

Introduction to Algorithms

0-1 Knapsack Problem

Xiang-Yang Li and Haisheng Tan

School of Computer Science and Technology
University of Science and Technology of China (USTC)

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Outline

Knapsack Problem

Greedy Algorithm for Knapsack

Dynamic Programming Approach for Knapsack

Discussion

Contents

Knapsack Problem

Greedy Algorithm for Knapsack

Dynamic Programming Approach for Knapsack

Discussion

Knapsack Problem

- ▶ The knapsack problem is a NP-complete problem of combinatorial optimization. Similar problems often appear in the fields of business, mathematics, computational complexity theory, cryptography, and applied mathematics.
- ▶ The knapsack problem has been studied for more than a century, with early works dating as far back as 1897.
- ▶ Application: find the least wasteful way to cut raw materials, choose investment and portfolio, choose asset-backed asset securitization, generate keys for Merkle-Hellman and other backpack cryptosystems.

Knapsack Problem

- ▶ Suppose we are planning a hiking trip; and we are, therefore, interested in filling a knapsack with items that are considered necessary for the trip.
- ▶ There are n different item types that are deemed desirable; these could include bottle of water, apple, orange, sandwich, and so forth. Each item type has a given set of two attributes, namely a weight (or volume) and a value that quantifies the level of importance associated with each unit of that type of item.
- ▶ Since the knapsack has a limited weight (or volume) capacity, the problem of interest is to figure out how to load the knapsack with a combination of units of the specified types of items that yields the greatest total value.

Knapsack Problem

Problem Definition(Knapsack):

- ▶ **Input:** Knapsack takes a set S of n items, each with benefit b_i and weight w_i , and a knapsack with weight bound W (for simplicity we assume that all elements have $w_i \leq W$).
- ▶ **Output:** Find a subset of items $I \subseteq S$ that maximizes $\sum_{i \in I} b_i$, and satisfies the constraint $\sum_{i \in I} w_i \leq W$.

Knapsack Problem

There are two versions of the problem:

- ▶ **Fractional knapsack problem:** Items are divisible; you can take any fraction of an item.
- ▶ **0-1 knapsack problem:** Items are indivisible; you either take an item or not.

Contents

Knapsack Problem

Greedy Algorithm for Knapsack

Dynamic Programming Approach for Knapsack

Discussion

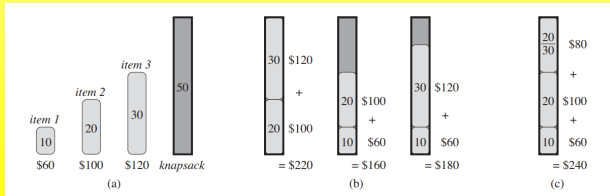
Greedy Algorithm for Knapsack

GREEDY-ALGORITHM()

- 1: Sort items in non-increasing order of $\frac{b_i}{w_i}$.
 - 2: Greedily pick items in the above order.
- ▶ To solve the fractional problem, we first compute the **benefit per weight** b_i/w_i for each item;
 - ▶ Obeying a greedy strategy, we begins by taking as much as possible of the item with the greatest value per pound;
 - ▶ Then we takes the next greatest valuable item, and so forth until he fills the knapsack;
 - ▶ Thus, by sorting the items by value per pound, the greedy algorithm runs in $O(n \lg n)$ time.
 - ▶ The fractional knapsack problem has the **greedy-choice property**.

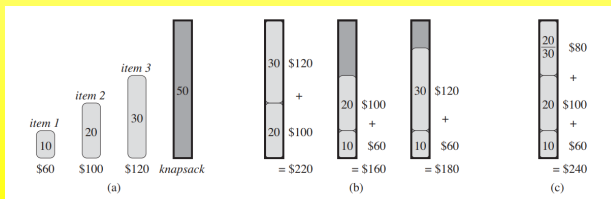
Greedy Algorithm for Knapsack

- ▶ But this greedy strategy **does not work** for the 0 – 1 knapsack problem. To see the reason, consider the problem instance illustrated in Figure 16.2(a).
- ▶ The benefit per weight of item 1 is 6 per weight, which is greater than that of either item 2 (5 per weight) or item 3 (4 per weight).
- ▶ However, the optimal solution takes items 2 and 3, leaving 1 behind. The two possible solutions that involve item 1 are both suboptimal.



