

# 算法设计与分析笔记

## stable matching

**matching:** 每个人有0或1个对象与之匹配

**perfect matching:** 每个人都拥有一个对象与之匹配

**unstable pair:**  $(m, w), \exists(m', w'), m \text{ prefer } w' \text{ to } w \wedge w' \text{ prefer } m \text{ to } m'$

**stable matching:** 没有unstable pair的perfect matching

$n$ 对 $n$  matching stable matching一定存在, 但是不一定是唯一的,  $2n$ 个人的stable matching可能不存在

## Gale-Shapley Algorithm

```
initiaize each person to be free
while (exists man not paired):
    choose such a man m
    find w = topest women m has not proposed to yet
    if (w is free):
        (m, w) become paired
    else if (w prefers m to her current partner m'):
        (m, w) become paired
        m' become free
    else:
        w rejects m
```

**time complexity:**  $O(n^2)$

G-S ends after at most  $n^2$  iterations

G-S finds a man-optimistic and women-pessimistic stable matching

1. Interval scheduling:  $n \log(n)$  greedy algorithm.
2. Weighted interval scheduling:  $n \log(n)$  dynamic programming algorithm.
3. Bipartite matching:  $n^k$  max-flow based algorithm.
4. Independent set: NP-complete.
5. Competitive facility location: PSPACE-complete.

## Algorithm Analysis

**upper bound:**

$f(n)$  is  $O(g(n))$  if there exist  $c > 0$  and  $n_0 > 0$  such that  $0 \leq f(n) \leq c \cdot g(n)$  for all  $n \geq n_0$

**lower bound:**

$f(n)$  is  $\Omega(g(n))$  if there exist  $c > 0$  and  $n_0 > 0$  such that  $0 \leq c \cdot g(n) \leq f(n)$  for all  $n \geq n_0$

**tight bound:**

$f(n)$  is  $\Theta(g(n))$  if there exist  $c_1, c_2 > 0$  and  $n_0 > 0$  such that  $0 \leq c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$  for all  $n \geq n_0$

**properties:**

1. Reflexivity:  $f = O(f)$

2. Constants: if  $f$  is  $O(g)$  and  $c > 0$ , then  $c \cdot f$  is  $O(g(n))$
3. Products: if  $f_1$  is  $O(g_1)$  and  $f_2$  is  $O(g_2)$ , then  $f_1 \cdot f_2$  is  $O(g_1 \cdot g_2)$
4. Sums: if  $f_1$  is  $O(g_1)$  and  $f_2$  is  $O(g_2)$ , then  $f_1 + f_2$  is  $O(\max(g_1, g_2))$
5. Transitivity: if  $f$  is  $O(g)$  and  $g$  is  $O(h)$ , then  $f$  is  $O(h)$

$f(n)$  is  $\Theta(g(n))$  iff  $f(n)$  is  $O(g(n))$  and  $\Omega(g(n))$

if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = c$ , then  $f(n)$  is  $\Theta(g(n))$

if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , then  $f(n)$  is  $O(g(n))$

if  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = \infty$ , then  $f(n)$  is  $\Omega(g(n))$

#### asymptotic bounds of common functions:

1.  $f(n) = a_0 + a_1 \cdot n + a_2 \cdot n^2 + \dots + a_d \cdot n^d$ , where  $a_d > 0$ , then  $f(n)$  is  $\Theta(n^d)$
2.  $\log_a(n)$  is  $\Theta(\log_b(n))$  for any  $a, b > 1$
3.  $\log_a(n)$  is  $O(n^k)$  for any  $k > 0$  and  $a > 1$
4.  $r^n$  is  $\Omega(n^k)$  for any  $k > 0$  and  $r > 1$
5.  $n!$  is  $2^{\Theta(n \log(n))}$  Proof:  $n! \sim \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$

