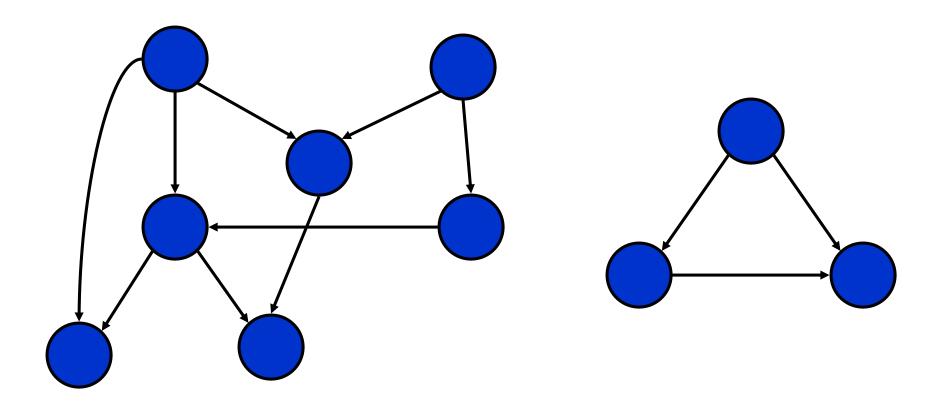
### Graph Algorithms

#### Directed Acyclic Graphs

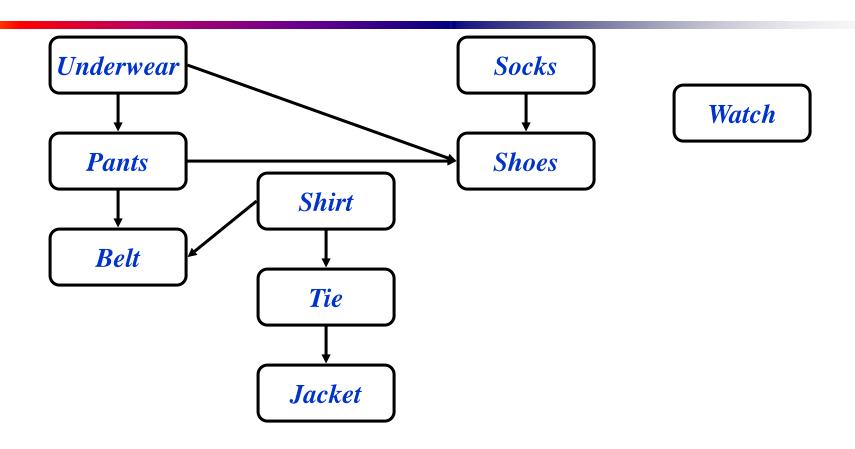
A directed acyclic graph or DAG is a directed graph with no directed cycles:



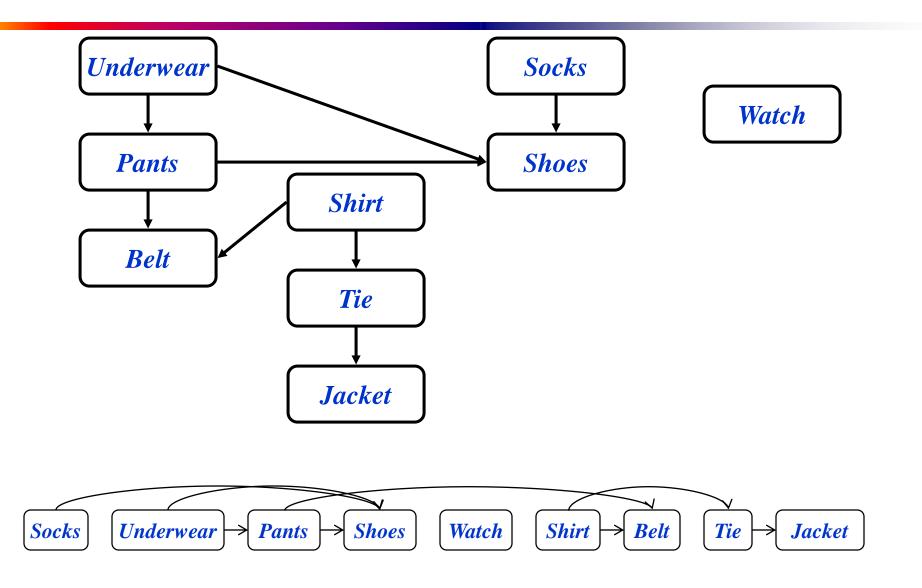
#### **Topological Sort**

- > Topological sort of a DAG:
  - Linear ordering of all vertices in graph G such that vertex u comes before vertex v if edge  $(u, v) \in G$
- Real-world example: getting dressed

