



Quantum Chemistry I

Mathematical Backgrounds

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1 Linear Space

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Linear Space

In the very beginning, we have to revise some of the basic notions.

Linear Space

A linear space V on field \mathcal{F} is defined as a set equipped with two operations: addition $V \times V \rightarrow V$ and scalar product $\mathcal{F} \times V \rightarrow V$, with the operations satisfying:

- 1 addition associativity: $(u + v) + w = u + (v + w) = u + v + w$
- 2 existence of identity element: there exists 0 , $0 + v = v$
- 3 existence of inverse elements: for any v exists v' , $v + v' = v' + v = 0$
- 4 scalar product associativity: $a(bv) = (ab)v = abv$
- 5 existence of identity element: there exists 1 , $1v = v$
- 6 distributivity: $(a + b)v = av + bv$, $a(u + v) = au + av$

Linear Space

pre-Hilbert Space

A pre-Hilbert space \mathcal{F} is a linear space equipped with inner product

$$\langle, \rangle: \mathcal{F} \times \mathcal{F} \rightarrow \mathbb{C}$$

satisfying:

- 1 $\langle au + bv, w \rangle = a \langle u, w \rangle + b \langle v, w \rangle$
- 2 $\langle u, v \rangle = \langle v, u \rangle^*$
- 3 $\langle x, x \rangle = \|x\|^2 \geq 0$. Equality holds if and only if $x = 0$.

Hilbert Space

A Hilbert space \mathcal{H} is a Cauchy-complete pre-Hilbert space.

Operators

Operators

An operator is a mapping $\mathcal{O} : u \rightarrow v$.

The operator is linear if for any u, v :

$$\mathcal{O}(au + bv) = a\mathcal{O}u + b\mathcal{O}v$$

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Exersice A: Linear operator \mathcal{O} on finite-dimentional linear space V could be represented by a $\dim(V) \times \dim(V)$ matrix. Why?

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Dirac Bra-ket Notions

Dirac denote the vectors in Hilbert spaces as $|a\rangle$. The corresponding covector is denoted as $\langle a|$. The inner product is defined as:

$$\langle a| |b\rangle = \langle a|b\rangle = a^\dagger b = \sum a_i^* b_i$$

Tips: use `braket` package in \LaTeX .

Dirac Bra-ket Notions

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Exercise B: What does $|v\rangle\langle v|$ mean? Show that for a complete set of basis $|i\rangle$:

$$\sum |i\rangle\langle i| = \mathbf{1}$$

where $\mathbf{1}$ is the identity operator.

Functional Notions

The functional notions corresponds to the wave mechanics.

The vectors are now functions $a(x)$.

The inner product are defined as follows:

$$\int_{\Omega} dx a^*(x) b(x)$$

Integrals replace summations.

$$\sum |i\rangle \langle i| = \mathbf{1}$$

$$\int dx |i\rangle \langle i| = \mathbf{1}$$

Operators, Continued

Local and Nonlocal Operators

An nonlocal operator is defined like:

$$b(x) = \int_{\Omega} dx' O(x, x') a(x')$$

An local operator is defined like:

$$b(x) = \int_{\delta(x, \epsilon)} dx' O(x, x') a(x')$$

Example of local operators: x , p , L_z , ...

Operators, Continued

Commutator and Anticommutator of Operators

$$[\mathcal{A}, \mathcal{B}] = \mathcal{A}\mathcal{B} - \mathcal{B}\mathcal{A}$$

$$\{\mathcal{A}, \mathcal{B}\} = \mathcal{A}\mathcal{B} + \mathcal{B}\mathcal{A}$$

Unitary Matrices and Hermite Matrices

Unitary matrices are defined as: $A^{-1} = A^\dagger$

Hermite matrices are defined as: $A^\dagger = A$

Operators, Continued

Adjunct (or Hermite transpose)

$$A^\dagger = (A^T)^* = (A^*)^T$$

Exercise C: For a Hermite operator (which means the matrix representation of operator is Hermite) \mathcal{A} , $\mathcal{A} |a\rangle = \omega_a |a\rangle$

- 1 Prove that ω_a is real for any a .
- 2 Prove that $\langle a|b\rangle = \delta_{ab}$ for any a, b

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Change of Basis Using Dirac Notions