#### multiple variables:

$f(m, n)$  is  $O(g(m, n))$  if there exist  $c > 0$  and  $n_0 > 0$  and  $m_0 > 0$  such that  $0 \leq f(m, n) \leq c \cdot g(m, n)$  for all  $n \geq n_0$  and  $m \geq m_0$

e.g.  $f(m, n) = 32mn^2 + 17mn + 32n^3$

$f(m, n)$  is  $O(mn^2 + n^3)$  and  $O(mn^3)$  but not  $O(n^3)$  nor  $O(mn^2)$

$f(m, n)$  is  $O(n^3)$  if  $m \geq n$  is implied.

sorting is  $O(n \log(n))$

merge two sorted lists is  $O(n)$

target sum on sorted list is  $O(n)$

three sum on sorted list is  $O(n^2)$

closest pair of points on a plane is  $O(n \log(n))$

find disjoint sets in  $n$  sets is  $O(n^3)$

independent set of size  $k$  is  $O(n^k)$

find maximum size of independent set is  $O(n^2 2^n)$



## 图的表示

#### adjacency matrix:

$A[i, j] = 1$  if  $(i, j) \in E$  else 0

space:  $O(n^2)$

check if  $(i, j) \in E$ :  $O(1)$

enumerate all edges:  $O(n^2)$

#### adjacency list:

for each vertex  $v$ , store a list of vertices  $w$  such that  $(v, w) \in E$

space:  $O(n + m)$

check if  $(i, j) \in E$ :  $O(\deg(i))$

enumerate all edges:  $O(n + m)$

**path:** a sequence of vertices  $v_1, v_2, \dots, v_k$  such that  $(v_i, v_{i+1}) \in E$  for all  $i = 1, 2, \dots, k - 1$

a path is **simple** if all vertices are distinct

a path is **cycle** if  $v_1 = v_k$ , and no other vertices are repeated

a cycle is **simple** if all vertices are distinct except  $v_1 = v_k$

a graph is **connected** if there is a path between every pair of vertices

an undirected graph is a **tree** if it is connected and has no cycles

let G be a graph with n vertices, if G is connected, does not contain a cycle and has n-1 edges, then G is a tree.

## BFS

```
push s into Q
while Q is not empty
  v = pop_front Q
  for each edge (v,w) in E
    if w is not visited
      push w into Q
      mark w as visited
```

time:  $O(n + m)$

## DFS

```
push s into S
while S is not empty
  v = pop S
  for each edge (v,w) in E
    if w is not visited
      push w into S
      mark w as visited
```

## Testing Bipartiteness

*bipartite graph cannot have odd cycle*

```
push s into Q
color[s] = 0
while Q is not empty
  v = pop_front Q
  for each edge (v,w) in E
    if w is not visited
      push w into Q
      color[w] = 1 - color[v]
    else if color[w] == color[v]
      return false
return true
```

## Strongly Connected Graph

a directed graph is **strongly connected** if there is a path between every pair of vertices

check if a directed graph is strongly connected:

```
pick a vertex s
run DFS from s on G
if there is a vertex v not visited
  return false
run DFS from s on G^T
if there is a vertex v not visited
  return false
return true
```

Time:  $O(n + m)$

## DAG and Topological Sort

a directed graph is a **DAG** if it does not contain a cycle

topological sort: a linear ordering of vertices such that if  $(u, v) \in E$ , then u appears before v in the ordering

topological sort on DAG:

```
initialize in_degree[v] for all v
push all v such that in_degree[v] = 0 into Q
while Q is not empty
    v = pop_front Q
    output v
    for each edge (v,w) in E
        in_degree[w] -= 1
        if in_degree[w] == 0
            push w into Q
```

## Greedy Algorithms

Greedy algorithms works if

1. greedy choice property: a globally optimal solution can be arrived at by making a locally optimal (greedy) choice
2. optimal substructure: an optimal solution to the problem contains within it optimal solutions to subproblems

