# Homework #3

Assign date: 2015-06-21 **Due date: 2015-07-01, 6pm** 

Submission:

1. Please submit your results <u>in email</u> to the grader: 130301039@svuca.edu Chi Zhang

- 2. Please separate the written answers from the python code: you should submit 2 files in your email cs596-29-hw2\_yourID#.doc & cs596-29-hw2\_yourID#.py
- 3. 30 pts per day will be deducted for late submission

**Problem 1)** K-means clustering (20 pts.)

You are given the following 10 data points of height & weight:

ID	1	2	3	4	5	6	7	8	9	10
Height	66	73	72	70	74	68	65	64	63	67
Weight	170	210	165	180	185	155	150	120	125	140

Manually apply k-means algorithms to get 2 clusters. Please produce the center and grouping step by step, using the following parameters:

- a) Initialize with ID=1 and ID=2
- b) Assume Euclidean distance

### **Solution**

In each iteration (EPOCH), we will calculate the Euclidean distance matrix from the 2 seeds to each node. The distance matrix will be used for comparison and inferring if a node belongs to Cluster 1 (represented by Seed 1) or Cluster 2 (represented by Seed 2), the result is recorded in last line of each matrix. Base on the result, new seeds will be calculated and used for next iteration until the Clusters do not change.

### EPOCH 1

Seed 1: [66, 170] ∈ C1 Seed 2: [73, 210] ∈ C2

Distance between 2 seeds to each element:

+	ID=1	ID=2	ID=3	ID=4	ID=5	ID=6	ID=7	ID=8	ID=9	ID=10
Seed-1	0	40.6	7.8	10.8	17	15.1	20.0	50.0	45.1	30.0
Seed-2	40.6	0	45.0	30.1	25.0	55.2	60.5	90.5	85.6	70.3
Cluster	C1	C2	C1							

-> Base on above result of new clusterings, new seeds (mean) are computed: [67.666666666666667, 154.444444444446], and [73.0, 210.0]

#### EPOCH 2

Seed 1:  $[67.666666666666667, 154.4444444444446] \in C1$ 

Seed 2: [73.0, 210.0] ∈ C2

Distance between 2 seeds to each element:

+	ID=1	ID=2	ID=3	ID=4	ID=5	ID=6	ID=7	ID=8	ID=9	ID=10
Seed-1	15.6	55.8	11.4	25.7	31.2	0.7	5.2	34.6	29.8	14.5
Seed-2	40.6	0	45.0	30.1	25.0	55.2	60.5	90.5	85.6	70.3
Cluster	C1	C2	C1	C1	C2	C1	<b>C1</b>	C1	C1	C1

-> Base on above result of new clusterings, new seeds (mean) are computed: [66.875, 150.625], and [73.5, 197.5]

### EPOCH 3

Seed 1: [66.875, 150.625] ∈ C1

Seed 2: [73.5, 197.5] ∈ C2

Distance between 2 seeds to each element:

+	ID=1	ID=2	ID=3	ID=4	ID=5	ID=6	ID=7	ID=8	ID=9	ID=10
Seed-1	19.4	59.7	15.3	29.5	35.1	4.5	2.0	30.8	25.9	10.6
Seed-2	28.5	12.5	32.5	17.9	12.5	42.9	48.2	78.1	73.3	57.9
Cluster	C1	C2	<b>C1</b>	C2	C2	C1	C1	C1	C1	C1

-> Base on above result of new clusterings, new seeds (mean) are computed: [66.42857142857143, 146.42857142857142], and [72.3333333333333, 191.666666666666]

### EPOCH 4

Seed 1:  $[66.42857142857143, 146.42857142857142] \in C1$ 

Distance between 2 seeds to each element:

+	ID=1	ID=2	ID=3	ID=4	ID=5	ID=6	ID=7	ID=8	ID=9	ID=10
Seed-1	23.6	63.9	19.4	33.8	39.3	8.7	3.9	26.5	21.7	6.5
Seed-2	22.6	18.4	26.7	11.9	6.9	36.9	42.3	72.2	67.3	51.9
Cluster	C2	C2	C1	C2	C2	C1	C1	C1	C1	C1

