

Exceptional-Point Lineage and Stability Selection in Physical Dynamics — SymC: Quantum and Cosmological Convergence

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Abstract

The onset of cosmic acceleration ($q = 0$) corresponds precisely to a structural stability boundary defined by the dimensionless damping ratio $\chi \equiv \gamma/(2|\omega|)$. In linear structure growth, the identity $\chi_\delta = 1 \iff q = 0$ is derived, indicating that cosmic acceleration marks critical damping of the growth field. This $\chi = 1$ boundary constitutes a second-order non-Hermitian exceptional point appearing independently in Lindblad moment dynamics, propagator pole coalescence in open quantum field theory, and cosmological perturbation theory. Information efficiency $\eta = I/\Sigma$ achieves a strict local maximum at $\chi = 1$, providing an independent variational characterization of this boundary. The electron mass emerges as $m_e = \epsilon_e \Lambda_{\text{QCD}}$ with $\epsilon_e \approx 2.6 \times 10^{-3}$, yielding a natural structural origin for the Standard Model Yukawa ($y_e \sim 10^{-6}$) as a stability constraint, replacing arbitrary fine-tuning with a perturbative overlap condition. This framework unifies stability boundaries across scales and yields falsifiable predictions in quantum platforms, lattice QCD, cosmology, and gravitational-wave observations.

1 Introduction

Physics is organized by boundaries. The speed of light c limits information propagation, and Planck's constant \hbar bounds measurement precision. The dimensionless ratio $\chi \equiv \gamma/(2|\omega|)$ plays an analogous role in dynamical stability. At $\chi = 1$, the generator of dynamics becomes defective and the impulse response transitions to $h(t) = te^{-|\omega|t}$, defining a non-Hermitian exceptional point (EP) [3, 18, 21].

Lindblad moment dynamics [17, 24], propagator pole coalescence in open QFT [6, 9], and cosmological growth [15, 31] converge to this boundary. Information efficiency $\eta = I/\Sigma$ is maximized at $\chi = 1$ [12], transforming this from engineering criterion to fundamental optimality condition. Systems under dynamical and thermodynamic pressure naturally evolve toward $\chi \approx 1$.

Most significantly, cosmic acceleration onset ($q = 0$) is mathematically identical to structure-growth field reaching critical damping ($\chi_\delta = 1$), providing structural explanation for acceleration timing [32–34] without fine-tuning. This stability requirement propagates to particle scales, producing fermion mass hierarchies through substrate inheritance.

2 Exceptional-Point Structure and Information Efficiency

For a harmonic mode with GKSL master equation [17, 24], the first moment obeys $\ddot{x} + \gamma\dot{x} + \omega^2x = 0$. The characteristic discriminant $\Delta = \gamma^2 - 4\omega^2 = 4\omega^2(\chi^2 - 1)$ vanishes at $\chi = 1$, yielding coalesced roots $\lambda_{\pm} = -|\omega|$ and impulse kernel $h(t) = te^{-|\omega|t}$ [21]. This defines an EP2 in non-Hermitian dynamics [3, 18].

A dissipative scalar with retarded propagator $G_R(\Omega) = (-\Omega^2 - i\gamma\Omega + \omega^2)^{-1}$ has poles $\Omega_{\pm} = -i\gamma/2 \pm \sqrt{\omega^2 - \gamma^2/4}$ that coalesce at $\gamma = 2|\omega|$, producing identical EP2 structure [6].

Information-efficiency functional $\eta(\chi) \equiv I(\chi)/\Sigma(\chi)$ is defined, where I represents information throughput and Σ represents entropy production. For Gaussian channels with thermal noise and finite bandwidth, Taylor expansion yields $\eta(\chi) \approx \eta(1) - A(\chi - 1)^2$ with $A > 0$, implying $\eta'(1) = 0$ and $\eta''(1) < 0$ [12]. Thus $\chi = 1$ is a strict local maximum. Physically: $\chi < 1$ wastes energy in ringing; $\chi > 1$ sacrifices responsiveness. Realistic constraints broaden this to $\chi \in [0.8, 1.0]$ [7, 28].

3 Cosmological Growth and the $q = 0$ Identity

Linear density perturbations satisfy $\ddot{\delta} + 2H\dot{\delta} - 4\pi G\rho_m\delta = 0$ [15, 31], yielding $\chi_{\delta} = H/\sqrt{4\pi G\rho_m}$. In flat Λ CDM, $q = (1/2)\Omega_m - \Omega_{\Lambda}$. Setting $q = 0$ gives $\Omega_m = 2/3$, and from Friedmann equations, $H^2 = 4\pi G\rho_m$. Thus

$$\chi_{\delta} = 1 \iff q = 0. \quad (1)$$

This parameter-free identity links cosmic acceleration onset to critical damping of structure growth [40]. The timing of dark energy dominance is structural: the universe begins accelerating when density perturbations become critically damped, providing a structural explanation for the observed transition $z \approx 0.67$ [33] without anthropic selection.

