

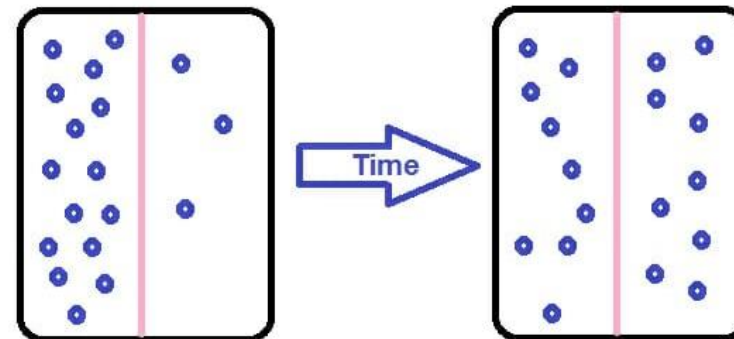
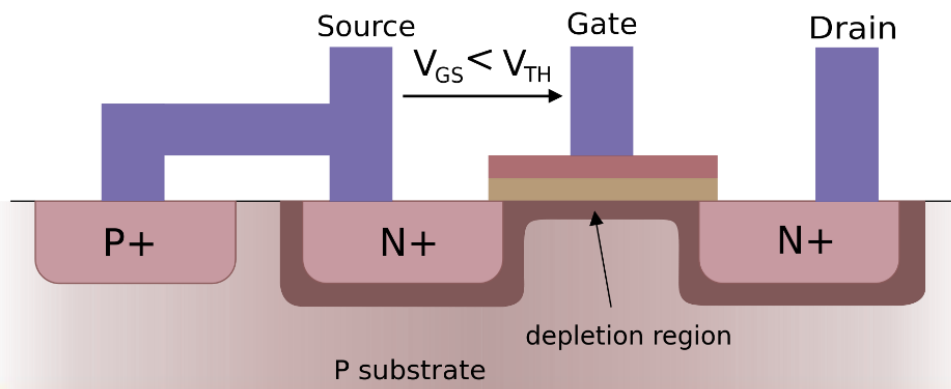
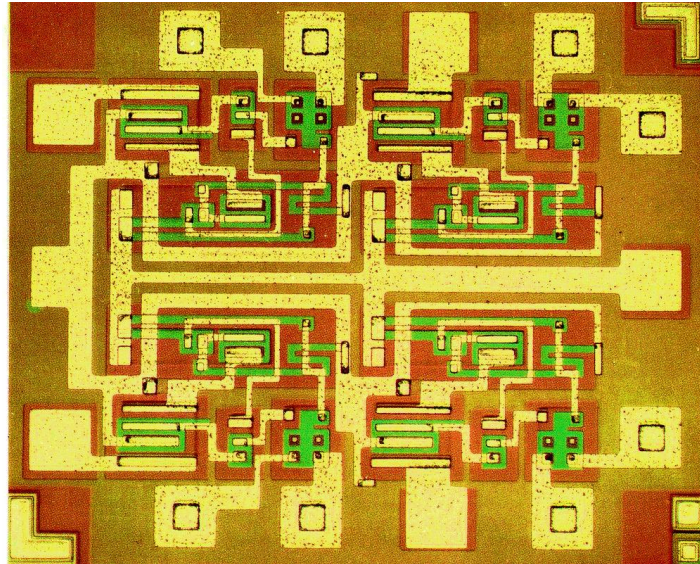


## Chapter 5: Diffusion (I)

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# What should be the properties of the **Steel Gear**?



**Case Hardening:** Hardening the surface of a metal by exposing it to impurities that diffuse into the surface region and increase surface hardness.

Common example of case hardening is **carburization of steel**. Diffusion of carbon atoms (interstitial mechanism) increases concentration of C atoms and makes iron (steel) harder.



