

### Exercise 1:

$$E(W) = \frac{1}{N} \sum_n \left\| \vec{g}(\vec{s}^{(n)}, W) - \vec{t}^{(n)} \right\|^2$$
$$= \frac{1}{N} \sum_n \sum_h \left( S\left(\sum_i W_{hi} s_i^{(n)}\right) - t_h^{(n)} \right)^2$$

For the derivative of  $E(W)$  holds: (lecture video Lec 11-Vid 04)

$$\frac{d}{dW_{hi}} E(W) = \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) S'\left(\sum_i W_{hi} s_i^{(n)}\right) s_i^{(n)}$$

1)  $g_h(\vec{s}^{(n)}, W) = \sum_i W_{hi} s_i^{(n)} \Rightarrow S(x) = x$  with  $x = \sum_i W_{hi} s_i^{(n)}$  and  $S$  is the activation function

$$\Rightarrow \frac{d}{dW_{hi}} E(W) = \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) \underbrace{\frac{\partial}{\partial x} S(x)}_{=1} s_i^{(n)}$$
$$= \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) \cdot s_i^{(n)}$$

$$\Rightarrow \Delta W_{hi} = -\varepsilon \cdot \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) \cdot s_i^{(n)}$$

2)  $g_h(\vec{s}^{(n)}, W) = \frac{\exp(2 \sum_i W_{hi} s_i^{(n)}) - 1}{\exp(2 \sum_i W_{hi} s_i^{(n)}) + 1} \Rightarrow S(x) = \frac{\exp(2x) - 1}{\exp(2x) + 1}$

$$\Rightarrow S'(x) = \frac{2e^{2x}(e^{2x}+1) - (e^{2x}-1)2e^{2x}}{(e^{2x}+1)^2} = \frac{4e^{2x}}{(e^{2x}+1)^2} = \frac{4}{(e^x + e^{-x})^2} = \frac{1}{\cosh^2(x)} = \operatorname{sech}^2(x)$$

↑  
Quotient rule

$$\Rightarrow \frac{dE(W)}{dW_{hi}} = \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) \cdot \operatorname{sech}^2\left(\sum_i W_{hi} s_i^{(n)}\right) \cdot s_i^{(n)}$$

$$\Rightarrow \Delta W_{hi} = -\varepsilon \frac{1}{N} \sum_n 2(s_h^{(n)} - t_h^{(n)}) \cdot \operatorname{sech}^2\left(\sum_i W_{hi} s_i^{(n)}\right) \cdot s_i^{(n)}$$

# Exercise\_2

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0.0.1 Group

0.0.2 Timo Reents

0.0.3 Timo Marks

0.0.4 Sercan Dede

0.0.5 Jonathan Hungerland

0.0.6 Chinmay Chandratre

```
[ ]: import numpy as np
import matplotlib.pyplot as plt
import pandas as pd

plt.rcParams["font.size"] = 15
```

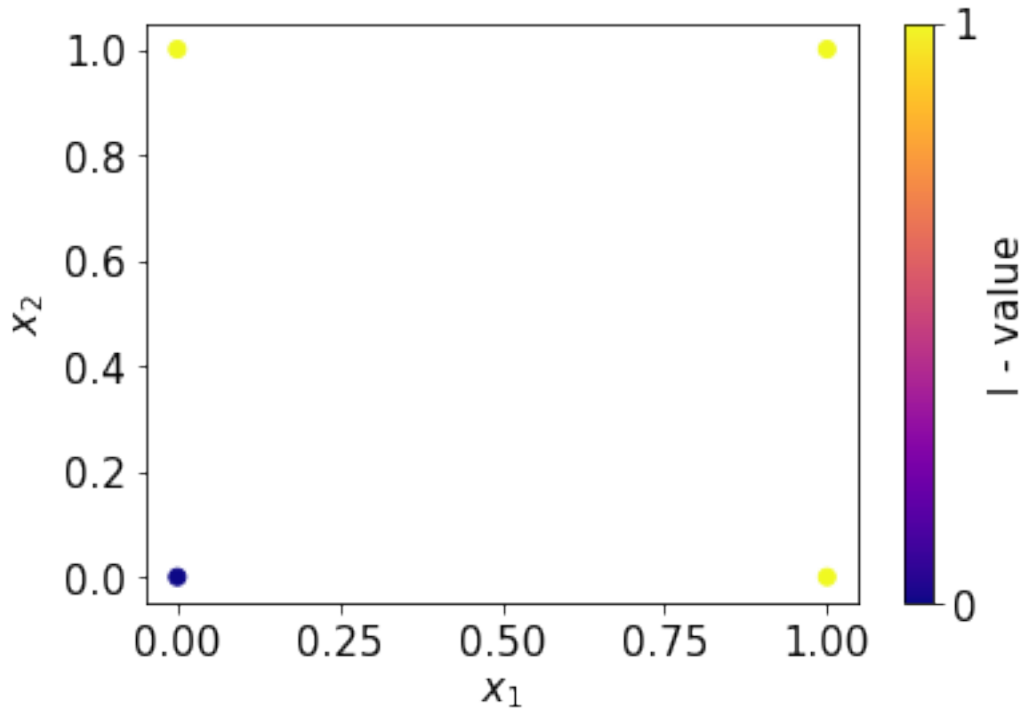
```
[ ]: x = np.array([[0, 0], [1, 0], [0, 1], [1, 1]])
l = np.array([0, 1, 1, 1])
```

