

TRANSACTIONS "

" Logical unit of work that must be entirely completed or aborted."

- If the database operations in a transaction do not update the database but only retrieve data, then it is called read-only transactions.
- If there is an update operation then, read-write transaction.
- Database users :

Single-user DBMS : home computer

Multi-user DBMS : Airline reservation system

Multiprogramming : Concurrent execution. Ante-leaved.

- Read & Write Operation :

read-item (x);

1. Find the address of the disk block that contains item X .
2. Copy that disk block into a buffer in main memory (if that block is not already in some main mem buffer). The size of the buffer is same as the disk block size.
3. Copy item X from the buffer to the program variable named X .

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write-item (x)

1. Find the address of the disk block that contains item item X.
2. Copy that disk block into a buffer in main mem if that disk block is not already in some main memory buffer).
3. Copy item X from the program variable named X into its correct location in the buffer.
4. Store the update disk block from buffer back to disk (either immediately or at some later point in time).

"CONCURRENCY CONTROL"

o Problems in Concurrency Of Transactions

- Temporary Update Problem
- Dirty Read Problem = (uncommitted value be read karna)

T ₁	T ₂	
Read (X)		agri kei transaction
Write (X)		value read hsta hai jo
:		k database kei value nahi
	Read (X)	ho k kisi uncommitted
	Comitt	transcation ke thru likhi
rollback		hai value ho uske
		dirty read lehete hai.

jab T₁ roll back kroeg to isne X read ke kaha lekin skiyid age
ki previous value retain ho jayegi or T₂ X kei est value T₂ X ke change krode q kisne
ukt ke chukha hai jo DB data teek Comitt nhi keraa hoi.
mein hi nnihai.

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Solution: jo transaction dirty read kre wo dooski rooms ke baad hi commit kro.

- Unrepeatable Read Problem : jab ek variable ko de baar read kesi or different values aayen.

$\text{DB } x=10$	T_1	T_2	
$x = 10$	Read(x)		T_2 ne jhone X ki value 10 se read kro or kuch aise baad
$x++ \rightarrow x=11$	Write(x)	Read(x)	$x=10$ jab doobara read kro to woh hogai
	:	Read(x)	$x=11$
		:	

- Phantom Read Problem :

T_1	T_2	
$x=10$	Read(x)	
	Read(x)	$x=10$ jab aap kisi variable ko read kro, or phir doobara is page read nahi kar paao. q k database ka structure change hogaya ho.
Delete(x)		
	Read(x)	variable does not exist
	:	

If a transaction writes on a variable before blind write.

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- Lost Update Problem (write-write conflict)

DB A=10		T1 T2	
Read(A)	A=10		
Write(A)	A++ A=11	Write(A)	$\rightarrow A = A + 10$
		Commit	$\rightarrow A = 21$
Commit	A=21		
<p>→ Sangh tha hai ke usne 11 ko sare kosa lekin T2 ne 11 ko overwrote Radha hai</p>			

- Incorrect Summary Problem:

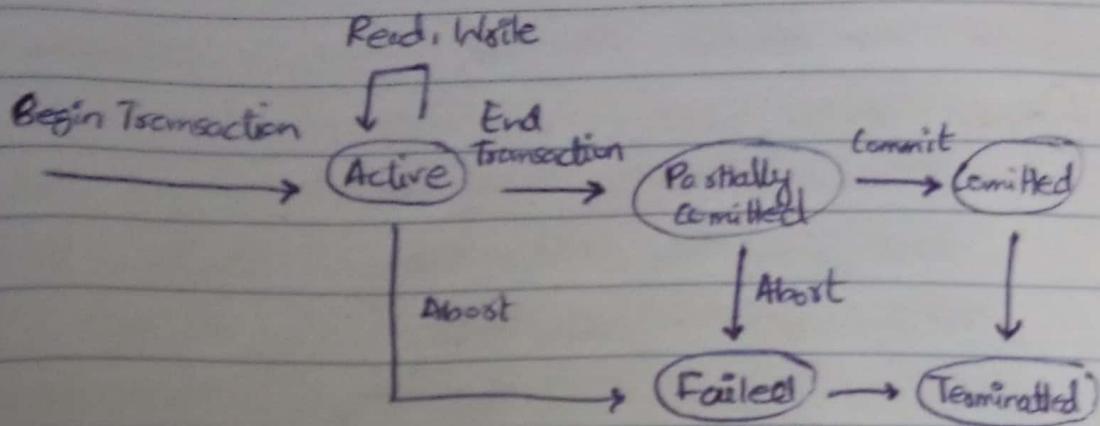
→ If one transaction is calculating an aggregate summary func on several database items while other trans are updating some of these items the aggregate func may calc some values before they are updated and others after they are updated.