# Chapter Outline

## Diffusion - how do atoms move through solids?

### ❑ Diffusion mechanisms

Vacancy diffusion

Interstitial diffusion

Impurities

### ❑ The mathematics of diffusion

**Steady-state diffusion** (Fick's first law)

**Nonsteady-State Diffusion** (Fick's second law)

### ❑ Factors that influence diffusion

Diffusing species

Host solid

Temperature

Microstructure

- **Why is it an important part of processing?**

- **How can the rate of diffusion be predicted for some simple cases?**

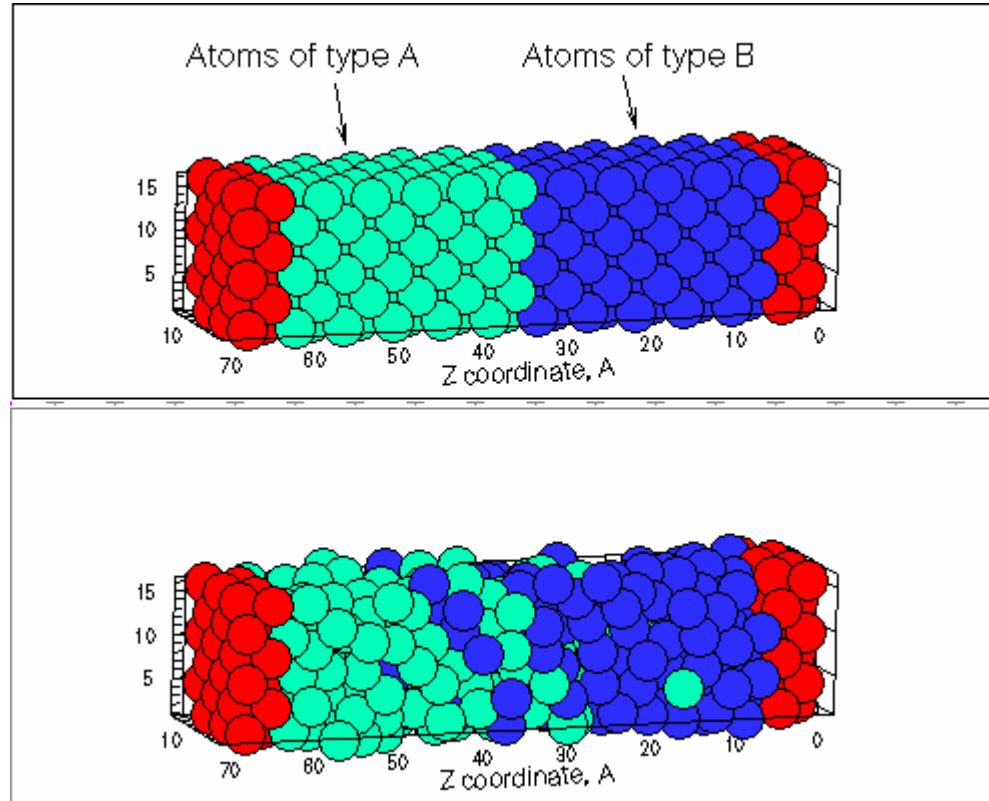






# What is diffusion?

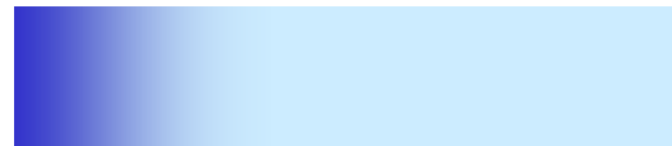
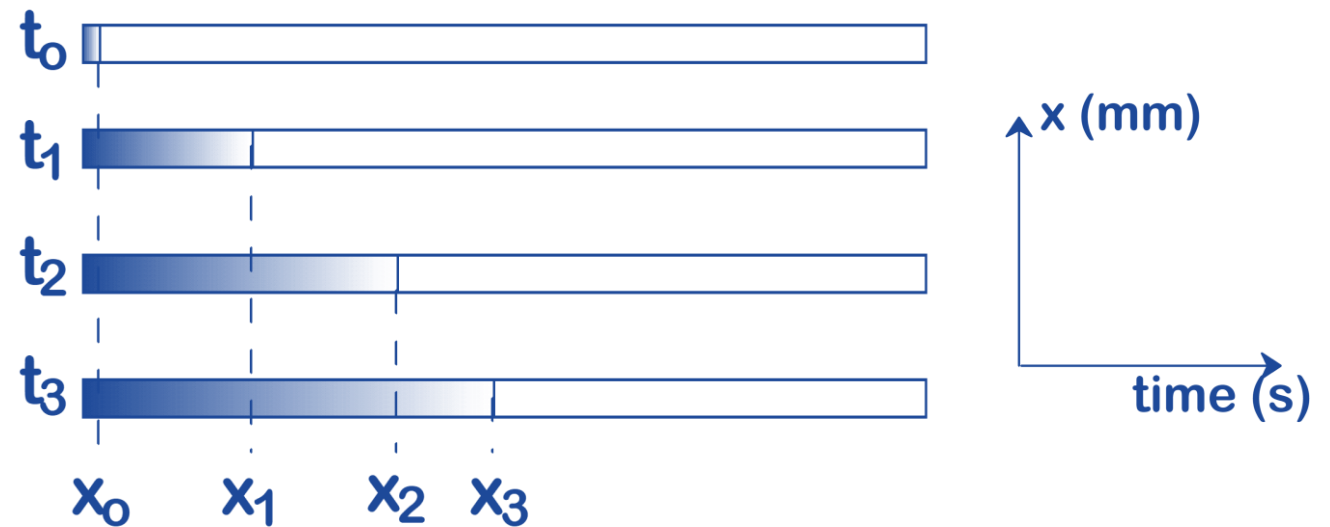
Diffusion is material transport by atomic motion.



Inhomogeneous materials can become homogeneous by diffusion. For an active diffusion to occur, the temperature should be high enough to overcome energy barriers to atomic motion.

Example:

- Glass tube filled with water.
- At time  $t = 0$ , add some drops of ink to one end of the tube.
- Measure the diffusion distance,  $x$ , over some time.
- Compare the results with theory.



Atoms here jump randomly both right and left

But there are not many atoms here to jump to the left

Why do the random jumps of atoms result in a flux of atoms from regions of high concentration towards the regions of low concentration?

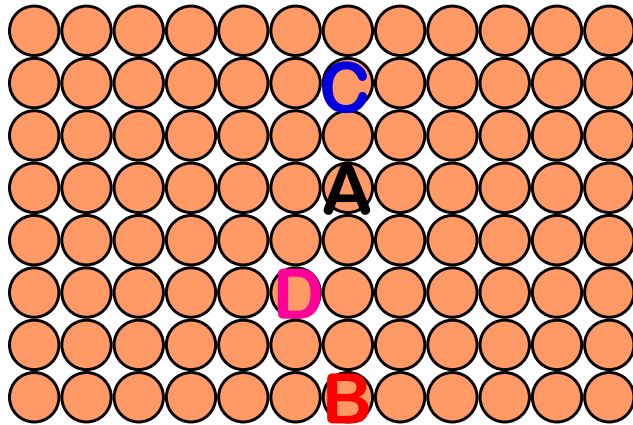


# Self-diffusion and Inter-diffusion

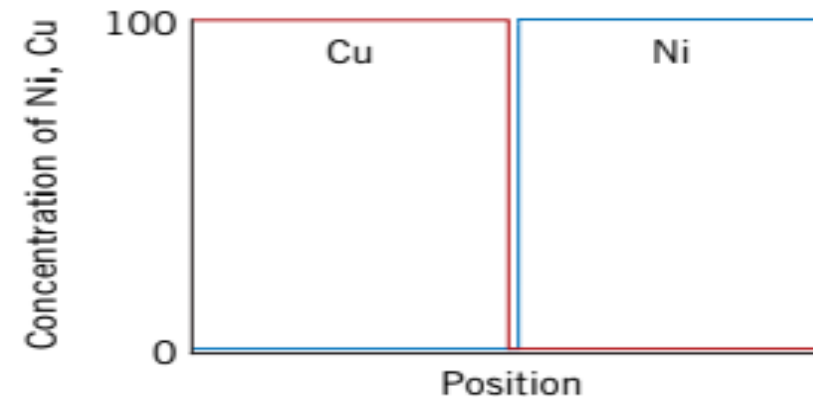
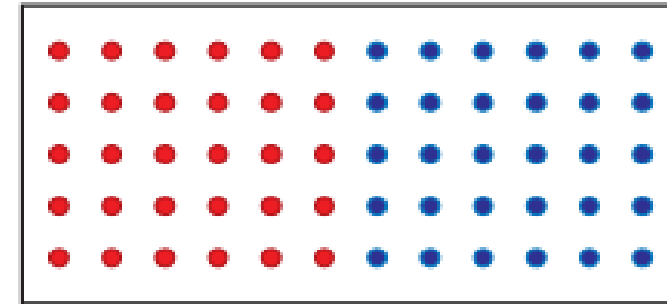
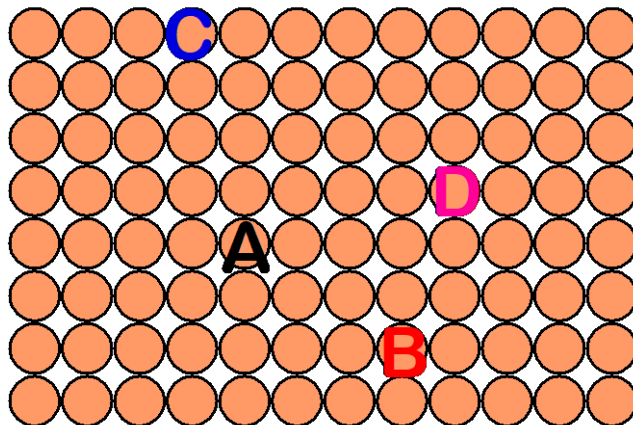
In an elemental solid, atoms also migrate.