## 1 Task A

```
[ ]: fig, ax = plt.subplots()

cmap = ax.scatter(x[:, 0], x[:, 1], c=l, cmap="plasma", vmin=0, vmax=1)
plt.colorbar(cmap, ax=ax, ticks=[0, 1], label="l - value")
ax.set_xlabel("$x_1$")
ax.set_ylabel("$x_2$")
```

```
[ ]: Text(0, 0.5, '$x_2$')
```



The data points represent a logical OR function. It returns True if at least one component is True.

## 2 Task B

At  $(W_{11}s_1^{(1)} + W_{12}s_2^{(1)}) = 0$  the function is not differentiable. Therefore, one would encounter problems while deriving it for the update rule.

## 3 Task C

```
[ ]: def train_perceptron(x, l, W, epsilon, print_=True):
    for episode in range(1, 500):
        if print_:
            print(f"episode {episode}")
        changed = [True] * 4
        error = 0
        for step in range(4):
            x_ = x[step, :]
            s_out = np.heaviside(W.dot(x_), 0)
            delta_W = - epsilon * (s_out - l[step]) * x_
            W += delta_W
            if np.all(delta_W == 0.0):
                changed[step] = False
        if print_:
```

```

        print(f"output: {s_out} target: {l[step]} weights: {W}")

        error += np.abs(s_out - l[step])

    if print_:
        print("\n")
    if not any(changed):
        if print_:
            print(f"Converged after {episode} episodes")
        return W, error

    print("not converged")
    return None, None

```

```

[ ]: W = np.array([0.0, 0.0])
      epsilon = 1
      trained_W, error = train_perceptron(x, l, W, epsilon)

```

```

episode 1
output: 0.0 target: 0 weights: [0. 0.]
output: 0.0 target: 1 weights: [1. 0.]
output: 0.0 target: 1 weights: [1. 1.]
output: 1.0 target: 1 weights: [1. 1.]

```

```

episode 2
output: 0.0 target: 0 weights: [1. 1.]
output: 1.0 target: 1 weights: [1. 1.]
output: 1.0 target: 1 weights: [1. 1.]
output: 1.0 target: 1 weights: [1. 1.]

```

Converged after 2 episodes

```

[ ]: # Any error?
      error

```

```

[ ]: 0.0

```

## 4 Task D

```

[ ]: fig, ax = plt.subplots()

      cmap = ax.scatter(x[:, 0], x[:, 1], c=l, cmap="plasma", vmin=0, vmax=1)
      plt.colorbar(cmap, ax=ax, ticks=[0, 1], label="l - value")
      ax.set_xlabel("$x_1$")

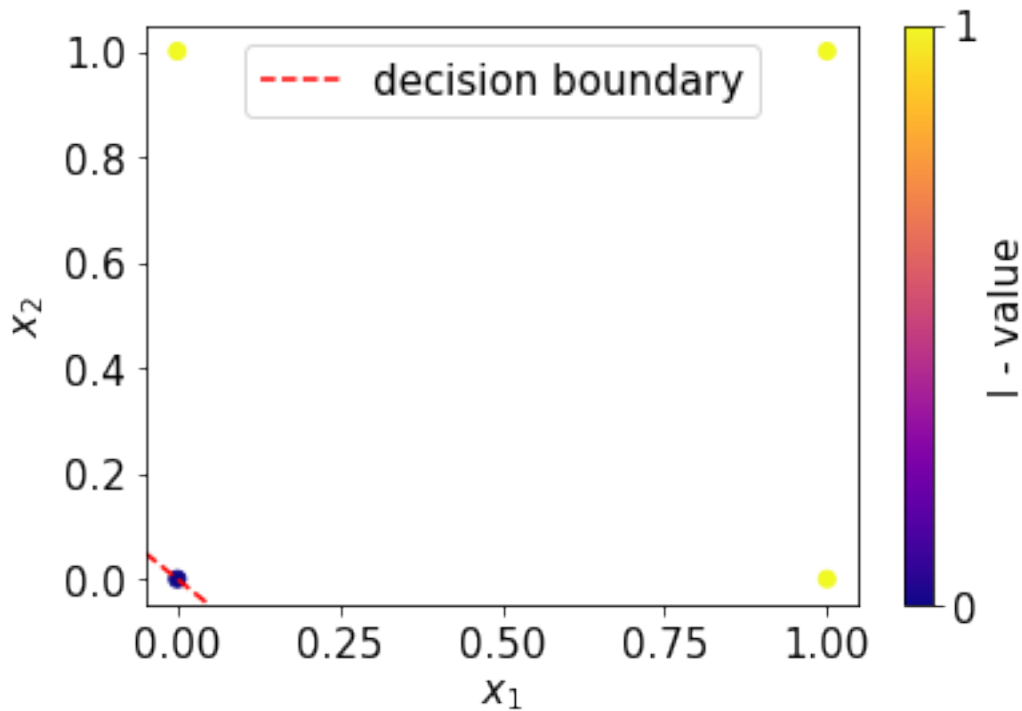
```

```

ax.set_ylabel("$x_2$")
ax.axline((0, 0), slope=-W[0]/W[1], color="red", linestyle="--",
→label="decision boundary")
ax.legend(loc="upper center")

