# **SEDA: Scalable Embedded Device Attestation**

N. Asokan<sup>1</sup>, Ferdinand Brasser<sup>2</sup>, Ahmad Ibrahim<sup>2</sup>, Ahmad-Reza Sadeghi<sup>2</sup>, Matthias Schunter<sup>3</sup>, Gene Tsudik<sup>4</sup>, and Christian Wachsmann<sup>2</sup>

<sup>1</sup>Aalto University and University of Helsinki, Espoo and Helsinki, Finland

<sup>2</sup>Technische Universität Darmstadt, Germany

<sup>3</sup>Intel Labs, Portland, OR, U.S.A.

<sup>4</sup>University of California, Irvine, CA, U.S.A.

{ferdinand.brasser, ahmad.ibrahim, ahmad.sadeghi, christian.wachsmann}@trust.cased.de, asokan@acm.org, matthias.schunter@intel.com, gene.tsudik@uci.edu

#### **Abstract**

Today, large numbers of smart interconnected devices provide safety and security critical services for energy grids, industrial control systems, gas and oil search robots, home/office automation, transportation, and critical infrastructure. These devices often operate in swarms – large, dynamic, and self-organizing networks. Software integrity verification of device swarms is necessary to ensure their correct and safe operation as well as to protect them against attacks. However, current device attestation schemes assume a single prover device and do not scale to swarms.

We present SEDA, the first attestation scheme for device swarms. We introduce a formal security model for swarm attestation and show security of our approach in this model. We demonstrate two proof-of-concept implementations based on two recent (remote) attestation architectures for embedded systems, including an Intel research platform. We assess performance of SEDA based on these implementations and simulations of large swarms. SEDA can efficiently attest swarms with dynamic and static topologies common in automotive, avionic, industrial control and critical infrastructures settings.

#### **Categories and Subject Descriptors**

D.4.6 [Operating Systems]: Security and Protection

#### **Keywords**

remote attestation; device swarms; security

# 1. INTRODUCTION

Current and emerging industrial trends envision systems consisting of large numbers of heterogeneous embedded and mobile devices, forming so-called Internet of Things (IoT). Analysts predict billions of connected devices that will enable many new services and experiences. Examples include: (1) industrial control systems,

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where large numbers of connected autonomously operating devices collaborate to monitor and control safety-critical processes (e.g., smart factories), (2) connected IoT devices in smart environments (e.g., smart homes and smart buildings) and (3) self-organizing dynamic networks where a multitude of devices form collectively intelligent systems (e.g., robots used for oil and gas search). Inspired by nature, such systems are often referred to as device swarms [13, 19, 45]. To ensure their correct operation, it is crucial to maintain their software integrity and protect them against attacks. For instance, large-scale industrial control systems or robot swarms are vulnerable to a wide range of attacks [6, 12, 19, 21, 23, 36, 43]. Verifying correct and safe operation of these systems requires an efficient mechanism to collectively verify software integrity of all devices in order to detect software modifications. However, naïve applications of remote attestation do not scale to these systems. In particular, device swarms with dynamic topologies, such as vehicular ad-hoc networks, robot swarms, and sensors in fluid environments, require novel and flexible solutions.

Many approaches to remote attestation have been proposed todate. Common to all of them is that the entity (device) to be attested, called prover, sends a status report of its current software configuration to another party, called verifier, to demonstrate that it is in a known and, thus trustworthy, state. All existing attestation techniques consider only a single prover and verifier. Since malicious software on the prover could forge this report, its authenticity is typically assured by secure hardware [16, 26, 27, 42, 46, 51] and/or trusted software [2, 24, 27, 29, 47, 48, 52]. Attestation based on secure hardware is most suitable for advanced computing platforms, such as smartphones, tablets, laptops, personal computers, and servers. However, underlying security hardware is often too complex and/or expensive for low-end embedded systems. In contrast, software-based attestation [24, 29, 47, 48] require neither secure hardware nor cryptographic secrets. However, security guarantees of software-based attestation methods rely on strong assumptions, such as the adversary being passive while the attestation protocol is executed and optimality of the attestation algorithm and its implementation. They also rely on tight time constraints, on strict estimation of round-trip time and on the existence of an outof-band authentication channel as no secrets are shared between the prover and the verifier. Such assumptions are hard to achieve in practice [3] and restrict applicability of software-based attestation to the one-hop setting. Hence, a secure and practical remote attestation scheme requires minimal security features in hardware such

as read-only memory (ROM) and memory isolation (e.g., memory protection unit) [16, 17].

Consequently, designing efficient and secure attestation of device swarms poses several challenges. In particular, in swarms with dynamic topology, attestation needs to be combined with key management, network discovery, and routing in a secure and efficient way. Clearly, it is important to ensure that compromised devices cannot evade detection during attestation and honest devices must not be double-counted. Furthermore, computation and communication costs for the verifier and (possibly many) provers should be minimized. This requires a cumulative and efficient attestation scheme that cannot be instantiated by trivial combinations of existing attestation protocols. Moreover, a swarm attestation protocol should ideally distribute its burden – including computation, communication, and energy costs – over all devices in the swarm.

#### **Contributions:**

First Swarm Attestation Scheme: We design SEDA, Scalable Embedded Device Attestation, which is, to the best of our knowledge, the first attestation scheme for large-scale swarms. SEDA represents the first step in a new line of research on multi-device attestation. Although SEDA adheres to the common assumption – made in most (single-prover) attestation techniques – of ruling out physical attacks on devices, we discuss mitigation techniques for such attacks in Section 9.

Security Model & Analysis: We present the first security model for swarm attestation and demonstrate security of SEDA in this model. Two Working Prototypes: We describe two concrete instantiations of SEDA based on state-of-the-art security architectures for lowend embedded systems: SMART [16] and TrustLite [25], the latter based on an Intel research platform [44]. They demonstrate feasibility of SEDA on swarms of low-end embedded devices with minimal hardware security features.

*Performance Analysis:* We assess performance of two SEDA instantiations and present simulation results for swarms with up to 1,000,000 devices, thus demonstrating SEDA's scalability. Our results clearly indicate that SEDA performs significantly better than individually attesting each device separately.

**Outline:** We begin with an overview of swarm attestation (Section 2) and our notation (Section 3). We then describe SEDA protocol in detail (Section 4), two implementations of it (Section 5) and performance results (Section 6). We examine security of SEDA (Section 7), discuss several extensions (Section 8) and revisit the question of physical attacks (Section 9). Finally we summarize related work (Section 10).

# 2. SWARM ATTESTATION

### 2.1 Problem Description and System Model

A swarm  $\mathcal S$  is a set of s devices with possibly different hardware and software configurations, as shown in Figure 1. Devices are initialized and deployed by swarm operator  $\mathcal{OP}$  in a trusted manner. Table 1 summarizes our notation.

The goal of *swarm attestation* is to assure a *verifier*  $VR\mathcal{F}$ , which may be different from  $\mathcal{OP}$ , of  $\mathcal{S}$ 's overall software integrity or lack thereof.  $VR\mathcal{F}$  may be a remote entity. An important property of swarms is that each device can communicate only with its direct neighbors [13, 19, 45].  $\mathcal{S}$  might be dynamic in terms of both topology and membership. Device mobility might be voluntary (i.e., self-locomotion) or involuntary (i.e., guided by ambient factors). Hence,  $\mathcal{S}$ 's current topology may be unknown to  $\mathcal{OP}$  and  $\mathcal{VRF}$ . The main idea is that  $\mathcal{S}$  is trustworthy if all of its devices have been deployed by  $\mathcal{OP}$ , and are running a software configuration certified by  $\mathcal{OP}$ , i.e.,  $\mathcal{S}$  is trustworthy if all its devices are successfully

attested by  $\mathcal{VRF}$ . SEDA focuses on swarm attestation and leaves policies to  $\mathcal{VRF}$ . It guarantees that  $\mathcal{VRF}$  reliably learns the total number of participating and correctly operating devices. Note that devices not responding to protocol messages cannot be attested successfully and are considered compromised. When determining the swarm's current state, the distinction between compromised and unreachable devices can be ignored, since, in each case, the device is not functioning correctly.