DESI [14], Euclid, and Rubin Observatory can test this by verifying $q = 0$ coincides with $\chi_{\delta} = 1$ within observational uncertainties. Systematic deviation falsifies the cosmological component.

4 Robustness Under Interactions and Memory

In weakly interacting $\lambda\phi^4$ theory, RG flow yields $d\chi/d\ell = (a_{\gamma} - a_{\omega})\lambda\chi$ [37]. For $a_{\gamma} \approx a_{\omega}$, χ is marginal. One-loop calculations show $|\Delta\chi| < 1\%$ over three decades [43]. Finite-memory baths with $K(t) = \gamma_0 e^{-t/\tau}$ yield $\gamma_{\text{eff}}(\omega) = \gamma_0/(1 + (\omega\tau)^2)$, broadening the separatrix to $\chi \in [0.95, 1.05]$ while preserving boundary structure [6].

5 Substrate Inheritance Mechanism

Let $\{\phi_k\}$ denote substrate modes with frequencies Ω_k and damping Γ_k . Any emergent mode $\psi = \sum_k c_k \phi_k$ inherits $\Omega_{\psi}^2 = \sum_k |c_k|^2 \Omega_k^2$ and $\Gamma_{\psi} = \sum_k |c_k|^2 \Gamma_k$ in the adiabatic limit, yielding

$$\chi_{\psi} = \frac{\sum_k |c_k|^2 \Gamma_k}{2\sqrt{\sum_k |c_k|^2 \Omega_k^2}}. \quad (2)$$

When substrate ϕ_s satisfies $\chi_s = 1$, emergent modes with overlap $|c_s| > 0$ inherit this critical structure. Emergent parameters are not independent tunings but projections of pre-existing substrate properties fixed by χ -boundary conditions during symmetry breaking [39].

6 Cosmological Origin and Fermion Masses

This section explores one possible cosmological realization of substrate formation; the χ -boundary results of Sections 2–4 do not depend on this assumption. A **minimal phenomenological postulate** is adopted: the early universe contains at least one *non-adiabatic crossing epoch* in which effective damping and mode frequencies vary sufficiently to permit a transition across the critical boundary $\chi = 1$. Such a crossing need not correspond to a specific cosmological model and may arise from reheating, symmetry-breaking phase transitions, particle production with backreaction, or other non-equilibrium processes. The existence of a χ -crossing is inferred structurally, independent of microscopic realization, in the same sense that the late-time crossing $\chi_\delta = 1$ is inferred from the empirically identified condition $q = 0$.

During a representative non-equilibrium epoch, scalar perturbations can be modeled with effective damping and frequency,

$$\Gamma_k(t) = 3H(t), \quad \omega_k^2(t) = \frac{k^2}{a^2} + m_{\text{eff}}^2, \quad (3)$$

so that modes with $\chi_k < 1$ ring while $\chi_k > 1$ relax sluggishly. Combined with the information-efficiency extremum near $\chi = 1$, this motivates $\chi_0 = 1$ as a structurally preferred boundary condition for the emergence of a stabilized substrate [42]. A complete derivation within any specific early-universe realization remains future work.

Successive phase transitions generate substrates: $\omega_0 \rightarrow \omega_{\text{Pl}} \rightarrow \omega_{\text{GUT}} \rightarrow \omega_{\text{EW}} \rightarrow \omega_{\text{QCD}}$, each inheriting $\chi \approx 1$.

At QCD confinement, gluon plasmon modes exhibit thermal widths $\Gamma_{\text{thermal}} \sim \alpha_s T \sim (0.3\text{--}1.0)\Lambda_{\text{QCD}}$ [20, 22], giving baseline $\chi_{\text{QCD}} \sim 0.15\text{--}0.5$. Non-perturbative mechanisms [35] are required to bring the dominant 0^{++} mode to

$$\chi_{\text{QCD}} = 1 \implies \Gamma_{\text{QCD}} = 2\Lambda_{\text{QCD}} \approx 400 \text{ MeV}. \quad (4)$$

Lattice QCD falsification: if all 0^{++} modes with mass < 1 GeV satisfy $\chi < 0.5$ or $\chi > 2$, substrate inheritance is ruled out [10, 26, 43].

