

Operating System

3 pillars of OS: Virtualization Concurrency Storage

- o Booting - Bootstrap Program - Loading OS - OS Architectures
- o Process execution - System Calls - Privileges - Process States - interrupt - context switches - Interprocess Communication
- o Threads
- o System Call APIs
- o Time Sharing \Rightarrow Multiprogramming, multitasking
Scheduling Algorithms

Synchronization:

- \hookrightarrow Peterson's Solution
- \hookrightarrow Hardware Based (Test + Set lock)
- \hookrightarrow Software Based (Semaphore / mutex)
- o Deadlocks - Banker's Algorithm.

Memory Management (RAM)

- \hookrightarrow Contiguous / Non Contiguous (Paging, Segmentation)
- virtualization

Disk Management (Hard Disk)

- \hookrightarrow Algorithm FIFO, SSTF for decrease seek time.

File System

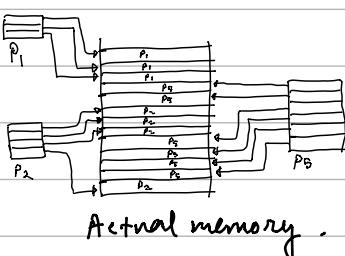
- \hookrightarrow Contiguous / Non Contiguous Memory Allocation.
- Unix Inode Structure.

Necessary Condition for Deadlock:

- ① Mutual exclusion
- ② Non Preemptive (No termination before finishing)
i.e. Don't give up control
- ③ Hold & Wait
- ④ Circular Dependency.

Static Memory Allocation,
Dynamic Memory Allocation.

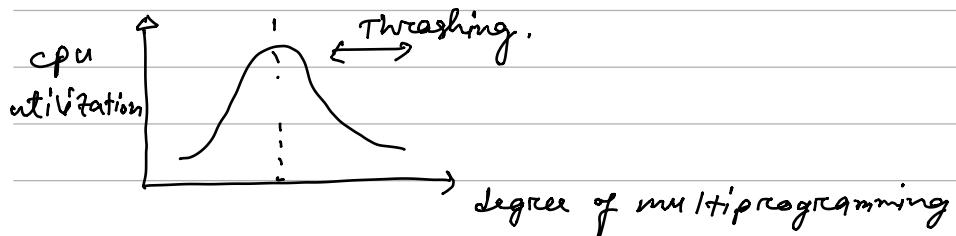
Paging



process sees as contiguous block of memory.

* Thrashing : When CPU is doing mostly page swaps and not executing the processes.

↳ occurs when degree of multiprogramming is high.

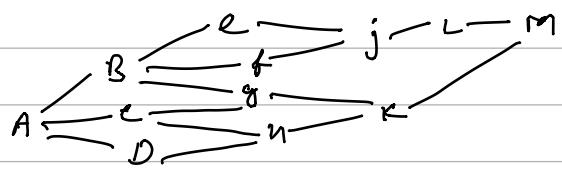


* Multiprogramming : multiple processes in RAM so that when 1 process goes I/O CPU can execute another process

* Multitasking : multiple processes share common resource CPU, RAM
+ using Round Robin to execute many processes at the same time -

Multiprogramming:

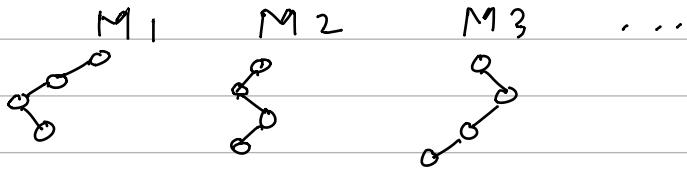
Travelling Salesman problem



Solution: Back Tracking exponential Solⁿ.

⇒ Trick in multiprogramming:

machines:



checking all possible possibility and updating a global variable Global Min

if a machine M_i finds

currentTotal + MST
of Remaining

> GlobalMin (Terminate)

(current) because better path has already achieved -

① we need Isolation between Processes.

Some features like Copy/Paste have to be Process sharing

↳ OS provides necessary features.

② OS has to provide these features

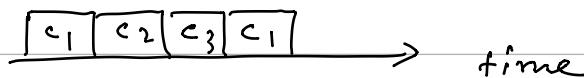
① Virtualization

② Concurrency

③ Storage.

Virtualization: Process P_1, P_2, P_3 believes they have their own CPU C_1, C_2, C_3

this can be achieved through Time sharing.



$m = \text{no. of Processes}$

when $m \gg n$

$n = \text{no. of Cores}$

virtualization is must -

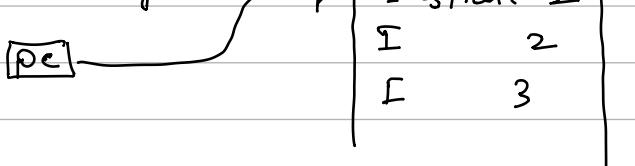
Q. How a process runs?

program code \rightarrow Compile \rightarrow executable

\downarrow Run it

// executable is sequence of
Assembly Instructions.

Stored in memory



Instruction cycle { Fetch \rightarrow Decode \rightarrow Execute \rightarrow Store \rightarrow pc+1

Stack : functions along with their local variables.

Heap : Malloc, so that it's globally accessible.

∅

P₁ & P₂

when P₁ goes I/O

or, P₂ comes with high priority

Process P₁ is thrown out & P₂ runs (Context Switching)

Mechanism & Policy:

How $\xrightarrow{\text{Scheduler}}$ scheduling (which program to run next)

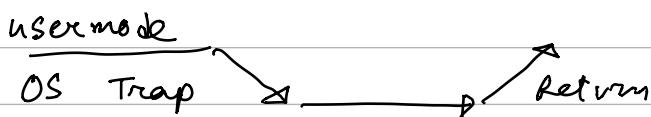
O Restricted Operations:

mechanism \rightarrow 2 privilege Modes $\xrightarrow{\text{User-mode}} \xrightarrow{\text{Kernel-mode}}$

e.g. Open (file)

open is a System Call

OS provided interface / API



The instruction

to go from User mode to Kernel mode
called OS Trap.

① Context Switching

Save state of Running Programme.

↳ { pc, registers, SP, memory ... }
stack pointer

② What happens when open(filename, mode) is called.

User Code

open — { System Call }

① Format Arguments.

open(filename, mode)

to the OS defined function/
instruction Argument format.

[then pushes the Arguments to User mode Stack]

② Trap

→ Kernel Mode

Handler → copies Arguments from User Stack

to Kernel Mode Stack.

at this stage you can → validate.

Kill a process.

→ Return file Descriptor.

→ RTU (come to User mode)

RTU :

↳ Lower Privilege Level.

↳ Restore State of the calling Process

↳ PC - Resume Running.

MECHANISM: LIMITED DIRECT EXECUTION

5

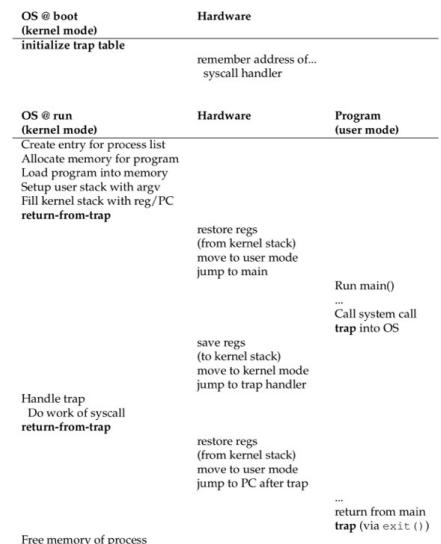


Figure 6.2: Limited Direct Execution Protocol
locations of these trap handlers, usually with some kind of special instruction. Once the hardware is informed, it remembers the location of these handlers until the machine is next rebooted, and thus the hardware knows what to do (i.e., what code to jump to) when system calls and other exceptional events take place.

0

System Call APIs :

0 Creation of Process with Fork :

- 1> Create and initializes the process Control Block (PCB) in the Kernel.
- 2> Create a new Address Space
- 3> Initialize the address space with a copy of entire contents of the address space of the parent.
- 4> Inherit the execution context of parent (open files, privileges)
- 5> Inform the scheduler, the new process is ready to run.

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UNIX Process Management APIs

0 fork

0 exec - sys call to change the program being run by the current process.

0 wait - wait for a process to finish.

0 signal - send notification to another process.

NESO

- Bootstrap Program:
- 1) Runs when Computer is powered up.
 - 2) Stored in ROM.
 - 3) Know how to load the OS and start executing it.

Interrupt: can be done by hardware or software.

System calls:

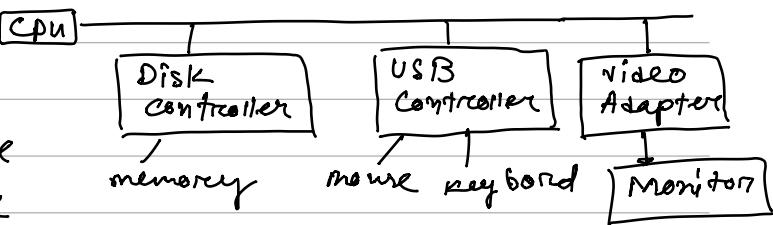
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I/O Structure in OS

mouse connects to USB socket, that connects to device controller. Some Dev Controller has DMA, some don't.