T1 T2		T2	
read(x)	Sum _x = 0 read(A)	Sum _x = A ;	
write(x)		read(x) Sum _x = X read(y) Sum _y = Y	T2 reads X after its update & reads Y before it is update leading to a wrong summary
read(Y)	write(Y)	Page No. []	

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* States of Transaction:



* System Log:

- System log keeps track of transaction operations
- Sequential, append-only file
- Not affected by failures
- Log file backed up periodically.

LOG RECORDS:

↳ [start_transaction T]

↳ [update-item, T, X, old_value, new_value]

(Indicates that transaction T has changed value of DB item X)

↳ [read-item, T, X]

↳ [commit, T]

↳ [abort, T]

① BUFFER REPLACEMENT POLICIES :

- In DBMS, the buffer replacement policies determine how data pages are loaded into and removed from the buffer cache / buffer pool.
 - ↳ It is a part of memory used to temporarily store pages read from DB's disk storage.
 - ↳ Accessing data from buffer is much faster.
 - ↳ The cache will hold the disk pages currently being processed.

① Domain Separation Method

- Efficiently handles disk pages types by segregating buffers.
- Buffer are divide into 3 domains
 - i - Index Pages
 - ii - Data file pages
 - iii - Log file pages
- Each domain allocated fix no. of buffers.
- Buffers within a domain are replaced when full, without impacting other domains.

② Hot Set Method

- Optimized for queries with repeated page scans
- Identifies a hot set of pages frequently accessed during query execution & avoids replacement

→ Reduce I/O ops for repetitive queries.

② DBMIN Method:

- Uses predictive model to manage buffer replacement for specific DB ops.
- Predicts the pattern of page references for operations
- Calculates the locality set (set of pages likely to be accessed) using the Query Locality Set Model (QLSM)
- Prefetches and retains the locality set to prevent page faults.

③ ACID Properties:

Atomicity: All operations of a trans must be completed if not, the transaction is aborted.

Consistency: Before trans start and after trans end the sum of total money must be same.

Isolation: Data used during transaction cannot be used by second transactions until the 1st is completed.

Durability: Ensures that once trans is committed, it cannot be undone or lost. (All changes in DB should be permanent)

Serializability: Ensures that the schedule for the concurrent execution of several trans should yield consistent results.

• Levels Of Isolation:

Level 0 → does not ^{over} write dirty reads of higher level transaction.

Level 1 → has no lost updates

Level 2 → has no lost updates & no dirty reads

Level 3 → (true) isolation → has repeatable reads
in addition to level 2 properties

• Schedule: A schedule (or history) S of n transaction T_1, T_2, \dots, T_n is an ordering of the operation of the transaction.

• Conflicting Conditions:

→ Two conflicting operations in a schedule if satisfy all three of the following conditions are said to be in conflict:

↳ Oper. belong to different transaction

↳ Oper. access the same item X

↳ At least one of the operation is a write item

→ Two operations conflict if changing their order results in a different outcome.

<u>S.</u>	<u>T_1</u>	<u>T_2</u>
R(A)		No two operations at Same time.
	R(B) W(B)	Trans will work in Interleaved fashion.
R(B)		R(A)
W(B)		W(A)

o SERIAL SCHEDULE :-

T ₁	T ₂	
	R(B)	→ Serial schedule gives consistent results.
	W(B)	→ Total no. of combination for serial schedule
	R(A) W(A)	$n!$ ($n =$ total no. of transactions)
R(A) W(A)		
R(B) W(B)		

o NON SERIAL SCHEDULE :-

→ This is efficient but doesn't provide consistent results due to conflicts

→ Total no. of combinations

$$(n_1 + n_2 + \dots + n_n)! - n!$$

$$n_1! \cdot n_2! \cdot \dots \cdot n_n!$$

T ₁	T ₂
R(A) W(A)	
	R(B) W(B)
	R(A)
	W(B)
	W(A)

→ For making non-serial schedule consistent we will try to convert non-serial → serial.

→ For this purpose we will swap the operations of two transaction only if there are non-conflicting.

→ Conflict Serializability : When a non-serial schedule is converted to a serial schedule by swapping of non-conflicting operations.

Conflict serializability schedules are guaranteed to be consistent.

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- For Checking if Schedule is Conflict Serializable?

① First we will draw 3 nodes
(as we have 3 transactions)

② Now we will see from top to bottom and check for conflicting operations.

③ Ab jese $R(x)_{T_1}$ ko terege or baagi neechे ki operations se conflict check kरेंगे। So the conflict will be $w(x)_{T_3}$. Thus means that T_1 should finish before T_3 . And we will draw an edge from $T_1 \rightarrow T_3$.

• Then select $R(z)_{T_3}$ it conflicts from $w(z)_{T_2}$. So an edge $T_3 \rightarrow T_2$

• Then $w(z)_{T_3}$ conflict with $w(z)_{T_2}$

No need to show multiple edges in same direction.

