

# Design and Analysis of Algorithm

School of Computer Engineering

**KALINGA INSTITUTE OF INDUSTRIAL TECHNOLOGY**

## NP Completeness



# Course Contents

2

Sr #	Major and Detailed Coverage Area	Hrs
1	<b>NP Completeness</b> <ul style="list-style-type: none"><li>▪ Defination of P, NP, NP Complete, NP Hard</li><li>▪ 3-CNF Satisfiability Problem</li><li>▪ Clique Decision Problem</li><li>▪ Hamiltonian Cycle</li><li>▪ TSP</li><li>▪ Sum of Subset</li><li>▪ Graph Coloring</li></ul>	4

# Contents of Discussion

3

- Intractable Problem
- Nondeterministic Algorithm
- P and NP Definition
- Optimization & Decision Problem
- Verification of Problem
- Reducibility
- NP Complete & NP Hard Definition
- ~~Cook's Theorem~~
- Examples of NP Complete
  - ❖ Clique Decision Problem
  - ❖ Hamiltonian Cycle, TSP
  - ❖ Sum of Subset, Graph Coloring



# Tractability

## ***Tractable problems:***

Polynomial time

$O(n^2)$ ,  $O(n^3)$ ,  $O(1)$ ,  $O(\lg n)$

Ex:-  $O(n^3)$ ; for  $n=100$   
Number of steps =  
10,00,000

## ***Intractable problems:***

Super Polynomial time

$O(2^n)$ ,  $O(n 2^n)$ ,  $O(n^n)$ ,  
 $O(n!)$

Ex:-  $O(2^n)$ ; for  $n=100$   
Number of steps  
 $\approx 90, \dots, 00$

Prove

# Tractability

## ***Tractable* problems:**

Polynomial time

$O(n^2)$ ,  $O(n^3)$ ,  $O(1)$ ,  $O(n \lg n)$

$O(n^{100})$

High order Polynomial

## ***Intractable* problems:**

Super Polynomial time

$O(2^n)$ ,  $O(n 2^n)$ ,  $O(n^n)$ ,  
 $O(n!)$

$O(n 2^n)$

(for  $n=10$ , small input)

Disprove

# Tractability

## ***Tractable* problems:**

Polynomial time algorithms  
are *Tractable* Normally

Not applicable for:  
Higher order Polynomial

## ***Intractable* problems:**

Super Polynomial time  
algorithms are  
*Intractable* in General

Not applicable for:  
Small inputs

# Polynomial Time Nondeterministic Algorithm

```
int Search(a, n, key)
{
    Running Time
    i=choice(1 : n)    //O(1) : Nondeterministic

    if(key==a[i])      //1
        return(i);    //1
    else
        return(-1);   //1
}
    Total Running time=O(1)
```

Assume time required for choice(1 : n) is  $O(1)$ .

## P and NP

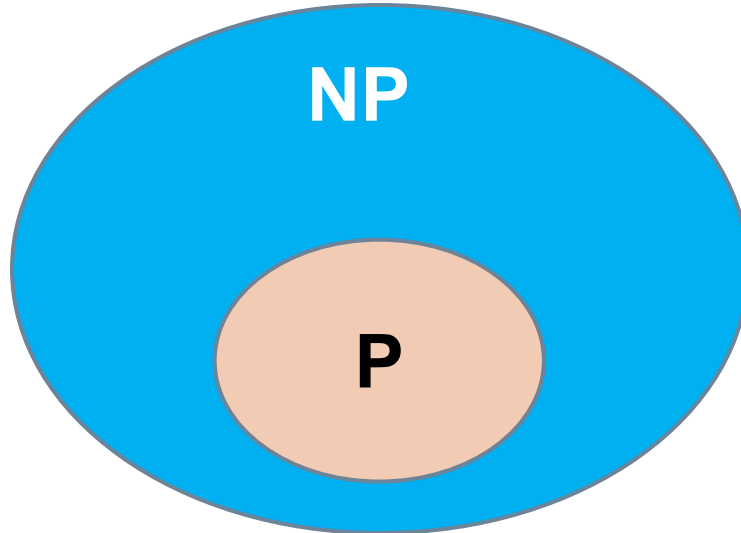
- **P** is set of problems that can be solved in polynomial time in a deterministic machine
- **NP** (*nondeterministic polynomial time*) is the set of problems that can be solved in polynomial time by a **nondeterministic** machine

A non-deterministic computer is a computer that magically “guesses” a solution, then has to verify that it is correct.

**Is  $P = NP$  ?**



# P and NP



Today nondeterministic, Tomorrow may be deterministic

# Optimization and Decision Problems

**Optimization Problem:** Maximize profit or Minimize Loss

**Decision Problem:** Answers are in Boolean (Yes/No)

**Opt<sup>n</sup>:** Find MCST of the graph,  $G$ .

**Dec<sup>n</sup>:** Is there any MCST exist in  $G$  with cost less than  $k$ ?

**Opt<sup>n</sup>:** Find TSP (optimal) of the graph,  $G$ .

**Dec<sup>n</sup>:** Is there any TSP exist in  $G$  with cost less than  $k$ ?

**Opt<sup>n</sup>:** Find maximum profit in a 0/1 Knapsack.

**Dec<sup>n</sup>:** Does 0/1 Knapsack have a profit more than  $k$ ?

# Optimization and Decision Problems

In fact, from the point of view of polynomial-time solvability, there is not a significant difference between the optimization (maximize or minimize) version of the problem and the decision version (decide, yes or no).

Given a method to solve the optimization version, we automatically solve the decision version as well.

# Optimization and Decision Problems

Solution to decision problems takes a fraction of time more than solution to optimization problems. (cond<sup>n</sup> check).

NP completeness is proved directly w.r.t. decision problems. However, same computational complexity will also be applicable to the optimization problems.

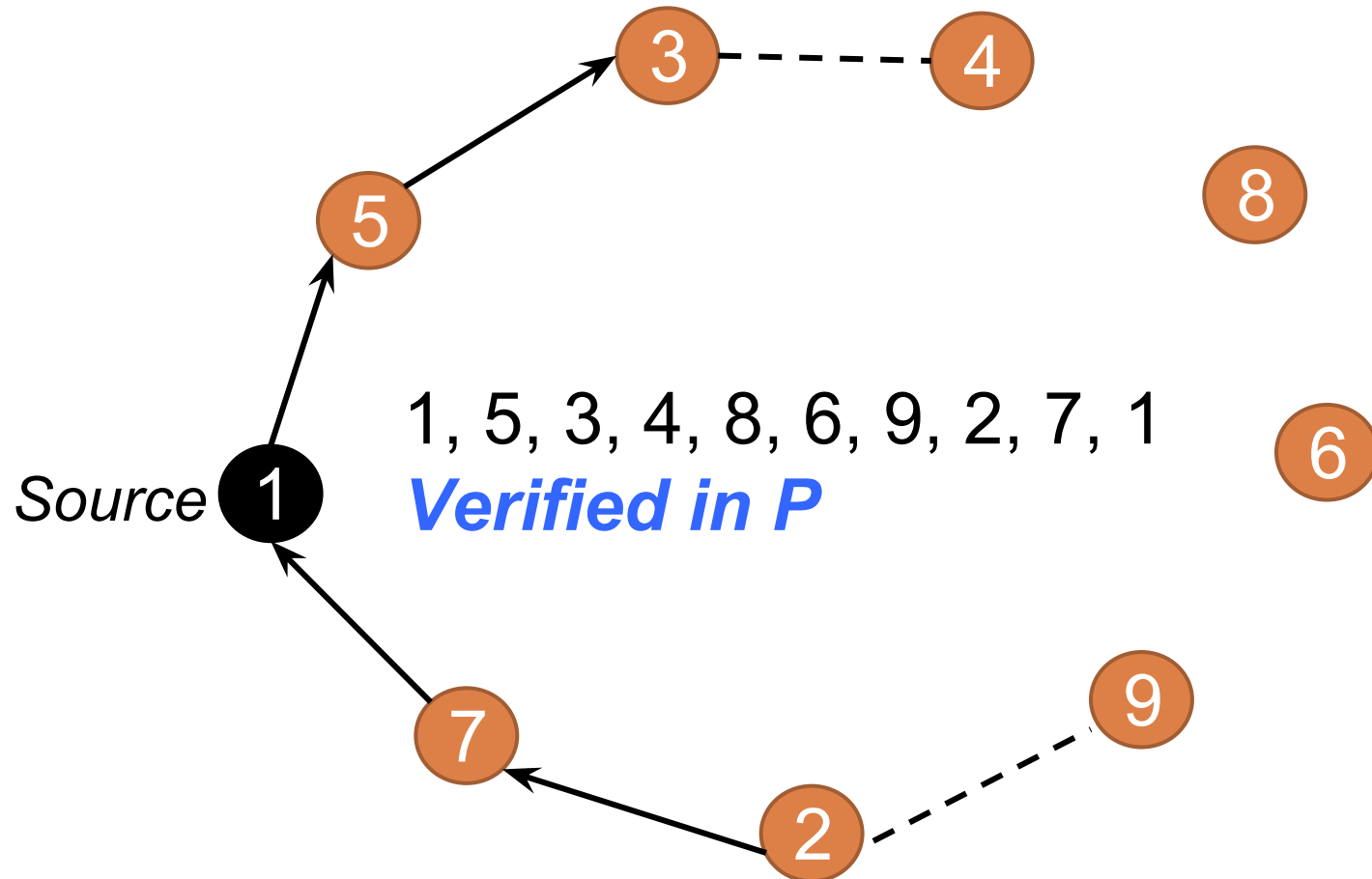
# Verification of Decision Problems

Ex:- TSP, Formula Satisfiability, 0/1 Knapsack...

Given a solution (guess) to the problem, we need to verify it in the problem

**NP** problems are *Verifiable* in polynomial time in deterministic machine

# Verification of Decision TSP in P



# Reducibility

The crux of NP-Completeness is *Reducibility*

Informally, a problem  $L$  can be reduced to another problem  $Q$  if *any* instance of  $L$  can be “**easily rephrased**” as an instance of  $Q$ , the solution to which provides a solution to the instance of  $L$

Intuitively: If  $L$  reduces to  $Q$ ,  $L$  is “***no harder to solve***” than  $Q$

## Reducibility Examples

Given an equation  $ax^2 + bx + c = 0$ , find roots of the equation.

Question:  $5x + 6 = 0$ ;



$$0.x^2 + 5x + 6 = 0$$

Question: Find the value of  $\sqrt{(45^2 + 46^2)}$

Apply **Pithagoras Theorem**:



Draw a right angle triangle with  $p=45$ ,  $b=46$ , Measure  $h$



## Reducibility Examples

X: Given  $n$  integers, is the largest integer  $> 0$  ?

Y: Given  $n$  Boolean variables, is there at least one TRUE?

