

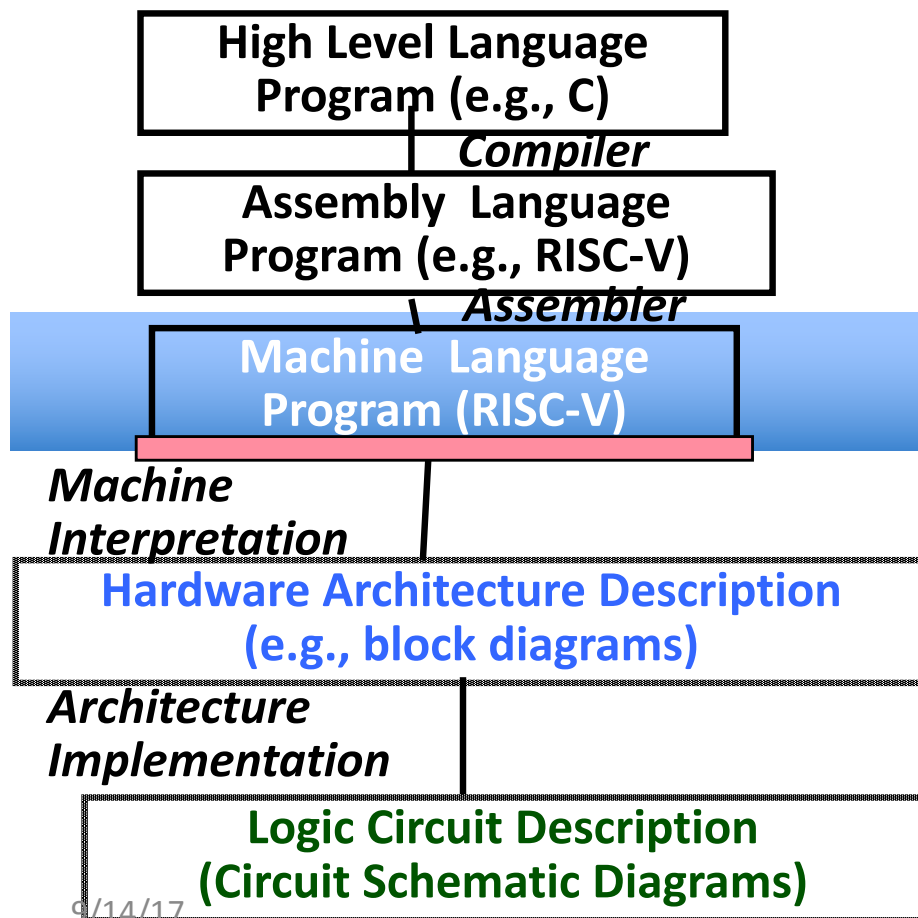
CS 61C:
Great Ideas in Computer Architecture
RISC-V Instruction Formats

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<http://inst.eecs.Berkeley.edu/~cs61c/fa17>

Levels of Representation/Interpretation



```

temp = v[k];
v[k] = v[k+1];
v[k+1] = temp;
  
```

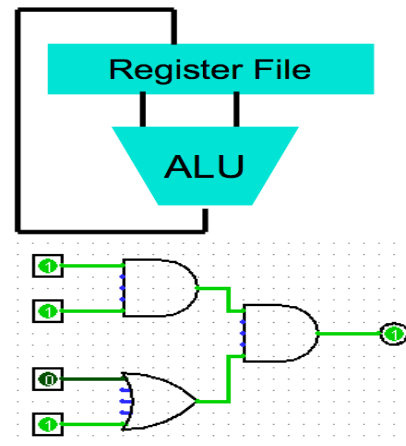
```

lw  x10, 0(x12)
lw  x11, 4(x12)
sw  x11, 0(x12)
sw  x10, 4(x12)
  
```

Anything can be represented
as a *number*,
i.e., data or instructions

```

0000 1001 1100 0110 1010 1111 0101 1000
1010 1111 0101 1000 0000 1001 1100 0110
1100 0110 1010 1111 0101 1000 0000 1001
  
```



ENIAC (U.Penn., 1946) First Electronic General-Purpose Computer

- Blazingly fast (multiply in 2.8ms!)
 - 10 decimal digits x 10 decimal digits
- But needed 2-3 days to setup new program, as programmed with patch cords and switches

Big Idea: Stored-Program Computer

First Draft of a Report on the EDVAC
by
John von Neumann
Contract No. W-670-ORD-4926
Between the
United States Army Ordnance Department and the
University of Pennsylvania
Moore School of Electrical Engineering
University of Pennsylvania
June 30, 1945

- Instructions are represented as bit patterns - can think of these as numbers
- Therefore, entire programs can be stored in memory to be read or written just like data
- Can reprogram quickly (seconds), don't have to rewire computer (days)
- Known as the “von Neumann” computers after widely distributed tech report on EDVAC project
 - Wrote-up discussions of Eckert and Mauchly
 - Anticipated earlier by Turing and Zuse

EDSAC (Cambridge, 1949)

First General Stored-Program Computer

- Programs held as numbers in memory
- 35-bit binary 2's complement words



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Consequence #1:

Everything Has a Memory Address

- Since all instructions and data are stored in memory, everything has a memory address: instructions, data words
 - Both branches and jumps use these
- C pointers are just memory addresses: they can point to anything in memory
 - Unconstrained use of addresses can lead to nasty bugs; avoiding errors up to you in C; limited in Java by language design
- One register keeps address of instruction being executed:
“Program Counter” (PC)
 - Basically a pointer to memory
 - Intel calls it Instruction Pointer (a better name)

