

# Functional Analysis Autumn 2022 Coursework 1

CID: 01871147

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## Problem Set I

### Exercise I.1.4

Claim: the following set is not a linear space, because it is not closed under  $\oplus$ .

$$V := \left\{ f(z) \text{ - analytic} \mid \frac{d^2}{dz^2}f - \frac{d}{dz}f - 2z = 0 \right\}$$

Where  $\oplus : V \times V \rightarrow V$  is the usual addition of functions:

$$\forall x \in \mathbb{C} \text{ we have } (f \oplus g)(x) = f(x) + g(x)$$

Proof:

Let  $f, g \in V$  be arbitrary, suppose for contradiction that  $f \oplus g \in V$ , then by definition of  $V$  we have that:

$$\frac{d^2}{dz^2}(f \oplus g) - \frac{d}{dz}(f \oplus g) - 2z = 0 \quad (1)$$

But now also  $f, g \in V$  so the following holds

$$\begin{aligned} \frac{d^2}{dz^2}f - \frac{d}{dz}f - 2z &= 0 \\ \frac{d^2}{dz^2}g - \frac{d}{dz}g - 2z &= 0 \end{aligned}$$

If we add the two equations above and exploit linearity of differentiation we get:

$$\frac{d^2}{dz^2}(f \oplus g) - \frac{d}{dz}(f \oplus g) - 4z = 0 \quad (2)$$

Now if we subtract the equation (2) from (1) we obtain:

$$\forall z \in \mathbb{C} \quad 2z = 0,$$

which is a contradiction and hence we deduce that  $f \oplus g \notin V$  and so  $V$  is not closed under vector addition and hence is not a linear space. ■

## Problem Set II

### Exercise I.7

Let  $(X, \rho)$  be a metric space, let  $\tilde{\rho}_z$  for some  $z \in X$  denote the function described in the question:

$$\begin{aligned}\tilde{\rho}_z : X &\rightarrow \mathbb{R}^+ \\ x &\mapsto \rho(z, x)\end{aligned}$$

We'll show continuity of  $\tilde{\rho}_z$  using sequential continuity. Let  $(x_n)_{n \in \mathbb{N}}$  be an arbitrary sequence in  $X$  convergent to some  $x$  with respect to  $\rho$ . We need to show that as  $n \rightarrow \infty$  we have  $\tilde{\rho}_z(x_n) \rightarrow \tilde{\rho}_z(x)$  with respect to the Euclidean metric on  $\mathbb{R}$ . Note that since  $\rho$  is a metric it satisfies the triangle inequality and hence  $\forall n \in \mathbb{N}$

$$\rho(z, x_n) \leq \rho(z, x) + \rho(x, x_n) \implies \rho(z, x_n) - \rho(z, x) \leq \rho(x, x_n). \quad (3)$$

Similarly using the symmetry of a metric:

$$\rho(z, x) \leq \rho(z, x_n) + \rho(x_n, x) \implies \rho(z, x) - \rho(z, x_n) \leq \rho(x, x_n). \quad (4)$$

Hence, by combining the inequalities (3) and (4) above, we have:

$$|\rho(z, x) - \rho(z, x_n)| \leq \rho(x, x_n). \quad (5)$$

Now let  $\epsilon > 0$  be arbitrary, since  $(x_n)_{n \in \mathbb{N}}$  converges to  $x$  w.r.t  $\rho$  we can pick  $N \in \mathbb{N}$  such that  $\forall n \geq N$  we have  $\rho(x, x_n) < \epsilon$ .

Now also by definition of  $\tilde{\rho}_z$  and inequality (5), we have:

$$|\tilde{\rho}_z(x) - \tilde{\rho}_z(x_n)| = |\rho(z, x) - \rho(z, x_n)| \leq \rho(x, x_n) < \epsilon.$$

And so  $\tilde{\rho}_z(x_n) \rightarrow \tilde{\rho}_z(x)$  as  $n \rightarrow \infty$  in  $\mathbb{R}$  which implies that  $\tilde{\rho}_z$  is continuous. ■

## Problem Set III

### Exercise I.5 (iii)

Fix  $j \in \mathbb{N}$  and denote  $\ell_{p,j} := \{x \in \ell_p \mid x_j = 0\}$ . We'll show that  $\ell_{p,j}$  is closed in  $\ell_p$  and that it doesn't contain any open ball from  $\ell_p$ .

Let  $(x_n)_{n \in \mathbb{N}}$  be an arbitrary sequence in  $\ell_{p,j}$  which converges to  $x \in \ell_p$  in  $\ell_p$  w.r.t.  $\|\cdot\|_p$ . We need to show that  $x$  belongs to  $\ell_{p,j}$ .