X	-1	-49	-4	5	1	0	-6	8	-20
Y	F	F	F	T	T	F	F	T	F

Transform X to Y by  $y_i = T$  if  $x_i > 0$ ,  $y_i = F$  if  $x_i \leq 0$

Time required  
to test X

=

Time required to  
reduce X to Y

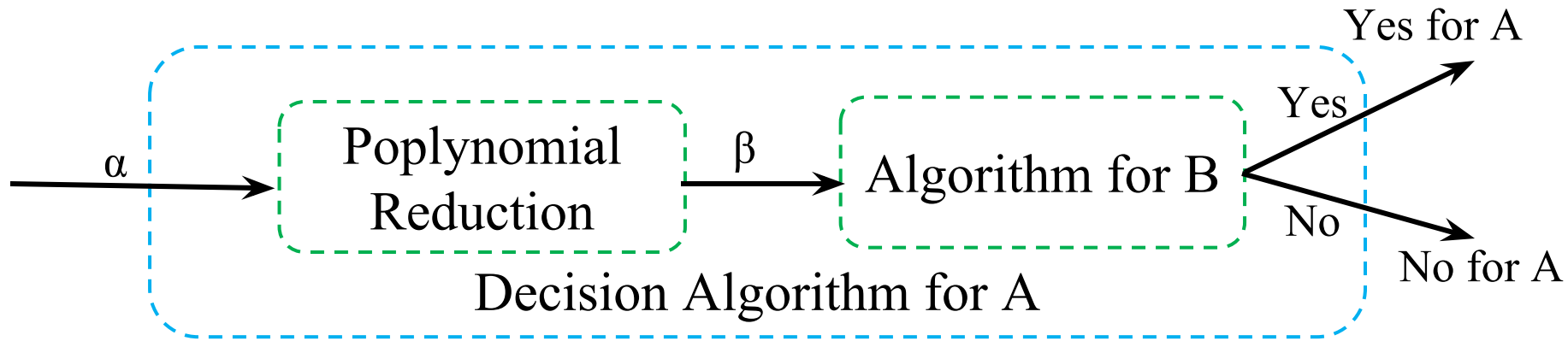
+

Time required  
to test Y

Now, X is *Polynomial-time Reducible* to Y,

So, we denote this  $X \leq_p Y$

# Reducibility relation



A and B are two decision Problems

If decision algorithm for B is polynomial so does A

A is no harder than B

If A hard ( e.g. NPC) so does B

# Transitive property of Reducibility

X, Y and Z are three problems;

X is *Polynomial-time Reducible* to Y,  $X \leq_p Y$  and

Y is *Polynomial-time Reducible* to Z,  $Y \leq_p Z$

Now,  $X \leq_p Z$ ; X is *Polynomial-time Reducible* to Z

# Computational Relationship

NP-Complete problems are computationally related:

- If any *one* NP-Complete problem can be solved in polynomial time...
- ...then *every* NP-Complete problem can be solved in polynomial time...
- ...and in fact *every* problem in **NP** can be solved in polynomial time (which would show **P = NP**)

# P & NP Defination

**P** = problems that can be solved in polynomial time (quick solution)

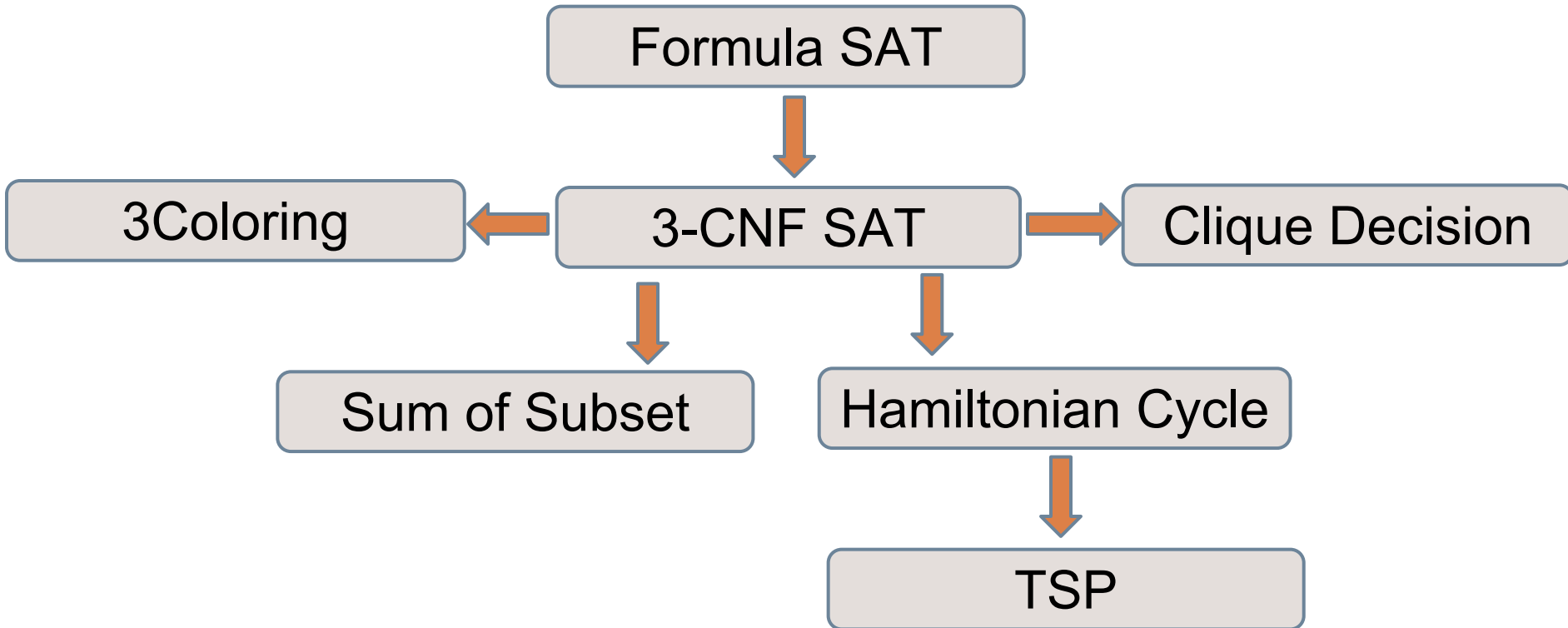
**NP** = problems for which a solution can be verified in polynomial time (quick verification)

Unknown whether **P = NP** ? (most suspect not)

# NP Complete & NP Hard Definition

NP-Complete	NP-Hard
Problem L is NP Complete, if <ul style="list-style-type: none"><li>□ <math>L \in \mathbf{NP}</math> and</li><li>□ <math>R \leq_p L \ \forall R \in \mathbf{NP}</math></li></ul>	Problem L is NP Hard if $R \leq_p L \ \forall R \in \mathbf{NP}$
Problem L is NP Complete, if <ul style="list-style-type: none"><li>□ <math>L \in \mathbf{NP}</math> and</li><li>□ <math>R \leq_p L</math> for any <math>R \in \mathbf{NPC}</math></li></ul>	Problem L is NP Hard if $R \leq_p L \text{ for any } R \in \mathbf{NPC}$

# NP-Complete Problems



# Maximum Clique Problem

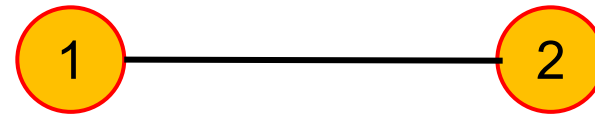
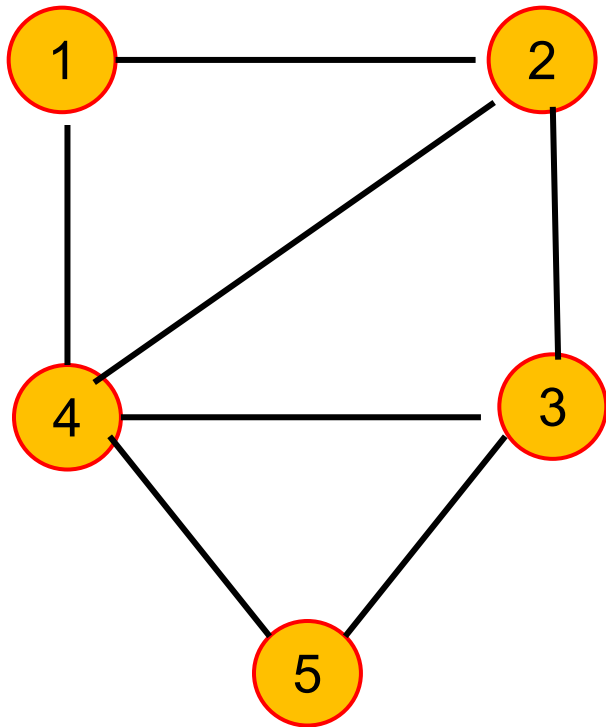
Max clique problem: A complete subgraph of a graph is a clique.

Number of edges in a complete graph,  $G=(V,E)$  is  
 $|E| = |V| \times |V-1| / 2$

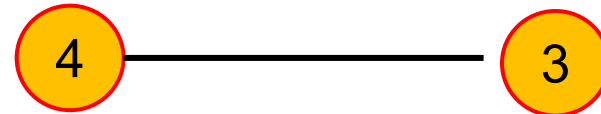
The maximal clique problem is to determine the size of a largest clique in  $G$ .



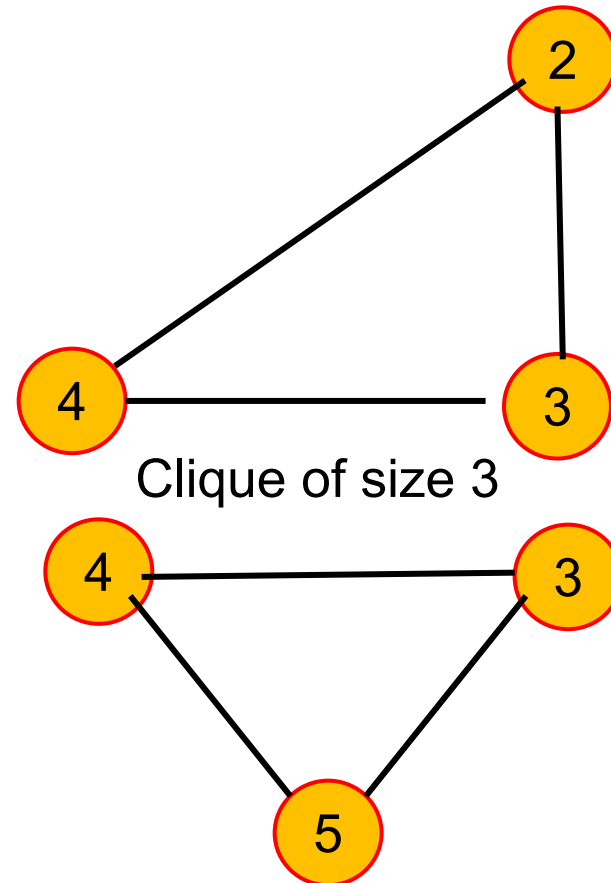
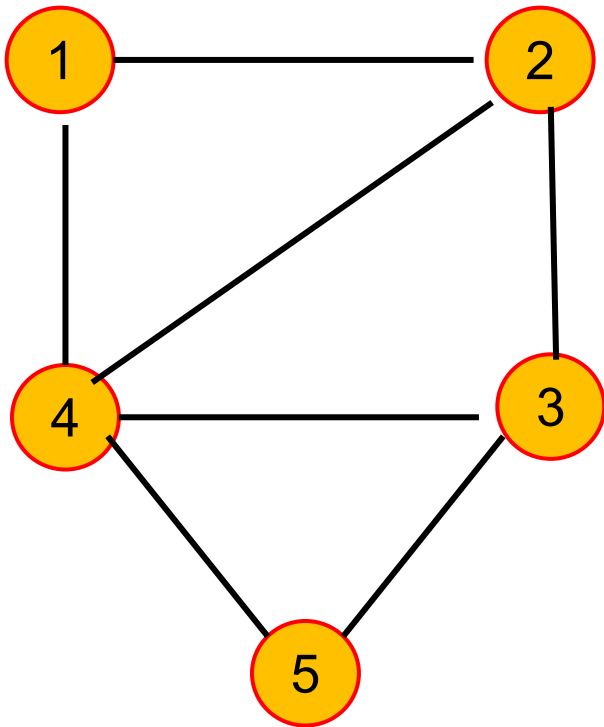
# Maximum Clique Problem



