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# **Medical Devices Ordinance** (MedDO)

of 1 July 2020 (Status as of 1 November 2023)

The Swiss Federal Council,

based on the Therapeutic Products Act of 15 December 2000<sup>1</sup> (TPA), Article 21 number 2 of the Electricity Act of 24 June 1902<sup>2</sup>,

Article 5 of the Metrology Act of 17 June 20113,

Article 4 paragraph 1 of the Federal Act of 12 June 2009<sup>4</sup> on Product Safety, Article 37 paragraph 1 of the Radiological Protection Act of 22 March 1991<sup>5</sup> and in implementation of the Federal Act of 6 October 1995<sup>6</sup> on Technical Barriers to Trade,<sup>7</sup>

ordains:

# Chapter 1 General Provisions Section 1 Scope and Exceptions

## Art. 1 Scope

- <sup>1</sup> This Ordinance applies to:
  - a. medical devices and the associated accessories, as defined in Article 3;
  - groups of products without an intended medical purpose in accordance with Annex 1.
- <sup>2</sup> In this Ordinance, the term *devices* is used to designate the products defined in paragraph 1.
- <sup>3</sup> This Ordinance also applies to:

#### AS 2020 2977

- SR **812.21**
- 2 SR **734.0**
- 3 SR 941.20
- 4 SR 930.11
- 5 SR **814.50**
- 6 SR **946.51**
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).

- devices which, when placed on the market or put into service, incorporate as an integral part a medicinal product that has an action ancillary to that of the device:
- b. devices intended to administer a medicinal product;
- c. devices manufactured:
  - from tissues or cells of animal origin, or their derivatives, which are nonviable or are rendered non-viable.
  - from derivatives of tissues or cells of human origin that are non-viable or are rendered non-viable;
- d. devices which, when placed on the market or put into service, incorporate as an integral part non-viable tissues or non-viable cells of human origin or their derivatives that have an action ancillary to that of the device;
- e. devices that incorporate as an integral part an in vitro diagnostic medical device; such constituent parts shall be subject to the provisions for in vitro medical devices.

## Art. 2 Exceptions

<sup>1</sup> This Ordinance does not apply to:

- human blood, blood products, plasma or blood cells of human origin, or devices which incorporate, when placed on the market or put into service, such blood products, plasma or cells except for devices referred to in Article 1 paragraph 3 letter a;
- b. vital organs, tissues or cells and transplant products of human origin;
- c. vital organs, tissues or cells and transplant products of animal origin;
- d. products other than those referred to in letters a—c that contain or consist of viable biological material or viable organisms, including living micro-organisms, bacteria, fungi or viruses, in order to achieve or support the intended purpose of the product;
- e. in vitro diagnostic medical devices; these are subject to Articles 105 and 107;
- f. non-separable combinations of a medicinal product and device intended to administer a medicinal product that are intended solely for use in this combination and are not reusable:
- g. combinations which, when placed on the market or put into service, incorporate as an integral part a medicinal product in addition to the device, where the medicinal product assumes a primary function in such combinations;
- combinations which, when placed on the market or put into service, incorporate as an integral part non-viable tissues or non-viable cells of human origin or their derivatives in addition to the device, where such tissues, cells or derivatives assume a primary function in the device;
- i. medical devices intended solely for use in animals or veterinary diagnostics;

j.8 combinations which, when placed on the market or put into service, incorporate as an integral part transplant products in addition to the device.

<sup>2</sup> In the cases specified in paragraph 1 letters f—h and j, the part of the combination that is deemed to be a device must satisfy the general safety and performance requirements set out in Article 6.<sup>9</sup>

# Section 2 Definitions and References to European Legislation

## **Art. 3** Medical device and accessories

- <sup>1</sup> Medical devices are instruments, apparatus, appliances, softwares, implants, reagents, materials or other articles:
  - a. that are intended by their manufacturer to be used for human beings;
  - b. that do not achieve their principal intended action in or on the human body by pharmacological, immunological or metabolic means, but which may be assisted in their function by such means; and
  - c. that serve to fulfil one or more of the following specific medical purposes either alone or in combination:
    - diagnosis, prevention, monitoring, prediction, prognosis, treatment or alleviation of disease.
    - diagnosis, monitoring, treatment, alleviation of, or compensation for, injuries or disabilities,
    - investigation, replacement or modification of the anatomy or of a physiological or pathological process or state,
    - providing information by means of in vitro examination of specimens derived from the human body, including organ, blood and tissue donations.

#### <sup>2</sup> Medical devices also include:

- a. devices for the control or support of conception;
- b. products specifically intended for the cleaning, disinfection or sterilisation of devices as referred to in Article 1, paragraph 1 and in paragraph 1 of this Article.
- <sup>3</sup> Accessory for a medical device means an article which, whilst not being itself a medical device, is intended by its manufacturer to be used together with one or more particular medical devices and:
  - a. which specifically enable the medical device or devices to be used in accordance with its or their intended purpose; or

Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

b.<sup>10</sup> which specifically and directly assists the medical functionality of the medical device(s) in terms of its/their intended purpose(s).

## Art. 4 Further definitions

#### 1 In this Ordinance:

- a. making available on the market means any transfer or cession of a device, other than an investigational device, for distribution, consumption or use on the Swiss market in the course of a commercial activity, whether in return for payment or free of charge;
- b. *placing on the market* means the first making available of a device, other than an investigational device, on the Swiss market;
- putting into service means the stage at which a device, other than an investigational device, has been made available to the final user as being ready for use on the Swiss market for the first time for its intended purpose;
- d. maintenance means measures such as preventive maintenance, software updates, inspection, repair, preparation for first use and reprocessing for reuse or measures to keep a device in functional condition or restore it to functional condition:
- reprocessing means a process carried out on a used device in order to allow its safe reuse including cleaning, disinfection, sterilisation and related procedures, particularly packing, transport and storage, as well as testing and restoring the technical and functional safety of the used device;
- f.<sup>11</sup> manufacturer means a natural or legal person who manufactures or fully refurbishes a device or has a device designed, manufactured or fully refurbished, and markets that device under its name or trademark; this definition is subject to the clarifying explanations and exceptions in Article 16 paragraphs 1 and 2 of Regulation (EU) 2017/745<sup>12</sup> on medical devices (EU-MDR);
- g. authorised representative means any natural or legal person domiciled in Switzerland who has received and accepted a written mandate from a manufacturer located in another country to act on the manufacturer's behalf in relation to specified tasks with regard to the latter's obligations under this Ordinance;
- h. *importer* means any natural or legal person domiciled in Switzerland that places a device from a foreign country on the Swiss market;

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
 Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

Regulation (EU) 2017/745 of the European Parliament and of the Council of 5 April 2017 on medical devices, amending Directive 2001/83/EC, Regulation (EC) No 178/2002 and Regulation (EC) No 1223/2009 and repealing Council Directives 90/385/EEC and 93/42/EEC, OJ L117 of 5.5. 2017, p. 1; last amended by Regulation (EU) 2023/607, OJ L 80 of 20.3.2023, p. 24.

distributor means any natural or legal person in the supply chain, other than
the manufacturer or the importer, that makes a device available on the Swiss
market, up until the point of putting into service;

- j. economic operator means the manufacturer, authorised representative, importer, distributor or the natural or legal person referred to in Article 22 paragraphs 1 and 3 EU-MDR;
- k. *healthcare institution* means an organisation the primary purpose of which is the care or treatment of patients or the promotion of public health;
- hospital means any healthcare institution in which inpatient treatments for illnesses, inpatient medical rehabilitation and inpatient medical measures for cosmetic purposes are provided by medical or nursing interventions;
- m. *contracting state* means any state that is bound to mutually recognise conformity assessments and conformity procedures for devices by an agreement with Switzerland under international law based on equivalent legislation;
- n. <sup>13</sup> provider of information society services means any natural or legal person who provides a service in accordance with Article 7 paragraph 4.
- <sup>2</sup> The definitions set out in Article 2 numbers 3–26, 31, 37, 38, 40–44, 46, 48, 51–53, 57–69 and 71 EU-MDR, taking account of the amendments to the definitions in Article 2 numbers 18–21 EU-MDR, adopted by the European Commission by means of delegated acts<sup>14</sup>.

## Art. 5 References to European legislation

- <sup>1</sup> The equivalent terms specified in Annex 2 and as used in EU-MDR<sup>15</sup> and this Ordinance apply.
- <sup>2</sup> Where this Ordinance makes reference to provisions of EU-MDR that in turn refer to other provisions of EU-MDR or other EU legislative acts, those provisions also apply. The version in the footnote to Article 4 paragraph 1 letter f is authoritative for references to EU-MDR, while the versions of the relevant EU act set out in Annex 3 number 1 apply to references to other EU acts. This provision excludes onward references to the EU acts listed in Annex 3 number 2; here the Swiss provisions listed in the Annex apply.

Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>14</sup> See Annex 4.

See the footnote to Art. 4 para. 1 let. f.

# Chapter 2 Making available on the Market and Putting into Service Section 1 Requirements

## **Art. 6** General safety and performance requirements

- <sup>1</sup> A device may be placed on the market or put into service only if it complies with this Ordinance when duly supplied and properly installed, maintained and used in accordance with its intended purpose.
- <sup>2</sup> Devices shall meet the general safety and performance requirements set out in Annex I to EU-MDR<sup>16</sup>, taking account of their intended purpose.
- <sup>3</sup> Appropriate evidence that the part of the combination that is deemed to be a device under the cases set out in Article 2 letters f—h and j fulfils the device requirements must be presented to the competent authority on demand.<sup>17</sup>
- <sup>4</sup> If the device complies with the applicable technical standards designated by the Swiss Agency for Therapeutic Products (Swissmedic) or with common specifications, or relevant sections thereof, or with pharmacopoeial requirements in accordance with the Pharmacopoeia Ordinance of 17 October 2001<sup>18</sup>, it is presumed that the device conforms with those requirements of this Ordinance covered by the applicable designated standards or common specifications, or relevant sections thereof or by the pharmacopoeial requirements.<sup>19</sup>
- <sup>5</sup> The presumption in paragraph 4 also applies to compliance with the system or process requirements, which, in accordance with this Ordinance, must be fulfilled by economic operators, including the requirements relating to quality management systems, risk management, post-market surveillance systems, clinical investigations, clinical evaluation or post-market clinical follow-up.<sup>20</sup>
- <sup>6</sup> Compliance with the common specifications in paragraph 4 is required unless the manufacturer can duly justify that it has adopted solutions that ensure a level of safety and performance that is at least equivalent thereto. The above is subject to Article 8 paragraph 1.<sup>21</sup>

#### Art. 7 Distance sales

- <sup>1</sup> Devices offered in Switzerland by means of an information society service specifically an online service that fulfil the conditions set out in paragraph 4 must comply with this Ordinance.<sup>22</sup>
- See the footnote to Art. 4 para. 1 let. f.
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- 18 SR **812.211**
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- 22 Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>1 bis</sup> Devices offered to users in Switzerland online or via some other form of distance sales are considered to be made available on the market.<sup>23</sup>

- <sup>2</sup> Similarly, devices that are not placed on the market but are used in the context of a commercial activity, whether in return for payment or free of charge, for the provision of a diagnostic or therapeutic service offered by means of information society services or by other means of communication must also comply with this Ordinance.
- <sup>3</sup> Upon request by Swissmedic, any natural or legal person offering a device in accordance with paragraph 1 or providing diagnostic or therapeutic services in accordance with paragraph 2 must provide a copy of the declaration of conformity.<sup>24</sup>
- <sup>4</sup> A device is deemed to be supplied via an information society service if that service:
  - a. is provided by distance selling, specifically without the contracting parties being physically present at the same time;
  - b. is provided electronically; and
  - is provided at the individual request of the recipient or the recipient's representative.

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## Art. 8 Specific requirements

- <sup>1</sup> Products without an intended medical purpose in accordance with Article 1 paragraph 1 letter b must comply with the common specifications stipulated by Swissmedic.
- <sup>2</sup> Devices that have both a medical and non-medical intended purpose must fulfil both the requirements for devices with an intended medical purpose and the requirements for products without an intended medical purpose.
- <sup>3</sup> Devices that are also machines within the meaning of Article 1 of the Machine Ordinance of 2 April 2008<sup>26</sup> must satisfy the pertinent general safety and health protection requirements of the Machine Ordinance where these requirements are more specific than those of Chapter II of Annex I to EU-MDR<sup>27</sup>.

#### **Art. 9** Devices manufactured and used in healthcare institutions

<sup>1</sup> Devices manufactured and used solely within healthcare institutions are deemed to have been put into service. Such devices are subject to the pertinent general safety and performance requirements of Annex I to EU-MDR<sup>28</sup> but not to any of the other

- 23 Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Amended by Annex 5 No I of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Repealed by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, with effect from 26 May 2022 (AS 2022 291).
- <sup>26</sup> SR **819.14**
- See the footnote to Art. 4 para. 1 let. f.
- See the footnote to Art. 4 para. 1 let. f.

requirements set out in this Ordinance, provided the requirements of Article 5 paragraph 5 letters a-h EU-MDR are fulfilled.

<sup>2</sup> Paragraph 1 does not apply to devices manufactured on an industrial scale.