# 2.2 Requirements Analysis

**Objectives.** A secure swarm attestation scheme must have the following properties:

- Property (1): Support the ability to remotely verify integrity of S as a whole.
- Property (2): Be more efficient than individually attesting each device D<sub>i</sub> in S.
- *Property (3):* Not require  $\mathcal{VRF}$  to know the detailed configuration of  $\mathcal{S}$  (e.g., the type and software version of devices and network topology).
- *Property (4):* Support multiple parallel or overlapping attestation protocol instances.
- Property (5): Be independent of the underlying integrity measurement mechanism used by devices in S.

Property (1) is the core objective of swarm attestation. Property (2) is essential for scalability in large swarms. Property (3) simplifies attestation and is needed if system configuration must not be disclosed to  $\mathcal{VRF}$ . For example, in smart factories, the maintenance may be outsourced, and maintenance staff may need to check overall trustworthiness of the production system while the exact setup remains secret [30, 35, 56]. Property (4) is relevant to applications where multiple verifiers need to independently verify system integrity without coordination. Property (5) is needed for extensibility, to support a wide range of single-device attestation mechanisms and to be able to adapt to future attestation schemes, e.g., those that allow detection of code-reuse attacks.

**Adversary model.** As common in the attestation literature [16, 24, 47, 48] we consider software-only attacks. This means that, although the adversary, denoted as  $\mathcal{ADV}$ , can manipulate the software of (i.e., compromise) any device D in  $\mathcal{S}$ , it cannot physically tamper with any device. However,  $\mathcal{ADV}$  can eavesdrop on, and manipulate, all messages between devices, as well as between devices and  $\mathcal{VRF}$ . Furthermore, we rule out denial-of-service (DoS) attacks since  $\mathcal{ADV}$  typically aims to remain stealthy and undetected while falsifying the attestation result for  $\mathcal{VRF}$ . This is also in line with our primary goal of *detecting* device compromise that occurs via *remote* malware infestations.

Nevertheless, we sketch out several approaches for mitigating physical attacks in Section 9 and address DoS attack mitigation techniques in Section 7.

**Device requirements.** In order to satisfy properties (1), (2) and (3), a secure swarm attestation scheme should be able to remotely verify integrity of each D and aggregate the results. These impose the following requirements on each device D [16, 17]:

- Integrity measurement: It must be infeasible for ADV to tamper with the mechanism that attests integrity of D's software.
- Integrity reporting: It must be infeasible for ADV to forge the integrity measurement report sent from D to VRF.

<sup>&</sup>lt;sup>1</sup>Section 8 describes a more efficient variant of SEDA that uses sampling.

<sup>&</sup>lt;sup>2</sup>These devices are detected via a time-out mechanism at a lower network layer.

Secure storage: It must be infeasible for ADV to access any
cryptographic secret(s) used by D as part of attestation.

In Section 5, we demonstrate viability of SEDA implemented on top of two recent attestation architectures which satisfy the above requirements with minimal hardware support: SMART [16] and TrustLite [25].

**Assumptions.** Following recent literature on attestation of lowend embedded systems [16, 17, 25], we assume that each D in S satisfies minimal requirements for secure remote attestation, as discussed above. Furthermore, following swarm robotics literature [13, 19, 45], we assume that D can communicate with all its neighboring devices in S, and that the network is connected, i.e., each D is reachable, at least while the attestation protocol executes. We consider all underlying cryptographic primitives and their implementations to be secure. We also assume that  $\mathcal{OP}$  is trusted. Finally, we assume that swarm topology remains static for the duration of a given attestation protocol instance. This does not preclude so-called "herd mobility" (entire swarm moving as a whole) or micro-mobility (devices move while retaining overall topology). Topology can change between attestation protocol instances. In Section 8 we discuss how SEDA can be modified to allow mobility of devices even during attestation.

#### 3. PRELIMINARIES AND NOTATION

Let |M| denote the number of elements in a finite set M. If n is an integer (or a bit-string) |n| means the bit-length of n. Let  $m \overset{\$}{\leftarrow} M$  denote the assignment of a uniformly sampled element of M to variable m. Furthermore, let  $\{0,1\}^{\ell}$  be the set of all bit-strings of length  $\ell$ . If E is some event (e.g., the result of a security experiment), then  $\Pr[E]$  denotes the probability that E occurs. Probability  $\epsilon(\ell)$  is called negligible if, for all polynomials f,  $\epsilon(\ell) \leq 1/f(\ell)$  for all sufficiently large  $\ell \in \mathbb{N}$ .

Let A be a probabilistic algorithm. Then  $y \leftarrow A(x)$  means that on input x, A assigns its output to variable y. We denote with  $A^B$  an algorithm A that arbitrarily interacts with algorithm B while it is executing. The term prot  $[A:x_A; B:x_B; *:x_{pub}] \rightarrow [A:y_A; B:y_B]$  denotes an interactive protocol prot between two probabilistic algorithms A and B. Hereby, A (resp. B) gets private input  $x_A$  (resp.  $x_B$ ) and public input  $x_{pub}$ . While A (resp. B) is operating, it can interact with B (resp. A). As a result of the protocol, A (resp. B) outputs  $x_B$  (resp.  $x_B$ ).

**Signature scheme.** A *signature scheme* is a tuple of (probabilistic polynomial time) algorithms (genkeysign, sign, versig).  $(sk, pk) \leftarrow \text{genkeysign}(1^{\ell_{\text{sign}}})$  outputs a secret signing key sk and a public verification key pk with security parameter  $\ell_{\text{sign}} \in \mathbb{N}$ . On input of message m and sk, sign outputs a signature  $\sigma$  on m, i.e.,  $\sigma \leftarrow \text{sign}(sk;m)$ ; versig $(pk;m,\sigma) \in \{0,1\}$  verifies  $\sigma$  given m and pk.

**Message authentication code.** A message authentication code (MAC) is a tuple of (probabilistic polynomial time) algorithms (genkeymac, mac, vermac).  $k \leftarrow \text{genkeymac}(1^{\ell_{\text{mac}}})$  outputs a secret key k with security parameter  $\ell_{\text{mac}} \in \mathbb{N}$ .  $h \leftarrow \text{mac}(k;m)$  outputs a MAC digest k on input of k and k vermack0, k1, verifies k2 on input of k3.

# 4. PROTOCOL DESCRIPTION

SEDA has two phases: (1) an off-line phase whereby devices are introduced into the swarm, and (2) an on-line phase performing actual attestation. The off-line phase is executed only once and consists of *device initialization* and *device registration*. The on-line phase is executed repeatedly for every attestation request from a verifier VRF. In this phase, each device  $D_i$  is attested individually

Table 1: Variables and parameters

	Table 1. variables and parameters			
Entities				
$\mathcal{OP}$	Swarm operator			
VRF	Verifier (can be distinct from $\mathcal{OP}$ )			
$D_1$	Initiator (any device in $\mathcal{S}$ , selected by $\mathcal{VRF}$ )			
$D_i$	Device $i$			
Swarm parameters				
s	Total number of devices in $\mathcal S$			
$g_i$	Number of neighbors of $D_i$			
$p_i \le g_i - 1$	Number of children of $D_i$ in the spanning tree			
Device parameters				
$sk_i$	Secret signing key of $D_i$			
$pk_i$	Public signature verification key of $D_i$			
$c_i$	Platform configuration of $D_i$ (e.g., its code hash)			
$\mathrm{cert}(\mathit{pk}_i)$	Identity certificate of $D_i$ (issued by $\mathcal{OP}$ )			
$\operatorname{cert}(c_i)$	Code certificate of $D_i$ (issued by $\mathcal{OP}$ )			
$k_{ij}$	Symmetric key shared between $D_i$ and $D_j$			
$\mathcal{K}_i$	Set of all symmetric keys of $D_i$			
$\mathcal{Q}_i$	Set of active global session identifiers stored on $D$			
Protocol parameters				
$\overline{q}$	Global session identifier			
N	Nonce			
$b_i$	Attestation result of $D_i$			
$eta_i$	Number of devices successfully attested in the			
	subtree rooted at $D_i$ (excluding $D_i$ )			
$ au_i$	Total number of devices attested in the			
	subtree rooted at $D_i$ (excluding $D_i$ )			

and accumulated attestation is reported to  $\mathcal{VRF}$ . Figure 1 shows a sample swarm formed initially of seven devices with an eighth device  $D_8$  being initialized by the swarm operator  $\mathcal{OP}$  and joining the swarm. It also shows  $\mathcal{VRF}$  running an attestation protocol instance.