**Self-diffusion** is diffusion in one-component material, when all atoms that exchange positions are of the same type.

Label some atoms



After some time

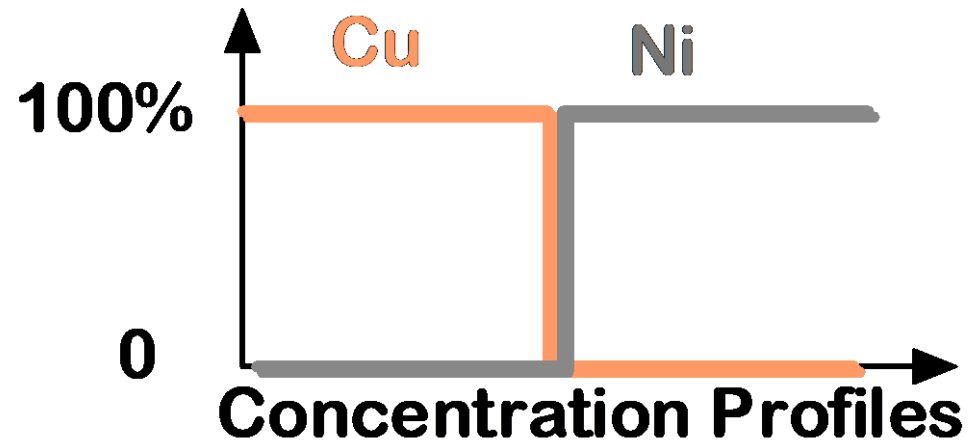
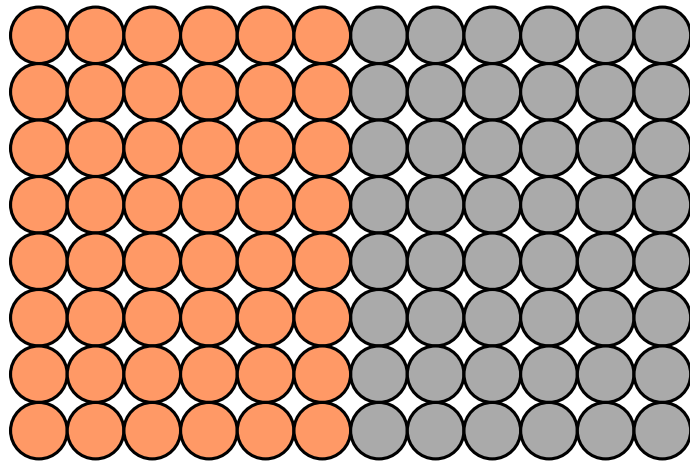




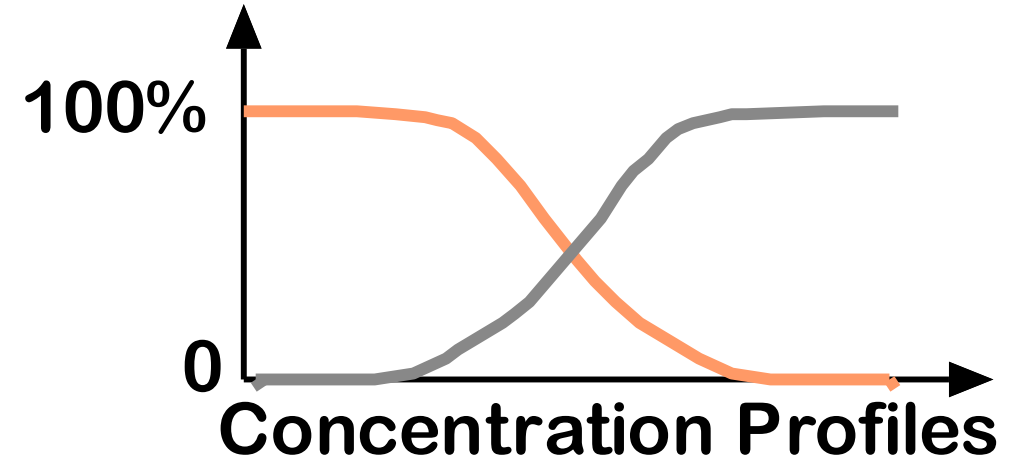
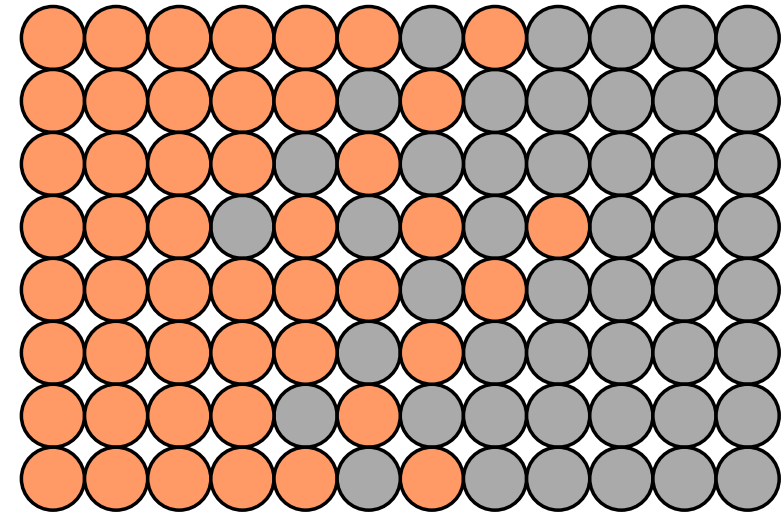
# Diffusion: The Phenomena (1)

- **Interdiffusion:** In an alloy, atoms tend to migrate from regions of large concentration.