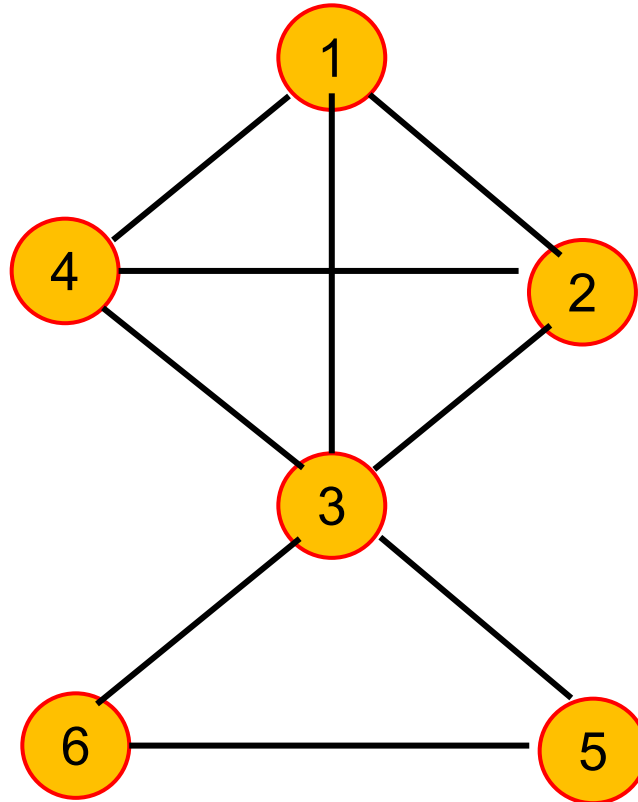
Clique of size 2



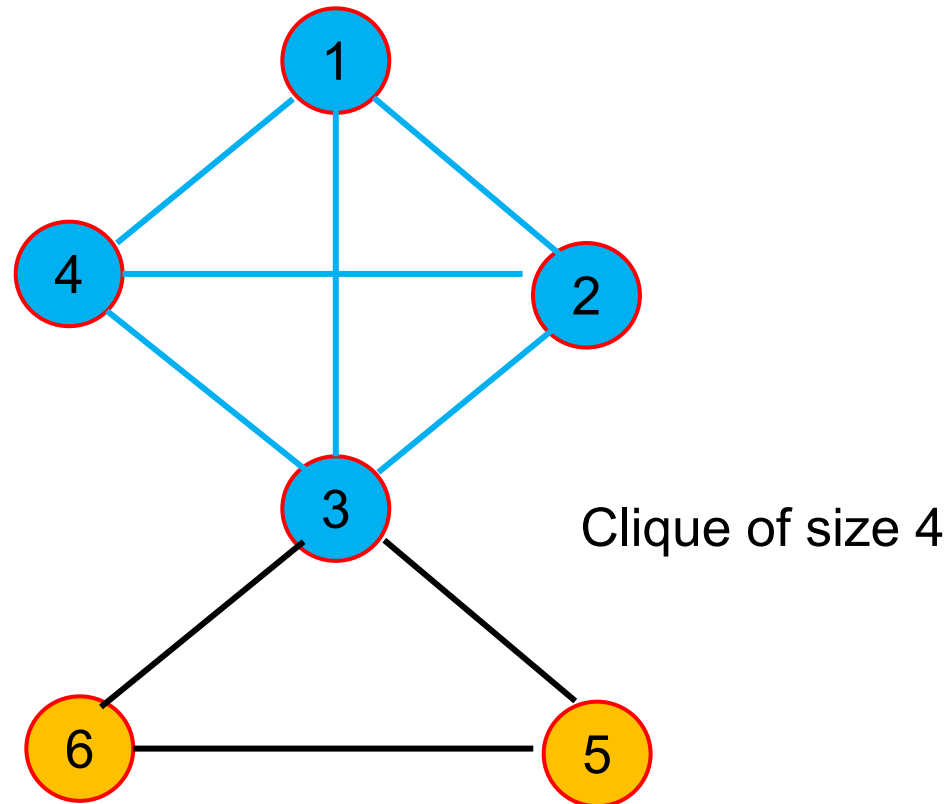
# Maximum Clique Problem



# Maximum Clique Problem



# Maximum Clique Problem



# Clique Decision Problem (CDP)

Is there a clique of size 3 in the graph?

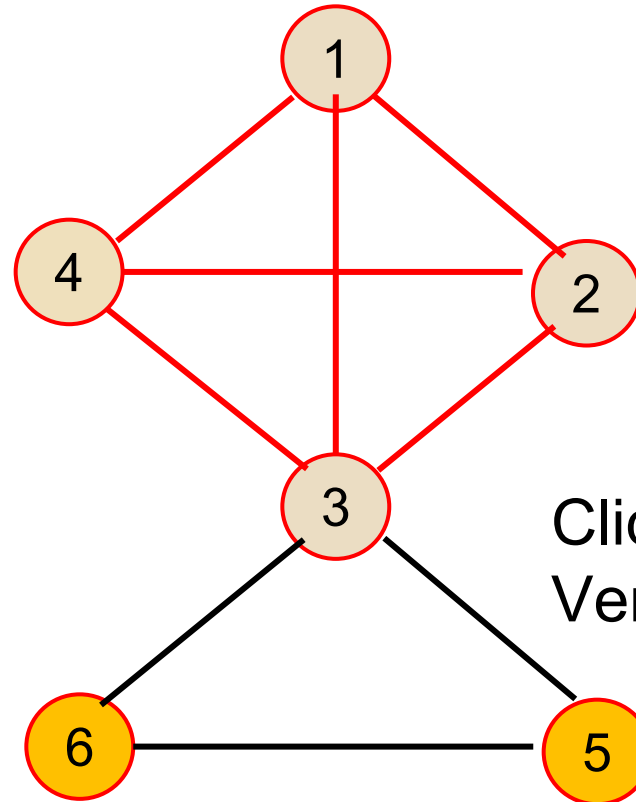
Clique Decision Problem (CDC) is in NP-Complete?

- i.  $\text{CDC} \in \mathbf{NP}$  and
- ii.  $3\text{-CNF SAT} \leq_p \text{CDC}$

if the formula is satisfiable then the graph has a  
Clique of size 3.

# Verification of CDP

$\text{CDC} \in \text{NP}$

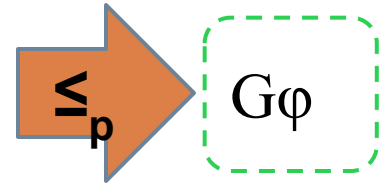


Clique of size 3 (1, 2, 4)  
Verified in polynomial time

# Clique Decision Problem (CDP)

**3-CNF SAT  $\leq_p$  CDP**

$$\varphi = (\underbrace{\overline{x_1} \vee x_2 \vee \overline{x_3}}_{C1}) \wedge (\underbrace{x_1 \vee \overline{x_2} \vee x_3}_{C2}) \wedge (\underbrace{x_1 \vee x_2 \vee \overline{x_3}}_{C3})$$



The formula is satisfiable iff the graph  $G_\varphi$  has a Clique of size 3.

$$\varphi = (\overline{x1} \vee x2 \vee \overline{x3}) \wedge (x1 \vee \overline{x2} \vee x3) \wedge (x1 \vee x2 \vee \overline{x3})$$

$C1$ 
 $C2$ 
 $C3$

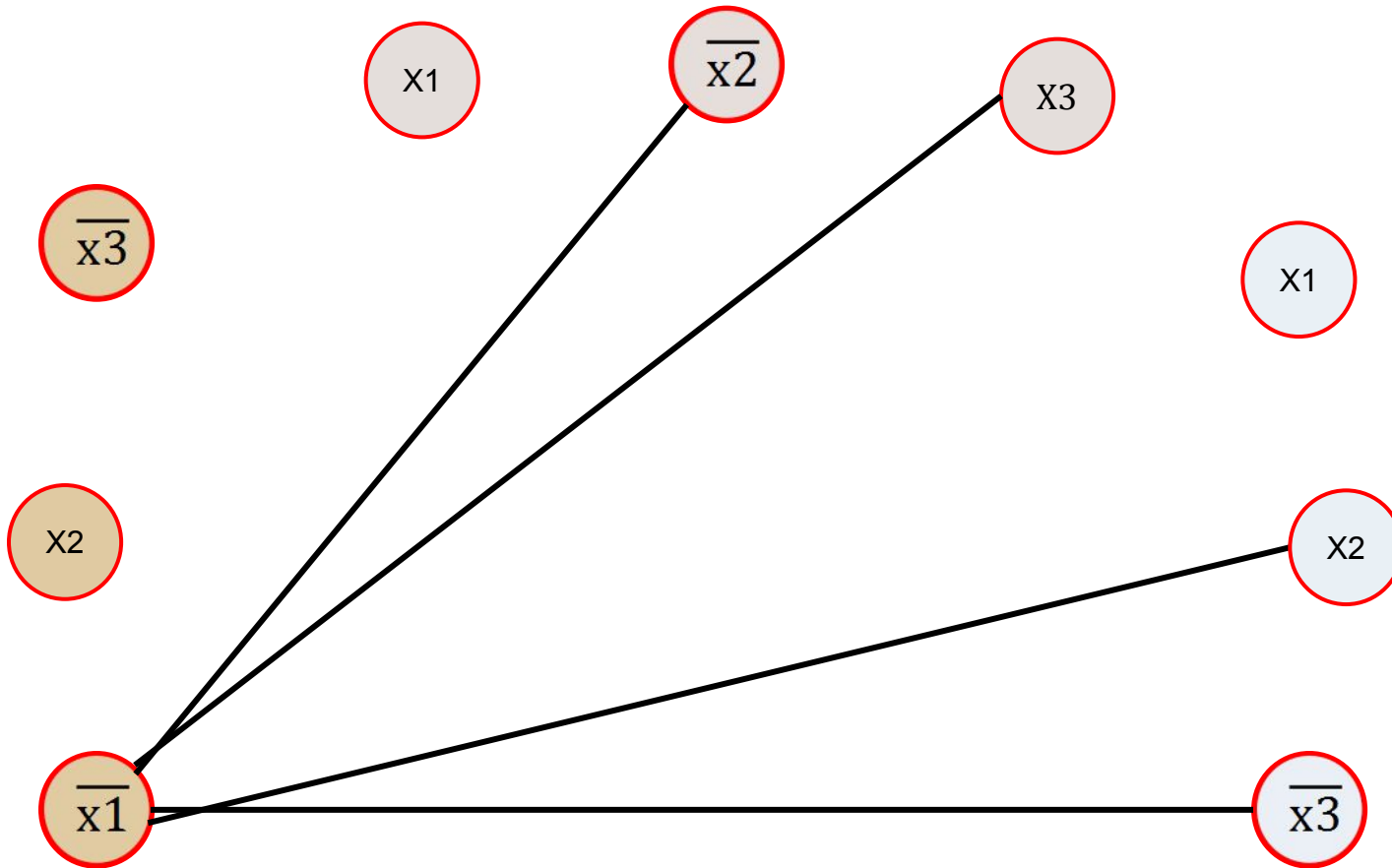


$$V = \{ \langle a, i \rangle \mid a \in C_i \}$$

$$E = \{ \langle a, i \rangle \langle b, j \rangle \mid b \neq \overline{a}, i \neq j \}$$



$$\varphi = (\underbrace{\overline{x1} \vee x2 \vee \overline{x3}}_{C1}) \wedge (\underbrace{x1 \vee \overline{x2} \vee x3}_{C2}) \wedge (\underbrace{x1 \vee x2 \vee \overline{x3}}_{C3})$$