• Then $R(y)_{T_2}$ no conflict

• Then $R(y)_{T_1}$ conflict with $w(y)_{T_2}$

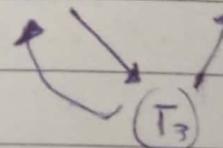
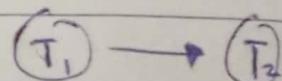
edge $T_1 \rightarrow T_2$ • (This indicates that T_1 must finish before T_2)

• Then $w(y)_{T_2}$ no conflict

• Then $w(x)_{T_3}$ conflict with $w(x)_{T_1}$

$T_3 \rightarrow T_1$

T_1	T_2	T_3
$R(x)$		
		$R(z)$
		$w(z)$
	$R(y)$	
		$w(y)$
		$w(x)$
		$w(z)$
	$w(x)$	



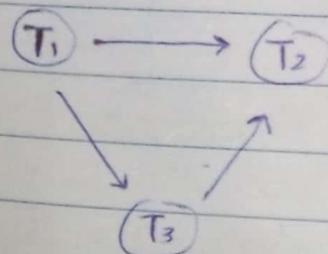
Precedence Graph

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- No one stop when we get a cycle. Because there are two edges $T_1 \rightarrow T_3$ & $T_3 \rightarrow T_2$, which can't be serialized.
- Or we stop when all opes finishes.

Q#02:

T_1	T_2	T_3
$R(X)$		
	$R(Y)$	
		$R(Y)$
$W(X)$	$W(Y)$	
	$R(X)$	$W(X)$
	$W(X)$	



As no cycle so it is conflict serializable!

- Now Find the order of serial scheduling

↳ Check for the vertex with no incoming edge.

As they will be 1st to execute. Here it is T_1 .

↳ And the one having most incoming edge

will be last to execute. Here it is T_2

↳ So order becomes $T_1 \rightarrow T_3 \rightarrow T_2$

Q#03:

T_1	T_2	T_3
$R(a)$		
	$R(b)$	
		$R(c)$
	$W(b)$	$W(c)$
$W(a)$		



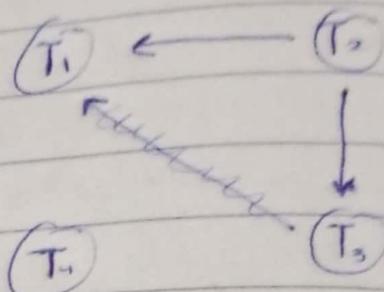
It is conflict serializable and can be arranged in any order as no edges.

Hum kisi transaction ke value ko change karne ke baare mein
jab tak wo commit nahi hoga. Day

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Q#04 :-

	T ₁	T ₂	T ₃	T ₄
R(x)	x			x
W(x)	x		x	
C				
W(y)		x		
RCZ		x		
C				
R(x)			x	x
R(y)			x	
C				

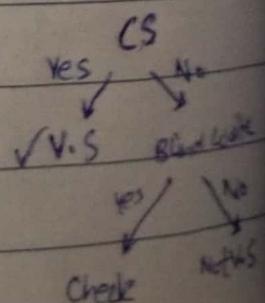
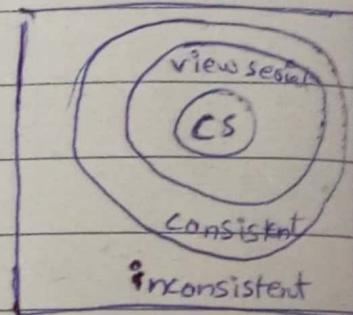


T₂ → T₁ → T₃ → T₄

- Here W(X)_{T₃} will not conflict with W(X)_{T₁} or R(X)_{T₄} because T₃ has been committed. Therefore after commit it has no existence.

⇒ View SERIALIZABILITY :-

- It is also way to find consistent schedule.
- If a schedule is conflict serializable then it is also view serial.
- To find out that a Schedule is view serializable or not is NP Complete (complex) Problem.
- So 1st we find CS then it is automatically VS.
- If a schedule is NOT CS then if it is a VS then it should have a "blind write".
- If no blind write then not VS.
- If it has blind write then we need to check.



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How To check?

- 1st we need to have all possible combinations for ~~transaction~~ serial schedule for S.
- Agr ~~we~~ ~~ki~~ phir hamare like if we have 3 Transaction T₁, T₂, T₃ then there can be 6 combination for serial schedule.
- Phir ek ek kiske hum non-serial wale ki serial se ke sath view equivalent check karna hoga.
If a non-serial is view equivalent to a serial than the non-serial Schedule is view serializable.

→ There are 3 conditions

for view equivalency.

→ We will check initial

reads for every variable.

If in schedule S T_i

has initial read 'a' then

in S' only T_i should read

If 1st same for B.