MAC digest

Digital signature

#### 4.1 Offline Phase

h

**Device initialization.** Each  $D_i$  in a swarm  $\mathcal{S}$  is initialized bythe swarm operator  $\mathcal{OP}$  with a software configuration  $c_i$  (e.g., a hash digest of software binaries of  $D_i$ ) and a code certificate  $\operatorname{cert}(c_i)$  signed by  $\mathcal{OP}$  which guarantees that  $c_i$  is a valid software configuration of  $D_i$ . Furthermore,  $D_i$  is initialized with a signing key pair  $(sk_i, pk_i)$  along with an identity certificate  $\operatorname{cert}(pk_i)$  signed by  $\mathcal{OP}$ , guaranteeing that  $pk_i$  belongs to  $D_i$ . Each device is initialized with the public key of  $\mathcal{OP}$  in order to later verify  $\operatorname{cert}(c)$  and  $\operatorname{cert}(pk)$  of other devices. Note that, both  $\operatorname{cert}(c)$  and  $\operatorname{cert}(pk)$  are

 $<sup>^3</sup>$ Device certificates are issued and managed by  $\mathcal{OP}$  using its own public-key infrastructure (PKI). Industry consortia (e.g., the Car Connectivity Consortium) are already defining PKIs for cross-certification of devices.

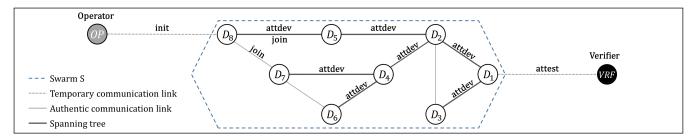


Figure 1: Swarm attestation in 8-device swarm.

public information. Finally,  $D_i$  initializes its list of active session identifiers  $\mathcal{Q}_i$  to an empty list. More formally:

$$\operatorname{init}(c_i, 1^{\ell}) \to (sk_i, pk_i, \operatorname{cert}(pk_i), \operatorname{cert}(c_i), \mathcal{Q}_i)$$
.

**Device registration.** Whenever  $D_i$  initially joins  $\mathcal{S}$  or changes its position it executes join with each new neighbor  $D_j$ . In Figure 1  $D_8$  joins  $\mathcal{S}$  and runs the protocol with its neighbors  $D_5$  and  $D_7$ . Through join  $D_i$  learns  $c_j$  of each of its neighbors by receiving  $D_j$ 's  $\operatorname{cert}(c_j)$ . If certificate verification succeeds,  $D_i$  stores  $c_j$  for later validation of  $D_j$ 's attestation reports. If verification of  $\operatorname{cert}(c_j)$  fails,  $D_i$  does not accept  $D_j$  as a neighbor.

An attestation key  $k_{ij}$ , shared between  $D_i$  and each neighbor  $D_j$  is established during join. The set of attestation keys  $D_i$  established with its neighbors is denoted  $\mathcal{K}_i$ . Key establishment can be done using an authenticated key agreement protocol based on devices'  $sk_i$ ,  $sk_j$ ,  $\operatorname{cert}(pk_i)$  and  $\operatorname{cert}(pk_j)$ . Alternatively, it can be achieved using a key pre-distribution techniques, e.g., [7]. In fact, any key establishment protocol can be used with SEDA as long as it provides integrity of key agreement and secrecy of generated keys. Formally:

$$\begin{aligned} \text{join} \big[ D_i : sk_i; D_j : sk_j; \\ * : \text{cert}(pk_i), \text{cert}(pk_j), \text{cert}(c_i), \text{cert}(c_j) \big] \\ & \rightarrow \big[ D_i : k_{ij}; D_j : k_{ij} \big]. \end{aligned}$$

### 4.2 Online Phase

The online phase of SEDA includes two protocols: attest and attdev. attest is initiated by VRF by contacting an arbitrary  $D_1 \in \mathcal{S}$ , called the *initiator*. Starting from  $D_1$  attestation of  $\mathcal{S}$ is performed recursively using attdev. Eventually,  $D_1$  receives the accumulated attestation result of all devices and reports it to VRF. Single device attestation. Each attestation protocol instance has a global session identifier q. It is used to construct a spanning tree over the entire swarm. Whenever  $D_i$  receives a new q from  $D_i$  it accepts  $D_i$  as its parent and stores q in the list  $Q_i$  of active session identifiers. The spanning-tree<sup>5</sup> is constructed from the communication graph, where two nodes are connected in the spanning-tree if they are neighbors in the communication graph. Setting the maximum number of children per node influences the construction of the spanning tree: it limits the fan-out of the tree and forces it to grow in height, e.g., transforms mesh topologies into balanced spanning trees. This allows us to optimize SEDA's performance, as discussed in Section 6.  $D_j$  attests all its children in this spanning tree. It then accumulates the attestation results reported by its children, which correspond to the subtrees rooted at each of them, and sends the accumulated result along with an attestation report for itself to its parent  $D_i$ .

To attest each child  $D_k$ ,  $D_j$  uses  $k_{jk}$  and  $c_k$  from the join protocol. The result of attdev for  $D_i$  (parent of  $D_j$ ) is a bit b=1 if attestation of  $D_j$  was successful (b=0 otherwise), the number  $\beta$  of devices in the subtree rooted at  $D_j$  (excluding  $D_j$ ) that have been successfully attested, and the total number  $\tau$  of devices in the subtree rooted at  $D_j$  (also excluding  $D_j$ ).

If  $D_j$  already participated in an attdev protocol instance with global session identifier q or does not respond (i.e., a time-out occurs), the result of attdev for  $D_i$  is b=0,  $\beta=0$ , and  $\tau=-1$  to prevent double-counting. Formally:

attdev 
$$\left[D_i: k_{ij}; D_j: \mathcal{Q}_j, \mathcal{K}_j, c'_j; *: q, c_j\right]$$
  
 $\rightarrow \left[D_i: b, \beta, \tau; D_j: -\right].$ 

Figure 1 shows a sample swarm with eight devices:  $D_1 \dots D_8$ . The spanning tree is denoted by thick lines between devices and the root is  $D_1$ , which is selected by VRF to be the initiator.

Details of attdev are as follows:  $D_i$  sends a nonce  $N_i$  and q to  $D_j$ . If q is already in the list  $\mathcal{Q}_j$  of active session identifiers,  $D_j$  responds with  $\beta_j \leftarrow \bot$  and  $\tau_j \leftarrow \bot$ . Otherwise,  $D_j$  adds q to  $\mathcal{Q}_j$  and runs the attdev protocol with each neighbor  $D_k$ . Eventually,  $D_j$  receives attestation reports from all of its neighbors, accumulates them into  $(\beta_j, \tau_j)$ , authenticates  $(\beta_j, \tau_j)$  via MAC digest  $h_0$ , and attests itself to  $D_i$  with  $h_1$ .  $D_i$  accepts if  $h_0$  and  $h_1$  are successfully verified.

**Swarm attestation.** VRF starts attestation of S by sending an attestation request attest (containing a random challenge) to  $D_1$ . VRF can randomly chose any device in S as  $D_1$  or depending on its location or preference. Recall that VRF might be remote, or within direct communication range of one or more swarm devices.

