

1 Metagenomic assemblies tend to break around 2 antibiotic resistance genes

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11 Abstract

12 Background

13 Assembly of metagenomic samples can provide essential information about the mobility
14 potential and taxonomic origin of antibiotic resistance genes (ARGs), and inform interventions
15 to prevent further spread of resistant bacteria. However, ARGs typically occur in multiple
16 genomic contexts across different species, representing a considerable challenge for the
17 assembly process. Usually, this results in many fragmented contigs of unclear origin,
18 complicating the risk assessment of ARG detections. To systematically investigate the impact
19 of this issue on detection, quantification and contextualization of ARGs, we evaluated the
20 performance of different assembly approaches, including genomic-, metagenomic- and
21 transcriptomic-specialized assemblers. We quantified recovery and accuracy rates of each
22 tool for ARGs both from *in silico* spiked metagenomic samples as well as real samples
23 sequenced using both long- and short-read sequencing technologies.

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26 **Results**

27 The results revealed that **none of the investigated tools can accurately capture genomic**
28 **contexts present in samples of high complexity.** The transcriptomic assembler Trinity showed
29 a better performance in terms of reconstructing longer and fewer contigs matching unique
30 genomic contexts, which can be beneficial for deciphering the taxonomic origin of ARGs. The
31 currently commonly used metagenomic assembly tools metaSPAdes and MEGAHIT were
32 able to identify the ARG repertoire but failed to fully recover the diversity of genomic contexts
33 present in a sample. On top of that, in a complex scenario MEGAHIT produced very short
34 contigs, which can lead to considerable underestimation of the resistome in a given sample.

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36 **Conclusions**

37 Our study shows that metaSPAdes and Trinity would be the preferable tools in terms of
38 accuracy to recover correct genomic contexts around ARGs in metagenomic samples
39 characterized by uneven coverages. Overall, the inability of assemblers to reconstruct long
40 ARG-containing contigs has impacts on ARG quantification, suggesting that directly mapping
41 reads to an ARG database might be necessary, at least as a complementary strategy, to get
42 accurate ARG abundance and diversity measures.

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44 **Keywords:** metagenomic assembly, antibiotic resistance genes, genomic context

45

46 **Background**

47 Antimicrobial resistance (AMR) is an increasing global health crisis causing hundreds of
48 thousands of deaths each year worldwide ([Murray et al. 2022](#)). To limit its spread, there is
49 a need to identify and quantify resistance in both clinical and environmental settings.
50 Metagenomic sequencing is a powerful tool allowing simultaneous identification and

51 quantification of antibiotic resistance genes (ARGs) in a given sample. Metagenomic
52 analysis of sewage from different parts of the world has revealed that the same ARGs are
53 found in different genomic backgrounds globally, proving the need to not only identify the
54 composition of ARGs in a given sample, but also in what genomic context they are present.
55 The genomic background of an ARG determines co-resistance patterns and mobilization
56 potential, both of which can affect the choice of intervention strategies locally and globally
57 (Munk et al. 2022). For this reason, metagenomic sequencing has been suggested as a
58 possible means for surveillance of AMR not only in sewage (Hendriksen et al. 2019;
59 Pruden et al. 2021), but also in the environment in general (Bengtsson-Palme et al.
60 2023). Current high-throughput sequencing platforms produce hundreds of millions of reads
61 that require assembly to be reconstructed into longer stretches called contigs, which can
62 provide more contextual information. This step is typically demanding in terms of
63 computational resources and time (Vollmers et al. 2017; Meyer et al. 2022). On top of
64 this, short read length, skewed species abundance distributions, high similarity between
65 closely related ARG variants, and massive amounts of data make recovery of ARGs and the
66 context around them challenging from metagenomic data (Ayling et al. 2019; Yorki et al.
67 2023).

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69 There are currently several tools available to assemble short-read sequencing data from
70 metagenomic samples (see review by Ayling et al. (2019)), most of which use variants of
71 the de Bruijn graph approach to handle large amounts of data in an efficient way. This
72 approach is based on reconstructing graphs to represent k-mers present in a set of reads,
73 followed by traversing these graphs and identifying the most probable path representing a
74 contig. Converting a graph path into a contig is not a trivial task. Metagenomic samples
75 typically contain an unknown number of species with unknown abundance distributions. In
76 the case of related species, sequences can carry similar sets of k-mers resulting in complex
77 assembly graphs. This is further complicated by conserved repetitive regions (such as ARGs

78 or ribosomal RNA genes). Assembling conserved regions present in several different
79 genomic contexts typically results in highly complex branched assembly graphs, which
80 makes traversing the graphs extremely difficult. This is generally solved by splitting the
81 graph into multiple short contigs ([Bengtsson-Palme et al. 2017](#)). For metagenomic
82 analysis targeting ARGs, this means that sometimes all contextual information regarding the
83 taxonomic origin or mobility of a gene will be lost, which can potentially lead to
84 misinterpretation of the results.

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86 There are several studies benchmarking metagenomic assembly tools, such as the “Critical
87 Assessment of Metagenome Interpretation” (CAMI) challenge ([Wang et al. 2019](#); [Meyer et
88 al. 2022](#)). The focus of these studies has largely been on the ability of assemblers to
89 distinguish evolutionary related organisms in complex microbial samples. There is also a
90 study by [Brown et al. \(2021\)](#) assessing different assemblers for contextualization of ARGs
91 using co-occurrence of ARGs and mobile genetic elements (MGEs) on assembled contigs
92 as a proxy. However, a critical evaluation of currently available short-read assemblers on
93 reconstructing the context around ARGs existing in multiple genomic contexts is currently
94 lacking. ARGs constitute a type of genomic feature that is particularly likely to be fragmented
95 in metagenomic assemblies, as they are often present in multiple contexts, can be
96 surrounded by various forms of repeat regions, and can be present on plasmids with varying
97 degrees of copy numbers. A specific investigation of how assemblers handle these genes is
98 therefore warranted. Furthermore, the resulting assemblies are often used to perform ARG
99 quantification by mapping reads back to the contigs to estimate gene abundances. It is not
100 clear how the choice of assembler will affect this form of ARG quantification and, by
101 consequence, the final biological interpretation of the results.

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103 The main goal of this study was to systematically evaluate the capability of assembly tools to
104 recover ARGs in the correct genomic context from metagenomic data. To have a controlled

105 but still real-life relevant experimental set up, we first used a real data set from human stool
106 samples and spiked it with simulated reads derived from resistance plasmids. We then
107 assembled the test datasets and evaluated performance of several tools (*Velvet*, *SPAdes*,
108 *metaSPAdes*, *MEGAHIT*, *Trinity*, *Ray*) with respect to their accuracy of recovering the
109 genomic contexts of ARGs using the original plasmids as reference. Furthermore, we did the
110 same assessment but on a sample sequenced with both short- and long-read technologies,
111 using the latter as a reference. The results provide important perspectives on the choice of
112 assembly programs for recovering correct genomic contexts for ARGs from metagenomic
113 samples. Furthermore, they call into question some of the practices currently used for
114 quantification of ARGs based on metagenomic sequencing.

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133 Methods

134 Evaluation using simulated reads

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136 To obtain a controlled experimental setup, we randomly selected a metagenomic dataset
137 and spiked it with simulated reads derived from plasmids containing a known set of ARGs.
138 The metagenomic dataset was downloaded from Sequence Read Archive (SRA) and
139 corresponds to a human stool sample, representing a common sample type used for studies
140 of AMR. This sample was sequenced by Illumina NextSeq550 with 150 bp reads, resulting in
141 4.1Gb dataset (SRR9654970). To obtain a set of plasmids, we first chose a number of
142 clinically-relevant and commonly observed ARGs from different ARG classes, including *sul2*
143 (816 bp), *blaNDM-1* (813 bp), *blaTEM* (861 bp), *aph(3")-lb_3* (804 bp) and *tet(A)* (1200 bp).
144 We downloaded protein sequences from the Comprehensive Antibiotic Resistance Database
145 (CARD) database and used them as queries for NCBI BLAST database searches to retrieve
146 complete plasmid sequences. Only hits with >98% identity to the ARG query and
147 corresponding to full-length plasmids were selected, five for each selected ARG (Table 1).
148 We aimed to select plasmids of different sizes to reflect the diversity in natural samples. For
149 this test, the number of plasmids was selected arbitrarily, but it is comparative to real sample
150 complexity; e.g. 26 known and 21 putative novel plasmids were recovered in an Indian lake
151 metagenome ([Bengtsson-Palme et al. 2014](#)).
152 We used insilicoseq ([Gourlé et al. 2018](#)) to generate simulated reads from plasmids using
153 the NovaSeq error model:
154
155 `iss generate -g plasmids.fa --abundance_file abundance.txt -m NovaSeq -o reads_file`
156

157 To fine-tune the distribution of plasmid reads, we provided an abundance.txt file containing
158 proportions of reads weighed according to the size of each plasmid (smaller plasmids get
159 more coverage and larger less, see Table 1, “Assigned read coverage proportion” column).
160 Furthermore, to test how the amount of sequencing data corresponding to plasmids affected
161 the assembly process, we generated read files with different total amounts of simulated
162 reads: 0.5x, 1x, 5x, 10x, where 1x corresponds to the number of reads needed to cover the
163 largest plasmid one time.