# **Getting Dressed**



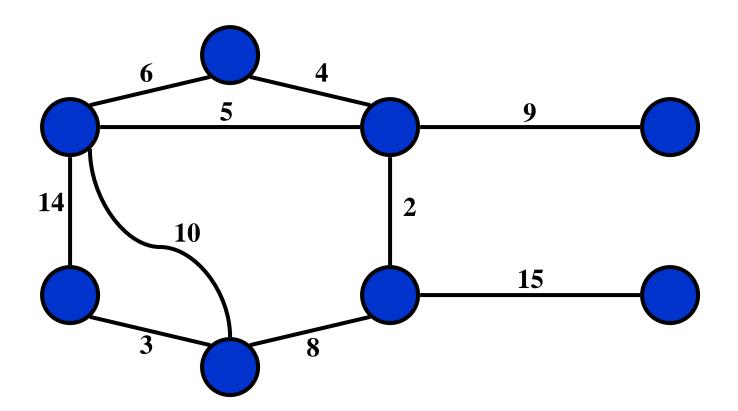
#### **Getting Dressed**



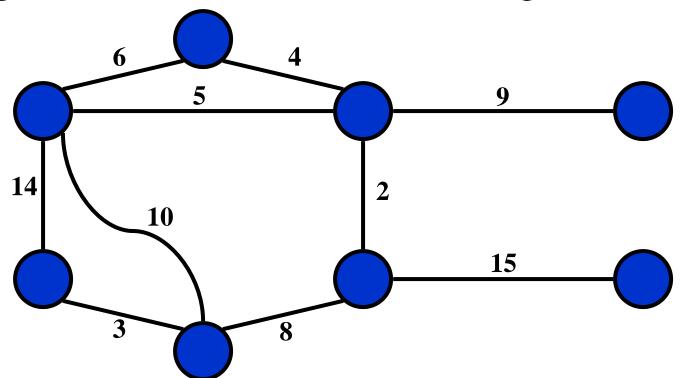
#### **Topological Sort Algorithm**

```
Topological-Sort()
   Run DFS
   When a vertex is finished, output it
   Vertices are output in reverse
     topological order
\rightarrow Time: O(V+E)
> Correctness: Want to prove that
      (u,v) \in G \Rightarrow u \rightarrow f > v \rightarrow f
```

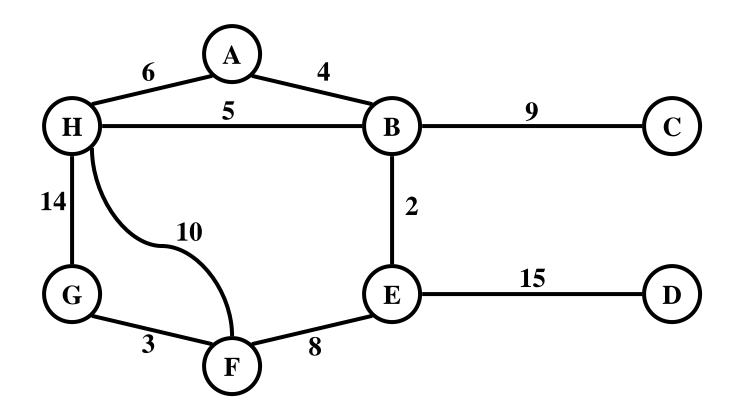
Problem: given a connected, undirected, weighted graph:



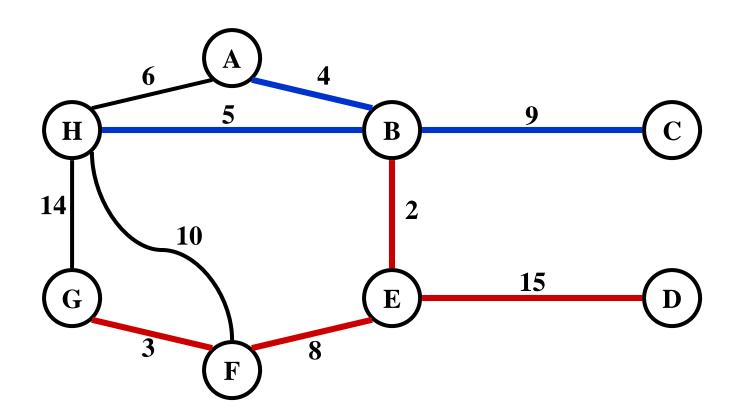
➤ Problem: given a connected, undirected, weighted graph, find a *spanning tree* using edges that minimize the total weight



➤ Which edges form the minimum spanning tree (MST) of the below graph?