Greedy Algorithm for Knapsack

- ▶ The reason is that taking item 1 we are unable to fill the knapsack to capacity, and the empty space lowers the effective profit per size of our load.
- ▶ But for the comparable fractional problem, the greedy strategy, which takes item 1 first, does yield an optimal solution, as shown in Figure 16.2(c).



Greedy Algorithm for Knapsack: Very Bad

Greedy performs arbitrarily bad in the worst case.

Assume that there are two items. The first one has weight $\epsilon > 0$ and benefit 2ϵ , and the second one has weight B and benefit B . The capacity of the knapsack is B .

Our greedy algorithm will only pick the small item, and the benefit is 2ϵ . The optimal solution is to pick the second item, with benefit B . This example makes this greedy method a pretty bad algorithm.

Greedy-Redux Algorithm for Knapsack: Small Twist

Therefore, we make the following small adjustment to our greedy algorithm:

GREEDY-ALGORITHM REDUX()

- 1: Sort items in non-increasing order of $\frac{b_i}{w_i}$ // we here denote each item as a_i , where $1 \leq i \leq n$.
- 2: Greedily add items until we hit an item a_i that is too big.
($\sum_{k=1}^i w_k > W \geq \sum_{k=1}^{i-1} w_k$).
- 3: Pick the better of $\{a_1, a_2, \dots, a_{i-1}\}$ and a_i .

Greedy-Redux Algorithm for Knapsack: Bounded Approximation Ratio

Theorem: Greedy Algorithm Redux is a 2-approximation for the knapsack problem.

Proof: We employed a greedy algorithm. Therefore we can say that if our solution is suboptimal, we must have some leftover space W_{rest} at the end. Imagine for a second that our algorithm was able to take a fraction of an item. Then, by adding $\frac{W_{rest}}{w_i} b_i$ to our knapsack value, we would either match or exceed OPT (remember that OPT is unable to take fractional items), i.e., $\sum_{k=1}^{i-1} b_k + \frac{W_{rest}}{w_i} b_i \geq OPT$.

Therefore, either $\sum_{k=1}^{i-1} b_k \geq \frac{1}{2} OPT$ or $b_i \geq \frac{W_{rest}}{w_i} b_i \geq \frac{1}{2} OPT$

Contents

Knapsack Problem

Greedy Algorithm for Knapsack

Dynamic Programming Approach for Knapsack

Discussion

Dynamic Programming

- ▶ We can do better with an algorithm based on dynamic programming.
- ▶ We need to carefully identify the subproblems.

Dynamic Programming

Defining a Subproblem

- ▶ Given a knapsack with maximum capacity W , and a set S consisting of n items
- ▶ Each item i has some weight w_i and benefit b_i (Here, we can assume all w_i and W are integer values.)
- ▶ Problem: How to pack the knapsack to achieve maximum total value of packed items?
- ▶ Lets add another parameter: w , which will represent the weight of the knapsack for a subproblem.

Dynamic Programming

Defining a Subproblem

- ▶ **The subproblem will then be to compute $V[k, w]$, i.e., to find an optimal solution for $S_k = \text{items labeled } 1, 2, \dots, k$ in a knapsack of size w**
- ▶ Assuming knowing $V[i, j]$, where $i = 0, 1, 2, \dots, k-1$, $j = 0, 1, 2, \dots, w$, how to derive $V[k, w]$?

