Operating Systems

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Chapter 8
Mass Storage

Topics in Part 3 (Storage Management)

User Space

Processes

Operating System Kernel File system Implementation

FAT32, EXT2/3
KV, Distributed FS,
Graph System...

File System Operations

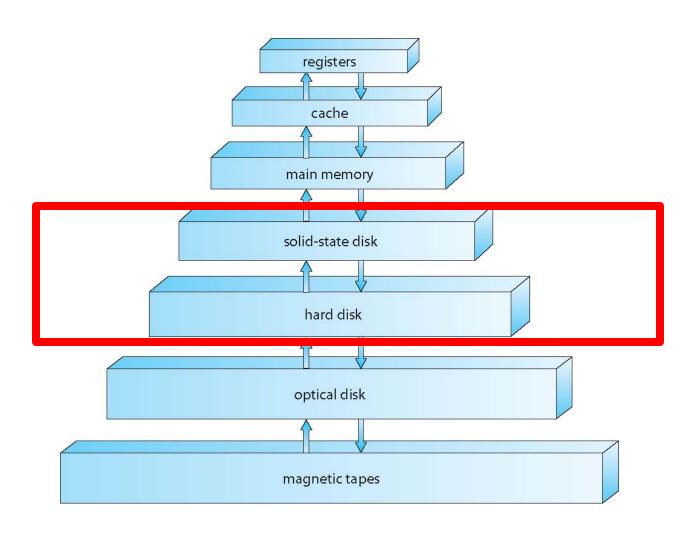
Devices







Storage Hierarchy



Topics in Ch 7 (Mass Storage)





Disk Scheduling



SSD Structure

SSD Features/Issues



RAID

Erasure Coding

Research Problems

Topics

- Disk structure
- Disk scheduling
- Solid-state drives (SSDs)
- RAID
- Erasure coding



Hard Disk Structure – Physical view





Physical address (cylinder, track, sector)

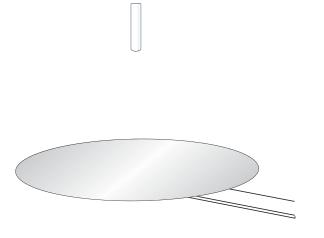
Track:

The surface of a platter is divided into tracks **Sector:**

Track is divided into sectors (512B data + ECC)

Cylinder:

Set of tracks that are at one arm position



Access: Seek + Rotate

Seek time:

move disk arm to desired cylinder

Rotational latency:

spin at 5400/7200/10K/15K RPM

Hard Disk Structure – Physical view





Constant liner velocity (CLV)

- Uniform density of bits per track, outer track hold more sectors
- Variable rotation speed to keep the same rate of data moving
- CD-ROM/DVD-ROM

Constant angular velocity (CAV)

- Constant rotation speed
- Higher density of bits in inner tracks
- > Hard disks

Hard Disk Structure – Logical view





How to use?

Large 1-D arrays of logical blocks (usually 512 bytes)

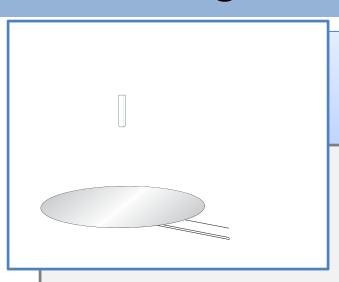
Address mapping

Logical block number -> (cylinder #, track #, sector #)

Disk management is required

- Disks are prone to failures: defective sectors are common (bad blocks)
 - ✓ Need to handle defective sectors: bad block management
- Disk formatting

Disk Management



Bad Block Management

- ✓ Maintain a list of bad blocks (initialized during low-level formatting) and preserve an amount of spare sectors
- ✓ Sector sparing/forwarding: replace a bad sector logically with one spare sector
 - Problem: invalidate disk scheduling algorithm
 - Solution: spare sectors in each cylinder + spare cylinder
- ✓ Sector slipping: remap to the next sector (data movement is needed).

Disk Management

Disk Formatting

Step 1: Low-level formatting/physical formatting

- ✓ Divide into sectors so disk controller can read/write
- ✓ Fills the disk with a special data structure for each sector (data area(512B), header and trailer (sector number & ECC))
 - The controller automatically does the ECC processing whenever a sector is read/written
- ✓ Done at factory, used for testing and initializing (e.g., the mapping). It is also possible to set the sector size (256B, 512B, 1K, 4K)

Disk Management

Disk Formatting

Step 2: How to use disks to hold files after shipment?

- Choice 1: File system
- ✓ Partition into one or more groups of cylinders (each as a separate disk)
- ✓ Logical formatting: creating a FS by storing the initial FS data structures
- ✓ I/O optimization: Disk I/O (via blocks) & file system I/O (via clusters), why?
 - More sequential access, fewer random access
- Choice 2: Raw disk
- ✓ Use disk partition as a large sequential array of logical blocks, without FS
- ✓ Raw I/O: bypass all FS services (buffer cache, prefetching...), be able to control exact disk location

Topics

- Disk structure
- Disk scheduling
- Solid-state drives (SSDs)
- RAID & Erasure codes
- Problems with EC



Why needed?

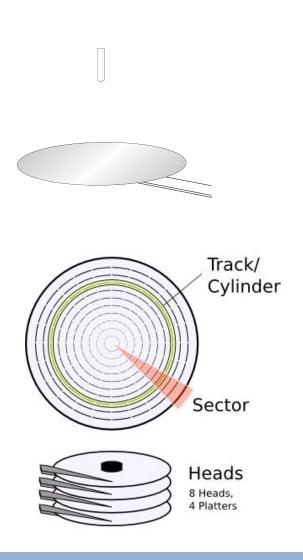
 Requests are placed in the queue of pending requests for that drive if the drive/controller is busy



Read/write, disk address, memory address, number of sectors to be transferred

Request ordering significantly affects the access performance (seek + rotate), so scheduling is needed

What is disk scheduling



- I/O access procedure
 - Seek: move the head to the desired cylinder
 - Rotate: spin to the target sector on the track
- Disk scheduling
 - Choose the next request in the pending queue to service so as to minimize the seek time
- Scheduling algorithms

FCFS Scheduling

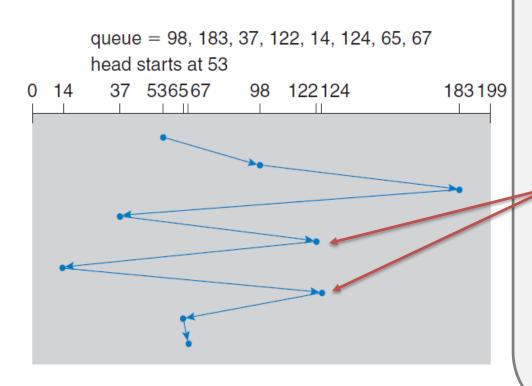
- First-come, first-served (FCFS)
 - Intrinsically fair, but does not provide the fastest service

FCFS Scheduling

• First-come, first-served (FCFS) 53 65 67 122 124 Request Queue

FCFS Scheduling

Scheduling diagram



Total head movement (640 cylinders)

Wild swing is very common

E.g.: 122 to 14, then to 124

How to reduce the head movement?

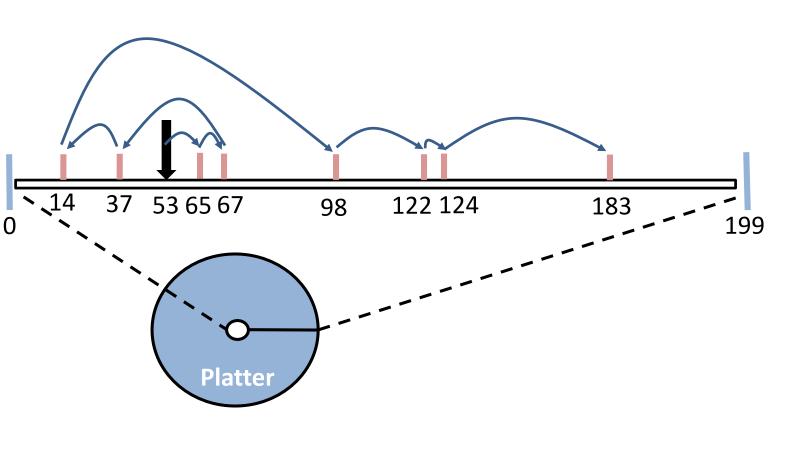
Handle nearby requests first

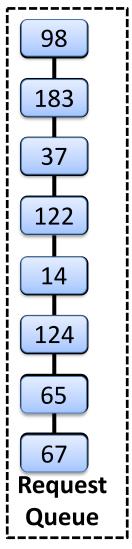
SSTF Scheduling

- Shortest seek time first (SSTF)
 - Choose the request with the least seek time
 - Choose the request closest to the current head position