#### **Art. 10** Custom-made devices

- <sup>1</sup> Custom-made devices are subject to the requirements of Annex XIII to EU-MDR<sup>29</sup>. The devices must be accompanied by the statement referred to in Section 1 of Annex XIII to EU-MDR when they are placed on the market.
- <sup>2</sup> In addition to the procedure under paragraph 1, manufacturers of class III implantable custom-made devices must also carry out a conformity assessment procedure as specified in Chapter I of Annex IX to EU-MDR. Alternatively, they may opt for a conformity assessment in accordance with Part A of Annex XI to EU-MDR.
- <sup>3</sup> Manufacturers must draw up, keep up to date and keep available for competent authorities the documentation in accordance with Section 2 of Annex XIII to EU-MDR.

## Art. 11 Systems and procedure packs

- <sup>1</sup> The requirements of Articles 22 and 29 paragraph 2 EU-MDR apply to the placing on the market of systems and procedure packs<sup>30</sup>.
- <sup>2</sup> Any natural or legal person who sterilises systems or procedure packs for placing on the market must apply a conformity assessment procedure for the sterilisation process and involve in that procedure a conformity assessment body that is designated under this Ordinance or recognised under an international agreement (designated body). The modalities for doing so are governed by Article 22 paragraph 3 EU-MDR.
- <sup>3</sup> Any natural or legal person who places the following systems or procedure packs on the market must fulfil the obligations of a manufacturer under Articles 46–50 and conduct the pertinent conformity assessment procedure under Article 23: Systems or procedure packs that:
  - a. contain devices that do not carry a conformity marking;
  - b. consist of a combination of devices that is not compatible with their original intended purpose; or
  - c. have not been sterilised in accordance with the manufacturer's instructions.

## Art. 12 Parts and components

<sup>1</sup> Any natural or legal person who makes available on the market an item intended to replace an identical or similar integral part or component of a device that is defective or worn in order to maintain or restore the function of the device without changing its performance or safety characteristics or its intended purpose shall ensure that the item does not adversely affect the safety and performance of the device. Supporting evidence must be kept available for the competent authority.

See the footnote to Art. 4 para. 1 let. f.

O See the footnote to Art. 4 para. 1 let. f.

<sup>2</sup> An item that is intended specifically to replace a part or component of a device and that significantly changes the performance or safety characteristics or the intended purpose of the device shall be considered to be a device and shall meet the requirements laid down in this Ordinance.

#### Art. 13 Conformity marking and identification number

- <sup>1</sup> Devices placed on the market in Switzerland or made available on the Swiss market must bear a conformity marking in accordance with Annex 5. The conformity marking presented in Annex V to EU-MDR<sup>31</sup> is also a permissible conformity marking.
- <sup>2</sup> The following must not bear a conformity marking:
  - custom-made devices:
  - b. devices exclusively for demonstration and presentation purposes;
  - systems and procedure packs;
  - d.32 investigational devices, subject to the provisions of Article 6 of the Ordinance of 1 July 2020<sup>33</sup> on Clinical Trials with Medical Devices (ClinO-MD);
  - e.<sup>34</sup> devices in accordance with Article 9.
- <sup>3</sup> Where devices' conformity has to be assessed by a designated body, the identification number of this body must be affixed to the conformity marking.

#### Art. 14 Affixing conformity markings and identification numbers

- <sup>1</sup> The conformity marking and, where necessary, the associated identification number shall be affixed to the device itself or its sterile packaging.
- <sup>2</sup> Where this is not possible or practicable owing to the nature of the device, the conformity marking and, where necessary, the associated identification number must be displayed on the packaging.
- <sup>3</sup> The conformity marking shall also appear in the instructions for use and on the sales packaging.
- <sup>4</sup> The requirements of Article 20 paragraphs 3–6 EU-MDR<sup>35</sup> and the general principles of Article 30 Regulation (EC) No. 765/2008<sup>36</sup> must also be observed when affixing the conformity marking.

See the footnote to Art. 4 para. 1 let. f.

Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS **2023** 576).

SR 812.213.3

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

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See the footnote to Art. 4 para. 1 let. f. Regulation (EC) No. 765/2008 of the European Parliament and of the Council of 9 July 2008 setting out the requirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93, last amended by OJ L 218 of 13.8.2008, p. 30.

## Section 2 Classification, Product Information and Product Identification<sup>37</sup>

#### Art. 1538 Classification

Devices shall be divided into classes I, IIa, IIb and III, taking into account the intended purpose of the devices and their inherent risks. This classification must comply with the provisions of Annex VIII to EU-MDR<sup>39</sup>, taking account of the implementing acts of the European Commission listed in Annex 5a.

#### Art. 16 Product information

- <sup>1</sup> Product information comprises the labelling and instructions for use. It is governed by Chapter III of Annex I to EU-MDR<sup>40</sup>.
- <sup>2</sup> It must be written in all three official languages of Switzerland. Symbols established by means of technical standards may be used to replace written statements.
- <sup>3</sup> The product information may be provided in fewer than the three official languages of Switzerland or in English, provided that:
  - a.41 the device is supplied exclusively to healthcare professionals or is a custommade device or concerns a medical device in accordance with Article 9:
  - b.42 the user meets the necessary professional and linguistic requirements and qualifications, and is in agreement;
  - the protection of patients, users and third parties is ensured; and c.
  - the efficacy and performance of the medical device are not placed at risk.
- <sup>4</sup> If requested, additional information must be provided to users in one of the official languages of Switzerland.
- <sup>5</sup> If a product cannot be, or cannot yet be, placed on the market as a medical device but may be confused with such a device, the claims relating to the said product must indicate clearly and legibly that it is not a medical device and is not suitable for medical purposes.
- <sup>6</sup> Devices intended solely for demonstration and presentation purposes must be specifically labelled as such. The information must be clearly visible and comprehensible.
- <sup>7</sup> Misleading or contradictory information on a device's intended purpose, safety and performance is forbidden.

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical De-Amended by Amine 2010 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).

Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS **2023** 576). See the footnote to Art. 4 para. 1 let. f.

<sup>38</sup> 

See the footnote to Art. 4 para. 1 let. f.

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

#### Art. 17 Unique device identification

<sup>1</sup> Any manufacturer or any natural or legal person who assembles systems and procedure packs in accordance with Article 22 paragraphs 1 and 3 EU-MDR<sup>43</sup> shall assign to the device, system or procedure pack, with the exception of custom-made devices, and all higher levels of packaging a unique device identifier (UDI<sup>44</sup>) prior to placing it on the market.45

- <sup>2</sup> It must state the UDI on the labelling of the device, system or procedure pack and all higher levels of packaging. Shipping containers are not considered as a higher level of packaging.46
- <sup>3</sup> It shall maintain a list of all the UDIs it has assigned. This list is part of the technical documentation specified in Annex II to EU-MDR. It must be kept up-to-date at all times.47
- <sup>4</sup> The obligations and modalities associated with device identification and registration are governed by Articles 27 and 29 and Annex VI to EU-MDR, taking account of the amendments to this Annex adopted by the European Commission by means of delegated acts<sup>48</sup>.

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#### Section 3 **Reporting Obligations and Information**

#### Art. 18 Notification of devices manufactured in healthcare institutions<sup>50</sup>

- <sup>1</sup> Healthcare institutions that manufacture and use devices as specified in Article 9 shall provide the following information to Swissmedic prior to putting the devices into service:
  - their name and address:
  - the name and intended purpose of the device; b.
  - the risk class of the device in accordance with Article 15 paragraph 1.
- <sup>2</sup> Any other relevant information about these devices must be submitted to Swissmedic upon request.51
- <sup>3</sup> Changes to the information required in paragraph 1 must be reported to Swissmedic within 30 days.
- 43 See the footnote to Art. 4 para. 1 let. f.
- Stands for «Unique Device Identification»
- Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281). Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281). Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281). 45

- See Annex 4.
- Enters into force at a later date (Art. 110 para. 2). Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>4</sup> Depending on the risk inherent to a device and its use, Swissmedic may exempt devices manufactured and used in accordance with Article 9 from the reporting obligation.

# Art. 19 Reporting obligation for natural and legal persons who make custom-made devices available on the market

- <sup>1</sup> Any natural or legal person who makes custom-made devices available on the Swiss market must provide the following information to Swissmedic before making the devices available:
  - a. the name and address of the manufacturer and all manufacturing sites;
  - b. the name and address of the authorised representative if applicable;
  - the codes required to identify the relevant product categories, as specified by the European Commission by means of implementing acts<sup>52</sup>.
- <sup>2</sup> Changes to this information must be reported to Swissmedic within 30 days of the changes taking effect.
- <sup>3</sup> Depending on the risk inherent to a device and its use, Swissmedic may exempt custom-made devices from the reporting obligation under paragraph 1.

# Art. 20 Information on implantable devices

- <sup>1</sup> For implantable products, the manufacturer must provide, in addition to the product information required under Article 16, the information required under Article 18 paragraph 1 EU-MDR<sup>53</sup>, including the implant card. The exemptions specified under Article 18 paragraph 3 EU-MDR apply, taking account of the amendments adopted by the European Commission by means of delegated acts<sup>54</sup>.
- <sup>2</sup> The implant card must drawn up in all three official languages of Switzerland.
- <sup>3</sup> Healthcare institutions must enter the details of the implant recipient in the implant card and give the card to the recipient. They provide the essential information needed by the recipient in a quickly accessible mean.

# Chapter 3 Conformity Assessment, Certificate and Declaration Section 1 Conformity assessment

## Art. 21 Principle

<sup>1</sup> Any natural or legal person who is domiciled in Switzerland and makes devices available on the market in Switzerland or in a contracting state must, upon request, provide the authorities that are responsible for controls in the field of market surveillance with the declaration of conformity.

- 52 See Annex 4.
- See the footnote to Art. 4 para. 1 let. f.
- 54 See Annex 4.

<sup>2</sup> A manufacturer who places a device on the market in Switzerland or in a contracting state and who is domiciled in Switzerland must carry out an assessment of the device's conformity with the relevant conformity assessment procedures prior to placing it on the market. The manufacturer and the importer must be able to prove that such a conformity assessment has been carried out and that the device is conforming.<sup>55</sup>

- <sup>3</sup> A manufacturer who puts into service in Switzerland or in a contracting state a device that is not placed on the market and who is domiciled in Switzerland must carry out an assessment of the device's conformity with the relevant conformity assessment procedures before it is put into service. The manufacturer must be able to prove that such a conformity assessment has been carried out and that the device is conforming.<sup>56</sup>
- <sup>4</sup> The demonstration of compliance with the general safety and performance requirements must also include a clinical evaluation in accordance with Article 61 EU-MDR<sup>57,58</sup>

## Art. 22 Derogations

- <sup>1</sup> On a duly justified request, Swissmedic may authorise the placing on the market and putting into service of a specific device the use of which is in the interests of public health or patient safety or health even though:
  - a. the relevant conformity assessment procedure in accordance with Article 23 has not been carried out; or
  - b. the language requirements in Article 16 paragraph 2 have not been met.
- <sup>2</sup> Individual devices that have not undergone the relevant conformity assessment procedure may be placed on the market and used without authorisation from Swissmedic provided:
  - a. they serve to avert life-threatening conditions or to resolve the permanent impairment of a body function;
  - b.59 no conforming device is available for this specific intended purpose;
  - c. they are used exclusively by healthcare professionals on individual persons;
  - the healthcare professional using the device has informed the individual concerned about the non-conformity of the medical device and the related risks; and
  - e. the individual concerned has consented to the use of the device.
- 55 Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Amended by Annex 5 No I of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- 57 See the footnote to Art. 4 para. 1 let. f.
- Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices (AS 2022 291). Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).
- 59 Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>3</sup> For devices placed on the market exclusively within the armed forces or within the framework of their specific tasks, the Federal Department of Home Affairs (FDHA) may, in agreement with the Federal Department of Defence, Civil Protection and Sport, grant derogations.

#### Art. 23 Procedure

The conformity assessment procedure is governed by Articles 52 and 54 and by Annexes IX–XI to EU-MDR<sup>60</sup>, taking account of the amendments to Article 52, paragraph 4, sub-paragraph 2 EU-MDR adopted by the European Commission by means of delegated acts<sup>61</sup>.

## **Art. 24** Involvement of a designated body

- <sup>1</sup> When a designated body is involved, all the information necessary for the conformity assessment must be made available to it.
- <sup>2</sup> Manufacturers must not simultaneously apply to more than one designated body in Switzerland or a contracting state to carry out a conformity assessment procedure for the same product.
- <sup>3</sup> Any natural or legal person who applies to a designated body must inform that body whether an application to a different designated body in Switzerland or a contracting state has been withdrawn before a decision was issued or rejected by a different designated body in Switzerland or a contracting state.
- <sup>4</sup> If a manufacturer withdraws its application to have a conformity assessment procedure carried out before the designated body has issued its decision on the assessment, the designated body in question shall notify Swissmedic and the other designated bodies.
- 5 ...62

<sup>6</sup> Where a manufacturer voluntarily changes the designated body, it must comply with the requirements of Article 58 EU-MDR<sup>63</sup>.

# **Section 2** Certificate of Conformity

## Art. 25 Issuing and content

<sup>1</sup> The designated bodies issue certificates of conformity in accordance with Annexes IX–XI to EU-MDR<sup>64</sup> (Certificates).