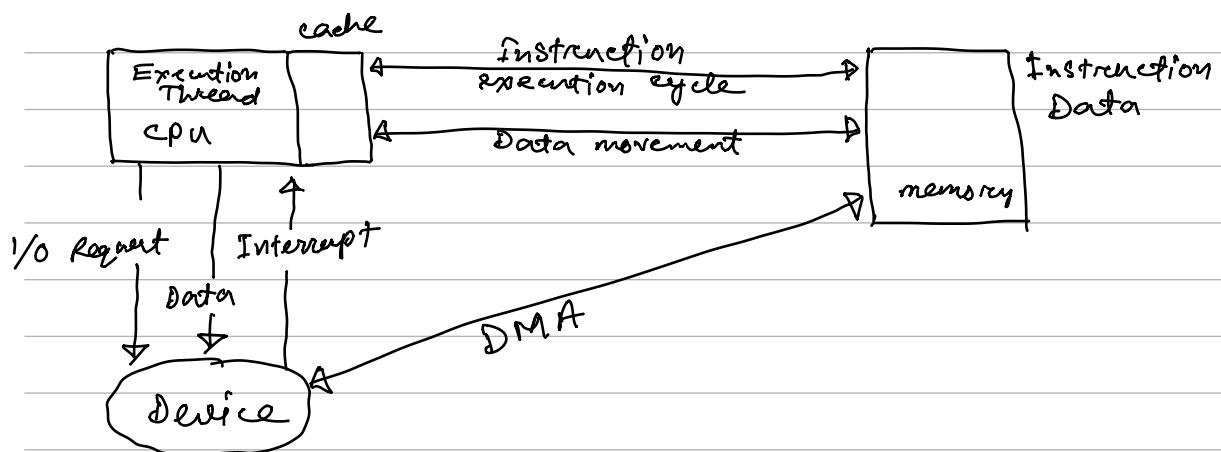
Device controller
maintains

- ① Local Buffer Storage
- ② Set of special purpose registers.



* OS has device drivers for each device controller.
↳ To create interface between device & OS.

Working of I/O :



- ① To start an I/O device driver loads the appropriate register in device controller.
- ② Device controller examines the registers & determines what action to take.
- ③ Controller starts transfer the data from device to its Local Buffer.
- ④ Once the transfer of data is complete device driver get an interrupt by the device controller that data transfer is complete.
- ⑤ Device driver returns control to the OS.

* Using DMA device controller can transfer blocks of data to & from memory with no interruption in CPU.

↳ Using DMA only 1 interrupt to the Driver is generated per block -
↳ CPU is Free

(Without DMA interrupt is one per byte)

old way

○ Single Processor

↳ only 1 processor

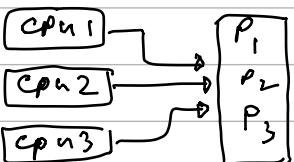
○ Multi Processor System

↳ parallel system

↳ Processors share Computer Bus, Clock, Peripheral Devices, Memory.
↳ Increase throughput ↑

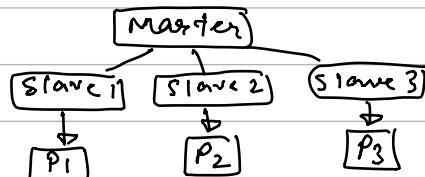
Types of Multiprocessing

Symmetric



all CPU are involved during all processes.

Asymmetric



Clustered System

↳ 2 or more individual systems coupled.

↳ Provide high availability.

↳ Can be symmetric & asymmetric.

[one machine in hot-standby mode giving application to other machines to run]

○

All OS must do ① Multiprogramming

② Time Sharing -

○ Interfaces : CLI + GUI

↳ e.g. Bourne Shell, Bourne Again Shell (Bash), C shell, Korn Shell
Command Line Interpreter can

- ↳ ① execute code which are in command line
- ② execute code in different program when called.

○ System Call : sys call provides an interface to the services made available by an OS.

User mode : NO direct Access to Memory Hardware.

Kernel mode : Direct Access

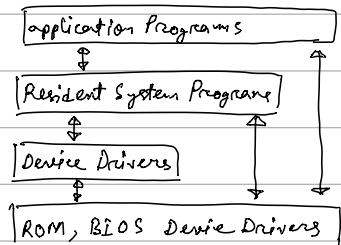
- * sys calls are programmatic way a program request service of OS Kernel
- * sys calls are written in c/c++.

① Types of System Calls:

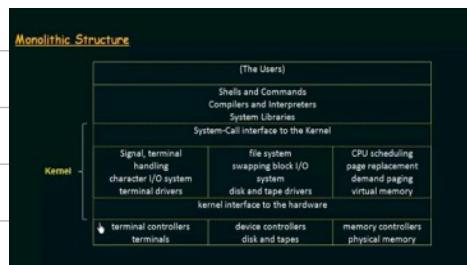
- ① Process Control: (load, execute, malloc)
- ② File manipulation (open, close ...)
- ③ Device Management (request device, release, read, write ...)
- ④ Information Maintenance
- ⑤ Communication: (send/receive messages)

② Structures of OS

- ① Simple Structure
(used in MS DOS)



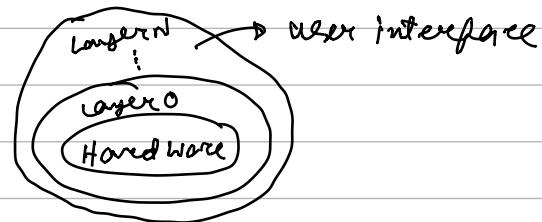
- ② Monolithic Structure



- ③ Layered Structure:

* very inefficient

o It has to pass through many layers to reach hardware.



- ④ Micro Kernel Structure:

* when client program request system call micro kernel makes connection between client Prog. & Os services which are implemented as system programs.

via message passing.

* Disadvantage: System overhead can cause decreased performance.

- ⑤ Modular Kernel: (Bertrand Meyer)

* implemented using OOP.

Core Kernel have core functionalities

other Kernels load at boot time or run time.

* No system overhead, coz no message passing b/w Application & service. Service loads into core kernel & Application directly access it.

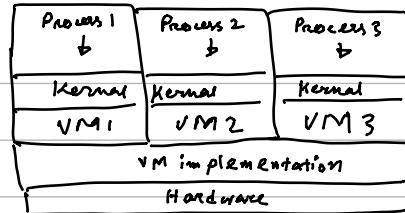


Virtual Machines :

VM software runs in Kernel Mode

VM itself " " User mode.

each VM has own virtual User mode
virtual Kernel mode.



Operating System Generation & System Boot :

- OS made for a particular hardware

- OS can be used in a class of hardware

↳ SYSCEN (generating OS for specific compatible hardware)

Booting : Starting Computer by loading Kernel.

Bootstrap program / BS Loader (in ROM) does the job.
the OS resides in the Disk

Processes :

process
programm in execution

thread
a unit of execution within
a process, can be one or many.

Process States :

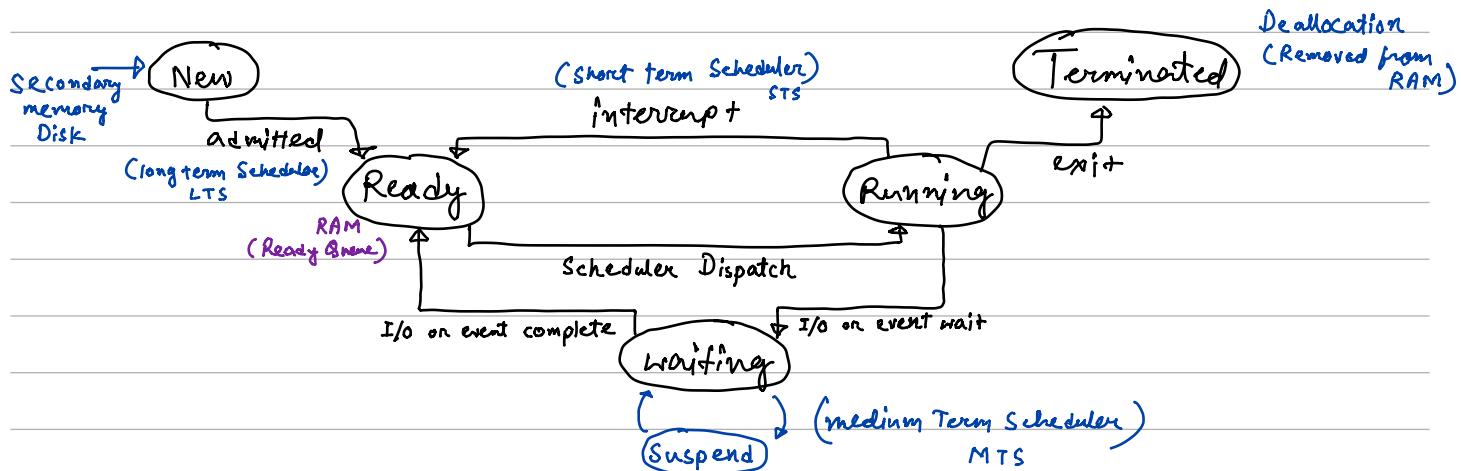
New process is being created

Running Instructions are being executed

Waiting Process is waiting for some event to occur (I/O)

Ready Processor is waiting to be assigned to a processor

Terminated Process has finished execution.