In same rule for initial write.

→ Agr schedule S mein

for transaction T_i read

→ koshha kisi T_j

transaction ki likhi

hoi value R(b) to S'

mein kisi yehi hana

chahiye. (Only for intermediate reads)

S mein W(b)_{T_i} kei value R(b)_{T₂} koshha hou

→ S mein bhi esq
W(h) hou.

S		S'	
T ₁	T ₂	T ₁	T ₂
R(a)		R(a)	
	W(a)		W(a)
	R(c)	R(b)	
	I(w(a))	W(b)	
			R(c)
			W(a)
			R(b)
			W(b)

↓
interm
read

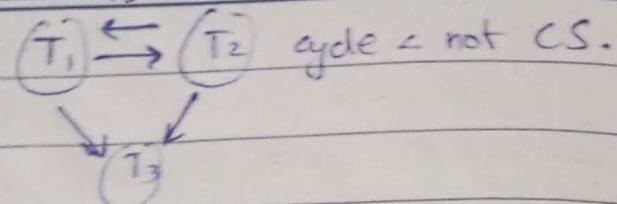
$T_1 T_2 T_3 \checkmark$
 $T_1 T_3 T_2$
 $T_2 T_1 T_3$
 $T_2 T_3 T_1$
 $T_3 T_1 T_2$
 $T_3 T_2 T_1$

{ no need to check.

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T_1	T_2	T_3
$R(a)$		
$w(a)$	$w(a)$	



Also there is also two blind write
 $w(a)_{T_2}$ & $w(a)_{T_3}$

1st serial S'

T_1	T_2	T_3
$R(a)$		
$w(a)$		$w(a)$

Khud kraya hai ye

- initial read ✓
- final write ✓
- intermediate read ✓ (no intermediate reads)

So S is view equivalent to S' and as S' is serial so S is also serial.

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D RECOVERABLE SCHEDULES :

→ CS ya VS hone k baad bhi DB system failure
koi ho to bhi DB inconsistent state mein
pachch sakte hai.

→ Or ese schedule ke irrecoverable
Schedule bolte hai.

→ Or recoverable wo jo in-between
failure ke bhi handle krooge
and guarantees consistency of DB.
→ If there is no dirty read then
Schedule is always recoverable.

→ Isi example Is eg mein T₂ jo A ki value read karta
hai wo T₁-ki likhi ho rahi hai to jab tak T₁ commit
nhi karta T₂ ke bhi commit nahi karna chahiye

→ Only changed the orders of
commit.

→ Is order mein dirty read hoa hai
wii order mein commit bhi hoga.

Means that T₂ ne T₁ ki value copy se read

read kia hai to wo commit bhi waise bad hi krega

T ₁	T ₂
R(A)	
A = A + 10	
W(A)	
	R(A)
	A = A - 5
	W(A)
	Commit
Failure Commit	
	recoverable

T ₁	T ₂
:	:
Commit	Commit

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▷ Cascades Schedule :

→ jab ek transaction ke dekh ke docia roll back hoga to cascading roll back (but this is not efficient)

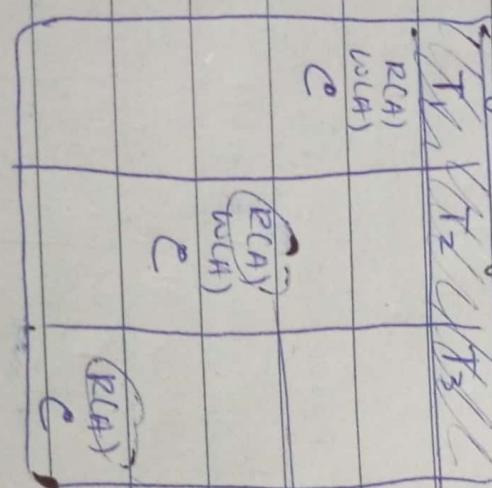
→ A schedule is cascadeless if it

don't have cascading roll back.

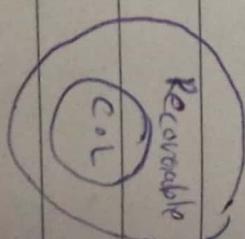
if there is a conflict → C
then all of them will be dirty

→ degree of concurrency decr.

→ Agg dirty read hoga bcz of cascading roll back hogta hoga.



→ do a dirty read bcz it is reading from a committed value directly from DB.



▷ Strict Schedule :

Agg kei transaction kisi data item

par write operation ek baar perform

kde to jab tak we commit nhii

useg tab tak us data item par koi

doosri transaction read/write nahi kesi

sakti.

Sabki ka matlab 2000+ nii le serial hi hota.

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T_1	T_2
$R(a)$	
$w(a)$	$R(b)$
c	$w(b)$
	$R(a)$
	c

→ This is also strict but not serial.