- 60 See the footnote to Art. 4 para. 1 let. f.
- 61 See Annex 4.
- Repealed by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, with effect from 26 May 2022 (AS 2022 291).
- 63 See the footnote to Art. 4 para. 1 let. f.
- See the footnote to Art. 4 para. 1 let. f.

<sup>2</sup> The certificates must be issued in one of the three official languages of Switzerland or in English.

- <sup>3</sup> They must, as a minimum, include the information required in Annex XII to EU-MDR, taking account of the amendments to this Annex adopted by the European Commission by means of delegated acts<sup>65</sup>.
- <sup>4</sup> Certificates issued by bodies designated under EU law and domiciled in a state of the EU or European Economic Area (EEA) but not recognised by an international agreement are deemed equivalent to certificates issued by Swiss bodies if it can be credibly demonstrated that:
  - a. the conformity assessment procedures applied meet Swiss requirements; and
  - the certificates were issued by a body with an equivalent qualification to that required in Switzerland.<sup>66</sup>

## Art. 26 Validity

- <sup>1</sup> Certificates are valid for a maximum of five years. The expiry date must be indicated on the certificate.
- <sup>2</sup> At the manufacturer's request, the validity of the certificate may be extended by a maximum of five years following a re-assessment carried out in accordance with the relevant conformity assessment procedure. Certificates may be extended more than once.
- <sup>3</sup> Any supplement to a certificate is valid for the same period as the certificate to which it belongs.

## **Art. 27** Suspension, restriction and revocation

- <sup>1</sup> If a designated body finds that a manufacturer no longer fulfils the requirements of this Ordinance, it shall impose on that manufacturer a suitable deadline for restoring compliance.
- <sup>2</sup> If this deadline passes without the manufacturer taking suitable corrective action, the designated body shall suspend, revoke or restrict the certificate in question.
- <sup>3</sup> A certificate that has been amended, suspended or revoked by a designated body must no longer be used in its original form.

## **Art. 28** Documentation requirements

- <sup>1</sup> The designated body shall provide Swissmedic and the other designated bodies with:<sup>67</sup>
  - a. all information on certificates it has issued and any amendments or supplements to such certificates:

65 See Annex 4.

66 Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

- b. information on suspended, reinstated or revoked certificates;
- c. information on certificates it has refused;
- d. information on restrictions imposed on certificates.
- <sup>2</sup> It shall also provide Swissmedic with information on whether or not a conformity assessment procedure should be applied in accordance with Article 54 paragraph 1 EU-MDR<sup>68</sup>. Notifications of certificates for devices that have undergone a procedure of this type must include the documents specified in Article 55 paragraph 1 EU-MDR<sup>69</sup>

## **Section 3** Declaration of Conformity

#### Art. 29

- <sup>1</sup> If the applicable conformity assessment procedure has demonstrated that the requirements of this Ordinance have been fulfilled, the manufacturer of devices that are not custom-made or investigational issues a declaration of conformity. The manufacturer shall continuously update this declaration.
- <sup>2</sup> The declaration of conformity shall include the information required in Annex IV to EU-MDR<sup>70</sup>, taking account of the amendments to this Annex adopted by the European Commission by means of delegated acts<sup>71</sup>. It must be written in one of the three official languages of Switzerland or English or translated into one of these languages.
- <sup>3</sup> If a device also requires a manufacturer's declaration of conformity for aspects not covered by this Ordinance but nevertheless required by other legislation in order to demonstrate compliance with that legislation, a single declaration of conformity shall be drawn up.
- <sup>4</sup> By drawing up the declaration of conformity, the manufacturer assumes responsibility for compliance with the requirements of this Ordinance and all other legislation applicable to the device.

# Chapter 4 Requirements applicable to Tissues and Cells of Human Origin that are incorporated into or used in the Production of Devices

## Art. 30 Establishment licence

<sup>1</sup> An establishment licence issued by Swissmedic is required for any natural or legal person who:

<sup>68</sup> See the footnote to Art. 4 para. 1 let. f.

<sup>69</sup> Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

See the footnote to Art. 4 para. 1 let. f.

<sup>71</sup> See Annex 4.

a. removes tissues or cells from humans for the purpose of devitalising the tissues or cells and using them to manufacture devices or supplying them for use in device manufacture:

- b. stores tissues or cells collected for the purposes in letter a;
- c. imports or exports tissues or cells collected for the purposes in letter a.

#### <sup>2</sup> A licence shall be issued if:

- a. the requirements in terms of professional qualifications and operational infrastructure are fulfilled;
- a quality assurance system that complies with current scientific and technological standards is in place;
- the facility has a Responsible Person with the necessary specialized knowledge, experience and directive authority in their area of activity and who is responsible for quality;
- d. the obligations specified in Articles 31 and 32 are fulfilled.
- <sup>3</sup> Swissmedic shall verify that the conditions for issuing an establishment licence are fulfilled in the course of an inspection.
- <sup>4</sup> Articles 39–43 of the Medicinal Products Licensing Ordinance of 14 November 2018<sup>72</sup> (MPLO) also apply mutatis mutandis.

## **Art. 31** Collection, donation and testing

- <sup>1</sup> The requirements of Articles 3, 4, 6–15 and 30–33 of the Transplantation Act of 8 October 2004<sup>73</sup> and of Articles 2–12 of the Transplantation Ordinance of 16 March 2007<sup>74</sup> apply mutatis mutandis to collection, donation and testing.
- <sup>2</sup> Holders of an establishment licence issued under Article 30 must verify the suitability of donors.

## **Art. 32** Duty to keep records and traceability

- <sup>1</sup> It must be possible to trace all tissues and cells collected from humans for the purpose of devitalisation and use in devices from the donor to the recipient and vice versa. Article 35, paragraphs 1 and 2, MPLO<sup>75</sup> also apply mutatis mutandis.
- <sup>2</sup> Moreover, the provisions of Articles 34 and 35 of the Transplantation Act of 8 October 2004<sup>76</sup> apply mutatis mutandis to traceability.

<sup>&</sup>lt;sup>72</sup> SR **812.212.1** 

<sup>73</sup> SR **810.21** 

<sup>74</sup> SR **810.211** 

<sup>75</sup> SR 812.212.1

<sup>&</sup>lt;sup>76</sup> SR **810.21** 

# Chapter 5 Designated Bodies Section 1 Designation

## Art. 33 Requirements and application

- <sup>1</sup> Swissmedic shall only designate conformity assessment bodies that have completed an assessment procedure in accordance with Article 34 and which satisfy the requirements set out in Annex VII to EU MDR<sup>77,78</sup>
- <sup>2</sup> Applications for designation must be submitted to Swissmedic. They must in particular include:
  - a. details of the activities and the types of device for which designation is sought;
  - b. proof that the requirements of Annex VII to EU-MDR are met.
- <sup>3</sup> Swissmedic shall, within thirty days, verify whether the application for designation is complete, and shall request the applicant to provide any missing information.
- <sup>4</sup> It shall review the application and accompanying documents and issue a preliminary assessment report.

## Art. 34 Assessment

- <sup>1</sup> Swissmedic shall conduct an on-site assessment of the conformity assessment body and, if relevant, of all sub-contractors and subsidiaries.
- <sup>2</sup> If Swissmedic identifies non-compliances in the course of its assessment, it shall draw up a list of non-compliances for the applicant. Swissmedic shall set the conformity assessment body a deadline by which the latter shall submit to Swissmedic a corrective action plan to remedy the non-compliances and a preventive action plan.
- <sup>3</sup> The plans shall indicate the root cause of the identified non-compliances and shall include a timeframe for implementation of the actions therein.
- <sup>4</sup> Swissmedic shall decide whether the proposed action is suitable and whether the timeframe for implementation is appropriate.

## Art. 35 Assessment report

- <sup>1</sup> If Swissmedic approves the plans required under Article 34 paragraph 2, it shall prepare an assessment report.
- <sup>2</sup> This shall comprise the following:
  - a. the result of the assessment;
  - confirmation that suitable corrective and preventive actions have been appropriately addressed and, where necessary, implemented;
  - c. the scope of the designation.
- See the footnote to Art. 4 para. 1 let. f.
- Amended by Annex 5 No<sup>1</sup>1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

## Art. 36 Issuance and extension of designation

<sup>1</sup> Swissmedic shall issue the designation if the conformity assessment body meets the requirements.

<sup>2</sup> The extension of designations is subject to the requirements and procedures laid out in Articles 33–35.

#### Art. 37 Sub-contractors and subsidiaries

- <sup>1</sup> Designated bodies that delegate part of the work to sub-contractors or to a subsidiary bear full responsibility for the work carried out on their behalf by the sub-contractor or by the subsidiary.
- <sup>2</sup> They must ensure that the sub-contractor or the subsidiary meets the applicable requirements of Annex VII to EU-MDR<sup>79</sup>.
- <sup>3</sup> They must notify Swissmedic if they delegate work under the terms of paragraph 1. They must be able to demonstrate to Swissmedic that the sub-contractor or the sub-sidiary is capable of carrying out the tasks assigned to it.
- <sup>4</sup> Conformity assessment activities may only be delegated if the designated body has informed the legal or natural person who requested the conformity assessment accordingly.
- <sup>5</sup> The designated bodies shall make publicly available a list of their subsidiaries.

# Art. 38 Duty of cooperation and notification requirement

- <sup>1</sup> The designated bodies, including their subsidiaries and sub-contractors, are required to keep available for Swissmedic at all times all data that is necessary for assessment, designation, monitoring and re-assessment, including the documents required to assess the qualifications of sub-contractors or subsidiaries. The data must be kept up-to-date at all times.
- <sup>2</sup> The designated bodies shall notify Swissmedic within 15 days of any change that affects their ability to meet the requirements of Annex VII to EU-MDR<sup>80</sup> or to carry out conformity assessments.

#### Art. 39 Tariffs

The designated bodies shall issue lists of the standard tariffs charged for their activities and make these lists publicly available.

<sup>79</sup> See the footnote to Art. 4 para. 1 let. f.

<sup>80</sup> See the footnote to Art. 4 para. 1 let. f.

## **Section 2** Cessation of Conformity Assessment Activities

#### Art. 40

- <sup>1</sup> If a designated body ceases to carry out its conformity assessment activities, it shall inform Swissmedic and the manufacturers concerned as soon as possible. In the case of a planned cessation of activities, notice must be given one year before the activities cease. Swissmedic shall revoke the designation from the date on which the activities cease.
- <sup>2</sup> The certificates remain valid for a maximum of nine months following the cessation of activities, provided another designated body assumes responsibility for certifying the products concerned and confirms this in writing.
- <sup>3</sup> The designated body assuming responsibility in accordance with paragraph 2 shall conduct a full assessment of the products concerned before the nine-month period expires and before issuing new certificates for the products.

# Section 3 Suspension, Restriction or Revocation of Designation

## Art. 41 Principle

- <sup>1</sup> Designation shall be suspended, restricted or revoked if the designated body:
  - a. no longer or only partly meets the requirements; or
  - b. fails to carry out corrective actions ordered by Swissmedic.
- <sup>2</sup> Suspensions shall be imposed for a maximum of twelve months. They may be extended by a maximum of a further twelve months.
- <sup>3</sup> If designation is suspended, restricted or revoked, the designated body must inform all affected manufacturers accordingly within ten days.

## Art. 42 Unduly issued certificates

- <sup>1</sup> In the event of its designation being restricted, suspended or revoked, the designated body shall suspend or revoke any certificates that were unduly issued.
- <sup>2</sup> If the designated body fails to fulfil this requirement, Swissmedic shall instruct it to suspend or revoke the certificates and set an appropriate deadline for doing so.

# **Art. 43** Validity of certificates in the event of suspension or restriction of designation

- <sup>1</sup> If Swissmedic suspends or restricts the designation of a designated body, the certificates concerned remain valid provided Swissmedic:
  - a. confirms within a month that no safety issue exists in connection with the certificates concerned; and
  - b. outlines a timeline and measures to remedy the suspension or restriction.

- <sup>2</sup> The certificates also remain valid if Swissmedic:
  - a. confirms that, during the suspension or restriction, no certificates relevant to the suspension shall be issued, amended or re-issued; and
  - b.81 states that the designated body is able to continue to monitor and retain responsibility for existing certificates during the suspension or restriction.
- <sup>3</sup> The designated body shall notify the manufacturers concerned or the persons or entities placing the devices concerned on the market.
- <sup>4</sup> Should Swissmedic ascertain that the designated body is unable to continue to oversee existing certificates, these certificates shall retain their validity if the manufacturer of the device in question confirms to Swissmedic or, if it is domiciled in a contracting state, to the competent authority there, in writing and within three months of designation being suspended or restricted that:
  - a. another qualified designated body is temporarily assuming the monitoring functions; and
  - b. this designated body shall be responsible for the certificates during the period of suspension or restriction.

## Art. 44 Validity of certificates in the event of designation being revoked

- <sup>1</sup> If Swissmedic revokes the designation of a designated body, the certificates affected remain valid for nine months provided:
  - a. Swissmedic or, if the manufacturer is domiciled in a contracting state, the competent authority there confirms that the there is no safety issue associated with the devices in question; and
  - b. another designated body confirms in writing that it is assuming immediate responsibility for the certificates for these devices and can complete the assessment of the devices within twelve months of designation being revoked.
- <sup>2</sup> Swissmedic may, within the limits of its competence, extend the provisional validity of the certificates for further periods of three months, which altogether must not exceed twelve months.

