} \\ \text{in comm. rings, prime ideals are fields} \\ \text{(at PSD) actually int. dom, but } R \text{ is not an int. dom} \\ \text{so } R \text{ is not a field} \end{array}$$

Assume  $R$  is PID,

Lemma. Let  $p \in R$  be prime. Then  $F = R/(p)$  is a field. Then  $M/pM$  is a fin-dim.  $F$ -vector space. Similarly,  $pM/p^2M$  is also a p.d. vs of  $F$ .

Recall  $pM = \text{Im}(p: M \rightarrow M, m \mapsto pm)$  is a  $R$ -submod of  $M$ .

Pf: we define the  $R/(p)$ -action on  $M/pM$  as

$$(a+cp) \cdot (m+pm) = am + p \cdot m.$$

Then we can check directly that this is a well-defined  $R/(p)$ -action or we can let  $R \cong M/pM$  where  $(p)$  acts trivially, i.e. the action factors through the quotient.

Then to show  $M/pM$  is f.d.  $\Leftrightarrow$  show  $M/pM$  is finitely gen.

We show the  $F$ -linear combination of  $\bar{m}_1, \dots, \bar{m}_n$  span the space, where  $m_1, \dots, m_n \in M$  are the generators of  $M$  as a  $R$ -mod.  $\therefore M = \sum r_i m_i$ ,  $r_i \in R$  existence:  $M$  is finitely gen

$$\begin{aligned} \bar{m} &= \sum r_i \bar{m}_i. + \bar{m} \in M/pM. \\ &= \sum \bar{r}_i \cdot \bar{m}_i \text{ where } \bar{r}_i = r_i + (p). \end{aligned}$$

Lemma. Let  $p, q$  be diff. primes in  $R$ . Let  $F = R/(p)$  be a field.

(1) Let  $M = R/(q^a)$  for  $a \geq 1$ . Then as  $F$ -modules, we have

$$p^x M / p^{x+1} M \cong 0, x \geq 0.$$

(2) Let  $M = R/(pq^a)$  for  $a \geq 1$ . Then as  $F$ -modules, we have

$$p^x M / p^{x+1} M \cong \begin{cases} F, & if x < a \\ 0, & if x \geq a \end{cases}$$

(3) Let  $M = R/(p^a) \oplus R/(q^b)$  for  $a \geq 1, b \geq 1$ , then as  $F$ -mods,

$$p^x M / p^{x+1} M \cong \begin{cases} F, & if x < a \\ 0, & if x \geq a \end{cases}$$

MA3201 W10 L1 (2).

$$\text{Pf (1) we have } p^t \cdot M = p^t \cdot (R/(q^a)) = \frac{(p^t)(q^a)}{(q^a)} = \frac{(p^t) + (q^a)}{(q^a)} \subset R + (q^a) \quad (\sim (p^t)/(q^a))$$

$$= \frac{(p^t, q^a)}{(q^a)} = \frac{\gcd(p^t, q^a)}{(q^a)} = \frac{R}{(q^a)}. \text{ for any } t \geq 0.$$

Then  $p^t M / p^{t+1} M = 0$ .

$$\text{2) we compute } p^t M = p^t \cdot (R/(q^a)) = \frac{(p^t)(q^a)}{(p^a)}$$

$$= \frac{(\gcd(p^t, p^a))}{(p^a)} = \frac{(p^{\min(t, a)})}{(p^a)}.$$

If  $t > a$ , then  $\min(t, a) = a \Rightarrow p^t M = \frac{p^a}{(p^a)} = 0 \Leftrightarrow p^t M / p^{t+1} M = 0$ .

else  $\min(t, a) = t$

$$\Rightarrow p^t M / p^{t+1} M = \frac{(p^t)(p^a)}{(p^{t+1})(p^a)} \stackrel{\text{isom.}}{\cong} \frac{(p^t)}{(p^{t+1})} \stackrel{\cong}{\cong} F \cong R/(p)$$

define  $R \rightarrow \frac{p^t}{(p^{t+1})}$ ,  $F \mapsto p^t + (p^{t+1})$ .

(3) = (1) + (2).

$$\frac{p^t M}{p^{t+r} M} = \frac{p^t (R/(p^a)) \oplus p^t (R/(q^b))}{p^{t+r} (R/(p^a)) \oplus p^{t+r} (R/(q^b))} \stackrel{(\text{why?})}{\cong} \frac{p^t \cdot (R/(p^a)) \oplus p^t (R/(q^b))}{p^{t+r} \cdot (R/(p^a))} \stackrel{(\text{why?})}{=} \frac{p^t (R/(q^b))}{p^{t+r} (R/(q^b))}$$

Thm:  $M \cong \text{Tor}(M) \cong R/(p_1^{a_1}) \oplus \dots \oplus R/(p_s^{a_s})$  then  
 $\cong R/(q_1^{b_1}) \oplus \dots \oplus R/(q_r^{b_r})$ .

we have  $p_i = u_i q_i^{b_i}$  for some units  $u_i$  and  $s=r$  upto perm.

The elementary factors of  $M$  are unique upto perm & uniformit.

Pf: (By eg, for simpl.ity). Let where  $p_1 \neq p_2$ ,  $q_i$  not necessarily distinct.

$$M \cong R/(p_1) \oplus R/(p_1^2) \oplus R/(p_2) \cong R/(q_1^{b_1}) \oplus \dots \oplus R/(q_r^{b_r})$$

- Let  $F_i = R/(p_i)$  be a field, let  $\pi_{p_i}(M) = M_{p_i, M}$ . We have the F-vs.

$$\text{3om: } M / (p_1) M \cong \pi_{p_1}(R/(p_1)) \oplus \pi_{p_1}(R/(p_1^2)) \oplus \pi_{p_1}(R/(p_2^2))$$

$$\stackrel{(p_1)}{\cong} \pi_{p_1}(R/(p_1)) \oplus \pi_{p_1}(R/(p_1^2)) \oplus \pi_{p_1}(R/(p_2^2))$$

$$\cong F \oplus F \oplus 0.$$

$$\text{RHS} = \prod_{i=1}^r (R/(q_i^{b_i})) \oplus \dots \oplus \prod_{j=1}^s (R/(p_j^{a_j})) \quad (\cong \text{LHS})$$

$\therefore$  & RHS has exactly two elem. factors of the form  ~~$R/(p_i)$~~   $p_i$ .

$$\text{Let us assume } q_1^{b_1} = p_1^{b_1}, \quad q_2^{b_2} = p_1^{b_2}.$$

Similarly by considering  $\prod_{j=2}^s (R/(p_j))$ , we have

$$q_3^{b_3} = p_2^{b_3}.$$

By considering  $\prod_{j=3}^s (R/(p_j))$  or  $F_q = R/(q)$  for any other prime  $q \in R$ , the LHS = 0, there are thus no other elem. factors.

$$\text{Checkpt 1: } M \cong R/(p_1) \oplus R/(p_1) \oplus R/(p_1^2) \cong R/(p_1^{b_1}) \oplus R/(p_1^{b_2}) \oplus R/(p_1^{b_3})$$

let  $F = R/(p_1)$ , write  $\prod_{i=1}^r (M) = p_1^r M / p_1^{r+1} M$ . Then

$$p_1^r M / p_1^{r+1} M \cong \prod_{i=1}^r (R/(p_1) \oplus R/(p_1) \oplus R/(p_1))$$

$$\text{SII} \quad \cong 0 \oplus F \oplus 0.$$

$$\text{RHS} \cong \prod_{i=1}^r (R/(p_1^{b_i})) \oplus \prod_{i=2}^s (R/(p_1^{b_i})) \oplus \prod_{i=3}^t (R/(p_2^{b_i}))$$

we must then have  $b_1 = 1, b_2 \geq 1$ .

we can continue with  $p_1^r M / p_1^{r+1} M$  etc. and deduce  $b_2, b_3$  accord  $\square$

Cor. let  $M$  be a f.g.  $R$ -mod for a PID  $R$ . Then the invariant factors of  $M$  is unique up to mult. by units.

$$M \cong R/(\alpha_1) \oplus R/(\alpha_2) \oplus \dots \oplus R/(\alpha_r) \text{ with } \alpha_1 | \alpha_2 | \dots, \alpha_r \neq 0, \alpha_i \in R^*$$

combine highest power

Pf: By eg. Th3,3 the reverse Chinese Remainder Theorem.

$$\text{If } M \cong R/(p_1) \oplus R/(p_1) \oplus R/(p_1) \oplus R/(p_2) \oplus R/(p_2^2).$$

$$\text{Then } \cong R/(p_1) \oplus R/(p_1) \oplus R/(p_2) \oplus R/(p_2^2).$$

$$\cong R/(p_1) \oplus R/(p_1 p_2) \oplus R/(p_2^2 p_2) \cong R/(p_1) \oplus R/(p_1^2) \text{ by CRT} \square$$

Eg. classify all abelian gp of order  $180 = 2^2 \times 3^2 \times 5$  ~~( $\mathbb{Z}$ )~~ impossible since finite.

We know  $M \cong \mathbb{Z}/(p_1^{a_1}) \oplus \dots \oplus \mathbb{Z}/(p_r^{a_r})$  for not. nec. distinct  $p_i$ ,

order of  $M = p_1^{a_1} p_2^{a_2} \dots p_r^{a_r}$ . So we have the following possibilities:

$$(2, 2, 3, 3, 5) \rightsquigarrow \mathbb{Z}_2 \oplus \mathbb{Z}_2 \oplus \mathbb{Z}_3 \oplus \mathbb{Z}_3 \oplus \mathbb{Z}_5. \quad (\cong \mathbb{Z}/6\mathbb{Z} \oplus \mathbb{Z}/30\mathbb{Z})$$

$$(2^2, 3^2, 5), (2, 2, 3^2, 5), (2^2, 3^2, 5).$$

$\mathbb{Z}_4 \oplus \mathbb{Z}_3 \oplus \mathbb{Z}_5$  etc.