Eventually, VRF receives an attestation report from  $D_1$ . VRF outputs a bit b=1 indicating that attestation of S was successful, or b=0 otherwise. Formally:

$$\begin{split} \mathsf{attest} \left[ \mathcal{VRF} : -; D : \mathcal{Q}, \mathcal{K}, sk, c'; * : s, \mathrm{cert}(pk), \mathrm{cert}(c) \right] \\ \rightarrow \left[ \mathcal{VRF} : b; D : - \right]. \end{split}$$

As shown in Figure 3, attest operates as follows:  $\mathcal{VRF}$  starts the protocol by sending a nonce N to  $D_1$ . It, in turn, generates a new q and runs attdev with all its neighbors, which recursively run attdev with their neighbors. Note that N prevents replay attacks on communication between  $\mathcal{VRF}$  and  $D_1$  while the purpose of q is to identify the protocol instance and to build the spanning tree. Eventually,  $D_1$  receives the accumulated attestation reports of all other devices in  $\mathcal{S}$ . Then,  $D_1$  accumulates these reports into  $(\beta, \tau)$ , computes  $\sigma$  over  $(\beta, \tau)$  and its own c, and sends its  $\operatorname{cert}(pk)$ ,  $\operatorname{cert}(c)$ ,  $(\beta, \tau)$ , c, and  $\sigma$  to  $\mathcal{VRF}$ . Using  $\mathcal{OP}$ 's public key,  $\operatorname{cert}(pk)$  and  $\operatorname{cert}(c)$ ,  $\mathcal{VRF}$  authenticates pk and c and verifies  $\sigma$ . Attestation succeeds if  $\sigma$  verifies. If  $D_1$  does not respond to  $\mathcal{VRF}$  (i.e., a timeout occurs), swarm attestation fails. After responding to  $\mathcal{VRF}$ ,  $D_1$  starts the clear protocol to delete q from all devices.

**Clear.**  $D_i$  sends q authenticated with  $k_{ij}$  to  $D_j$ . On receipt of q,  $D_j$  removes q from its list  $Q_j$  of active session identifiers and runs

<sup>&</sup>lt;sup>4</sup>If  $D_i$ 's software is updated after it joins  $\mathcal{S}$ ,  $D_i$  must securely communicate its new code certificate to all its neighbors.

<sup>&</sup>lt;sup>5</sup>The spanning-tree is reusable if both the topology and the initiatornode persist between attestation-runs

```
Device D_i
                                                                                                                                                                                                                                                          Device D_i
q, k_{ij}, c_j
                                                                                                                                                                                                                                                            Q_j, K_j, c'_i
N_i \in_R \{0,1\}^{\ell_N}
                                                                                                                         q, N_i
                                                                                                                                       \beta_i \leftarrow 0, \, \tau_j \leftarrow 0
                                                                                                                                                  if q \in Q_i then
                                                                                                                                                      \beta_i \leftarrow \bot, \ \tau_i \leftarrow \bot
                                                                                                                                                  else
                                                                                                                                                      Q_j \leftarrow Q_j \cup \{q\}
                                                                                                                                                      for each k_l \in \mathcal{K}_i \setminus \{k_{ij}\} do
                                                                                                                                                         \mathsf{attdev} \big[ D_i : k_l; D_l : \mathcal{Q}_l, \mathcal{K}_l, c_l'; *: q, c_l \big] \to \big[ D_i : b_l, \beta_l, \tau_l; D_l : - \big]
                                                                                                                                                         \beta_i \leftarrow \beta_i + b_l + \beta_l
                                                                                                                                                         \tau_j \leftarrow \tau_j + 1 + \tau_l
                                                                                                                                                      endfor
                                                                                                                                                  endif
                                                                                                                                                  h_0 \leftarrow \max(k_{ij}; N_i || q || \beta_j || \tau_j)
                                                                                                              \beta_j, \tau_j, h_0, h_1 h_1 \leftarrow \max(k_{ij}; N_i || q || c_i')
if \operatorname{vermac}(k_{ij}; N_i || q || \beta_j || \tau_j, h_0) = 1 then
   if vermac (k_{ij}; N_i || q || c_j, h_1) = 1 then b \leftarrow 1
    else b \leftarrow 0
    endif
    if \beta \neq \bot \land \tau \neq \bot then \beta \leftarrow \beta_i, \tau \leftarrow \tau_i
   else b \leftarrow 0, \beta \leftarrow 0, \tau \leftarrow -1
else b \leftarrow 0, \beta \leftarrow 0, \tau \leftarrow 0
endif
```

Figure 2: Protocol attdev

clear protocol with each neighbor. More formally:

$$\mathsf{clear}\left[D_i:q,k_{ij};D_j:\mathcal{Q}_j,\mathcal{K}_j;*:-\right] \to \left[\mathcal{VRF}:-;D:-\right].$$

#### 5. PROTOTYPE AND IMPLEMENTATION

In this section, we discuss two implementations of SEDA based on SMART [16] and TrustLite [25] architectures. We chose these architectures due to their minimal hardware assumptions, although they provide different security properties and functional capabilities, as discussed below.

**SMART-based implementation.** SMART [16] provides remote attestation for low-end embedded systems. Its main components are: (1) a read-only memory (ROM), which stores program code used for attestation and the attestation key k, and (2) a simple memory protection unit (MPU), which controls access to ROM where k is stored as shown in Figure 4. The idea is that program code in ROM cannot be altered by any software running on the device, which ensures integrity of attestation code. MPU grants access to k only to ROM code by checking whether the program counter is within the ROM address space whenever k is accessed. This ensures that only genuine attestation code can access k.

Our implementation of SEDA is based on a slightly modified version of SMART, shown in Figure 4. The only difference from the

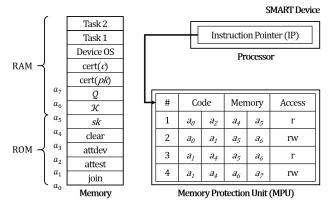


Figure 4: Implementation based on SMART [16]

original SMART architecture is that MPU also controls access to a small area of rewritable non-volatile memory, needed for storing global session identifiers and attestation keys established as part of the join protocol. In more detail, code for join, attest, attdev, and clear as well as signing key sk are stored in ROM, which ensures their integrity. The list of attestation keys  $\mathcal K$  and the list of active session identifiers  $\mathcal Q$  (which need to be changed during the lifetime of the device) are stored in rewritable non-volatile memory labeled RAM in Figure 4. MPU controls access to ROM and RAM ac-

<sup>&</sup>lt;sup>6</sup>Using one-time programmable ROM allows initializing each device with a distinct device-specific key during manufacturing.

```
Verifier V
                                                                                                                                                                                                                                                                      Device D
                                                                                                                                                                                                                                 Q, K, sk, c', cert(pk), cert(c)
N \in_R \{0,1\}^{\ell_N}
                                                                                                                                                    q \stackrel{\$}{\leftarrow} \{0,1\}^{\ell_q}
                                                                                                                                                            Q \leftarrow Q \cup \{q\}
                                                                                                                                                            \beta \leftarrow 0, \tau \leftarrow 0
                                                                                                                                                            for each k_i \in \mathcal{K} do
                                                                                                                                                                \mathsf{attdev} \big[ D : k_i; D_i : \mathcal{Q}_i, \mathcal{K}_i, c_i'; * : q, c_i \big] \to \big[ D : b_i, \beta_i, \tau_i; D_i : - \big]
                                                                                                                                                                \beta \leftarrow \beta + b_i + \beta_i
                                                                                                                                                                \tau \leftarrow \tau + 1 + \tau_i
                                                                                                                                                            endfor
                                                                                                         \operatorname{cert}(pk), \operatorname{cert}(c), \beta, \tau, \sigma
                                                                                                                                                           \sigma \leftarrow \operatorname{sign}\left(sk; N \|\beta\| \tau \|c'\right)
if \operatorname{versig}(pk; N \|\beta\| \tau \| c, \sigma) = 1 \land \beta = \tau = s - 1 then
                                                                                                                                                            for each k_i \in \mathcal{K} do
   b = 1
                                                                                                                                                                \mathsf{clear}\left[D:q,k_i;D_i:\mathcal{Q}_i,\mathcal{K}_i;*:-\right]\to \left[V:-;D:-\right]
else b \leftarrow 0
                                                                                                                                                            endfor
endif
                                                                                                                                                            Q \leftarrow Q \setminus \{q\}
```

Figure 3: Protocol attest

cording to the table in Figure 4. For example, MPU ensures that only join can read and write  $\mathcal{K}$  (rule 2 in Figure 4). Note that combined attestation code (attest, attdev, join and clear) constitute a minimal software trusted computing base (TCB).