Consequence #2: Binary Compatibility

- Programs are distributed in binary form
 - Programs bound to specific instruction set
 - Different version for phones and PCs
- New machines want to run old programs (“binaries”) as well as programs compiled to new instructions
- Leads to “backward-compatible” instruction set evolving over time
- Selection of Intel 8088 in 1981 for 1st IBM PC is major reason latest PCs still use 80x86 instruction set; could still run program from 1981 PC today

Instructions as Numbers (1/2)

- Most data we work with is in words (32-bit chunks):
 - Each register is a word
 - **lw** and **sw** both access memory one word at a time
- So how do we represent instructions?
 - Remember: Computer only understands 1s and 0s, so assembler string “**add x10,x11,x0**” is meaningless to hardware
 - RISC-V seeks simplicity: since data is in words, make instructions be fixed-size 32-bit words also
 - Same 32-bit instructions used for RV32, RV64, RV128

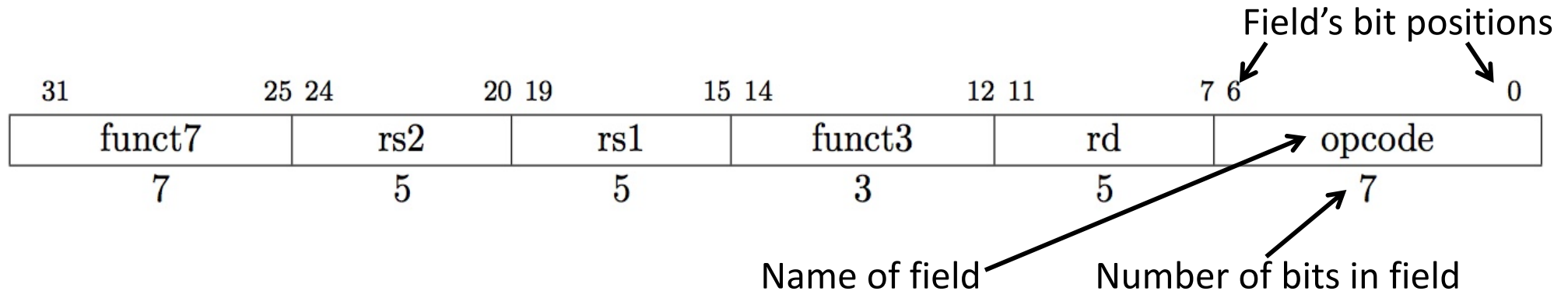
Instructions as Numbers (2/2)

- One word is 32 bits, so divide instruction word into “fields”
- Each field tells processor something about instruction
- We could define different fields for each instruction, but RISC-V seeks simplicity, so define six basic types of instruction formats:
 - R-format for register-register arithmetic operations
 - I-format for register-immediate arithmetic operations and loads
 - S-format for stores
 - B-format for branches (minor variant of S-format, called SB before)
 - U-format for 20-bit upper immediate instructions
 - J-format for jumps (minor variant of U-format, called UJ before)

Summary of RISC-V Instruction Formats

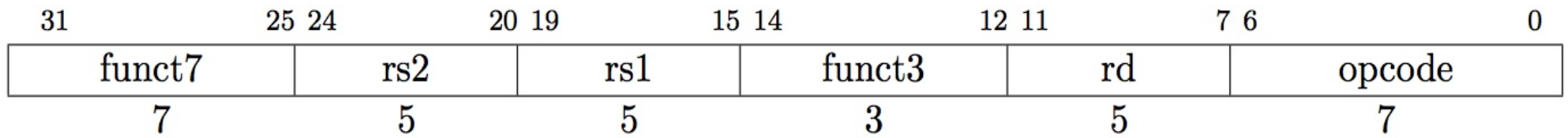
31	30	25	24	21	20	19	15	14	12	11	8	7	6	0				
funct7				rs2			rs1		funct3		rd			opcode		R-type		
imm[11:0]						rs1		funct3		rd			opcode		I-type			
imm[11:5]				rs2			rs1		funct3		imm[4:0]			opcode		S-type		
imm[12]		imm[10:5]			rs2			rs1		funct3		imm[4:1]		imm[11]		opcode		B-type
imm[31:12]										rd			opcode		U-type			
imm[20]		imm[10:1]				imm[11]		imm[19:12]				rd			opcode		J-type	

R-Format Instruction Layout



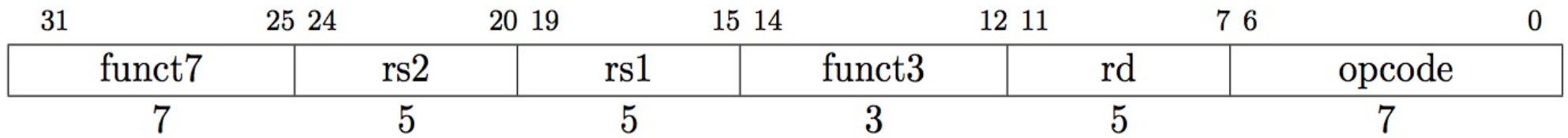
- 32-bit instruction word divided into six fields of varying numbers of bits each: $7+5+5+3+5+7 = 32$
- Examples
 - **opcode** is a 7-bit field that lives in bits 6-0 of the instruction
 - **rs2** is a 5-bit field that lives in bits 24-20 of the instruction

R-Format Instructions opcode/funct fields



- **opcode**: partially specifies what instruction it is
 - Note: This field is equal to **0110011**_{two} for all R-Format register-register arithmetic instructions
- **funct7+funct3**: combined with **opcode**, these two fields describe what operation to perform
- Question: Why aren't **opcode** and **funct7** and **funct3** a single 17-bit field?
 - We'll answer this later