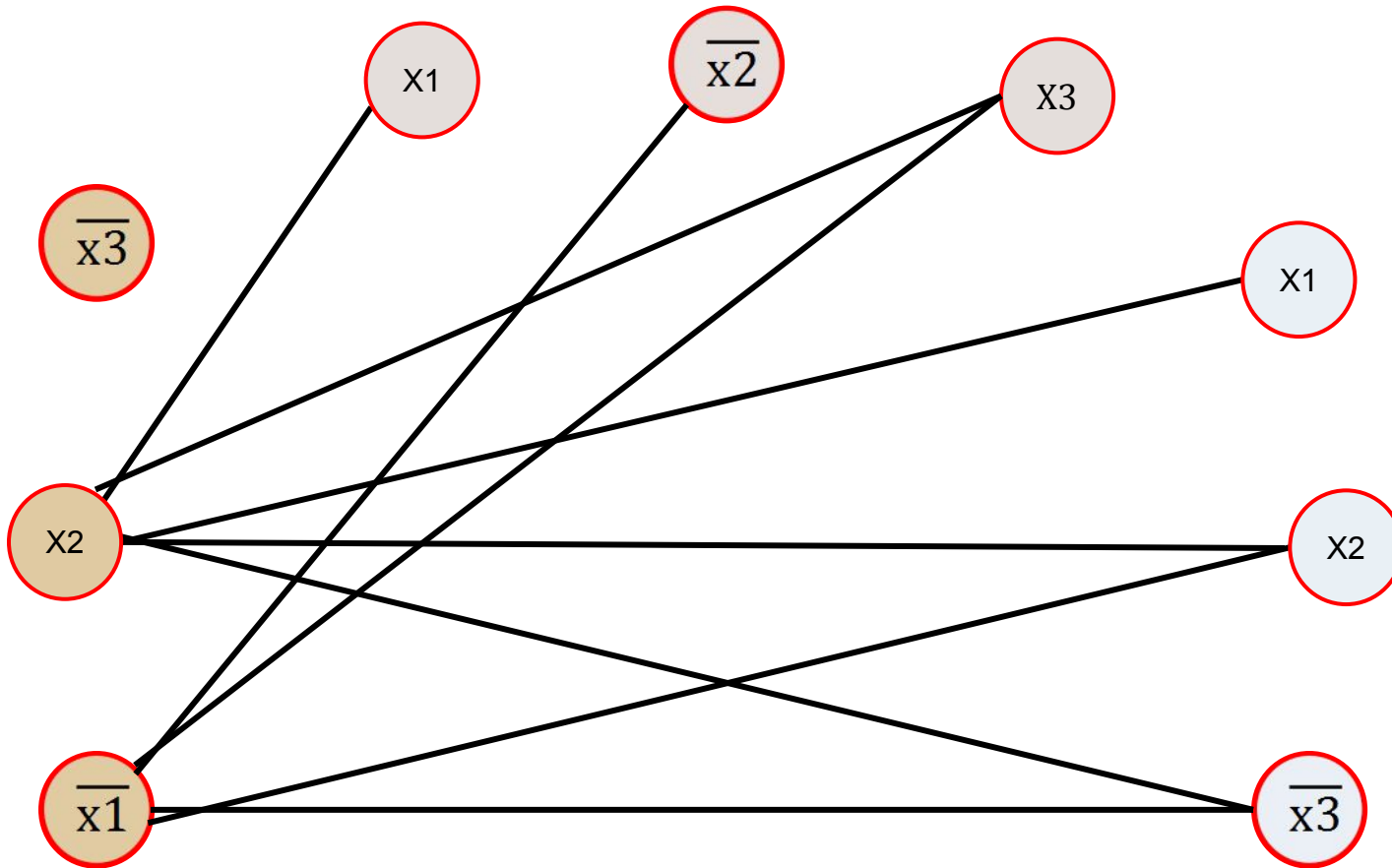


$$V = \{ \langle a, i \rangle \mid a \in C_i \}$$

$$E = \{ \langle a, i \rangle \langle b, j \rangle \mid b \neq \overline{a}, i \neq j \}$$

$$\varphi = (\overline{x1} \vee x2 \vee \overline{x3}) \wedge (x1 \vee \overline{x2} \vee x3) \wedge (x1 \vee x2 \vee \overline{x3})$$

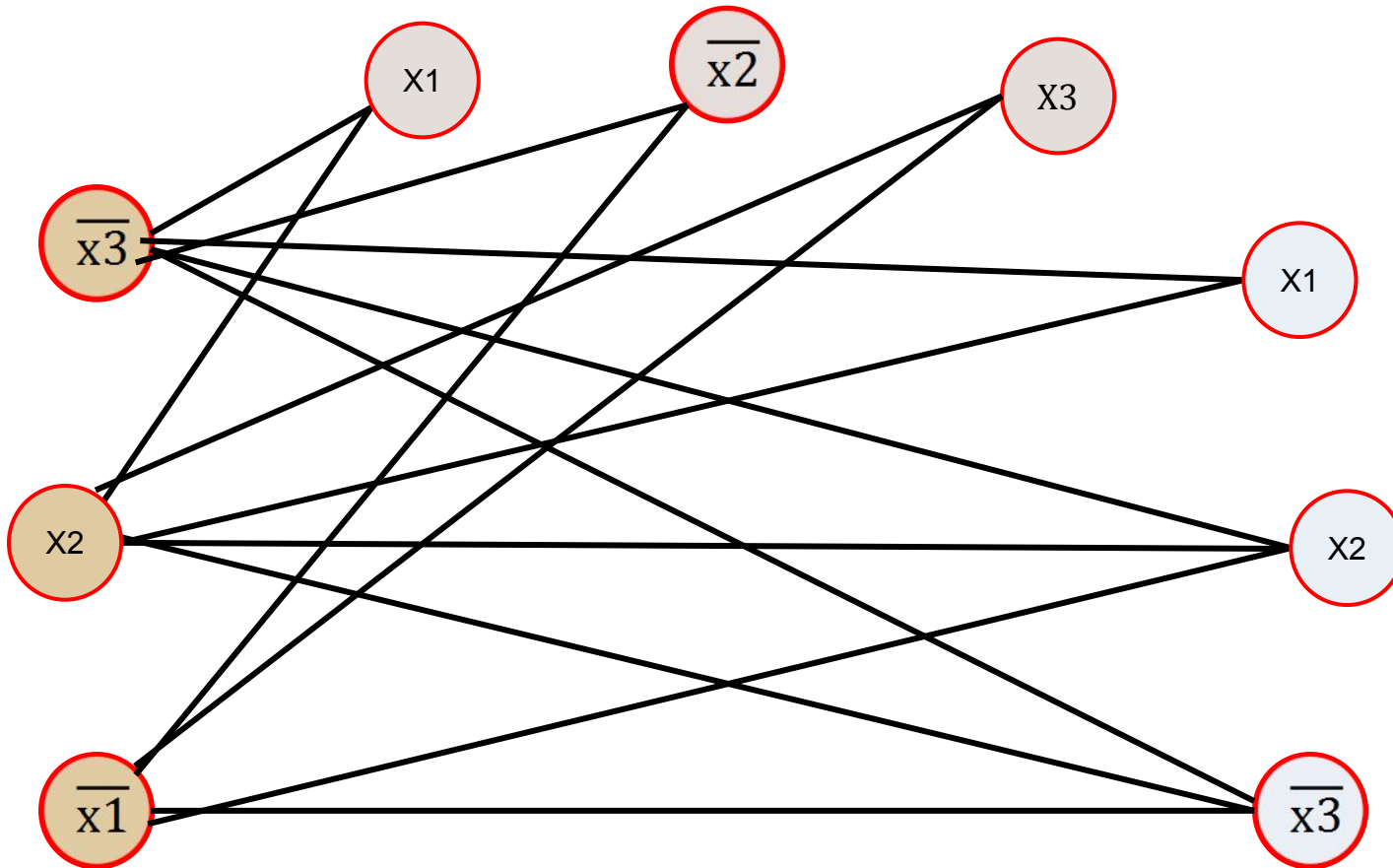
$C1$ 
 $C2$ 
 $C3$



$$V = \{ \langle a, i \rangle \mid a \in C_i \}$$

$$E = \{ \langle a, i \rangle \langle b, j \rangle \mid b \neq \overline{a}, i \neq j \}$$

$$\varphi = (\underbrace{\overline{x1} \vee x2 \vee \overline{x3}}_{C1}) \wedge (\underbrace{x1 \vee \overline{x2} \vee x3}_{C2}) \wedge (\underbrace{x1 \vee x2 \vee \overline{x3}}_{C3})$$

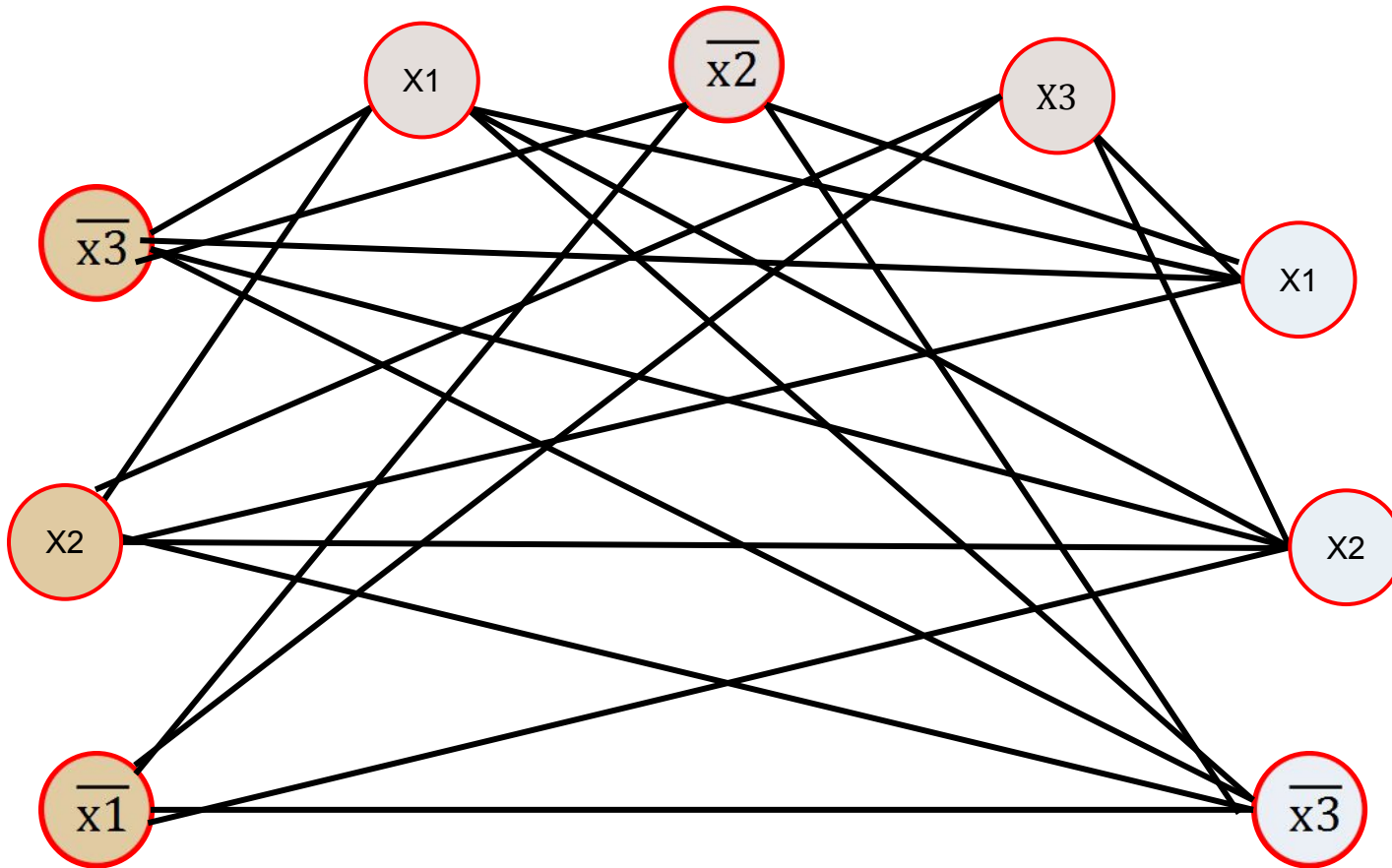


$$V = \{ \langle a, i \rangle \mid a \in C_i \}$$

$$E = \{ \langle a, i \rangle \langle b, j \rangle \mid b \neq \overline{a}, i \neq j \}$$

$$\varphi = (\overline{x1} \vee x2 \vee \overline{x3}) \wedge (x1 \vee \overline{x2} \vee x3) \wedge (x1 \vee x2 \vee \overline{x3})$$