Assume we have two orthogonal normalised basis $|i\rangle$ and $|a\rangle$

$$\begin{aligned}\langle i|j\rangle &= \delta_{ij} & \sum |i\rangle \langle i| &= \mathbf{1} \\ \langle \alpha|\beta\rangle &= \delta_{\alpha\beta} & \sum |\alpha\rangle \langle \alpha| &= \mathbf{1}\end{aligned}$$

To change the basis from $|i\rangle$ to $|a\rangle$:

$$\begin{aligned}|\alpha\rangle &= \mathbf{1} |\alpha\rangle \\ &= \sum |i\rangle \langle i|\alpha\rangle \\ &= \sum |i\rangle (\mathbf{U})_{i\alpha}\end{aligned}$$

The matrix \mathbf{U} is called the transformation matrix. With similar processed we could derive:

$$|i\rangle = \sum |\alpha\rangle (\mathbf{U}^\dagger)_{\alpha i}$$

Change of Basis Using Dirac Notions

The matrix representation could be transformed using the same method:

$$\mathcal{O} \rightarrow \mathbf{O} \quad \mathbf{O}_{ij} = \langle i | \mathcal{O} | j \rangle$$

$$\mathcal{O} \rightarrow \mathbf{\Omega} \quad \mathbf{\Omega}_{\alpha\beta} = \langle \alpha | \mathcal{O} | \beta \rangle$$

$$\begin{aligned} \Omega_{\alpha\beta} &= \langle \alpha | \mathcal{O} | \beta \rangle \\ &= \langle \alpha | \mathbf{1} \mathcal{O} \mathbf{1} | \beta \rangle \\ &= \sum_{ij} \langle \alpha | i \rangle \langle i | \mathcal{O} | j \rangle \langle j | \beta \rangle \\ &= \sum_{ij} (\mathbf{U}^\dagger)_{\alpha i} (\mathbf{O})_{ij} (\mathbf{U})_{j\beta} \end{aligned}$$

So matrices are connected by unitary transformations:

$$\mathbf{\Omega} = \mathbf{U}^\dagger \mathbf{O} \mathbf{U} \quad \mathbf{O} = \mathbf{U} \mathbf{\Omega} \mathbf{U}^\dagger$$

Diagonalisation

There are multiple ways of diagonalise an Hermite matrix. Here we only present the original method.

For a given matrix \mathbf{O} , solving the eigenvalue equations:

$$(\mathbf{O} - \lambda \mathbf{I})\mathbf{c} = 0$$

we obtain $\{\lambda_i\}$ and $\{\mathbf{c}^i\}$, and

$$\mathbf{U} = (\mathbf{c}^1 \ \mathbf{c}^2 \ \dots \ \mathbf{c}^N)$$
$$\mathbf{\Omega} = \mathbf{U}^\dagger \mathbf{O} \mathbf{U} = \begin{pmatrix} \lambda_1 & & & \\ & \lambda_2 & & \\ & & \ddots & \\ & & & \lambda_N \end{pmatrix}$$

Function of Matrices

For diagonalised matrices:

$$f(\mathbf{a}) = \begin{pmatrix} f(a_1) & & & \\ & f(a_2) & & \\ & & \ddots & \\ & & & f(a_N) \end{pmatrix}$$

And for undiagonalised matrices:

$$\mathbf{U}^\dagger \mathbf{A} \mathbf{U} = \mathbf{a}$$

$$\mathbf{A} = \mathbf{U} \mathbf{a} \mathbf{U}^\dagger$$

$$f(\mathbf{A}) = \mathbf{U} f(\mathbf{a}) \mathbf{U}^\dagger$$

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Schrödinger Equation

Time-dependent Schrödinger Equation(TDSE)

Time-dependent Schrödinger Equation is a eigenvalue equation:

$$\mathcal{H} |\Phi\rangle = \mathcal{E} |\Phi\rangle$$

with \mathcal{H} denotes Hamiltonian and \mathcal{E} denotes energy.

We shall not derive the equation here.