R-Format Instructions register specifiers



- rs1 (Source Register #1): specifies register containing first operand
- rs2 : specifies second register operand
- rd (Destination Register): specifies register which will receive result of computation
- Each register field holds a 5-bit unsigned integer (0-31) corresponding to a register number (**x0-x31**)

R-Format Example

- RISC-V Assembly Instruction:

add x18,x19,x10

31	25 24	20 19	15 14	12 11	7 6	0
funct7	rs2	rs1	funct3	rd	opcode	
7	5	5	3	5	7	
0000000	01010	10011	000	10010	0110011	
ADD	rs2=10	rs1=19	ADD	rd=18	Reg-Reg OP	

All RV32 R-format instructions

0000000	rs2	rs1	000	rd	0110011	ADD
0100000	rs2	rs1	000	rd	0110011	SUB
0000000	rs2	rs1	001	rd	0110011	SLL
0000000	rs2	rs1	010	rd	0110011	SLT
0000000	rs2	rs1	011	rd	0110011	SLTU
0000000	rs2	rs1	100	rd	0110011	XOR
0000000	rs2	rs1	101	rd	0110011	SRL
0100000	rs2	rs1	101	rd	0110011	SRA
0000000	rs2	rs1	110	rd	0110011	OR
0000000	rs2	rs1	111	rd	0110011	AND

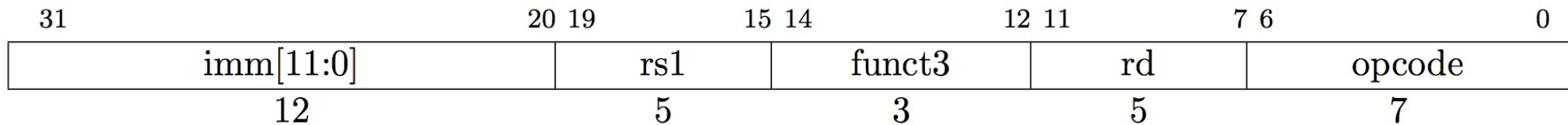
Different encoding in funct7 + funct3 selects different operations



I-Format Instructions

- What about instructions with immediates?
 - 5-bit field only represents numbers up to the value 31: immediates may be much larger than this
 - Ideally, RISC-V would have only one instruction format (for simplicity): unfortunately, we need to compromise
- Define new instruction format that is mostly consistent with R-format
 - Notice if instruction has immediate, then uses at most 2 registers (one source, one destination)

I-Format Instruction Layout

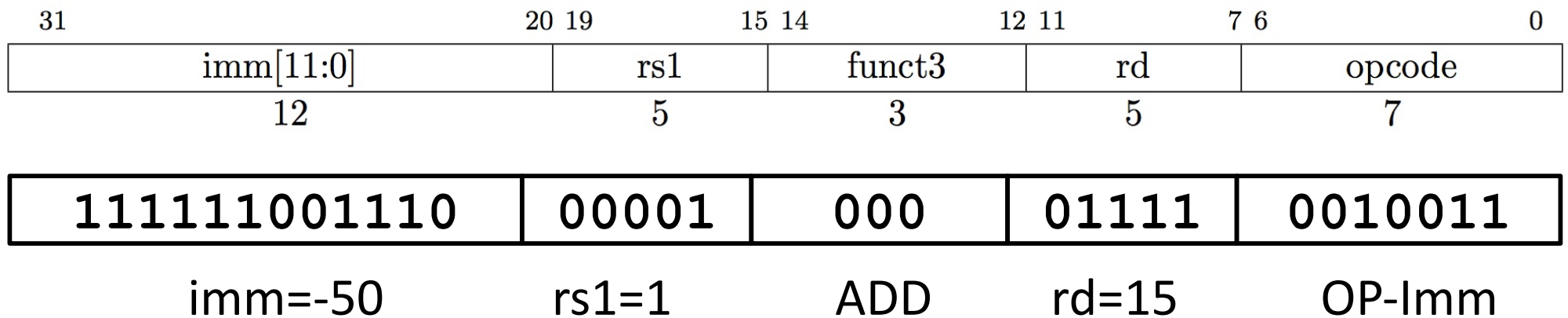


- Only one field is different from R-format, rs2 and funct7 replaced by 12-bit signed immediate, **imm[11:0]**
- Remaining fields (rs1, funct3, rd, opcode) same as before
- imm[11:0] can hold values in range $[-2048_{\text{ten}}, +2047_{\text{ten}}]$
- Immediate is always sign-extended to 32-bits before use in an arithmetic operation
- We'll later see how to handle immediates > 12 bits

I-Format Example

- RISC-V Assembly Instruction:

addi x15,x1,-50



All RV32 I-format Arithmetic Instructions

imm[11:0]		rs1	000	rd	0010011	ADDI
imm[11:0]		rs1	010	rd	0010011	SLTI
imm[11:0]		rs1	011	rd	0010011	SLTIU
imm[11:0]		rs1	100	rd	0010011	XORI
imm[11:0]		rs1	110	rd	0010011	ORI
imm[11:0]		rs1	111	rd	0010011	ANDI
0000000	shamt	rs1	001	rd	0010011	SLLI
0000000	shamt	rs1	101	rd	0010011	SRLI
0100000	shamt	rs1	101	rd	0010011	SRAI

One of the higher-order immediate bits is used to distinguish “shift right logical” (SRLI) from “shift right arithmetic” (SRAI)

“Shift-by-immediate” instructions only use lower 5 bits of the immediate value for shift amount (can only shift by 0-31 bit positions)