MA3201 W10L2

Review:  $M$  is a  $\mathbb{Z}$ -mod for a PID  $R$ . Then  $M \cong R^k \oplus R/\alpha_1 \oplus \dots \oplus R/\alpha_m$   
 $\alpha_i \in R^\times, \alpha_1 | \alpha_2 | \dots$  (unique)  $\cong R^k \oplus R/\alpha_1 \oplus \dots \oplus R/\alpha_m$  (unique)

Eg.  $M \cong \mathbb{Z}/2\mathbb{Z} \oplus \mathbb{Z}/3\mathbb{Z} \cong \mathbb{Z}/p_1\mathbb{Z} \oplus \mathbb{Z}/p_2\mathbb{Z}$ .

$\therefore$  we must have  $p_1 = 2, p_2 = 3$  (upto perm/unit mult)

This allows us to classify (upto iso) finite abelian gp of a given order.

### Rational Canonical Form?

→ There are ~~more~~ PID but not ED.

Let  $F$  be a field. We consider the PID (Euclidean Dom)  $R = F[x]$ .

Any  $F[x]$ -mod  $V$  is automatically a  $F$ -rs.

We consider  $F[x]$ -mod  $V$  that is f.dim. over  $F$ .

\* we consider  $V$  as both  $F[x]$ -mod and  $F$ -mod.

Let us fix a ~~base~~  $F$ -basis of  $V$ . Consider  $V \cong F^n$  as  $F$ -module, where  $\dim_F V = n$ .

Then let  $F[x]$  act on  $V$  where  $x$  acts as a lin. trans, or a matrix  $T \in \text{Mat}_{n \times n}(F)$ .

\* If we choose a diff. basis for  $V$ , then the matrix representation for  $x$  is given by  $g \cdot T \cdot g^{-1} \in \text{Mat}_{n \times n}(F)$  where  $g$  is the transition matrix.

Since  $V$  is f.m.dim., it is f.n. gen as a  $F[x]$ -mod (over its base).

Structure theory gives us

$$V \cong F[x]/(f_1(x)) \oplus \dots \oplus F[x]/(f_r(x)) \oplus F[x]^{k \text{ f.dim.}}$$

with  $f_1(x) | f_2(x) | \dots | f_r(x)$ ,  $f_i(x) \neq 0$ ,  $f_i(x) \in (F[x])^*$  (why?)

Def. 1. A scalar  $\lambda \in F$  is called an eigenvalue of  $T$  if there exists a  $v \neq 0 \in V$  such that  $Tv = \lambda v$ . The vector  $v$  is called the eigenvector of  $T$  with eigenvalue  $\lambda$ .

2.  $\ker(\lambda I - T)$  is called the eigenspace of  $T$ , where  $\lambda I - T: V \rightarrow V$  with eigenvalue  $\lambda$ .

We see that  $\lambda \in F$  is an eigenvalue  $\Leftrightarrow \ker(\lambda I - T) \neq 0$ .

Lem. Let  $T \in \text{Mat}_{n \times n}(F)$ . Then  $\ker(xI - T) \neq 0 \Leftrightarrow \det(xI - T) = 0$ .

Pf: Recall for the  $F$ -linear map  $xI - T: V \rightarrow V$ , we have ✓ because we can have from linear isomorphism  $\Leftrightarrow$  injectivity  $\Leftrightarrow$  surjectivity ( $\Leftrightarrow \det = 0$ ).

Defn. Let  $x$  be a formal variable. Then  $\det(xI - T) \in F[x]$  is called the characteristic polynomial of  $T$ .  $= c_T(x)$ .

Lem. The polynomial  $c_T(x)$  is indep. of the choice of the  $F$ -basis of  $V$ .  
Now, we have  $c_T(x) = c_{gTg^{-1}}(x)$  for invertible  $g \in \text{Mat}_{n \times n}(F)$ .

Pf:  ~~$c_{gTg^{-1}}(x) = \det(xI - gTg^{-1}) = \det(g(xI - T)g^{-1})$~~   
 $= \det g \cdot (c_T(x)) \cdot \det g^{-1} = c_T(x)$ .

Thm. Let  $xI - T \in \text{Mat}_{n \times n}(F[x])$ . Then recall  $V$  is the  $F[x]$ -mod where  $x$  acts on the matrix  $T$  with a chosen basis  $\{\tilde{e}_i\}_{i=1}^n$ . Then,  
 $V \cong \text{coker}(xI - T: F[x]^n \rightarrow F[x]^n)$  as  $F[x]$ -modules.

Pf. Let  $\{\tilde{e}_i\}_{i=1}^n$  be the  $F[x]$ -basis of  $F[x]^n$ . ( $\tilde{e}_1 = (1, 0, \dots, 0)$ .)  
we define  $\pi: F[x]^n \rightarrow V, (\tilde{e}_i \mapsto e_i)$ . Recall since  $F[x]^n$  is a free  $F[x]$ -mod of rank  $n$ , this defines a unique  $F[x]$ -mod hom.

We have  $x\tilde{e}_i \mapsto x \cdot e_i = T \cdot e_i$ .

We claim  $\text{Im}(xI - T) = \ker \pi$ . Then  $\text{coker}(\pi \circ (xI - T))$

$$\cong F[x]^n / \text{Im}(\pi \circ (xI - T)).$$

$$\cong F[x]^n / \ker \pi \cong V$$

claim 1:  $\text{Im}(xI - T) \subset \ker \pi \Leftrightarrow \pi \circ (xI - T) = 0$

If suffices to show that the bases are killed.

$$\pi \circ (xI - T)(\tilde{e}_i) = 0 \quad \forall \tilde{e}_i. \quad (\text{Then } \pi \circ (xI - T) \sum f_i(x) \tilde{e}_i = \sum f_i(x) \pi(\tilde{e}_i) = 0)$$

We compute  $\pi((xI - T)(\tilde{e}_i))$

$$= \pi(x\tilde{e}_i - \sum_{j=1}^n \sum_{i,j} a_{ij} \tilde{e}_j) \quad \text{where } T = [a_{ij}]$$

MA3201 W10 (2. (2))

$$\begin{aligned} &= \sum_{i=1}^n x_i e_j + \sum_{i=1}^n a_{ij} e_i \\ &= T \cdot e_j - \sum_{i=1}^n a_{ij} e_i = 0 \end{aligned}$$

↑ the same.

✓ this, 7 hours to show

(the other inclusion  $\text{Im}(xI-T) \supseteq \text{ker } T$ )

Claim 2: The  $\mathbb{R}$ -dimension of  $\text{coker}(xI-T) \leq n$ .

Then we have  $\text{coker}(xI-T) \rightarrow V$  as a map from  $\leq n$   $\mathbb{R}$ -dim to  $\mathbb{R}$ -dim, and the surjectivity of  $\pi$  gives us a isomorphism.

$$\begin{array}{ccc} F[x] & \xrightarrow{n \times I-T} & F[x]^n \\ & \xrightarrow{\pi} & V \xrightarrow{\cong} \\ & & \downarrow F[x]^n / \text{Im}(xI-T) \end{array}$$

We claim the image of  $\{e_i\}_{i=1}^n$  in  $\text{coker}(xI-T) = F[x]/\text{Im}(xI-T)$ .

$\mathbb{F}$ -span the space. (thus  $\dim \leq n$ .)

$$\text{let } f_j(x) = n - \sum_{i=1}^n a_{ij} \quad (T = (a_{ij}))$$

Let  $m = \sum_{j=1}^n m_j e_j \in F[x]^n$  be given.

$$\text{then } m_j(x) e_j = b_j e_j + f_j(x) b_j \quad \text{since } F[x]-\text{vs. are ED?}$$

consider 1 entry. remember, degree 1 quartic.

$$(m_j(x) = b_j + f_j(x) b_j)$$

$$\therefore m = \sum_{j=1}^n b_j e_j + \text{Im}(xI-T). \quad (\text{why?}).$$

can be mapped to multiple of  $f_j(x)$ .

thus  $e_j$  spans  $m$ .

Concrete: let  $T = \begin{bmatrix} 1 & 2 \\ 3 & 4 \end{bmatrix}$ . Then  $xI-T = \begin{bmatrix} x-1 & -1 \\ -3 & x-4 \end{bmatrix}$

$$\begin{aligned} \text{then } \text{Im}(xI-T) &= \left( \begin{bmatrix} x-1 & -1 \\ -3 & x-4 \end{bmatrix} \begin{bmatrix} 1 \\ 0 \end{bmatrix} \quad \begin{bmatrix} x-1 & -1 \\ -3 & x-4 \end{bmatrix} \begin{bmatrix} 0 \\ 1 \end{bmatrix} \right) \\ &= \left( \begin{bmatrix} x-1 \\ -3 \end{bmatrix}, \begin{bmatrix} -1 \\ x-4 \end{bmatrix} \right) \end{aligned}$$

Eg. Let  $F = \mathbb{Q}$ . consider  $\mathbb{Q}[x]$  mod  $V \cong \mathbb{Q}^3$ , where  $x$  acts as

$$T = \begin{bmatrix} 2 & -1 & 1 \\ 0 & 3 & 1 \\ 0 & 0 & 2 \end{bmatrix}. \quad \text{Then}$$

$$V \cong \text{coker}(xI-T) = \text{coker} \left( \begin{bmatrix} x-2 & 1 & -1 \\ 0 & x-3 & 1 \\ 0 & 0 & x-2 \end{bmatrix} : F[x]^3 \rightarrow F[x]^3 \right).$$

Then we can perform the following operations to change  $xI-T$  to the Smith Normal Form:

$$\begin{bmatrix} x^2 & 2 & -4 \\ 0 & x-3 & 7 \\ 0 & 0 & x-2 \end{bmatrix} \xrightarrow{R_2 \leftrightarrow R_3} \begin{bmatrix} 2 & x-2 & -4 \\ x-3 & 0 & 7 \\ 0 & 0 & x-2 \end{bmatrix} \xrightarrow{C_2 - \frac{1}{2}(x-2)C_1} \begin{bmatrix} 2 & 0 & -4 \\ x-3 & \frac{1}{2}(x-2)(x-3) & 7 \\ 0 & 0 & 0 \end{bmatrix} \xrightarrow{\text{row reduction}} \begin{bmatrix} 2 & 0 & 0 \\ 0 & -\frac{1}{2}(x-3)(x-2) & 0 \\ 0 & 0 & 0 \end{bmatrix}.$$

$$\therefore V \cong F[x]/(f_2(x)) \oplus F[x]/(x-2) \oplus F[x]/((x-3)(x-2))$$

Then we want to choose a nice basis of  $V$  s.t.  $T$  is of some simpler form.