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165 To ensure a controlled setup, reads from the human stool dataset were first mapped to the
166 selected set of 25 plasmids and all matches were removed to create a clean test dataset
167 (Figure 1). The cleaned test dataset was then spiked with simulated reads to create four files
168 containing either 0.5x, 1x, 5x or 10x simulated reads each. These four datasets were then
169 assembled by programs using default parameters.

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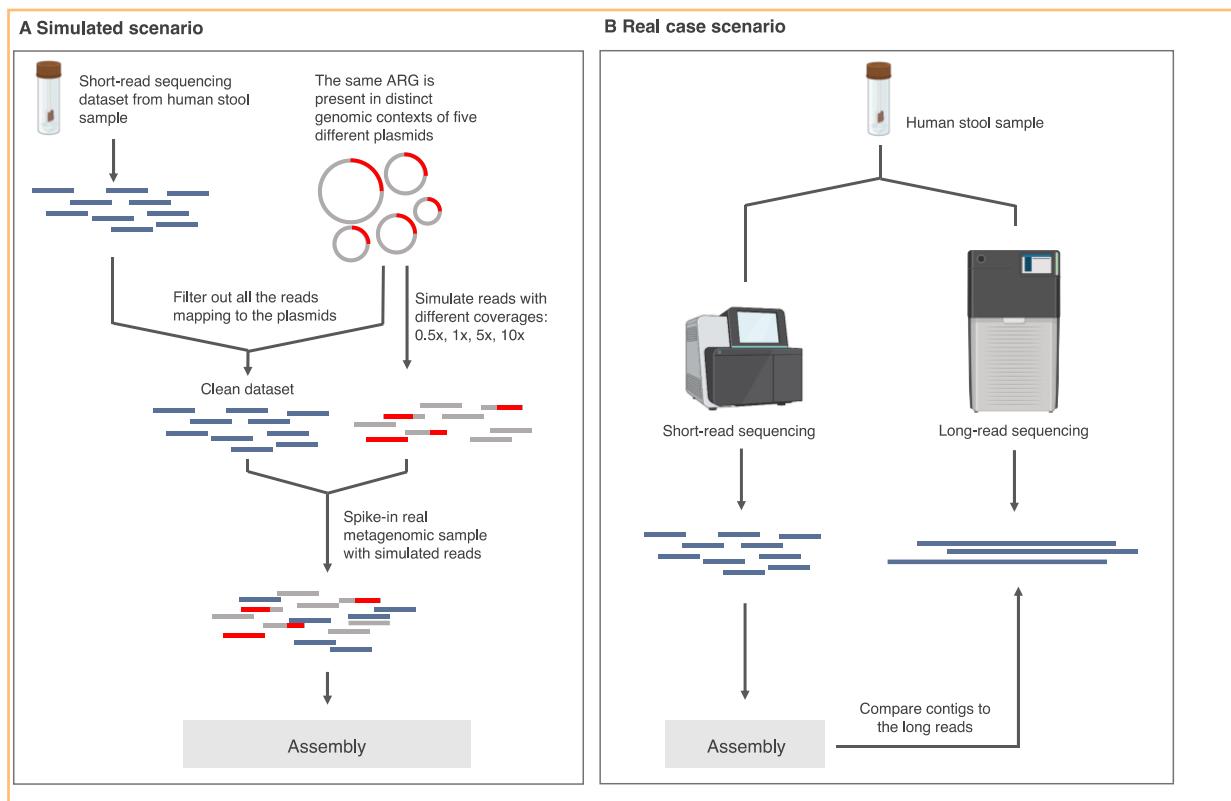
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184 **Table 1. Set of plasmids and corresponding ARGs.**

ARG	Accession	Species	Strain	Plasmid	Length, bp	Assigned read coverage proportion
aph(3")-lb_3	CP039146.1	<i>Acinetobacter</i> sp.	10FS3-1	p10FS3-1-3	73803	5
aph(3")-lb_3	CP058166.1	<i>Enterobacter hormaechei</i>	RHBSTW-00070	pRHBSTW-00070_3	9923	34
aph(3")-lb_3	CP026933.2	<i>Escherichia coli</i>	CFS3273	pCFS3273-1	268665	1
aph(3")-lb_3	CP055808.1	<i>Escherichia fergusonii</i>	RHB03-C23	pRHB03-C23_3	35135	10
aph(3")-lb_3	CP064948.1	<i>Pseudomonas fulva</i>	ZDHY414	pVIM-24-ZDHY414	589460	1
blaNDM-1	AP023079.1	<i>Acinetobacter baumannii</i>	OCU_Ac16a	pOCU_Ac16a_2	41087	8
blaNDM-1	CP055250.1	<i>Citrobacter freundii</i>	ZY198	pZY-NDM1	53573	6
blaNDM-1	CP047406.1	<i>Escherichia coli</i>	MS6193	pMS6193A-NDM	142890	2
blaNDM-1	CP050380.1	<i>Klebsiella pneumoniae</i>	51015	p51015_NDM_1	353810	1
blaNDM-1	CP040184.1	<i>Raoultella planticola</i>	Rp_CZ180511	pRpNDM-1	334854	1
blaTEM-9	CP063225.1	<i>Enterobacter hormaechei</i> subsp. <i>Steigerwaltii</i>	BD-50-Eh	pBD-50-Eh_2	336282	1
blaTEM-9	KR259131.1	<i>Escherichia coli</i>	EC3587	pEC3587	10483	32
blaTEM-9	CP025144.1	<i>Klebsiella pneumoniae</i>	NR5632	NR5632_p1	204123	2
blaTEM-9	GQ160960.2	<i>Serratia marcescens</i>		R934	13775	24
blaTEM-9	CP024467.1	<i>Shigella dysenteriae</i>	BU53M1	unnamed1	54993	6
sul2	CP059301.1	<i>Acinetobacter baumannii</i>	AC1633	pAC1633-1	174292	2
sul2	CP055707.1	<i>Citrobacter freundii</i>	RHB16-C02	pRHB16-C02_6	6801	49
sul2	CP061493.1	<i>Enterobacter hormaechei</i> subsp. <i>Xiangfangensis</i>	GENC284	pGENC284	304958	1
sul2	AP022550.1	<i>Escherichia coli</i>	THO-015	pTHO-015-1	88121	4
sul2	MT415059.1	<i>Klebsiella pneumoniae</i>	NMI3243_13	pIncr_3243	69560	5
tet(A)_1	CP047745.1	<i>Enterobacter hormaechei</i>	Eho-4	pEcl4-5	37460	9
tet(A)_1	AP022535.1	<i>Escherichia coli</i>	THO-006	pTHO-006-2	101966	3
tet(A)_1	CP064130.1	<i>Klebsiella pneumoniae</i>	M911-1	pM911-1.1	75711	4
tet(A)_1	CP065164.1	<i>Klebsiella variicola</i>	KPN029	unnamed2	243621	1
tet(A)_1	CP062224.1	<i>Salmonella enterica</i> subsp. <i>enterica</i> serovar <i>Goldcoast</i>	R18.1656	p270k	270696	1

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188 **Figure 1. Workflow: A) Simulated scenario constituting a real metagenomic dataset**
189 **spiked with reads generated from a set of 25 plasmids containing ARGs; B) Real case**
190 **scenario included long-reads which were used as a reference to quality check the**
191 **contigs assembled from short read data generated from the same sample.**

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193 For this evaluation, we decided to include several different tools: genomic assemblers
194 SPAdes 3.13.0 ([Bankevich et al. 2012](#)), Velvet 1.2.10 ([Zerbino and Birney 2008](#)) and
195 Ray 2.3.1 ([Boisvert et al. 2010](#)); metagenomic assemblers MEGAHIT v1.0.3 ([Li et al.](#)
196 [2016](#)) and SPAdes 3.13.0 with the -meta option (also referred to as “metaSPAdes”), and
197 transcriptomic assembler Trinity 2.1.1 ([Haas et al. 2013](#)). We also tested TriMetAss as an
198 alternative method to see if it can improve the outcome. TriMetAss is an extension to the
199 Trinity software, which was designed to assemble common and well-conserved genes
200 occurring in multiple genomic contexts in metagenomic data ([Bengtsson-Palme et al.](#)
201 [2014](#)).