Administrivia

- HW0 Grades were released
 - If you have an issue with them, please fill out this form by tonight:
<https://goo.gl/forms/QH44lw746pcCxOyH2>
- HW1 Part 1 Due next Monday, Part 2 Due Friday Sept 22
 - Homework-oriented office hours next Monday (check the website)
 - Homework Party on next Wednesday (6:30-10pm in 293 Cory)
 - Autograded results to be released every noon starting this Friday
- Midterm #1 in 1.5 weeks: September 26!
 - Two sided 8.5" x 11" cheat sheet + RISC-V Green Card that we give you
 - DSP students: please make sure we know about your special accommodations (contact Steven Ho the head TA if you haven't yet)

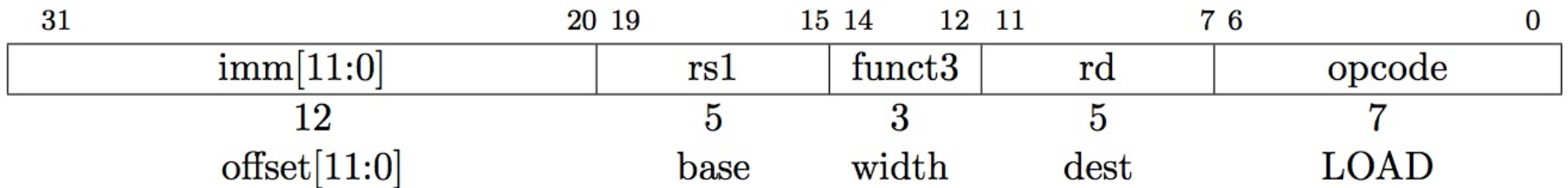
Break!



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Load Instructions are also I-Type

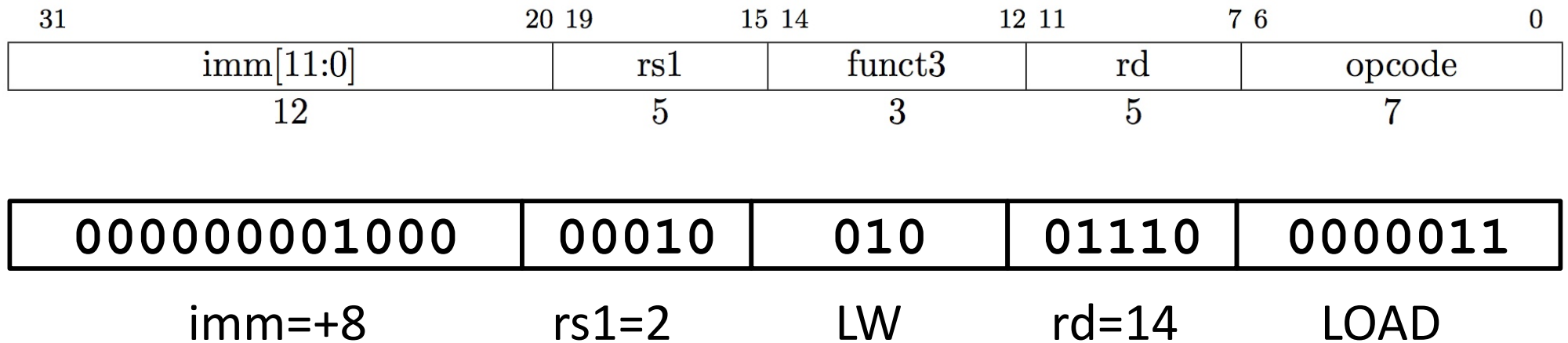


- The 12-bit signed immediate is added to the base address in register **rs1** to form the memory address
 - This is very similar to the add-immediate operation but used to create address not to create final result
- The value loaded from memory is stored in register **rd**

I-Format Load Example

- RISC-V Assembly Instruction:

lw x14, 8(x2)



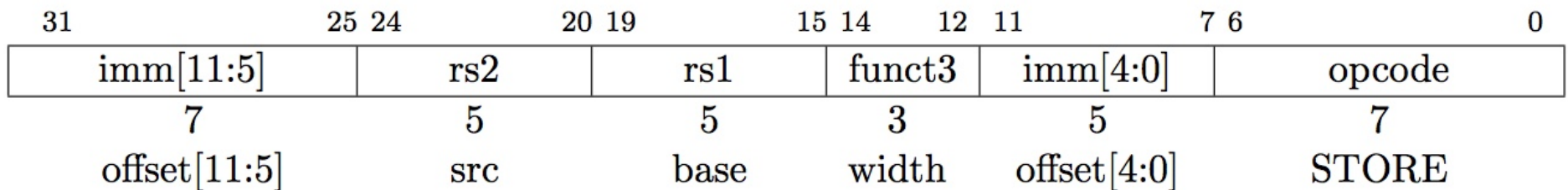
All RV32 Load Instructions

imm[11:0]	rs1	000	rd	0000011	LB
imm[11:0]	rs1	001	rd	0000011	LH
imm[11:0]	rs1	010	rd	0000011	LW
imm[11:0]	rs1	100	rd	0000011	LBU
imm[11:0]	rs1	101	rd	0000011	LHU

↑
funct3 field encodes size and
signedness of load data

- LBU is “load unsigned byte”
- LH is “load halfword”, which loads 16 bits (2 bytes) and sign-extends to fill destination 32-bit register
- LHU is “load unsigned halfword”, which zero-extends 16 bits to fill destination 32-bit register
- There is no LWU in RV32, because there is no sign/zero extension needed when copying 32 bits from a memory location into a 32-bit register