Eg. let  $V \cong F[x]/(f_{\infty})$  where  $f_{\infty}(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ .

Then we can choose the  $F$ -basis for  $V$  via the isomorphism as.

$$(1, x, x^2, \dots, x^{n-1}). \text{ e.g. } \bar{x}^n = -a_1\bar{x}^{n-1} - a_{n-2}\bar{x}^{n-2} - \dots - a_0.$$

$x$  acts as the matrix

$$\begin{bmatrix} 0 & 0 & & -a_0 \\ 1 & 0 & & \\ \vdots & \ddots & 0 & \\ 0 & & \ddots & -a_{n-1} \\ & & & 1-a_{n-1} \end{bmatrix} = \begin{bmatrix} a_0 \\ \vdots \\ -a_{n-1} \end{bmatrix} \text{ w.r.t. the basis.}$$

A bonus computation  $\det(xI-T) \geq f_{\infty}(x)$ . (check)

Def. (rational canonical form) Let  $V$  be a finite dimensional  $F[x]$ -mod, where  $x$  acts as  $T \in \text{Mat}_{nxn}(F)$ , with a given basis of  $V$ .

Then by structure theory,  $V \cong F[x]/(f_1(x)) \oplus \dots \oplus F[x]/(f_r(x))$  with  $f_1(x) | f_2(x) | \dots | f_r(x)$ ,  $f_i(x) \neq 0$ ,  $f_i(x) \nmid f_j(x) \forall i \neq j$ .

Then the  $n(RCF)$  of  $T$  is of the form  $\begin{bmatrix} T_1 & & & \\ & T_2 & & \\ & & \ddots & \\ & & & T_n \end{bmatrix}$  where the block  $T_i$  is of the form in the example above. If  $f_i(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$ .

Eg. RCF of  $\begin{bmatrix} 2 & -2 & 14 \\ 0 & 3 & -7 \\ 0 & 0 & 2 \end{bmatrix}$  is  $\begin{bmatrix} T_1 & & \\ 0 & T_2 & \\ 0 & 0 & T_3 \end{bmatrix}$  since  $V \cong F[x]/(x-2) \oplus F[x]/(x^2+5x+6)$

# MA3201 W11 L1

Preview: we can classify conj. classes of  $GL_3(F_3)$ .

- we can classify nilpotent matrices  $A^k=0$  in  $\text{Mat}_{n \times n}(C)$ .

Review: Let  $F$  be a field,  $T \in \text{Mat}_{n \times n}(F)$ . Let  $V = F^n$  be a  $F[x]$ -mod where  $x$  acts as  $T$ . Then we know

$$V \cong \text{color}(xI-T : F[x]^n \rightarrow F[x]^n) \cong F[x]/(f_1(x)) \oplus \dots \oplus F[x]/(f_r(x))$$

where  $f_1(x), f_2(x), \dots, f_r(x)$  are monic,  $f_i \neq 0$ ,  $f_i(x) \notin F[x]^*$ .

(often assume  $f_i$  is monic).

Then we can choose / change the basis.

$$F[x]/(f_1(x)) \oplus \dots \oplus F[x]/(f_r(x))$$

$$\{1, \bar{x}, \bar{x}^2, \dots, \bar{x}^{n-1}\}$$

$$\text{where } f_i(x) = x^{n_i} + a_{n_i-1}x^{n_i-1} + \dots + a_0.$$

Then the matrix (1. intrans.  $x$  (or  $T$ ) has the form.

$$\begin{bmatrix} T_1 & & & \\ & T_2 & & \\ & & \ddots & \\ & & & T_r \end{bmatrix} \text{ where } T_i = \begin{bmatrix} 0 & & -a_0 \\ 1 & 0 & & \\ & \ddots & \ddots & \\ & & \ddots & -a_{n_i-1} \end{bmatrix} \text{ etc.}$$

We have, by direct computation,

$$\text{Mat}_{n \times n}(F[x]) \ni \det(xI-T) = \det(xI-T_1)\det(xI-T_2) \dots \det(xI-T_r).$$

$$\Leftrightarrow G(x) = f_1(x) f_2(x) \dots f_r(x).$$

Then (reform). Refine the setting, we have ( $F \cong$ )  $V \cong \text{color}(xI-T : F[x]^n \rightarrow F[x]^n)$ .

Pf: Define  $\pi : F[x] \rightarrow V ; e_i \mapsto e_i$ .

$$\text{eg. } \begin{bmatrix} 2 \\ 0 \end{bmatrix} \rightarrow \begin{bmatrix} 0 \\ 1 \end{bmatrix}, \quad \begin{bmatrix} 0 \\ 0 \end{bmatrix} \rightarrow x \cdot \begin{bmatrix} 1 \\ 0 \end{bmatrix} = T \cdot \begin{bmatrix} 0 \\ 1 \end{bmatrix}.$$

Claim 1:  $\ker \pi = \text{Im}(xI-T) (= I_n)$ .

Claim 2:  $\pi \circ (xI-T) = 0 \Leftrightarrow \text{Im } C \subset \ker \pi$

$$\begin{aligned} \text{we compute } \pi \circ (xI-T)(\tilde{e}_j) &= \pi(x \cdot \tilde{e}_j - T \cdot \tilde{e}_j) \\ &= x \cdot \pi(\tilde{e}_j) - T \cdot \pi(\tilde{e}_j)^* \\ &= T \cdot e_j - T \cdot e_j = 0. \quad \forall j. \end{aligned}$$

Claim 2:

Pf (cont'd)  $\dim_F \text{coker}(xI - T) \leq n$ .

We show  $\sum_{j=1}^n b_j \tilde{e}_j + \text{Im}(xI - T) = \text{coker}(xI - T)$ , for  $b_j \in F$ .  
Cosept.  $\text{coker}(xI - T) = \frac{\text{Im}(xI - T)}{F[x] / \text{Im}(xI - T)}$ .

I.e., any elem. in  $F[x]/\text{Im}(xI - T)$  will be spanned by  $\tilde{e}_j$ ;  $\text{Im}(xI - T)$

Take any  $\sum_{j=1}^n m_j(x) \tilde{e}_j + \text{Im}(xI - T) \in F[x]/\text{Im}(xI - T)$ .

~~Show that~~  $m_j(x)$  is a polynomial  $\in F[x]$ . suffice to show  
that  $x$ -terms are spanned by  $F$  with basis  $\tilde{e}_j$ .

$$x \tilde{e}_j + \text{Im}(xI - T) = \underbrace{x \tilde{e}_j}_{\in F} + \underbrace{(xI - T) \tilde{e}_j}_{\in \text{Im}(xI - T)} + \text{Im}(xI - T).$$

$$\text{Similarly, } x(x \tilde{e}_j + \text{Im}(xI - T)) = x^2 \tilde{e}_j + \text{Im}(xI - T) = T^2 \tilde{e}_j + \text{Im}(xI - T)$$

$$\text{Therefore } \sum_{j=1}^n m_j(x) \tilde{e}_j + \text{Im} = \sum_{j=1}^n m_j(T) \tilde{e}_j + \text{Im} \quad \begin{matrix} \text{all terms with } x \text{ are sent} \\ \text{to the image. (?)} \end{matrix}$$
$$= \sum_{j=1}^n b_j \tilde{e}_j + \text{Im} \quad b_j \in F.$$

Since  $\text{coker}(xI - T)$  is spanned by  $n$  elements, over,

$$\dim_F \text{coker}(xI - T) \leq n.$$

$$\therefore F[x]^n \xrightarrow{\text{dim}} V \quad \dim_F = n. \quad (\text{due to the map } \tilde{e}_j \mapsto e_j).$$

$$\downarrow \quad \uparrow$$
  
$$F[x]^n / \text{Im} \quad \dim_F \leq n \quad (\text{as shown}).$$

Lemma: Let  $T, S \in \text{Mat}_{nxn}(F)$ .

char of bases  $\{1, n, \dots, n^{n-1}\}$   
↑ are unique

(1) The Rational Canonical Form (RCF) of  $T$  is unique. ✓  $d_1 | d_2 | \dots$   
(follows by the uniqueness of monic factors).

(2)  $T$  is similar to its RCF. Recall  $A$  similar to  $B$  if  $A = gBg^{-1}$  for  
 $g \in GL_n(F) = (\text{End}_F(F^n))^*$ . This means RCF is obtained by a  
△ of basis.

(3)  $T$  is similar to  $S \Leftrightarrow$  They have the same RCF.

MA3201 W11 L1 (2)

### Characteristic & Minimal polynomials

Defn. Let  $T \in \text{Mat}_{n \times n}(F)$ . We consider the  $F[x]$ -mod  $V = F^n$  where  $x$  acts as  $T$ .