-> Base on above result of new clusterings, new seeds (mean) are computed: [66.5, 142.5], and [70.75, 186.25]

### EPOCH 5

Seed 1:  $[66.5, 142.5] \in C1$ 

Seed 2: [70.75, 186.25] ∈ C2

Distance between 2 seeds to each element:

+	. 4	<b></b>	L	L		L		L		L
•	-	-	-		-	-				-
TD-1	TD-2	ב-חד ו	l TD−4	TD=5	TD-6	l TD-7	א-מד	l TD-9	ID=10	ı
10-1	1 10-2	ן בטבן	10-7	ן בטבן	10-0	10-7	10-0	ן דט-ט	10-10	i
+=======+=====		<b></b>	L	L	L	L	L	L	LJ	

Seed-:			•		•		•		•				•		•		•		•		•
Seed-	2	16.9	İ	23.9	į :	21.3	İ	6.3	3.	5	31	.4	36	.7	66	5.6	6:	1.7	Ĺ	46.4	İ
Clust	er İ	C2	İ	C2	İ	C2	İ	C2	(	2	į (	C1	ĺ	<b>C1</b>	ĺ	<b>C1</b>	İ	<b>C1</b>	İ	<b>C1</b>	İ

-> Base on above result of new clusterings, new seeds (mean) are computed: [65.4, 138.0], and [71.0, 182.0]

### EPOCH 6

Seed 1: [65.4, 138.0] ∈ C1 Seed 2: [71.0, 182.0] ∈ C2

Distance between 2 seeds to each element:

+	4		+				<b></b>				
ĺ	ĺ	ID=1	ID=2	ID=3	ID=4	ID=5	ID=6	ID=7	ID=8	ID=9	ID=10   +=====+
Seed	l-1	32.0	72.4	27.8	42.2	47.8	17.2	12.0	18.1	13.2	2.6
Seed	I-2	13	28.1	17.0	2.2	4.2	27.2	32.6	62.4	57.6	42.2
Clus	ter	C2	C2	C2	C2	C2	C1	C1	C1	C1	C1
+			+								++

-> Base on above result of new clusterings, new seeds (mean) are computed: [65.4, 138.0], and [71.0, 182.0]

After we **Epoch 6**, the Cluster members do not change anymore, so we know Epoch 5/6 has the final result with 2 clusters:

- Cluster 1: Node {6, 7, 8, 9, 10}
- Cluster 2: Node {1, 2, 3, 4, 5}

# **Problem 2)** Agglomerative clustering (20 pts.)

Using the same 10 data points of height & weight as in Problem-1, apply agglomerative clustering manually. Produce the distance matrix and the resulting dendogram. Use the following assumptions:

- a) Euclidean distance
- b) Single linkage for cluster dissimilarity

## **Solution**

**Agglomerative**: initially every point is a cluster of its own and we merge cluster until we end-up with one unique cluster containing all points.

**Single link:** distance between two clusters is the shortest distance between a pair of elements from the two clusters.

For each k - we recalculate the distance matrix, and merge 2 nodes into 1 node (representing new cluster).

We start with k=10, i.e. each point is a cluster.

k=10 +----+

0     	40.6   0788   1008   5	7.81 0249 6759	10.7   7032   9614   3	17.0   	15.1     3274     5950     4	20.0   2498   4394   5	50.0 3998 4012 8	45.0     9988     9135     1	30.0   1666   2039   6
40.6	0 	45.0   11	30.1 50	25.0 20	55.2	60.5 31	90.4	85.5     86	70.2   57
7.81	45.0   11	0	15.1 33	20.1 00	10.7     70	16.5 53	45.7 06	41	25.4   95
10.7   70	30.1   50	15.1   33	0	6.40 3	25.0     80	30.4 14	60.2   99	55.4     44	40.1   12
17	25.0   20	20.1   00	6.40 3	0	30.5     94	36.1 39	65.7 65	61	45.5   41
15.1	55.2   27	10.7   70	25.0 80	30.5 94	0	5.83	35.2 28	30.4   14	15.0     33
20.0	60.5   31	16.5   53	30.4   14	36.1 39	5.83     1	0	30.0 17	25.0     80	10.1   98
50.0   40	90.4   49	45.7   06	60.2 99	65.7 65	35.2     28	30.0 17	0	5.09     9	20.2   24
45.1   00	85.5   86	41	55.4 44	61	30.4     14	25.0 80	5.09   9	0	15.5     24
30.0   17 +	70.2   57	25.4   95	40.1   12	45.5 41	15.0     33	10.1 98	20.2 24	15.5     24	0