```

```
[ ]: <matplotlib.legend.Legend at 0x1a74602be20>
```



```

[ ]: results = []

for epsilon in np.linspace(0.01, 1, 20):
    W = np.random.normal(size=2)
    optimized_W, error = train_perceptron(x, l, W, epsilon, print_=False)
    result = {"epsilon": epsilon, "W0": W[0], "W1": W[1], "W0_opt":
→optimized_W[0], "W1_opt": optimized_W[1], "abs_error": error}
    results.append(result)

df_compare_initial = pd.DataFrame(results)

```

```
[ ]: df_compare_initial
```

```

[ ]:
   epsilon      W0      W1  W0_opt  W1_opt  abs_error
0  0.010000  0.007990  1.269239  0.007990  1.269239        0.0
1  0.062105  0.003074  1.352282  0.003074  1.352282        0.0

```

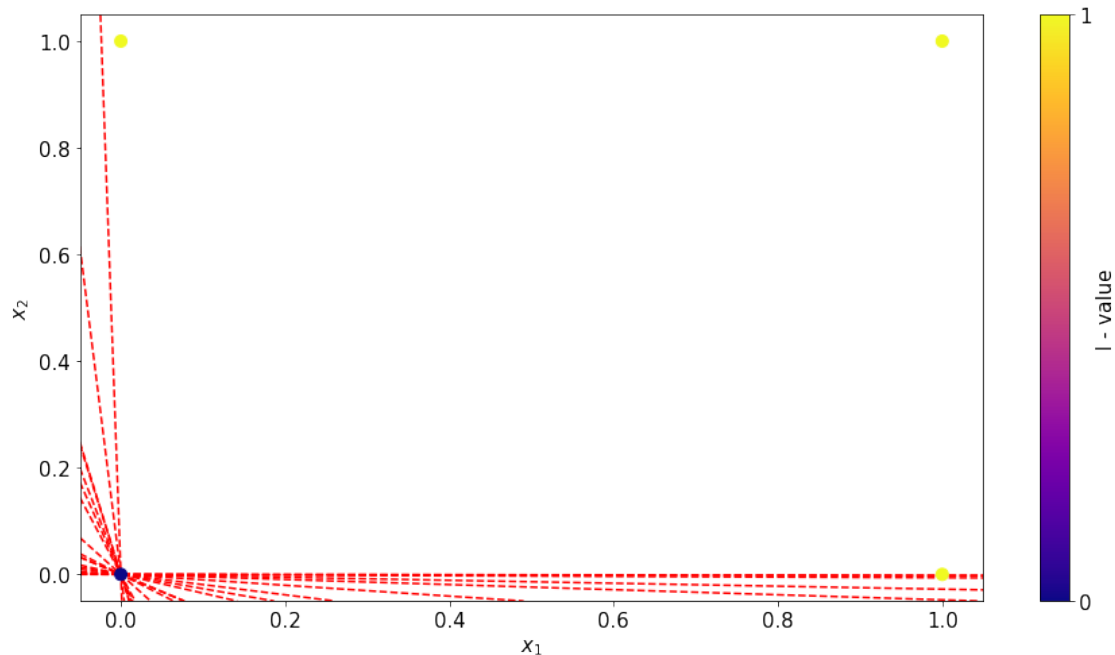
2	0.114211	0.120381	0.628120	0.120381	0.628120	0.0
3	0.166316	0.562861	0.162443	0.562861	0.162443	0.0
4	0.218421	0.173204	1.698678	0.173204	1.698678	0.0
5	0.270526	0.011911	0.033728	0.011911	0.033728	0.0
6	0.322632	0.201690	0.752485	0.201690	0.752485	0.0
7	0.374737	0.021674	0.782822	0.021674	0.782822	0.0
8	0.426842	0.001034	0.611146	0.001034	0.611146	0.0
9	0.478947	0.324934	0.112413	0.324934	0.112413	0.0
10	0.531053	0.041223	0.862789	0.041223	0.862789	0.0
11	0.583158	1.351064	0.277150	1.351064	0.277150	0.0
12	0.635263	1.475127	0.296802	1.475127	0.296802	0.0
13	0.687368	1.091648	0.088728	1.091648	0.088728	0.0
14	0.739474	0.387160	0.593549	0.387160	0.593549	0.0
15	0.791579	0.820759	0.205557	0.820759	0.205557	0.0
16	0.843684	1.023994	0.741402	1.023994	0.741402	0.0
17	0.895789	0.677082	0.871012	0.677082	0.871012	0.0
18	0.947895	1.801376	0.042674	1.801376	0.042674	0.0
19	1.000000	0.047985	0.074415	0.047985	0.074415	0.0

```
[ ]: fig, ax = plt.subplots(figsize=(15, 8))

cmap = ax.scatter(x[:, 0], x[:, 1], c=l, cmap="plasma", vmin=0, vmax=1,
    ↪zorder=1, s=80)
plt.colorbar(cmap, ax=ax, ticks=[0, 1], label="l - value")
ax.set_xlabel("$x_1$")
ax.set_ylabel("$x_2$")

#ax.legend(loc="upper center")

for W0, W1 in zip(df_compare_initial["W0_opt"], df_compare_initial["W1_opt"]):
    ax.axline((0, 0), slope=-W0/W1, color="red", linestyle="--",
    ↪label="decision boundary", zorder=0)
```