Then let  $\text{Ann}_{F[x]}(V) = \{r \in F[x] \mid r \cdot v = 0 \forall v \in V\} = (P_T(x))$ . (PID).

We define the minimal polynomial of  $T$  as  $u_T(x)$  for some  $u \in F[x]$  s.t.

$u_T(x)$  is monic. So we often assume  $P_T(x)$  is monic.

Lem: Let  $f(x) \in F[x]$ , s.t.  $f(T) = 0$  in  $\text{Mat}_{n \times n}(F)$ . Then  $P_T(x) \mid f(x)$ .

Pf: Let  $V = F^n$  where  $x$  acts as  $T$ . Then  $f(T) = 0 \Rightarrow f(x) \in \text{Ann}_{F[x]}(V)$

$= (P_T(x))$ . Then  $P_T(x) \mid f(x)$ . (Explains the "magical" uniqueness due to PID.)

(PID)  $\square$

Lem: (1) Let  $V \cong F^n/(f_1(x)) \oplus \dots \oplus F^n/(f_r(x))$ ,  $f_i \neq 0$ ,  $f_1, \dots, f_r$ , then

$P_T(x) = f_r(x)$ . min poly divides char poly  $\Rightarrow$  same ideal  $= \text{Ann}(V)$ .

(2) we have  $P_T(x) \mid C_T(x) = \det(xI - T)$ . Iow,  $C_T(T) = 0$ .

(This is the Hamilton-Cayley Thm.)

Pf: (1):  $\text{Ann}_{F[x]}(F[x]/(f_1(x)) \oplus \dots \oplus F[x]/(f_r(x))) = (f_1(x) \cap \dots \cap f_r(x)) = (P_T(x))$  detn of  $P_T(x)$  = same ideal of  $\text{Ann}$ .  
 $\therefore f_r(x) = P_T(x)$  if both monic.

(2): Recall  $\det(xI - T) = g(x) = f_1(x) \dots f_r(x)$ . (we can compute using RCF). Then  $P_T(x) \mid f_r(x) \mid C_T(x)$ . Then  $C_T(T) = 0$ .

### Jordan Canonical Form

Invariant factors form  $\rightsquigarrow$  Rational Canonical Form.

Elementary  $\rightsquigarrow$  Jordan.

Defn: Let  $F$  be a field, we say  $F$  is algebraically closed if any non-constant,  $f(x) \in F[x]$  has a root in  $F$ .

Eg. ① IR is not algebraically closed, since  $x^2 + 1$  has no root in IR.

② C is algebraically closed by the fundamental theorem of algebra.

Fact. ③ Any field is contained in some algebraically closed field. eg IR  $\subset$  C.

Lemma: let  $F$  be algebraically closed.

(1)  $F$  is infinite.

(2) let  $f(n) \in F[x]$  be irreducible (not a unit). Then  $f(n) = (x - \lambda) \cdot b$  additionally for some  $\lambda \in F$ , and some  $b \in F^*$ .

PF(1): By  $\Leftrightarrow$ . Assume  $F = \{a_1, \dots, a_n\}$ . Then  $(x-a_1)(x-a_2)\dots(x-a_n) + 1$  has no root. ( $\Rightarrow$ )

(2) Let  $f(n)$  be given. Since  $F$  closed, let  $\lambda$  be a root. Then  $(x-\lambda) | f(n) \Leftrightarrow f(n) = p(n) \cdot (x-\lambda)$  for some  $p(n) \in F[x]$ . Since irreducible,  $p(n)$  is a unit.  $\therefore f(n) = (x-\lambda) \cdot b$  for  $b \in F^*$ ,  $\lambda \in F$ .  $\square$

Cor. Let  $F$  be alg. closed. Then any non-const  $f(n) \in F[x]$  is a prod of linear factors, or  $f(n) = b(x-\lambda_1)(x-\lambda_2)\dots(x-\lambda_n)$ . for  $b \in F^*$ ,  $\lambda_i \in F$ . (fundamental thm).

Lem. If  $F$  is alg. closed, then any  $T \in \text{Mat}_{n \times n}(F)$  has an eigenvalue.

PF: let  $c_T(x) = \det(xI - T)$  be the char. poly. Let  $\lambda$  be a root of  $c_T(x)$ .

(guaranteed by alg. closure). Then,

$\det(\lambda I - T) = 0 \Leftrightarrow \lambda I - T$  is not invertible.

$\Leftrightarrow \lambda I - T: F^n \rightarrow F^n$  is not injective

$\Leftrightarrow \ker(\lambda I - T) \neq 0$

$\Leftrightarrow \exists$  eigenvector  $v \in \ker(\lambda I - T)$  with ev.  $\lambda$ .

Eg. let  $T = \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \in \text{Mat}_{2 \times 2}(\mathbb{R})$ . Then  $C_T(x) = x^2 + 1$  has no root in  $\mathbb{R}$ ,

$$= (x-i)(x+i)$$

eigenvalues.

$\Rightarrow$  There no ev.  $\underline{\mathbb{R}}$ .

MA2201 W1C1(3).

Eg. of Jordan CF: let  $F$  be alg. closed.  $V \cong F[x]/(p(x))^n$  for some irreducible  $p(x) = x-a$ . Then we can choose  $a$  (F basis):

$$1, (x-a), (x-a)^2, \dots, (x-a)^{n-1} \in F[x]/(p(x))^n \cong V.$$

Then  $x$  acts as the matrix.

$$T = \begin{bmatrix} a & 0 & 0 \\ 0 & a & 0 \\ 0 & \dots & a \end{bmatrix} \quad \text{eg. } x \cdot 1 = 1 \cdot (x-a) + a \cdot 1 \quad \begin{bmatrix} 1 \\ 0 \\ \vdots \end{bmatrix} \quad \begin{bmatrix} 0 \\ 1 \\ \vdots \end{bmatrix}$$

$$\text{Then } \det(xI - T) = (x-a)^n.$$

Defn. General case: let  $F$  be alg. closed. Let  $T \in \text{Mat}_{nxn}(F)$ . Let  $V = F^n$

be a  $F[x]$ -mod where  $x$  acts as  $T$ . Then

$$V \cong \text{coker}(xI - T) \cong F[x]/(p_1) \oplus \dots \oplus F[x]/(p_r) \quad \begin{array}{l} \text{alg closed} \\ \text{spaced} \\ \text{w.r.t. } p_i \\ \text{from} \end{array}$$

$$\cong F[x]/((x-a_1)^{r_1}) \oplus \dots \oplus F[x]/((x-a_r)^{r_r})$$

with not necessarily distinct  $a_i \in F$ .

Jordan Canonical form of  $T$  as  $\begin{bmatrix} T_1 & & \\ & \ddots & \\ & & T_r \end{bmatrix}$  where  $T_i$  are in the eg.

$$\sim \begin{bmatrix} a_1 & & & \\ & a_2 & & \\ & & a_3 & \\ & & & \ddots \end{bmatrix} \quad \begin{array}{l} \text{(another defn)} \\ \text{only well-defined up to permutation of blocks.} \end{array}$$

lem.  $F$  alg. closed, let  $T, S \in \text{Mat}_{nxn}(F)$ .

(1)  $T$  is similar to its JCF.

(2)  $T$  is similar to  $S \Leftrightarrow T, S$  have the JCF up to permutation of blocks.

$$\text{Eg. (1) if } T = \begin{bmatrix} 2 & -2 & 14 \\ 0 & 3 & -7 \\ 0 & 0 & 2 \end{bmatrix} \in \text{Mat}_{3 \times 3}(\mathbb{C}) \therefore xI - T = \begin{bmatrix} x-2 & 2 & -14 \\ 0 & x-3 & 7 \\ 0 & 0 & x-2 \end{bmatrix}.$$

need scd i.e PID

$$\text{Smith NF} \begin{bmatrix} -1 & 0 & 0 \\ 0 & x-2 & 0 \\ 0 & 0 & (x-2)(x-3) \end{bmatrix} \therefore V(\cong \mathbb{C}^3) \cong \frac{(\mathbb{C}x)}{(x-2)} \oplus \frac{(\mathbb{C}x)}{(x-3)} \cong \frac{(\mathbb{C}x)}{(x-2)} \oplus \frac{(\mathbb{C}x)}{(x-2)} \oplus \frac{(\mathbb{C}x)}{(x-3)}$$

$$\therefore \text{JCF of } T \text{ is } \begin{bmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 3 \end{bmatrix} = A.$$

$D(\mathbb{C}x)$  is not pid, consider  $(2, x)$ .

we have  $A = gTg^{-1}$  for  $g \in GL_3(\mathbb{C})$ .

we also have  $A = h \cdot T \cdot h^{-1}$  for  $h \in GL_3(\mathbb{Q})$  (did not use assumption of  $\mathbb{C}$ )

\* Note that  $T \in \text{Mat}_{3 \times 3}(\mathbb{C})$  is also diagonalizable in  $\mathbb{C}$ . (or in  $\mathbb{R}$ )

② let  $T = \begin{bmatrix} * & 0 & 1 \\ 0 & * & 0 \\ 0 & 0 & * \end{bmatrix} \in \text{Mat}_{3 \times 3}(\mathbb{C})$ .

$$nI - T = \begin{bmatrix} n & 0 & -1 \\ 0 & n & 0 \\ 0 & 0 & n \end{bmatrix} \xrightarrow{\text{similar to } T} \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & n+1 \end{bmatrix}.$$

Then we have  $V \cong \frac{\mathbb{C}[n]}{(n+1)} \cong \frac{\mathbb{C}[n]}{(n-i)} \oplus \frac{\mathbb{C}[n]}{(n+i)}$ .