## **Section 4** Monitoring and Re-assessment of Designated Bodies

## Art. 45

<sup>1</sup> Swissmedic shall monitor the designated bodies and their subsidiaries and sub-contractors and carry out re-assessments. In the course of monitoring and re-assessing designated bodies and reviewing their assessments, Swissmedic shall take account of the requirements and procedures set out in Articles 44 and 45 EU-MDR<sup>82</sup>.

82 See the footnote to Art. 4 para. 1 let. f.

<sup>81</sup> Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

- <sup>2</sup> It shall verify whether designated bodies still satisfy the requirements of Article 36 paragraph 1 and Annex VII to EU-MDR three years after designation, and then every four years, in the course of a full re-assessment. This provision is subject to changes in assessment intervals resulting from delegated acts<sup>83</sup> issued by the European Commission.
- <sup>3</sup> Swissmedic shall carry out an on-site audit at least once a year to ascertain whether the designated bodies and, if necessary, their subsidiaries and sub-contractors are fulfilling the requirements and obligations of Annex VII to EU-MDR.
- <sup>4</sup> For this purpose, it may at any time:
  - a. carry out on-site assessments with or without advance notice;
  - carry out audits of the personnel of the designated body and its subsidiaries or sub-contractors or observe audits that the designated body carries out on manufacturers' premises.

# Chapter 6 Requirements for Economic Operators Section 1 Manufacturers

## Art. 46 Affixing the conformity marking and clinical evaluation

- <sup>1</sup> Manufacturers guarantee that their devices have been designed and manufactured in accordance with the requirements of this Ordinance when they place them on the market or put them into service.
- <sup>2</sup> They must affix the conformity marking to their devices.
- <sup>3</sup> They must conduct a clinical evaluation in accordance with Article 61 EU-MDR<sup>84</sup> taking account of the amendments to this Article adopted by the European Commission by means of delegated acts<sup>85</sup> and in accordance with Annex XIV to EU-MDR. They must update this clinical evaluation in line with the results of post-market clinical follow-up.

#### Art. 47 Technical documentation

- <sup>1</sup> Manufacturers must list in the technical documentation the information required in Annexes II and III to EU-MDR<sup>86</sup>, taking account of the amendments to these Annexes made by the European Commission by means of delegated acts<sup>87</sup>.
- <sup>2</sup> Manufacturers must submit either the complete technical documentation or a summary of this documentation when requested to do so by the competent authority.
- 83 See Annex 4.
- See the footnote to Art. 4 para. 1 let. f.
- 85 See Annex 4.
- See the footnote to Art. 4 para. 1 let. f.
- 87 See Annex 4.

## **Art. 48** Document retention requirements

<sup>1</sup> Manufacturers must ensure that the following are available to the competent authority for at least ten years after the last device covered by the declaration of conformity has been placed on the market:

- a. complete technical documentation;
- b. declaration of conformity;
- c. a copy of the certificates issued, including any amendments and supplements.
- <sup>2</sup> The document retention period for implantable devices shall be at least 15 years from the date the last device was placed on the market.

## **Art. 49** Person responsible for regulatory compliance

- <sup>1</sup> Manufacturers must have available within their organisation at least one person responsible for regulatory compliance who possesses the requisite expertise in the field of medical devices.
- <sup>2</sup> Proof that the person responsible for regulatory compliance possesses the requisite expertise, the responsibilities of this person, exceptions and further modalities are governed by Article 15 EU-MDR<sup>88</sup>.
- <sup>3</sup> The person responsible for regulatory compliance must have a deputy. If a number of persons are jointly responsible for regulatory compliance, their respective areas of responsibility shall be stipulated in writing.
- <sup>4</sup> The person responsible for regulatory compliance must suffer no disadvantage within the manufacturer's organisation in relation to the proper fulfilment of his or her duties, regardless of whether or not they are employees of the organisation.

## **Art. 50** Further obligations

The further obligations incumbent on manufacturers, particularly the requirements to be fulfilled by their quality and risk management systems, are governed by Article 10 EU-MDR<sup>89</sup>.

## **Section 2** Authorised Representative

## Art. 51 Obligations

- <sup>1</sup> Where the manufacturer of a device is not domiciled in Switzerland, the device may only be placed on the market if the manufacturer designates an authorised representative domiciled in Switzerland by means of a written mandate.
- <sup>2</sup> The authorised representative is responsible for the formal and safety-related aspects of placing the device on the market.

See the footnote to Art. 4 para. 1 let. f.

<sup>89</sup> See the footnote to Art. 4 para. 1 let. f.

- <sup>3</sup> The authorised representative's rights and obligations and the scope of its mandate are governed by Article 11 EU-MDR90.
- 3bis The manufacturer and authorised representative may contractually agree that instead of the authorised representative keeping available a copy of the technical documentation, the manufacturer shall, on request, submit the documentation straight to Swissmedic. The authorised representative must ensure that the documentation is submitted within seven days.91
- <sup>4</sup> Changes in authorised representative are governed by Article 12 EU-MDR.
- <sup>5</sup> Paragraphs 1–4 also apply mutatis mutandis to natural or legal persons who assemble systems and procedure packs in accordance with Article 22 paragraphs 1 and 3 EU-MDR and who are not domiciled in Switzerland.92

#### Art. 52 Person responsible for regulatory compliance

- <sup>1</sup> Authorised representatives must ensure that they have permanently and continuously at their disposal at least one person who possesses the requisite expertise as regards the requirements for medical devices under this Ordinance and who is responsible for regulatory compliance.
- <sup>2</sup> In other respects, Article 49 paragraphs 2–4 shall apply mutatis mutandis.

#### Section 3 **Importers**

## Art. 53

- <sup>1</sup> Importers may only place on the market devices that comply with this Ordinance. Before placing devices on the market, they shall verify that:
  - the device bears the conformity marking; a.
  - b. the declaration of conformity has been drawn up;
  - the manufacturer is identified and has designated an authorised representative c. in accordance with Article 51:
  - d. the device is labelled in accordance with this Ordinance and accompanied by instructions for use:
  - the manufacturer has assigned a UDI where applicable.
- <sup>2</sup> Importers shall indicate on the device or on its packaging or in a document accompanying the device, their name, place of business and the address at which they can be contacted.
- <sup>3</sup> Where an importer considers or has reason to believe that a device is not in conformity with the requirements of this Ordinance, it must not place the device on the market until it has been brought into conformity.
- 90 See the footnote to Art. 4 para. 1 let. f.
- Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281). Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281). 91

<sup>4</sup> The further obligations of importers prior to and after placing a device on the market are governed by Articles 13 and 16 paragraphs 3 and 4 EU-MDR<sup>93</sup>. In particular, importers must comply with the following obligations:

- a. storage, transport and quality management system;
- b. cooperation with the manufacturer, authorised representative, designated body and competent authorities;
- the provision of information to the manufacturer, authorised representative, designated body and competent authorities.

## Section 4 Distributors

#### Art. 54

- <sup>1</sup> When making a device available on the market, distributors must, in the context of their activities, act with due care in relation to the requirements applicable. Before making a device available on the market, distributors must verify that:
  - a. the device bears the conformity marking;
  - b. the declaration of conformity has been drawn up;
  - c. the device is accompanied by the product information;
  - d. where devices have been imported the importer has provided the information required in Article 53 paragraph 2; and
  - e. the manufacturer has assigned a UDI where applicable.
- <sup>2</sup> With the exception of paragraph 1 letter d, a sampling method may be used for the purposes of verification.
- <sup>3</sup> Where a distributor considers or has reason to believe that a device is not in conformity with the requirements of this Ordinance, it must not make the device available on the market until it has been brought into conformity.
- <sup>4</sup> The further obligations of distributors prior to and after making a device available on the market are governed by Articles 14 and 16 paragraphs 3 and 4 EU-MDR<sup>94</sup>. In particular, distributors must fulfil the following obligations:
  - a. storage, transport and quality management system;
  - b. cooperation with the manufacturer, authorised representative, importer and competent authorities;
  - the provision of information to the manufacturer, authorised representative, importer and competent authorities.

<sup>93</sup> See the footnote to Art. 4 para. 1 let. f.

See the footnote to Art. 4 para. 1 let. f.

## Section 595 Registration of Economic Operators

#### Art. 55

- <sup>1</sup> Manufacturers or their authorised representatives and importers must register the information required by part A Section 1 of Annex VI to EU-MDR<sup>96</sup> with Swissmedic within three months of placing a device on the market for the first time.
- <sup>2</sup> The economic operator in question must report any changes to the information to Swissmedic within one week.
- <sup>3</sup> Further obligations and registration modalities are governed by Articles 30 paragraph 3 and 31 EU-MDR.
- <sup>4</sup> Swissmedic shall verify the information provided by the economic operators and assign them a Swiss single registration number (CHRN).<sup>97</sup>
- <sup>5</sup> Any natural or legal person placing systems and procedure packs on the market for the first time under Article 11 must register their name and the address at which they can be contacted with Swissmedic within three months of placing the system or procedure pack on the market. Where an authorised representative is required under Article 51 paragraph 5, the name and address of the authorised representative must also be registered with Swissmedic.

# Chapter 7 Device Surveillance Section 1 Post-Market Surveillance

## Art. 56 System

- <sup>1</sup> For each device, manufacturers must plan, establish, document, implement, maintain and update a post-market surveillance system in a manner that is proportionate to the risk class and appropriate for the type of device. That system shall be an integral part of the manufacturer's quality management system.
- <sup>2</sup> The system must be suited to actively and systematically gathering, recording and analysing relevant data on the quality, performance and safety of a device throughout its entire lifetime, and to drawing the necessary conclusions and to determining, implementing and monitoring any preventive and corrective actions.
- <sup>3</sup> The modalities of the system, particularly the resulting actions, updates and amendments to technical documentation, are governed by Article 83 paragraph 3 EU-MDR<sup>98</sup>.

<sup>95</sup> Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS 2021 281).

<sup>96</sup> See the footnote to Art. 4 para. 1 let. f.

<sup>97</sup> Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>98</sup> See the footnote to Art. 4 para. 1 let. f.

#### Art. 57 Incidents and actions

<sup>1</sup> Should it become evident in the course of post-market surveillance that preventive and/or corrective actions are necessary, the manufacturer shall implement the appropriate measures and inform the competent authorities and, if applicable, the designated body.

<sup>2</sup> If a manufacturer becomes aware of a serious incident in connection with a device that has been made available on the market, or takes action to prevent or minimise the risk of such an incident for medical or technical reasons (field safety corrective actions), it must report the fact in accordance with Article 66.

#### Art. 58 Plan

The post-market surveillance plan must satisfy the requirements of Section 1 of Annex III to EU-MDR<sup>99</sup>. Except for custom-made devices, the plan shall be part of the technical documentation referred to in Annex II to EU-MDR.

## Art. 59 Report

- <sup>1</sup> Manufacturers of class I devices must draw up a post-market surveillance report.
- <sup>2</sup> This report must contain:
  - a. a summary of the results and conclusions of the analyses of the data gathered as a result of the plan specified in Article 58;
  - a description of any preventive and corrective actions taken, including their rationale.
- <sup>3</sup> The report forms part of the post-market surveillance technical documentation specified in Annex III to EU-MDR<sup>100</sup>.
- <sup>4</sup> The manufacturer must update the report when necessary and make it available to the competent authority upon request.

# Section 2 Safety Report

## Art. 60 Obligation

- <sup>1</sup> Manufacturers of class IIa, class IIb and class III devices shall prepare a safety report for each device and where relevant for each category or group of products.
- <sup>2</sup> Manufacturers of class IIa devices shall update the safety report when necessary and at least every two years. Manufacturers of class IIb and class III devices must update this report at least annually.

See the footnote to Art. 4 para. 1 let. f.

See the footnote to Art. 4 para. 1 let. f.

#### Art. 61 Content

- <sup>1</sup> The safety report must contain:
  - a. a summary of the results and conclusions of the analyses of the data gathered as a result of the post-market surveillance plan as specified in Article 58;
  - a description of any preventive and corrective actions taken and their rationale.
- <sup>2</sup> Throughout the lifetime of the device concerned, the safety report must set out:
  - a. the conclusions of the benefit-risk determination:
  - b. the main findings of the post-market clinical follow-up;
  - c. the total sales volume of the device;
  - d. an estimate of the size of the population using the device;
  - e. characteristics of the population in letter d;
  - f. the frequency of device usage, where practicable.
- <sup>3</sup> The safety report forms part of the technical documentation specified in Annexes II and III to EU-MDR<sup>101</sup>. For custom-made devices, the report forms part of the documentation specified in Section 2 of Annex XIII to EU-MDR.

## **Art. 62**<sup>102</sup> Review

- <sup>1</sup> Manufacturers shall make their safety reports available to the designated body involved in the conformity assessment.
- <sup>2</sup> The designated body shall review the safety report for class III devices or implantable devices and record the outcome of its review with details of any action taken.
- <sup>3</sup> Manufacturers or their authorised representatives shall, upon request, make the safety report and the outcome of the designated body's review, with details of any action taken, available to the competent authority.