> Answer:

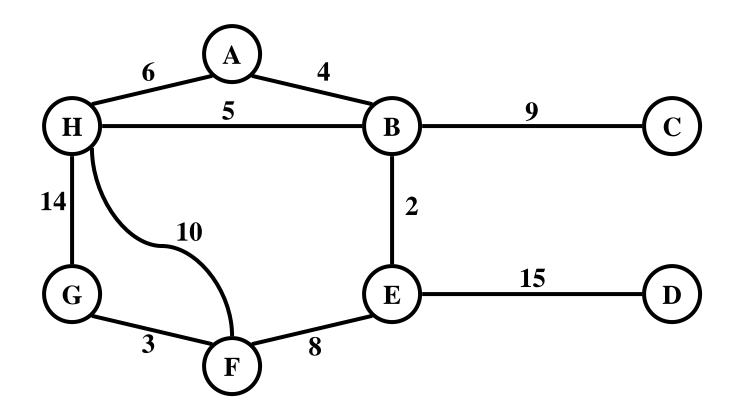


- ➤ MSTs satisfy the *optimal substructure* property: an optimal tree is composed of optimal subtrees
  - Let T be an MST of G with an edge (u,v) in the middle
  - Removing (u,v) partitions T into two trees  $T_1$  and  $T_2$
  - Claim:  $T_1$  is an MST of  $G_1 = (V_1, E_1)$ , and  $T_2$  is an MST of  $G_2 = (V_2, E_2)$  (Do  $V_1$  and  $V_2$  share vertices? Why?)
  - Proof:  $w(T) = w(u,v) + w(T_1) + w(T_2)$ (There can't be a better tree than  $T_1$  or  $T_2$ , or T would be suboptimal)

- > Thm:
  - Let T be MST of G, and let  $A \subseteq T$  be subtree of T
  - Let (u,v) be min-weight edge connecting A to V-A
  - Then  $(u,v) \in T$

- > Thm:
  - Let T be MST of G, and let  $A \subseteq T$  be subtree of T
  - Let (u,v) be min-weight edge connecting A to V-A
  - Then  $(u,v) \in T$
- > Proof: in book (see Thm 24.1)

➤ Which edges form the minimum spanning tree (MST) of the below graph?



```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
         key[u] = \infty;
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                          14
         key[u] = \infty;
                                   10
                                                     15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q); Run on example graph
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                  p[v] = u;
                  key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
                                      \infty
    while (Q not empty)
         u = ExtractMin(Q); Run on example graph
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                       14
        key[u] = \infty;
                              10
                                              15
    key[r] = 0;
    p[r] = NULL;
                                \infty
    while (Q not empty)
        for each v \in Adj[u]
            if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                p[v] = u;
                key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
     for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                       15
     key[r] = 0;
    p[r] = NULL;
                                       \infty
    while (Q not empty)
         u = ExtractMin(Q); Red vertices have been removed from Q
          for each v \in Adj[u]
               if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
     for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                       15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q); Red arrows indicate parent pointers
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
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```
MST-Prim(G, w, r)
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    for each u \in Q
                           14
         key[u] = \infty;
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    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
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```
MST-Prim(G, w, r)
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                           14
         key[u] = \infty;
                                   10
                                                      15
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    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
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         key[u] = \infty;
                                   10
                                                      15
    key[r] = 0;
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                   p[v] = u;
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MST-Prim(G, w, r)
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    for each u \in Q
                           14
         key[u] = \infty;
                                   10
                                                      15
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         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                   10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
                                                      9
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                   10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
                                                      9
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                   10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
                                                      9
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                   10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
                                                      9
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
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```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
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         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

```
MST-Prim(G, w, r)
    Q = V[G];
    for each u \in Q
                           14
         key[u] = \infty;
                                    10
                                                      15
    key[r] = 0;
    p[r] = NULL;
    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
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MST-Prim(G, w, r)
    Q = V[G];
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    while (Q not empty)
         u = ExtractMin(Q);
         for each v \in Adj[u]
              if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                   p[v] = u;
                   key[v] = w(u,v);
```

# Review: Prim's Algorithm