PCB	→	Process State
		Process number
		PC
		Registers
		List of open files
		:

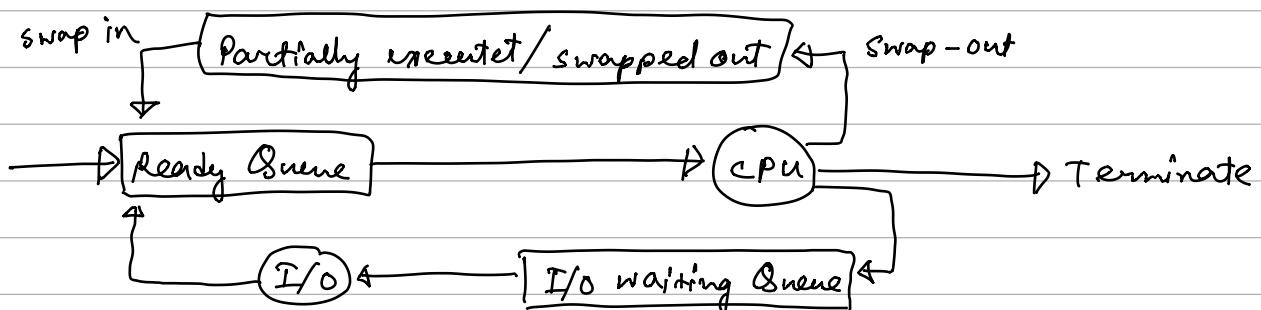
○ Process Control Block : (P-id)

- ② Process State (New, Running, waiting ...)
- ③ PC (address of next instruction) ④ CPU Registers
- ⑤ CPU scheduling information.
- ⑥ Memory management information.
- ⑦ I/O status information.

○ Process Scheduling: scheduler decides which process to release.

* Job Queue: As soon as a process enters system it's put in JQun. contains all processes in the System.

* Ready Queue: The processes that are in main memory (RAM) process that are ready & waiting to be executed.



○ Context Switch: Save state & Restore state

When interrupt occurs context is saved.
context is represented in the PCB of the Process.

* Context switching time is pure Overhead

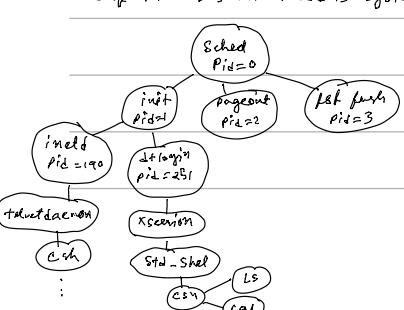
↳ System does no useful work.

○ Operations on Processes

① Process Creation:

- ① User can create / OS can create
- ② Process itself can create.

Tree of Processes on a Solaris System



* child process may have all resources of Parent process.

* Address space of child process:

- 1) child may be duplicate of parent
- 2) child may have completely new program

② Process Termination:

- `exit()` system call. Process requesting OS to delete the process
 - child process can send to its parent a status of termination the parent can receive via `wait()` system call.
 - OS deallocates all virtual memory, I/O buffers.
- * Another process can kill a process by system call.
 ✓ generally such system call can only be invoked by the parent.
 ↗ this is required if child overuses resources, child process is no longer required.

O

Interprocess Communication

Independent - can't or can't be affected

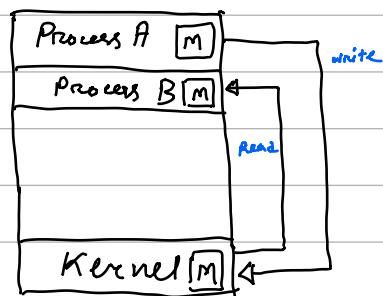
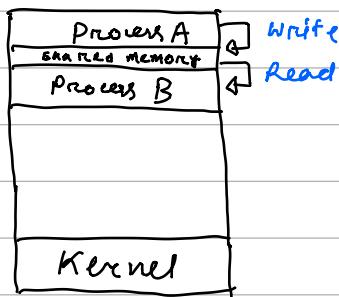
there are 2 types of processes → Cooperating - can & can get affected.
e.g. any process sharing Data.

why process Cooperation?

- ① Information Sharing
- ② Computational Speedup
- ③ Modularity
- ④ Convenience

O Fundamental model of Interprocess Communication :

- ① Shared Memory (multiple processes read & write on a common memory location)
- ② Message Passing. (message exchange b/w 2 processes)



* To Shared Memory System to occur

must remove the restriction of one process's memory can't be accessed by other process.

* Shared memory can be understood by producer consumer problem
(client server model)

- 2 types of Buffers
- ① Unbounded buffers
 - ② Bounded buffers

| use a buffer to fill by producer & consumed by consumer.

↓

like a shared memory.

Message passing System: \rightarrow 2 operations
Send message, Receive message.

Msg. can be fixed size or variable size

① Direct Communication:

$\begin{cases} \text{Send}(P, \text{msg}) & \text{send msg. to } P \\ \text{Receive}(Q, \text{msg}) & \text{receive msg. from } Q. \end{cases}$

} symmetric in Addressing.

→ A link must be connected between P to Q

$\begin{cases} \text{Send}(P, \text{msg}) \\ \text{recv(id, msg)} \end{cases}$ id of receiving process

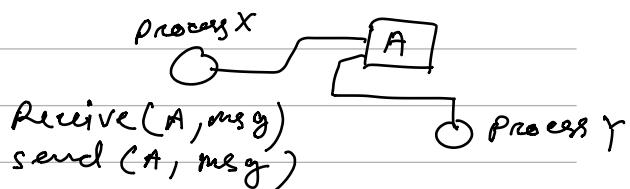
} Asymmetric in Addressing.

② Indirect Communication:

* analogy to mailbox

\Rightarrow for 2 processes have connection
they must share common mailbox

* Mailbox can be owned by
process or the OS.



* if there's more than 2 processes
connected. then many things are
possible like round robin to determine
next receiving process -

① Synchronous & Non Synchronous:

Blocking Send: Sending process is blocked until msg received
by receiving process or mailbox.

Non blocking : Sending process sends the msg & resumes
operation.

Blocking receive: receiver is blocked until a msg is available

Non blocking : Receiver retrieves either a valid msg or null.

①

Socket : when processes needs to communicate via a channel.
(same as socket used in Computer Network)

Socket is identified using Port Number.

* Interprocess communication mechanism \Rightarrow different process communicate
in same Device.

* Remote Procedure Calls (RPC) \Rightarrow processes residing in different
Systems wants to communicate
over a Network.

Remote Procedure Calls

Inter process communication

RPC is similar to IPC but here only Message Passing Scheme works.

* Remote device running a RPC daemon always listening to a port. Message contains parameters to pass to that function.

* RPC hides the detail of communication by providing a stub, so system runs procedure as if it's done locally.

* function parameter marshalling & unmarshalling:

(converting
to xDR)

(reverting to
device representation)

Issues in RPC

How it's resolved

① different data representation in different devices.

i.e. For 32 bit integer some are little some are big-endian

RPC system takes machine independent data e.g. XDR (External Data Representation)

Before sending or after receiving data it's converted to machine's own representation