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203 First, we evaluated assemblers on the selected dataset to assess overall performance. We
204 used METAQUAST 5.2.0 ([Mikheenko et al. 2018](#)) to evaluate general assembly
205 performance (Figure S1). Bandage ([Wick et al. 2015](#)) tool was used to create assembly
206 graphs visualization.

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208 We also performed the same analyses in a simplified scenario with only two plasmids for
209 each of two resistance genes (*blaNDM-1* and *aph(3")-Ib*), to test whether the reduced
210 complexity would improve the assembly performance. We performed this test with
211 CP055250.1 (53573 bp) and AP023079.1 (41087 bp), both carrying the *blaNDM-1* gene, and
212 CP064948.1 (589460 bp) and CP039146.1 (73803 bp) carrying the *aph(3")-Ib* gene. To
213 create differential coverages, we generated reads in proportions 2:1 for the first pair and 7:1
214 for the second pair of plasmids. The reads were generated and spiked into the real dataset
215 as described for the simulated scenario above. The results are presented in the Additional
216 File 1.

217 Evaluation using long-reads reference

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219 For the second test with long-read data we used publicly available data from [Jin et al.](#)
220 ([2022](#)), corresponding to human fecal samples sequenced by both Illumina HiSeq X Ten
221 platform with 150bp-long reads (SRR10917786, 34.2 Gbp) and PacBio RS II
222 (SRR10917776, 8.7 Gbp) (Pacific Biosciences of California, Inc., USA). We performed error-
223 correction of the raw reads using Canu ([Koren et al. 2017](#)):

224

225 canu -correct -PacBio-raw SRR10917776.fastq -p PacBio_corrected -d corrected_reads
226 genomeSize=100m

227

228 To avoid creating erroneous contigs we decided to not assemble the long-read data but
229 instead rely on the long error-corrected reads as they most likely represent the ground truth.
230 First, we annotated the reads using BLASTN against the ResFinder database (2021)
231 ([Florensa et al. 2022](#)). Only reads containing full ARG sequences with at least 98% identity
232 were retained. These reads were further clustered with cd-hit ([Fu et al. 2012](#)) (95% identity)
233 to create a less redundant reference for further comparison to the short-read contigs. We
234 assembled the corresponding short reads with the same set of tools as mentioned in the
235 previous section. The resulting contigs were mapped using BLASTN to the PacBio reads
236 reference to assess accuracy.

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238 To estimate how the differences in ability to assemble ARGs by different tools affect the
239 downstream results, we performed ARG quantification. First, the Illumina reads were
240 mapped to each assembly using bowtie2 v2.3.5.1 ([Langmead and Salzberg 2012](#)) and
241 the coverage was estimated for all full and truncated ARG hits (minimum 95% identity and
242 80% coverage) on the assembled contigs using the FARAO ([Hammarén et al. 2016](#))
243 *estimate_coverage* function with -c 0 flag estimating coverage per all bases across the
244 feature:

245 `estimate_coverage -i assembly_coverage_db -a get_annot_output_filtered -c 0`

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247 All plots were created in R using ggplot2 ([Villanueva and Chen 2019](#)).

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249 Assessment

250 To assess the performance of the tools, we identified contigs produced from the short-reads
251 containing ARG sequences and estimated the number of fully assembled (100% coverage,
252 98% identity), truncated (minimum 60 bp length, 98% identity and no flanking regions on
253 either of the sides or both sides) and misassembled/partial ARGs (minimum 60 bp, 98%

254 identity, and embedded in incorrect flanking sequences). The 60 bp threshold was chosen
255 because it corresponds to at least 20 amino acids, which should be sufficient to identify a
256 protein. Furthermore, we investigated the genomic contexts of the fully assembled ARGs
257 and whether they fully matched the original context by inspecting alignments to the original
258 plasmids.

259 Results

260 Assembly quality

261 We compared the assembly performance of Velvet, Ray, MEGAHIT, metaSPAdes and
262 Trinity (Table 2, Figure S1). Trinity outperformed all the tools for the total assembled length
263 and the number of reconstructed contigs at all coverages. In contrast, metaSPAdes
264 assembled the longest contigs except for at the 10x coverage, where the length of the
265 longest contig was comparable to the one assembled by Ray. metaSPAdes also had the
266 highest and most consistent mapping rate across different assemblies (Table 3).

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278 **Table 2. Assembly summary statistics**

Coverage		Assembly				
0.5x	Velvet	Ray	SPAdes	MEGAHIT	metaSPAdes	Trinity
# contigs	32,218	17,350	39,226	51,677	41,734	59,194
Largest contig	25,401	474,180	172,683	50,052	688,925	126,557
Total length	36,029,448	37,341,447	76,326,026	66,984,094	70,838,814	94,470,748
N50	1,231	7,066	4,253	1,591	2,838	2,382
1x	Velvet	Ray	SPAdes	MEGAHIT	metaSPAdes	Trinity
# contigs	22,246	17,421	45,074	37,579	41,864	59,258
Largest contig	52,173	442,619	184,495	62,496	688,925	120,871
Total length	26,584,994	37,998,115	76,946,301	47,137,002	71,305,149	94,588,910
N50	1,318	7,254	2,893	1,492	2,865	2,388
5x	Velvet	Ray	SPAdes	MEGAHIT	metaSPAdes	Trinity
# contigs	22,686	17,364	42,987	51,749	41,505	59,108
Largest contig	56,951	303,232	172,683	66,255	542,864	120,871
Total length	27,444,611	37,964,392	77,214,295	67,927,289	72,257,839	96,105,718
N50	1,355	6,760	3,262	1,629	3,063	2,470
10x	Velvet	Ray	SPAdes	MEGAHIT	metaSPAdes	Trinity
# contigs	32,332	17,048	41,502	51,459	41,392	58,988
Largest contig	56,951	467,217	172,683	91,584	464,577	168,064
Total length	37,378,587	41,056,751	76,752,084	67,809,374	72,108,940	96,703,227
N50	1,295	11,883	3,485	1,638	3,080	2,520
Real data scenario	Velvet	Ray	SPAdes	MEGAHIT	metaSPAdes	Trinity
# contigs	110,673	48,047	85,453	105,763	92,846	156,257
Largest contig	47,990	582,509	556,300	295,746	400,849	78,113
Total length	151,747,721	145,421,628	242,507,147	232,009,297	239,314,901	284,725,193
N50	1,752	15,515	12,135	5,044	8,114	3,046

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283 **Table 3. Mapping rate for the simulated scenario and the real dataset.**

Assembly	Simulated Scenario				Real data scenario	
	0.5x	1x	5x	10x	Illumina dataset	
MEGAHIT	73.97%	81.13%	67.80%	62.70%	86.83%	
metaSPAdes	89.92%	90.06%	91.39%	92.34%	92.40%	
Trinity	86.82%	88.23%	86.52%	83.57%	91.56%	
SPAdes	89.83%	89.96%	89.93%	88.58%	92.38%	
Ray	83.35%	83.93%	83.21%	89.71%	90.13%	
Velvet	64.22%	69.37%	61.78%	49.06%	74.83%	