Dynamic Programming

Recursive Formula for subproblems:

$$V[k, w] = \begin{cases} V[k-1, w] & \text{if } w_k > w \\ \max\{V[k-1, w], V[k-1, w-w_k] + b_k\} & \text{else} \end{cases}$$

It means, that the best subset of S_k that has total weight w is:

- ▶ the best subset of S_{k-1} that has total weight $\leq w$, or
- ▶ the best subset of S_{k-1} that has total weight $\leq w - w_k$ plus the item k

Dynamic Programming

DP FOR KNAPSACK()

```
1: for  $w = 0$  to  $W$  do
2:    $V[0,w]=0$ 
3: for  $i = 1$  to  $n$  do
4:    $V[i,0]=0$ 
5: for  $i = 1$  to  $n$  do
6:   for  $w = 0$  to  $W$  do
7:     if  $w_i \leq W$  then
8:       if  $b_i + V[i-1, w-w_i] > V[i-1, w]$  then
9:          $V[i, w] = b_i + V[i-1, w-w_i]$ 
10:    else
11:       $V[i, w] = V[i-1, w]$ 
```

Dynamic Programming

- ▶ What is the running time of this algorithm? $O(nW)$
- ▶ Let's run our algorithm on the following data:
 $n = 4$ (number of items)
 $W = 5$ (weight bound)
 Elements (weight, benefit):
 $(2,3), (3,4), (4,5), (5,6)$

Dynamic Programming Example

i\W	0	1	2	3	4	5
0	0	0	0	0	0	0
1						
2						
3						
4						

for $w = 0$ to W
 $V[0,w] = 0$

Dynamic Programming Example

i \ W	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0					
2	0					
3	0					
4	0					

for $i = 1$ to n
 $V[i,0] = 0$

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0				
2	0					
3	0					
4	0					

$i=1$

$b_i=3$

$w_i=2$

$w=1$

$w-w_i=-1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3			
2	0					
3	0					
4	0					

$i=1$

$b_i=3$

$w_i=2$

$w=2$

$w-w_i=0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5	
0	0	0	0	0	0	0	$i=1$
1	0	0	3	3			$b_i=3$
2	0						$w_i=2$
3	0						$w=3$
4	0						$w-w_i=1$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)
2: (3,4)
3: (4,5)
4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	
2	0					
3	0					
4	0					

$i=1$
 $b_i=3$
 $w_i=2$
 $w=4$
 $w-w_i=2$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

- 1: (2,3)
- 2: (3,4)
- 3: (4,5)
- 4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0					
3	0					
4	0					

$i=1$
 $b_i=3$
 $w_i=2$
 $w=5$
 $w-w_i=3$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)
 2: (3,4)
 3: (4,5)
 4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0				
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=1$

$w-w_i=-2$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5	
0	0	0	0	0	0	0	$i=2$
1	0	0	3	3	3	3	$b_i=4$
2	0	0	↓ 3				$w_i=3$
3	0						$w=2$
4	0						$w-w_i=-1$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

- 1: (2,3)
- 2: (3,4)
- 3: (4,5)
- 4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4		
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=3$

$w-w_i=0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	
3	0					
4	0					

$i=2$

$b_i=4$

$w_i=3$

$w=4$

$w-w_i=1$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5	
0	0	0	0	0	0	0	$i=2$
1	0	0	3	3	3	3	$b_i=4$
2	0	0	3	4	4	7	$w_i=3$
3	0						$w=5$
4	0						$w-w_i=2$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4		
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=1..3$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	
4	0					

$i=3$

$b_i=5$

$w_i=4$

$w=4$

$w - w_i=0$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w - w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5	
0	0	0	0	0	0	0	$i=3$
1	0	0	3	3	3	3	$b_i=5$
2	0	0	3	4	4	7	$w_i=4$
3	0	0	3	4	5	7	$w=5$
4	0						$w - w_i = 1$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	

$i=4$

$b_i=6$

$w_i=5$

$w=1..4$

if $w_i \leq w$ // item i can be part of the solution

if $b_i + V[i-1, w-w_i] > V[i-1, w]$

$V[i, w] = b_i + V[i-1, w-w_i]$

else

$V[i, w] = V[i-1, w]$

else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5	
0	0	0	0	0	0	0	$i=4$
1	0	0	3	3	3	3	$b_i=6$
2	0	0	3	4	4	7	$w_i=5$
3	0	0	3	4	5	7	$w=5$
4	0	0	3	4	5	7	$w - w_i = 0$

if $w_i \leq w$ // item i can be part of the solution
 if $b_i + V[i-1, w-w_i] > V[i-1, w]$
 $V[i, w] = b_i + V[i-1, w-w_i]$
 else
 $V[i, w] = V[i-1, w]$
 else $V[i, w] = V[i-1, w]$ // $w_i > w$