贪心算法有效的条件是:

3. 贪心选择性: 每一步贪心选出来的一定是原问题的最优解的一部分
4. 最优子结构: 每一步贪心选择之后, 贪心解和剩余子问题的最优解构成了原问题的最优解

## Interval Scheduling

**interval scheduling problem:** given a set of intervals, find a maximum-size subset of mutually compatible intervals

Algorithm: Earliest Finish Time First

## Interval Partitioning

**interval partitioning problem:** given a set of intervals, find a minimum-size set of bins such that every interval is assigned to a bin and no two intervals assigned to the same bin overlap

Algorithm: Earliest Start Time First

## scheduling to minimize lateness

**scheduling to minimize lateness:** given a set of jobs, each with a deadline and a processing time, find a schedule that minimizes the maximum lateness

Algorithm: Earliest Deadline First

Time: all above is  $O(n \log(n))$

## Optimal Caching

**optimal caching:** given a sequence of requests for data, find a cache of size k that minimizes the number of cache misses

Offline algorithms:

1. LIFO/FIFO
2. LRU (least recently used)

3. LFU (least frequently used)
4. **Optimal: FF (furthest in the future)**

## Shortest Path

**single-source shortest path problem:** given a weighted graph G and a source vertex s, find a shortest path from s to every other vertex in G

dijsktra's algorithm:

```
initialize dist[v] = infinity for all v
dist[s] = 0
unvisited = V
while unvisited is not empty
    v = vertex in unvisited with min dist[v]
    remove v from unvisited
    for each edge (v,w) in E
        if dist[w] > dist[v] + weight(v,w)
            dist[w] = dist[v] + weight(v,w)
```

time:  $O(n^2)$

## Minimum Spanning Tree

**minimum spanning tree:** given a weighted graph G, find a spanning tree of G with minimum total weight

Algorithm: Prim's Algorithm

```
S = {s} for some s in V
T = {}
Repeat n-1 times
    find edge (v,w) with min weight such that v in S and w not in S
    add (v,w) to T
    add w to S
```

time:  $O(m \log(n))$

Algorithm: Kruskal's Algorithm

```
T = {}
sort edges by weight
make set for each vertex
for each edge (v,w) in E
    if v and w are in different sets
        add (v,w) to T
        merge sets containing v and w
```

## divide and conquer

$$T(n) = aT(n/b) + \Theta(n^c)$$

1. if  $c > \log_b(a)$ , then  $T(n) = \Theta(n^c)$
2. if  $c = \log_b(a)$ , then  $T(n) = \Theta(n^c \log(n))$
3. if  $c < \log_b(a)$ , then  $T(n) = \Theta(n^{\log_b(a)})$

**merge sort:**

```

if n == 1
    return
merge_sort(A[1..n/2])
merge_sort(A[n/2+1..n])
merge(A[1..n/2], A[n/2+1..n])

```

time:  $O(n \log(n))$

### counting inversions:

just add a counter in merge function

time:  $O(n \log(n))$

### closest pair:

```

closest_pair(P)
    if |P| <= 3
        brute force
    else
        Q = left half of P
        R = right half of P
        (p1,q1) = closest_pair(Q)
        (p2,q2) = closest_pair(R)
        d = min{dist(p1,q1), dist(p2,q2)}
        delete all points in P that are more than d away from the middle line
        sort remaining points in P by y-coordinate
        scan points in P from top to bottom
            for each point p
                consider only 7 points below p
                compute distance between p and each of the 7 points
                if any distance is less than d
                    update d