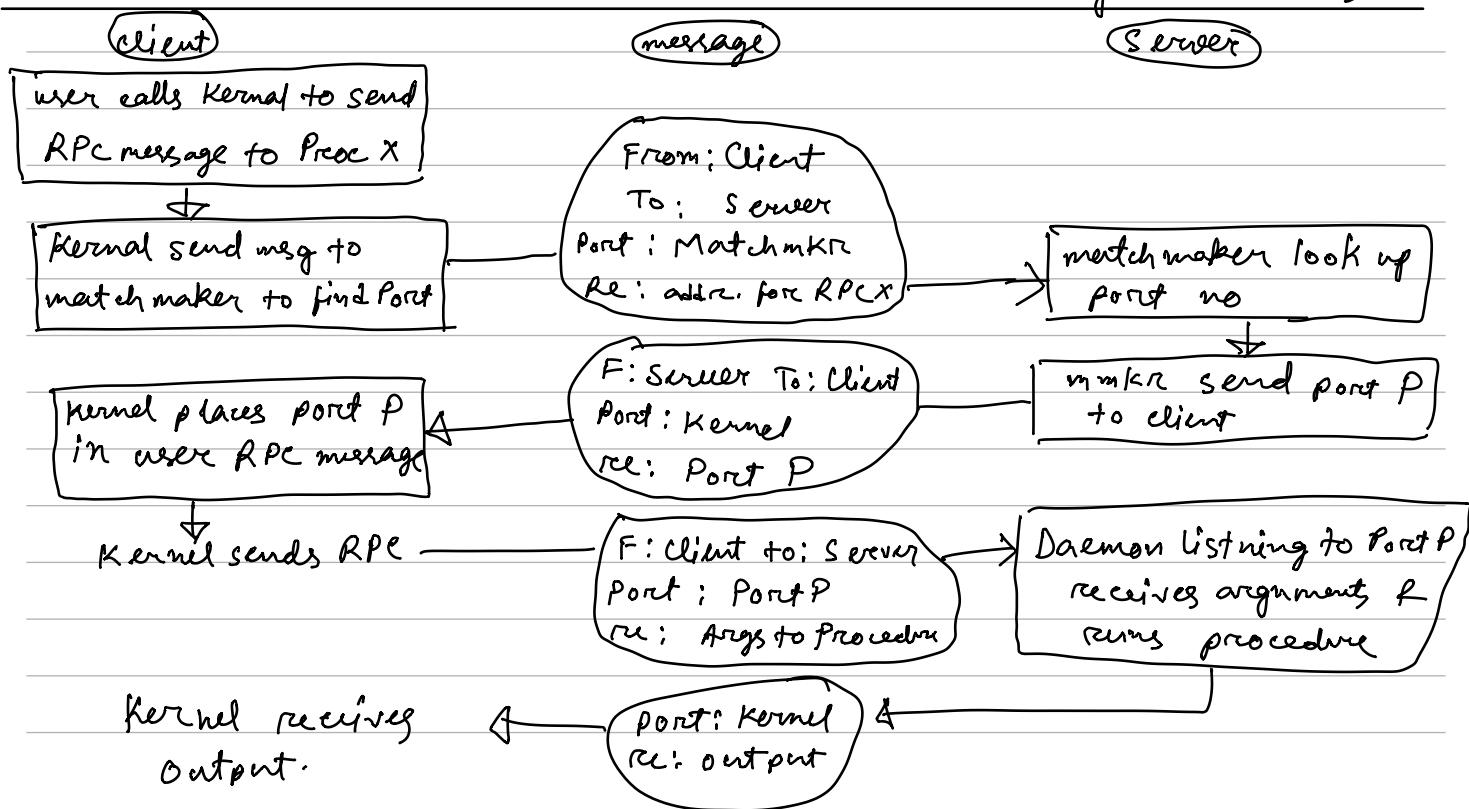
② Procedure call can fail, reexecute multiple time.

OS ensures message is executed "exactly once" & not "at most once". Sending an ACK to tell procedure is executed once.

③ How client knows which port is for which procedure Name?

① By assigning fixed unchangeable Port no to procedure at compile time

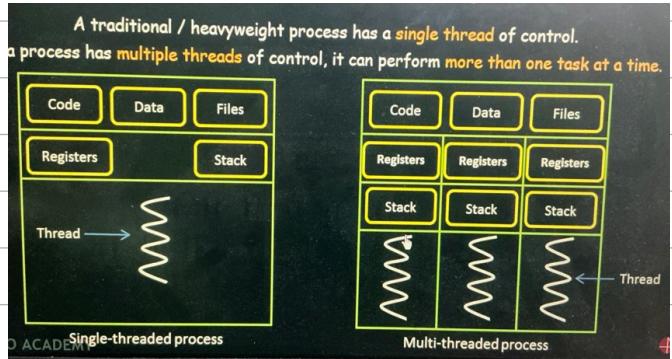
② By Rendezvous mechanism / (match making mechanism).



0 Thread

Thread is a basic unit of CPU utilization.

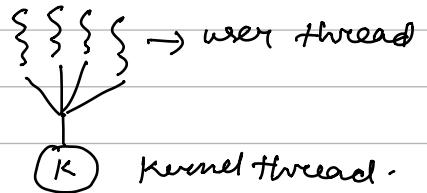
- ✓ Comprises of Thread ID, Program Counter, Register set, A Stack.
- ✓ Code, data, OS resources like open file, signals can be shared between threads of same process.



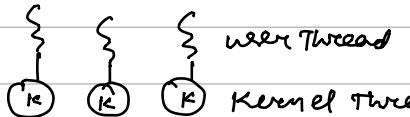
Types of Threads

- User Threads Kernel Threads
above Kernel level supported and managed by OS
without Kernel Support

Relation between User and Kernel Thread :



① Many to One model :

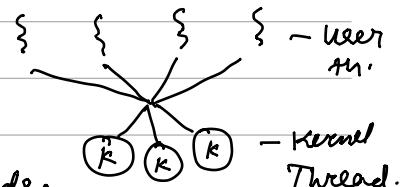


② One to One model :

③ Many to many model :

↳ Multiplexes many user threads to

a smaller or equal no. of kernel threads.



→ Name by Intel.

Simultaneous Multithreading (SMT) / Hyperthreading :

SMT systems allows their processors to become multiple logical processors for performance.

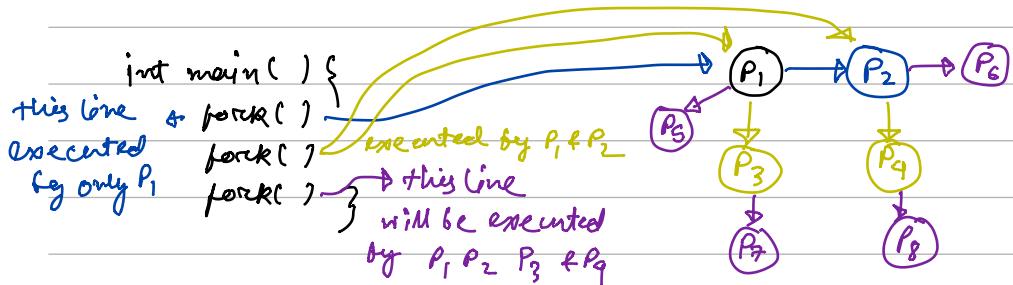
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Fork & Exec :

fork : duplicates the process.

exec : the program specified in the parameter to exec() will replace the entire process including all threads.

↳ Process ID remains same.



```
// ex1.c
{ print("in ex1", getpid())
  execv("./ex2", args)
  print("back to ex1")
  return 0
}
```

```
ex2
{ print("in ex2", getpid())
  return 0
}
```

↳ O/P:
in ex1 2561
in ex2 2561
Both these have
same PID.

* UNIX has 2 types of Forks. → ① which duplicate all threads

② " doesn't " ↴ ,

- if exec() is followed immediately after fork ② is better option.

Thread Cancellation :

① Asynchronous Cancellation : Another th immediately terminate the target thread.

Quick ↴

② Deferred Cancellation : Thread periodically checks if it can terminate itself & terminates in a orderly manner.

✓
Safer but
not quick

CPU Scheduling

process execution consists of cycle of CPU execution and I/O wait (CPU + I/O Burst)

Preempt means "Take action in order to prevent from happening"
preemptive = Hard

□ CPU Scheduler:

whenever the CPU becomes idle short-term Scheduler (CPU scheduler) selects any one among the ready processes.

□ Dispatcher: Dispatcher is the module that gives control of the CPU to the process selected by the scheduler. (Time taken by dispatcher to stop one process & start another called Dispatch latency).

O Circumstances when CPU Scheduling may Occur:

Non Preem ① Process switches from Running to waiting State.

Preemp ② " " " Running " Ready " (interrupt)
(Round Robin / OS interrupt)

Preemp ③ , " " Waiting " Ready " (I/O complete)

Non Preem ④ " Terminates.

① + ④ choice is easy schedule another process. → Non preemptive (cooperative)

② + ④ none choice to schedule which process → preemptive
(Non Cooperative)

Preemptive: required in scenario like higher priority job comes.

↳ Failure: If one process writing on memory then another process arrives & disturbs.

O Scheduling Criteria :

- ① CPU utilization : Ranges from 0% to 100%
- ② Throughput : $\frac{\text{Processes Completed}}{\text{unit Time}}$
- ③ Turnaround Time : Time of Submission of a process to time of completion
(waiting to get CPU + execution + I/O + ... until termination.)
- ④ Waiting Time : Time spent in Ready Queue.
- ⑤ Response Time : Time from submission of request until first response is produced.
↳ After starting executing process may keep generating multiple responses.

□ First Come First Serve : FIFO is maintained.