**TrustLite-based implementation.** TrustLite [25] is a security architecture for embedded systems, based on Intel's Siskiyou Peak research platform [44]. It enables execution of arbitrary code, (e.g., attest and attdev) isolated from the rest of the system. Such isolated code chunks are called *trustlets*. As in SMART, an MPU ensures that data can be accessed only by code of trustlets that owns that data. Data access permissions depend on the currently executing code – therefore TrustLite's MPU is called *execution-aware memory protection unit* (EA-MPU). EA-MPU can be used to control access to hardware components, such as peripherals. Authenticity and confidentiality of both code and data of trustlets are ensured via secure boot.

TrustLite can be seen as a generalization of SMART. The main difference is that memory access control rules of EA-MPU in TrustLite can be programmed as required by trustlets. In contrast, memory access control rules of SMART MPU are static. Also, TrustLite supports interrupt handling for trustlets, while security-critical code in ROM of SMART cannot be interrupted during execution

We implemented SEDA on TrustLite as trustlets – join, attest, attdev, and clear are each implemented as a single trustlet. Integrity of these trustlets is ensured by the secure boot component secureBoot. EA-MPU controls access to ROM and RAM such that only the SEDA trustlets can access secret data. For instance, in Figure 5 the set of attestation keys  $\mathcal K$  can only be written by join (rule 3) and read by attest, attdev and clear (rule 4).

### 6. PERFORMANCE EVALUATION

We evaluated computation, memory, communication, and energy costs of SEDA based on two implementations in Section 5. For the evaluation we assume that the swarm is static throughout protocol execution. However, in Section 8 we sketch out a protocol extension to handle *highly dynamic* swarms. Our evaluation results do

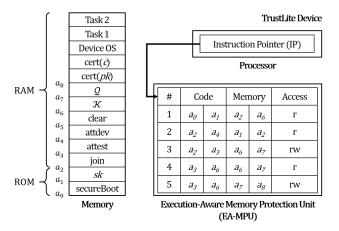


Figure 5: Implementation based on TrustLite [25]

not include the join protocol, mainly because it is expected to be executed seldom, perhaps only once per device. The costs of join are in the same order as the costs of attest. Simulation results for our implementation based on TrustLite are similar to those of SMART, in terms of showing the efficiency of SEDA compared to traditional attestation, and are therefore omitted. However, TrustLite is a more advanced embedded device than SMART and has more computing power. Thus, TrustLite can run SEDA considerably faster. For more details you can refer to the technical report [4].

Computation cost. The dominating component of the computation cost is due to cryptographic operations, e.g., MACs. Initiator  $D_1$ , which directly communicates with the verifier  $\mathcal{VRF}$ , computes one digital signature and verifies at most 2g MACs, where g is the number of  $D_1$ 's neighbors. Every other device  $D_i$  computes two MACs and verifies at most  $2p_i$  MACs, where  $p_i \leq g_i - 1$  and  $g_i$  is the number of neighbors of  $D_i$ .

**Communication cost.** We implemented MAC as HMAC with SHA-1, and the signature scheme with ECDSA, where  $\ell_{\rm mac}=160$  and  $\ell_{\rm sign}=320$ . Also, we use  $\ell_N=160,\,\ell_q=64$ , and 64-bit

Table 2: Performance of cryptographic functions

Function	Run-time (8 MHz) SMART [16] (ms)			(24 MHz)
	Create	Verify	Create	Verify
HMAC (SHA-1)	48	48	0.3	0.3
ECDSA	56,900	_	347.2	_
PRNG (20 Bytes)	160	_	3.8	_

Table 3: Performance of SEDA per device as function of the number of neighbors  $\boldsymbol{g}$ 

Node Type	Run-time (8 MHz) SMART [16] (ms)	Run-time (24 MHz) TrustLite [25] (ms)	
Initiator Other Devices	56,900 + 256g $96 + 256(g - 1)$	347.2 + 4.4g $0.6 + 4.4(g - 1)$	

counter values for  $\beta$  and  $\tau$ . This means that global session identifiers and counter values are 8 bytes, nonces are 20 bytes, MACs are 20 bytes, signing and verification keys are 20 bytes, signatures are 40 bytes, and certificates are 20+40=60 bytes. Maximum communication overhead for  $D_1$  is sending 48g+176 and receiving 20+56g bytes. For every other  $D_i$ , communication is at most sending  $56g_i+68$  and receiving  $68g_i+56$  bytes.

**Memory cost.** Each  $D_i$  must store at least: (1) one q for the duration of each swarm attestation protocol instance, (2) its signing key pair (sk, pk), (3) its identity certificate  $\operatorname{cert}(pk)$ , (4) code certificate  $\operatorname{cert}(c)$ , and (5) the set of attestation keys  $\mathcal K$  shared with its neighbors. Hence, storage costs can be estimated as  $20g_i + 168$  bytes, where  $g_i$  is the number of  $D_i$ 's neighbors. Note that low-end embedded systems, such as TI MSP430 (which are targeted by SEDA), provide at least 1,024 bytes of non-volatile Flash memory. Using only half of that suffices for 12 neighbors, while, in most applications (such as smart factories and vehicular ad-hoc networks) devices will most likely have fewer neighbors or more memory resources.

**Run-time.** SEDA is designed such that all devices at the same height in the spanning tree can perform computation in parallel. However, MAC verification at height l depends on that at height l-1. Hence, overall run-time of the protocol depends on the spanning tree height  $l = f(s) \in \mathcal{O}(\log(s))$ . Another factor that affects run-time performance is the average number of neighbors of each device.

Let  $t_{\rm mac}$ ,  $t_{\rm sign}$ ,  $t_{\rm prng}$ , and  $t_{\rm tr}$  represent the times needed by a device to compute mac or vermac, sign, to generate 20 random bytes, and to transmit one byte of information, respectively. Total runtime t of the protocol can thus be estimated as:

$$t \le \left(280 + 168d + \sum_{i=0}^{d} g_i\right) t_{\text{tr}} + \left(2 + 4d + \sum_{i=0}^{d} g_i\right) t_{\text{mac}} + (d+1) t_{\text{prng}} + t_{\text{sign}} \quad (1)$$

Run-times of cryptographic operations in SMART [16] are shown in Table 2.8 Overall performance results are depicted in

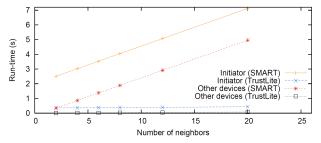


Figure 6: Run-time of SEDA per device

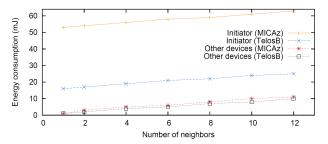


Figure 7: Energy consumption of SEDA

Table 3 and Figure 6. As Figure 6 shows, run-time of SEDA on a single device is linear in the number of its neighbors, as reflected in Equation 1. Run-time of the initiator is longer than that of other devices, due to computation of sign. Note that, the run-time of Trustlite is linear although it looks constant due to the scale of the graph. Furthermore, it is faster than the SMART implementation since TrustLite hardware runs at a higher clock speed.

**Energy costs.** Let  $E_{\rm send}$ ,  $E_{\rm recv}$ ,  $E_{\rm prng}$ ,  $E_{\rm mac}$ , and  $E_{\rm sign}$  denote energy required to send one byte, receive one byte, generate 20 random bytes, compute mac or vermac, and sign, respectively. Estimated energy consumption E of the initiator is:

$$\begin{split} E \leq (176+68g)E_{\rm send} + (20+56g)E_{\rm recv} \\ + 3gE_{\rm mac} + gE_{\rm prng} + E_{\rm sign} \end{split}$$

Meanwhile, energy consumption  $E_i$  for every other device is:

$$E_i \le (56 + 68g_i)E_{\rm send} + (68 + 56g_i)E_{\rm recv} + (3 + 3g_i)E_{\rm mac} + g_iE_{\rm prng} \quad (2)$$

Figure 7 shows the energy consumption estimates of SEDA. Our estimates are based on two types of sensor nodes. MICAz and TelosB fall into the same class of low-end embedded devices as SMART and TrustLite. We used previously reported energy consumption for communication and cryptographic operations of MICAz and TelosB [14] to estimate energy consumption of SEDA.