# Section 3 Summary of Safety and Clinical Performance

#### Art. 63

- <sup>1</sup> For class III devices and for implantable devices, other than custom-made or investigational devices, the manufacturer must draw up a summary of safety and clinical performance.
- <sup>2</sup> The summary of safety and clinical performance shall be written in a way that is clear to the intended user and, if relevant, to the patient.

See the footnote to Art. 4 para. 1 let. f.

<sup>&</sup>lt;sup>102</sup> Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

<sup>3</sup> The minimum content of the summary is governed by Article 32, Paragraph 32 EU-MDR 103.

- <sup>4</sup> The draft summary must be submitted, together with the documentation, to the designated body involved in the conformity assessment for validation by that body. 104
- <sup>5</sup> The manufacturer shall publish the summary after it has been validated. <sup>105</sup>
- <sup>6</sup> The manufacturer must mention on the label or instructions for use where the summary is available.

#### Section 4 Traceability and Recording of Device Identification

#### Art. 64106 Traceability

- <sup>1</sup> Distributors and importers shall cooperate with manufacturers or their authorised representatives to achieve an appropriate level of traceability of devices.
- <sup>2</sup> The duty of disclosure under Article 47c TPA continues for at least 10 years, or for at least 15 years for implantable devices, from the date on which the device was acquired or delivered.

#### Art. 65 Recording the UDI

- <sup>1</sup> Economic operators and the healthcare institutions shall record and store, preferably by electronic means, the UDI of the class III implantable devices which they have supplied or with which they have been supplied.<sup>107</sup>
- <sup>2</sup> Swissmedic may extend this obligation to other devices, or categories or groups of devices

#### Section 5 Vigilance

#### Art. 66 Reporting obligation

- <sup>1</sup> Manufacturers of devices made available in Switzerland or natural or legal persons who assemble systems or procedure packs in accordance with Article 22 paragraphs 1 and 3 EU-MDR<sup>108</sup> must report to Swissmedic:
  - any serious incidents involving the device in question that have occurred in Switzerland, as soon as they become aware of them;

See the footnote to Art. 4 para. 1 let. f.

- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).

  Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
   Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- vices, in force since 26 May 2022 (AS 2022 291).

See the footnote to Art. 4 para. 1 let. f.

- b. any field safety corrective actions undertaken in Switzerland. 109
- <sup>2</sup> Exemptions from this reporting obligation, modalities, periodic summary reports, trend reporting and analyses of serious incidents and field safety corrective actions are governed by Articles 27 paragraph 5 and 87–89 EU-MDR.

<sup>2bis</sup> Where an authorised representative is required pursuant to Article 51, this representative is responsible for the reporting obligation in paragraph 1. Furthermore, the authorised representative must submit the trend reports pursuant to paragraph 2 on incidents in Switzerland and abroad to Swissmedic without being requested to do so. Final reports prepared in accordance with Article 89 paragraph 5 EU-MDR should be submitted to Swissmedic. The transfer of these obligations from the manufacturer or from the natural or legal person assembling systems or procedure packs under Article 22 paragraphs 1 and 3 EU-MDR to the authorised representative must be agreed in writing in the mandate. <sup>110</sup>

3 ...111

- <sup>4</sup> Any professional who becomes aware of a serious incident when using devices must report this to the supplier and Swissmedic. The report may be submitted by a professional association. The timelines for doing so are as set out in Article 87 EU-MDR.
- <sup>5</sup> Reports must be submitted to Swissmedic electronically and in machine-readable format. Swissmedic publishes information on electronic submission and the forms to be used with content specifications.

# **Art. 67** Reporting systems in hospitals

- <sup>1</sup> Hospitals must set up an internal reporting system within the framework of an established quality management system for the purpose of reporting under Article 66 paragraph 4.
- <sup>2</sup> They must designate a suitable competent person (vigilance contact person) with a medical or technical qualification to assume responsibility for reporting to Swissmedic. They must supply this person's contact details to Swissmedic.
- <sup>3</sup> Records and all documents created under the vigilance quality management system must be retained for at least 15 years.

# **Chapter 8** Conduct in relation to Devices

## Art. 68 Supply

Devices are supplied in accordance with their intended purpose and the information provided by the manufacturer.

<sup>109</sup> Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS 2021 281).
 Repealed by No I of the O of 19 May 2021, with effect from 26 May 2021 (AS 2021 281).

#### Art. 69 Advertising

<sup>1</sup> Claims for devices must only contain statements that correspond to the product information.

- <sup>2</sup> Misleading statements, particularly concerning the intended purpose, safety and performance of a device, are prohibited.
- <sup>3</sup> Devices intended solely for use by healthcare professionals must not be advertised to the public.112

#### Application<sup>113</sup> Art. 70

- <sup>1</sup> Any professional who uses a device from a foreign country directly without placing it on the market is responsible for the conformity of that device.
- <sup>2</sup> Device groups intended for use by healthcare professionals and which could harm the health of humans in the case of improper use are listed in Annex 6.114
- <sup>3</sup> The groups of devices in Annex 6 may only be used in accordance with the professional and operating requirements stated therein.

#### Art. 71 Maintenance

- <sup>1</sup> Any professional using devices must ensure that the devices are maintained and tested in accordance with the regulations.
- <sup>2</sup> Maintenance must be carried out in accordance with the principles of a quality management system, is to be organised appropriately, and must be guided in particular by:
  - the manufacturer's instructions:
  - h the particular risk associated with the device and its use.
- <sup>3</sup> For devices with a measurement function, test procedures may be required in accordance with the Measuring Instruments Ordinance of 15 February 2006<sup>115</sup>.
- <sup>4</sup> Swissmedic may issue and publish requirements for maintenance measures. These requirements shall be deemed to constitute the current scientific and technological standards

#### Art. 72 Reprocessing

<sup>1</sup> Any person using in a professional capacity a device intended for repeated use must ensure on each occasion and prior to use that its functionality has been tested and that it has been reprocessed in accordance with current scientific and technological

- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
- Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
   Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical De-
- vices, in force since 26 May 2022 (AS 2022 291).

115 SR 941.210

standards and taking account of the instructions of the manufacturer and the requirements of hygiene.

- <sup>2</sup> Reprocessing must employ suitable procedures that have been validated in accordance with current scientific and technological standards and whose efficacy has been demonstrated and can be reliably traced and reproduced within a quality management system.
- <sup>3</sup> Any natural or legal person who reprocesses devices for third parties must:
  - a. declare that the reprocessed device:
    - has been reprocessed in accordance with the manufacturer's instructions, or
    - has been reprocessed using a procedure specific to the processor that is
      equally safe and effective as the procedure specified by the manufacturer
      and has been demonstrated to be equally safe and effective by means of
      a risk analysis and validation process;
  - operate a quality management system that is both suitable and certified to nationally or internationally recognised standards;
  - c. provide proof that reprocessing takes place in suitable premises, in accordance with the recognised rules of science and technology and in compliance with hygiene requirements.
  - d. document that the device has been reprocessed in accordance with letter a.
- <sup>4</sup> The declaration required under paragraph 3 letter a must identify the device and state the name and address of the establishment that reprocessed it.

## **Art. 73** Single-use devices and their reprocessing

- <sup>1</sup> Reprocessing and further use of single-use devices is forbidden.
- <sup>2</sup> Single-use devices reprocessed in a foreign country under Article 17 paragraph 3 EU-MDR<sup>116</sup> must neither be used nor made available on the market.

## **Art. 74** Cyber security

- <sup>1</sup> Healthcare institutions must put in place all technical and organisational resources required by the state of the art to ensure that network-compatible devices are protected against electronic attack and unauthorised access.
- <sup>2</sup> Hospitals must identify, evaluate and document the measures taken under paragraph 1 in accordance with the principles of a risk management system. This system forms an integral part of the hospitals' quality management system.

#### Market Surveillance Chapter 9

#### Art. 75 Principle

<sup>1</sup> Inspections under the auspices of market surveillance shall cover devices made available on the market, conformity assessment procedures, device surveillance, device handling and economic operators' fulfilment of their obligations. They shall also cover devices made available in contracting states by natural or legal persons domiciled in Switzerland, the conformity assessment procedures and surveillance activities for such devices and the natural or legal persons' fulfilment of their obligations.

- <sup>2</sup> The market surveillance activities undertaken by Swissmedic and the Cantons are governed by Article 66 TPA and Articles 93-95, 97 and 98 EU MDR<sup>117</sup>. Articles 97 paragraph 3 and 98 paragraphs 3 and 4 EU MDR are excluded. 118
- <sup>3</sup> The Cantons shall draw up annual plans for their market surveillance activities under paragraph 2. They shall provide Swissmedic with an annual summary of the results of their surveillance activities. Swissmedic may determine both the content of the summary and the form in which it is made available.
- <sup>4</sup> In case of an actual necessity for the protection of public health, Swissmedic shall decree the measures under Article 66 TPA in a general ruling.

#### Art. 75a119 Common activities and use of information

- <sup>1</sup> The market surveillance authorities may reach agreement with organisations that represent the economic operators or users on the implementation of common activities designed to promote conformity and other similar purposes.
- <sup>2</sup> They may use all the information obtained in connection with these activities for market surveillance.

#### Art. 75b120 Additional measures

In addition to the measures stated in Article 75 paragraph 2, the competent authorities may institute the following measures in particular:

- They may require economic operators to issue the relevant information required to establish the ownership of websites, if the information concerned is connected with the subject of the investigation.
- b. They may request the removal of content from an online interface or the explicit display of a warning for users, provided there is no other option for eliminating a serious risk.

- See footnote to Art. 4 para. 1 let. f. Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical De-
- vices, in force since 26 May 2022 (AS **2022** 291). Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).
- Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

- c. If the request stated in letter b is ignored, they may instruct providers of information society services to restrict access to the online interface, for example by asking a third party to implement this measure.
- d. To protect public health, they may require a provider of information society services to discontinue its activities in Switzerland.

## **Art. 76** Responsibilities

- <sup>1</sup> Swissmedic is responsible for monitoring:
  - a. devices and device conformity;
  - b. vigilance;
  - c. maintenance and reprocessing of devices:
    - 1. in hospitals,
    - 2. that are intended for use in hospitals.
- <sup>2</sup> Certain aspects of the monitoring activities set out in paragraph 1 remain the responsibility of other federal offices or institutions.
- <sup>3</sup> The Cantons are responsible for monitoring:
  - a. the retail trade and dispensing points;
  - the manual production of custom-made devices, of systems and of procedure packs;
  - c. maintenance and reprocessing of devices by the professionals using them and in healthcare institutions with the exception of hospitals.

## Art. 77 Powers

- <sup>1</sup> For the purposes of verifying conformity, the authorities responsible for monitoring under Article 76 may, without providing compensation:
  - a. demand the proof and information required;
  - b. take samples;
  - c. have the samples tested or submitted to laboratory examination;
  - d. enter and inspect, during normal working hours and with advance notice or, if necessary, unannounced, the business premises and facilities of natural or legal persons who have an obligation to provide information;
  - e. consult documents and demand that they, or additional information, be provided in one of the official languages of Switzerland or in English.
- <sup>2</sup> If a manufacturer or a natural or legal person who assembles systems or procedure packs under Article 22 paragraphs 1 and 3 EU-MDR<sup>121</sup> fails to fulfil their obligations under Article 66, Swissmedic may impose appropriate measures to protect health, up

<sup>121</sup> See the footnote to Art. 4 para. 1 let. f.

to and including prohibiting the making available on the market or the putting into service of the devices in question. 122

## **Art. 78** Duty to cooperate and provide information

<sup>1</sup> Economic operators that place a device on the market in Switzerland or in a contracting state, and economic operators, professionals and healthcare institutions that make a device available or put it into service in Switzerland or a contracting state have a duty to cooperate on matters of enforcement. In particular, they must provide, free of charge, all necessary information and all necessary proof and documentation to the enforcement bodies.

<sup>2</sup> The providers of information society services also have a duty to cooperate on matters of enforcement. In particular, they should inform the competent authorities about suspected illegal activities by, or information from, users of their service and, upon request, provide information that enables the users of their service with whom they have concluded agreements about storage to be identified.<sup>123</sup>

# Chapter 10 Data Processing Section 1 Data Processing in general

# Art. 79 Processing of personal data

Swissmedic and third parties contracted by Swissmedic are empowered to process the personal data that they need to perform the tasks mandated to them by this Ordinance. Specifically, this includes:

- a. health data acquired in the course of market surveillance and vigilance;
- b. data documenting the reliability and professional qualifications of vigilance contact persons (Art. 67 para. 2) or the individuals responsible for compliance with the regulations (Art. 49 and 52).

## **Art. 80** Operation of information systems

<sup>1</sup> It is Swissmedic's responsibility to ensure that its information systems operate securely and that data are processed in accordance with legal requirements.

<sup>2</sup> It shall draw up a set of processing rules for each information system. These shall specify the technical and organisational measures to be taken to ensure that the data are protected and secure.

122 Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

<sup>123</sup> Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

## Art. 81 Access rights

<sup>1</sup> The following persons and agencies shall be given online access to information systems provided this is necessary for the fulfilment of their respective tasks:

- a. Swissmedic staff employed in vigilance and market surveillance and third parties mandated to perform tasks in this area;
- b. Swissmedic staff employed in administrative penal law;
- c. Swissmedic administrators and mandated third parties.
- <sup>2</sup> A log of persons and bodies granted access to the information systems shall be kept. The data in this log shall be stored for two years.