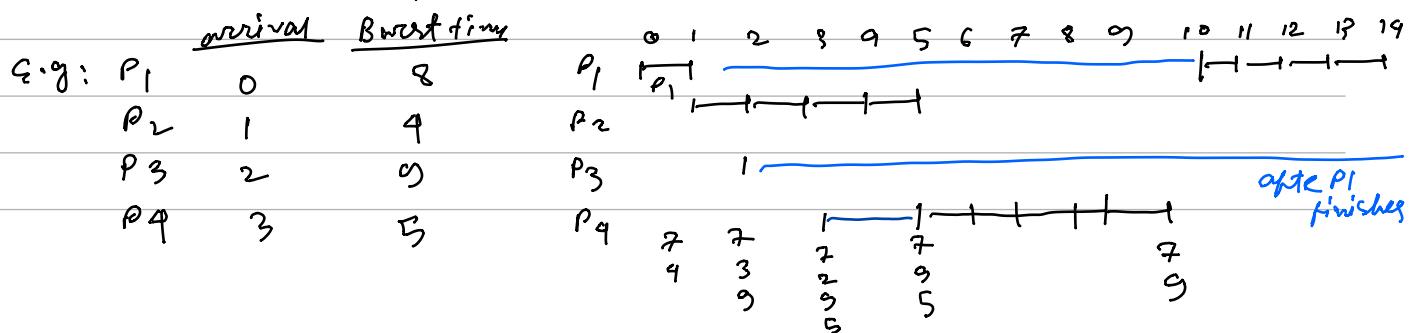
- Avg. waiting time varies heavily. (if process's CPU burst time vary heavily)
- FCFS is non Preemptive (Cooperative)
- Not good in time Sharing System.
- Convoy Effect : Smaller processes waiting behind Large one.

$$\text{Turnaround Time} = \text{Completion Time} - \text{Arrival time}$$

$$\text{Waiting Time} = \text{Turnaround Time} - \text{Burst Time}$$

□ Shortest Job First ; If 2 process in Ready Queue having (shortest Next CPU Burst) same smallest next CPU Burst then FCFS is used to break Tie.

○ Can be preemptive or non preemptive



For Preemptive Scheduling

$$\text{Waiting Time} = \text{Total Waiting Time} - \frac{\text{No. of Time Process Executed}}{\text{Arrival Time}}$$

* Preemptive SJF == Shortest Remaining Time First Scheduling.

* Implementing SJF is difficult.

↳ How will scheduler know next CPU burst of process?

* Assumption: process's next CPU Burst will be similar length to its previous ones. by approximating the next CPU Burst.

Preemptive Scheduling: Turnaround Time = Completion Time - Arrival Time

□ Priority Scheduling:

SJF also priority scheduling
where Priority $\propto \frac{1}{\text{next CPU Burst Time}}$.

* Tie breaking with FCFS algorithm.

* Can be preemptive or non-preemptive.

○ A low priority process may be indefinitely Blocked by incoming higher priority processes. (Starvation)



solution to Starvation - Aging

(gradually increase the priority that wait in system for long.)

□ Round Robin Scheduling:

○ Designed especially for Time Shared System.

○ similar to FCFS but preemptive. (Switch between processes)

FIFO Ready Queue $P_1 P_2 P_3 P_4$

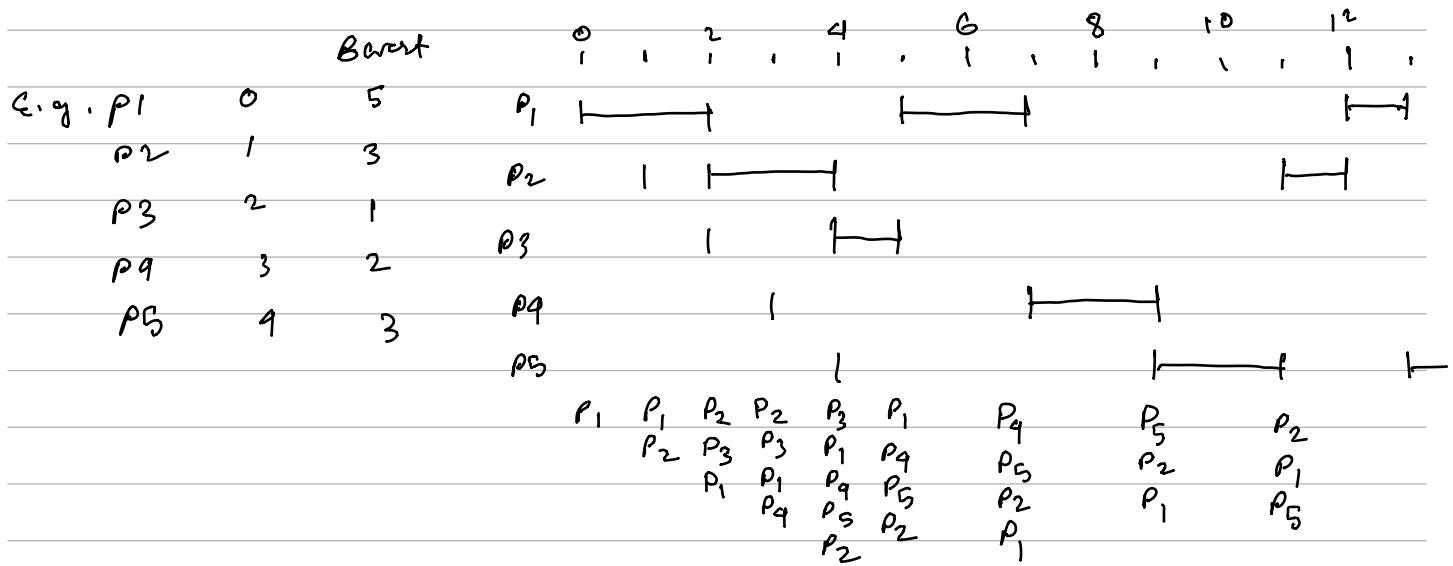
For RR

$$\text{Turnaround Time} = \text{Completion Time} - \text{Arrival time}$$

$$\text{Waiting Time} = \text{Turnaround Time} - \text{Burst Time}$$

$$= \text{Last Start Time} - \text{Arrival Time}$$

- Preemption \times Time Quantum
(no. of time it got CPU)



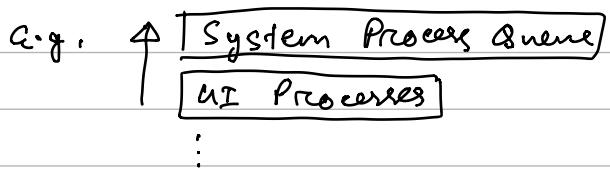
Multilevel Queue Scheduling:

* class of scheduling Algorithms

① Fore ground Processes (Interactive) (RR is used)

② Background Process (Batch) (FCFS may be used)

○ Processes are permanently assigned to one queue.



Multilevel Feedback Queue:

○ difference b/w MLQ & MLFQ is here Process can goto another q.
i.e. if process use too much CPU it should be moved to low-priority q.

O

Process Synchronization:

cooperative processes
→ Share memory
→ Pass message

□ Critical Section problem \Rightarrow To have mutual exclusion, progress & bounded waiting.

O Peterson's Solution: Let the other process enter critical Section.

$twn = i \text{ or } j$ ^{→ 2 processes}.

$\text{flag}[i] = \text{True}$ $\text{flag}[j] = \text{True}$

code
in process i .

$\text{flag}[i] = \text{True}$

$twn = j$

while ($twn = j \text{ \&\& } \text{flag}[j]$);

(don't do anything)

//critical Section

$\text{flag}[i] = \text{False}$

//exit CS ✓

O Hardware based Solution : Test & Set Lock :

```
bool testSet( bool *target)  
  bool rv = *target;  
  *target = true;  
  return rv
```

```
while ( TestSet(&Lock) ) ;  
      (nothing)  
    //then critical section.  
    Lock = False;  
  //exit CS ✓
```

* satisfies mutual exclusion

* If there are multiple Processes, Starvation may occur.
(bounded waiting is not satisfied)

O

Semaphore : (proposed by Dijkstra)
(s denotes a single integer value -

wait () or p () "Test"

signal () or v () "increment"

```
p(Semaphore s){  
  while (s <= 0);  
  s--; }
```

```
v(Semaphore s){  
  s++; }
```

Types of Semaphores:

① Binary Semaphores : S value 0 or 1. also called mutex locks.

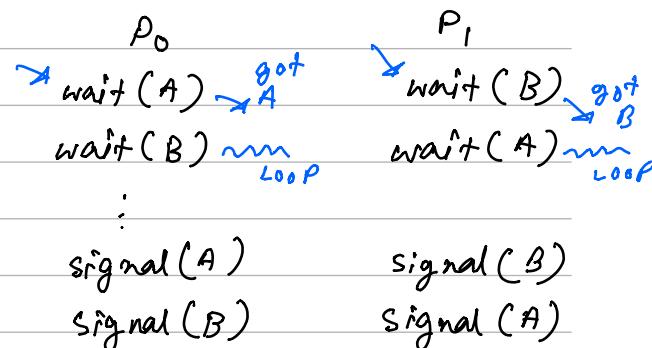
② Counting Semaphores : Unrestricted. usually equal to no. of processes.

* Semaphores wastes CPU cycle called Spin lock.

↳ Solution: Make use of system call to put the process from running (Ready) queue to waiting q (change state of process)
obviously it's to be implemented via hardware.