Items:

- 1: (2,3)
- 2: (3,4)
- 3: (4,5)
- 4: (5,6)

Dynamic Programming

How to find actual Knapsack Items

- ▶ All of the information we need is in the table.
- ▶ $V[n, W]$ is the maximal value of items that can be placed in the Knapsack.
- ▶ Let $i = n$ and $k = W$.

FIND ACTUAL KNAPSACKS ITEMS()

- 1: **if** $i = n$ and $k = W$ **then**
- 2: mark the i -th item as in the knapsack
- 3: $i = i - 1, k = k - w_i$
- 4: **else**
- 5: $i = i - 1$

Dynamic Programming Example

i\W	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

i=4

 $k=5$
$$b_i=6$$
 $w_i=5$
$$V[i,k] = 7$$
$$V[i-1,k]=7$$

i=n, k=W

```
while i,k > 0
```

if $V[i,k] \neq V[i-1,k]$ then

mark the i^{th} item as in the knapsack

$$i = i-1, k = k-w_i$$

else

$$i = i - 1$$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=4$

$k=5$

$b_i=6$

$w_i=5$

$V[i,k] = 7$

$V[i-1,k] = 7$

$i=n, k=W$

while $i,k > 0$

if $V[i,k] \neq V[i-1,k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=3$

$k=5$

$b_i=5$

$w_i=4$

$V[i,k] = 7$

$V[i-1,k] = 7$

$i=n, k=W$

while $i,k > 0$

if $V[i,k] \neq V[i-1,k]$ then

mark the i^{th} item as in the knapsack

$i = i-1, k = k-w_i$

else

$i = i-1$

Items:

1: (2,3)

2: (3,4)

3: (4,5)

4: (5,6)

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=2$
 $k=5$
 $b_i=4$
 $w_i=3$
 $V[i,k] = 7$
 $V[i-1,k] = 3$
 $k - w_i = 2$
 Items:

1: (2,3)
 2: (3,4)
 3: (4,5)
 4: (5,6)

$i=n, k=W$
 while $i,k > 0$
 if $V[i,k] \neq V[i-1,k]$ then
 mark the i^{th} item as in the knapsack
 $i = i-1, k = k-w_i$
 else
 $i = i-1$

Dynamic Programming Example

$i \backslash W$	0	1	2	3	4	5
0	0	0	0	0	0	0
1	0	0	3	3	3	3
2	0	0	3	4	4	7
3	0	0	3	4	5	7
4	0	0	3	4	5	7

$i=1$
 $k=2$
 $b_i=3$
 $w_i=2$
 $V[i,k] = 3$
 $V[i-1,k] = 0$
 $k - w_i = 0$
 Items:

1: (2,3)
 2: (3,4)
 3: (4,5)
 4: (5,6)

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$i=0$

$k=0$

The optimal
knapsack
should contain
{1, 2}

$i=n, k=W$

while $i, k > 0$

if $V[i, k] \neq V[i-1, k]$ then

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Dynamic Programming Example

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```

i=n, k=W
while i,k > 0
    if  $V[i,k] \neq V[i-1,k]$  then
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         $i = i-1, k = k-w_i$ 
    else
         $i = i-1$ 
    