## Art. 82 Data archiving and deletion

Personal data shall be stored for a period of ten years from the final entry. On the expiry of this period, it shall be destroyed.

# **Section 2** Medical Devices Information System

# Art. 83 Responsible authority

Swissmedic is responsible for the medical devices information system required under Article 62c TPA (medical devices information system).

## Art. 84 Data protection and security

- <sup>1</sup> Swissmedic shall draw up processing rules in accordance with Article 6 of the Data Protection Ordinance of 31 August 2022<sup>124</sup> (DPO).<sup>125</sup>
- <sup>2</sup> Articles 1–4 and 6 DPO apply to data security. <sup>126</sup>
- <sup>3</sup> Data processing must be automatically logged.

#### Art. 85 Content of the medical devices information system

- <sup>1</sup> This medical devices information system contains the following data:
  - a. device data:
  - b. data on economic operators;
  - c. data on the designated bodies and certificates issued by them;
  - d. data on clinical trials;
  - e. vigilance data;
- 124 SR 235.11
- Amended by Annex 2 No II 101 of the Data Protection Ordinance of 31 Aug. 2022, in force since 1 Sept. 2023 (AS 2022 568).
- 126 Amended by Annex 2 No II 101 of the Data Protection Ordinance of 31 Aug. 2022, in force since 1 Sept. 2023 (AS 2022 568).

- f. market surveillance data:
- data that can be used to manage and adapt the medical devices information system (system data);
- h. authentication data, assigned user roles and basic settings for using the medical devices information system (user data).

<sup>2</sup> The medical devices information system only contains personal data where such data are required to record and process information.

## **Art. 86**<sup>127</sup> Data exchange with other information systems

The medical devices information system may draw the data referred to in Article 85 from the European Database on Medical Devices and from cantonal electronic systems; it may also submit data to Eudamed and cantonal systems.

#### Art. 87 Access rights

Swissmedic has access to all data recorded in and processed by the medical devices information system.

# Art. 88 Data subjects' rights and data rectification

- <sup>1</sup> Data subjects' rights, particularly the right to information, rectification and deletion of data, are governed by data protection legislation.
- <sup>2</sup> Swissmedic shall ensure that data that are incorrect or have been processed unlawfully are corrected in or deleted from the medical devices information system. Correction and deletion shall take place as quickly as possible, but no later than 60 days of the data subject making their request.

# Art. 89 Data archiving

The personal data specified in Article 85 paragraph 2 must be stored in such a way that it is only possible to identify data subjects for a period of ten years after the last device covered by the declaration of conformity was placed on the market. For implantable devices, this period is extended to 15 years.

## **Art. 90** Publication of data

Swissmedic may publish the following in the medical devices information system:

- a. device data, as specified in Part B of Annex VI to EU-MDR<sup>128</sup>;
- information on economic operators and devices, as specified in Part A of Annex VI to EU-MDR;

See the footnote to Art. 4 para. 1 let. f.

<sup>127</sup> Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

- the general information specified in Article 35 paragraph 7 EU-MDR governing the assessment, designation and notification of conformity assessment bodies and for the monitoring of designated bodies, and on changes that have a significant impact on such tasks;
- d. summaries of the annual report on monitoring and on-site assessment activities drawn up in accordance with Article 44 paragraph 12 EU-MDR;
- e. summaries of safety and clinical performance in accordance with Article 63;
- f. information on certificates issued under Articles 28 and 42–44;
- g. field safety notices for users or customers issued in the course of field safety corrective actions in accordance with Article 89 paragraph 8 EU-MDR;
- summaries of the reports on Swissmedic's activities in monitoring market surveillance;
- i. scientific opinions in accordance with Article 106 paragraph 12 EU-MDR;
- information on market surveillance measures, particularly recalls, on nonconforming devices and preventive health protection measures.

## Art. 91 Subsequent use of data

Non-personal data may be used for the independent assessment of long-term device safety or performance or of the traceability of implantable devices.

# **Art. 92**<sup>129</sup> Applicability of the Data Protection Act

All data processing activities carried out in the medical devices information system must comply with the Data Protection Act of 25 September 2020<sup>130</sup>.

# Chapter 11 Final Provisions Section 1 Enforcement

## Art. 93 Amendment of Annexes

- $^1$  The FDHA may amend Annexes 1–3 and 5–6 to this Ordinance in line with international and technical progress.  $^{131}$
- <sup>2</sup> Where amendments may pose technical barriers to trade, it shall effect them by mutual agreement with the Federal Department of Economic Affairs, Education and Research.

<sup>129</sup> Amended by Annex 2 No II 101 of the Data Protection Ordinance of 31 Aug. 2022, in force since 1 Sept. 2023 (AS 2022 568).

<sup>130</sup> SR 235 1

<sup>&</sup>lt;sup>131</sup> Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS **2023** 576).

#### Art. 94 Information on directly applicable legal acts of the European Commission

Swissmedic shall provide on its website information on legal acts of the European Commission that, in accordance with this Ordinance, are directly applicable in Switzerland in the version binding upon the Member States of the EU and as listed in Annex 4.

#### Art. 95 Harmonisation of enforcement

- When implementing this Ordinance, Swissmedic shall respect the implementing acts adopted by the European Commission on the basis of EU-MDR<sup>132</sup>.
- <sup>2</sup> Regulations (EU) No 207/2012<sup>133</sup> and No 722/2012<sup>134</sup> shall remain in force until such time as they are repealed in the EU by implementing acts adopted by the European Commission on the basis of EU-MDR.

#### Art. 96 Cooperation with the European Commission and authorities of the contracting states

- <sup>1</sup> Where provided for by international agreements, Swissmedic, the designated bodies and economic operators as defined in Art. 47 TPA shall cooperate with the European Commission and the authorities of the contracting states. 135
- <sup>2</sup> Swissmedic may appoint experts who are qualified to evaluate conformity assessment bodies in the field of medical devices.
- <sup>3</sup> Swissmedic may appoint experts to participate in expert groups of the European Commission and the authorities of the contracting states.

#### Art. 97 Collaboration with the customs authorities

- <sup>1</sup> The customs authorities provide Swissmedic with information on the import, export and transit of devices.
- <sup>2</sup> Swissmedic may mandate the customs authorities to detain devices for further inspection and to obtain samples.
- <sup>3</sup> It may provide the customs authorities with information about ongoing or concluded administrative or criminal proceedings and sanctions in connection with market surveillance 136

See the footnote to Art. 4 para. 1 let. f.

Commission Regulation (EU) No 207/2012 of 9 March 2012 on electronic instructions

- for use of medical devices, Amended by OJ L 72 of 10.3.2012, p. 28.

  Commission Regulation (EU) No 722/2012 of 8 August 2012 concerning particular requirements as regards the requirements laid down in Council Directives 90/385/EEC and 93/42/EEC with respect to active implantable medical devices and medical devices manufactured utilising tissues of animal origin, Amended by OJ L 212 of 9.8.2012, p. 3.
- 135 Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS **2022** 291).
- 136 Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

## **Art. 98** Expert laboratories in Switzerland

- <sup>1</sup> Laboratories that wish to be designated an expert laboratory by the European Commission in accordance with Article 106 paragraph 7 EU-MDR<sup>137</sup> may apply to Swissmedic for designation.
- <sup>2</sup> They must demonstrate to Swissmedic in particular that they:
  - a. meet the criteria set out in Article 106 paragraph 8 EU-MDR; and
  - b. are able to assume the tasks under Article 106 paragraph 10 EU-MDR, taking account of the amendments to this provision adopted by the European Commission by means of delegated acts<sup>138</sup>, in accordance with the requirements in each case.
- <sup>3</sup> They must operate in one of the following fields:
  - a. physico-chemical characterisation;
  - b. microbiological, mechanical, electrical, electronic or non-clinical biological and toxicological testing or biocompatibility testing.
- <sup>4</sup> If the requirements are met, Swissmedic shall propose to the European Commission that the laboratory be designated an expert laboratory.

# Section 2 Repeal of other Legislation and Transitional Provisions

# Art. 99 Repeal of other legislation

The following ordinances are repealed:

- 1. the Medical Devices Ordinance of 17 October 2001<sup>139</sup>;
- 2. the Ordinance of 22 June 2006<sup>140</sup> on the List of Prescription Medical Devices.

### **Art. 100** Validity of certificates issued under the old legislation

- <sup>1</sup> Certificates issued under the old legislation prior to 25 May 2017 shall retain their validity until the expiry date stated therein, but no longer than 26 May 2022.
- <sup>2</sup> Certificates issued under the old legislation from 25 May 2017 that were valid on 26 May 2021 and that were not revoked thereafter remain valid until the date specified in Article 101 paragraph 1 letter b for the relevant risk class of the devices.<sup>141</sup>
- <sup>3</sup> Certificates issued under the old legislation from 25 May 2017 that were valid on 26 May 2021 but which expired before 20 March 2023 remain valid until the date

<sup>137</sup> See the footnote to Art. 4 para. 1 let. f.

<sup>138</sup> See Annex 4.

<sup>139 [</sup>AS 2001 3487; 2004 4037 no I 5, II para. 2; 2008 4377 Annex 5 no 2; 2010 1215, 2749 no I 7; 2015 999; 2017 5935; 2019 999 Art. 28 para. 2; 2020 2975]

<sup>&</sup>lt;sup>140</sup> [AS **2006** 3679]

Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS **2023** 576).

specified in Article 101 paragraph 1 letter b for the relevant risk class of the devices, provided any one of the following requirements is met:

- a. Before expiry of the certificates, the manufacturer and a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR<sup>142</sup> domiciled in an EU or EEA member state, have signed a written agreement in accordance with Annex VII section 4.3 subparagraph 2 EU-MDR on the conformity assessment of the devices to which the expired certificates apply, or of devices intended to replace these devices.
- b. Swissmedic has granted a derogation from the applicable conformity assessment procedure in accordance with Article 22 paragraph 1 letter a or a competent authority in an EU or EEA member state has granted a derogation from the applicable conformity assessment procedure in accordance with Article 120 paragraph 2 subparagraph 2 letter b EU-MDR.
- c. The competent authority has required the manufacturer in the course of market surveillance activities in accordance with Article 75 paragraph 2 of this Ordinance or in accordance with Article 97 paragraph 1 EU-MDR to carry out the applicable conformity assessment procedure.<sup>143</sup>

**Art. 101**<sup>144</sup> Placing on the market of devices that comply with the old legislation <sup>1</sup> The following devices may be placed on the market or put into service until the date specified:

- a. devices for which the conformity assessment procedure under the old legislation did not require the involvement of a designated body, for which a declaration of conformity was issued before 26 May 2021, and for which the conformity assessment procedure under this Ordinance requires the involvement of a designated body: until 31 December 2028;
- b. devices with a certificate valid under Article 100:
  - class III devices and implantable class IIb devices, with the exception of sutures, staples, dental fillings, dental braces, tooth crowns, screws, wedges, plates, wires, pins, clips and connectors: until 31 December 2027.
  - 2. class IIb devices that do not fall under number 1, class IIa devices and class I devices that are placed on the market in a sterile condition, or class I devices with a measuring function: until 31 December 2028.

<sup>1bis</sup> The placing on the market or putting into service of devices in accordance with paragraph 1 is only permitted if the following requirements are met:

a. The devices still comply with the old legislation.

See footnote to Art. 4 para. 1 let. f.

<sup>143</sup> Inserted by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS **2023** 576).

<sup>144</sup> Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

- There are no significant changes to the design and intended purpose of the devices.
- c. The devices do not pose an unacceptable risk to the health or safety of the patients, the users or other persons or to other aspects of public health protection.
- d. The manufacturer has put in place a quality management system in accordance with Article 10 paragraph 9 EU-MDR<sup>145</sup> by 26 May 2024 at the latest.
- e. The manufacturer or the authorised representative has by 26 May 2024 at the latest submitted to a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state a formal application in accordance with Annex VII section 4.3 subparagraph 1 EU-MDR for an assessment of the conformity of the devices specified in paragraph 1 or of devices intended to replace such devices.
- f. The manufacturer and the designated or notified body in accordance with letter e have signed a written agreement in accordance with Annex VII paragraph 4.3 subparagraph 2 EU-MDR by 26 September 2024 at the latest.

lter Implantable custom-made class III devices may be placed on the market or put into service until 26 May 2026 without a certificate pursuant to the conformity assessment procedure in accordance with Article 10 paragraph 2 issued by a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state if the following requirements are met:

- a. The manufacturer or the authorised representative has by 26 May 2024 at the latest submitted to a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state a formal application in accordance with Annex VII section 4.3 subparagraph 1 EU-MDR for the assessment of the conformity of the custommade devices.
- b. The manufacturer and a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state have signed a written agreement in accordance with Annex VII paragraph 4.3 subparagraph 2 EU-MDR by 26 September 2024 at the latest.
- <sup>2</sup> The post-market surveillance and market surveillance of the devices specified in paragraph 1, vigilance, and registration of economic operators and of the devices themselves are subject to the provisions of this Ordinance.
- <sup>3</sup> Devices legally placed on the market prior to 26 May 2021 under the old legislation and devices placed on the market from 26 May 2021 under paragraphs 1 and 1<sup>ter</sup> may continue to be made available on the market or put into service. The above is subject to Article 103.