k=9

0	40.60   78810   085	+   7.810   24967   591	10.77   03296   143		15.13     27459     504	49843 945	61.72 69089 274	! :
40.60     8	   0 			25.02   0			115.6   57	
7.810	45.01   1	0 	15.13   3	20.10	10.77	16.55 3	56.10 4	25.49     5
10.77	30.15 0	15.13   3	0	6.403	25.08   0	30.41 4	75.46 2	40.11
17	25.02 0	20.10   0	6.403	0	30.59   4	36.13 9	82.81 0	45.54     1
15.13	55.22 7	10.77   0	25.08   0	30.59     4	0	5.831	42.06 1	15.03
20.02	60.53	16.55   3	30.41 4	36.13 9	5.831	0	35.03 1	10.19
61.72	115.6 57	56.10   4	75.46 2	82.81     0	42.06   1	35.03 1	0	22.13
30.01	70.25	25.49	40.11	45.54	15.03	10.19	22.13	0

7	ļ	7	5   2	1	3	8	2	1 1
k=8	+	<del>-</del> -						
	0	40.607   881008   5	7.8102   496759   1	10.770   329614   3	17.0 	21.494 836265	61.726 908927 4	30.016     662039     6
46	0.608	0   0	+=====================================	30.150 	25.020 	75.228	115.65   7	70.257   
7 7.	.810	45.011	0	15.133	20.100	16.272	56.104	25.495
16	770	30.150	15.133	0	6.403	35.052	75.462	40.112
17	7	25.020	20.100	6.403	0	42.545	82.810	45.541
21	L.495	75.228	16.272	35.052	42.545	0	54.904	14.877
61	1.727	115.65   7	56.104 	75.462 	82.810 	54.904	0	22.132
36	0.017	70.257	25.495	40.112	45.541	14.877	22.132	0
T		<del></del>	T	T	<del>-</del>			<del></del>

k=7

+	4			L	L	
0 	40.607881   0085	7.8102496 7591	16.379178   3304	21.494836 265	61.726908   9274	30.016662     0396
40.608	0	45.011	34.645	75.228	:	70.257
7.810	45.011	0	21.354	16.272	56.104	25.495
16.379	34.645	21.354	0	54.996	115.503	54.968
21.495	75.228	16.272	54.996	0	54.904	14.877
61.727	115.657	56.104	115.503	54.904	0	22.132
30.017	70.257	25.495	54.968	14.877	22.132	0
T	T	F			F	<del></del>

k=6

0	68	68	24.41990187 28	33	97
54.476	0	34.645		115.657	70.257
24.395		0	54.996	115.503	54.968
24.420	75.228	54.996	0	54.904	14.877
	115.657	115.503	54.904	0	22.132
34.404	70.257	54.968	14.877	22.132	0

k=5

0	54.4759106068  +	24.3947264468	•	
54.47	:	1	87.830	115.657
24.39	5   34.645 +	0	71.027	115.503
34.22	8   87.830 +	71.027	0	51.252
:	8   115.657	115.503	51.252	0

k=4

_	L	L	L	L	_
	•	48.5938237752	•	125.182321436	
	48.594	0		115.657	
	64.727	87.830	0	51.252	
	125.182	115.657	51.252	0	
-					г

k=3

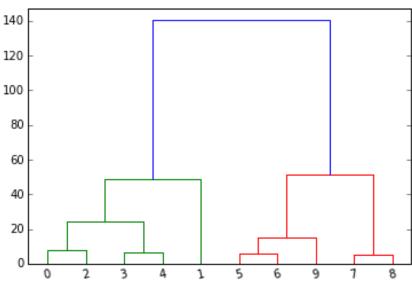
	+	
0	82.3284543958	142.982492917
82.328		+======+   51.252
142.982	51.252	0

k=2

+				4632695	•
+===	=====	=+:	======	======	=+
14	0.325		0		
+		-+			- +

# Dendogram





# **Problem 3)** Model Evaluation (20 pts.)

We are given the following classification results for a cancer screening test. Please derive the evaluation parameters:

- a) Accuracy
- b) Specificity
- c) Sensitivity
- d) Precision
- e) Recall

Actual / Prediction	test = positive	test = negative	Total
cancer = yes	90	210	300
cancer = no	140	9560	9700
Total	230	9770	10000

# **Solution**

Actual / Prediction	test = positive	test = negative	Total
cancer = yes	TP = 90	FN = 210	300
cancer = no	FP = 140	TN = 9560	9700
Total	230	9770	10000

TP = 90

FN = 210

FP = 140

TN = 9560

F1 = 
$$P*R / 2(P+R)$$
  
= 0.084

## **Problem 4)** Python program (40 pts)

Take the sk-learn sample code *cluster\_kmeans.py* discussed in the class that is used for clustering iris dataset. Make the following changes:

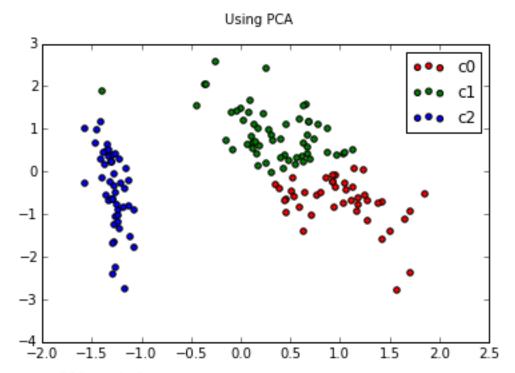
- 1. In addition to using PCA to reduce features from 4 to 2, also evaluate using the original feature pairs (1,2) and (3, 4).
- 2. For all the 3 clustering settings (original, (1,2), (3,4)), calculate the clustering quality CQ = IE / EV as defined in the class:

$$IV = \sum_{C} \sum_{x \in C} d(x, c) \quad \text{and} \quad EV = \frac{1}{N} \sum_{i} \sum_{j} \delta(C(x_i) \neq C(x_j)) d(x_i, x_j)$$

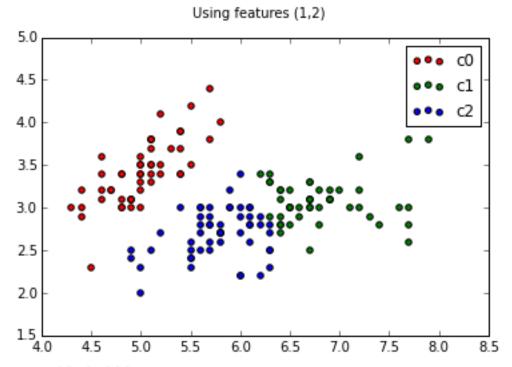
## **Output:**

3 plots of k-means clustering results for PCA, features (1,2), and features (3,4). 3 CQ values for PCA, features (1,2), and features (3,4).

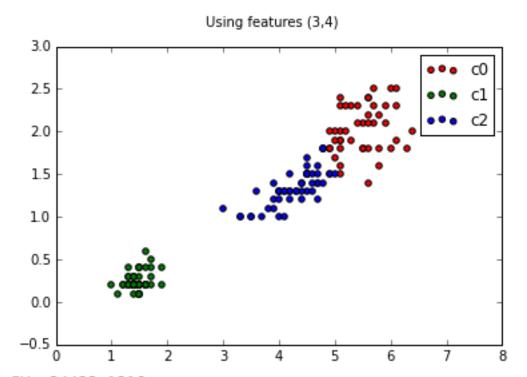
### **Solution**



IV: 19831.5213 EV: 2.2027 CQ: 9003.2777



IV: 12872.9967 EV: 1.4206 CQ: 9061.7792



IV: 24422.1216 EV: 3.0034 CQ: 8131.4968