SSTF Scheduling

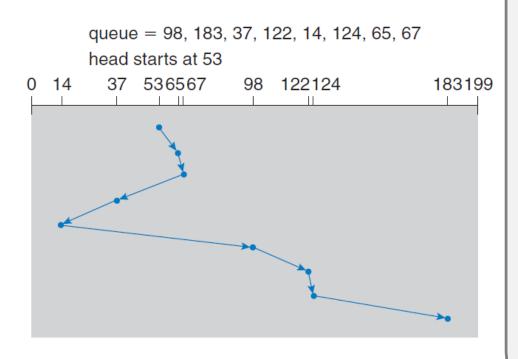
Shortest seek time first (SSTF)





SSTF Scheduling

Scheduling diagram



Total head movement: 236 cylinders (it is 640 for FCFS)

Essentially a form of SJF scheduling

It is not optimal

The sequence of 53-37-14-65... could reduce the head movement to 208

It may cause starvation

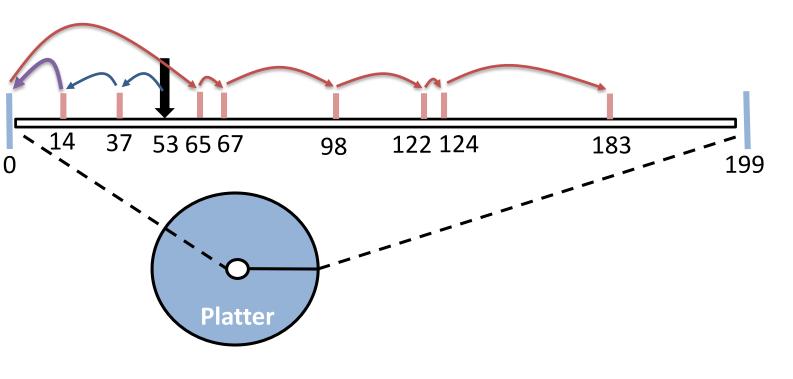
SCAN Scheduling

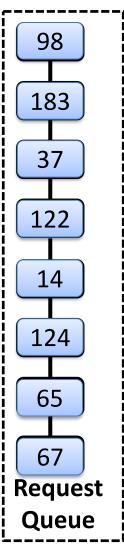
- Scan back and forth
 - Starts at one end, moves toward the other end
 - Service the requests as it reaches each cylinder
 - Reverse the direction
 - Elevator algorithm

SCAN Scheduling

Scan back and forth

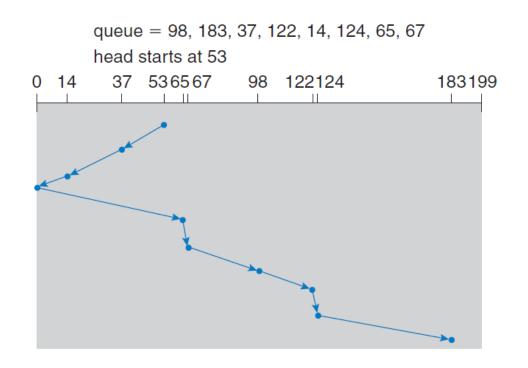
Suppose the head is moving from 53 to 0





SCAN Scheduling

Scheduling diagram



Any problem?

Assume a uniform request distribution

The heaviest density of requests is at the other end of the disk

They need to wait for a long time

Can we do something about this?

C-SCAN Scheduling

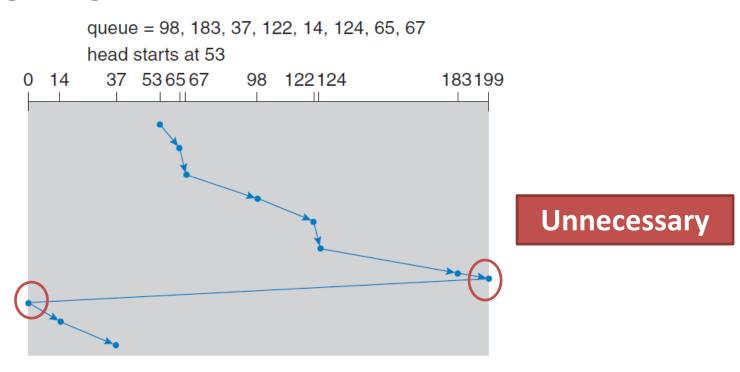
- Circular Scan back and forth
 - A variant of SCAN: immediately return when reaches the end
 - Aim for providing a more uniform wait time

C-SCAN Scheduling

 Circular scan 53 65 67 122 124 **Platter** Request Queue

C-SCAN Scheduling

Scheduling diagram

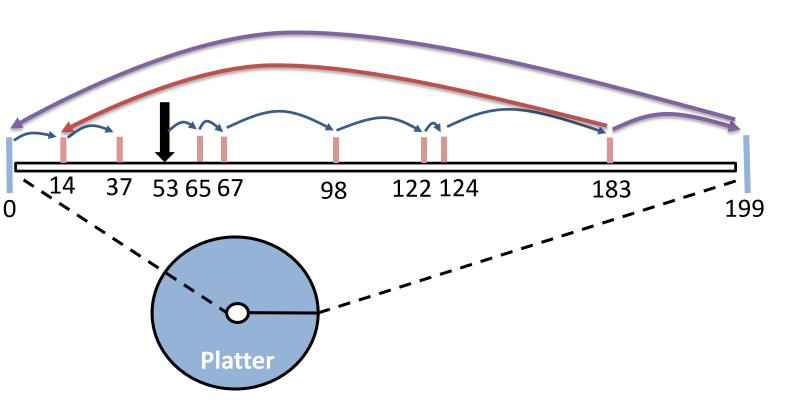


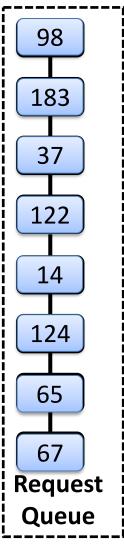
No need to move across the full width of the disk, but only need to reach the final request

Improved SCAN and C-SCAN: LOOK and C-LOOK

C-LOOK Scheduling

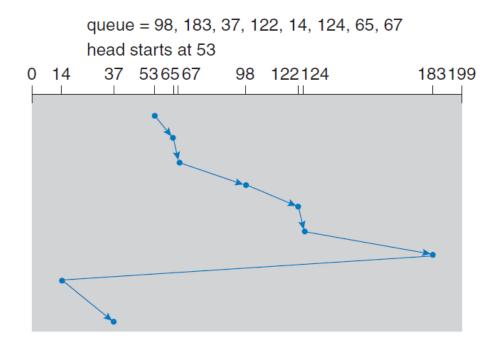
- Goes only as far as the final request
 - Look for a request before moving





C-LOOK Scheduling

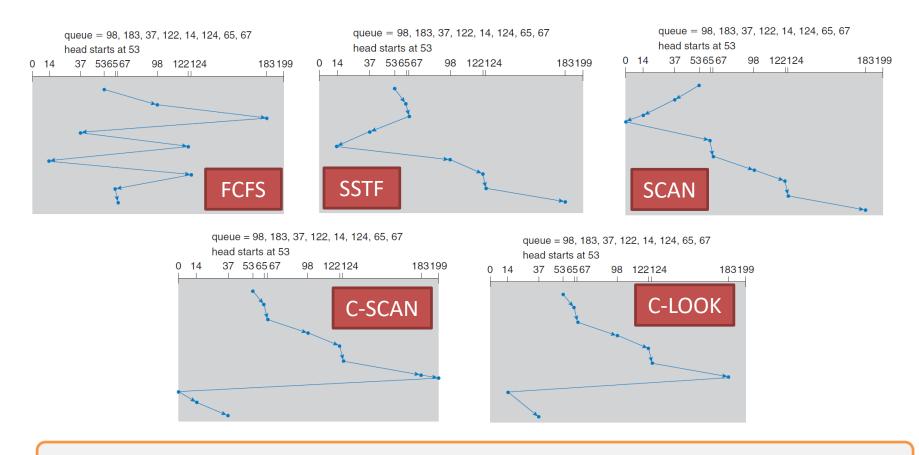
Scheduling diagram



Look for a request before continuing to move in a given direction

Fewer head movements than SCAN/C-SCAN

Summary of scheduling algorithms



SSTF outperforms FCFS, but may suffer from starvation

SCAN and C-SCAN perform better for heavy load systems, and they are less likely to cause starvation

Selection of a scheduling algorithm

Disk Performance

Number and types of requests

File allocation method

Large sequential I/O or small random I/O

Location of directories and index blocks (metadata I/O)

Implementing scheduling in OS is necessary to satisfy other constraints (e.g., priority defined by OS)

Write disk scheduling as a separate module of the OS

Can be easily replaced with different alg. (default: SSTF/LOOK).