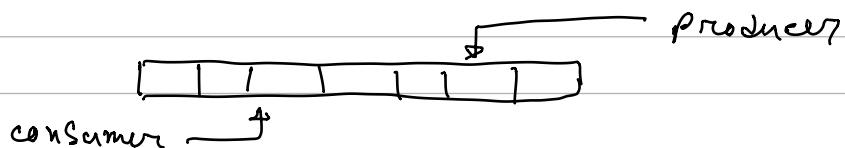
③ Deadlocks:

waiting finite (Starvation) infinite (Deadlock)



④ Using Semaphores to Solve Classic Synchronisation Problems:

① Bounded Buffer Problem / Producer - Consumer Problem.



Solⁿ: semaphore sem, empty, full ; 3 integers .

if empty = 0 producer waits if full = 0 consumer waits.
both checks sem before reading/writing .

② Readers - Writers Problem :

in a database reader = read writer = read & write .
on same data if ① ②
Read Read ✓
Write Read X } problematic .
write write X }

Solution :

```
Semaphore sem, wrt ;
int readerCount ;
```

* Idea if wrt is free writer can write

if readerCount == 0 then free the wrt

↳ Sem is used for updating this variable.

wait(wrt)

/ write

signal(wrt)

wait(Sem)

reader++;

if (reader == 1) wait(wrt)

signal(Sem)

// READ

wait(Sem)

reader--;

if (reader == 0) signal(wrt)

signal(Sem)

③ Dining Philosophers Problem:

Solⁿ: Semaphore sems [5]

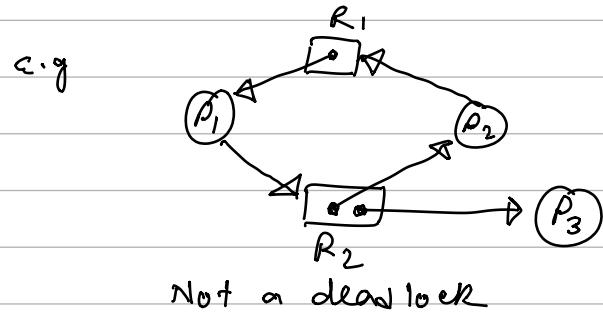
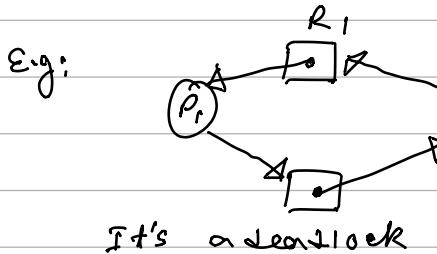
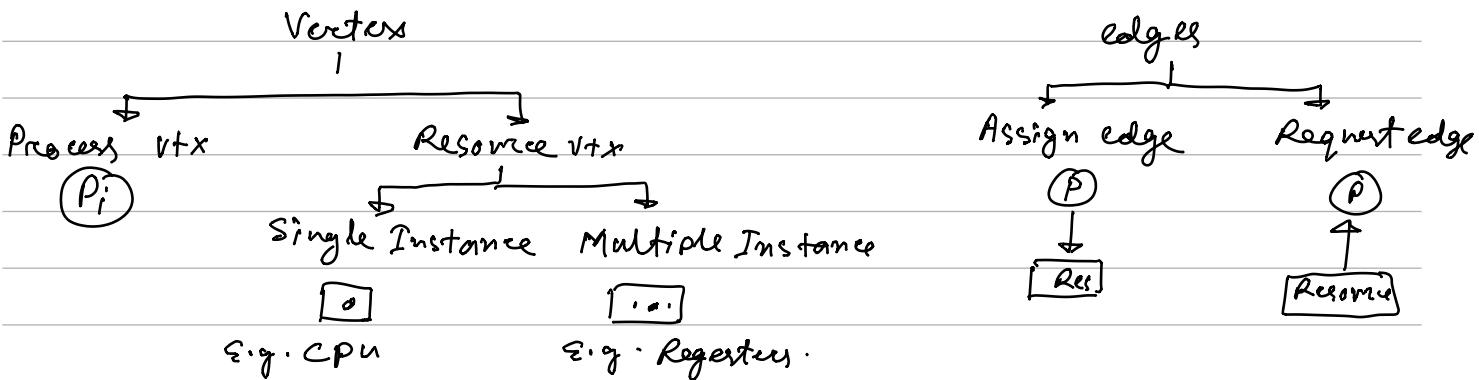
ith philosopher will hold i, i+1th sem & then signal both.



Solution for deadlock: Odd philosopher first pick his left even " " " " " right.

① Understanding Deadlocks

Resource Allocation Graph (RAGe):



* Cycle is not a determining factor of Deadlock if multiInstance resource is there.

* after P_3 Terminates P_1 gets one resource of R_2 .

② Handling Deadlock:

① Ignore (Ostrich method)

* most of the OS, windows, linux ignores for performance.

② Prevention \rightarrow eliminate any one or all of the conditions

ME, NP,
H+H, Cwait.

③ Avoidance (Banker's Algo)

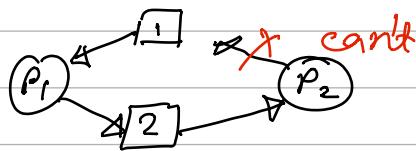
④ Detection & Recovery \Rightarrow if there's deadlock Kill any one process.

Prevention by not having circular wait

process cannot ask for low-priority resource

$P_1 \rightarrow 1$ Printer

$P_1 \rightarrow 2$ Register
 $P_2 \rightarrow 3$ Scanner



O

Banker's Algorithm

for Deadlock Avoidance, Deadlock Detection

Total resources, $A = 10$, $B = 5$, $C = 7$

Processes	Allocated already			max need			available ^{current}	remaining need		
	A	B	C	A	B	C		A	B	C
P_1	0	1	0	2	5	3	3 3 2 ①	7	1	3 ④ ✓
P_2	2	0	0	3	2	2	5 3 2 ②	1	2	2 ① can take the reqmt
P_3	3	0	2	9	0	2	7 9 3 ③	6	0	0 ③ ✓
P_4	2	1	1	4	2	2	7 9 5 ④	2	1	+ ② can take
P_5	0	0	2	5	3	3	7 5 5 ⑤	5	3	+ ③ can take
	<u>7 2 5</u>			<u>10 5 10</u>						

already allocated ↑

$P_2 \rightarrow P_4 \rightarrow P_5 \rightarrow P_1 \rightarrow P_3$

it's not a unique seq. ↗ (Safe Sequence)

Memory Management

↳ management of primary memory.

↳ To increase degree of multiprogramming / as many no. of processes in the RAM.

OS/ 4 GB Ram

2 4 GB Process

> Process does 70% time I/O

$$\text{CPU Utilization} = 1 - \frac{7}{10} = \frac{3}{10} = 30\%$$

8 GB Ram

2 Processes of 9 GB

> 70% I/O.

$$\begin{aligned}\text{CPU Utilization} &= 1 - \frac{7}{10} \times \frac{7}{10} \\ &= \frac{51}{100} \\ &= 51\%\end{aligned}$$

Memory Management Techniques

Contiguous

Fixed Partitioning
(static)

Variable Partitioning
(Dynamic)

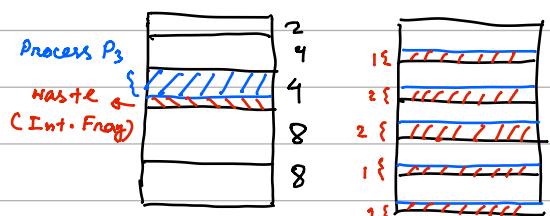
Non Contiguous

Paging
Multi-level Paging
Inverted Paging
Segmentation
Segmented Paging

① Fixed Partitioning

o Internal Fragmentation

o Limitation on number of Process.



o External Fragmentation.

(Whenever Internal Frag then External Frag also occurs)

empty = 7 GB but can't accommodate another 4 GB Proc.
(External Frag.)

② Variable Partitioning:

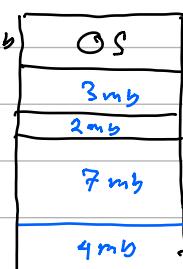
o No Internal Frag.

o No max limit of process size or no. of processes

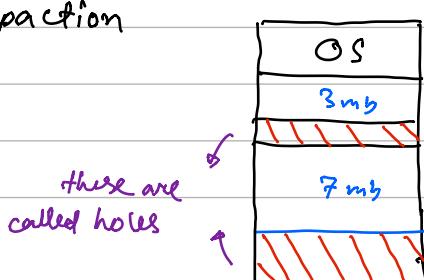
o External Fragmentation still occurs.

↳ Solution: Compaction

Incoming process 3mb, 2mb, 7mb, 9mb



These are
called holes



6 mb space is free but
can't accommodate incoming
5 mb Process.
(Ext. Frag.)