S-Format Used for Stores

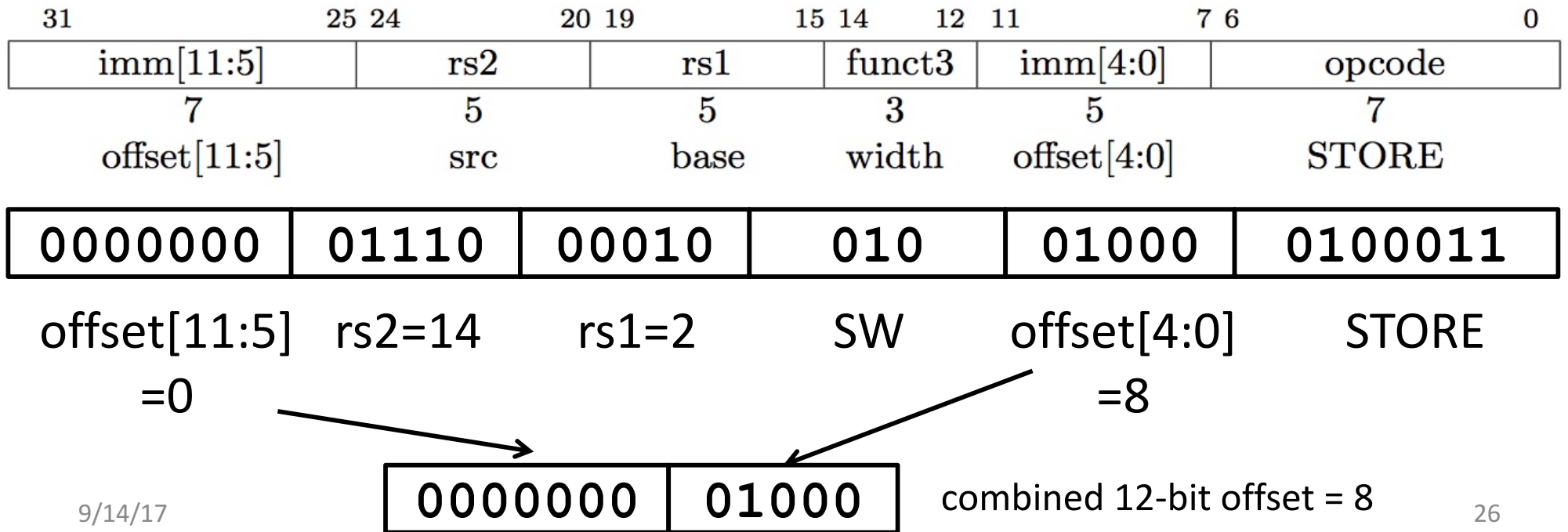


- Store needs to read two registers, rs1 for base memory address, and rs2 for data to be stored, as well as need immediate offset!
- Can't have both rs2 and immediate in same place as other instructions!
- Note that stores don't write a value to the register file, ***no rd!***
- RISC-V design decision is move low 5 bits of immediate to where rd field was in other instructions – keep rs1/rs2 fields in same place
 - register names more critical than immediate bits in hardware design

S-Format Example

- RISC-V Assembly Instruction:

sw x14, 8(x2)



All RV32 Store Instructions

imm[11:5]	rs2	rs1	000	imm[4:0]	0100011	SB
imm[11:5]	rs2	rs1	001	imm[4:0]	0100011	SH
imm[11:5]	rs2	rs1	010	imm[4:0]	0100011	SW

RISC-V Conditional Branches

- E.g., **BEQ x1, x2, Label**
- Branches read two registers but don't write a register (similar to stores)
- How to encode label, i.e., where to branch to?

Branching Instruction Usage

- Branches typically used for loops (`if-else`, `while`, `for`)
 - Loops are generally small (< 50 instructions)
 - Function calls and unconditional jumps handled with jump instructions (J-Format)
- **Recall:** Instructions stored in a localized area of memory (Code/Text)
 - Largest branch distance limited by size of code
 - Address of current instruction stored in the program counter (PC)

PC-Relative Addressing

- **PC-Relative Addressing:** Use the `immediate` field as a two's-complement offset to PC
 - Branches generally change the PC by a small amount
 - Can specify $\pm 2^{11}$ addresses from the PC
- Why not use byte address offset from PC?

Scaling Branch Offset

- One idea: To improve the reach of a single branch instruction, multiply the offset by four bytes before adding to PC
- This would allow one branch instruction to reach $\pm 2^{11}$ \times 32-bit instructions either side of PC
 - Four times greater reach than using byte offset

Branch Calculation

- If we **don't** take the branch:

$$PC = PC + 4 \quad (\text{i.e., next instruction})$$

- If we **do** take the branch:

$$PC = PC + \text{immediate} * 4$$

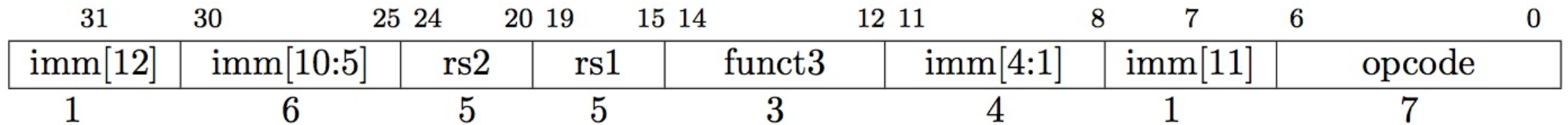
- **Observations:**

- `immediate` is number of instructions to jump (remember, specifies words) either forward (+) or backwards (–)