$C1$ 
 $C2$ 
 $C3$



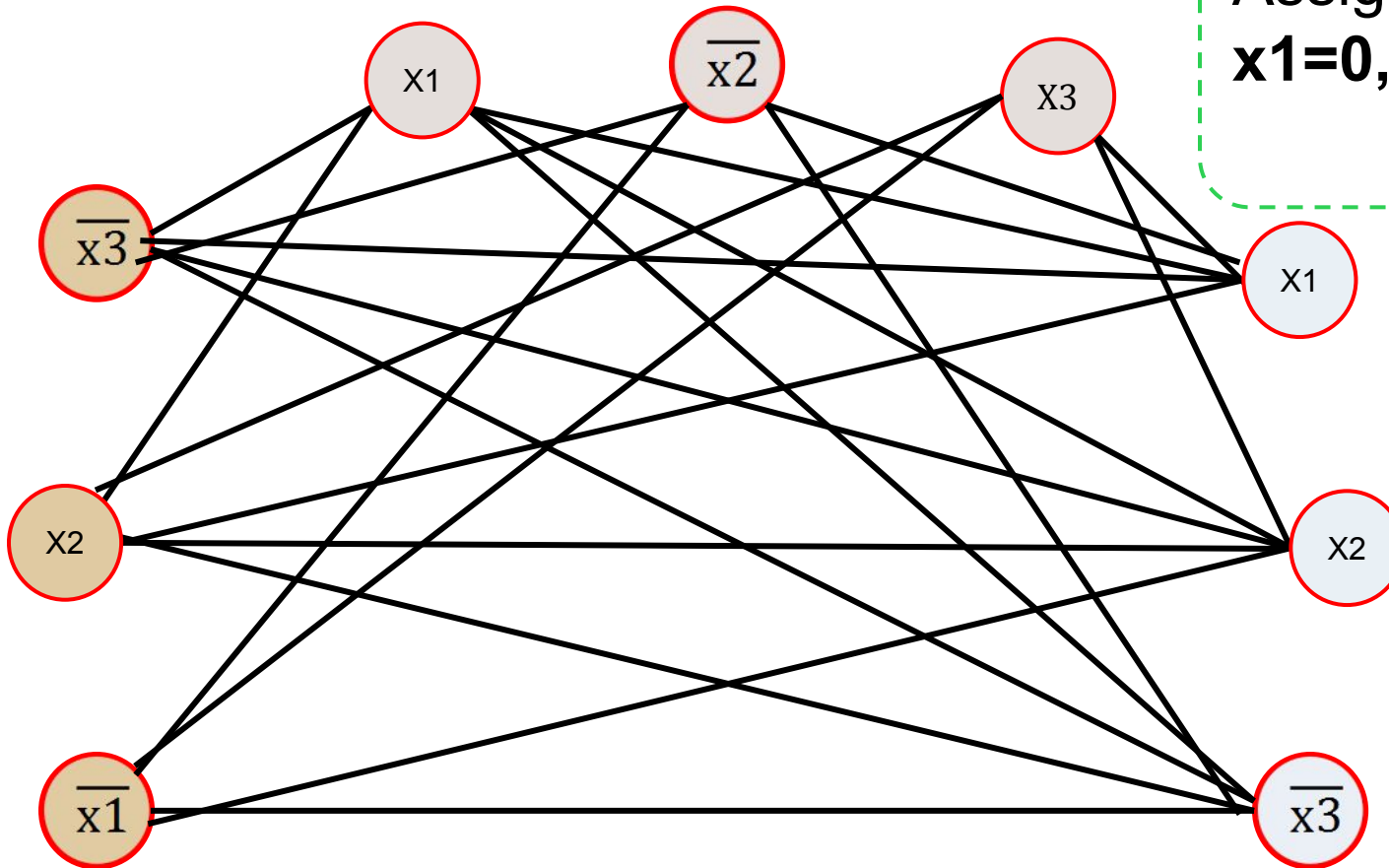
$$V = \{ \langle a, i \rangle \mid a \in C_i \}$$

$$E = \{ \langle a, i \rangle \langle b, j \rangle \mid b \neq \overline{a}, i \neq j \}$$

$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

C1
C2
C3

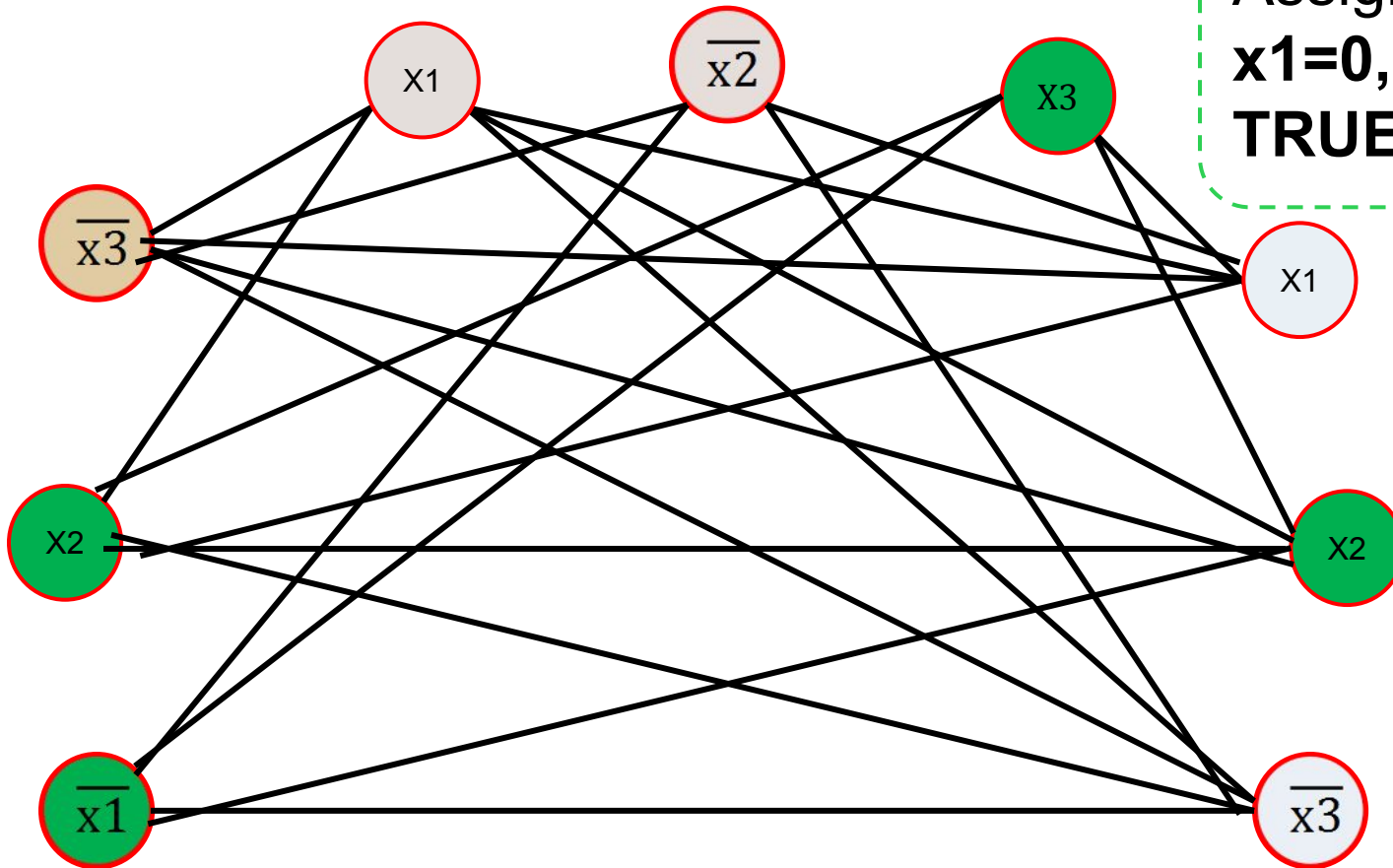
Assignment:  
 **$x_1=0, x_2=1, x_3=1$**



$$\varphi = (\overline{x1} \vee x2 \vee \overline{x3}) \wedge (x1 \vee \overline{x2} \vee x3) \wedge (x1 \vee x2 \vee \overline{x3})$$

C1
C2
C3

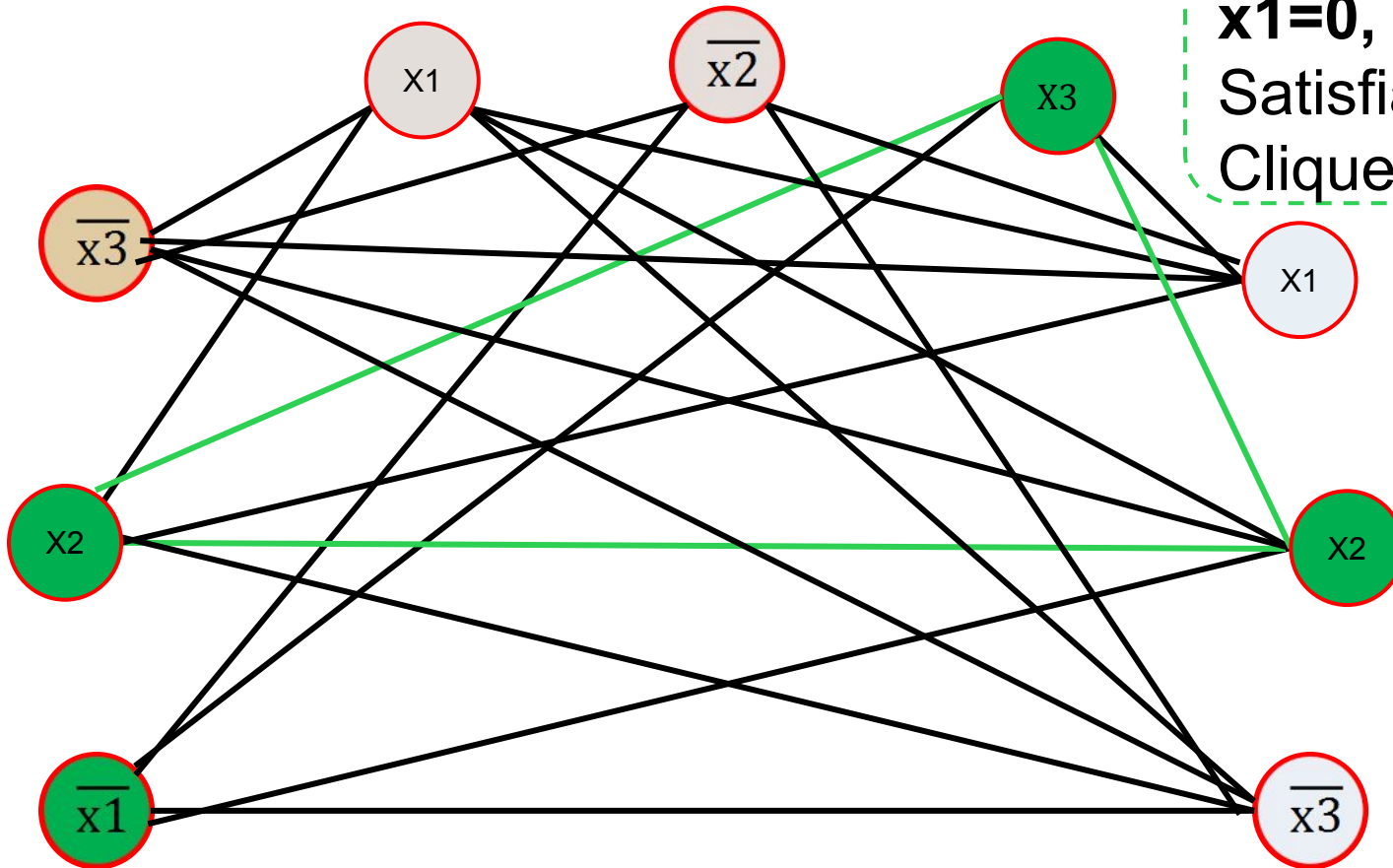
Assignment:  
 **$x1=0, x2=1, x3=1$**   
**TRUE**