Topics

- Disk structure
- Disk scheduling
- Solid-state drives (SSDs)
- RAID
- Erasure coding



- Solid-state drives (SSDs)
 - -SSD architecture
 - -SSD operations
 - -Flash translation layer
 - -Research topics



SSDs are widely used









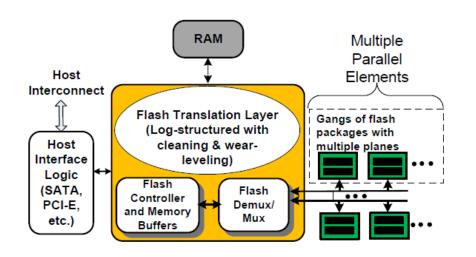


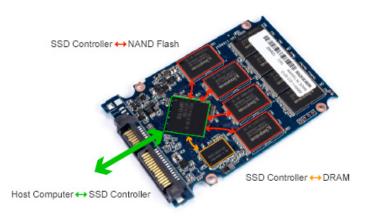


Advantages of flash-based SSDs: non-volatility, shock resistance, high speed and low energy consumption;

SSD Architecture

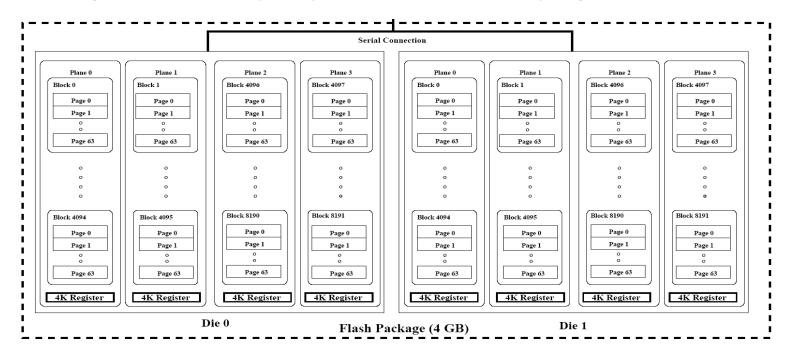
- SSD components
 - Multiple flash packages, controller, RAM





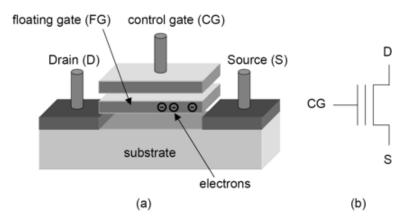
Flash Package

Package > die/chip > plane > block > page



Samsung K9XXG08UXM (SLC) (2 dies, 4 planes, 2048 blocks, 64 pages)

Flash Cell



(a) Floating gate memory cell and (b) its schematic symbol

- Each cell stores one bit (or multiple bits)
- Program operation can only change the value from 1 to 0 (erase operation changes the value from 0 to 1)
 - No overwritten
- The floating gate becomes thinner as the cell undergoes more program-erase cycles
 - Decreasing reliability

Flash Types

- NAND flash and NOR flash
 - NAND flash: denser capacity, only allow access in units of pages, faster erase operation
 - Most SSD products are based on NAND flash

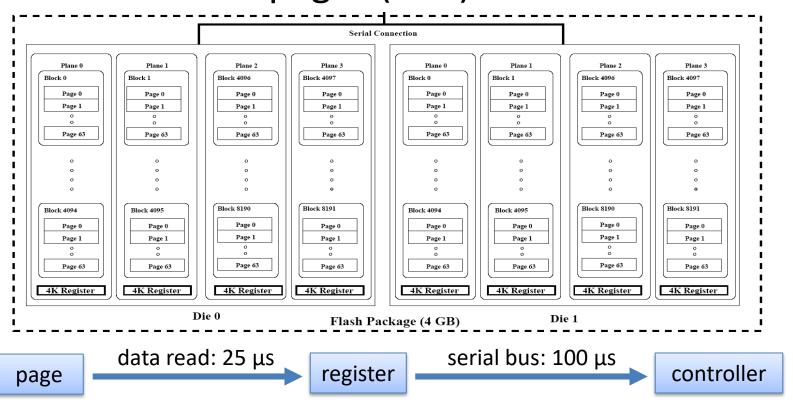
- NAND flash: SLC and MLC
 - SLC: each cell stores one bit
 - Longer life time, lower access latency, higher cost
 - MLC: each cell stores two (or three) bits
 - Higher capacity

- Solid-state drives (SSDs)
 - -SSD architecture
 - -SSD operations
 - -Flash translation layer
 - -Research topics



Read

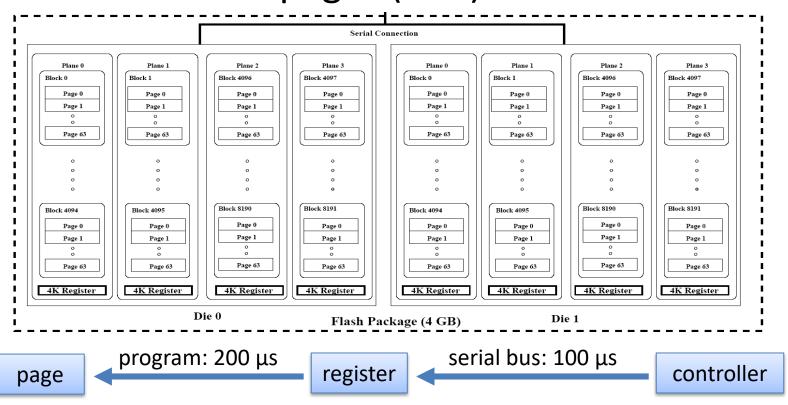
Read: in unit of pages (4KB)



39

Write

Write: in unit of pages (4KB)



40

Erase

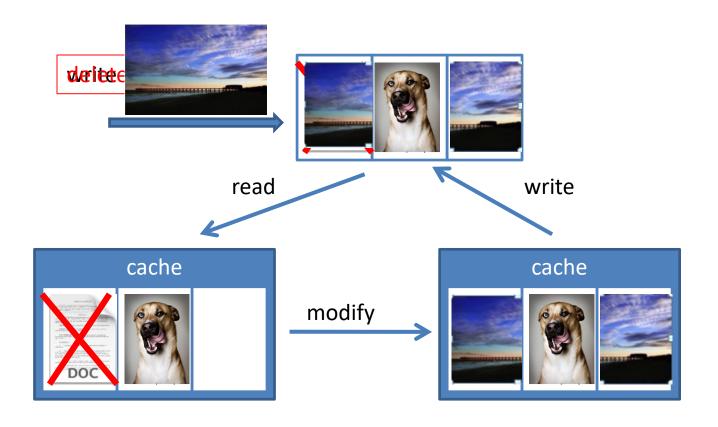
- Erase
 - In unit of blocks (64/128 pages)
 - Change all bits to 1
 - Much slower than read/write: 1.5ms
- Each block can only tolerate limited number of P/E cycles
 - SLC: 100K, MLC: 10K, TLC (several K to several hundred)
- The number of maximum P/E cycles decreases when
 - More bits are stored in one cell
 - The feature size of flash cell decreases (72nm, 34nm, 25nm)

Overwrite & Delete

- Delete
 - Simply mark the page as invalid

- Overwrite/update
 - Does not support in-place overwrite
 - Data can only be programmed to clean pages
- How about read-modify-write?

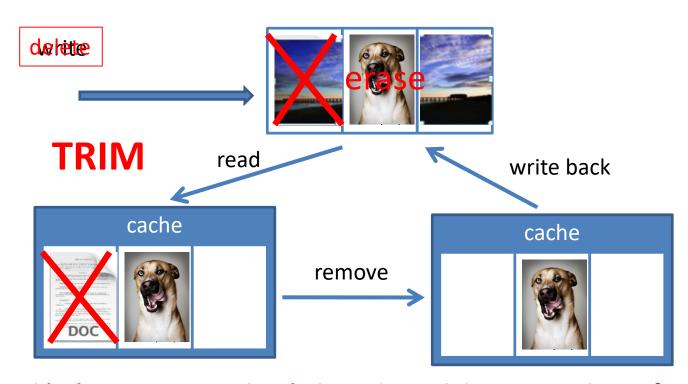
Read-Modify-Write



RMW may require a lot of read and write operations, so it is very slow

Trim

- Improve write performance degraded by RMW
 - The OS also sends a TRIM command to SSD after delete pages
 - Requires both OS and SSD to support



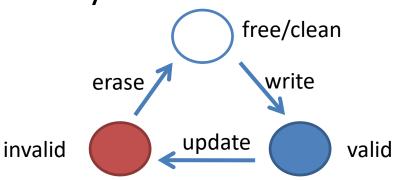
TRIM avoids slow RMW operation during write, so it increases write performance

Software layer in controller

- How to further improve write performance?
 - Address mapping is needed

- Page states
 - Garbage collection is also necessary

Flash translation layer

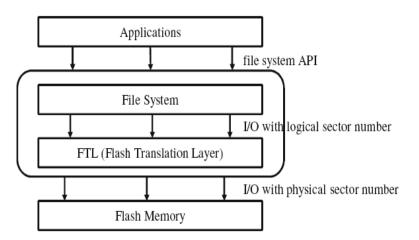


- Solid-state drives (SSDs)
 - -SSD architecture
 - -SSD operations
 - -Flash translation layer
 - -Research topics