RISC-V Feature, $n \times 16$ -bit instructions

- Extensions to RISC-V base ISA support 16-bit compressed instructions and also variable-length instructions that are multiples of 16-bits in length
- To enable this, RISC-V scales the branch offset by 2 bytes even when there are no 16-bit instructions
- Reduces branch reach by half and means that $\frac{1}{2}$ of possible targets will be errors on RISC-V processors that only support 32-bit instructions (as used in this class)
- RISC-V conditional branches can only reach $\pm 2^{10} \times 32$ -bit instructions either side of PC

RISC-V B-Format for Branches

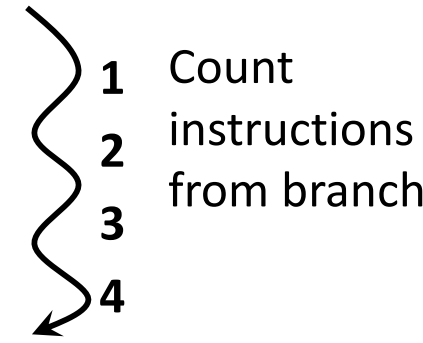


- B-format is mostly same as S-Format, with two register sources (rs1/rs2) and a 12-bit immediate
- But now immediate represents values -4096 to +4094 in 2-byte increments
- The 12 immediate bits encode *even* 13-bit signed byte offsets (lowest bit of offset is always zero, so no need to store it)

Branch Example, determine offset

- RISC-V Code:

```
Loop: beq  x19,x10,End
      add  x18,x18,x10
      addi x19,x19,-1
      j    Loop
End:   # target instruction
```



1 Count
2 instructions
3 from branch
4

- Branch offset = $4 \times 32\text{-bit instructions} = 16\text{ bytes}$
- (Branch with offset of 0, branches to itself)

Branch Example, encode offset

- RISC-V Code:

```
Loop: beq    x19, x10, End
      add    x18, x18, x10
      addi   x19, x19, -1
      j      Loop
End: # target instruction
```

offset = 16 bytes = 8x2

???????	01010	10011	000	?????	1100011
imm	rs2=10	rs1=19	BEQ	imm	BRANCH

RISC-V Immediate Encoding

Instruction Encodings, inst[31:0]

31	30	25	24	21	20	19	15	14	12	11	8	7	6	0	
funct7				rs2			rs1		funct3		rd			opcode	R-type

imm[11:0]						rs1		funct3		rd			opcode	I-type
-----------	--	--	--	--	--	-----	--	--------	--	----	--	--	--------	--------

imm[11:5]				rs2		rs1		funct3		imm[4:0]			opcode	S-type
-----------	--	--	--	-----	--	-----	--	--------	--	----------	--	--	--------	--------

imm[12]	imm[10:5]			rs2		rs1		funct3		imm[4:1]	imm[11]	opcode	B-type
---------	-----------	--	--	-----	--	-----	--	--------	--	----------	---------	--------	--------

32-bit immediates produced, imm[31:0]

31	30	20	19	12	11	10	5	4	1	0	
— inst[31] —						inst[30:25]		inst[24:21]		inst[20]	I-immediate

— inst[31] —						inst[30:25]		inst[11:8]		inst[7]	S-immediate
--------------	--	--	--	--	--	-------------	--	------------	--	---------	-------------

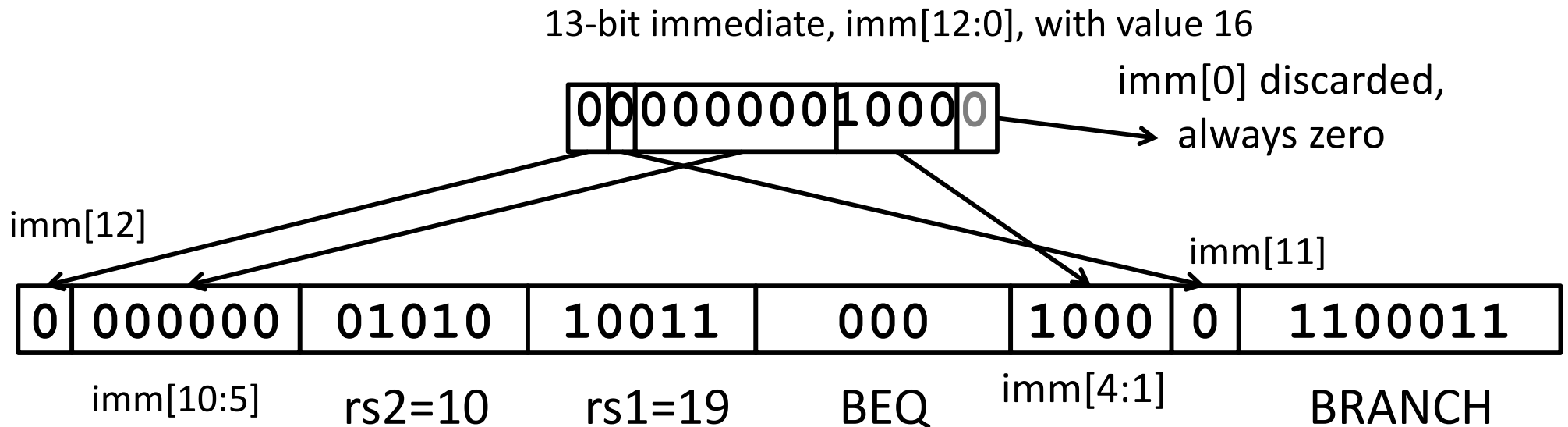
— inst[31] —						inst[7]	inst[30:25]		inst[11:8]		0	B-immediate
--------------	--	--	--	--	--	---------	-------------	--	------------	--	---	-------------