Energy consumption is linear in the number of device's neighbors, per Equation 2. Hence, if the number of neighbors is about the same for every device, energy consumption is evenly distributed over the whole swarm. Initiator's energy consumption is higher than that of other devices, mainly due to computing sign. However, the cost of sign can be amortized among all devices by using a different initiator in each attestation instance.

**Simulation results.** To evaluate performance of SEDA for large numbers of devices, we simulated it in the OMNeT++ [38] simu-

 $<sup>^{7}\</sup>mbox{Note}$  that tree height d does not include the root, e.g., d=0 for a tree with only the root.

<sup>&</sup>lt;sup>8</sup>Run-time of HMAC was reported in [16]; run-times of ECDSA and PRNG on SMART are estimations based on HMAC run-time.

<sup>&</sup>lt;sup>9</sup>Neither TurstLite nor SMART are available as manufactured chips. FPGA implementations consume more energy than manufactured chips.

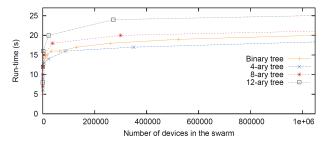


Figure 8: Performance of SEDA for tree topologies

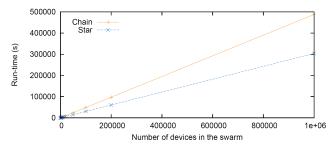


Figure 9: Performance of SEDA for chain and star topologies

lation environment. We implemented the protocol at the application layer and simulated cryptographic operations by delays that correspond to their real execution times when implemented on SMART [16] and TrustLite [25]. We also simulated the naïve alternative, where VRF attests all devices individually. We excluded VRF's verification time from our simulations; it is constant in SEDA and linear in the number of devices for the naïve approach. Simulations use 20 ms as average end-to-end delay of a wireless communication link between two devices, which is the average in ZigBee sensor networks [50]. We simulated some popular network topologies: a chain, a star, and a tree, with varying numbers of child nodes (2, 4, 8, and 12). We chose these topologies since they reflect the best and worst case scenarios, in terms of efficiency for SEDA. Moreover, we varied the number of devices from 10 to 1,000,000. Simulation results for the SMART-based implementation are shown in Figures 8, 9 and 10.

For tree topologies, run-time of SEDA is logarithmic in the number of devices (Figure 8) and linear for chain and star topologies (Figure 9). The optimal number of neighbors per device with respect to a given swarm size depends on the network and device characteristics (mainly the time to compute MACs and network delays). Figure 10 shows run-time of SEDA as a function of the number of neighbors per device for different swarm sizes. For 1,000 and 10,000 devices, run-time decreases along with the number of neighbors up to a certain threshold and then starts to grow. We believe that this occurs because higher number of neighbors influences SEDA's performance in two ways: (1) it increases parallelism and decreases spanning-tree depth, thus lowering overall runtime; and (2) it increases runtime for individual devices, resulting in longer overall runtime. Hence, performance increases with number of neighbors until the effect of (2) overshadows that of (1). The optimal number of neighbors depends on the total number of nodes, regardless of swarm topology and density. For example, the optimal number of neighbors in swarms with 10 and 100 devices is 2; it grows to 4 for swarms of 1,000 and 10,000 devices.

Figure 11 compares performance of SEDA to the naïve approach, where each device is attested individually: SEDA's performance protocol is significantly better than that of the naïve approach,

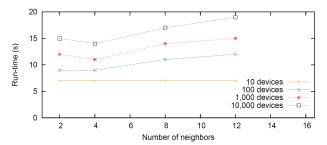


Figure 10: Performance of SEDA for swarms with varying numbers of devices and tree topology, as a function of the number of neighbors per device

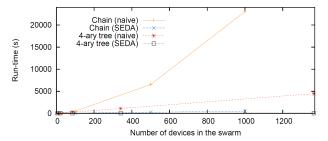


Figure 11: Performance of SEDA compared to the naïve approach

which is quadratic in the number of devices for chain topologies, and linear for tree topologies.

Evaluation results in Figures 8, 9, and 10 show that SEDA performs best in swarms that allow establishing spanning trees with a limited number of children. The reason is that tree topologies allow attestation of multiple nodes in parallel and limiting the number of children also limits the number of MAC verifications performed by each node. However, even for topologies that are not conducive to such spanning trees (e.g., star and chain), SEDA performs significantly better than the naïve approach, as illustrated in Figure 11. Furthermore, in such worst case scenarios the random sampling approach discussed later in Section 8 can be used to reduce SEDA's run-time.

## 7. SECURITY ANALYSIS

The goal of swarm attestation is for a verifier  $\mathcal{VRF}$  to accept only if all devices in a swarm  $\mathcal{S}$  are running a software certified by the swarm operator  $\mathcal{OP}$ . This is formalized by a security experiment  $\mathbf{Exp}_{\mathcal{ADV}}$ , where an adversary  $\mathcal{ADV}$  interacts with devices in  $\mathcal{S}$  and  $\mathcal{VRF}$ . Specifically,  $\mathcal{ADV}$  modifies the software of at least one device D, i.e., compromises that D.  $\mathcal{ADV}$  can eavesdrop on, delete, and modify any message sent from any  $D \in \mathcal{S}$  and  $\mathcal{VRF}$ . Furthermore,  $\mathcal{ADV}$  can send arbitrary messages to any  $D \in \mathcal{S}$ . After a polynomial number (in terms of the security parameters  $\ell_N$ ,  $\ell_q$ ,  $\ell_{\text{mac}}$ , and  $\ell_{\text{sign}}$ ) of steps by  $\mathcal{ADV}$ ,  $\mathcal{VRF}$  outputs its decision bit b=1 indicating that attestation of  $\mathcal{S}$  was successful, or b=0 otherwise. The result is defined as the output of  $\mathcal{VRF}$ , i.e.,  $\mathbf{Exp}_{\mathcal{ADV}}=b$ . A secure swarm attestation scheme is defined as:

DEFINITION 1 (SECURE SWARM ATTESTATION). A swarm attestation scheme is secure if  $\Pr\left[b=1|\textit{Exp}_{\mathcal{ADV}}(1^{\ell})=b\right]$  is negligible in  $\ell=f(\ell_N,\ell_q,\ell_{\text{mac}},\ell_{\text{sign}})$ , where function f is polynomial in  $\ell_N,\ell_q,\ell_{\text{mac}}$ , and  $\ell_{\text{sign}}$ .

THEOREM 1 (SECURITY OF SEDA). SEDA is a secure swarm attestation scheme (Definition 1) if the underlying signature and MAC scheme are selective forgery resistant.

PROOF (SKETCH) OF THEOREM 1.  $\mathcal{VRF}$  accepts only if it receives a message  $(\beta, \tau, \sigma, \operatorname{cert}(pk), \operatorname{cert}(sk))$  where  $\operatorname{versig}(pk; N \|\beta\|\tau\|c, \sigma) = 1$ , pk is the public key in  $\operatorname{cert}(pk)$ , N is the nonce previously sent by  $\mathcal{VRF}$ , c is the reference software configuration in  $\operatorname{cert}(c)$ , and  $\beta = \tau = s - 1$ . We distinguish among two cases: (1)  $\mathcal{ADV}$  modifies software configuration of the initiator device  $D_1$  interacting with  $\mathcal{VRF}$ ; (2)  $\mathcal{ADV}$  modifies software configuration of any other  $D_j \in \mathcal{S}$ . Note that these two cases and all combinations of them cover all possibilities of  $\mathcal{ADV}$  modifying software configuration of at least one device in  $\mathcal{S}$ .

We start with the case where  $\mathcal{ADV}$  modifies software configuration of  $D_1$ . Since according to the assumption made in Section 2,  $\mathcal{ADV}$  cannot tamper with code performing integrity measurements on D and code of attest, c' is different from c in  $\operatorname{cert}(c)$  and  $\sigma = \operatorname{sign}(sk; N \|q\|\beta\|\tau\|c')$ . This means that  $\mathcal{ADV}$  must forge  $\sigma$  to make  $\mathcal{VRF}$  accept. Due to selective forgery resistance of the signature scheme,  $\mathcal{ADV}$  can forge  $\sigma$  with probability negligible in  $\ell_{\operatorname{sign}}$ .