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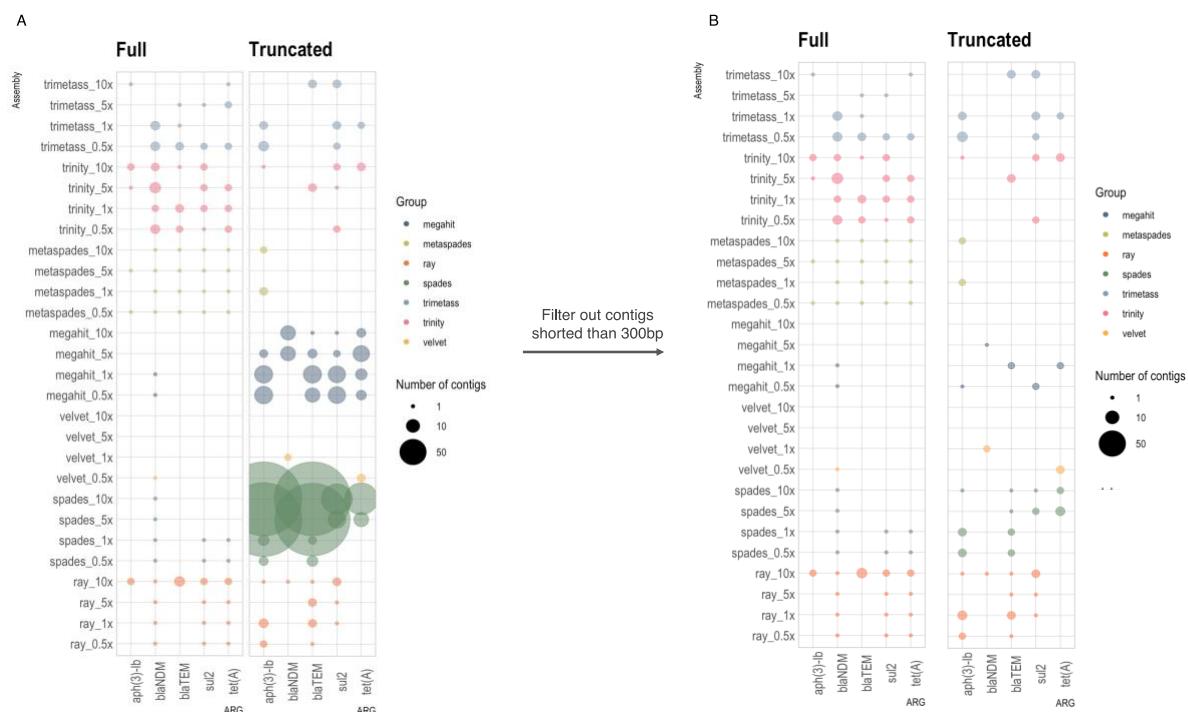
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286 Complex short-read data yield incomplete assembly of ARGs and
287 their contexts

288 To investigate which assemblers managed to reconstruct ARGs from short-read data, we
289 first looked at the recovery of both full length and truncated ARG sequences (Figure 2). For
290 the simulated test data, the knowledge of exactly which ARGs were present on the original
291 plasmids allowed us to precisely determine how many of those were correctly recovered by
292 each assembler (Figure 2A; “presence/absence”). For this analysis, we were interested in
293 whether an ARG was recovered, even if it was assembled in the wrong context, because for
294 some applications it is sufficient to just obtain the individual gene sequence correctly,
295 regardless of context.

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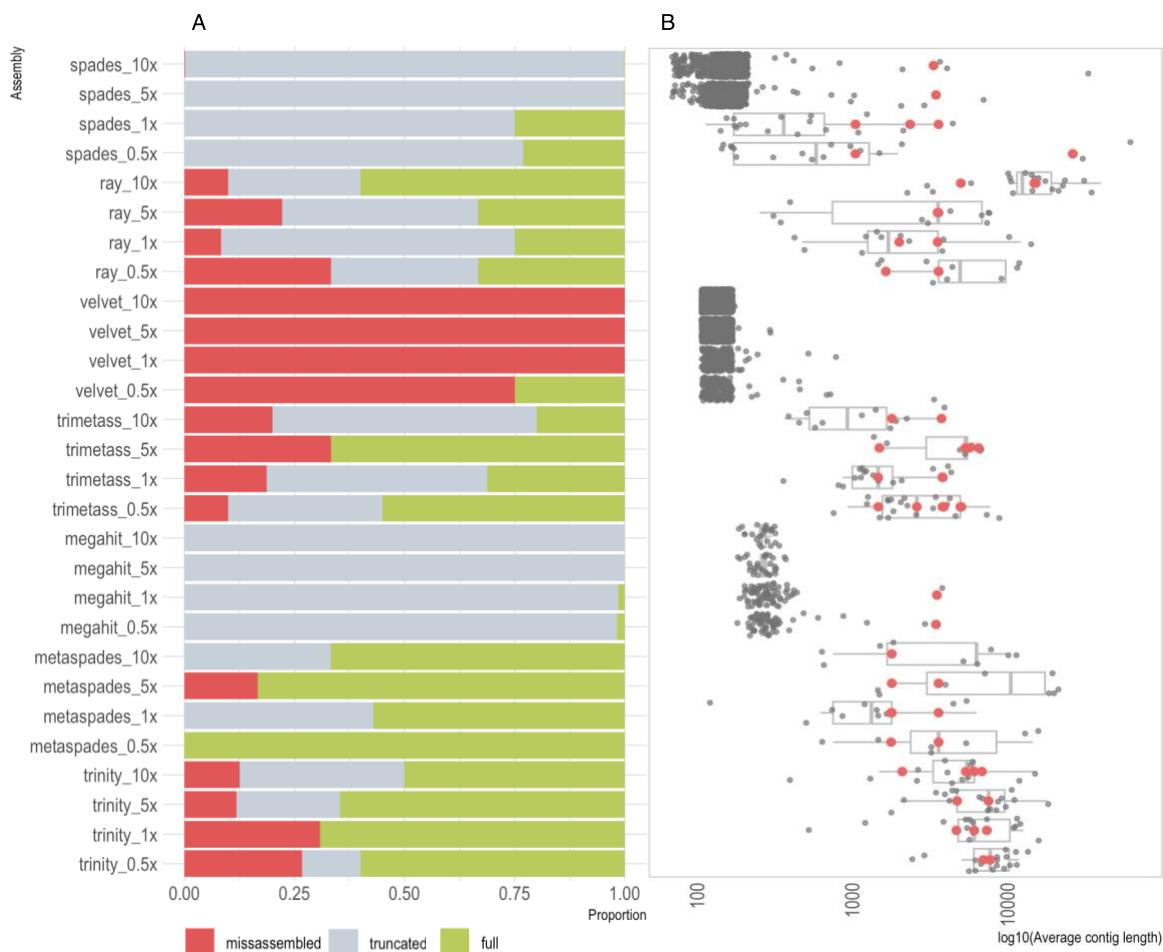
299 **Figure 2. ARGs recovery by each tool: A) presence/absence of ARGs on the contigs**
300 **assembled by different tools; B) filtering using a length cut-off of 300bp was applied**
301 **to the results. “Full” denotes contigs containing full length and correctly assembled**
302 **ARGs while “Truncated” comprises contigs containing partial ARG sequence**
303 **(minimum 60bp and 98% identity and no flanking regions on either of the sides or**
304 **both sides).**

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307 The results showed that **MEGAHIT**, **metaSPAdes** and **Trinity** managed to capture almost all
308 **ARGs at all coverages**. However, the MEGAHIT contigs containing ARGs were on average
309 only 284 bp long, resulting in predominantly truncated ARG sequences (Figure 2 and 3). In
310 contrast, **Trinity** performed consistently better at all coverages, with more than 50% of
311 contigs containing the full length ARG sequences (Figure 3). Among the genome
312 assemblers, **Ray** had the best performance in terms of reconstructing full ARGs, while **Velvet**
313 reconstructed only one full ARG out of 3724 assembled ARG-containing contigs, with the

314 rest containing misassembled ARGs. SPAdes struggled to assemble ARGs at higher
315 coverages, producing truncated contigs.
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318 **Figure 3. Assembler performance at different coverages: A) proportion of full,**
319 **truncated and misassembled/partial ARG sequences. Note that the retrieved ARGs are**
320 **not necessarily associated with the correct context.); B) length distribution of contigs**
321 **with ARG hits (contigs with correct genomic context, only containing full ARGs, are**
322 **marked with red dots).**

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326 Furthermore, we investigated the number and length of correctly assembled contexts for the
327 different assemblers. Figure 3B shows that Trinity performed better in comparison to the

328 other tools, reconstructing on average longer correct contigs with quite consistent
329 performance across the coverages. Notably, the performance of Ray was rather similar to
330 that of metaSPAdes. In some cases, Ray produced even longer correct contigs despite
331 being a genomic assembler not optimized for complex metagenomic samples. In contrast,
332 MEGAHIT produced only two correct contigs at lower coverages. What is obvious and rather
333 surprising is that from a total number of 5 different genomic contexts per resistance gene
334 present in the sample on average only three original contexts (12%) were correctly captured
335 by any of the assembler. These contexts corresponded to plasmids of different sizes
336 suggesting that the total length of plasmids did not determine assembly success, but rather
337 features surrounding the particular genes (Figure S2).