Initially



After some time



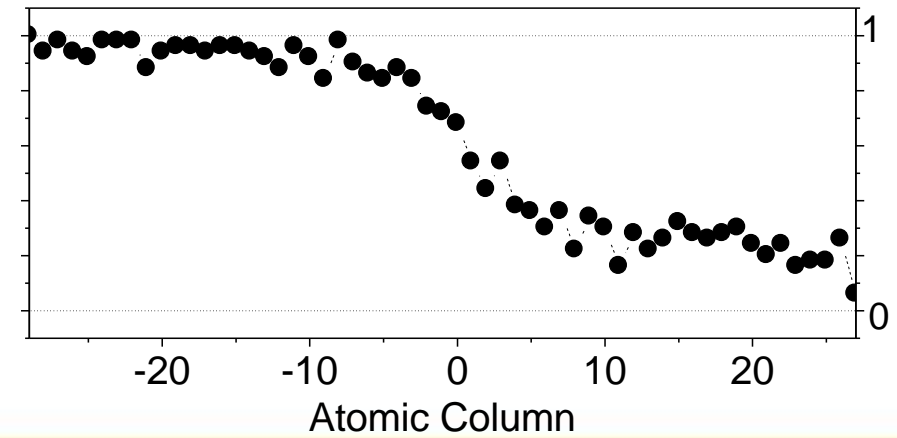
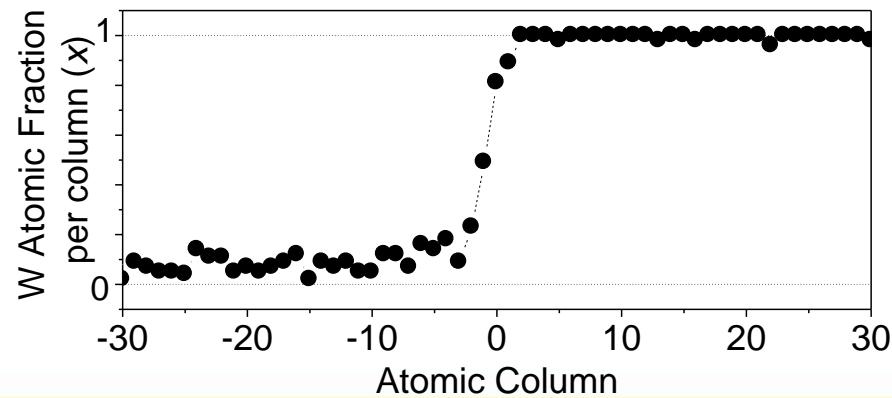
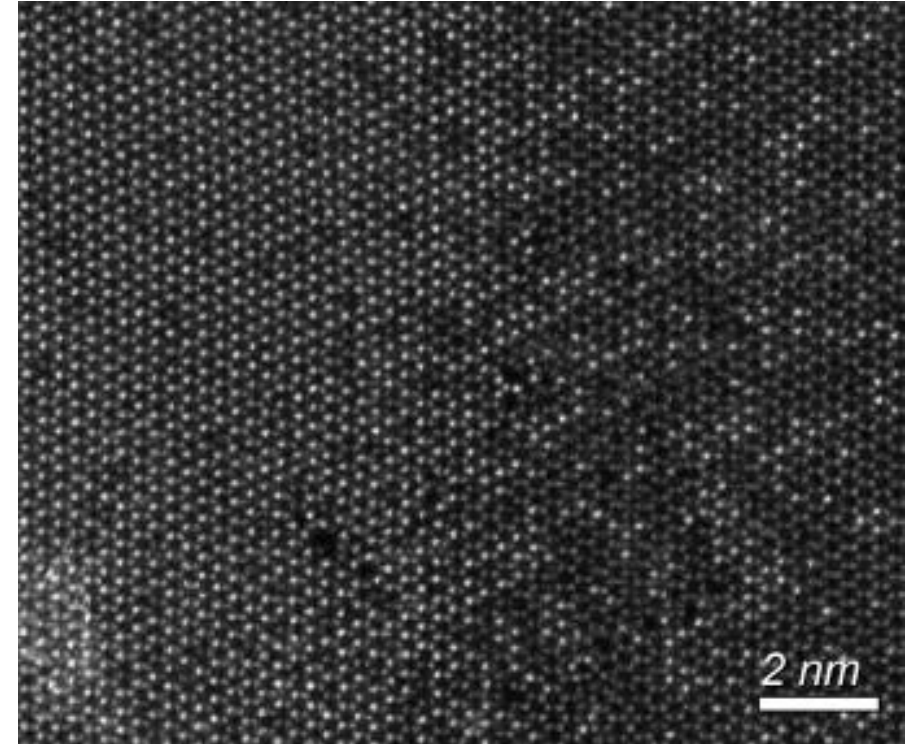
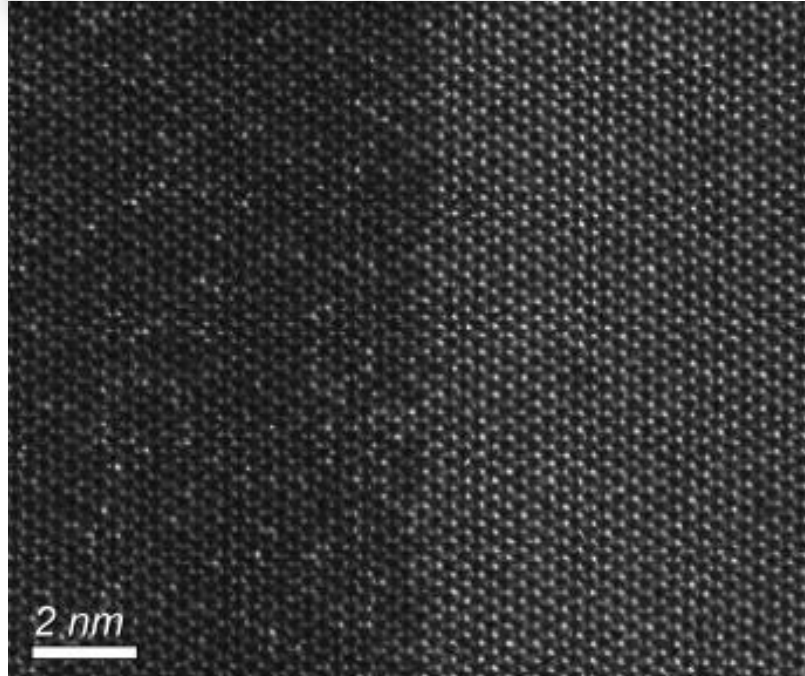
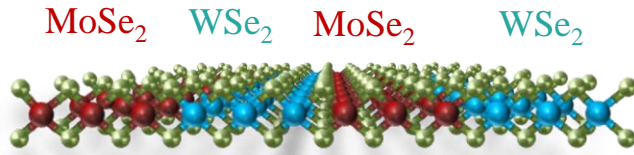


# Diffusion in 2D lateral Heterostructures

Monolayer

MoSe<sub>2</sub>

WSe<sub>2</sub>

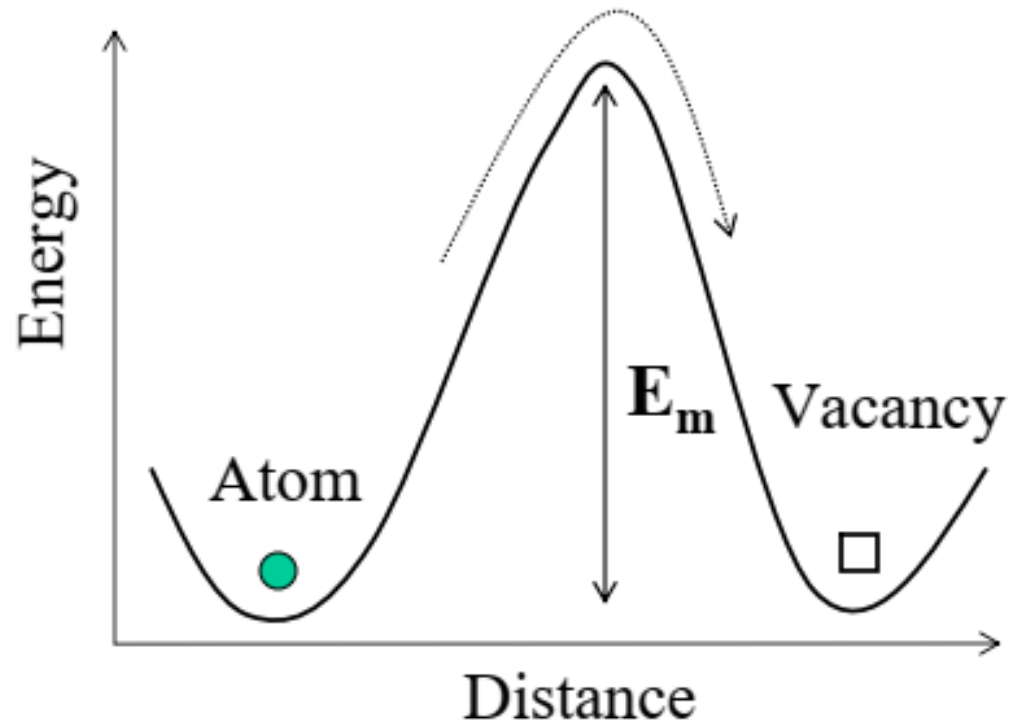


P. Sahoo *et al.*  
Nature 2018



# Diffusion – Thermally Activated Process

To jump from lattice site to lattice site, atoms need energy to break bonds with neighbors, and to cause the necessary lattice distortions during jump. The energy necessary for motion,  $Q_m$ , is called **the activation energy** for vacancy motion.