Flash Translation Layer

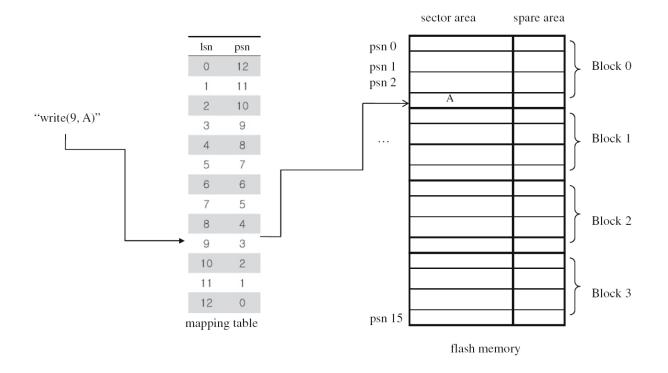
- Three functionalities
 - Address mapping
 - Garbage collection
 - Wear-leveling



Address Mapping

- Sector mapping
- Block mapping
- Hybrid mapping
- Log-structured mapping

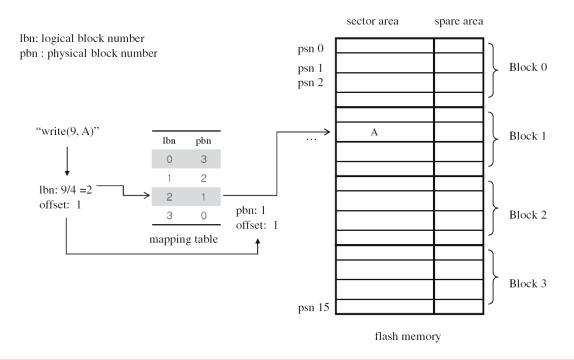
Sector Mapping



Mapping table is large: requires a large amount of RAM

Block Mapping

The logical sector offset is the same with the physical sector offset

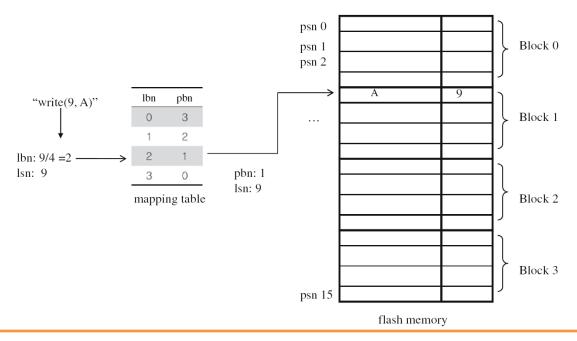


Smaller mapping table

If the FS issues writes with identical Isn, many erases

Hybrid Mapping

 First use block mapping, then use sector mapping in each block

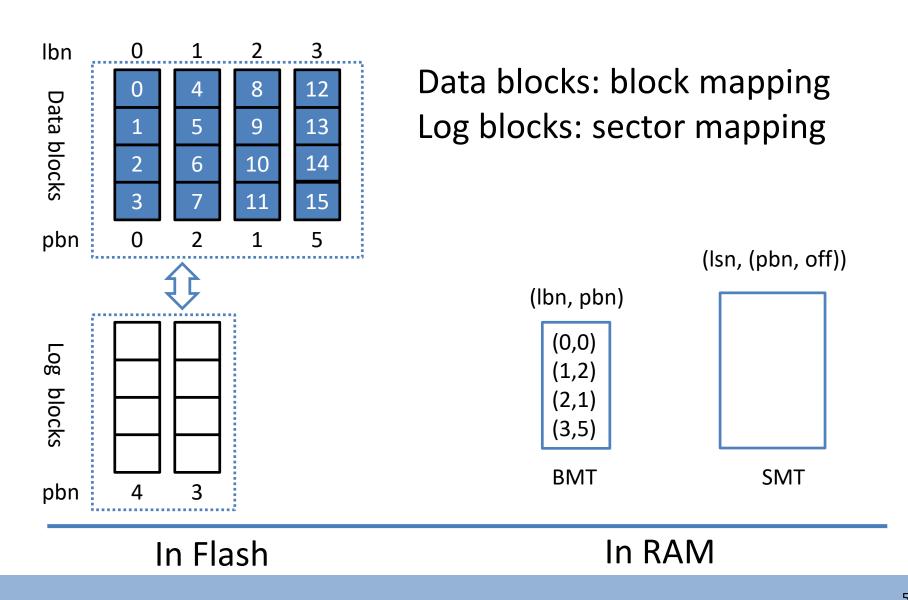


Small mapping table

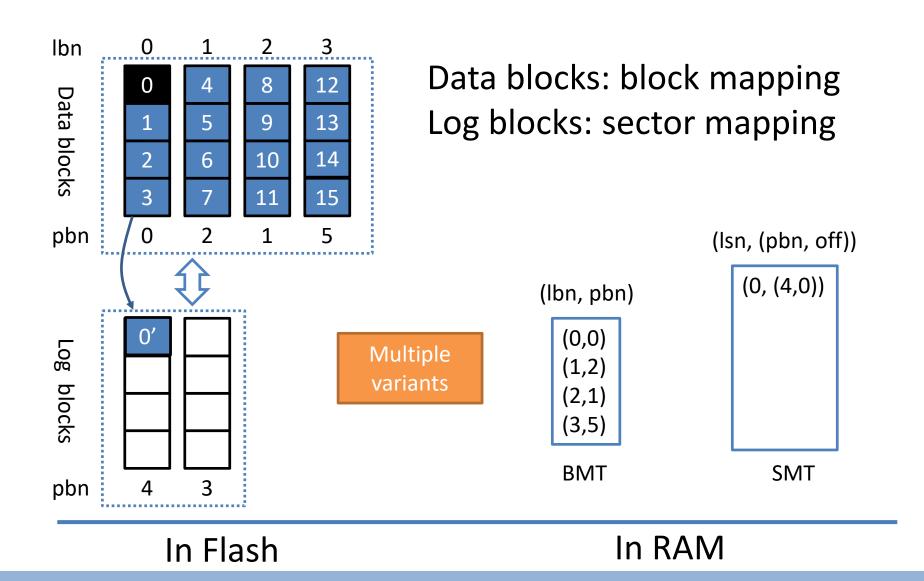
Avoid a lot of erase operations

Longer time to identify the location of a page

Log-structured Mapping



Log-structured Mapping



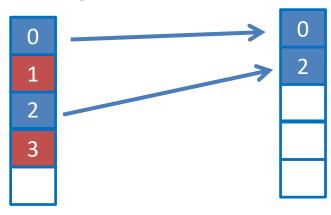
Short summary

- The performance of address mapping is workload dependent
 - Block mapping is suitable for sequential workloads
 - Sector mapping is suitable for random workloads
 - Log-structured mapping is suitable for workloads with large sequential and small random requests

Tradeoff exists

Garbage Collection

- Due to the existence of invalid pages, GC must be called to reclaim storage
 - Choose a candidate block
 - Write valid pages to another free block
 - Erase the original block



Design Issues of GC Algorithms

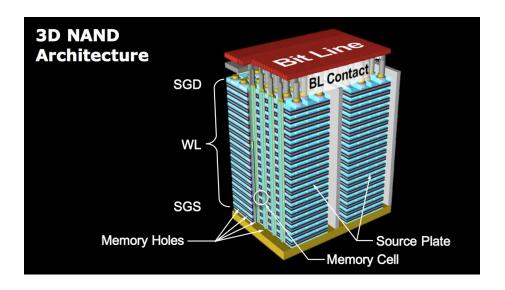
- Tradeoff in GC design
 - Efficiency: minimize writes
 - Wear-leveling: erase every block as even as possible
 - Tradeoff
 - GC is considered together with wear-leveling

- Algorithms
 - Greedy, random, and their variants
 - Hot/cold identification

Other Technologies

- Non-volatile memory (NVRAM)
 - PCM, STTRAM, ReRAM, etc...
 - Byte-addressable and non-volatile
 - 3D XPoint

3D NAND flash



- Solid-state drives (SSDs)
 - -SSD architecture
 - -SSD operations
 - -Flash translation layer
 - -Research topics