← Upper bits sign-extended from inst[31] always →

Only bit 7 of instruction changes role in immediate between S and B

Branch Example, complete encoding

`beq x19,x10, offset = 16 bytes`



All RISC-V Branch Instructions

imm[12 10:5]	rs2	rs1	000	imm[4:1 11]	1100011	BEQ
imm[12 10:5]	rs2	rs1	001	imm[4:1 11]	1100011	BNE
imm[12 10:5]	rs2	rs1	100	imm[4:1 11]	1100011	BLT
imm[12 10:5]	rs2	rs1	101	imm[4:1 11]	1100011	BGE
imm[12 10:5]	rs2	rs1	110	imm[4:1 11]	1100011	BLTU
imm[12 10:5]	rs2	rs1	111	imm[4:1 11]	1100011	BGEU

Questions on PC-addressing

- Does the value in branch immediate field change if we move the code?
 - If moving individual lines of code, then yes
 - If moving all of code, then no
- What do we do if destination is $> 2^{10}$ instructions away from branch?
 - Other instructions save us

Questions on PC-addressing

- Does the value in branch immediate field change if we move the code?
 - If moving individual lines of code, then yes
 - If moving all of code, then no (because PC-relative offsets)
- What do we do if destination is $> 2^{10}$ instructions away from branch?
 - Other instructions save us
 - `beq x10, x0, far`
 `# next instr` \rightarrow `bne x10, x0, next`
 `j far`
 `next: # next instr`

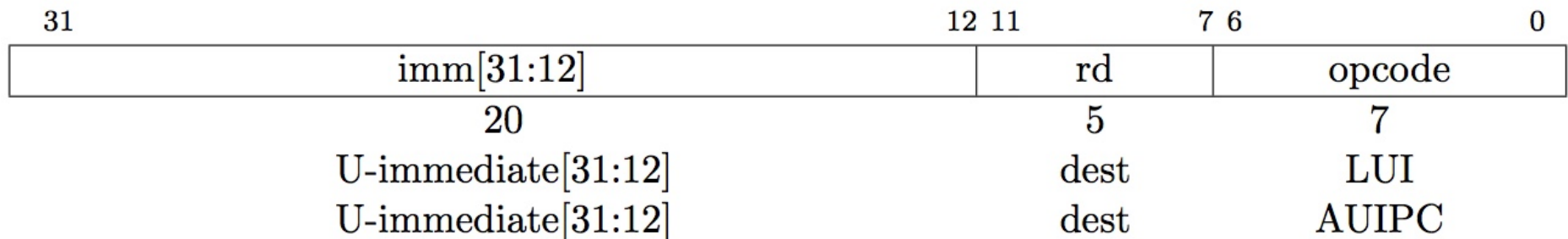
Break!



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U-Format for “Upper Immediate” instructions



- Has 20-bit immediate in upper 20 bits of 32-bit instruction word
- One destination register, rd
- Used for two instructions
 - LUI – Load Upper Immediate
 - AUIPC – Add Upper Immediate to PC

LUI to create long immediates

- LUI writes the upper 20 bits of the destination with the immediate value, and clears the lower 12 bits.
- Together with an ADDI to set low 12 bits, can create any 32-bit value in a register using two instructions (LUI/ADDI).

LUI x10, 0x87654 # x10 = 0x87654000

ADDI x10, x10, 0x321 # x10 = 0x87654321

One Corner Case

How to set 0xDEADBEEF?

LUI x10, 0xDEADB # x10 = 0xDEADB000

ADDI x10, x10, 0xEEF# x10 = 0xDEAD~~A~~EEF

ADDI 12-bit immediate is always sign-extended, if top bit is set,
will subtract -1 from upper 20 bits

Solution

How to set 0xDEADBEEF?

```
LUI x10, 0xDEADC          # x10 = 0xDEADC000
```

```
ADDI x10, x10, 0xEEF      # x10 = 0xDEADBEEF
```

Pre-increment value placed in upper 20 bits, if sign bit will be set on immediate in lower 12 bits.

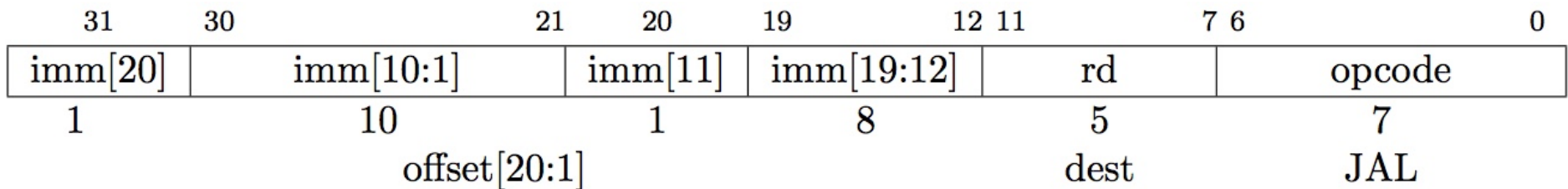
Assembler pseudo-op handles all of this:

```
li x10, 0xDEADBEEF # Creates two instructions
```

AUIPC

- Adds upper immediate value to PC and places result in destination register
- Used for PC-relative addressing
- **Label: AUIPC x10, 0 # Puts address of label in x10**

J-Format for Jump Instructions



- JAL saves PC+4 in register rd (the return address)
 - Assembler “j” jump is pseudo-instruction, uses JAL but sets rd=x0 to discard return address
- Set PC = PC + offset (PC-relative jump)
- Target somewhere within $\pm 2^{19}$ locations, 2 bytes apart
 - $\pm 2^{18}$ 32-bit instructions
- Immediate encoding optimized similarly to branch instruction to reduce hardware cost