$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

C1
C2
C3

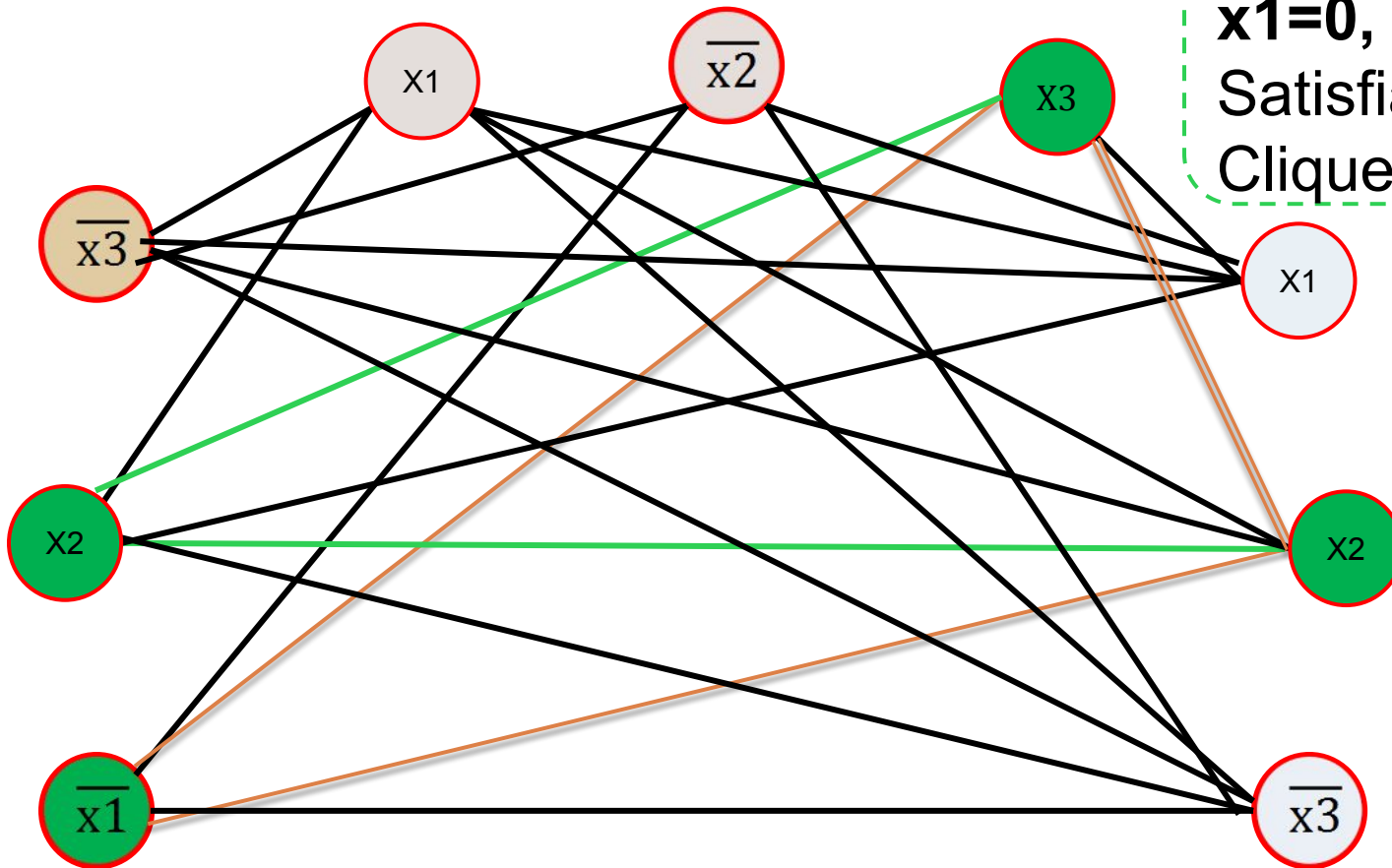
Assignment:  
 **$x_1=0, x_2=1, x_3=1$**   
 Satisfiable  
 Clique of size 3



$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

C1
C2
C3

Assignment:  
 **$x_1=0, x_2=1, x_3=1$**   
 Satisfiable  
 Clique of size 3





$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

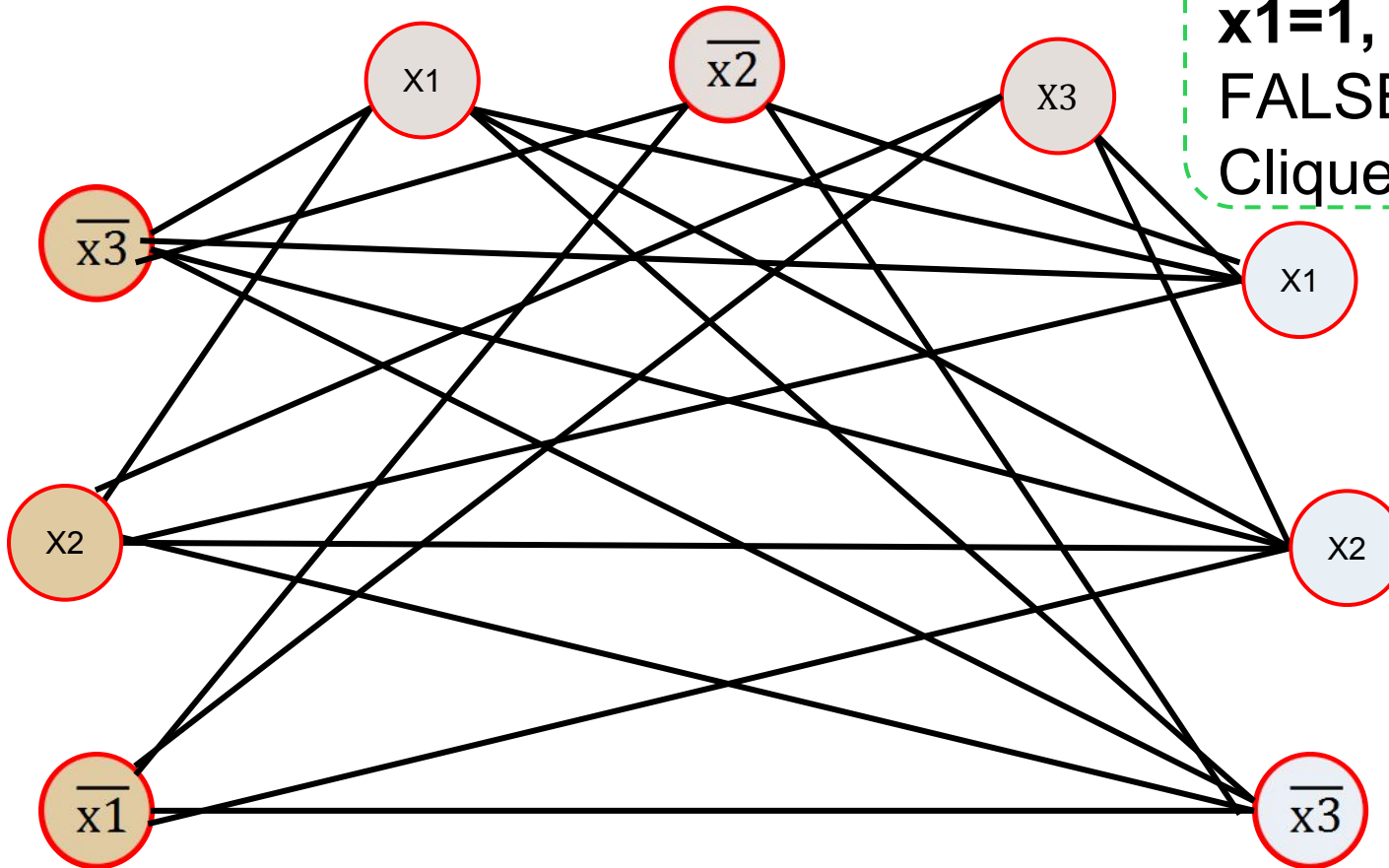
C1
C2
C3

Assignment:

**$x_1=1, x_2=0, x_3=1$**

**FALSE**

Clique of size 3 ?