Topics related to SSDs

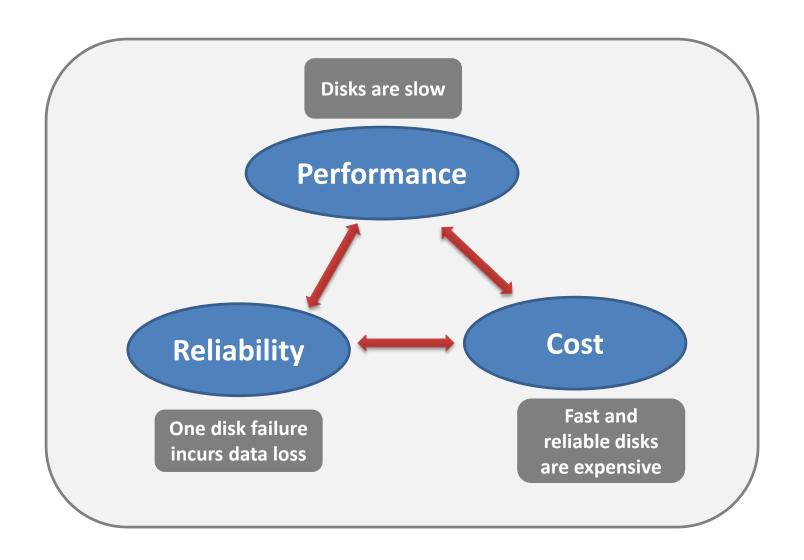
- Device-level optimization
 - GC/read/write performance
 - Large-capacity SSDs: large address mapping table
- Open-channel SSD
 - Expose the internals of SSDs to the host and allow host to manage it directly
- Computational Storage
- SSD-based system optimization
 - SSD RAID
 - Leverage SSDs in key-value stores, graph systems...
 - Hybrid architecture

Topics

- Disk structure
- Disk scheduling
- Solid-state drives (SSDs)
- RAID & Erasure codes
- Problems with EC



RAID Motivation

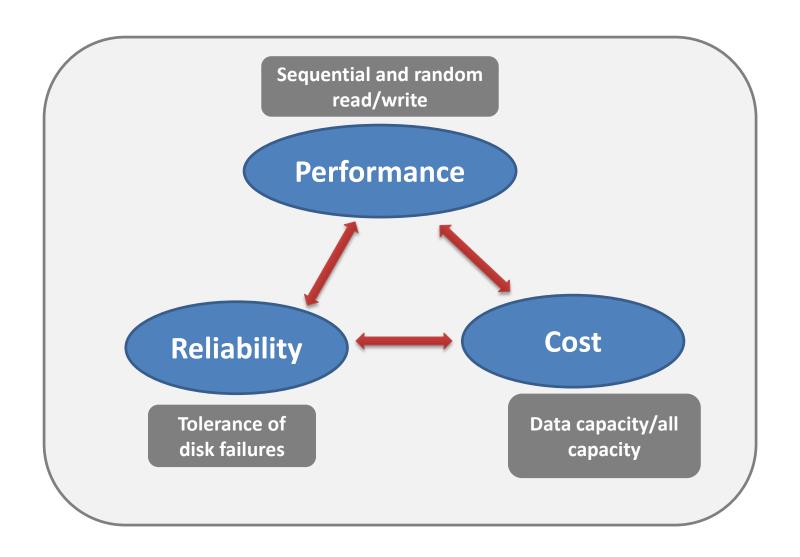


RAID Introduction

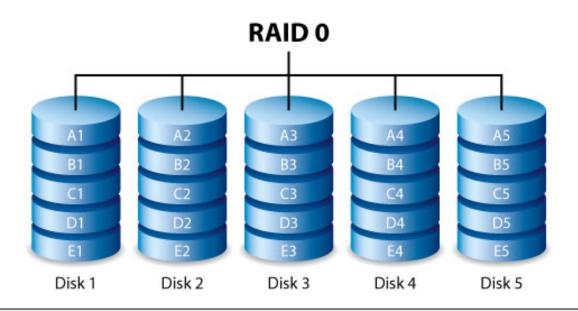
RAID: Redundant Array of Inexpensive (independent) Disks

- ✓ In the past
 - Combine small and cheap disks as a cost-effective alternative to large and expensive disks
- ✓ Nowadays
 - > Higher performance
 - > Higher reliability via redundant data
 - Larger storage capacity
- ✓ Many different levels of RAID systems
 - > Different levels of redundancy, capacity, cost...

RAID Evaluation



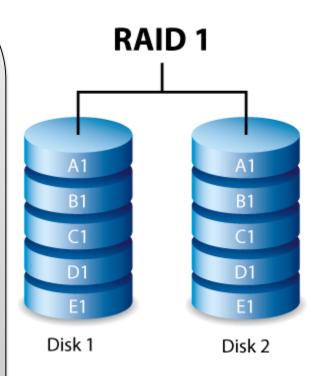
RAID 0



- Block-level striping, no redundancy
- Provides higher data-transfer rate
- Does not improve reliability. Once a disk fails, data loss may happen (MTTF: mean time to failure)

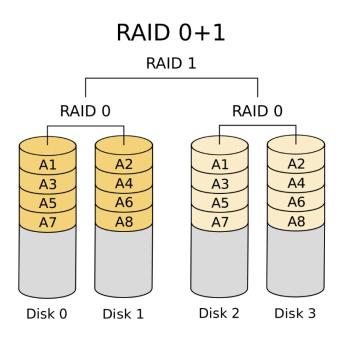
RAID 1

- How to improve reliability?
- Data mirroring (RAID1)
 - ✓ Two copies of the data are held on two physical disks, and the data is always identical.
 - ✓ Replication
- High storage cost
 - ✓ Twice as many disks are required to store the same data when compared to RAID 0.
 - ✓ Even worse storage efficiency with more copies



Combinations

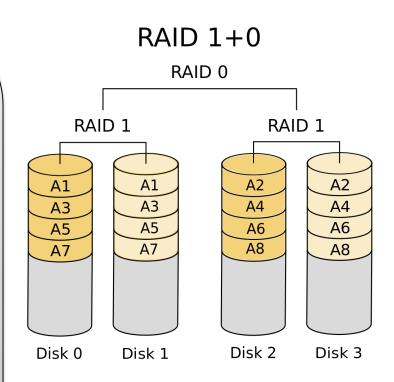
- RAID 0 provides reliability and RAID 1 provides reliability
- RAID 0+1 (RAID01)
 - ✓ First data striping
 - ✓ Then data mirroring



Same storage cost as RAID 1

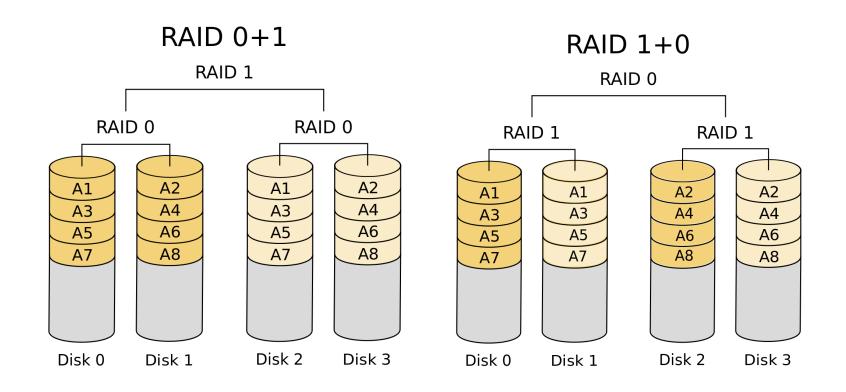
Combinations

- RAID 0 provides reliability and RAID 1 provides reliability
- RAID 0+1 (RAID01)
 - ✓ First data striping
 - ✓ Then data mirroring
- RAID 1+0 (RAID10)
 - ✓ First data mirroring
 - ✓ Then data striping



Same storage cost

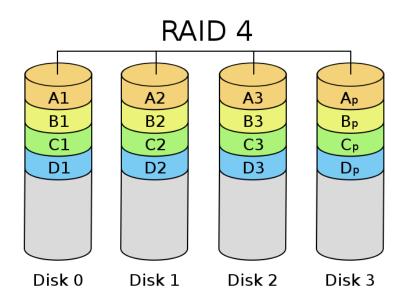
RAID01 vs RAID10



Both suffer from high storage cost

RAID 4

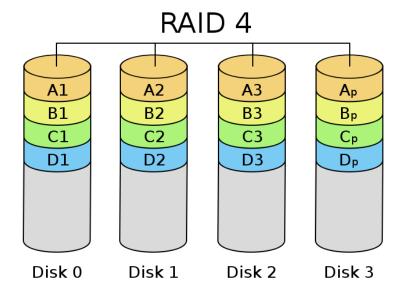
- Balance the tradeoff between reliability and storage cost?
 - Redundancy with parities
- Parity generation: Each parity block is the XOR value of the corresponding data disks
- Block-level data striping
 - Data and parity blocks are distributed across disks
 - Dedicated parity disk
- Any problem?



$$A_p = A1 \otimes A2 \otimes A3$$

How to update data

- Suppose A1 will be updated to A1'
 - Both A1 and Ap need to be updated
 - Read-modify-write (RMW)