∴ JCF of  $T$  in  $\mathbb{C}$ :  $\begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & n \end{bmatrix}$  is diagonalizable in  $\mathbb{C}$ ,

but not diagonalizable in  $\mathbb{R}$ . (hence the diagonal entries are eigenvalues in  $\mathbb{R}$ .)

Review: (Structure theorem of PID)  $M$  is a f.g.  $R$ -mod,  $R$  is PID,  $M = R\langle m_1, \dots, m_n \rangle$ .

Then we consider  $R^n \xrightarrow{\pi} M$ . consider  $\ker \pi \subset R^n$ .

~~Free hence~~  
~~now~~  $e_i \mapsto m_i$ .

\* is PID  $\Rightarrow$  Noetherian  $\Rightarrow$  Any submod of  $R^n$  is f.g.  $\Rightarrow \ker \pi$  is f.g.

$\therefore \ker \pi = R\langle a_1, \dots, a_n \rangle$  torsome  $a_i \in R^n$ .

$$R^n \xrightarrow{\varphi} \ker \pi \subset R^n \xrightarrow{\pi} M.$$

$$e_i \mapsto a_i.$$

Then  $\varphi(R^n) = \ker(\pi)$

## MATH1111(2)

Recall: Jordan Canonical Form. Let  $F$  be alg. closed. Let  $V = F^n$  be a  $F$ -vec space where  $\lambda$  acts as  $T \in \text{Mat}_{n \times n}(F)$ . Then we know  $V \cong F[x]/(p_1^{n_1}) \oplus \dots \oplus F[x]/(p_r^{n_r})$  for not nec. distinct primes  $p_i$ .

$$\cong F[x]/(x-a_1)^{n_1} \oplus \dots \oplus F[x]/(x-a_r)^{n_r}.$$

Eg.:  $V \cong F[x]/(x-1)^2 \oplus F[x]/(x-2)^2$  for  $F = \mathbb{C}$ .

Then JCF for  $T$

$$\begin{bmatrix} 1 & 0 \\ 0 & 2 \end{bmatrix}.$$

Eg. ① Let  $T = \begin{bmatrix} 0 & -1 \\ 1 & 2 \end{bmatrix} \in \text{Mat}_{2 \times 2}(\mathbb{C})$ . Then we can compute JCF:

$$xI-T = \begin{bmatrix} x & 1 \\ -1 & x-2 \end{bmatrix} \xrightarrow{\text{Smith Normal Form}} \begin{bmatrix} 1 & 0 \\ 0 & (x-1)^2 \end{bmatrix}.$$

This means  $V \cong \mathbb{C}[x]/(x-1)^2$ , then the JCF of  $T$  is  $\begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}$  is not diagonalizable.

② Char poly is not enough to get JCF. We know  $\begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$  and  $\begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$  are not similar, however  $G(n) = n^2$ ,  $C_S(n) = n^2$ .

Cor: Let  $F$  be alg. closed, let  $T$  be a matrix,  $n \times n$  with a JCF  $J \in \text{Mat}_{n \times n}(F)$ . Then  $T$  is diag  $\Leftrightarrow J$  is diagonal.

$\Leftrightarrow$  the min. poly  $m_T(n)$  has no multiple roots &

$\Leftrightarrow m_T(n) = (x-a_1) \dots (x-a_r)$  with  $a_i \neq a_j$  if  $i \neq j$ .

Eg.  $T = \begin{bmatrix} 2 & 0 \\ 0 & 2 \end{bmatrix}$ ,  $V \cong \mathbb{C}[x]/(x-2)^2$ , then  $m_T(n) = (n-2)$ .

Lem: Let  $F$  be any field. Then

1. If  $T = \begin{bmatrix} 0 & 0 & -a_0 \\ 0 & 0 & -a_1 \\ 0 & 1 & -a_2 \end{bmatrix}$ , then the RCF of  $T$  is just  $T$ . (generalizable to blockwise).

2. If  $S = \begin{bmatrix} a & 1 & 0 \\ 0 & a & 1 \\ 0 & 0 & a \end{bmatrix}$ , then the JCF of  $S$  is just  $S$ . ( $\leftrightarrow$ ).

Pf(1): Let  $V = \mathbb{F}^3$  be the  $\mathbb{F}[x]$ -mod where  $x$  acts as  $T$ . Then  $V \cong \text{coker}(xI - T)$ .

$$xI - T = \begin{bmatrix} x & 0 & q_0 \\ 0 & x & q_1 \\ 0 & -1 & x+q_2 \end{bmatrix}. \text{ We apply invertible operations,}$$

$$\begin{aligned} \begin{bmatrix} x & 0 & q_0 \\ 0 & x & q_1 \\ 0 & -1 & x+q_2 \end{bmatrix} &\xrightarrow{\text{R}\leftrightarrow\text{R}_2} \begin{bmatrix} -1 & x & q_1 \\ x & 0 & q_0 \\ 0 & -1 & x+q_2 \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ x & n^2 q_0 + q_1, n \\ 0 & -1 & n+q_2 \end{bmatrix} \\ &\rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & n^2 q_0 + q_1, n \\ 0 & -1 & n+q_2 \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & n+q_2 \\ 0 & n^2 q_0 + q_1, n \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & n+q_2 \\ 0 & 0 & q_0 q_1 n^2 + q_0 + q_1 \end{bmatrix} \\ &\rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & n^3 + q_0 n^2 + q_1 n + q_2 \end{bmatrix} \sim \end{aligned}$$

Now  $V \cong \text{coker} \left( \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & n^3 + q_0 n^2 + q_1 n + q_2 \end{bmatrix} : \mathbb{F}[x]^3 \rightarrow \mathbb{F}[x]^3 \right)$ .

$\cong \mathbb{F}[x]/(n^3 + q_0 n^2 + q_1 n + q_2)$

So the RCF of  $T$  is  $\{T = \begin{bmatrix} 0 & 0 & q_0 \\ 0 & 0 & q_1 \\ 0 & 0 & n^3 + q_0 n^2 + q_1 n + q_2 \end{bmatrix}\}$ .  $\square$

(2) Let  $V = \mathbb{F}^3$  be the  $\mathbb{F}[x]$ -mod where  $x$  acts as  $S$ . Then

$$V \cong \text{coker}(xI - S). xI - S = \begin{bmatrix} n-a & -1 & 0 \\ 0 & n-a & -1 \\ 0 & 0 & n-a \end{bmatrix} \text{ Apply invertible ops,}$$

$$\begin{aligned} \begin{bmatrix} n-a & -1 & 0 \\ 0 & n-a & -1 \\ 0 & 0 & n-a \end{bmatrix} &\rightarrow \begin{bmatrix} -1 & n-a & 0 \\ n-a & 0 & -1 \\ 0 & 0 & n-a \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ n-a & (n-a)^2 & -1 \\ 0 & 0 & n-a \end{bmatrix} \\ &\rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & (n-a)^2 & -1 \\ 0 & 0 & n-a \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & (n-a)^2 \\ 0 & 0 & 0 \end{bmatrix} \rightarrow \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix} \\ \therefore V \cong \text{coker} \left( \begin{bmatrix} -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{bmatrix} : \mathbb{F}[x]^3 \rightarrow \mathbb{F}[x]^3 \right) &\cong \mathbb{F}[x]/(n-a)^3. \end{aligned}$$

so the JCF of  $S$  is  $S$ .  $\square$

Applications of modules over PID / RCF / JCF.

Let  $\mathbb{F}$  be a field,  $A \in \text{Mat}_{nxn}(\mathbb{F})$  be such that  $A^k = 0$  for some  $k > 0$ . Such  $A$  is nilpotent. Consider  $\text{GL}_n(\mathbb{F}) \cap \text{Mat}_{nxn}(\mathbb{F})$ ,  $g \cdot A = g \cdot A \cdot g^{-1}$ .

Invertible matrices

We want to classify the orbits of nilpotent matrices.

MA3201 W11L2 (2).

Lem: Let  $A \in \text{Mat}_{n \times n}(F)$ . be nilpotent. Then  $C_A(n) = n^r$  and  $m_A(n) = n^r$  for some  $r > 0$ .

Pf:  $A$  is nilpotent, say  $A^k = 0$ . Then let  $f(n) = n^k$ . we have  $f(A) = 0$ .

Thus  $m_A(n) | f(n)$  by minimality. Then  $m_A(n) = n^r$  for some  $r \leq k$ . Then recall we have.

$$f(n), n=1, 2, \dots, n = f(n) \times f_1(n) \times \dots \times f_r(n) \quad (\text{if } f_1, f_2, \dots, f_r \text{ are irreducible})$$

we know  $C_A(n) = f_1(n) \dots f_r(n)$  and  $m_A(n) | C_A(n)$ .

Since  $f_1, f_2, \dots$ , they must be powers of  $n$ , so must  $C_A(n)$ .  $\square$ .

Prop: The orbits of nilpotents in  $\text{Mat}_{n \times n}(F)$  is in bijection with the set of partitions of  $n$ . where  $\lambda(\lambda_1, \lambda_2, \dots, \lambda_r) | \sum \lambda_i = n$ ,  $\lambda_1 \geq \lambda_2 \geq \dots$ .

Why?

Pf: The  $\text{GL}_n(F)$ -orbits of  $\text{Mat}_{n \times n}(F)$  is determined by the rational canonical form (since similar matrices have the same RCF).

If  $C_A(n) = n^{a_1} n^{a_2} \dots n^{a_r}$ , where  $\sum a_i = n$ .  
(Givens by pf above)

Ex. There are 3 orbits of nilpotent matrices in  $\text{Mat}_{3 \times 3}(F)$ , they are orbits of

$$\begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

(why not 2?)