- Schematic representation of the diffusion of an atom from its original position into a vacant lattice site.
- Activation energy  $E_m$  has to be supplied to the atom so that it could break inter-atomic bonds and to move into the new position.

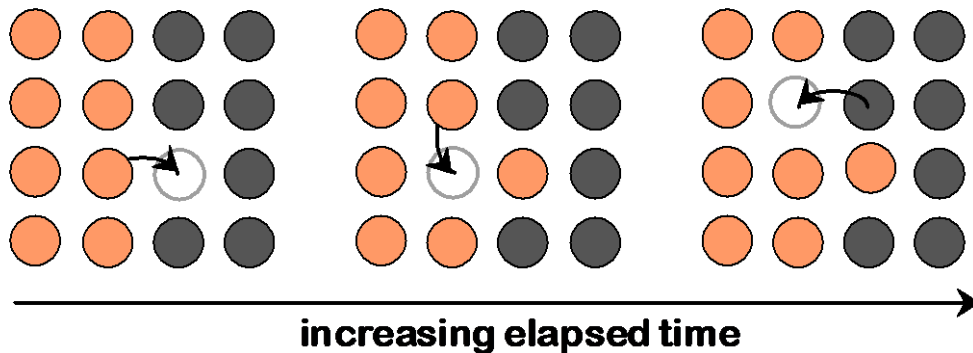




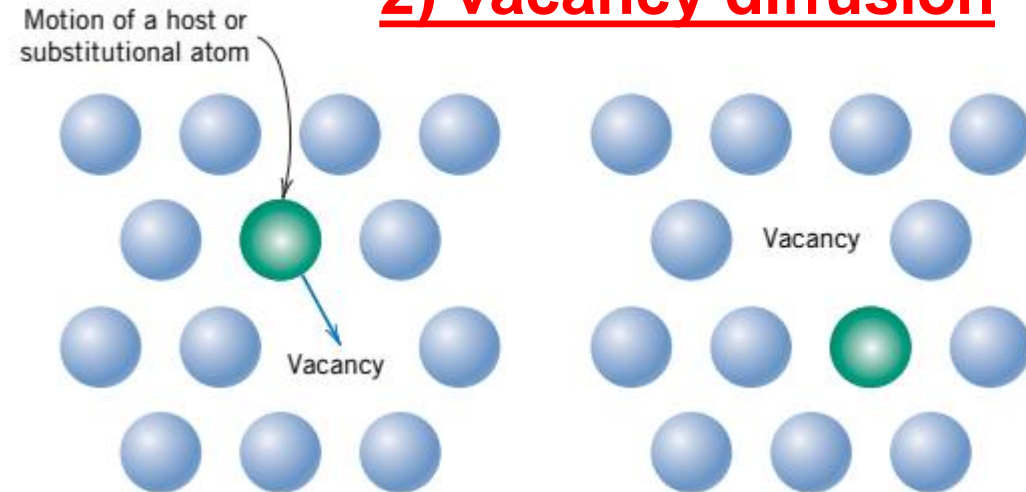
# Diffusion Mechanisms

## 1) Substitutional Diffusion:

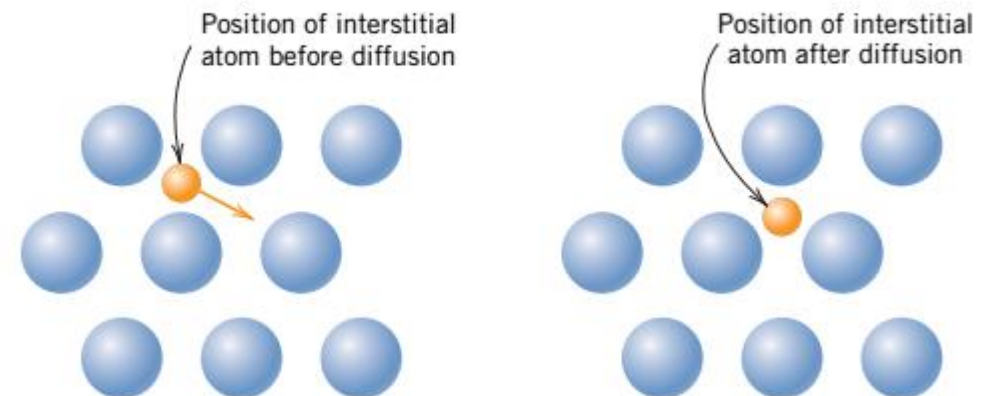
- applies to substitutional impurities
- atoms exchange with vacancies
- rate depends on:
  - number of vacancies
  - activation energy to exchange.



## 2) vacancy diffusion



## (3) interstitial diffusion



- Interstitial diffusion is generally faster than vacancy diffusion because bonding of interstitials to the surrounding atoms is normally weaker and there are many more interstitial sites than vacancy sites to jump to.
- Requires small impurity atoms (e.g. C, H, O) to fit into interstices in host.





# Processing Using Diffusion

**Number** (or concentration\*)  
**of Vacancies** at T

$$c_i = \frac{n_i}{N} = e^{-\frac{\Delta E}{k_B T}}$$

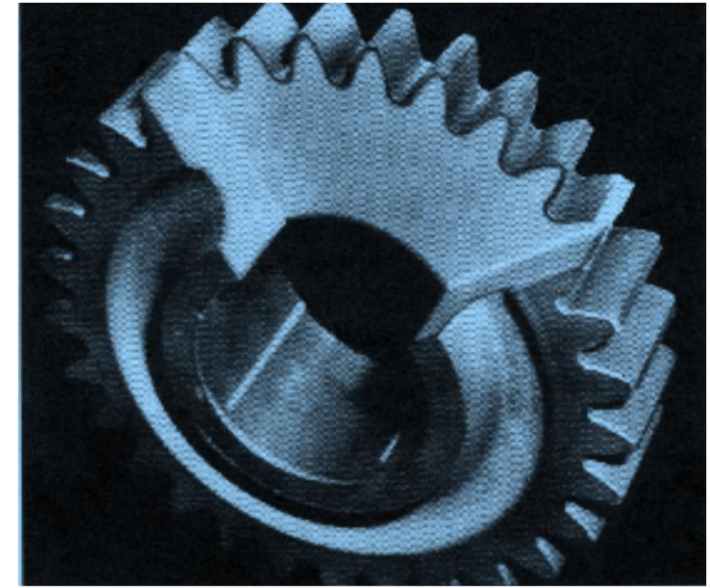
•  $k_B T$  gives eV

$\Delta E$  is an **activation energy**  
for a particular process  
(in J/mol, cal/mol, eV/atom).