Let  $\epsilon > 0$  be arbitrary, pick  $N \in \mathbb{N}$  such that  $\forall n \geq N$  we have  $(\|x_n - x\|_p)^p < \epsilon$ . We can do that by convergence of  $(x_n)_{n \in \mathbb{N}}$ . Now by the definition of  $\|\cdot\|_p$ . We have:

$$\sum_{i \in N}^{\infty} |x_{n_i} - x_i|^p < \epsilon,$$

where  $x_{n_i}$  denotes the  $i$ -th term of the sequence  $x_n$  which is the  $n$ -th entry of  $(x_n)_{n \in \mathbb{N}}$

Now since each  $|x_{n_i} - x_i|$  is non-negative, it implies that

$$\forall n \geq N \forall i \in N |x_{n_i} - x_i| < \epsilon.$$

Now fix  $i := j$  (the  $j$  that we fixed at the beginning). Note that since each  $x_n$  belongs to  $\ell_{p,j}$  we have that  $\forall n \in \mathbb{N} x_{n_j} = 0$ . Hence, we may conclude that  $\forall n \in \mathbb{N} |x_j| < \epsilon$ . But that inequality doesn't depend on  $n$ , so we have:  $|x_j| < \epsilon$ . Since  $\epsilon$  was arbitrary, we can deduce that:

$$\forall \epsilon > 0 |x_j| < \epsilon.$$

Which implies that  $x_j = 0$ , and hence  $x \in \ell_{p,j}$ . Since  $(x_n)_{n \in \mathbb{N}}$  was arbitrary, we deduce that  $\ell_{p,j}$  is closed.

Now suppose for contradiction  $\ell_{p,j}$  contained an arbitrary open ball  $B(x, \delta)$  for  $x \in \ell_p$ ,  $\delta > 0$ .

Note that in this case  $x \in \ell_{p,j}$  and by definition of an open ball:

$$\forall y \in \ell_p \|x - y\|_p < \delta \implies y \in B(x, \delta) \implies y \in \ell_{p,j}.$$

If we now define:

$$y := \begin{cases} x_k & k \neq j \\ \frac{\delta}{2} & k = j \end{cases}.$$

And check that indeed  $y \in \ell_p$ :

$$\|y\|_p \leq \|y - x\|_p + \|x\|_p = \frac{\delta}{2} + \|x\|_p < \infty,$$

because  $x \in \ell_p$ . We can observe that:

$$\|x - y\|_p = \left( \sum_{i \in N}^{\infty} |x_i - y_i|^p \right)^{\frac{1}{p}} = \frac{\delta}{2} < \delta.$$

Which implies that  $y \in B(x, \delta) \subset \ell_{p,j}$ . But clearly  $y \notin \ell_{p,j}$ , as, by definition,  $y_j = \frac{\delta}{2} > 0$  Which is a contradiction hence  $\ell_{p,j}$  doesn't contain any open ball in  $\ell_p$ . ■

**Exercise I.5 (iv)**

Let  $S := \left\{ x \in \ell_p \mid \forall j \in \mathbb{N} \, |x_j| \leq Cj^{-\frac{2}{p}} \right\}$  for some  $C \in (0, \infty)$ , we'll show that  $S$  is closed in  $\ell_p$ .

Let  $(x_n)_{n \in \mathbb{N}}$  be an arbitrary sequence in  $S$  which converges to some  $x \in \ell_p$  in  $\ell_p$  w.r.t.  $\|\cdot\|_p$ . We need to show that  $x$  belongs to  $S$ . By definition of  $S$ , take  $j \in \mathbb{N}$  arbitrary. We need to show that

$$|x_j| \leq Cj^{-\frac{2}{p}}.$$

Let  $\epsilon > 0$  be arbitrary, pick  $N \in \mathbb{N}$  such that for all  $n \geq N$  we have

$$\sum_{i \in N}^{\infty} |x_{n_i} - x_i|^p < \epsilon^p.$$

Now in particular, since each term of the sum above is non-negative, we must have that:

$$|x_{n_j} - x_j|^p < \epsilon^p \text{ and so } |x_{n_j} - x_j| < \epsilon. \quad (6)$$

Now using the triangle inequality, symmetry, the fact that  $x_n \in S$ , and inequality (6) we have  $\forall n \geq N$ :

$$|x_j| \leq |x_j - x_{n_j}| + |x_{n_j}| = |x_{n_j} - x_j| + |x_{n_j}| \leq |x_{n_j} - x_j| + Cj^{-\frac{2}{p}} < \epsilon + Cj^{-\frac{2}{p}}.$$

Hence we obtain that:

$$\forall \epsilon > 0 \, |x_j| < \epsilon + Cj^{-\frac{2}{p}}.$$

Therefore  $|x_j| \leq Cj^{-\frac{2}{p}}$  and so  $x \in S$ . Since  $(x_n)_{n \in \mathbb{N}}$  was arbitrary, we deduce that  $S$  is closed in  $\ell_p$ .