The different initial parameters result in different decision boundaries. This is directly clear since the weights do not change further if the data points were classified correctly once. Therefore the lines/weights do not converge to the same values.

## 5 Task E

```
[ ]: l[3] = 0
      W = np.array([0.0, 0.0])
      epsilon = 1
      trained_W, error = train_perceptron(x, l, W, epsilon, print_ = False)
```

not converged

The training does not converge since there is no possibility to classify the datapoints correctly by a line. Opposite points have the same label but you cannot differentiate these points in two areas by one line. There will be always at least one point that is mismatched. Therefore, the label is always unequal to the predicted result which leads to a periodic change of the weights. Best seen if you use `print_=True` in the function.

## 6 Task F

```
[ ]: # Corrected version for task F
      # Set heavy side function to 1 if x is equal to 0

      def train_perceptron(x, l, W, epsilon, print_=True):
```

```

for episode in range(1, 500):
    if print_:
        print(f"episode {episode}")
    changed = [True] * 4
    error = 0
    for step in range(4):
        x_ = x[step, :]
        s_out = np.heaviside(W.dot(x_), 1)
        delta_W = - epsilon * (s_out - l[step]) * x_
        W += delta_W
        if np.all(delta_W == 0.0):
            changed[step] = False
        if print_:
            print(f"output: {s_out} target: {l[step]} weights: {W}")

        error += np.abs(s_out - l[step])

    if print_:
        print("\n")
    if not any(changed):
        if print_:
            print(f"Converged after {episode} episodes")
        return W, error

print("not converged")
return None, None

```

```

[ ]: l = [1, 0, 0, 0]
W = np.array([1.0, 1.0])
epsilon = 1
trained_W, error = train_perceptron(x, l, W, epsilon)

```

```

episode 1
output: 1.0 target: 1 weights: [1. 1.]
output: 1.0 target: 0 weights: [0. 1.]
output: 1.0 target: 0 weights: [0. 0.]
output: 1.0 target: 0 weights: [-1. -1.]

```

```

episode 2
output: 1.0 target: 1 weights: [-1. -1.]
output: 0.0 target: 0 weights: [-1. -1.]
output: 0.0 target: 0 weights: [-1. -1.]
output: 0.0 target: 0 weights: [-1. -1.]

```

Converged after 2 episodes



The problem is that the datapoints  $x_2 - x_4$  are labeled correctly as 0 when the weights are set equal to 0. One can easily observe this by considering the heaviside function and the update rule. The first datapoint  $x_1$  would be labeled incorrectly as 0 however. But the data point has the coordinates  $(0, 0)$  and therefore  $\Delta W$  (eq. 4) would be equal to zero. Therefore, the weights will not change even though the point is misclassified. Due to this, one achieves misleading convergence.

To correct this, one needs to redefine the heavyside function so that it returns 1 in case the argument is equal to 0 (see datapoint  $x_1$ ). This would lead to a  $\Delta W$  value unequal to 0 and this way the weights would be further adapted.