```
MST-Prim(G, w, r)
    Q = V[G];
                         What will be the running time?
    for each u \in Q
        key[u] = \infty; A: Depends on queue
    key[r] = 0;
                           binary heap: O(E lg V)
    p[r] = NULL;
                           Fibonacci heap: O(V \lg V + E)
    while (Q not empty)
        u = ExtractMin(Q);
        for each v \in Adj[u]
             if (v \in Q \text{ and } w(u,v) < \text{key}[v])
                 p[v] = u;
                 key[v] = w(u,v);
```

#### Disjoint-Set Union Problem

- Want a data structure to support disjoint sets
  - Collection of disjoint sets  $S = \{S_i\}$ ,  $S_i \cap S_j = \emptyset$
- > Need to support following operations:
  - MakeSet(x):  $S = S \cup \{\{x\}\}$
  - Union( $S_i$ ,  $S_j$ ):  $S = S \{S_i, S_j\}$  U  $\{S_i \cup S_j\}$
  - FindSet(x): return  $S_i \in S$  such that  $x \in S_i$
- ➤ Before discussing implementation details, we look at example application: MSTs

```
Kruskal()
   T = \emptyset;
   for each v \in V
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                            5
   for each v \in V
                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                        19
   T = \emptyset;
                                    25
                                               5
   \quad \text{for each } v \ \in \ V
                                         13
                           21
       MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
           T = T \cup \{\{u,v\}\};
           Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                           5
   for each v \in V
                                      13
                         21
      MakeSet(v);
 sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
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```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                            5
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                            5
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                          2?
                                     19
   T = \emptyset;
                                 25
                                            5
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                            5
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
                                            5?
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                    8?
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13?
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
                         14?
   T = \emptyset;
                                 25
   for each v \in V
                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
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```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
                                     17?
   T = \emptyset;
                                 25
   for each v \in V
                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                    19?
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                                      13
                         21?
      MakeSet(v);
   sort E by increasing edge weight w
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      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
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```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25?
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                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
      if FindSet(u) ≠ FindSet(v)
          T = T \cup \{\{u,v\}\};
          Union(FindSet(u), FindSet(v));
```

```
Run the algorithm:
Kruskal()
                                     19
   T = \emptyset;
                                 25
   for each v \in V
                         21
                                      13
      MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
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```
Run the algorithm:
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                                            5
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                                      13
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```
What will affect the running time?
Kruskal()
   T = \emptyset;
   for each v \in V
       MakeSet(v);
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```

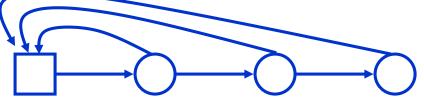
```
What will affect the running time?
Kruskal()
                                                  1 Sort
                                    O(V) MakeSet() calls
   T = \emptyset;
                                     O(E) FindSet() calls
   for each v \in V
                                      O(V) Union() calls
                             (Exactly how many Union()s?)
       MakeSet(v);
   sort E by increasing edge weight w
   for each (u,v) \in E (in sorted order)
       if FindSet(u) ≠ FindSet(v)
           T = T \cup \{\{u,v\}\};
           Union(FindSet(u), FindSet(v));
```

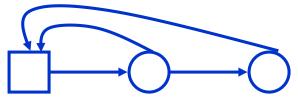
### Kruskal's Algorithm: Running Time

- > To summarize:
  - Sort edges: O(E lg E)
  - O(V) MakeSet()'s
  - O(E) FindSet()'s
  - O(V) Union()' s
- Upshot:
  - Best disjoint-set union algorithm makes above 3 operations take  $O(E \cdot \alpha(E, V))$ ,  $\alpha$  almost constant
  - Overall thus O(E lg E), almost linear w/o sorting

### Disjoint Set Union

- So how do we implement disjoint-set union?
  - Naïve implementation: use a linked list to represent each set:

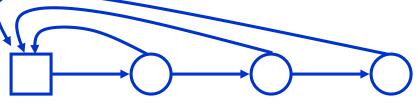


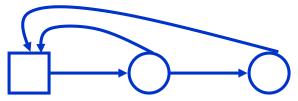


- MakeSet(): ??? time
- FindSet(): ??? time
- Union(A,B): "copy" elements of A into B: ??? time

#### Disjoint Set Union

- > So how do we implement disjoint-set union?
  - Naïve implementation: use a linked list to represent each set:





- MakeSet(): O(1) time
- FindSet(): O(1) time
- Union(A,B): "copy" elements of A into B: O(A) time
- How long can a single Union() take?
- How long will n Union() 's take?

### Disjoint Set Union: Analysis

➤ Worst-case analysis: O(n²) time for n Union's

```
Union(S_1, S_2) "copy" 1 element

Union(S_2, S_3) "copy" 2 elements

...

Union(S_{n-1}, S_n) "copy" n-1 elements

O(n^2)
```

- Improvement: always copy smaller into larger
  - Why will this make things better?
  - What is the worst-case time of Union()?
- But now n Union's take only O(n lg n) time!