Conjugacy classes in  $\text{GL}_2(F_2)$

Prop: There are 6 conjugacy classes in  $\text{GL}_2(F_2)$ .  $\text{GL}_2(F_2) \cong \text{Mat}_{2 \times 2}(F_2)$ .

Pf: we consider the conjugation of  $\text{GL}_2(F_2) \cong \text{GL}_2(F_2)$ . we want to classify the orbits. It suffices to consider the "invariant factors":

$\forall A \in \text{Mat}_{2 \times 2}(F)$ , we consider the RCF of  $A$  similar to  $[T_1, T_2]$ .

$$F_2[T_1] \supseteq F_2^{n_1} \times F_2^{n_2}$$
$$F_2 \cong F_2[T_1]/(f_1(T_1)) \times F_2[T_2]/(f_2(T_2))$$

To find all possible  $f_1, f_2$ , we need to find all irreducible polynomials of degree  $\leq 2$ .  $x, x+1, x^2+x+1$ .

If (f<sub>1</sub>, f<sub>2</sub>) we have the following possibilities for f<sub>1</sub>, f<sub>2</sub>:

$$f_1 \sim n \sim 1$$

$$f_2 \sim n \sim 1$$

or  $F[n]/M_T(n) \cong F^2$ ,  $M_T(n) = n^2, (n-1)^2, n(n-1), n^2+n+1$   
6 possibilities.  $\square$

Cor. we have 3. conjugacy classes of  $GL_2(F_2)$ .

$$f_1 = f_2 = n \Rightarrow \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}. \text{(not in } GL_2(F_2) \text{ since not invertible)} \times$$

$$f_1 = f_2 = (n-1) \Rightarrow \begin{bmatrix} 1 & 0 \\ 0 & 1 \end{bmatrix} \checkmark$$

$$M_T(n) = n^2 \Rightarrow \begin{bmatrix} 0 & 0 \\ 1 & 0 \end{bmatrix} \times$$

$$(n-1)^2 \Rightarrow \begin{bmatrix} 0 & -1 \\ 1 & 0 \end{bmatrix} \text{ but } x_2 = 0, 1 \neq -1 \Rightarrow \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \checkmark$$

$$n(n-1) \Rightarrow \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \times.$$

$$n^2+n+1 \Rightarrow \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix} \checkmark.$$

orbits of  $GL_3(\mathbb{Q})$  on the set  $A = \{g \in GL_3(\mathbb{Q}) \mid g^6 = \text{id}\}$ .

(Note that the conj. action is well defined, since  $g^6 = \text{id} \Rightarrow (ghg^{-1})^6 = \text{id}$ ).

We want to find the corresponding RCFs.

Let  $f(x) = x^6 - 1$ . Then  $\forall B \in A, f(B) = B^6 - 1 = 0$ .

$$\therefore M_B(n) \mid f(x) = (x+1)(x^2-1) = (x+1)(n-1)(n^2+n+1)(n^2-n+1).$$

On the other hand, we know  $\deg M_B(n) \leq 3$ . (why?). ( $GL_3$ )

Possible  $M_B(n)$ : ~~all~~ and invariant factors:

$$(1) (n+1)$$

$$(n-1), (n-1), (n-1) \quad \textcircled{1}$$

$$(2) (n-1)$$

$$(n+1), (n+1), (n+1) \quad \textcircled{2}$$

$$(3) (n^2+n+1)$$

impossible since  $\deg f(x) = 6$ ,  $\deg c_1(n) = 3$ .

$$(4) (n^2-n+1)$$

$$(n-1), \text{self} \quad \textcircled{3}$$

$$(5) (n-1)(n+1)$$

$$(n-1), \text{self} \quad \textcircled{4} \quad \text{or} \quad (n+1), \text{self} \quad \textcircled{5}$$

$$(6) (n-1)(n^2+n+1)$$

$$\text{self} \quad \textcircled{6}$$

$$(7) (n-1)(n^2-n+1)$$

$$\text{self} \quad \textcircled{7}$$

$$(8) (n+1)(n^2+n+1)$$

$$\text{self} \quad \textcircled{8}$$

$$(9) (n+1)(n^2-n+1)$$

$$\text{self} \quad \textcircled{9}$$

Conclusion: there are 8 orbits of the  $GL_3(\mathbb{Q})$ -conj. action on  $A = \{g \in GL_3(\mathbb{Q}) \mid g^6 = \text{id}\}$ .

# MA3201 W1 L2(3).

## Tensor product of modules

We start with a motivating example.

Let  $V = \mathbb{R}^3$ ,  $W = \mathbb{R}^3$  be  $\mathbb{R}$ -vector spaces. We write the elements as row vectors.

$$\{(a, b, c)\} \times \{(d, e, f)\}$$

Then we know  $V \oplus W = \mathbb{R}^6$ . of  $\dim_{\mathbb{R}} = 6 = 3 + 3$ .

$$\{(a, b, c, d, e, f) \mid (a, b, c) \in V, (d, e, f) \in W\}$$

We define a new  $\mathbb{R}$ -vector space:

$$V \otimes_{\mathbb{R}} W = \sum_{\text{finite}} \begin{pmatrix} a \\ b \\ c \end{pmatrix} \cdot (d, e, f) = \sum_{\text{finite}} \begin{pmatrix} ad & ae & af \\ bd & be & bf \\ cd & ce & cf \end{pmatrix} \mid (a, b, c) \in V, (d, e, f) \in W \}$$

with the (obvious)  $\mathbb{R}$ -vector space structure. Then  $\dim_{\mathbb{R}} V \otimes_{\mathbb{R}} W = 9 = 3 \times 3$

We can write element  $\begin{pmatrix} a \\ b \\ c \end{pmatrix} \cdot (d, e, f)$  as  $\begin{pmatrix} a \\ b \\ c \end{pmatrix} \otimes_{\mathbb{R}} (d, e, f) = v \otimes_{\mathbb{R}} w$

for  $v \in V, w \in W$ .

We have the following "identities".

$$\textcircled{1} \quad (v_1 + v_2) \otimes_{\mathbb{R}} w = v_1 \otimes_{\mathbb{R}} w + v_2 \otimes_{\mathbb{R}} w.$$

$$\textcircled{2} \quad v \otimes_{\mathbb{R}} (w_1 + w_2) = v \otimes_{\mathbb{R}} w_1 + v \otimes_{\mathbb{R}} w_2.$$

$$\textcircled{2'} \quad \textcircled{1} + \textcircled{2} : (v_1 + v_2) \otimes_{\mathbb{R}} (w_1 + w_2) = v_1 \otimes_{\mathbb{R}} w_1 + v_1 \otimes_{\mathbb{R}} w_2 + v_2 \otimes_{\mathbb{R}} w_1 + v_2 \otimes_{\mathbb{R}} w_2.$$

$$\textcircled{3} \quad (kv) \otimes_{\mathbb{R}} w = k(v \otimes_{\mathbb{R}} w) = v \otimes_{\mathbb{R}} (kw).$$

Next we will use the 3 "identities" to define a more general tensor product. (R,3 conn)

MA3201 W12 L1

Recall: we finished JCF, RCF and applications: e.g. conjugacy classes on  $GL_2(\mathbb{F}_2)$ .

Prop':  $A, B \in \text{Mat}_{n \times n}(\mathbb{F})$ . Let  $V, W$  be two  $\mathbb{F}[n]$ -mod s, where  $\pi$  acts as  $A, B$  resp. Then the following are equivalent:

(1)  $A$  and  $B$  are similar.

$$\uparrow A \cong gBg^{-1}, \therefore g(\pi I - A)g^{-1} = \pi I - B \quad \begin{matrix} \text{obr}(g)(\pi I - A)g^{-1} \\ \cong \text{coker}(\pi I - A) \cong V \cong (\pi I - B) \end{matrix}$$

(2)  $A$  and  $B$  have the same RCF.

$\Downarrow$  same inv. factors.

(3)  $V \cong W$  as  $\mathbb{F}[n]$ -mod s.

$\Downarrow$

(4)  $V$  &  $W$  have the same invariant factors.

$\Downarrow$

(5)  $V$  and  $W$  have the same elem. factors.

$\Downarrow$

(6)  $\mathbb{F}$  is alg.-closed, then  $\overset{A}{A}, \overset{B}{B}$  have the same JCF.

Prop': Let  $F(V \times W)$  be the free Ab. gp of infinite rank over the set  $V \times W$ .

Let  $A$  be the subgp of  $F(V \times W)$  generated by:

$$(v_1 + v_2, w) - (v_1, w) - (v_2, w),$$

$$(v, w + w_2) - (v, w) - (v, w_2),$$

$$(kv, w) - (v, kw) \quad \text{for } k \in \mathbb{R}, v \in V, w \in W.$$

Then the natural map  $F(V \times W)/A \rightarrow V \otimes_{\mathbb{R}} W$

$$(v, w) \mapsto v \otimes_{\mathbb{R}} w (= v^t \cdot w).$$

is an iso. of abelian groups (also as  $\mathbb{R}$ -vector spaces).

If we consider the map  $F(V \times W) \rightarrow V \otimes_{\mathbb{R}} W$

$$(v, w) \mapsto v \otimes_{\mathbb{R}} w.$$

This is well-defined, since  $F(V \times W)$  is free with basis  $(v, w) \in V \times W$ .

Surjection is free (this is "large"), we just check kernel is  $A$ .

MA3201 W12 (3).

Eg. ① Let  $\mathbb{Z} \subset \mathbb{Q}$ ,  $N = \mathbb{Z}/2\mathbb{Z}$  be the  $\mathbb{Z}$ -mod.