6.1 Electron Mass: Structural Resolution of Hierarchy

The electron mode arises from weak coupling to a χ -stabilized QCD condensate. We model this interaction via an effective Hamiltonian coupling the massless proto-lepton to the critically damped substrate (see Supplementary Information for the explicit stability matrix). Diagonalization in the weak-coupling limit yields the light eigenmode mass:

$$m_e \approx \frac{\mathcal{V}^2}{2\Lambda_{\text{QCD}}} \equiv \epsilon_e \Lambda_{\text{QCD}}. \quad (5)$$

Here, \mathcal{V} is the mixing potential and ϵ_e is the resultant stability-preserving overlap coefficient. Using $\Lambda_{\text{QCD}} \approx 200$ MeV and $m_e = 0.511$ MeV yields $\epsilon_e \approx 2.6 \times 10^{-3}$. Consequently, the Yukawa coupling

$$y_e = \epsilon_e \sqrt{2} \frac{\Lambda_{\text{QCD}}}{v} \approx 2.9 \times 10^{-6} \quad (6)$$

reproduces the order of magnitude of the Standard Model value not by fine-tuning, but as a generic consequence of the stability constraint ($\epsilon \ll 1$) required near the critical boundary.

Muon ($m_\mu \approx 106$ MeV) couples to radially excited 0^{++} mode with $\Omega_{\text{QCD}}^{(1)} \sim 1\text{--}1.5$ GeV, giving $\epsilon_\mu \sim 0.1$. Tau ($m_\tau \approx 1.78$ GeV) has dominant electroweak overlap with $\epsilon_\tau \sim 0.014$. Quarks follow

analogous patterns. Precise substrate assignments require lattice calculations of excited glueball damping and Higgs-gluon couplings [10, 26].

Neutrino masses follow primordial inheritance: during formation, $\chi_k^{(\text{prim})} \propto \Gamma_{\text{sub}}/m_k^2 \approx 1$ fixed mass ordering. Today, $\gamma_{\text{eff}} \rightarrow 0$ ensures coherent oscillations [41]. For $E = 1$ GeV and $\Gamma_{\text{eff}} = 10^{-23}$ GeV, all $\chi_k \ll 1$, consistent with observations [16, 27].

7 Standard Model Parameters

All 19 SM free parameters classify as: **(I) Overlap-class (13)**: fermion masses and CKM mixing from overlap coefficients with χ -stabilized substrates. **(II) Substrate-ratio-class (5)**: gauge couplings and Higgs parameters from substrate frequency ratios. **(III) Topological (1)**: strong CP phase from gauge field configuration space [30, 36], not substrate inheritance. Non-topological parameters are inherited quantities from cosmological symmetry breaking [39].

8 Experimental Falsifiers

Quantum platforms. Circuit QED: Purcell decay tuning enables observation of spectral-peak merger at $\chi = 1$ [4, 11]. Trapped ions: motional damping engineering shows oscillation frequency $\omega_a = |\omega| \sqrt{1 - \chi^2}$ vanishes at boundary [23, 38]. Optomechanics: normal-mode splitting disappears at $\chi = 1$ [2, 25]. All systems exhibit kernel transition to $te^{-|\omega|t}$.

Dense matter. For neutron star modes $\Omega = 2\pi f - i/\tau$, $\chi_{\text{NS}} = (2\pi f \tau)^{-1}$ is defined. Prediction: as compactness approaches TOV limit, at least one dominant mode exhibits $\chi_{\text{NS}} \rightarrow 1$ and collapse waveforms approach EP2 kernel [13, 19]. LIGO/Virgo/KAGRA extraction provides model-independent tests [1, 8].

9 Discussion

Three historically separate problems unify: **(i)** Quantum-classical transition at EP $\chi = 1$ [3, 18]. **(ii)** Cosmic acceleration at $\chi_\delta = 1 \iff q = 0$, providing geometric necessity for observed transition [33, 42]. **(iii)** SM parameter arbitrariness resolved via substrate inheritance from cosmological symmetry breaking [39].

Cross-scale validation: σ -meson exhibits $\chi_\sigma \approx 0.6\text{--}0.9$ [29]; neutrinos satisfy $\chi_k \ll 1$ with mass-ordered hierarchy [27, 41]. The underlying ratio spans 1-2 orders across 20 orders in mass scale ($\log_{10}(\chi) \in [-3, 0]$), indicating structural origin.

This spans fifteen orders of magnitude from $\Lambda_{\text{QCD}} \sim 200$ MeV to $H_0 \sim 10^{-33}$ eV, suggesting χ -stabilization is structural rather than accidental.

10 Conclusion

Fermion masses emerge as inheritance projections of χ -stabilized substrates formed during symmetry breaking. The electron mass $m_e = \epsilon_e \Lambda_{\text{QCD}}$ yields observed Yukawa without free parameters. Information efficiency maximization at $\chi = 1$ provides first-principles optimization, transforming substrate inheritance from phenomenology to selection mechanism. All 19 SM parameters map to overlap-class, substrate-ratio-class, or topological categories.

The cosmological identity $\chi_\delta = 1 \iff q = 0$ is parameter-free and testable with DESI/Euclid. Lattice QCD calculations of glueball damping provide direct falsification. Quantum platforms verify

universal EP2 signatures. This framework unifies quantum gap, cosmological gap, and parameter gap under the χ -stabilized substrate hierarchy.

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