Uses of JAL

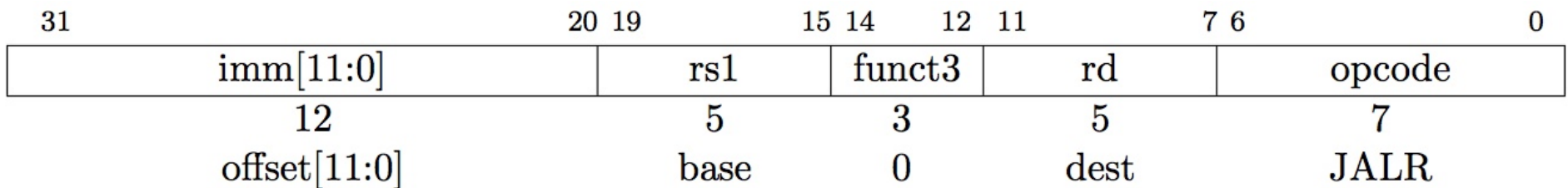
j pseudo-instruction

j Label = jal x0, Label # Discard return address

Call function within 2^{18} instructions of PC

jal ra, FuncName

JALR Instruction (I-Format)



- JALR rd, rs, immediate
 - Writes PC+4 to rd (return address)
 - Sets PC = rs + immediate
 - Uses same immediates as arithmetic and loads
 - **no** multiplication by 2 bytes

Uses of JALR

```
# ret and jr psuedo-instructions
ret = jr ra = jalr x0, ra, 0
# Call function at any 32-bit absolute address
lui x1, <hi20bits>
jalr ra, x1, <lo12bits>
# Jump PC-relative with 32-bit offset
auipc x1, <hi20bits>
jalr x0, x1, <lo12bits>
```

Summary of RISC-V Instruction Formats

31	30	25	24	21	20	19	15	14	12	11	8	7	6	0				
funct7				rs2			rs1		funct3		rd			opcode		R-type		
imm[11:0]						rs1		funct3		rd			opcode		I-type			
imm[11:5]				rs2			rs1		funct3		imm[4:0]			opcode		S-type		
imm[12]		imm[10:5]			rs2			rs1		funct3		imm[4:1]		imm[11]		opcode		B-type
imm[31:12]										rd			opcode		U-type			
imm[20]		imm[10:1]				imm[11]		imm[19:12]				rd			opcode		J-type	

Complete RV32I ISA

imm[31:12]				rd	0110111
imm[31:12]				rd	0010111
imm[20:10:1 11 19:12]				rd	1101111
imm[11:0]		rs1	000	rd	1100111
imm[12 10:5]		rs2	rs1	000	imm[4:1 11]
imm[12 10:5]		rs2	rs1	001	imm[4:1 11]
imm[12 10:5]		rs2	rs1	100	imm[4:1 11]
imm[12 10:5]		rs2	rs1	101	imm[4:1 11]
imm[12 10:5]		rs2	rs1	110	imm[4:1 11]
imm[12 10:5]		rs2	rs1	111	imm[4:1 11]
imm[11:0]		rs1	000	rd	0000011
imm[11:0]		rs1	001	rd	0000011
imm[11:0]		rs1	010	rd	0000011
imm[11:0]		rs1	100	rd	0000011
imm[11:0]		rs1	101	rd	0000011
imm[11:5]		rs2	rs1	000	imm[4:0]
imm[11:5]		rs2	rs1	001	imm[4:0]
imm[11:5]		rs2	rs1	010	imm[4:0]
imm[11:0]		rs1	000	rd	0010011
imm[11:0]		rs1	010	rd	0010011
imm[11:0]		rs1	011	rd	0010011
imm[11:0]		rs1	100	rd	0010011
imm[11:0]		rs1	110	rd	0010011
imm[11:0]		rs1	111	rd	0010011

LUI
 AUIPC
 JAL
 JALR
 BEQ
 BNE
 BLT
 BGE
 BLTU
 BGEU
 LB
 LH
 LW
 LBU
 LHU
 SB
 SH
 SW
 ADDI
 SLTI
 SLTIU
 XORI
 ORI
 ANDI

0000000	shamt	rs1	001	rd	0010011	SLLI	
0000000	shamt	rs1	101	rd	0010011	SRLI	
0100000	shamt	rs1	101	rd	0010011	SRAI	
0000000	rs2	rs1	000	rd	0110011	ADD	
0100000	rs2	rs1	000	rd	0110011	SUB	
0000000	rs2	rs1	001	rd	0110011	SLL	
0000000	rs2	rs1	010	rd	0110011	SLT	
0000000	rs2	rs1	011	rd	0110011	SLTU	
0000000	rs2	rs1	100	rd	0110011	XOR	
0000000	rs2	rs1	101	rd	0110011	SRL	
0100000	rs2	rs1	101	rd	0110011	SRA	
0000000	rs2	rs1	110	rd	0110011	OR	
0000000	rs2	rs1	111	rd	0110011	AND	
0000	pred	succ	00000	000	00000	0001111	FENCE
0000	0000	0000	00000	001	00000	0001111	FENCE.I
000000000000			00000	000	00000	1110011	ECALL
000000000001			00000	000	00000	1110011	EBREAK
csr			rs1	001	rd	1110011	CSRRW
csr			rs1	010	rd	1110011	CSRRS
csr			rs1	011	rd	1110011	CSRRC
csr			zimm	101	rd	1110011	CSRRWI
csr			zimm	110	rd	1110011	CSRRSI
csr			zimm	111	rd	1110011	CSRRCI

Not in CS61C