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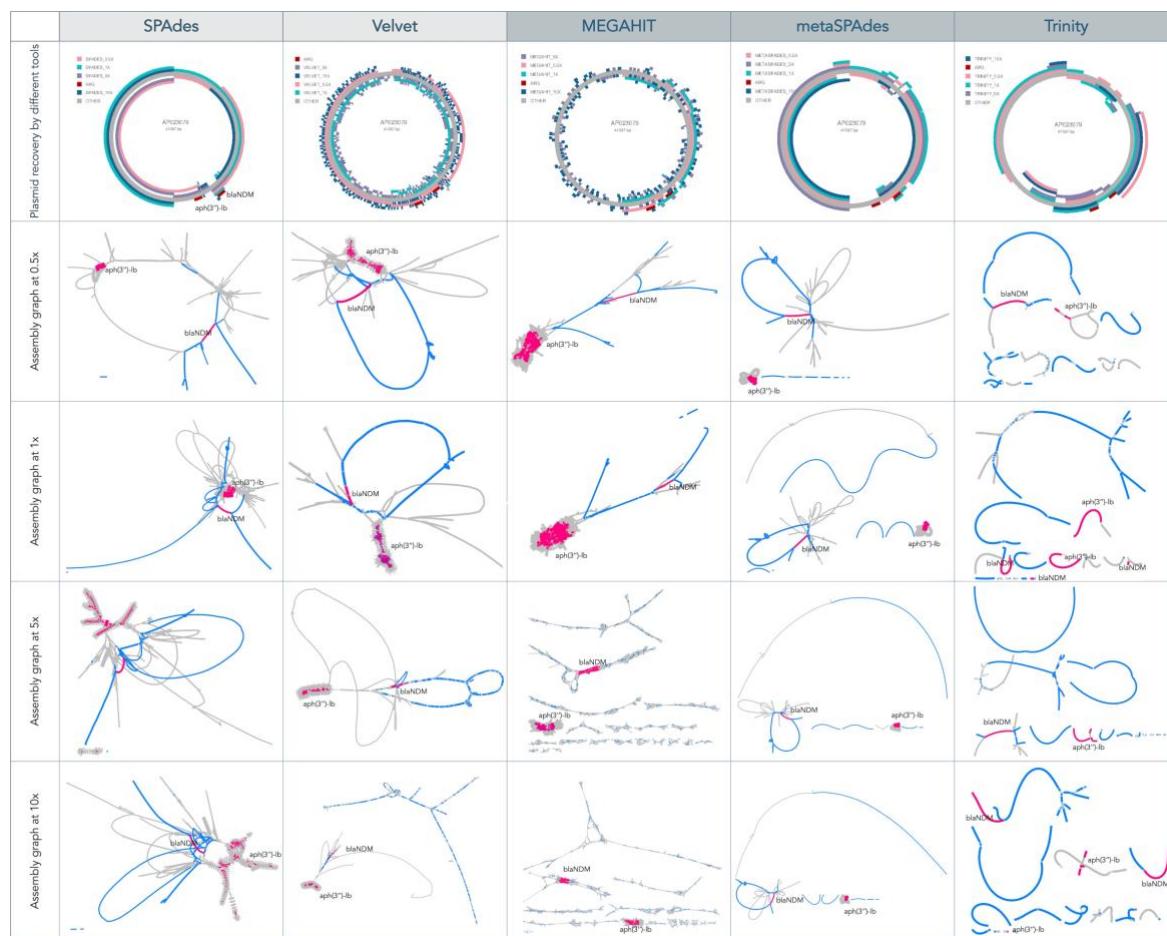
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340 We also used SPAdes contigs as seeds to extend them using TriMetAss. The results
341 revealed that in general TriMetAss output a few more correctly assembled contigs containing
342 full ARGs. Importantly, these contigs were on average 2000 bp longer than initial SPAdes
343 contigs. As a drawback, TriMetAss also produced more misassembled contigs in
344 comparison to SPAdes output (Figure 3A).

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350 **Figure 4. Visual representation of assembly results on the example of one of the**
 351 **plasmids AP023079 containing two ARGs blaNDM and aph(3''): A) visual**
 352 **representation using FARAQ: light gray represents the backbone plasmid and the**
 353 **other colors represent correctly assembled contigs from different assemblies (0.5x in**
 354 **pink, 1x in teal, 5x in purple and 10x in blue) and ARGs are in red; B) visual**
 355 **representation of the corresponding assembly graphs using Bandage: the figures**
 356 **represent only part of the whole assembly graph corresponding to the AP023079**
 357 **plasmid sequence, where blue lines correspond to BLAST hits of the assembled**
 358 **contigs to the plasmid and pink lines to the ARG regions.**

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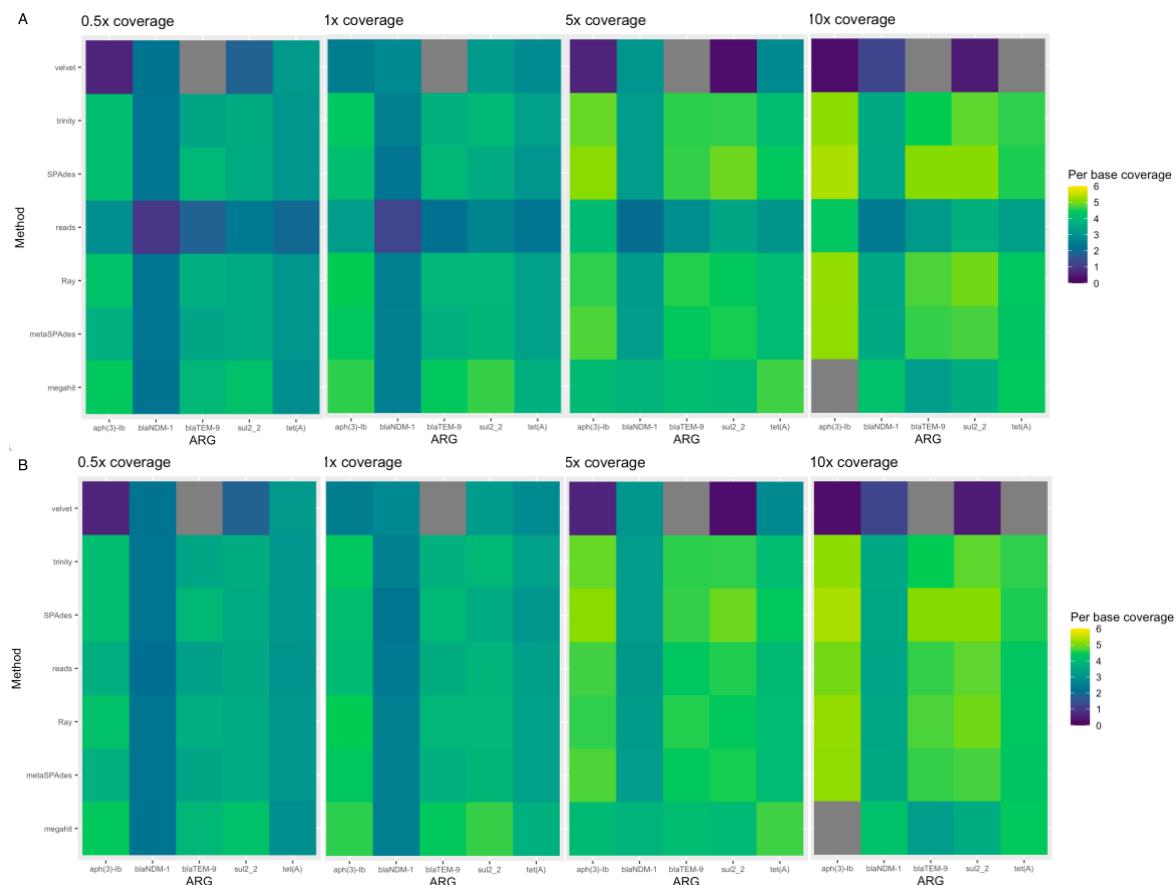
362 ARG regions are particularly prone to poor assembly quality

363 All assemblers reconstructed large contigs spanning in some cases half of the plasmid
364 sequence (as shown on the example of AP023079 plasmid; Figure 4), but broke exactly at
365 the beginning of ARG sequence. The complexity of assembly graphs also increased with
366 more coverage, but for some tools, such as SPAdes and metaSPAdes, additional coverage
367 helped to resolve ambiguous branching and reconstruct longer contigs, while for MEGAHIT
368 increased coverage resulted in profound fragmentation. The assembly graphs also made it
369 clear that Trinity, as a transcriptomic assembler, utilizes a different approach in comparison
370 to the other tools, resulting in very characteristic assembly graph patterns.

371

372 To investigate what consequences this fragmentation has on the ARG quantification, we
373 mapped reads back to the corresponding assemblies to estimate gene abundances. In
374 parallel, we quantified ARGs by mapping reads directly to the ResFinder database (Figure
375 5A) and to the same database but clustered by 90% identity to reduce variant redundancy
376 (Figure 5B).

