Hazard Analysis Software Engineering

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Table 1: Revision History

Date	Developer(s)	Change
Oct 15	SyntaxSentinels	Initial Revision
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Contents

1	Introduction	1
2	Scope and Purpose of Hazard Analysis	1
3	System Boundaries and Components	2
4	Critical Assumptions	2
5	Failure Mode and Effect Analysis	2
6	Safety and Security Requirements	4
7	Roadmap	4

1 Introduction

A hazard is a property or condition in the system together with a condition in the environment that has the potential to cause harm, disrupt operations, or negatively affect the functionality of a system. Hazards can arise from various sources, including system malfunctions, human errors, environmental factors, or security vulnerabilities.

This document is the hazard analysis for the Capstone SyntaxSentinels. This project seeks to create a plagiarism algorithm that relies on NLP techniques of present to account for semantics and prevent primitive circumvention of plagiarism detection, such as the addition of benign lines or variable name changes.

2 Scope and Purpose of Hazard Analysis

The purpose of this hazard analysis is to identify, evaluate, and mitigate potential risks that could lead to system failures or undesired outcomes. In the context of this project, the primary losses incurred due to hazards could include:

- Unauthorized interception of sensitive data, such as code submissions or plagiarism reports which could lead to privacy breaches.
- Misidentification of plagiarism cases, either false positives (innocent submissions flagged) or false negatives (plagiarized submissions unflagged).
- Disruption of service leading to user dissatisfaction, especially in timesensitive code competition environments leading to loss of reputation.
- Inaccurate similarity scores, which could result in biased or incorrect decisions by professors or competition organizers.

The scope of this hazard analysis will cover the following areas:

- Risks associated with data handling.
- Risks in the plagiarism detection algorithms and model performance.
- User authentication and access control risks.
- Potential human errors in adjusting plagiarism detection thresholds.

The analysis aims to minimize these risks and ensure the robustness, security, and accuracy of the system while maintaining a high level of user trust and system reliability.

3 System Boundaries and Components

[Dividing the system into components will help you brainstorm the hazards. You shouldn't do a full design of the components, just get a feel for the major ones. For projects that involve hardware, the components will typically include each individual piece of hardware. If your software will have a database, or an important library, these are also potential components. —SS

4 Critical Assumptions

- Adequate computational resources exist for the real time analysis of the code snippets
- Users do not intend to misuse the product
- Third party resources that support this product will always be functionally correct
- All components on the cloud will provide sufficient scalability and security
- The system will be maintained regularly with bug fixes/performance enhancements
- The criteria for plagiarism is agreed upon by all users

5 Failure Mode and Effect Analysis

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Design	Failure Modes	Effects of Failure	Causes of Failure	Detection	Recommended Actions	SR	Ref.
Function							
Input Pro-	Failure to tokenize text	Model fails to function or gives	a. Code not in Python	Check file extension	a. Check input beforehand		
cessing		wrong output	b. Tokenizer malfunction	to ensure .py suffix	b. Notify user of error occurred		
			c. Corrupted file				
	Failure to upload file	Plagiarism detection process	a. Invalid file type	Error handling	a. Notify user of failed upload		
		does not start	b. Server error				
User Ac-	Unauthorized access to ac-	a. Account compromised	a. Weak user authentication		a. Limit unsuccessful login at-		
count	count	b. User submissions compro-	measures		tempts		
Handling		mised			b. Multi-factor authentication		
Result pro-	Model is overfitted	Model fails to identify plagiarism	a. Small dataset	Test model with	a. Ensure datasets don't all have		
cessing and		for many inputs	b. Dataset too specific	test dataset	similar code		
generation							
	Model providing false pos-	Submissions incorrectly flagged	a. Inability to recognize common	Proper tests with	a. Implement good pattern anal-		
	itives	for plagiarism	coding practices	test data split	ysis		
			b. Error in model		b. Proper testing		
	Comments are tokenized	Comments become extremely	a. Bad implementation of model	Found in testing	a. Ensure code handles com-		
	or ignored incorrectly	easy way to bypass plagiarism	b. Error in code	using inputs with	ments properly		
		detection		comments			
Result out-	Results e-mail failed to	Users who close the tab will not	a. Network issues on either		a. Send e-mail from safe and		
put display	send	see the results	sender/recipient side newline b.		trusted domains b. Ensure recip-		
			Blocked by spam filters		ient address is filled correctly in		
			c. Incorrect e-mail address		script		

Table 2: Failure Mode and Effect Analysis

6 Safety and Security Requirements

• SR-SAF1: Submission Rate Limitation: The system shall limit the number of submissions by a parcitular user within a specific time period to prevent server overload.

Rationale: The activity of any user should not impact the performance of the system nor increase waiting times for other users.

• SR-SAF2: Safe System States During Failure: In case of system error (i.e. hardware or network failures), the system shall inform users of the failure of their pending submissions before gracefully shutting down.

Rationale: This ensures that users are notified of submission failures, preventing confusion or wasted time.

• SR-SAF3: Warning of Potentially Inaccurate Detections: In cases where the system produces imperfect or potentially erroneous detections, the user shall be warned that the results may be inaccurate.

Rationale: Maintaining transparency that results may not be reliable protects users from acting on incorrect information before checking the results for themselves.

• SR-SAF4: Protection Against Inappropriate Inputs: The system shall validate all user submissions so that malformed code submissions doesn't compromise system performance or cause system downtime.

Rationale: Malformed inputs could lead to system crashes or incorrect analysis.

• SR-SAF5: Isolation of Critical Functions: Critical functions such as plagiarism detection and report generation shall be isolated to prevent faults in non-critical components from affecting system stability.

Rationale: Issues in non-critical functions (such as the user interface) shouldn't compromise overall system stability.

7 Roadmap

[Which safety requirements will be implemented as part of the capstone time-line? Which requirements will be implemented in the future? —SS]

Appendix — Reflection

[Not required for CAS 741—SS]

The purpose of reflection questions is to give you a chance to assess your own learning and that of your group as a whole, and to find ways to improve in the future. Reflection is an important part of the learning process. Reflection is also an essential component of a successful software development process.

Reflections are most interesting and useful when they're honest, even if the stories they tell are imperfect. You will be marked based on your depth of thought and analysis, and not based on the content of the reflections themselves. Thus, for full marks we encourage you to answer openly and honestly and to avoid simply writing "what you think the evaluator wants to hear."

Please answer the following questions. Some questions can be answered on the team level, but where appropriate, each team member should write their own response:

- 1. What went well while writing this deliverable?
- 2. What pain points did you experience during this deliverable, and how did you resolve them?
- 3. Which of your listed risks had your team thought of before this deliverable, and which did you think of while doing this deliverable? For the latter ones (ones you thought of while doing the Hazard Analysis), how did they come about?
- 4. Other than the risk of physical harm (some projects may not have any appreciable risks of this form), list at least 2 other types of risk in software products. Why are they important to consider?