## **Art. 102** Derogations for non-compliant medical devices

Derogations issued by Swissmedic under Article 9 paragraph 4 of the Medical Devices Ordinance of 17 October 2001<sup>146</sup> shall retain their validity.

## **Art. 103** Devices incorporating devitalised tissues or cells of human origin

<sup>1</sup> Devices incorporating devitalised tissues or cells of human origin or their derivatives as specified in Article 1 paragraph 3 letters c no. 2 and d that were lawfully placed on the market or put into service prior to 26 May 2021 may continue to be made available on the market or put into service until 26 May 2025. Art. 101 paragraph 2 applies mutatis mutandis.

<sup>2</sup> Until such time as a corresponding special Ordinance is issued, devices covered by Article 2*a* paragraph 2 TPA are subject to the Medical Devices Ordinance of 17 October 2001<sup>147</sup>. Devices covered by Article 2*a* paragraph 2 TPA that were lawfully placed on the market prior to 26 May 2021 may continue to be made available on the market or put into service until such time as a corresponding special Ordinance is issued.

# **Art. 104** Affixing the UDI

The UDI required by Article 17 paragraph 2 must be affixed:

- a. for implantable and class III devices: from 26 May 2021;
- b. for class IIa and IIb devices: from 26 May 2023;
- c. for class I devices: from 26 May 2025;
- d. for reusable devices where the UDI has to be affixed to the device itself: two years after the dates given in letters a—c for the respective class of devices.

### **Art. 104** $a^{148}$ Designation of an authorised representative

- <sup>1</sup> If the manufacturer is domiciled in an EU or EEA state or if the manufacturer has designated an authorised representative domiciled in an EU or EEA state, that manufacturer must, for all devices placed on the market after 26 May 2021, designate an authorised representative in accordance with Article 51 paragraph 1 within the following time periods:
  - a. for class III devices, class IIb implantable devices and active implantable devices: by 31 December 2021;
  - b. for non-implantable class IIb devices and class IIa devices: by 31 March 2022;
  - c. for class I devices: by 31 July 2022.
- <sup>2</sup> For systems and procedure packs, an authorised representative in accordance with Article 51 paragraph 5 must be appointed by 31 July 2022.

<sup>146</sup> See the footnote to Art. 99 no 1.

<sup>147</sup> See the footnote to Art. 99 no 1.

<sup>&</sup>lt;sup>148</sup> Inserted by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

# Art. 104abis 149 Placing information about the authorised representative

For class I devices and for systems and procedure packs that are placed on the market in accordance with the new legislation, the information specified in Annex 1 Chapter III Section 23.2 letter d EU-MDR<sup>150</sup> about the authorised representative in accordance with Article 51 paragraph 1 or 5 of this Ordinance may be included in a document accompanying the device until 31 July 2023.

Art. 104h151

#### Art. 105152

#### Art. 106153 Groups of products without an intended medical purpose

- <sup>1</sup> Unless Swissmedic has designated common specifications in accordance with Article 8 paragraph 1 for the groups of products without an intended medical purpose in accordance with Annex 1, these products are subject to the old legislation.
- <sup>2</sup> Products that fall within the groups of products in Annex 1 and for which the manufacturer is conducting or is intending to conduct a clinical investigation in order to generate clinical data for the clinical evaluation to confirm conformity with the relevant general safety and performance requirements in accordance with Article 6 paragraph 2 and with the common specifications in accordance with Article 8 paragraph 1, and for which the conformity assessment procedure requires the involvement of a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR154 domiciled in an EU or EEA member state, may be placed on the market or put into service until 31 December 2029 if the following requirements are met:
  - The products were lawfully marketed before 1 May 2024 and still meet the a. requirements that applied to the product before 1 May 2024.
  - There are no significant changes to the design and the intended purpose of the b. products.
- <sup>3</sup> The placing on the market or putting into service in accordance with paragraph 2 is only permitted during the following periods provided the following requirements are also met:

Inserted by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).

<sup>150</sup> 

See the footnote to Art. 4 para. 1 let. f.
Inserted by No I of the O of 19 May 2021 (AS 2021 281). Repealed by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, with effect from 26 Mai 2022 (AS **2022** 291).

Repealed by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, with effect from 26 May 2022 (AS 2022 291).

Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

<sup>154</sup> See footnote to Art. 4 para. 1 let. f.

a. From 1 November 2024 until 1 May 2025: the sponsor in accordance with Article 2 letter d ClinO-MD<sup>155</sup> or in accordance with Article 2 number 49 EU-MDR has received confirmation from the competent body that the application for a clinical investigation of the products is complete.

- b. From 2 May 2025 until 31 December 2027: the sponsor has initiated the clinical investigation.
- c. From 1 January 2028 until 31 December 2029: the manufacturer and a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state have signed a written agreement on conducting the conformity assessment.
- <sup>4</sup> Products that fall into the groups of products in Annex 1 and for which the manufacturer does not intend to conduct a clinical investigation but for which the conformity assessment procedure requires the involvement of a designated body in accordance with Chapter 5 or of a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state may be placed on the market or put into service until 31 December 2028 if the following requirements are met:
  - a. The products were lawfully marketed before 1 May 2024 and still meet the requirements that applied to the products before 1 May 2024.
  - b. There are no significant changes to the design and the intended purpose of the products.
- <sup>5</sup> In order to place products on the market or put products into service in accordance with paragraph 4 from 1 January 2027 until 31 December 2028, the manufacturer and a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state must also have signed a written agreement on conducting the conformity assessment.
- <sup>6</sup> Products that fall into the groups of products in Annex 1 and which have certificates issued under the old legislation that were valid on 26 May 2021 and expired before 20 March 2023 but which do not meet the conditions of Article 100 paragraph 3 letter a, b or c may be placed on the market and put into service until the deadlines specified in Article 101 paragraph 1 letter b if the requirements set out in Article 101 paragraph 1 bis are met. Articles 101 paragraph 2 and 107 paragraphs 2–2<sup>ter</sup> apply.

# **Art. 107** Conformity assessment bodies

- <sup>1</sup> Conformity assessment body designations under Section 4 of the Medical Devices Ordinance of 17 October 2001<sup>156</sup> shall become void.
- <sup>2</sup> A conformity assessment body whose designation in accordance with paragraph 1 is no longer valid and which issued the certificates under the old legislation shall remain responsible for the appropriate surveillance of all applicable requirements relating to the devices it has certified, unless the manufacturer has agreed with a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-

<sup>155</sup> SR 810.306

<sup>156</sup> See the footnote to Art. 99 no 1.

MDR<sup>157</sup>domiciled in an EU or EEA member state that this body will conduct the surveillance.158

<sup>2bis</sup> The designated body in accordance with Article 101 paragraph 1<sup>bis</sup> letter f shall become responsible for the surveillance of the devices covered by the written agreement from 26 September 2024 at the latest. If the written agreement covers devices intended to replace devices for which certificates were issued under the old legislation, the surveillance shall be conducted in respect of the devices that are being replaced. 159

2ter The arrangements for the transfer of surveillance from the designated body that issued the certificate to a designated body in accordance with Chapter 5 or a notified body in accordance with the EU-MDR domiciled in an EU or EEA member state shall be defined in an agreement between the manufacturer and the body that assumes the task of surveillance, and, if possible, the designated body that issued the certificate. The designated body in accordance with Chapter 5 is not responsible for conformity assessment activities that were carried out by the designated body that issued the certificate.160

<sup>2quater</sup> A conformity assessment body whose designation in accordance with paragraph 1 is no longer valid and which remains responsible for surveillance in accordance with paragraph 2 shall be subject to supervision by Swissmedic. 161

<sup>3</sup> Conformity assessment body designations issued under Section 4a of the Medical Devices Ordinance of 17 October 2001 shall retain their validity.

4 ... 162

#### Art. 108163 Notification of devices, systems and procedure packs

<sup>1</sup> Until Article 17 paragraph 5 enters into force, the following notification obligations shall continue to apply to natural or legal persons domiciled in Switzerland:

- for manufacturers and natural or legal persons who assemble systems or procedure packs under Article 22 paragraphs 1 and 3 EU-MDR<sup>164</sup>: the notification obligations set out in Article 6 paragraphs 1 and 4 of the Medical Devices Ordinance of 17 October 2001165:
- for natural or legal persons who place medical devices on the market under Article 2 paragraph 1 of the Medical Devices Ordinance of 17 October 2001: the notification obligations set out in Article 6 paragraphs 3 and 4 of the Medical Devices Ordinance of 17 October 2001.

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See footnote to Art. 4 para. 1 let. f.
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Amended by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

Inserted by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576). Inserted by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576). Inserted by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576). Inserted by No I of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

Repealed by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, with effect from 26 May 2022 (AS **2022** 291).

<sup>&</sup>lt;sup>163</sup> Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

See the footnote to Art. 4 para. 1 let. f.

<sup>165</sup> See the footnote to Art. 99 no 1.

2...166

### Art. 109167

#### Art. 110 Commencement

<sup>1</sup> Subject to the exceptions in paragraph 2, this Ordinance comes into force on 26 May 2021.

<sup>&</sup>lt;sup>2</sup> Articles 17 paragraph 5 and 108 paragraph 2 come into force at a later date. <sup>168</sup>

Comes into force at a later date (Art. 110 para. 2). Repealed by No I of the O of 19 May 2021, with effect from 26 May 2021 167 (AS **2021** 281). Amended by No I of the O of 19 May 2021, in force since 26 May 2021 (AS **2021** 281).

Annex 1 (Art. 1 para. 1 letter b)

# Groups of products without an intended medical purpose

- 1. Contact lenses or other items intended to be introduced into or onto the eye.
- Products intended to be totally or partially introduced into the human body through surgically invasive means for the purpose of modifying the anatomy or fixation of body parts with the exception of tattooing products and piercings.
- Substances, combinations of substances, or items intended to be used for facial or other dermal or mucous membrane filling by subcutaneous, sub-mucous or intradermal injection or other introduction, excluding those for tattooing.
- Equipment intended to be used to reduce, remove or destroy adipose tissue, such as equipment for liposuction, lipolysis or lipoplasty.
- 5. High intensity electromagnetic radiation (e.g. infra-red, visible light and ultra-violet) emitting equipment intended for use on the human body, including co-herent and non-coherent sources, monochromatic and broad spectrum, such as lasers and intense pulsed light equipment, for skin resurfacing, tattoo or hair removal or other skin treatment.
- Equipment intended for brain stimulation that apply electrical currents or magnetic or electromagnetic fields that penetrate the cranium to modify neuronal activity in the brain.

> Annex 2169 (Art. 5 para. 1)

# **Equivalent terms**

The terms listed below and used in EU MDR<sup>170</sup> and this Ordinance are equivalent as follows:

EU	Switzerland
a. English terms	
Union	Switzerland
Member State	Switzerland
Third country	Other state
Union market	Swiss market
Union legislation / Union harmonisation legislation	Legislation
Harmonised standard	Designated standard
State of the art	Scientific and technological standards
EU declaration of conformity	Declaration of conformity
Official Journal of the European Union	Federal Gazette (Bundesblatt)
Established outside / within the Union	Domiciled in / outside Switzerland
Authority	Competent authority under Swiss law
Withdrawal	Revocation
Transplants	Organs
a. Deutsche Ausdrücke	
Union	Schweiz
Mitgliedstaat	Schweiz
Drittstaat / Drittland	anderer Staat
Unionsmarkt	Schweizer Markt
Rechtsvorschriften the Union / Harmonisierungsrechtsvorschriften the Union	Rechtsvorschriften
Harmonisierte Norm	Bezeichnete Norm

Amended by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).
 See the footnote to Art. 4 para. 1 let. f.