$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

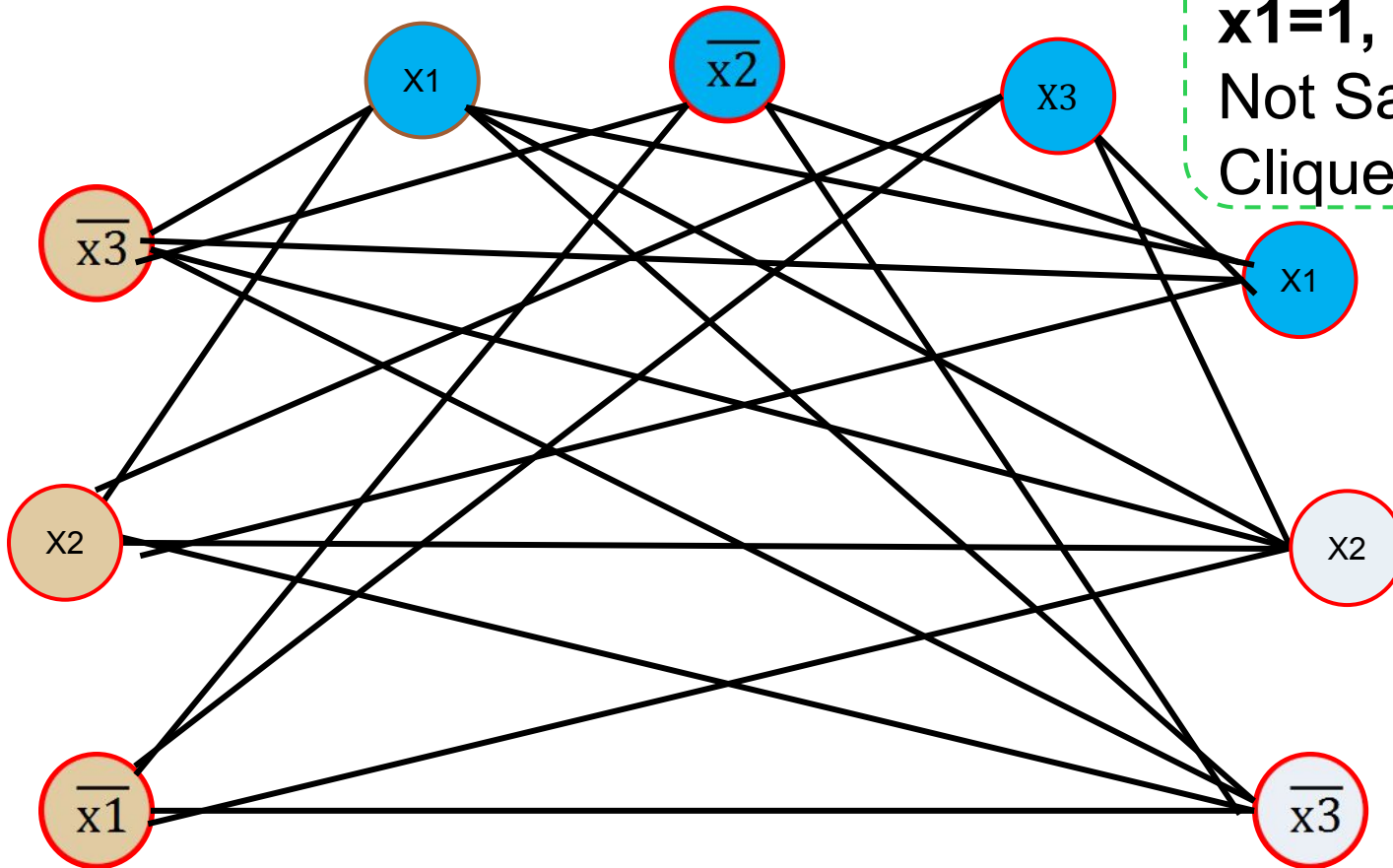
C1
C2
C3

Assignment:

**$x_1=1, x_2=0, x_3=1$**

Not Satisfiable

Clique of size 3 ?



$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

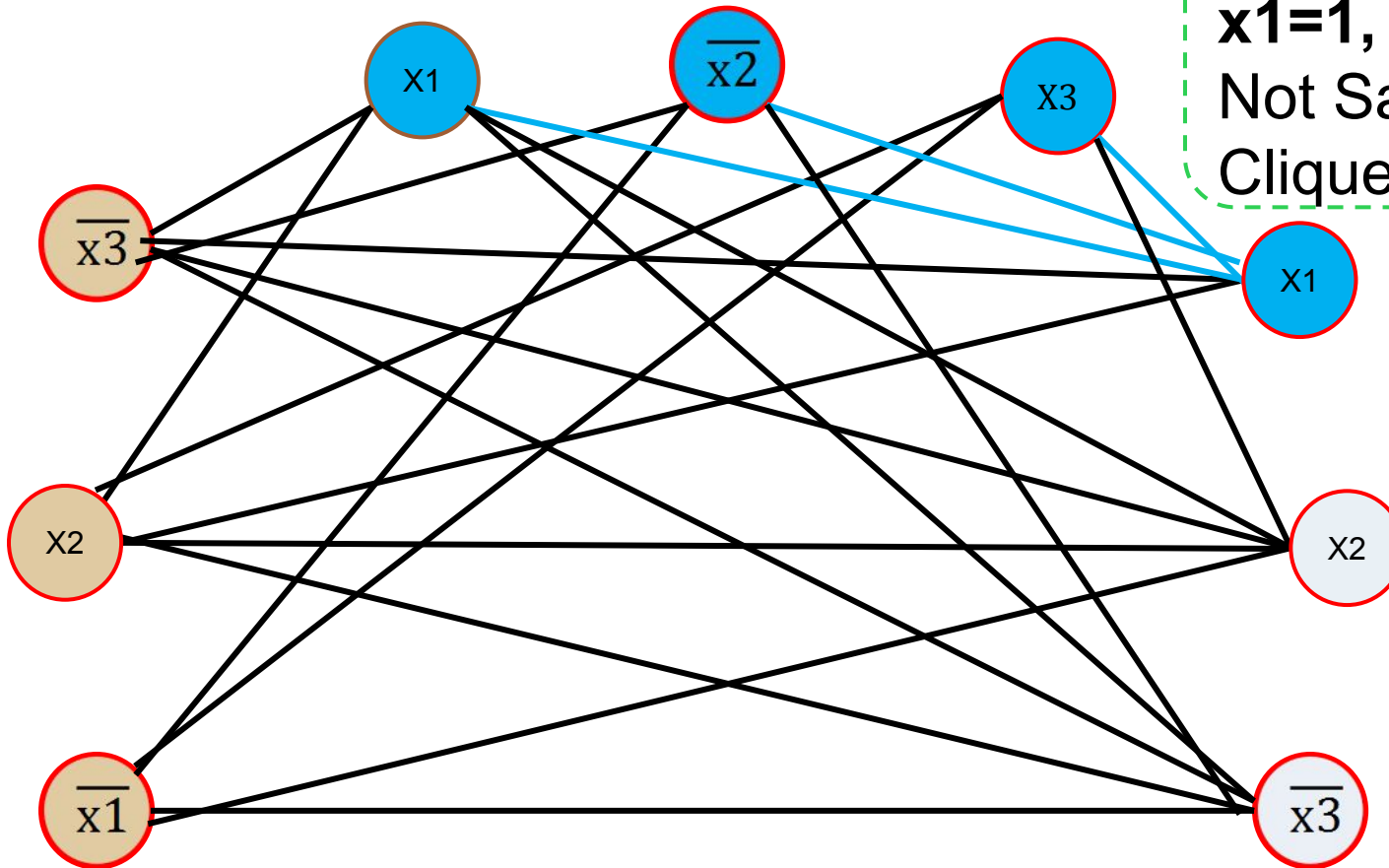
C1
C2
C3

Assignment:

**$x_1=1, x_2=0, x_3=1$**

Not Satisfiable

Clique of size 3 ?



$$\varphi = (\overline{x_1} \vee x_2 \vee \overline{x_3}) \wedge (x_1 \vee \overline{x_2} \vee x_3) \wedge (x_1 \vee x_2 \vee \overline{x_3})$$

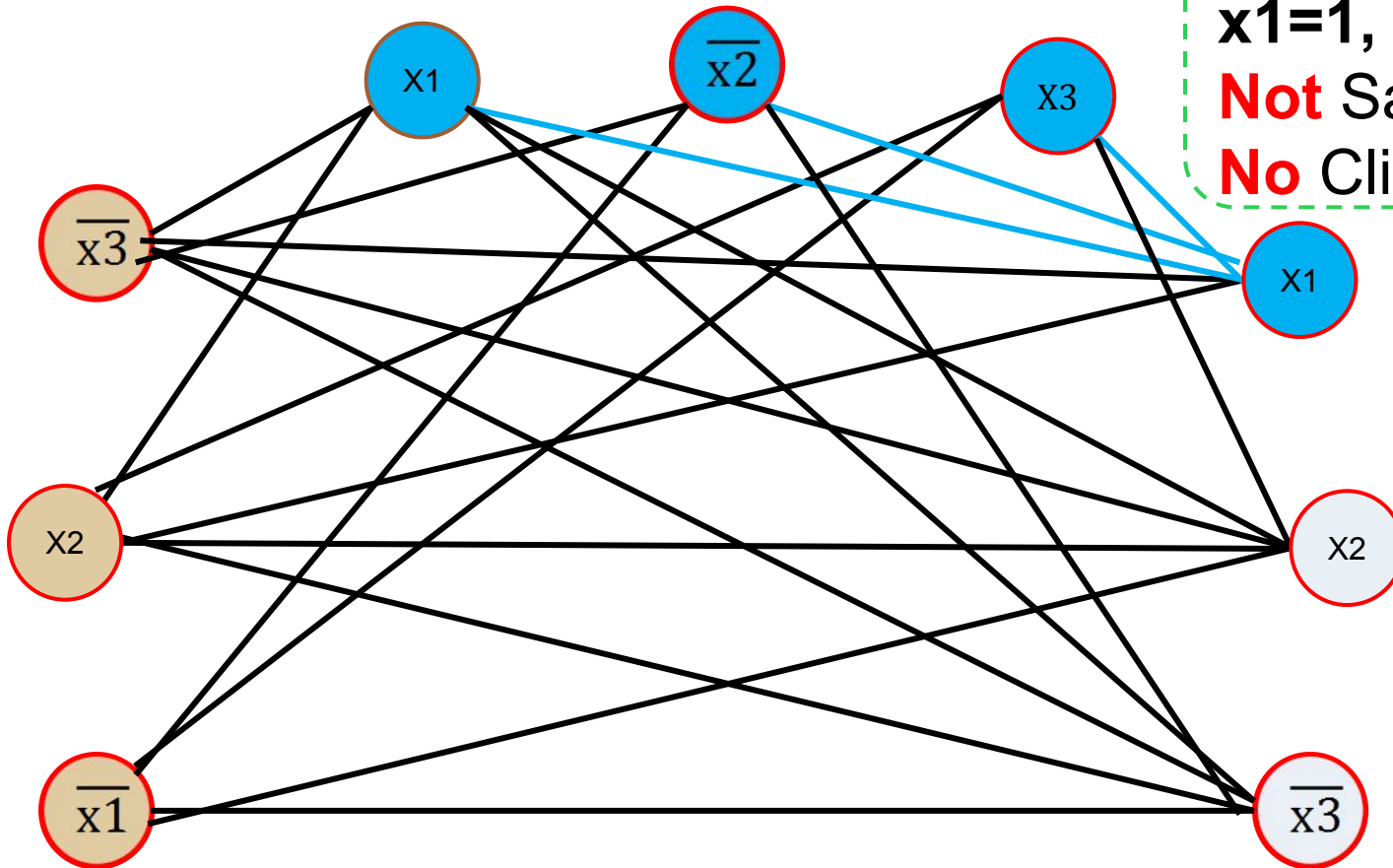
C1
C2
C3

Assignment:

**x1=1, x2=0, x3=1**

**Not** Satisfiable

**No** Clique of size 3



# Travelling Salesperson Problem (TSP)

Consider a salesman who must visit  $n$  cities labeled  $v_1, v_2, \dots, v_n$ .

The salesman starts in city  $v_1$ , his home, and wants to find a tour—an order in which to visit all the other cities and return home. His goal is to find a tour that causes him to travel as little total distance as possible.

**Decision Travelling Salesman Problem:** Given a set of distances on  $n$  cities, and a bound  $D$ , is there a tour of length at most  $D$ ?

# Travelling Salesperson Problem (TSP)

TSP is in NP-Complete ?