## Case Hardening:

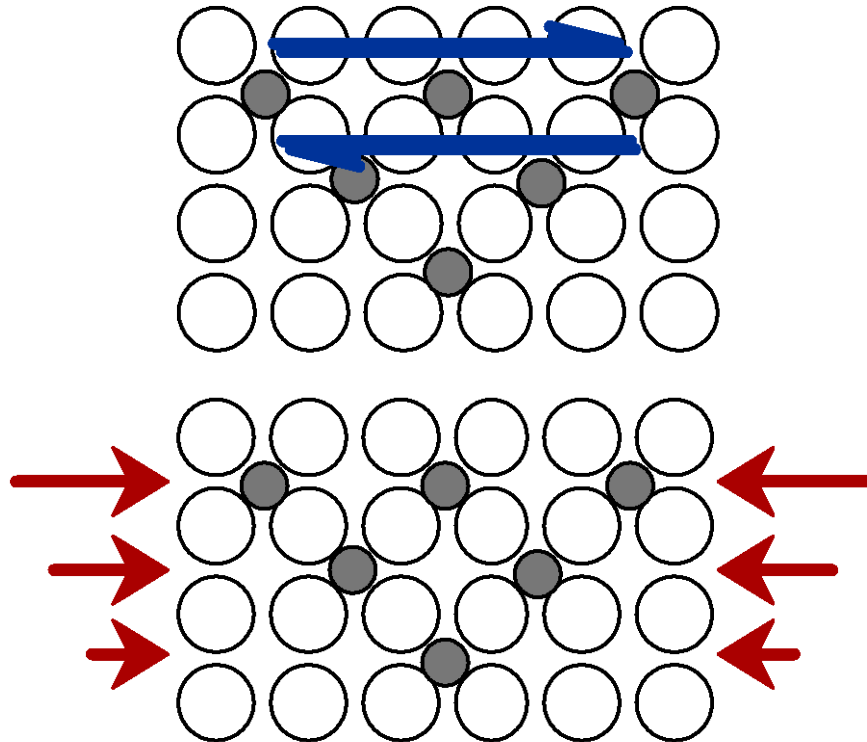
--Diffuse carbon atoms into the  
host iron atoms at the surface.

--Example of interstitial  
diffusion is a case  
hardened gear.



• **Result:** The "Case" is  
--hard to deform: C atoms  
"lock" planes from **shearing**.

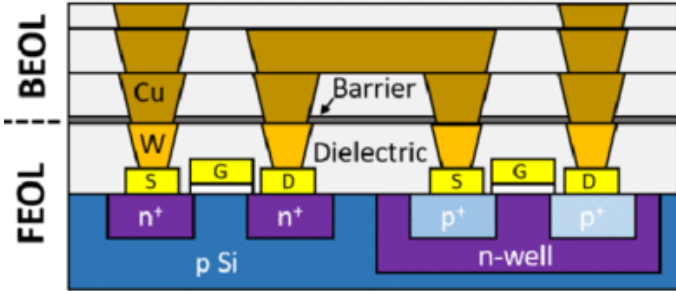
--hard to crack: C atoms  
put the surface  
in **compression**.



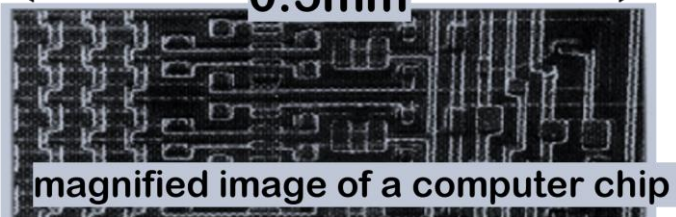


# Metal–oxide–semiconductor field-effect transistor (MOSFET)

a, Si CMOS chip

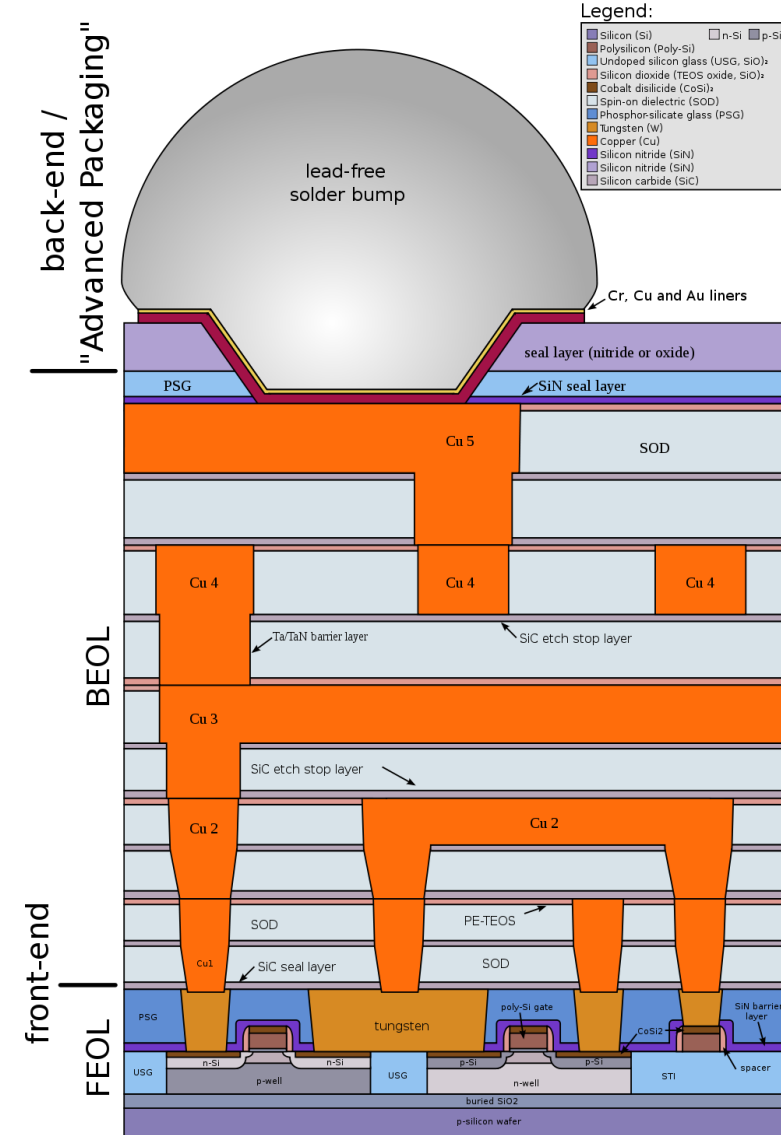
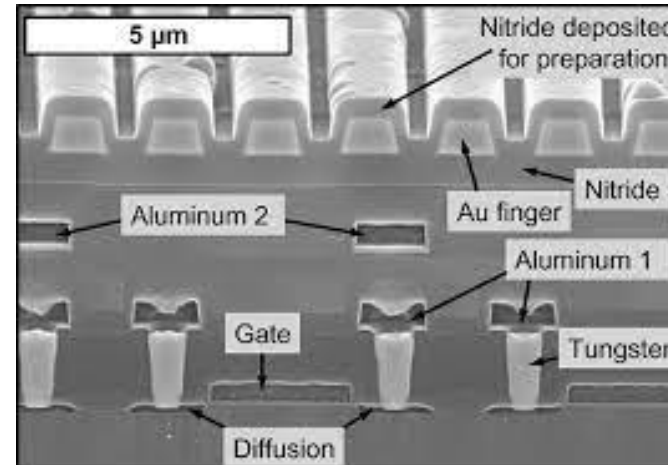