EU	Switzerland
Stand the Technik	Stand von Wissenschaft and Technik
EU-Konformitätserklärung	Konformitätserklärung
Amtsblatt the Europäischen Union	Bundesblatt
Ausserhalb / In the Union ansässig	Sitz ausserhalb / in the Schweiz
Behörde	Nach schweizerischem Recht competent authority
Angehörige the Gesundheitsberufe	Gesundheitsfachpersonen
Aussetzung	Suspendierung
Zurückziehung	Widerruf
Transplantate	Organe
b. French terms	
Union	Suisse
État membre	Suisse
État tiers / pays tiers	autre État
marché de l'Union	marché suisse
législation (actes législatifs) de l'Union / législation d'harmonisation de l'Union	législations
norme harmonisée	norme désignée
état de l'art	état de la science et de la technique
déclaration de conformité UE	déclaration de conformité
dispositif faisant l'objet d'une investigation	dispositif faisant l'objet d'un essai clinique
Journal officiel de l'Union européenne	Feuille fédérale
situé hors de l'Union / établi dans l'Union	sis à l'étranger / en Suisse
notice d'utilisation	mode d'emploi
conditionnement	emballage
notification des incidents graves	déclaration des incidents graves
autorités	autorités compétentes en vertu du droit suiss
retrait des certificats	révocation des certificats
retrait de la désignation	révocation de la désignation
c. Italian terms	

Svizzera

Unione

EU	Switzerland
Stato Membro	Svizzera
paese terzo	altro Stato
mercato dell'Unione	mercato svizzero
legislativo dell'Unione / normativa di armonizzazione dell'Unione	legislazioni
norma armonizzata	norma designata
stato dell'arte	stato della scienza e della tecnica
dichiarazione di conformità UE	dichiarazione di conformità
marcatura CE di conformità	marchio di conformità
Gazzetta ufficiale dell'Unione europea	Foglio federale
avente sede fuori dall'Unione,/ stabilito nell'Unione	avente sede all'estero/ in Svizzera
autorità	autorità competente secondo il diritto svizzero
operatori sanitari	professionisti della salute
controllata	società controllata
ritiro dei certificati	revoca dei certificati
ritiro della designazione	revoca della designazione
immissione sul mercato	immissione in commercio
segnalazione di incidenti gravi	notifica di incidenti gravi
dispositivo oggetto di indagine	dispositivo oggetto di sperimentazione clinica
confezionamento	imballaggio

Annex 3<sup>171</sup> (Art. 5 para. 2)

# Applicable law

### 1. EU law

Where this Ordinance makes reference to provisions of EU MDR <sup>172</sup> that in turn make reference to the following EU law, the version below remains applicable:

- 1. 1 Regulation (EC) 1272/2008 of the European Parliament and of the Council of 16 December 2008 on classification, labelling and packaging of substances and mixtures, amending and repealing Directives 67/548/EEC and 1999/45/EC, and amending Regulation (EC) No 1907/2006, OJ L 353 of 31.12.2008, p. 1; last amended by Delegated Regulation (EU) 2021/1962, OJ L 400 of 12.11.2021, p. 16.
- 1.2 Commission Regulation (EU) No 207/2012 of 9 March 2012 on electronic instructions for use of medical devices (OJ L 72 of 10.3.2012, p. 28).
- 1.3 Commission Regulation (EU) No 722/2012 of 8 August 2012 concerning particular requirements as regards the requirements laid down in Council Directives 90/385/EEC and 93/42/EEC with respect to active implantable medical devices and medical devices manufactured utilising tissues of animal origin (OJ L 212 of 9.8.2012, p. 3).

Revised by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices (AS 2022 291) and Annex 2 No II 101 of the Data Protection Ordinance of 31 Aug. 2022, in force since 1 Sept. 2023 (AS 2022 568).

See the footnote to Art. 4 para. 1 let. f.

#### 2. Swiss law

Where this Ordinance makes reference to provisions of EU-MDR $^{173}$  that in turn make reference to EU law, the Swiss law below is applicable in place of the EU law:

EU law		Swiss legislation
Par ver rela	rective 2001/83/EC of the European rliament and of the Council of 6 Nomber 2001 on the Community code ating to medicinal products for hunuse, OJ L 311 of 28.11.2001, p.	Therapeutic Products Act of 15 December 2000
Eur of 3 mur and for lish	gulation (EC) No 726/2004 of the opean Parliament and of the Council 31 March 2004 laying down Comnity procedures for the authorisation supervision of medicinal products human and veterinary use and estabing a European Medicines Agency, L 136 of 30.4.2004, p. 1.	Therapeutic Products Act of 15 December 2000
Eur cil the ing tio	gulation (EC) No 1394/2007 of the ropean Parliament and of the Counof 13 November 2007 on advanced rapy medicinal products and amend-Directive 2001/83/EC and Regulan (EC) No 726/2004, OJ L 324 of 12.2017, p. 121.	Therapeutic Products Act of 15 December 2000
pea 5 A me rec cisi	gulation (EU) 2017/746 of the Euro- in Parliament and of the Council of april 2017 on in vitro diagnostic dical devices and repealing Di- tive 98/79/EC and Commission De- tion 2010/227/EU, OJ L 117 of .2017, p. 176.	Therapeutic Products Act of 15 December 2000
Jul law pro cer	uncil Directive 85/374/EEC of 25 y 1985 on the approximation of the vs, regulations and administrative visions of the Member States conning liability for defective products, L 210 of 7.8.1985, p. 29.	Product Liability Act of 18 June 1993 <sup>174</sup>

See the footnote to Art. 4 para. 1 let. f.
 SR 221.112.944

EU law Swiss legislation 6. Directive 2014/30/EU of the European Ordinance of Parliament and of the Council of 26 25 November 2015175 February 2014 on the harmonisation of on Electromagnetic the laws of the Member States relating Compatibility to electromagnetic compatibility, OJ L 96 of 29.3.2001, p. 79. 7. Directive 2006/42/EC of the European Machine Ordinance Parliament and of the Council of 17 of 2 April 2008176 May 2006 on machinery, and amending Directive 95/16/EC, OJ L 157 of 09.06.2006, p. 24. 8. Regulation (EC) No 1223/2009 of the FDHA Ordinance European Parliament and of the Counof 16 December 2016177 cil of 30 November 2009 on cosmetic on Cosmetics products, OJ L 324 of 22.12.2009, p. 59. 9. Directive 2004/23/EC of the European Therapeutic Products Act Parliament and of the Council of 31 of 15 December 2000 March 2004 on setting standards of and Transplantation Act quality and safety for the donation, proof 8 October 2004178 curement, testing, processing, preservation, storage and distribution of human tissues and cells, OJ L 102 of 7.4.2004, p. 48. 10. Directive 2002/98/EC of the European Therapeutic Products Act of Parliament and of the Council of 27 15 December 2000 and January 2003 setting standards of qual-Transplantation Act ity and safety for the collection, testing, of 8 October 2004 processing, storage and distribution of human blood and blood components and amending Directive 2001/83/EC,

OJ L 33 of 8.2.2003, p. 30.

<sup>175</sup> SR **734.5** 

<sup>176</sup> SR 819.14

<sup>177</sup> SR **817.023.31** 

<sup>178</sup> SR **810.21** 

EU law Swiss legislation 11. Regulation (EC) No 178/2002 of the Foodstuffs Act European Parliament and of the Counof 20 June 2014179 cil of 28 January 2002 laying down the general principles and requirements of food law, establishing the European Food Safety Authority and laying down procedures in matters of food safety OJ L 31 of 1.2.2002, p. 1. 12. Regulation (EU) No 1025/2012 of the Therapeutic Products Act of 15 December 2000 and European Parliament and of the Coun-Federal Act of 6 October 1995180 cil of 25 October 2012 on European standardisation, amending Council Dion Technical Barriers to Trade rectives 89/686/EEC and 93/15/EEC and Directives 94/9/EC, 94/25/EC, 95/16/EC, 97/23/EC, 98/34/EC, 2004/22/EC, 2007/23/EC, 2009/23/EC and 2009/105/EC of the European Parliament and of the Council and repealing Council Decision 87/95/EEC and Decision No 1673/2006/EC of the European Parliament and of the Council, OJ L 316 of 14.11.2021, p. 12. 13. Regulation (EC) No 45/2001 of the Data Protection Act of 25 September European Parliament and of the Coun-2020181 cil of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data, OJ L 8 of 12.1.2001, p. 1 Federal Act of 6 October 1995 on 14. Regulation (EC) No. 765/2008 of the European Parliament and of the Coun-Technical Barriers to Trade and Prodcil of 9 July 2008 setting out the reuct Safety Act of 12 June 2009182 quirements for accreditation and market surveillance relating to the marketing of products and repealing Regulation (EEC) No 339/93, OJ L 218 of 13.8.2008, p. 30

<sup>179</sup> SR **817.0** 

<sup>180</sup> SR **946.51** 

<sup>181</sup> SR 235.1

<sup>182</sup> SR **930.11** 

EU law Swiss legislation 15. Regulation (EC) No 1907/2006 of the Chemicals Act of European Parliament and of the Coun-15 December 2000183 cil of 18 December 2006 concerning the Registration, Evaluation, Authorisation and Restriction of Chemicals (REACH), establishing a European Chemicals Agency, amending Directive 1999/45/EC and repealing Council Regulation (EEC) No 793/93 and Commission Regulation (EC) No 1488/94 as well as Council Directive 76/769/EEC and Commission Directives 91/155/EEC, 93/67/EEC, 93/105/EC and 2000/21/EC, OJ L 396, 30.12.2006, p. 1. 16. Regulation (EU) No 528/2012 of the Ordinance on Biocidal Products European Parliament and of the Counof 18 May 2005184 cil of 22 May 2012 concerning the making available on the market and use of biocidal products, OJ L 167 of 27.6.2012, p. 1. 17. Council Directive 80/181/EEC of 20 Metrology Act of 17 June 2011185 December 1979 on the approximation of the laws of the Member States relating to units of measurement and on the repeal of Directive 71/354/EEC, OJ L 39 of 15.2.1980, p. 40. 18. Council Directive 2013/59/Euratom of Radiological Protection Act 5 December 2013 laying down basic of 22 March 1991186 safety standards for protection against the dangers arising from exposure to ionising radiation, and repealing Directives 89/618/Euratom, 90/641/Euratom, 96/29/Euratom, 97/43/Euratom and 2003/122/Euratom, OJ L 13 of 17.1.2014, p. 1.

<sup>183</sup> SR 813.1

<sup>184</sup> SR **813.12** 

<sup>&</sup>lt;sup>185</sup> SR **941.20** 

<sup>186</sup> SR **814.50** 

EU law	Swiss legislation
19. Directive 2004/10/EC of the European Parliament and of the Council of 11 February 2004 on the harmonisation of laws, regulations and administrative provisions relating to the application of the principles of good laboratory practice and the verification of their applications for tests on chemical substances, OJ L 50 of 20.2.2004, p. 44.	Ordinance of 18 May 2005 <sup>187</sup> on Good Laboratory Practice
20. Council Directive 90/385/EEC of 20 June 1990 on the approximation of the laws of the Member States relating to active implantable medical devices, OJ L 189 of 20.7.1990, p. 17.	Medical Devices Ordinance of 17 October 2001
21. Council Directive 93/42/EEC of 14 June 1993 concerning medical devices, OJ L 169 of 12.7.1993, p. 1.	Medical Devices Ordinance of 17 October 2001

Annex 4188

(Art. 4 para. 2, 17 para. 4, 19 para. 1 let. c, 20 para. 1, 23, 25 para. 3, 29 para. 2, 45 para. 2, 46 para. 3, 47 para. 1 and 98 para. 2 let. b)

# Delegated acts of the European Commission based on EU-MDR<sup>189</sup>

For the purposes of implementing this Ordinance, the legal acts adopted on the basis of the provisions of EU-MDR set out below shall apply in Switzerland in the binding version applicable to the EU Member States:

Subject matter	Passed by the European Commission based on the EU-MDR
Art. 4 para. 2 MedDO	Delegated acts in accordance with Art. 3 EU-MDR
Art. 17 para. 4 MedDO	Delegated acts in accordance with Art. 27 para. 10 EU-MDR
Art. 19, para. 1 let. c MedDO	Implementing acts in accordance with Art. 42 para. 13 EU-MDR
Art. 20 para. 1 MedDO	Delegated acts in accordance with Art. 18 para. 3 EU-MDR
Art. 23 MedDO	Delegated acts in accordance with Art. 52 para. 5 EU-MDR
Art. 25 para. 3 MedDO	Delegated acts in accordance with Art. 56 para. 6 EU-MDR
Art. 29 para. 2 MedDO	Delegated acts in accordance with Art. 19 para. 4 EU-MDR
Art. 45 para. 2 MedDO	Delegated acts in accordance with Art. 44 para. 11 EU-MDR
Art. 46 para. 3 MedDO	Delegated acts in accordance with Art. 61 para. 8 EU-MDR
Art. 47 para. 1 MedDO	Delegated acts in accordance with Art. 10 para. 4 EU-MDR
Art. 98 para. 2 let. b MedDO	Delegated acts in accordance with Art. 106 para. 15 EU-MDR

<sup>&</sup>lt;sup>188</sup> Revised by the correction of 4 May 2021 (AS **2021** 260).

See the footnote to Art. 4 para. 1 let. f.

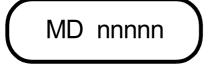
Annex 5 (Art. 13 para. 1)

# **Conformity marking**

The conformity marking is as follows:



Where a designated body has to be involved, its identification number is to be placed beside its conformity marking.



Annex 5a<sup>190</sup> (Art. 15)

# European Commission implementing acts taken into account in the classification of devices

When classifying devices, the following European Commission implementing regulations are taken into account:

Commission Implementing Regulation (EU) 2022/2347 of 1 December 2022
laying down rules for the application of Regulation (EU) 2017/745 of the European Parliament and of the Council as regards reclassification of groups of certain active products without an intended medical purpose, OJ L 311 of 2.12.2022, p. 94.

<sup>190</sup> Inserted by No II para. 2 of the O of 29 Sept. 2023, in force since 1 Nov. 2023 (AS 2023 576).

Annex 6<sup>191</sup> (Art. 70, paras 2 and 3)

# Device Groups Intended for Use by Healthcare Professionals, Qualifications and Circumstances of Use

# 1. Device groups

Devices for injection intended to remain in the human body for longer than 30 days (long-term injectable devices) must only be used by a doctor or by a healthcare professional with the qualifications set out in point 2 under the direct supervision and responsibility of a doctor.

## 2. Qualifications

Long-term injectable devices may be used by qualified healthcare professionals with appropriate training in the injection of long-term implantable devices.

<sup>191</sup> Revised by Annex 5 No 1 of the O of 4 May 2022 on In Vitro Diagnostic Medical Devices, in force since 26 May 2022 (AS 2022 291).