```

Items:

- 1: (2,3)
- 2: (3,4)
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- 4: (5,6)

Contents

Knapsack Problem

Greedy Algorithm for Knapsack

Dynamic Programming Approach for Knapsack

Discussion

Discussion : Pseudo-polynomial

Pseudo-polynomial time:

a numeric algorithm runs in pseudo-polynomial time if its running time is a polynomial in **the numeric value of the input** but not necessarily in **the length of the input** (the number of bits required to represent it)

- ▶ The Running time of dynamic programming algorithm on 0-1 Knapsack problem is $O(W * n)$, the number W needs $\log W$ bits to describe, so it is **pseudo-polynomial**.
- ▶ Other pseudo-polynomial algorithm: Primality testing

Discussion: Another DP approach, Pseudo-polynomial

- ▶ Let P be the profit of the most profitable object, i.e. $P = \max_{a \in S} p(a)$. From this, we can upper bound the profit that can be achieved as nP for the n objects. Here, we can assume the benefit of each item are **integer values**.
- ▶ For each $i \in \{1, \dots, n\}$ and $p \in \{1, \dots, nP\}$, let $S_{i,p}$ denote a subset of $\{a_1, \dots, a_i\}$ that has a total profit of exactly p and takes up the **least amount of space** possible.
- ▶ Let $A(i, p)$ be the size of the set $S_{i,p}$, with a value of ∞ to denote no such subset.
- ▶ For $A(i, p)$, we have the base case $A(1, p)$ where $A(1, p(a_1))$ is $s(a_1)$ and all other values are ∞ .

Discussion: Another DP approach, Pseudo-polynomial

- ▶ We can use the following recurrence to calculate all values for $A(i, p)$:

$$A(i+1, p) = \begin{cases} \min\{A(i, p), s(a_{i+1}) + A(i, p - p(a_{i+1}))\}, & \text{if } p(a_{i+1}) \leq p \\ A(i, p), & \text{otherwise} \end{cases}$$

- ▶ The optimal subset then corresponds with the set $S_{n, p}$ for which p is maximized and $A(n, p) \leq B$. Since this iterates through at most n different values to calculate each $A(i, p)$ we get a total running time of $O(n^2P)$ and thus a **pseudo-polynomial** algorithm for knapsack.
- ▶ It is easy to modify the above DP algorithm to achieve a full polynomial-time approximation scheme (FPTAS) for 0-1 knapsack.

Another Dynamic Programming for Knapsack

DP FOR KNAPSACK()

- 1: Let P be the maximum benefit of all items.
- 2: Given $\varepsilon > 0$, let $K = \frac{\varepsilon \cdot P}{n}$.
- 3: **for** each object a_i **do**
- 4: define a new profit $p'(a_i) = \lfloor \frac{p(a_i)}{K} \rfloor$.
- 5: With these as profits of n items, using the dynamic programming algorithm presented in previous slide, find the most profitable set, say S' .
- 6: Output S' as the final solution for the original knapsack problem

Another Dynamic Programming for Knapsack

Theorem

The set S' , output by the aforementioned algorithm, satisfies that

$$P(S') \geq (1 - \epsilon) \cdot OPT.$$

Here $P(S')$ denotes the profit (or benefit) from the set S' , and OPT is the optimum benefit of the original problem.

Another Dynamic Programming for Knapsack

Proof.

Let O be the optimal set for the original problem, and let $\mathbf{P}'(X)$ be the modified profit of set X with profit function $p'()$. Clearly,

$$p(a) - K \leq K \cdot p'(a) \leq p(a);$$

$$\mathbf{P}(O) - K \cdot \mathbf{P}'(O) \leq n \cdot K.$$

Then we have

$$\mathbf{P}(S') \geq K \cdot \mathbf{P}'(S') \geq K \cdot \mathbf{P}'(O) \geq \mathbf{P}(O) - nK = OPT - \varepsilon \cdot P \geq (1 - \varepsilon)OPT$$

This finishes the proof. □

Discussions: Variations of Knapsack Problem

There are many variations of the knapsack problem that have arisen from the vast number of applications of the basic problem.

- ▶ **Basic knapsack:** n items, each with benefit b_i and weight w_i , and a knapsack with weight bound W .
- ▶ **Unbounded knapsack problem:** For each item a_i , it can be selected unlimited times, i.e., we do not put any upper bounds on the number of times an item may be selected.
- ▶ **Bounded knapsack problem:** For each item a_i , it can only be selected by at most k_i times in the final solution, i.e., there is an upper bound that an item may be selected.
- ▶ **Multidimensional knapsack problem** There are more than one constraints (for example, both a volume limit and a weight limit). This problem has 0-1, bounded, and unbounded etc. variants.