```

time:  $O(n \log(n))$

## Dynamic Programming

### optimal matrix mul:

Matrix  $M_1, M_2, \dots, M_n$  with dimensions  $r_1, r_2, \dots, r_{n+1}$

$$C[i, j] = \min_{i < k \leq j} (C[i, k-1] + C[k, j] + r_i r_k r_{j+1})$$

$$C[i, i] = 0$$

### 最优三角剖分:

$$C[i, j] = \min_{i < k \leq j} (C[i, k] + C[k+1, j] + w(v_{i-1}, v_k, v_j))$$

$$C[i, i] = 0$$

### 0-1 knapsack:

$$V[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ V[i-1, j] & \text{if } w_i > j \\ \max(V[i-1, j], V[i-1, j-w_i] + v_i) & \text{otherwise} \end{cases}$$

### 最长公共子序列:

$$C[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0 \\ C[i-1, j-1] + 1 & \text{if } i, j > 0 \text{ and } x_i = y_j \\ \max(C[i-1, j], C[i, j-1]) & \text{if } i, j > 0 \text{ and } x_i \neq y_j \end{cases}$$

### hpc:

i give up

**整数划分:**

$$P(K, m) = \begin{cases} 1 & \text{if } K = 1 \text{ or } m = 1 \\ P(K, K) & \text{if } K > m \\ 1 + P(K, K - 1) & \text{if } K = m \\ P(K, m - 1) + P(K - m, m) & \text{otherwise} \end{cases}$$

## 回溯法

**3-Coloring:**

```
c[k] = 0 for all k
color(1)
```

```
def color(k)
    for color = 1 to 3
        c[k] = color
        if feasible(k)
            if k == n
                output c[1..n]
            else
                color(k+1)
```

*template:*

```
v = {}
flag = false
advance(1)
if flag
    output v
else
    print "no solution"
```

```
def advance(k)
    for each x in S
        v[k] = x
        if found_solution(k)
            flag = true and return
        else if feasible(k)
            advance(k+1)
```

**branch and bound:**

```
initialize upper bound = infinity
root = new node
put root in queue
while queue is not empty
    node = remove node from queue
    if node is a leaf
        update upper bound
    else
        for each child of node
            if child is promising
                put child in queue
```

## Randomized Algorithms

**throwing needles:**

with needle of length  $l$ , throw  $n$  times, hit  $m$  times, then  $p = m/n = 2l/\pi d$

### 随机非重复采样问题:

```
while k < m
    i = random(1,n)
    if i not in S
        S = S union {i}
        k = k + 1
```

### quick sort:

```
if l < r
    random select pivot x
    swap A[l] and A[x]
    p = partition(A,l,r)
    quick_sort(A,l,p-1)
    quick_sort(A,p+1,r)
```

```
def partition(A,l,r)
    x = A[l]
    while l < r
        while l < r and A[r] > x
            r = r - 1
        A[l] = A[r]
        while l < r and A[l] <= x
            l = l + 1
        A[r] = A[l]
    A[l] = x
    return l
```

### fingerprints:

for  $n$  bit binary string  $x$ , select a random prime number  $p$ ,

$$I_p(x) = I(x) \bmod p, \text{ where } I(x) = \sum_{i=1}^n x_i 2^{i-1}$$

in case of original string  $x$ ,

$$I_p(x) = I(x) \bmod p, \text{ where } I(x) = \sum_{i=1}^n x_i s^{i-1}, \text{ s is the length of alphabet}$$

if  $I_p(x) \neq I_p(y)$ , then  $x \neq y$

but if  $I_p(x) = I_p(y)$ , then  $x \neq y$  with probability  $1/p$

note:  $\pi(x) \approx x / \ln(x)$ , if  $k < 2^n$

### pattern matching with fingerprints:

```
random select prime p
string X with length n
string Y with length m
alphabet size c
W_p = c^m mod p
calculate I_p(Y) and I_p(X[1..m])
for i = 1 to n-m+1
    if I_p(X[i..i+m-1]) = I_p(Y)
        if X[i..i+m-1] = Y
            return i
    I_p(X[i+1..i+m]) = (c * I_p(X[i..i+m-1]) - X[i]W_p + X[i+m]) mod p
return 0
```