377



378

379 **Figure 5. ARG quantification using either assembled contigs as a reference or by**
380 **directly mapping short reads to the ResFinder database: per base total coverage**
381 **calculated using FARAO from aligning reads to the contigs, and using direct ARG**
382 **quantification by mapping reads to ResFinder database (A) and ResFinder clustered**
383 **to 90% identity (B).**

384

385

386

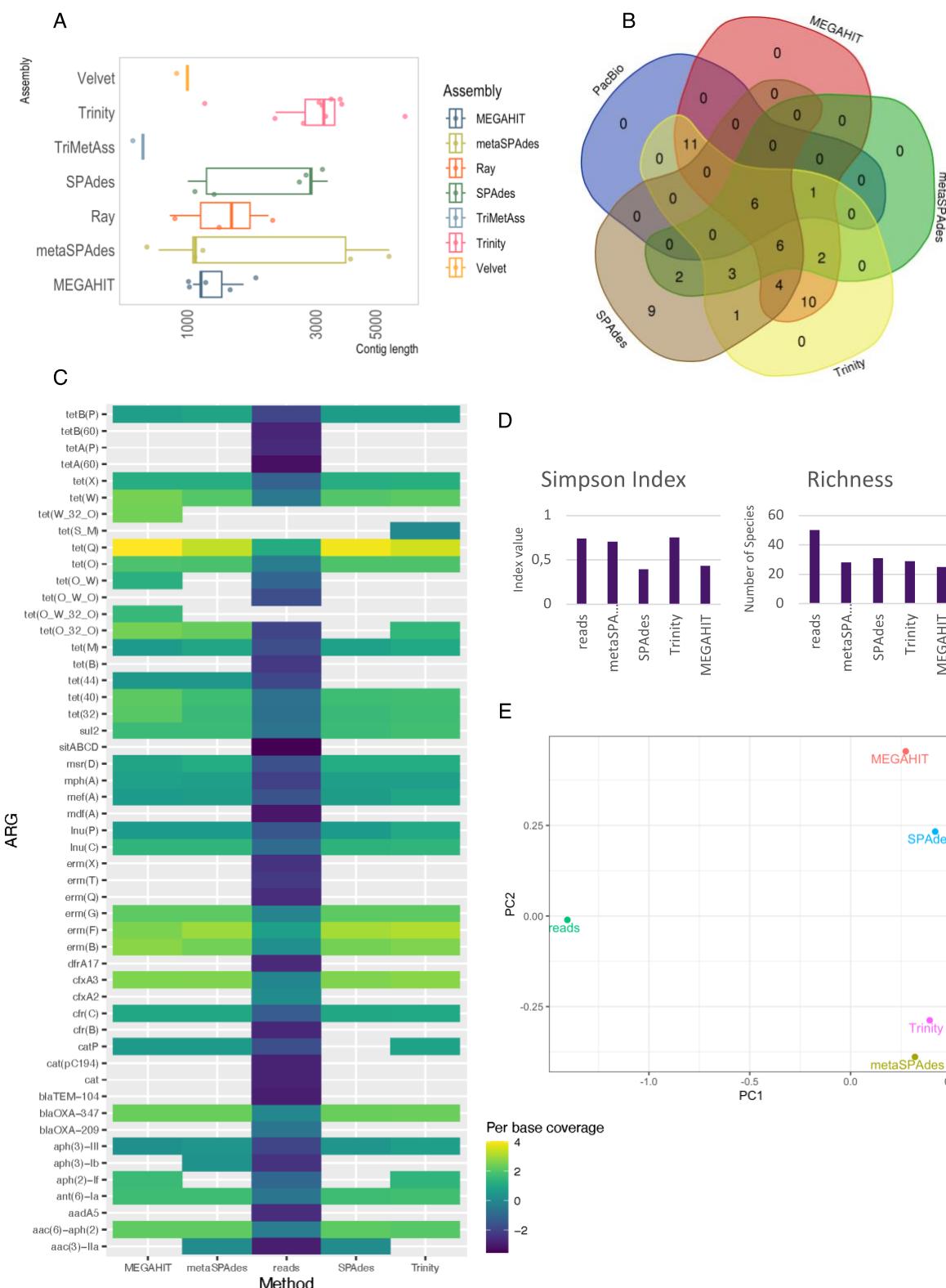
387 **Long-read data confirmed results of simulated metagenomes**

388 To validate the findings from the simulated metagenome data, we performed a second test
389 with a real dataset. For this, we used PacBio reads containing full ARGs as a reference for
390 the contigs assembled from a corresponding short-read data set derived from the same

391 samples (see Materials and Methods for details). In this dataset, we annotated ARGs on the
392 PacBio reads, which resulted in 18 unique ARGs (98% identity and 100% coverage) found
393 on 125 PacBio reads (32 reads carried more than one ARG). This set of PacBio reads was
394 used as a reference to evaluate contigs containing ARGs assembled from short-read data.

395

396 After assembling the short-reads data, we compared these contigs to the PacBio reference
397 reads to assess the correctness of genomic context. In this comparison, Trinity had the
398 highest number of correct contigs matching the reference PacBio reads, and these contigs
399 were on average longer than the ones reconstructed by the other tools (Figure 6A and Table
400 S1). In contrast, MEGAHIT, metaSPAdes and SPAdes assembled half as many contigs with
401 on average shorter length than Trinity. To check if another approach using TriMetAss could
402 improve the results, we used SPAdes contigs containing full and truncated ARGs as a seed
403 for iterative re-assembling. However, the results revealed that this approach did not
404 considerably improve the length of the contigs containing ARGs.



405

406 **Figure 6 Results from real case scenario: A) Length distribution of contigs assembled**
 407 **from short reads matching the PacBio reference reads; B) Number of unique ARGs**
 408 **identified on assembled contigs and PacBio reference reads (Ray, Velvet and**

409 **TriMetAss are not shown); C) ARG quantification using assembly reference and direct**
410 **mapping of short reads: FARAO results for assemblies and direct ARG quantification**
411 **by mapping reads to the ResFinder database, D) Simpson diversity and Richness**
412 **(unique ARGs identified); E) PCoA based on Bray-Curtis distances between**
413 **quantification methods.**

414 Long-read sequencing does not reliably detect all ARGs

415 All together, 55 unique ARGs were identified from all the assembled contigs by all the short-
416 read tools and PacBio reads, with only 6 ARGs common between all of them (Figure 6B).
417 Interestingly, Trinity, SPAdes and metaSPAdes recovered several additional ARGs (full or
418 truncated) not present on PacBio reads. Importantly, annotation of short reads alone
419 resulted in 85 matches (80% coverage and 98% identity).

420
421 To assess how this discrepancy in ARG identification would affect the results of ARG
422 quantification, we separately mapped short-reads to assembled contigs, as well as to the
423 ResFinder database to quantify ARG abundances directly from the reads. This provides a
424 direct comparison between the two prevailing approaches to quantify ARGs in metagenomic
425 data (Bengtsson-Palme et al. 2017). This analysis revealed that for some ARGs, results are
426 very similar between approaches, such as for several tetracycline genes (e.g., *tetQ* and
427 *tetW*), as well as the erythromycin resistance gene *ermB* and aminoglycoside resistance
428 gene *aph(3')-III* (Figure 6C). However, several ARGs were detected only by mapping reads
429 directly to the ResFinder database and were missing completely from the assembly-based
430 approach (e.g., *tetB*, *blaTEM-104* and *ermT*).

431

432

433 Discussion

434 The repertoire of ARGs recovered from the same dataset

435 differs depending on the tool used

436

437 It is commonly assumed that metagenomic high-throughput sequencing allows an *unbiased*
438 cataloging of ARGs at the whole microbiome scale ([Su et al. 2017](#); [Lee et al. 2021](#)) in
439 comparison to qPCR and culture-based methods. However, it is rarely considered that
440 downstream data processing can have major impacts on the results reported.