0.5mm



1. Grow field oxide  
ox.  
p-type substrate
2. Etch oxide for pMOSFET  
ox.  
p-type substrate
3. Diffuse n-well  
ox.  
p-type substrate n-well
4. Etch oxide for nMOSFET  
ox.  
p-type substrate n-well
5. Grow gate oxide  
ox.  
p-type substrate n-well
6. Deposit polysilicon  
ox.  
p-type substrate n-well
7. Etch polysilicon and oxide  
ox.  
p-type substrate n-well
8. Implant sources and drains  
ox.  
p-type substrate n-well
9. Grow nitride  
ox.  
p-type substrate n-well
10. Etch nitride  
ox.  
p-type substrate n-well
11. Deposit metal  
ox.  
p-type substrate n-well
12. Etch metal  
ox.  
p-type substrate n-well

Simplified process of fabrication of a CMOS inverter on p-type substrate in semiconductor microfabrication





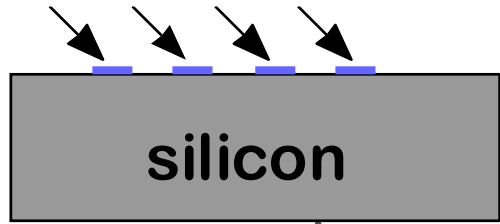


# Processing Using Diffusion

## Doping Silicon with P for n-type semiconductors:

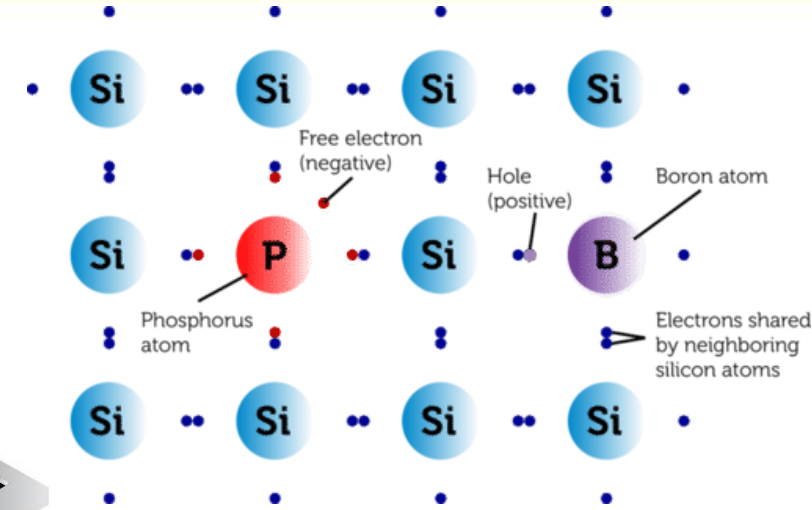
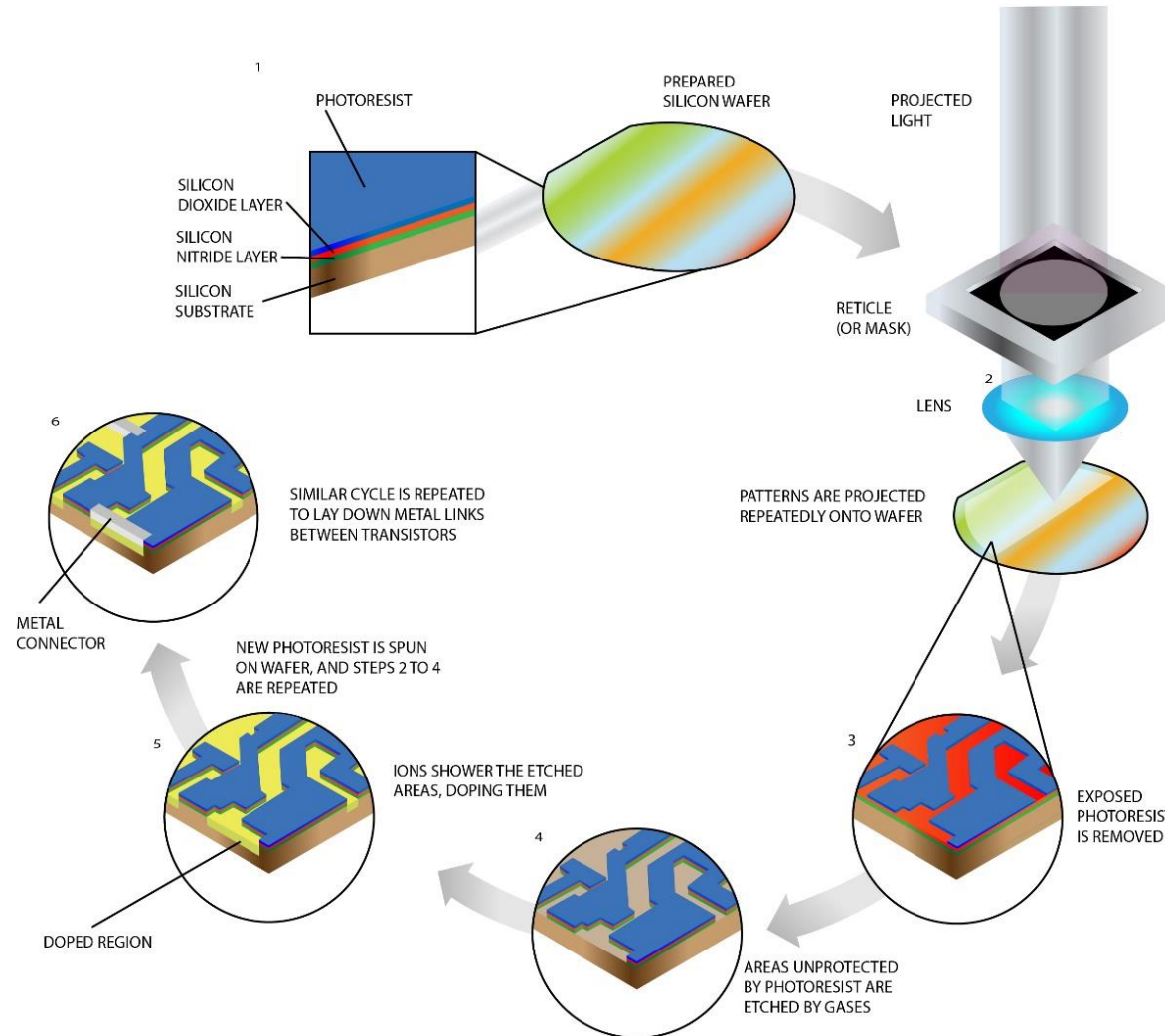
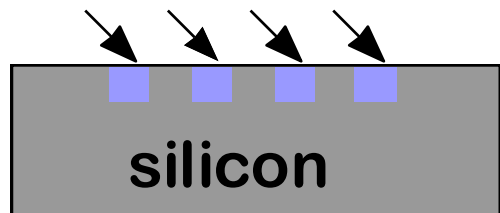
### Process:

1. Deposit **P** rich layers on surface.



2. Heat it.

3. Result: Doped semiconductor regions.







# Diffusion Flux : Fick's First Law

Diffusion is a *time-dependent process*

**Diffusion flux** ( $J$ ), defined as the mass (or, equivalently, the number of atoms)  $M$  diffusing through and perpendicular to a unit cross-sectional area of solid per unit of time.