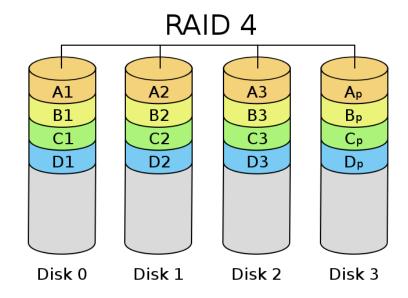


$$\mathbf{RMW}: A'_p = A_p \otimes A1 \otimes A1'$$

$$A_p' = A1 \otimes A2 \otimes A3 \otimes A1 \otimes A1'$$
$$= A2 \otimes A3 \otimes A1'$$

How to update data

- Suppose A1 will be updated to A1'
 - Both A1 and Ap need to be updated
 - Read-modify-write (RMW)
- How about updating both A1 and A2 simultaneously?
 - RMW?
 - Read-reconstruct-write (RRW)
- Selection of RMW/RRW

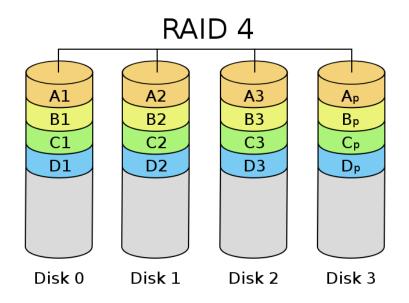


RRW: $A'_p = A3 \otimes A1' \otimes A2'$

Both RMW and RRW incur extra reads and writes

Problems of RAID 4

- Problems of RAID 4
- Disk bandwidth are not fully utilized
 - Parity disk will not be accessed under normal mode
- Parity disk may become the bottleneck
 - E.g., updating A1, B2, C3

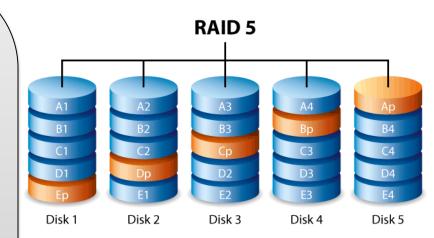


Read: A1, B2, C3, Ap, Bp, Cp

Write: A1' B2', C3', Ap', Bp', Cp'

RAID 5

- Similar to RAID 4
 - One parity per stripe
- Key difference
 - Uniform parity distribution
- RAID 5 is an ideal combination of
 - good performance
 - good fault tolerance
 - high capacity
 - storage efficiency



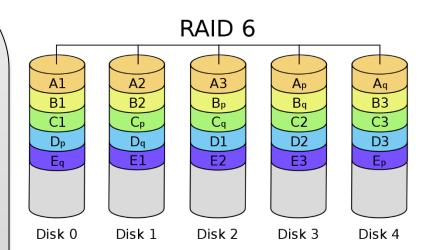
$$A_P = A_1 \oplus A_2 \oplus A_3 \oplus A_4$$
:

$$E_P = E_1 \oplus E_2 \oplus E_3 \oplus E_4$$

Parity update overhead still exist

RAID 6

- How to tolerate more disk failures?
- RAID-6 protects against two disk failures by maintaining two parities
- Encoding/decoding operations:
 - Based on Galois field



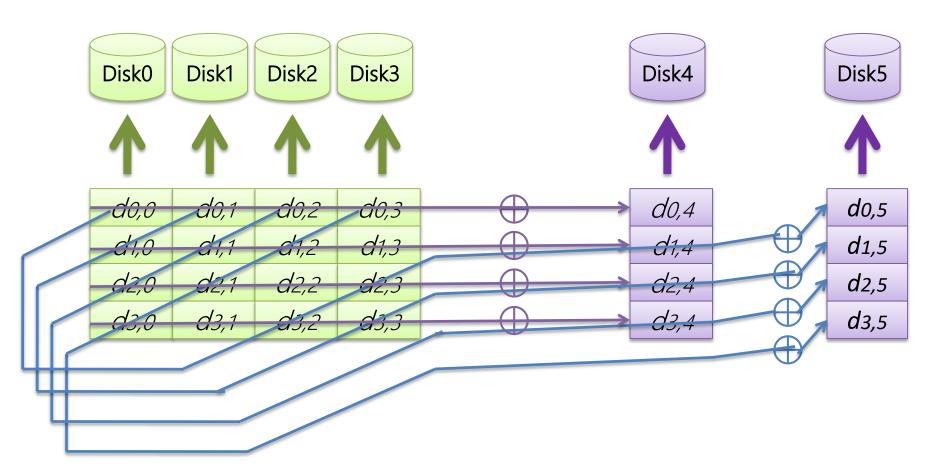
$$A_P = A_1 \oplus A_2 \oplus A_3 \oplus A_4$$

$$A_q = c^0 A_1 \oplus c^1 A_2 \oplus c^2 A_3 \oplus c^3 A_4$$

Parity update overhead becomes larger

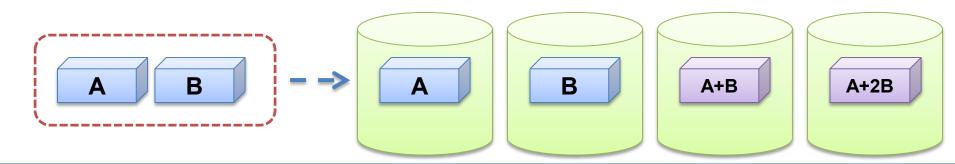
RDP Code

> An RDP code example with 6 disks



Erasure Codes

- > Erasure codes
 - Different redundancy levels
 - 2-fault tolerant: RDP, EVENODD, X-Code
 - 3-fault tolerant: STAR
 - General-fault tolerant: Cauchy Reed-Solomon (CRS)
- Generate m code blocks from k data blocks, so as to tolerate any m disk failures



Summary on Erasure Codes

➤ The motivation to introduce erasure codes in large-scale storage systems

The need to reduce the tremendous cost of storage

- In practice, erasure codes have seen widely deployment
 - Google File System [Ford, OSDI'10]
 - Windows Azure Storage [Huang, ATC'12]
 - Facebook [Borthakur, Hadoop User Group Meeting 2010]
 - ...

Topics

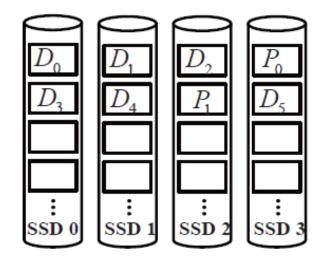
- Problems with RAID/EC
 - Optimizing parity updates
 - Recovery
 - Asynchronous coding

-



SSD RAID

- RAID provides device-level fault tolerance
 - Each stripe contains data and parity
- Limitation: Parity updates
 - Update data -> update parity
 - Update D_1 to D_1'
 - RMW: $P'_0 = P_0 \oplus D_1 \oplus D_1'$
 - RRW: $P'_0 = D_0 \oplus D_1' \oplus D_2$
 - Extra I/Os and GC



Parity chunks:

$$P_0 = D_0 \oplus D_1 \oplus D_2$$

$$P_1 = D_3 \oplus D_4 \oplus D_5$$

- SSD RAID
 - Parity update influences both performance and endurance

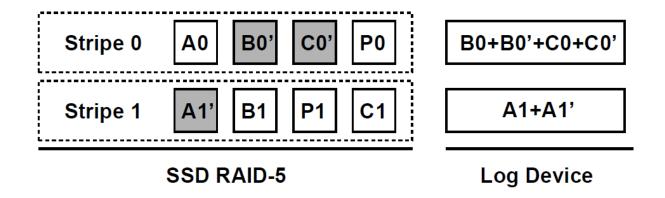
Design tradeoff

- Design trade-off in SSD RAID arrays
 - RAID improves reliability
 - Parity updates incur extra I/Os and GC operations
 - Degrade performance and endurance

How to address the parity update overhead?