441 There have been several initiatives to benchmark metagenomics software. [Wang et al.](#)
442 ([2019](#)) performed evaluation of metagenomic assemblers using real metagenomic datasets
443 spiked with reads from known genomes focusing on completeness and accuracy of
444 reconstructed genomes. A few studies have looked into the benefits of using long-reads for
445 improving metagenomic assemblies ([Bertrand et al. 2019](#); [Latorre-Pérez et al. 2020](#); [Xie
446 et al. 2020](#)). Within the framework of the CAMI challenge, [Sczyrba et al. \(2017\)](#) and
447 [Meyer et al. \(2022\)](#) evaluated assembly performance, but largely for the purpose of
448 taxonomic profiling. However, only a few studies have looked into the implications of
449 assembler choice for the inference of gene contexts and gene abundances from
450 metagenomic assemblies. [Brown et al. \(2021\)](#) used resistome risk score based on co-
451 occurrences of ARGs, MGEs and pathogen gene markers on the same contig to evaluate
452 convergence of biological output produced by different assemblers. Another paper by
453 [Galata et al. \(2021\)](#) showed that the choices of assembly software as well as sample
454 complexity have considerable impact on prediction of genes and proteins. A recent study by
455 [Yorki et al. \(2023\)](#) focused on assessment of short-, long- and hybrid-approaches to
456 recover the genome of clinically relevant low-abundant *E. coli* and their ARG content from

457 metagenomic samples. Unfortunately, the results of these studies are often contradictory,
458 most probably due to the different approaches and features of the underlying test data. Most
459 importantly, despite being mentioned by several studies, the impact of assembler choice on
460 the biological interpretability has not been well explored.

461

462 In the current study, we used both simulated and real metagenomics data to assess the
463 impact of assembler choice on the identification and quantification of ARGs, as well as the
464 ability to correctly reconstruct the genomic contexts surrounding these ARGs. Metagenomic
465 assemblers are optimized to deal with sequence data from samples containing multiple
466 species in different abundances, and therefore their performance was of primary interest. In
467 the simulated scenario, metaSPAdes considerably outperformed MEGAHIT in terms of
468 number of contigs containing full ARG sequences, with MEGAHIT predominantly producing
469 short contigs (on average 284 bp long) with truncated ARGs. This could have severe
470 consequences on the final results since many metagenomic studies utilizing the assembly
471 approach employ a filtering step to remove short, potentially erroneous, contigs containing
472 little useful information. The filtering cut-off can vary from 300 bp up to 2 kb ([Dang et al.](#)
473 [2020](#); [Chen et al. 2022](#); [Yi et al. 2022](#); [Ke et al. 2023](#)). Even if we were to apply the most
474 allowing cut-off of 300 bp to the MEGAHIT results, 99% of contigs containing ARGs would
475 be filtered out, resulting in a considerable underestimation of the resistome in the sample.
476 This suggests that the choice of assembler as well as pre-processing and post-processing
477 steps can considerably affect the end result.

478

479 This problem became even more profound when we performed ARG identification in a real
480 dataset. For a real dataset, there is no way to know the true complete repertoire of ARGs.
481 Therefore, we used PacBio reads containing full ARGs as a reference for the contigs
482 assembled from corresponding short-read data. All together, 55 unique ARGs were identified
483 from all the assembled contigs across all the short-read tools and the PacBio reads (Figure

484 6B). The number of unique ARGs captured by the different assemblers varied greatly, from
485 44 identified by Trinity to 20 captured by metaSPAdes. Similarly to the assemblies from the
486 simulated data, 52% of the MEGAHIT contigs containing ARGs would have been filtered out
487 using a 300 bp length cut-off, showing that this undesired effect is not simply a matter of our
488 methodological choices for simulating data. Interestingly, Trinity, SPAdes and metaSPAdes
489 recovered several additional ARGs not present on the PacBio reads. In this particular case,
490 the short read dataset was sequenced three times deeper than the PacBio dataset,
491 suggesting that the long read dataset did not have sufficient depth to pick up all the ARGs.
492 That said, the two approaches would probably perform similarly well at comparable
493 sequencing depth, but the costs of long read sequencing would – at present – be
494 considerably higher.

495
496 Worryingly, only six ARGs were commonly identified by all tools, including two
497 aminoglycoside, two tetracycline and two erythromycin resistance genes. Consequently,
498 some genes were missing from the output by all short-read assemblers tested, including the
499 clinically relevant beta-lactamase gene *blaOXA*, which was identified on PacBio reads and
500 therefore most certainly present in the sample. The most probable explanation for this is that
501 those were rare genes that did not get sufficient coverage to be assembled by the short-read
502 sequencing effort and therefore are missing from the resulting assembly. An alternative
503 approach to ARG quantification in metagenomes, circumventing assembly, is identification of
504 ARGs by mapping the reads directly to one of the available ARG databases ([Bengtsson-](#)
505 [Palme et al. 2017](#)). We annotated reads by mapping reads to the ResFinder database,
506 which resulted in identification of 85 unique ARGs. Perhaps not surprisingly, this number by
507 far surpassed the total number of ARGs identified by mapping reads to the assembled
508 contigs, as well as on PacBio reads alone. Reads are typically much shorter than contigs
509 and might map spuriously to several different targets causing false positives. At the same
510 time, this approach does not require coverage of the entire ARG in order to detect it, which

511 may be crucial for the detection of rare ARGs. As many clinically relevant ARGs to last resort
512 antibiotics are typically rare in most microbiomes, the increase of detection ability is highly
513 important for e.g. monitoring of high-risk ARGs ([Abramova et al. 2023](#); [Bengtsson-Palme](#)
514 [et al. 2023](#)). This finding also highlights the importance of not basing gene catalogs only on
515 assemblies from the metagenomes under study, but also including relevant gene or genome
516 repositories into the catalogs used for annotation and read mapping.

517

518 Depending on which tool and cut-off are used for the data analysis, the end results can be
519 drastically different. It is important to mention though that the number of correctly assembled
520 full-length ARGs on its own is not always a good measure of assembler performance, if the
521 rest of the output contigs contain misassembled sequences. In most real-world scenarios, it
522 would not be possible to determine which contigs were correctly and incorrectly assembled,
523 underscoring the importance of assembly tools achieving a good ability to stitch reads
524 together while still maintaining strict precision in terms of obtaining the correct assembled
525 contexts.

526

527 Correctly assembled short contigs often lack context around ARGs

528 Obtaining a correctly assembled full or even truncated ARG might be enough for certain
529 applications, for example when estimating the ARG diversity in a sample. However, for the
530 purpose of host taxonomic inference or mobility assessment of a given ARG, it is necessary
531 to look into the genomic context around it. After assembling short-reads data we compared
532 the resulting contigs to the original plasmid sequences for a simulated data set or to PacBio
533 reference reads for the real data scenario, allowing us to assess the correctness of the
534 assembled genomic contexts. In this comparison, Trinity had the highest number of correct
535 contigs matching the reference in both cases, and the Trinity contigs were on average longer
536 than the ones reconstructed by the other tools (Figure 3B and Table S1). Trinity is a

537 transcriptome assembler, specifically designed to assemble transcript variants resulting from
538 alternative splicing or gene duplication ([Grabherr et al. 2011](#)). Instead of trying to
539 reconstruct the full graph, it starts with assembling disjoint transcription loci which are further
540 converted into de Bruijn graphs and pruned based on read support. The difference to the
541 other assembler approaches is visible in the graph representation (Figure 4), where Trinity
542 contigs are represented by nodes of more even coverage and less complexity in comparison
543 to graphs resulting from metaSPAdes and MEGAHIT assemblies. The original MEGAHIT
544 publication ([Li et al. 2015](#)) showed that its performance becomes better with increased
545 coverage (from 10x to 100x) in terms of N50 value, largest alignment length and number of
546 misassemblies. However, we observed that in our simulated data scenario MEGAHIT
547 performed best at lower coverages (0.5x and 1x; Figure 2 and 3), showing extensive
548 fragmentation at the higher coverages as revealed by the highly branching graph (Figure 4).
549 However, in the real data scenario MEGAHIT and metaSPAdes showed very similar
550 performance in terms of number of correct contigs and their length. This is somewhat
551 reflective of our simulated approach representing a very complex, but yet realistic, case in
552 terms of the number of different resistance plasmids present in the simulated data. That
553 said, due to the rather short length of the MEGAHIT and metaSPAdes contigs they match to
554 several different PacBio reads (different genomic contexts) implying that their length is not
555 sufficient to unambiguously decipher the taxonomic origin of the ARGs they carry. Taking
556 into account that the average ARGs is longer than 500 bp, these contigs most probably also
557 lack any information about co-located ARGs or MGEs, at least with any degree of certainty.
558

559 Quantification of ARGs is heavily dependent on correct assemblies

560 As has been discussed above, the repertoire of ARGs detected in the assemblies varied
561 greatly between different assembly tools in both scenarios. To investigate what
562 consequences this has on the ARG quantification, we mapped reads back to the

563 corresponding assemblies to estimate gene abundances. In parallel, we quantified ARGs by
564 mapping reads directly to the ResFinder database. For the simulated scenario, we have
565 knowledge about exactly which ARG sequences should be present in the dataset and
566 therefore can directly compare abundances for these particular genes estimated by two
567 different approaches. It was surprising to observe that quantification by mapping reads back
568 to the ResFinder database revealed in general lower abundance levels than when
569 calculating abundance by mapping to the assembled contigs (Figure 5A). The ResFinder
570 database, as well as the other AMR gene catalogs, contains two hierarchical levels of
571 nomenclature: gene family such as *blaNDM* or *tet(M)* and their associated allelic variants
572 (e.g. *blaNDM-1*, *tet(M)-6*). We hypothesized that the observed results is a consequence of
573 variant "spill-over" effect when the lengths of the reads were insufficient to differentiate
574 between the variants.