Next, we consider the case of ADV modifying software of any other  $D_i$ . Let  $D_i$  be the device that verifies software integrity of  $D_j$ , i.e., its parent in the spanning tree. Again, since  $\mathcal{ADV}$  cannot tamper with  $D_j$ 's code performing software integrity measurements and code of attdev,  $c_j'$  will be different from  $c_j$  expected by  $D_i$  and  $h_1 = \max(k_{ij}; N_i ||q|| c_j')$ . Hence,  $\mathcal{ADV}$  must either forge  $h_1$  or compensate for the fact that attestation fails for  $D_j$ . Due to selective forgery resilience of MAC, ADV can forge  $h_1$ with probability negligible in  $\ell_{mac}$ . To compensate for failed attestation of  $D_j$ ,  $\mathcal{ADV}$  could increase  $\beta_j$  or decrease  $\tau_j$  reported to  $D_i$ , or ADV could cause another device  $D_l$  send multiple attestation reports. Furthermore,  $\beta$  and  $\tau$  are computed and authenticated with  $h_0 = \max(k_{ij}; N_i ||q||\beta_i||\tau_i)$  by code of attdev, which cannot be tampered with by ADV. Now, ADV could forge  $h_0$  or the report  $(\beta_l, \tau_l)$  of a neighbor  $D_l$  of  $D_j$ , which is used as input to computation of  $(\beta_j, \tau_j)$ . However,  $(\beta_l, \tau_l)$  are authenticated via  $\max(k_{ij}; N_i ||q||\beta_l||\tau_l)$ . Moreover, due to global session identifier q included in MAC authenticating the accumulated attestation report,  $\mathcal{ADV}$  cannot cause any device in  $\mathcal{S}$  to send its attestation report twice for the same value of q. Hence, in both cases, ADVsucceeds with probability negligible in  $\ell_{mac}$ .

This means that the probability of  $\mathcal{ADV}$  making  $\mathcal{VRF}$  accept in the case where  $\mathcal{ADV}$  modified software configuration of at least one device in  $\mathcal{S}$  is negligible in  $\ell_{\text{sign}}$  and  $\ell_{\text{mac}}$ .  $\square$ 

#### 8. PROTOCOL EXTENSIONS

In this section we discuss several variants and extensions of SEDA which go beyond the scope of the adversary model described in Section 2.2.

**Identifying compromised devices.** In some applications it may be necessary to identify compromised devices. SEDA can be easily extended to report the identifiers of devices whose software integrity could not be verified. Specifically, whenever a device  $D_i$  detects that one of its neighbors  $D_j$  reported a software configuration  $c_j$  that is different from expected software configuration  $c_j$ ,  $D_i$  appends the identifier of  $D_j$  to its report. Eventually,  $\mathcal{VRF}$  receives a complete list of identifiers of all devices that could not be attested successfully. However, this approach increases message complexity and is best suited for small swarms or applications where the number of compromised devices is expected to be low.

**Devices with different priorities.** In some applications some devices may be more important than others. For instance, in a wireless sensor network (WSN), software integrity of a cluster head may be much more important than software integrity of a sensor node. SEDA can support such scenarios by weighting attestation results. Specifically, when attesting a high-priority device, counters  $\beta$  and  $\tau$  are not incremented by one but by a weighted factor.

**Random sampling.** Performance of SEDA in a very large swarm S

can be improved by attesting only a randomly sampled statistically representative subset  $\mathcal{S}' \subset \mathcal{S}$ . In this case, the verifier  $\mathcal{VRF}$  gets assurance that with probability p all devices in S are authentic and running certified software. Specifically, VRF sends the desired sample set size z together with nonce N in attest. All devices in S broadcast z along with a global session identifier q in attdev to their neighboring devices. A global deterministic function which takes the device identity as a parameter (along with other parameters like q, z, s) is used to determine if a device  $D_i \in \mathcal{S} \setminus \{D\}$  is to be part of S'. This way the parent of each  $D_i$  knows if  $D_i$  needs to be attested and can detect if  $D_i$  does not provide an attestation report. Finally, only attestation results of devices in S' are accumulated and reported to VRF. As a result, VRF is assured that – with a certain confidence level and confidence interval - attestation result of S' is also valid for S. For example, in swarms with more than  $10^5$  devices only about 9 % of devices need to be attested to achieve a confidence level of 95 % and a confidence interval of 1 %. Software updates. SEDA allows updating device software verifying whether the update has been performed correctly. More concretely, new software comes with a code certificate  $cert(c_{new})$ . After new software has been installed on device  $D_i$ , it sends  $\operatorname{cert}(c_{\text{new}})$  authenticated with keys in  $\mathcal{K}_i$  to all its neighbors, which then update their reference software configuration for  $D_i$  to  $c_{new}$ , if verification of  $cert(c_{new})$  was successful. Otherwise, they keep the old software configuration. To prove that software update has been performed successfully,  $D_i$  can either attest itself to all its neighbors using keys in  $K_i$  (similar as in attdev), or to an external verifier using its secret key  $sk_i$  (similar as in attest). Roll-back attacks, where an adversary ADV installs old software versions (that may contain exploitable security vulnerabilities) are detected by VRFwhen attesting the swarm.

**Highly dynamic swarms.** SEDA can be extended to support highly dynamic swarms that change their topology *even while* the attestation protocol is executing. In this case SEDA generates a *virtual* spanning tree, i.e., nodes which are neighbors in the spanning tree may not be neighbors in the topology after it has changed. An appropriate routing mechanism is used to ensure that messages of child nodes are delivered to parent nodes. However, this basic approach increases communication overhead of SEDA since messages must be sent over multiple hops.

**Denial of Service (DoS) Attack Mitigation.** In general, DoS attacks are hard to mitigate. SEDA is designed to use mostly symmetric cryptography, thus making it less appealing for DoS attacks. However,  $\mathcal{ADV}$  can still target portions of SEDA that use asymmetric cryptography, i.e., join and attest. For example, a compromised D can send fake join requests with incorrect certificates to its neighbors. This would cause each neighbor to waste resources, since verifying public key certificates is computationally expensive. Mitigating such attacks can be done by: (1) limiting join request frequency, or (2) processing join requests with lower priority. Indeed, some current embedded security architectures with support for real-time execution, such as TyTAN [5], can handle certain events (e.g., join requests) with low priority. This allows system resources to be preferentially allocated to more critical tasks, while assuring that

only otherwise idle CPU cycles are dedicated to processing (potentially malicious) join requests.

In some swarm settings it is reasonable to assume that physical

### 9. PHYSICAL ATTACKS

attacks are either impossible or unlikely, e.g., automotive, avionics, shipboard, or satellite. In these examples, the swarm is either physically unreachable and/or has a secure perimeter. However, in other scenarios, it might be infeasible to assure physical security of all devices, e.g., drones (or robots) used for military, surveillance, law enforcement and prospecting purposes, or devices used in factory or building automation. Since in SEDA aggregation of individual device attestation results is done within the swarm, if  $\mathcal{ADV}$  learns all keys of just one device, it can forge the attestation result for that device as well as any of its descendants in the spanning tree. Furthermore, an adversary  $\mathcal{ADV}$  can create clones of the compromised device and spread them across the swarm. Consequently, we need to expand our adversary model to include the possibility of a device being captured and physically attacked to extract keys and/or modify software. We now sketch out several mitigation techniques. **PUF-based attestation.** Physical unclonable functions (PUFs) are (believed to be) tamper-resistant primitives that can be integrated into attestation protocols to mitigate physical attacks. PUFs are based on the variations inherently present in various hardware components of a computing device, such as memory. PUFs can be used for device identification and authentication, or as a seed for random number generation. Uniqueness of components upon which PUFs are constructed comes from variations in the manufacturing processes which are assumed not to be controllable by the adversary, and thus are not clonable. Therefore, an on-board PUF can thwart a physical attack that aims to clone a compromised device. Also, since components used for PUFs, such as on-chip static randomaccess memory (SRAM), are anyhow part of the device (regardless of their use as PUFs) additional costs of PUFs are minimal. Several approaches to PUF-based attestation have been proposed [26, 49]. However, all PUF-based attestation schemes impose additional requirements on the device. Furthermore, recent work on PUF security demonstrated that conjectured security of certain PUF families, such as Arbiter PUFs, does not hold in practice [33], specifically, their unpredictability and unclonability properties.