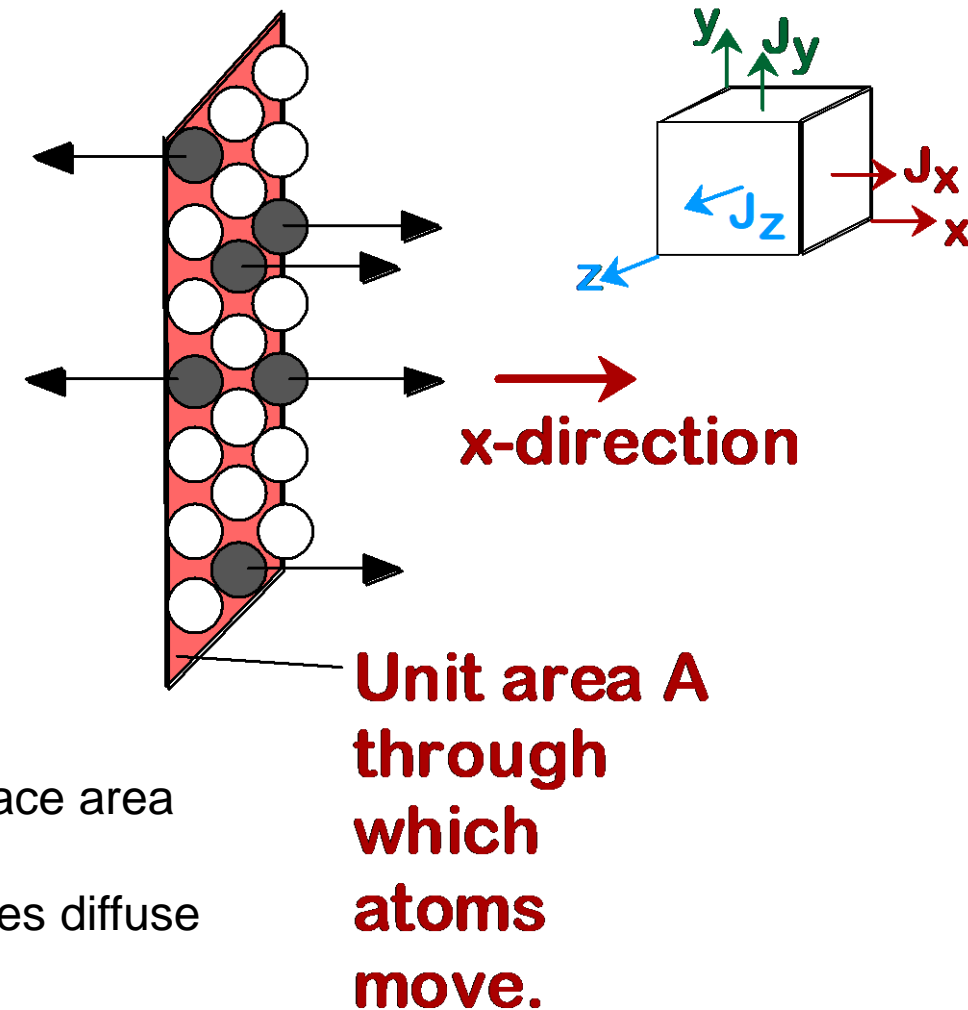
$$J = \frac{1}{A} \frac{dM}{dt} \Rightarrow \left[ \frac{\text{kg}}{\text{m}^2\text{s}} \right] \text{ or } \left[ \frac{\text{atoms}}{\text{m}^2\text{s}} \right]$$

- Directional Quantity

- Flux can be measured for:
  - vacancies
  - host (A) atoms
  - impurity (B) atoms

- **Empirically determined:**

- Make thin membrane of known surface area
- Impose concentration gradient
- Measure how fast atoms or molecules diffuse through the membrane

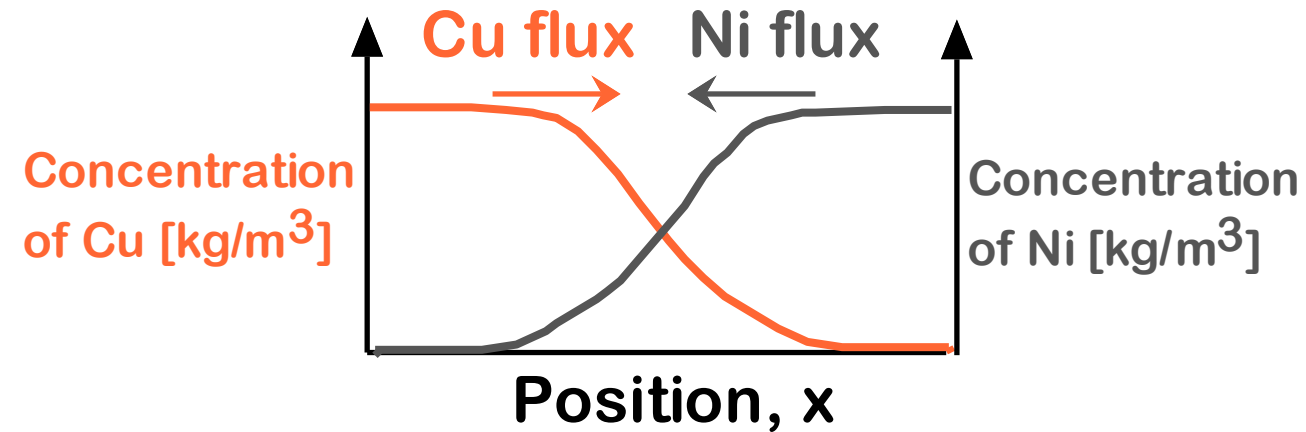




# Concentration Profiles & Flux

- **Concentration Profile,  $C(x)$ : [kg/m<sup>3</sup>]**

The mathematics of steady-state diffusion in a single (x) direction is relatively simple, in that the flux is proportional to the concentration gradient



- **Fick's First Law:**

flux in x-dir. [kg/m<sup>2</sup>-s]  $\rightarrow J_x = -D \frac{dC}{dx}$

Diffusion coefficient [m<sup>2</sup>/s]  $\rightarrow D$

concentration gradient [kg/m<sup>4</sup>]  $\rightarrow \frac{dC}{dx}$

- The steeper the concentration profile, the greater the flux!
- The minus sign in the equation means that diffusion is down the concentration gradient.