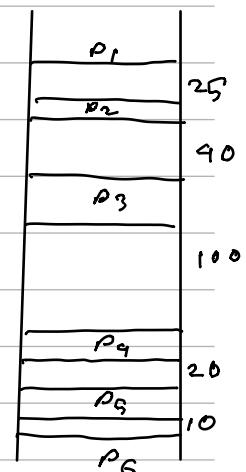
□ methods of assigning incoming process to available hole:

generally & ^{fastest} ① First - Fit : First Big enough hole search from start.

② Next - Fit : Have a pointer to continue from onwards the last assigned hole.

③ Best - Fit : The hole where internal frag. is min.

④ Worst - Fit : The hole where max memory left over.



Non Contiguous Memory Allocation :

idea : any incoming Process's data to be divided in the holes.



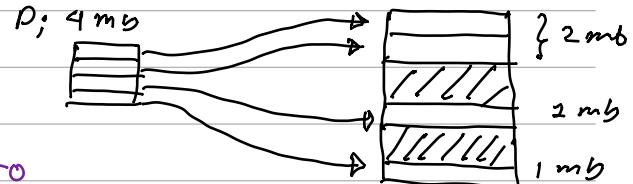
But, This is process is time Consuming .

The solution is ,

✓ Divide the Process's data in Parts before hand (called pages)

✓ Already Partition the Main memory into Parts (called Frames)

size of Page = size of Frame



o CPU asks MMU (memory management Unit) for Main memory Location of ith Page of a Process, using help of page table.

o Each process has its own page table

↳ Mapping of ith page to jth frame.

P₁

0	0	1	2	3
1	4	5	(6)	7
2	8	9	10	11

12 Byte Process

0	0	1	2	3
1	9	5	6	7
2	8	9	(10)	11
3	12	13	19	15
4	16	17	18	19
5	20	21	22	23
6	24	25	26	27
7	28	29	30	31

32 Byte Ram

⇒ CPU wants what is at 6th Byte of Process P₁

Logical Address = 6 =

0	1	1	0
---	---	---	---

↳ page no offset

Since there are

3 Page 2 Bit Page no.

CPU query → MMU → 2

frame[1]

i.e. 001

Memory location =

001	01
-----	----

 ↳ Same as Logical Address Offset.

Since there are

8 Frames in Memory 3 Bit Representation

⇒ Logical Address 9 == Memory address 29

10	01
----	----

Page (2) offset = 1

111	101
-----	-----

frame (7) offset = 1

This mapping is Done by MMU using PageTable-

□ Page Table entries:

any Page Present or not?

Used for LRU

To check if this page is modified in Memory but not in Hard Disk.

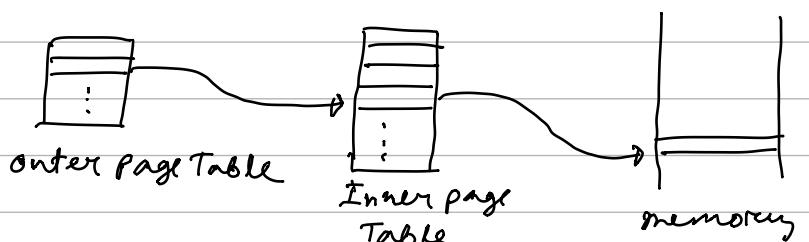
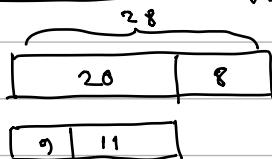
Frame No.	valid(1)/ invalid(0)	Protection (R w x)	Reference 0/1	Caching enable/ disable	Dirty
-----------	----------------------	--------------------	---------------	-------------------------	-------

mandatory

optional.

○ Multi Level Paging:

When page table Address number exceeds too much more than what can fit in memory



① Inverted Page Table:

cpu
CPU linear Searches
on whole page Table
(extremely inefficient)

global Page table

frame no.	Page no.	PID
0	P ₀	P ₁
1	P ₁	P ₁
2	P ₀	P ₂

} # entry ==
} # frame in Main mem.

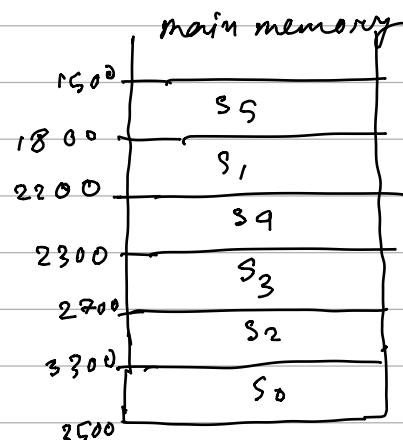
② Segmentation : —

Idea: Paging Divides the program without context.

Inefficient if a function is revisited $\frac{1}{2}, \frac{1}{2}$ coz
cpu has to fetch twice the data.

Segmentation — Devide the process according to content.

Segment no.	Base Addr	Size
0	3300	200
1	1800	400
2	2700	600
3	2300	400
4	2200	100
5	1500	300



CPU asking logical addr $\boxed{S \mid d}$
segment no. size

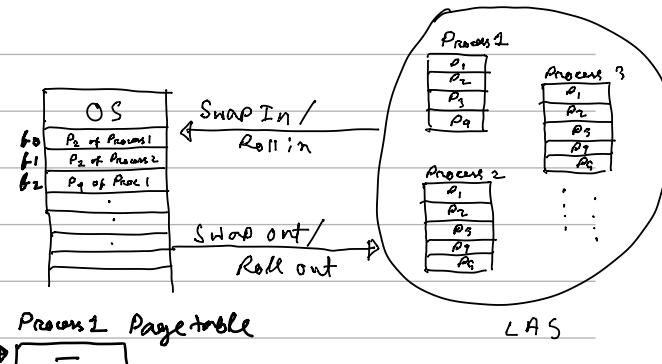
if $S = 2$ $d = 600$, go to 2700 in Main mem & fetch
2700 to 3299 Data.

□ Overlay: Method of fitting larger process in memory.
(process size > memory available).



Virtual Memory:

Idea: CPU thinks all processes are present in its whole in the main memory.



* when CPU asks for P_1 of Process 1 (Page Fault)

↳ Resolving Page Fault:

- ① OS Trap, control from user mode to OS.
- ② OS checks if P_1 is valid in Hard Disk.
- ③ Bring data from HD to MM & update P_1 in PageTable.
- ④ Control back to user mode.

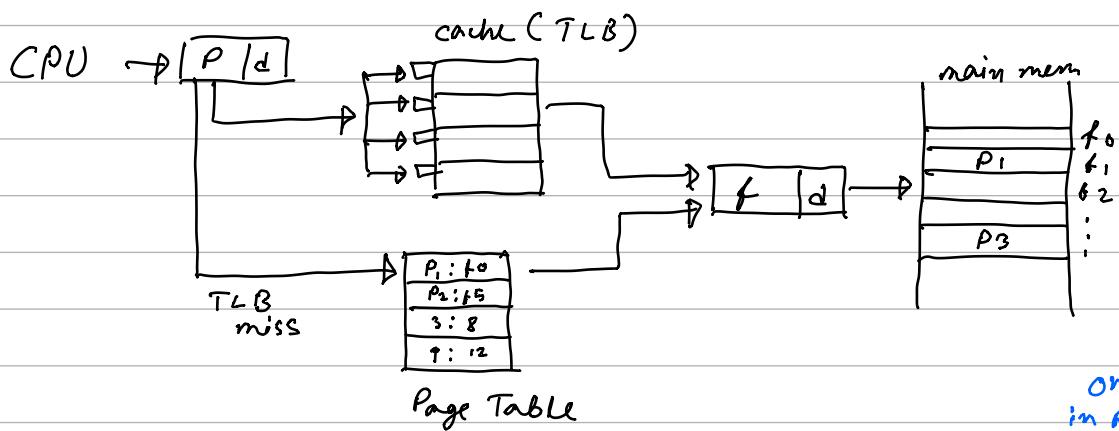
O Effective Memory Access Time:

$$EMAT = P \times \frac{\text{page fault service Time}}{\text{main memory access time.}} + (1 - P) \times \underbrace{P \times (PFST + mm access time)}_{\text{But this is negligible compared to PFST.}}$$

Translation Lookaside Buffer (TLB):

↳ commonly known as Cache.

Idea: Process page table resides in main memory, Instead store page table in Cache.



Once for finding
in page Table one for
actual frame.

$$EMAT = \# \text{Hit} (TLB + \frac{mm \text{ Access Time}}{Access Time}) + \# \text{miss} (TLB + \frac{mm \text{ Access Time}}{Access Time} \times 2)$$

○ Page Replacement Algorithm:

① FIFO

② Optimal Page Replacement

③ LRU

④ MRU
(most Recently used)

cache



* this page replaced by 9

2, 1, 0, 3, 4, 2, 0, 3, 2, 1, 2, 7



□ Belade's Anomaly for FIFO Algo:

case 1



case 2



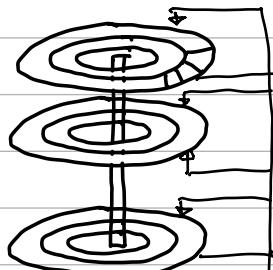
Page query: 1, 2, 3, 4, 1, 2, 5, 1, 2, 3, 4, 5

3 Frame cache Hit 3
 miss 9

4 frame Cache Hit 2
 miss 10

* Occurs only for FIFO algorithm.