- Then for any  $\mathbb{Q}$ -mod  $V$ , and  $\mathbb{Z}$ -mod hom

$$\mathbb{Z}/2\mathbb{Z} = N \longrightarrow \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z} = 0$$

(some part  
map for  
Ivs)

$$S: \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z} = 0 \text{ as } \mathbb{Q}\text{-mods}$$

- we also consider  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z}$  concretely using the construction.

$$\text{Then } V \otimes_{\mathbb{Z}} N = \frac{1}{2} r \cdot 2 \otimes_{\mathbb{Z}} 0 \text{ as } \mathbb{Q}\text{-mods}$$

$$= \frac{1}{2} r \otimes_{\mathbb{Z}} 2N. \text{ since } N = \mathbb{Z}/2\mathbb{Z}.$$

$$= \frac{1}{2} r \otimes_{\mathbb{Z}} 0. = \frac{1}{2} r \otimes_{\mathbb{Z}} 0 \cdot 0$$

$$= (\frac{1}{2} r) 0 \otimes_{\mathbb{Z}} 0$$

$$= 0 \otimes_{\mathbb{Z}} 0 = 0.$$

② for any  $R$ -mod  $N$ ,  $R \otimes_R N \cong N$ .

concretely,  $N \rightarrow R \otimes_R N$

$$n \mapsto 1 \otimes_R n.$$

$$\begin{array}{ccc} N & \xrightarrow{\text{id}} & N \\ \downarrow & \text{R} & \downarrow \\ m & \mapsto & 1 \otimes_R m \end{array}$$

③ for any  $\mathbb{C}\mathbb{R}$ -vs.  $V \cong \mathbb{C}\mathbb{R}^n$ , then  $\mathbb{C} \otimes_{\mathbb{R}} V \cong \mathbb{C}^n$ .

④ Let  $H \subset G$  be a subgroup of a finite gp  $G$ . Then  $\mathbb{C}[H] \subset \mathbb{C}[G]$ . Let  $V$  be a  $\mathbb{C}[H]$ -mod. Then

$$\text{Ind}_H^G V = \mathbb{C}[G] \otimes_{\mathbb{C}[H]} V \text{ on } \mathbb{C}[G]\text{-mod.}$$

$$(g \otimes v) (gh \otimes u) = g \otimes h_v$$

1

## Tensor product of modules I.

Let  $M$  be a right  $R$ -mod and  $N$  be a left  $R$ -mod. we can then define  $M \otimes_R N$  (as an Ab. gp) using the universal property:   
 just with these if   
 we already have Ab gp.

Defn/Theorem (existence): The tensor product  $M \otimes_R N$  is defined as an Ab. gp together w/ a map  $i: M \times N \rightarrow M \otimes_R N$  such that  $i(m, r, n) = i(m, rn)$

$i(mr, n) = m \otimes_R n = m \otimes_R n$ . satisfying the following property: for any maps from  $M \times N$  to an Ab gp  $L$ ,

$\phi: M \times N \rightarrow L$  s.t.  $\phi(m, r, n) = \phi(m, rn)$ .

then there exists a unique map  $\tilde{\phi}: M \otimes_R N \rightarrow L$  making the diagram commutes:

$$\begin{array}{ccc} M \times N & \xrightarrow{i} & M \otimes_R N \\ \phi \downarrow & \swarrow \tilde{\phi} & \\ L & & \end{array}$$

$\tilde{\phi}$  is called R-balanced.  $M \otimes_R N$  exists and is unique up to isom.

Pf: we define  $M \otimes_R N$  as the free Ab. gp  $F(M \times N)$  modulo the relations:

$$(1) (m_1 + m_2, n) - (m_1, n) - (m_2, n)$$

$$(2) (m, n_1 + n_2) - (m, n_1) - (m, n_2)$$

$$(3) (m \cdot r, n) - (m, r \cdot n) \text{ for } r \in R.$$

Then we define  $i: M \times N \rightarrow M \otimes_R N$ .  $(m, n) \mapsto m \otimes_R n \in F(M \times N)/\sim$ . (Q: is this well-defined?)

We check that  $i$  is R-balanced, that is,

if R-balanced map  $\phi: M \times N \rightarrow L$ , we can define  $M \otimes_R N \rightarrow L$   $m \otimes_R n \mapsto \phi(m, n)$

Then it remains to check everything is well-defined, and we have a comm. diagram.  $\square$

Recap

Two examples:- as matrices,  $(\cdot) \times (\cdot) \rightarrow (\cdot)$ .  
 - "reverse restriction", from module over a subring to  
 module over the larger ring.

Defn.

Let  $M^R$  and  $R^N$ .Let  $L$  be any Ab gp. & map of sets. $\varphi: M \times N \rightarrow L$  is  $R$ -balanced

- $\varphi(m_1 + m_2, n) = \varphi(m_1, n) + \varphi(m_2, n)$
- $\varphi(m, n_1 + n_2) = \varphi(m, n_1) + \varphi(m, n_2)$ .
- ~~A.B~~  $\varphi(m_1 + m_2, n_1 + n_2) = \varphi(m_1, n_1) + \varphi(m_2, n_1) + \varphi(m_1, n_2) + \varphi(m_2, n_2)$
- $\varphi(mr, n) = \varphi(m, rn)$  ("balanced")

Defn/Thm Refining setting. The tensor product  $M \otimes_R N$  is an abelian gp with  
 a  $R$ -balanced map (not Ab. hom)  $i: M \times N \rightarrow M \otimes_R N$   
 s.t.  $\forall R$ -balance map (universal)  $\phi: M \times N \rightarrow L$ , we have

$$M \times N \xrightarrow{i} M \otimes_R N$$

$$\phi \downarrow \quad \quad \quad \bar{\phi}$$

fixing  $n$  &  $m$  in  $\text{Hom}_L$ .

$$\text{ff}: M \otimes_R N = f(M \times N) \sim \text{where}$$

$$\sim: (1) (m_1 + m_2, n) - (m_1, n) - (m_2, n).$$

$$(2) (m, n_1 + n_2) - (m, n_1) - (m, n_2).$$

$$(3) (mr, n) - (m, rn).$$

And  $i: M \times N \xrightarrow{i} M \otimes_R N$ 

$$(m, n) \mapsto m \otimes_R n = (m, n) + \sim.$$

□

Lem. Let  $M^R$ ,  $R^N$ , let  $L$  be an  $R$ -mod. Then we have a bijection of sets:

$$\text{Hom}_L(M, \text{Hom}_R(N, L)) \leftrightarrow \{ \begin{array}{l} R\text{-balanced maps } M \times N \rightarrow L \\ \text{Left } R\text{-mod.} \end{array} \} \leftrightarrow \{ \text{Hom}_Z(M \otimes_R N, L) \}.$$

Pf

we construct the maps:

(1) Let  $\phi \in \text{Hom}_R(M, \text{Hom}_R(N, L))$ . Then we define.

$$\tilde{\phi} : M \times N \rightarrow L, (m, n) \mapsto \phi(m)n.$$

(2) Let  $\psi : M \times N \rightarrow L$  be  $R$ -balanced. Then we define,  $\forall n \in N$ ,

$$\psi(-, n) : M \rightarrow L.$$

Tensor Product of Modules IILet  $R$  be commutative with  $1$ .Defn Let  $M, N, L$  be  $R$ -modules (both left & right). A map of sets $\phi : M \times N \rightarrow L$  is called  $R$ -bilinear if

$$\textcircled{1} \quad \phi(r_1 m_1 + r_2 m_2, n) = r_1 \phi(m_1, n) + r_2 \phi(m_2, n).$$

$$\textcircled{2} \quad \phi(r_1 m_1, r_2 n_1 + r_3 n_2) = r_1 \phi(m_1, n_1) + r_2 \phi(m_1, n_2). \quad (M; \text{left } R\text{-mod}, N; \text{right } R\text{-mod})$$

Automatically balanced:  $\phi(rm, n) = r\phi(m, n) \stackrel{\textcircled{1}}{=} \phi(m, rn).$ Eg let  $M = N = \mathbb{R}^3$ ,  $L = \mathbb{R}$ . Then the standard bilinear form:

$$\begin{pmatrix} \mathbb{R}^3 \\ M \end{pmatrix} \times \begin{pmatrix} \mathbb{R}^3 \\ N \end{pmatrix} \rightarrow \mathbb{R} \quad \begin{pmatrix} \mathbb{R}^3 \\ L \end{pmatrix}$$

$$(v_1, v_2, v_3) = \begin{pmatrix} v \\ w \end{pmatrix} \mapsto v \cdot w^T = v_1 w_1 + v_2 w_2 + v_3 w_3$$

is  $\mathbb{R}$ -bilinear (in the new definition).Def/Thm Let  $R$  be comm. with  $1$ . Let  $M, N$  be  $R$ -mods, then their tensor prod $M \otimes_R N$  (over  $R$ ) is a  $R$ -mod together with  $R$ -bilinear map $i : M \times N \rightarrow M \otimes_R N$  s.t. any bilinear map  $\phi : M \times N \rightarrow L$ ,there exists a unique  $R$ -mod map  $\tilde{\phi} : M \otimes_R N \rightarrow L$  s.t. the diag. comm:•  $R$ -bilinear.

$$M \times N \xrightarrow{i} M \otimes_R N$$

$$\begin{matrix} R\text{-bilinear} \\ \text{map} \end{matrix} \xrightarrow{\phi} L \xleftarrow{\tilde{\phi}} M \otimes_R N \quad \tilde{\phi} \in R\text{-mod map}$$

If: we mod out the bilinear relation / abelian subgp.

$$M \otimes_R N = F(M \times N) / \sim \text{ where}$$

$$\sim : \begin{cases} (m_1 + m_2, n) - (m_1, n) - (m_2, n) \\ (m, n + n') - (m, n) - (m, n') \end{cases}$$

$$(cm, n) (= (cm_r, n)) = (cm, rn)$$

Then we give  $(\text{eqnsp}) M \otimes_R N$  with a  $R$ -mod structure as

$$r(m \otimes_R n) = (rm) \otimes_R n = m \otimes_R (rn)$$

$= (m, n) + \sim$ , check well-defined.