The Hamiltonian of molecular system:

$$\mathcal{H} = - \sum_i \frac{1}{2} \nabla_i^2 - \sum_A \frac{1}{2} \nabla_A^2 - \sum_{i,A} \frac{Z_A}{r_{iA}} + \sum_{i<j} \frac{Z_A}{r_{ij}} + \sum_{A<B} \frac{Z_A Z_B}{R_{AB}}$$

Schrödinger Equation

There exist a set of accurate solutions to the equation:

$$\mathcal{H} |\Phi_i\rangle = \mathcal{E}_i |\Phi_i\rangle$$

with $\mathcal{E}_0 \leq \mathcal{E}_1 \leq \dots$

We assume the set is complete, which means any state $|\tilde{\Phi}\rangle$ could be expressed in the form

$$|\tilde{\Phi}\rangle = \sum c_i |\Phi_i\rangle$$

Variational Principle

Variational Principle

For a given state $|\Psi\rangle$:

$$\frac{\langle \Psi | \mathcal{H} | \Psi \rangle}{\langle \Psi | \Psi \rangle} \geq \mathcal{E}_0$$

The equality holds if and only if $|\Psi\rangle = |\Phi_0\rangle$

Variational Principle

Now with this result we could write:

$$|\tilde{\Phi}\rangle = \sum c_i |\Psi_i\rangle$$

$|\Psi_i\rangle$ is a set of known functions.

Define a new matrix \mathbf{H} as:

$$(\mathbf{H})_{ij} = H_{ij} = \langle \Psi_i | \mathcal{H} | \Psi_j \rangle$$

Assume that the state is normalised:

$$\langle \tilde{\Phi} | \tilde{\Phi} \rangle = \sum c_i^2 = 1$$

Variational Principle

As c_1, c_2, \dots, c_n is not independent, Lagrange multiplier method must be put in use here:

$$\begin{aligned}\mathcal{L}(c_1, \dots, c_N, \lambda) &= \langle \Psi_i | \mathcal{H} | \Psi_i \rangle - \lambda (\langle \tilde{\Phi} | \tilde{\Phi} \rangle - 1) \\ &= \sum c_i c_j H_{ij} - \lambda \left(\sum c_i^2 - 1 \right)\end{aligned}$$

Minimizing \mathcal{L} gives:

$$\frac{\partial \mathcal{L}}{\partial c_k} = \sum c_j H_{kj} + \sum c_i H_{ik} - 2\lambda c_k = 0$$

\mathbf{H} is symmetric, so:

$$\begin{aligned}\sum H_{ik} c_k &= \lambda c_k \\ \mathbf{H}\mathbf{c} &= \lambda \mathbf{c}\end{aligned}$$

Variational Principle

The equation

$$\mathbf{H}\mathbf{c} = \lambda\mathbf{c}$$

have N different solutions:

$$\mathbf{H}\mathbf{c}^\alpha = \lambda_\alpha\mathbf{c}^\alpha$$

with $\lambda_0 \leq \dots \leq \lambda_{N-1}$. As \mathcal{H} is Hermite, \mathbf{H} must be Hermite too, that means:

$$(\mathbf{c}^\alpha)^\dagger \mathbf{c}^\beta = \sum_i c_i^\alpha c_i^\beta = \delta_{\alpha\beta}$$

Variational Principle

$$\mathbf{H}\mathbf{c}^\alpha = \lambda_\alpha \mathbf{c}^\alpha$$

If we define two new matrices:

$$(\mathbf{C})_{i\alpha} = C_{i\alpha} = c_i^\alpha$$

$$(\mathbf{\Lambda})_{\alpha\beta} = \Lambda_{\alpha\beta} = \lambda_\alpha \delta_{\alpha\beta}$$

The equation is equivalent to:

$$\mathbf{H}\mathbf{C} = \mathbf{C}\mathbf{\Lambda}$$

Variational Principle

It could be proved that:

$$\lambda_\alpha = \langle \tilde{\Phi}_\alpha | \mathcal{H} | \tilde{\Phi}_\alpha \rangle$$

by combining:

$$|\tilde{\Phi}\rangle = \sum c_i |\Psi_i\rangle$$

$$(\mathbf{c}^\alpha)^\dagger \mathbf{c}^\beta = \sum c_i^\alpha c_i^\beta = \delta_{\alpha\beta}$$

$$\mathbf{H}\mathbf{c}^\alpha = \lambda_\alpha \mathbf{c}^\alpha$$

So we would like to denote λ as E and re-write the equations as:

$$\mathbf{H}\mathbf{C} = \mathbf{C}\mathbf{E}$$

Thank you for listening!