O Disk Architecture:



Actuator Arm

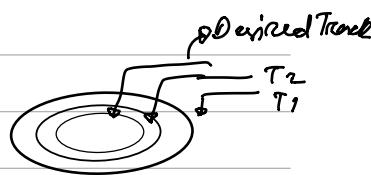
platters \rightarrow Surface \rightarrow Tracks \rightarrow Sectors \rightarrow Data
 no. = 8 no. = 2 no. = 256 no. = 512 each sector
 512 KB Data

$$\text{Total Data} = 8 \times 2 \times 256 \times 512 \times 512 \text{ KB}$$

Read write Actuators Both Surface
of Disk

O Seek Time: Time Taken to reach Desired Track

O Disk Scheduling Algorithm to minimize Seek Time:



① FCFS

② SSTF (shortest seek time first)

③ SCAN

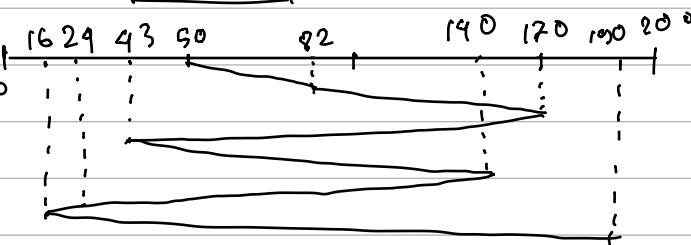
④ LOOK

⑤ CSCAN (circular SCAN)

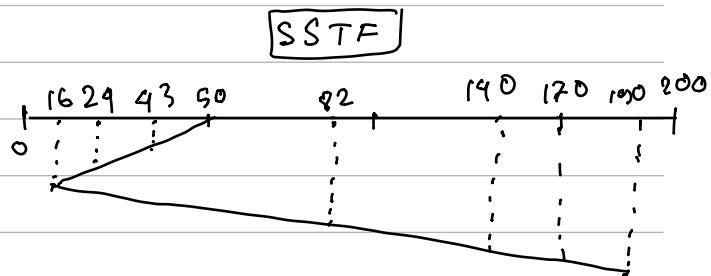
⑥ CLOOK (circular LOOK)

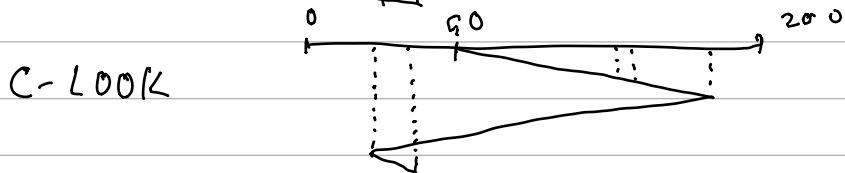
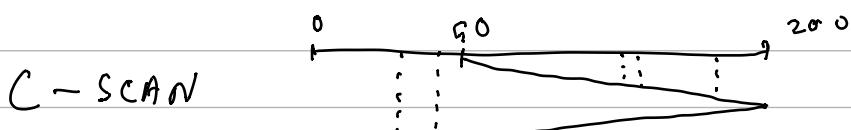
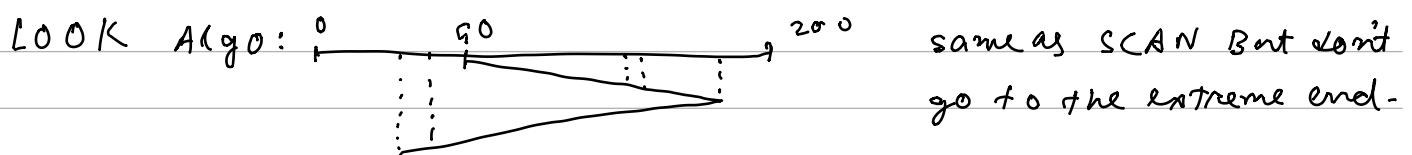
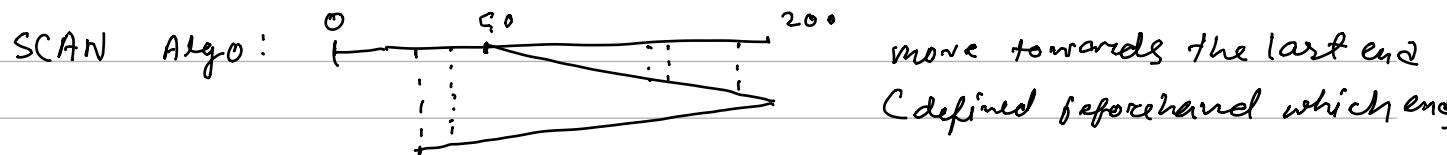
Eg: already received Track Request 82, 170, 43, 140, 29, 16, 190
 & Track Head currently at Track 50.

FCFS



SSTF

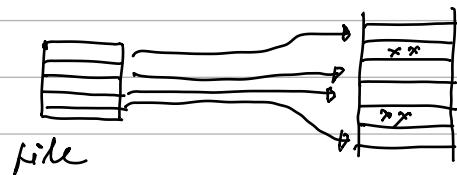




File System in OS:

↳ How Data is Stored & Fetched.

Basically when a file is saved it's divided into Blocks & stored in the Disk



Allocation Methods

Contiguous Allocation

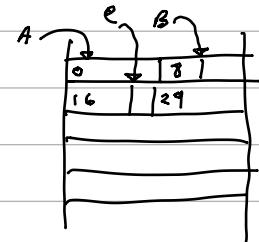
Linked List Allocation

Indexed Allocation

Contiguous Allocation:

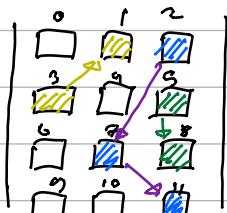
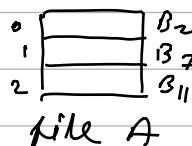
- Internal & External Frag.
- Difficult to grow file.

Dictionary		
file	start	size
A	0	8
B	10	12
C	22	12



Linked List Allocation:

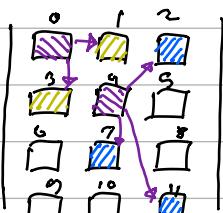
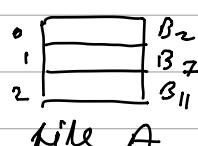
- No external Frag
- File size can increase
- Large Seek Time.
- Random / Direct access Difficult
- Overhead of pointers.



Dictionary		file	start
		A	2
		B	3 → 1
		C	5 → 8 → 10

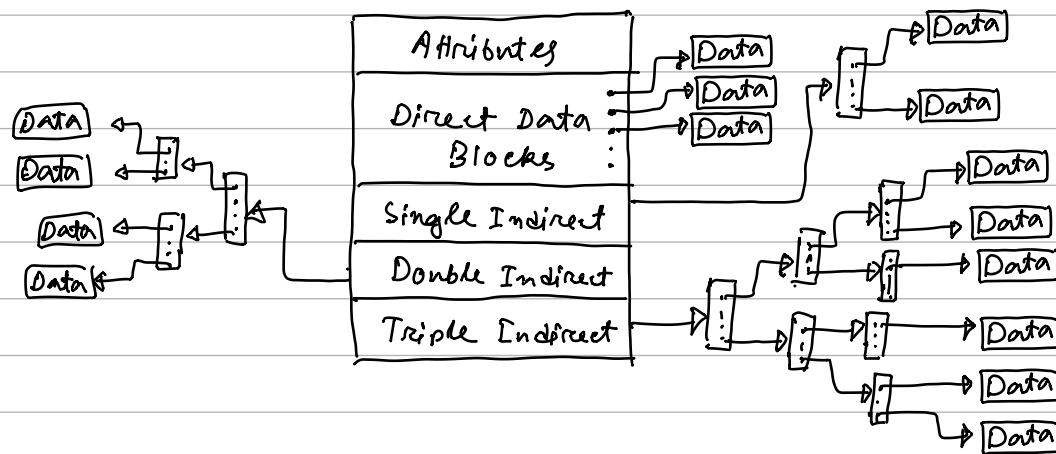
Indexed Allocation:

- No external Frag.
- Unlike Linked list, support Direct Access
- Pointer Overhead
- Multilevel Indexing.



Dictionary		file	Idx Block
		A	9
		B	0
		C	10 → 8 → 5

UNIX Inode Structure:



E.g. size of Disk Block = 128 Byte, Address space of Disk is 8 Byte
in 1 block max 16 address space can fit.

* if the Inode has 1 single, double & triple indirect + 7 direct block
max possible file size = $(7 + 16 + 16 \times 16 + 16 \times 16 \times 16) \times 128$

no. of Addresses Block size of 1 address.