Parity Logging

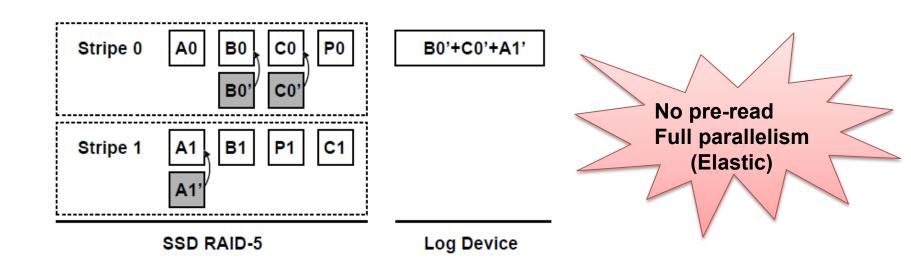
- Original Parity logging
 - Incoming reqs: $\{A_0, B_0, C_0\}, \{A_1, B_1, C_1\}, \{B_0', C_0', A_1'\}$



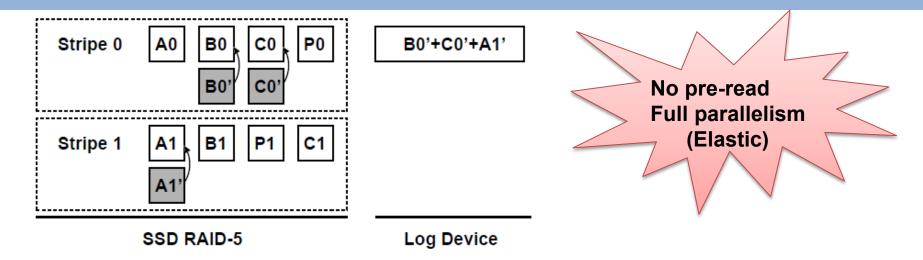
- Drawbacks
 - Pre-read: Extra reads
 - Per-stripe basis: Extra log chunks; Partial parallelism

EPLOG

Our solution: New RAID Design via Elastic Parity Logging (EPLOG)



EPLOG



- Benefits of EPLOG
 - General RAID
 - High endurance: Reduce parity writes to SSDs
 - High performance: Reduce extra I/Os
 - Low-cost deployment: Commodity hardware
- ✓ Yongkun Li, Helen H. W. Chan, Patrick P. C. Lee, and Yinlong Xu. "Elastic Parity Logging for SSD RAID Arrays." IEEE/IFIP DSN (Regular paper), Toulouse, France, June 2016.
- ✓ Helen H. W. Chan, Yongkun Li, Patrick P. C. Lee, and Yinlong Xu. "Elastic Parity Logging for SSD RAID Arrays: Design, Analysis, and Implementation." IEEE TPDS, volume: 29, issue: 10, Oct. 2018.

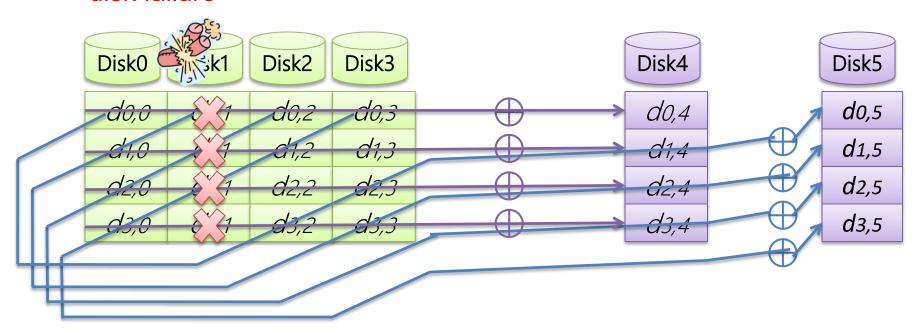
Topics

- Problems with RAID/EC
 - Optimizing parity updates
 - Recovery
 - Asynchronous coding
 - -



Failure Recovery Problem

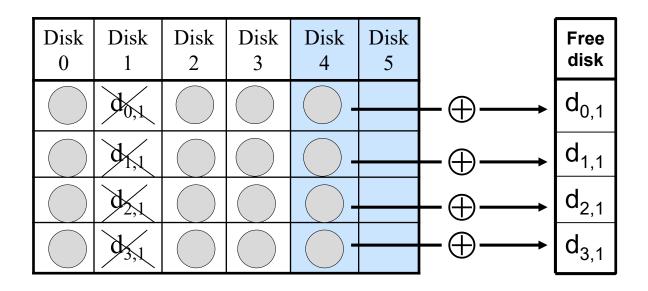
- > Recovering disk failures is necessary
 - Preserve the required redundancy level
- ➤ Single-disk failure recovery
 - •Single-disk failure occurs more frequently than a concurrent multidisk failure



Suppose Disk 1 fails. How do we recover Disk 1 efficiently?

Optimize Recovery Performance

Traditional method: only use row blocks for repair.



- ① Example: $d_{0,1} = d_{0,0} \oplus d_{0,2} \oplus d_{0,3} \oplus d_{0,4}$
- Need read $(p-1)^2=16$ blocks

Optimize Recovery Performance

Recovery choices: row blocks or diagonal blocks

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4	Disk 5
	\$0,1				
	3 ,				
	3 2,1				
	3,1				

Repair $d_{0,1}$ from row blocks

Disk 0	Disk 1	Disk 2	Disk 3	Disk 4	Disk 5
	3 0,1				
	3 / ₃ / ₄				
	<u>d</u> 2,1				
	3,1				

Repair $d_{0,1}$ from diagonal blocks

Single Disk Failure Hybrid Recovery

Recover Disk 1.

Failure blocks	Recover choices		
d _{0,1}	diagonal		
d _{1,1}	diagonal		
$d_{2,1}$	row		
$d_{3,1}$	row		

Disk 0	Disk 1	Disk 2		Disk 3	Disk 4	Disk 5
	30,1					
	J.					
	<u>d</u> 2,1)			
	3 ,1)			

Duplicate data block

- The four blocks are repeated twice.
- ❖ Result: Need read 16–4=12<16 block for recovery.

Xiang, L., Xu, Y., Lui, J., Chang, Q. "Optimal recovery of single disk failure in RDP code storage systems". ACM SIGMETRICS 2010.

Hybrid Recovery

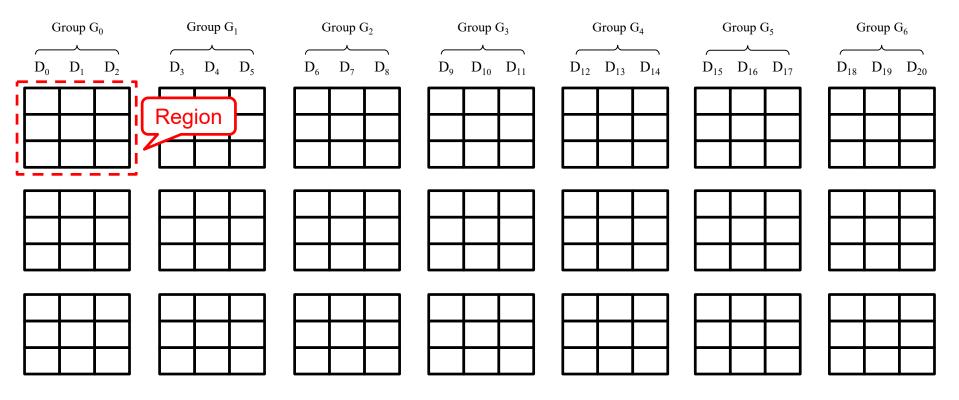
Previous approach leverages the code property, but not change the code

Alternative approach

Can we design new codes which benefit the recovery performance?

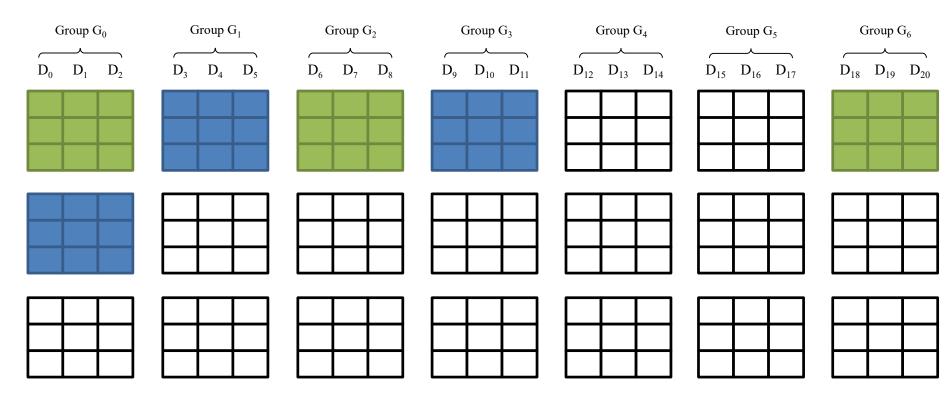
Yes! Our solution: OI-RAID

OI-RAID: An Example



- Divide 21 disks into 7 groups
- Divide each disk into 9 storage units
- Form a region with every 3×3 storage unit array in a group

OI-RAID: An Example

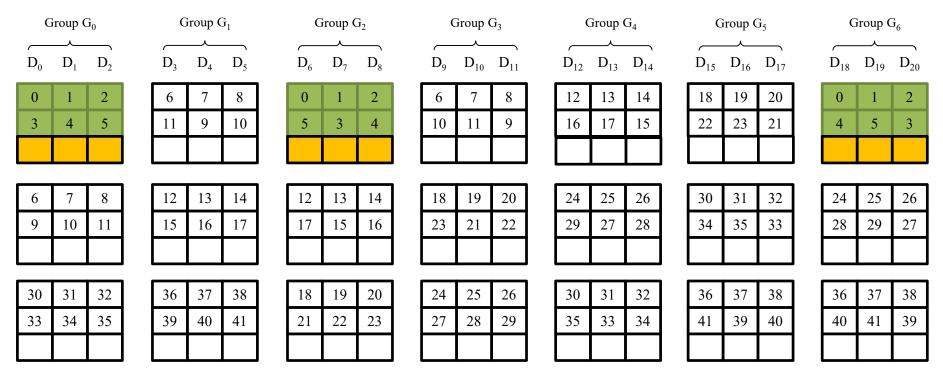


- Group regions into region sets based on BIBD
- > (7,7,3,3,1)-BIBD:

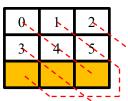
Tuple T0: 0, 2, 6 Tuple T1: 0, 1, 3 Tuple T2: 1, 2, 4 Tuple T3: 2, 3, 5

Tuple T4: 3, 4, 6 Tuple T5: 0, 4, 5 Tuple T6: 1, 5, 6

OI-RAID: An Example



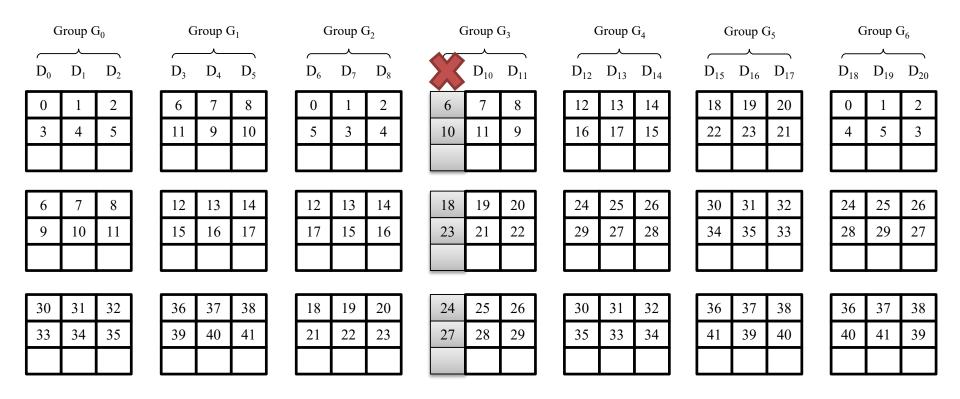
- Inner layer code:
 - RAID5 within a region along the diagonal line



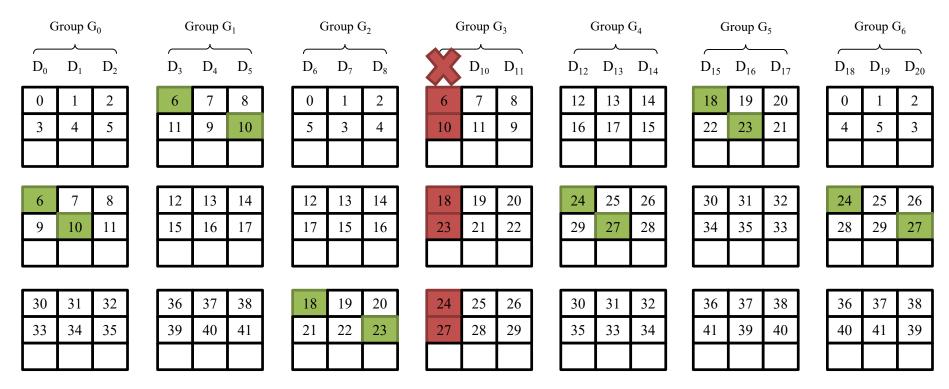
- Outer layer code
 - RAID5 within a region set

The two layer code makes OI-RAID tolerate three arbitrary disk failures

Single Failure Recovery



Single Failure Recovery

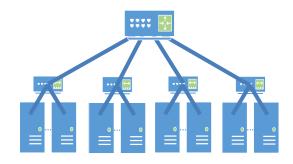


- To rebuild the 6 failed data units in disk D₉
 - OI-RAID reads only one unit from each surviving disk
- ✓ Neng Wang, Yinlong Xu, Yongkun Li, and Si Wu. "OI-RAID: A Two-layer RAID Architecture Towards Fast Recovery and High Reliability." IEEE/IFIP DSN, Toulouse, France, June 2016.
- ✓ Yongkun Li, Neng Wang, Chengjin Tian, Si Wu, Yueming Zhang, Yinlong Xu."A Hierarchical RAID Architecture Towards Fast Recovery and High Reliability." IEEE TPDS, 29(4), pp. 734 - 747, April 2018.

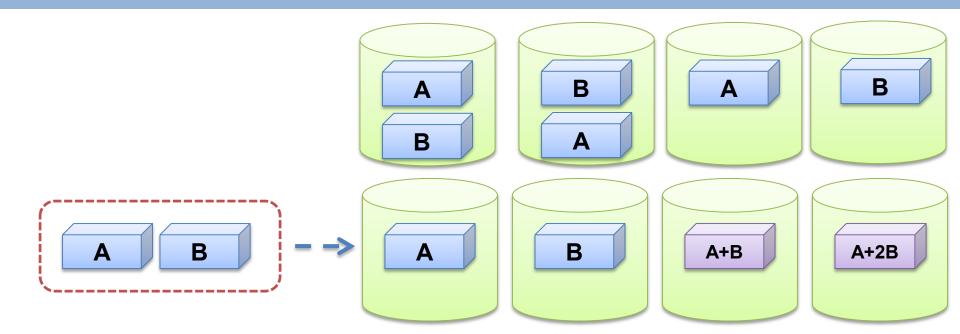
Topics

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 - Optimizing parity updates
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 - Asynchronous coding

-

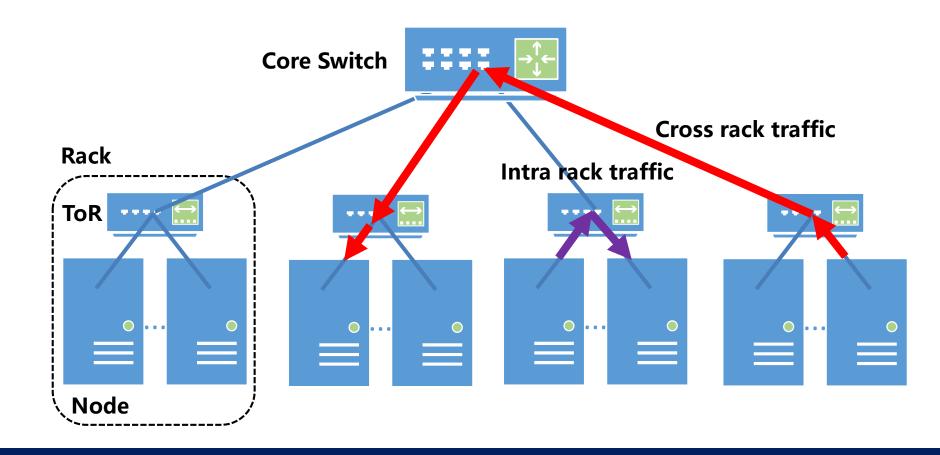


Replication vs. Erasure Coding



- Replication has better read throughput while erasure coding has smaller storage overhead
- In practice:
 - Data will be frequently accessed in a short time
 - Replication to erasure coding

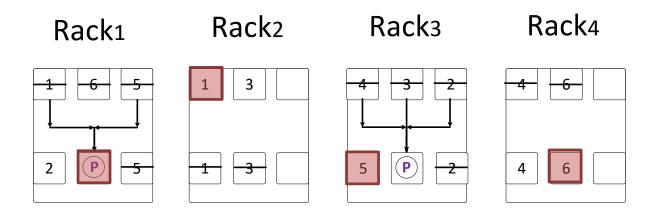
Clustered File System



Cross-rack access typically takes *longer time*

Dynamic Stripe Construction

How to reduce/eliminate cross-rack traffic? Our solution: Dynamic strip construction



- Encoding speed increases by up to 81%
- ➤ Improve frontend map-reduce tasks by 16.4%

Shuzhan Wei, **Yongkun Li***, Yinlong Xu, and Si Wu. "DSC: Dynamic Stripe Construction for Asynchronous Encoding in Clustered File System." IEEE **INFOCOM**, Atlanta, USA, May 2017.

Many Other Problems

- Recovery in heterogeneous systems
- Storage system scaling
- Hybrid system design
 - HDD+SSD
 - NVRAM
- Leveraging SSDs in various systems
- Data consistency

•

Summary of Ch7

Disk Structure

Disk Scheduling

- ✓ Cylinder, Track, Sector: CLV, CAV
- ✓ Access time
- ✓ FCFS, SSTF, SCAN/C-SCAN, LOOK/C-LOOK

SSD Structure

SSD Features/Issues

- ✓ Structure and features
- ✓ Operations (read/write/erase/GC)

RAID

Erasure Coding

Research Problems

- ✓ RAID structures (RAID0, 1, 4, 5, 6)
- ✓ Parity update

End of Chapter 7