We then need to check that this is a well-defined  $R$ -mod structure.

$\forall \phi : M \times N \rightarrow L$ , we define  $\tilde{\phi} : M \otimes_R N \rightarrow L$

$$M \otimes_R N \ni (m, n) \mapsto \tilde{\phi}(m, n)$$

and check this is a  $R$ -mod.

Eg  
(contd)

$$\mathbb{R}^3 \times \mathbb{R}^3 \xrightarrow{i} \mathbb{R}^3 \otimes_R \mathbb{R}^3 \cong \text{Mat}_{3 \times 3}(\mathbb{R}).$$

$$(v, w) \mapsto v \otimes_R w = v^{\text{tr}} \cdot w$$

$$\phi$$

trace.

Eg.  $\mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}/2\mathbb{Z} \cong 0$  since both are not free over  $\mathbb{Z}$ .

As torsion has torsion flatness of modules.

### Tensor Product of Modules III

Let  $S$  and  $R$  be rings with 1. (Previously  $S=R$  or  $S=\mathbb{Z}$ ).

Defn Let  $S$ - $R$ -bimodule  $m$  be an Ab. gp that is a left  $S$ -mod and right  $R$ -mod simultaneously, such that.

$$(Sm) r = s(mr) = smr.$$

Eg. ①  $R$  is a  $R$ - $R$ -bimodule via the left & right multiplication.

② If  $M$  is a right  $R$ -mod, then  $M$  is a  $\mathbb{Z}$ - $R$ -bimodule.

$$(2m)r = (m+m)r = mr + mr = 2(mr).$$

Eg. (3) Let  $R$  be commutative. Then any  $R$ -mod  $M$  is a  $R$ - $R$ -bimodule.

$$\text{i.e. } (r_1 r_2)m = r_1 M r_2 = (r_1 m)r_2 \underset{\substack{\text{left action} \\ \text{right action} = \text{mult. commutative}}}{=} r_1(m r_2).$$

$\Rightarrow$

Defn/  
Thm

Let  $R, S$  be rings with  $\mathbb{Z}$ . Let  $M$  be a  $S$ - $R$ -bimodule, and  $N$  be a left  $R$ -mod. Then the tensor product (depending on situation, can be Ab gp or a module)  $M \otimes_R N$  is a  $S$ -mod together with a  $R$ -balance map  $i: M \times N \rightarrow M \otimes_R N$  such that  $i(m, n) = s(i(m, n))$  satisfying the following properties:

$\Rightarrow$   $M \otimes_R N$  is a  $S$ -mod.

For any  $R$ -balance map,  $\phi: M \times N \rightarrow L$  such that we have a unique  $S$ -mod map  $\tilde{\phi}: M \otimes_R N \rightarrow L$  s.t.

$$M \times N \xrightarrow{i} M \otimes_R N.$$

$$\phi \downarrow L \quad \tilde{\phi} \leftarrow S\text{-mod map.}$$

Pf

Similar to previous examples, define the Ab gp  $M \otimes_R N = F(M \times N)/\sim$ .

Add  $S$ -mod structure to it (via the  $S$ -mod structure on  $M$ ):

$$S \cdot (m \otimes_R n) = (sm) \otimes_R n.$$

could be  $sm = mr$  for some  $r$ , and

$m \otimes_R rn = (mr) \otimes_R n = m \otimes_R (rn)$  as expected.

We check: - everything is well-defined.

Define  $\tilde{\phi}: M \otimes_R N \rightarrow L$ ,  $m \otimes_R n \mapsto \phi(m, n)$  and we check this is a  $S$ -mod from. (similar to previous, just check more props,

e.g.  $S$ -mod.)

Ex.

① We consider  $\mathbb{Z}$ -mods:  $\mathbb{Z}/2\mathbb{Z}$  and  $\mathbb{Z}/3\mathbb{Z}$ . (or as a  $\mathbb{Z}-\mathbb{Z}$ -bimod). Then we claim  $\mathbb{Z}_2 \otimes_{\mathbb{Z}} \mathbb{Z}_3 \cong 0$ . (trivial).

Pf: Note that 4 acts as 1 in  $\mathbb{Z}_3$ ,  $\therefore m \in \mathbb{Z}_2, n \in \mathbb{Z}_3$ , we have  $m \otimes n = m \otimes 4 \cdot n$  (identity)

$$\begin{aligned} &= 4m \otimes n \quad (\text{bilinear}) \\ &= 0 \otimes n \quad (= 0 \cdot 0 \otimes n = 0 \otimes 0 \cdot n) \\ &= (0, 0) + n \in F(\mathbb{Z}_2, \mathbb{Z}_3)/n. \end{aligned}$$

$\therefore$  Since  $m, n$  are arbitrary,  $\mathbb{Z}_2 \otimes \mathbb{Z}_3$  is trivial.

② We consider  $\mathbb{Z}$ -mods  $\mathbb{Z}_2$  and  $\mathbb{Z}_2$ . Then we claim  $\mathbb{Z}_2 \otimes \mathbb{Z}_2 = \mathbb{Z}_2$ .

The  $\mathbb{Z}$ -bilinear map  $\mathbb{Z}_2 \times \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$

$$m \times n \rightarrow L$$

$$(a, b) \mapsto ab.$$

$$\text{Then } (a, b, +b) \mapsto f(a(b, +b)) = ab, +ab = ab.$$

Then by defn, we have a  $\mathbb{Z}$ -mod hom.

~~is it still~~  $\mathbb{Z}_2 \otimes \mathbb{Z}_2 \rightarrow \mathbb{Z}_2$ .  $a \otimes b \mapsto ab$ . that is surjective.

$|\mathbb{Z}_2 \otimes \mathbb{Z}_2|$  is at most 2, since  $1 \otimes 0 = 0 \otimes 1 = 0 \otimes 0$ ,

Then surjectivity forces an isomorphism:  $\mathbb{Z}_2 \otimes \mathbb{Z}_2 \xrightarrow{\sim} \mathbb{Z}_2$ .

### Properties of Tensor Products

Thm: Let  $M \otimes R$  and  $R \otimes N$  be, and  $S \otimes L$ . Then we have an isom. of Ab. gps,  $(M \otimes_R N) \otimes_S L \cong M \otimes_R (N \otimes_S L)$

Pf: In textbook.

Thm: Let  $M_1 \otimes R$ ,  $M_2 \otimes R$ ,  $R \otimes N_1$ ,  $R \otimes N_2$ . Then we have the following isomorphism of Ab. gps:

$$\textcircled{1} \quad (M_1 \oplus M_2) \otimes_R N_1 \cong (M_1 \otimes_R N_1) \oplus (M_2 \otimes_R N_1)$$

$$\textcircled{2} \quad M_1 \otimes_R (N_1 \oplus N_2) \cong (M_1 \otimes_R N_1) \oplus (M_1 \otimes_R N_2)$$

Pf sketch: For ①: to map to a direct sum, we just need to define the map component wise. This is done by having a  $R$ -balanced map  $f$ . The first comp. (verify).

$\exists \text{Def } R = \mathbb{R} \text{. Let } M_1 = \mathbb{R}^3 = \mathbb{R} \oplus \mathbb{R} \oplus \mathbb{R}.$

$$N_1 = \mathbb{R}^3 = \mathbb{R}$$

$$\begin{aligned} \text{Then } M_1 \otimes_{\mathbb{R}} N_1 &= (\mathbb{R} \oplus \mathbb{R} \oplus \mathbb{R}) \otimes_{\mathbb{R}} (\mathbb{R} \oplus \mathbb{R} \oplus \mathbb{R}) \\ &= \bigoplus_{n=1}^3 \mathbb{R} \otimes_{\mathbb{R}} \mathbb{R} \cong \bigoplus_{n=1}^3 \mathbb{R} \cong \mathbb{R}^9. \end{aligned}$$

② Recall  $\mathbb{C}$  is a 2-dim  $\mathbb{R}$ -vectorspace. Then.

$$(\mathbb{C} \otimes_{\mathbb{R}} \mathbb{C}) = \mathbb{R}^2 \otimes_{\mathbb{R}} \mathbb{R}^2 \cong \mathbb{R}^{2 \times 2} = \mathbb{R}^4.$$

$$\text{But } \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C} = \mathbb{C}.$$

Pr: we consider the  $\mathbb{C}$  bilinear map,

$$- \mathbb{C} \times \mathbb{C} \rightarrow \mathbb{C}$$

$(a, b) \mapsto a \cdot b$  then this induces

$$- \mathbb{C} \otimes_{\mathbb{C}} \mathbb{C} \rightarrow \mathbb{C}$$

$$a \otimes_{\mathbb{C}} b \mapsto a \cdot b.$$

(-)

we claim  $\mathbb{C} \otimes_{\mathbb{C}} \mathbb{C}$  is spanned by  $1 \otimes 1$ .

$$a \otimes b = 1 \otimes ab - 1^2 = ab(1 \otimes 1).$$

□

Free gp!

Defn ① Free group if  $\{a, b\}$ ,  $F(a, b)$  is the words formed by  $a, b$ , that is, words by the alphabets  $\{a, b, a^{-1}, b^{-1}\}$ .

$$② \{a, b\} \rightarrow F_n \quad \text{RDM, } R^n \rightarrow M.$$

$$\downarrow G \curvearrowright \quad p_i \mapsto m_i.$$

$$S = \{\text{generators}\} \rightarrow F_S$$

③ every group is a quotient of some free group (?)

generated  
G  
quotient  
but relations  
that define G

④  $\mathbb{F}_n / C\mathbb{F}_2$ . (?)