575 A possible solution often used to reduce the impact of this variant "spill-over" effect is to
576 cluster all the similar variants and retain only a single representative sequence. We did this
577 in an additional test using the ResFinder database clustered to 90% identity (Figure 5B).
578 This approach allowed us to estimate the abundance for a family of closely related ARG
579 variants instead of a particular variant. Despite the somewhat lower resolution, using a
580 clustered database yielded abundance estimates for all the spiked-in genes comparable to
581 those estimated based on the mapping to assembled contigs.

582
583 In the real-case scenario, the results revealed that several ARGs were quantified only by
584 mapping short reads directly to the ResFinder database and were missing completely from
585 the assembly-based approach (Figure 6C). Some of those are clinically relevant genes such
586 as *tetA*, *tetB*, *blaTEM* and *ermT*, and therefore, it is crucial to understand if their
587 presence/absence is an artifact. In the case of *blaTEM*, it was only identified by mapping the
588 short reads to the ResFinder database, and it was missing from both PacBio reads as well
589 as assembled contigs. A closer inspection of the original Illumina dataset showed that it is a

590 low-abundant gene that did not have enough coverage to be assembled by short-read
591 assemblers and was not picked-up by long-read sequencing.

592

593 All together, these results show that none of the approaches give a comprehensive picture of
594 ARG diversity and abundance. Assembled contigs provide a good resolution in terms of
595 identification and quantification of specific ARG variants as well as their genomic context, but
596 at the same time this approach misses rare ARGs due to insufficient coverage. In contrast,
597 direct mapping of short reads spuriously aligns them to different ARG variants, leading to an
598 overestimation of the resistome diversity in a sample. There are several studies suggesting
599 the importance of knowing the genomic context of ARG variants for determining their
600 transmission potential, co-resistance patterns and how well they would respond to different
601 interventions ([Zhang et al. 2021](#); [Munk et al. 2022](#)). Therefore, using read-based
602 quantification alone to determine ARG abundance in a sample can result in a misleading
603 interpretation regarding which particular variant is present and abundant in a sample. This
604 highlights the importance of using a combination of approaches to obtain an unbiased
605 picture for ARG diversity and abundance in a metagenomic sample and to exercise caution
606 when interpreting individual ARG results from metagenomic data.

607

608

609 Certain ARG contexts are particularly hard to assemble correctly

610 Interestingly, not all of the ARGs were equally easy to assemble (Figure 2), with *aph(3")-lb*
611 being the most difficult gene to fully assemble among the ones spiked-in. This is a good
612 example of what happens during assembly of regions which are present in multiple genomic
613 contexts with differential coverage in the same sample. On the original plasmids, the
614 aminoglycoside resistance gene *aph(3")-lb* was surrounded by insertion sequences, several
615 other ARGs and recombinases, all contributing to making it difficult to assemble the region

616 around the gene correctly. Not surprisingly, the assembly graphs showed that this **problem**
617 **becomes more pronounced with increasing coverage** (Figure 4, the brush-like structures
618 representing *aph(3')-lb*). In a nutshell, this problem is analogous to the recovery of 16S
619 rRNA genes from metagenomic samples. The 16S rRNA is a gene consisting of a patchwork
620 of hypervariable and universally conserved regions, resulting in highly complex branched
621 assembly structures ([Vollmers et al. 2017](#)).

622
623 A factor further complicating metagenomic assembly is that microbiomes are typically
624 characterized by different abundance levels of various species and as a result DNA
625 sequencing yields a highly non-uniform distribution of read coverages across different
626 genomes. In addition, read coverages for most species are much lower than in a typical
627 cultivated single-species sample. All together, these features of metagenomic data cause
628 standard genome assembly procedures to produce fragmented and error-prone assemblies,
629 as can be seen in the examples of Velvet, Ray and SPAdes.

630
631

632 **Conclusions**

633 Overall, there is a need for better assembly software to deal with ARGs in multiple contexts,
634 as the results of this study show that none of the current tools are capable of dealing with
635 samples of high complexity. Currently available metagenomics assembly tools metaSPAdes
636 and MEGAHIT are able to identify a variety of ARGs, but fail to fully recover the diversity of
637 genomic contexts present in a sample. The **transcriptomic assembler Trinity, despite being**
638 **designed for a different purpose, is an interesting alternative as it showed better**
639 **performance in reconstructing longer and fewer contigs matching unique genomic contexts,**
640 **which can be beneficial for deciphering the taxonomic origin of ARGs.** Therefore, for
641 situations where a complex metagenome can be expected, we would recommend using

642 Trinity. However, often the available computational resources will not allow this, as Trinity is
643 a computationally very demanding software. As a second option, we suggest metaSPAdes,
644 which also requires a lot of resources, and therefore is feasible only for smaller datasets,
645 unless substantial computational resources are available. MEGAHIT showed quite poor
646 performance in a complex case scenario, producing very short contigs, but its performance
647 was comparable to that of metaSPAdes in the real data scenario. We would suggest
648 MEGAHIT as an option for low complexity samples as it is also much more CPU- and
649 memory-friendly than all other approaches. In addition, MEGAHIT might sometimes be the
650 only feasible option for producing assemblies from very large datasets. This is not an ideal
651 situation from a point of view of assigning contexts to ARGs and highlights the necessity of
652 developing new approaches to short-read assembly.

653

654 Finally, we have made one very important observation: our results show that using a length
655 filtering threshold for the assembled contigs can contribute to a dramatic loss of ARG-
656 containing contigs. This is due to that ARGs seem to be over-represented among
657 challenging genomic contexts for assembly, and for that reason these regions are
658 particularly prone not to be properly assembled, resulting in short and fragmented contigs.
659 This can lead to drastic underestimation of the resistome diversity and abundance in a
660 sample. We suggest, therefore, to annotate ARGs on contigs *before* filtering on the length, to
661 have an idea of what is being filtered out. When it comes to ARG abundance quantification,
662 direct mapping of ARGs to a database rather than an assembly results in better detection
663 ability, but risks increasing false positive detections. One alternative approach is to cluster
664 the reference database to reduce the number of ARG variants. This will lead to a lower
665 resolution at the ARG variant level, but will on the other hand reduce the risks for biasing the
666 picture of ARG prevalence. Another way could be to assign a threshold for the minimal
667 number of reads mapped, distributed across a reference ARG, to make sure that there is
668 enough support for that gene being present in a sample.

669

670 In conclusion, as researchers we should not blindly trust the output of our bioinformatics
671 tools. If tools corroborate each other, one can put more trust into their output. If not, one
672 should exercise a lot of caution when interpreting data, especially on genetic contexts in
673 potentially complicated regions. Long read sequencing may eventually solve these
674 problems, but we are not there yet, partially because of the excessive costs of deep long-
675 read sequencing. In the meantime, new more accurate methods are needed to resolve the
676 contexts around ARGs in order to determine where they belong taxonomically and their
677 potential for mobility.

678

679 **List of abbreviations**

680 ARGs Antibiotic resistance genes
681 AMR Antimicrobial resistance
682 RNA Ribonucleic acid
683 CAMI Critical Assessment of Metagenome Interpretation
684 MGEs Mobile genetic elements
685 SRA Sequence Read Archive
686 bp base pairs
687 CARD Comprehensive Antibiotic Resistance Database
688 NCBI National Center for Biotechnology Information
689 qPCR Quantitative Polymerase Chain Reaction

690

691 **Declarations**

692 **Ethics approval and consent to participate**

693 Not applicable

694 **Consent for publication**

695 Not applicable

696 **Availability of data and material**

697 The datasets analysed during the current study are available in the SRA database:

698 <https://www.ncbi.nlm.nih.gov/sra/?term=SRR10917786>,

699 <https://www.ncbi.nlm.nih.gov/sra/?term=SRR9654970>

700 **Competing interests**

701 The authors declare that they have no competing interests.

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708 **Authors' contributions**

709 JBP conceptualized, supervised, and acquired funding for the work. All authors contributed

710 to design of the experimental framework. AA produced and analyzed results; wrote the

711 manuscript. All authors contributed to interpretation of the data and revision of the

712 manuscript. All authors read and approved the final manuscript.

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