# Paper Review "Computer Vision: project ML 574 Project 3"

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## **Background**

Handwriting recognition, particularly digit recognition, has been a key challenge in machine learning. Traditional methods like logistic regression, SVM, and random forests struggle with complex handwritten data, as highlighted in the reviewed paper. While CNNs perform better by capturing spatial features, they often fail to generalize well across different datasets, such as MNIST and USPS.

To improve accuracy and generalization, I am applying an ablation study combining data augmentation with two models: CNN and DenseNet. Data augmentation enhances model robustness, while DenseNet's architecture offers improved feature reuse. This approach aims to find the best combination of preprocessing and model architecture for optimal performance on digit recognition tasks across diverse datasets.

## Research Scope

This study focuses on improving handwritten digit recognition accuracy by exploring the impact of data preprocessing techniques, particularly data augmentation, and different model architectures. The scope includes:

- 1. Model Comparisons: Two neural network architectures, CNN and DenseNet, are evaluated to identify which performs better in terms of generalization and accuracy.
- 2. Ablation Study: The research explores the effect of data augmentation by experimenting with four different setups:
  - a. CNN without data augmentation.
  - b. DenseNet without data augmentation.
  - c. CNN with data augmentation.
  - d. DenseNet with data augmentation.
- 3. Datasets: The models are trained and validated on the MNIST dataset and tested on both MNIST and USPS datasets to assess their generalization capabilities across different data distributions.

The research aims to determine the optimal combination of model architecture and preprocessing techniques for enhanced digit recognition performance.

## **Theoretical Basis**

The Dense Convolutional Network (DenseNet) model shares similarities with ResNet, but it introduces dense connections between each layer and all preceding layers. Another key characteristic of DenseNet is its ability to reuse features by connecting feature maps across channels. For these reasons, we also evaluated its performance on the MNIST dataset.

# Gaps in Research to be Solved

**Cross-Dataset Performance**: Models trained on MNIST often perform poorly on other datasets like USPS due to differences in data characteristics. Improving cross-dataset performance is crucial for creating versatile models.

**Effectiveness of Augmentation**: The impact of various data augmentation techniques on model performance, particularly for CNNs and DenseNet, is not fully explored. Optimizing effective augmentations is needed.

**Model Comparison**: There is limited comparative analysis between newer architectures like DenseNet and traditional CNNs. Understanding their relative strengths and weaknesses under different conditions is essential.

### Result

The performance of various models on the MNIST and USPS datasets was evaluated to assess their effectiveness in handwritten digit recognition. The following models were tested:

- 1. CNN without Data Augmentation:
  - a. Validation Accuracy: 98.86%
  - b. MNIST Testing Accuracy: 98.94%
  - c. USPS Testing Accuracy: 62.63%
- 2. DenseNet without Data Augmentation:
  - a. Validation Accuracy: 98.89%
  - b. MNIST Testing Accuracy: 99.06%
  - c. USPS Testing Accuracy: 74.93%
- 3. CNN with Data Augmentation:
  - a. Validation Accuracy: 99.01%
  - b. MNIST Testing Accuracy: 99.16%
  - c. USPS Testing Accuracy: 85.52%
- 4. DenseNet with Data Augmentation:
  - a. Validation Accuracy: 99.20%
  - b. MNIST Testing Accuracy: 99.42%
  - c. USPS Testing Accuracy: 86.41%

#### Analysis:

CNN without Data Augmentation: The CNN model achieved high accuracy on the MNIST dataset but struggled with the USPS dataset, highlighting a significant performance drop in handling data from different sources.

DenseNet without Data Augmentation: The DenseNet model outperformed the CNN on both validation and test sets, showing better accuracy on the USPS dataset without data augmentation.

CNN with Data Augmentation: The inclusion of data augmentation techniques significantly improved the CNN's performance on the USPS dataset, demonstrating enhanced generalization capabilities.

DenseNet with Data Augmentation: The DenseNet model combined with data augmentation achieved the highest accuracy on both MNIST and USPS datasets. This indicates that DenseNet's feature reuse and enhanced data diversity through augmentation lead to superior performance across diverse datasets.

These results underscore the effectiveness of DenseNet, particularly when combined with data augmentation, in handling the variability present in handwritten digit datasets. The improved performance on the USPS dataset suggests that more complex models and preprocessing techniques can effectively address challenges associated with different data distributions.

# **Advantages and Disadvantages of Research**

#### Advantages:

- 1. Comprehensive Model Comparison: Evaluates various models, providing insights into their performance for digit recognition.
- 2. Enhanced Performance with Augmentation: Data augmentation significantly improves model accuracy, especially for CNN and DenseNet.
- 3. Robust Solutions: DenseNet with data augmentation achieves high accuracy, guiding practical model selection.

#### **Disadvantages:**

- 1. Limited Advanced Models: The study lacks exploration of newer, advanced models like Transformers.
- 2. Dataset Limitations: Using only MNIST and USPS may restrict result generalizability; additional datasets could enhance findings.
- 3. Lack of Ablation Study: The impact of various hyperparameters and configurations is not fully explored.

## **Conclusion and Recommendation**

This study effectively assessed various models for digit recognition using the MNIST and USPS datasets. DenseNet, particularly with data augmentation, outperformed CNN models, achieving the highest accuracy on both datasets. The results highlight the benefits of using advanced models and data augmentation for improved performance in digit recognition.

To further boost model performance, it is advisable to explore advanced or hybrid deep learning architectures and additional data augmentation techniques. A broader evaluation using metrics like precision and recall can provide more comprehensive insights. Additionally, ongoing experimentation with hyperparameters and model configurations is recommended for continuous improvement.

## **Bibliography**

Chen, F., Luo, Z., Chen, N., Mao, H., Hu, H., Jiang, Y., ... & Zhang, H. (2024). Assessing four neural networks on handwritten digit recognition dataset (mnist). Journal of Computer Science Research, 6(3), 17-22.