**Double verification.** The basic idea for *double verification* is to make attestation and aggregation secure against one physically compromised device, i.e., whose keys are known to  $\mathcal{ADV}$ . This can be achieved by mandating that integrity verification of each device  $D_i$  be done by both its parent and its grandparent in the spanning tree. This idea was also used in [22] in order to make hop-by-hop aggregation secure against the compromise of one node. In particular, upon joining the swarm, each  $D_i$  shares a symmetric key  $k_i$  with every one-hop and two-hop neighbor. At attestation time,  $(\beta_i, \tau_i, c)$  are authenticated using keys shared with both the parent and the grandparent. Thus, one fully-compromised device would be unable to forge the attestation response of its neighbors. This extension is secure as long as no two neighboring devices are physically compromised. However, it involves extra computation and communication costs.

**Absence detection.** As in prior literature on WSN security, we assume that, in order to physically attack a device,  $\mathcal{ADV}$  has to take it out of the field for a certain amount of time [11], e.g., to disassemble its components in order to extract its secrets. Therefore, absence detection of at least one device can be a sign of a physical attack. Recall that we earlier assumed that the swarm is always connected. In a static swarm, a device can detect whenever a neighbor

is missing by running a periodic heartbeat check with each neighbor, using a current shared key. If a neighbor disappears, the device can flood the swarm with the announcement reflecting the missing device. However, the same is hard to achieve in a dynamic swarm where topology can change unpredictably. We consider two alternatives overviewed below. They assume: (1) global knowledge of some x < X, where X denotes the minimum time  $\mathcal{ADV}$  needs to take a victim device out of circulation, for physical attack purposes, and (2) loosely synchronized clocks among all swarm devices.

**Option 1:** The easiest option is for a swarm S to periodically self-check by running SEDA without an explicit verifier request. This requires almost no extra functionality, except one: we use the SEDA extension to identify compromised devices, as described in section 8. However, instead of identifying compromised devices, we identify all present, uncompromised ones. Suppose that, after every x-long interval, all devices deterministically select the device that will serve as the root of the spanning tree  $-D_1$ , e.g., by taking the hash of current time modulo s, where s is the total number of devices. Then,  $D_1$  acts as prescribed by SEDA, with the aforementioned extension. Once it receives all reports from all direct neighbors,  $D_1$  identifies nodes from a master list that are missing from the current list and informs the rest of the swarm of their identities.

An optimization of the first approach is to create a special-purpose version of SEDA that works in much the same way as described above, except that, instead of full-blown attestation, the self-checking protocol simply verifies the presence (but not software integrity) of each device. In other words, communication remains the same, while computation for each  $D_i$  becomes negligible;  $D_i$  simply replies to its parent with just a list of identities of present (i.e., alive and responsive) devices in its subtree.

**Option 2:** Another mitigation technique is via periodic fully distributed swarm self-checking via link state-like verification. In brief, after each interval of duration x, every  $D_i$  broadcasts – over existing pairwise secure channels – an update to its neighbors. An update includes at least the device identifier and current time-stamp. For each update received from  $D_j$ ,  $D_i$  re-broadcasts it to its other neighbors. It is easy to see that this approach generates a lot of extra traffic since every device's update message is flooded throughout the swarm; each device forwards and receives O(s) messages per protocol instance. At the end of each self-checking,  $D_i$  collects a list of all current devices and compares it against its master list. Any device on the latter that is absent from the former is assumed to be untrusted from here on, i.e., it is potentially physically compromised.

**Implications.** Both physical attack countermeasures outlined above have certain consequences for SEDA. Notably, due to distributed maintenance of a master list, seamless introduction of new devices into an already deployed swarm is no longer possible. However, this can be easily remedied by requiring a new device to "announce" its presence via a public key signature-based authenticated message that includes appropriate certificates. Upon receipt of such a join announcement, each current device can independently authenticate it and add the new device to its master list. Among the solutions explained above, *Absence Detection* is most suited to our protocol. We will include a thorough security and performance analysis of this technique in the technical report [4].

#### 10. RELATED WORK

**Attestation.** Numerous remote attestation techniques have been proposed. Common to all of them is that the prover sends a status report of its current software configuration to another platform to demonstrate that it is in a known and thus trustworthy state. Au-

thenticity of this report is typically assured by secure hardware [16, 26, 27, 42, 46, 51] and/or trusted software [2, 24, 27, 29, 47, 48, 52]. Attestation based on secure hardware is often too complex and/or expensive for low-end embedded systems. Software-based attestation [24, 29, 47, 48] does not require secure hardware and does not use cryptographic secrets. However, security properties of software-based attestation typically rely on strong assumptions, such as the adversary being passive while the attestation protocol is executed and optimality of the attestation algorithm and its implementation, that are hard to achieve in practice [3]. Hence, a secure and practical attestation scheme requires at least some basic security features in hardware [16, 17, 25]. SEDA follows this philosophy and uses only minimal security functionalities in hardware such as read only memory (ROM) or lightweight memory access control extensions.

Moreover, existing attestation schemes consider only a single prover and verifier and do not support efficient attestation of a large number of devices. We are aware of only one proposal to attest multiple provers running the *same* software at once [41]. The idea is that the verifier does not verify each individual attestation report, but just compares integrity measurements of multiple provers. In contrast, our attestation scheme supports a large number of provers running the same or different software and distributes verification of attestation reports over the whole swarm.

**Secure boot.** With secure boot, integrity of a device's configuration is not verified by an external entity but by the device itself [2]. Secure boot ensures that only a known and trustworthy software can be loaded on a device. Hence, secure boot is limited to verifying software integrity of a device at load-time. Attestation enables integrity verification of a system at any point in time.

Secure data aggregation. Secure data aggregation aims at reducing communication overhead in WSNs by combining data reported by individual sensor nodes while preserving authenticity of this data. Some approaches are based on cryptographic techniques [8, 9, 28, 32, 40, 54]. Others rely on trust relations [39] or witness-based solutions [15]. However, they require the entire swarm to share global keys [32], or involve computationally expensive asymmetric cryptography [28]. Further, most proposed aggregation techniques have a high computation and communication complexity [10, 15, 37]. SEDA overcomes these limitations by aggregating attestation results similar to [31, 55], leveraging minimal hardware security features.

**Random sampling.** Similar to the statistical sampling approach discussed in Section 8, Secure Implicit Sampling [34] aims to detect whether some nodes in a sensor network failed to receive a broadcast message. The idea is that a randomly chosen subset of the nodes must reply to the broadcast with an authenticated acknowledgment. Security of the scheme is based on infeasibility of  $\mathcal{ADV}$  correctly predicting the randomly sampled subset.

**Sensor networks.** There is a large body of literature on sensor and ad-hoc networks. Most of it concerns topics such as secure key management [18, 53], secure routing [20, 57], and secure broadcasting [1, 49]. However, we are not aware of any work on integrity verification of a large number of devices in this area.

### 11. CONCLUSIONS

We presented SEDA, the first efficient attestation protocol for device swarms, i.e., systems consisting of large numbers of heterogeneous devices with dynamic topology. We constructed a security model for swarm attestation and showed security of SEDA against software-only attacks in this model. We also discussed some directions for mitigating physical attacks on devices. We demonstrated feasibility of SEDA on low-end embedded platforms via two con-

crete implementations based on recently proposed security architectures for embedded devices: SMART [16] and TrustLite [25]. Evaluation results demonstrate efficiency of SEDA for swarms of up to 1,000,000 devices. Advantages of SEDA include: (1) reduced overall protocol runtime; (2) constant verifier overhead; as well as (3) lower and evenly distributed overhead. Furthermore, the verifier does not need any prior knowledge about devices or their configuration.

Future work includes optimizing SEDA for highly dynamic swarms and minimizing required device assumptions by reducing the amount of code and data to be protected in hardware. Moreover, we plan to investigate the case whereby a subset of devices do not have hardware security features and can only be attested via software-based attestation techniques. Another future direction is a swarm attestation mechanism that can detect code-reuse attacks.

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