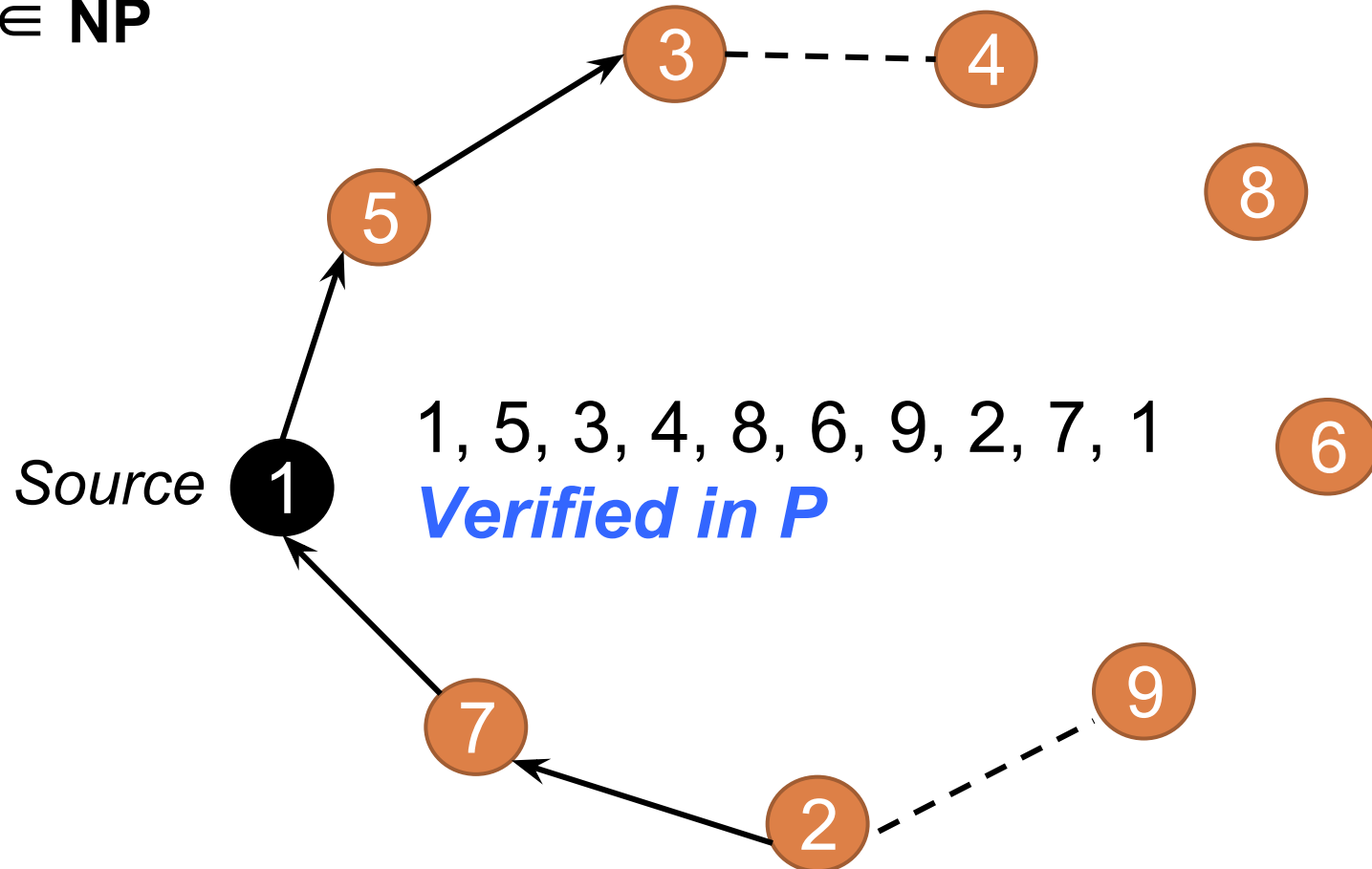
- i.  $\text{TSP} \in \mathbf{NP}$
- ii.  $\text{Hamiltonian Cycle} \leq_p \text{TSP}$

if the graph (G) has a Hamiltonian Cycle then the graph (G') must have a TSP

TSP: Does the graph have a TSP whose cost is **k**?

# Verification of decision TSP

TSP  $\in$  NP



# Reducing to Travelling Salesperson Problem (TSP)

Hamiltonian Cycle  $\leq_p$  TSP  
 $G$   $G'$

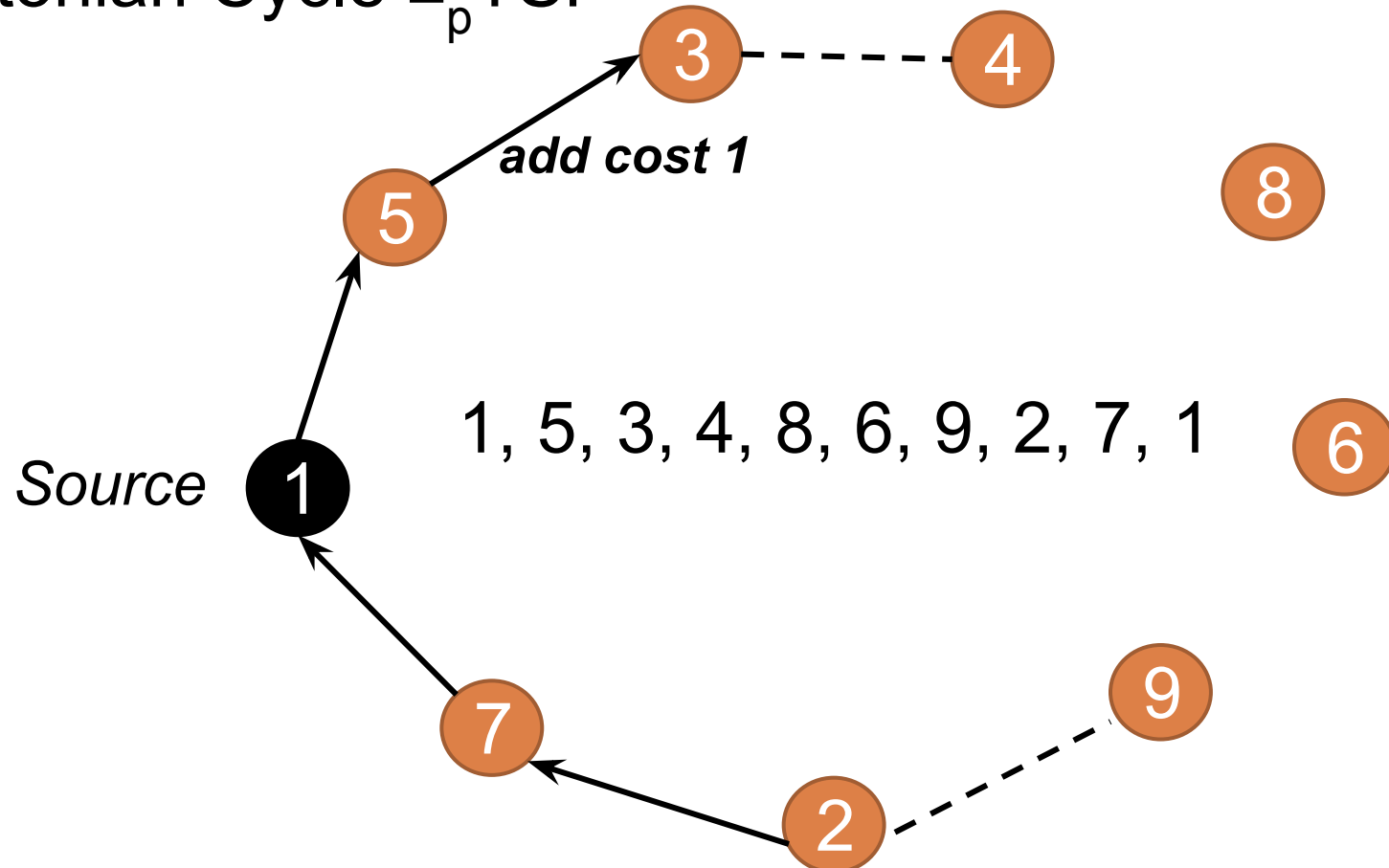
Cost matrix of  $G$  is reduced to cost matrix of  $G'$   
 $\text{cost}(i, j) = 1$  if an edge is there between  $i$  to  $j$  else  $0$

The reduction can be done in P i. e.  $O(n^2)$ ,  
considering  $n$  number of vertices



# TSP of cost $k$ ? Hamiltonian Cycle?

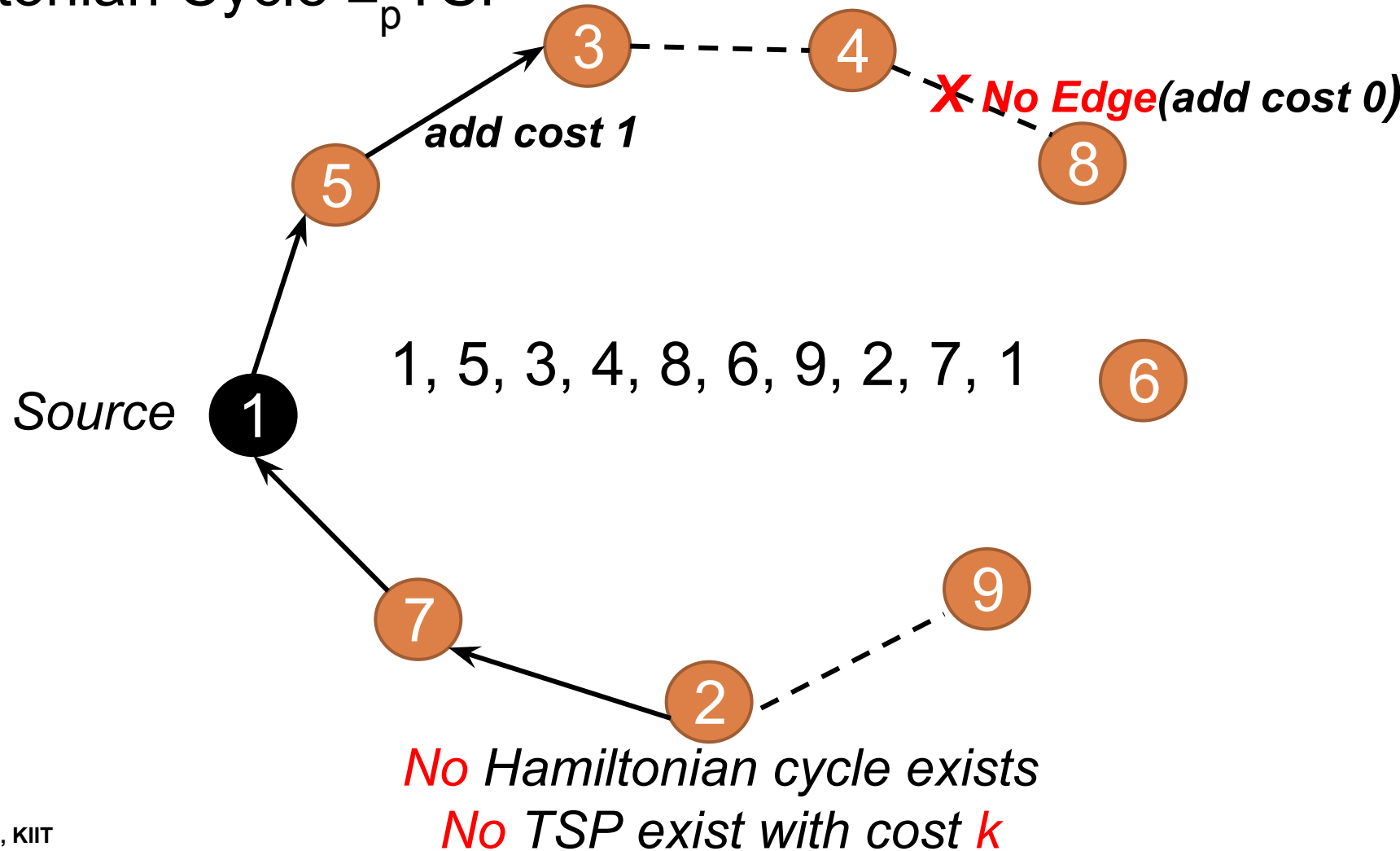
Hamiltonian Cycle  $\leq_p$  TSP



*All edges are present, Hamiltonian cycle exists  
TSP exists with cost  $k$*

# TSP of cost $k$ ? Hamiltonian Cycle?

Hamiltonian Cycle  $\leq_p$  TSP



**THANK  
YOU!**