

# Gender Classification from Facial Images

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# Problem Statement

Identification of Gender from facial images of people is done by using 3 different methods. The methods chosen are :

- Using EigenFaces Method
- Using Fisherfaces Method
- Using Set Classification (for unaligned facial images)

# Introduction

A gender classification method has many potential applications such as human identification, smart computer-human interface, computer vision approach for monitoring people, passive demographic data collection, etc. The methods chosen here deal with frontal facial images with inherent variations in the image formation process.

The database that is being used for this project is the Frontal Face dataset from Caltech(also called **Caltech Faces**). This dataset contains 450 images of 27 people with variations in lighting, expressions, backgrounds etc. It has 278 male images and 172 female images

Some challenges faced will be the variations in the dataset taken like illumination, change in camera angle, head pose, expressions, facial hair, background etc.



An example showing that all variations in image are considered in processing the algorithm.

# Method 1 : Using EigenFaces

\*This method can also detect faces in an image.

From a total of 450 images(278M and 172F), 400(250M and 150F) images are being used as training data and the rest(50) are testing data(28M and 22F).

Step 1 : The training dataset is loaded and the mean of the grayscale version of the images is taken.

Step 2 : A new dataset is formed by normalising the original dataset with the mean.(Subtracting mean from original). The mean of this new dataset will be zero.

# Method : (PCA)

Step 3 : Then we have calculated the covariance of the matrix.

Step 4 : Then calculate the eigenvalues and eigenvectors of the covariance matrix.

Step 5 : Last step of PCA is to select the components(choosing the first  $k$  large values among all eigenvalues) and forming a feature vector.

→ Objective of PCA is to reduce the high dimensional training data set into lower dimensional space by finding the Eigenfaces also known as the Principal Components.

# Procedure followed :

1. We have training dataset with total of  $P$  images of size  $N \times M$ .
2. Calculated the mean ( $\mu$ ) of that face vectors. (size =  $N \times M$ ).
3. Next step is to get normalised images ( $L_m$ ) (subtract mean from each image vector) (size =  $N \times M$ ).
4. Now we compute the covariance matrix  $C$  which is of  $NM \times NM$ .

Where  $D = [L_1, L_2, L_3, \dots, L_m]'$  (size =  $NM \times M$ ).

5. Next step is to find the eigenvalues and eigenvectors of the matrix  $C$  or  $DD'$ .

Let eigenvectors of covariance matrix is  $U_i$ .

# Mean Faces

250 male faces were used for training dataset and mean image is calculated,





# Continuing..

We have  $DD'$  of dimensions  $N \times N$  (here, we have  $N \times M = 592 \times 896 \rightarrow NM = 530432$ ), which is practically not possible to compute the eigenvectors of this size.

So, instead of finding eigenvectors of  $DD'$ , we calculate the eigenvectors of matrix  $D'D$  which is of size  $M \times M$ .

Let  $V_i$  is the eigenvectors for this  $D'D$ . So,  $D'DV_i = \lambda_i V_i$  (where  $\lambda_i$  is an eigenvalue).

We know  $DD'$  and  $D'D$  have same eigenvalues but their eigenvectors are different.

# Eigenvalues of $DD' = D'D$ ??

$$D'DV_i = S_i V_i$$

$$\rightarrow DD'.DV_i = S_i.DV_i$$

$$\rightarrow CDV_i = S_i DV_i$$

$$\rightarrow CU_i = S_i DU_i.$$

It means  $U_i$  is equal to  $DV_i$ . So, the  $DD'$  and  $D'D$  have the same eigenvalues but their eigenvectors are different and are related by  $U_i = DV_i$ .

# Continuing..

After computing the eigenvectors we need to select the  $K$  eigenvectors whose eigenvalues are larger.

So as we have  $K$  eigenvectors, this selected  $K$  eigenvectors are used for representing the whole dataset.

# Testing

For recognition of any unknown face image(test image), we first need to convert the given image into its normalised form(subtract calculated mean from given image -> size =  $N \times M$ ).

Then it is converted into its linear form ie., into  $NM \times 1$  size image vector.

We then project this on all the selected components. Then we need to calculate the distance by using Euclidean distance (can use Mahalanobis distance, but error is high in that), and the calculated image which is minimised is the equivalent image of the given input image.

We can set a threshold value, accordingly we can identify that given image.

# Method 2 : Using Fisherfaces(LDA)

It is assumed that there are 3 classes of images(male, female and ambiguous) and the data in each class is normally distributed denoted by  $N(\mu_i, \Sigma_i)$  with mean  $\mu_i$ , covariance  $\Sigma_i$  and probability density function  $fi(\mathbf{x}|\mu_i, \Sigma_i)$ . Given their class Prior probabilities, test samples are classified by comparing log-likelihoods.

Step 1 : The training dataset is normalised by calculating mean and subtracting the mean from from each image.

Step 2 : Two types of matrices are needed to be calculated from the training data. One is a within-class scatter matrix( $S_w$ ) which denotes the differences in images in each class. And the second one is the between-class scatter( $S_b$ ) matrix which denotes the differences between the classes.

# Continuing..

$$S_w = \sum_{j=1}^C \sum_{i=1}^{n_j} (\mathbf{x}_{ij} - \mu_j)(\mathbf{x}_{ij} - \mu_j)^T,$$

where  $\mathbf{x}_{ij}$  is the  $i^{\text{th}}$  sample of the  $j^{\text{th}}$  class,  $n_j$  is the number of samples in the  $j^{\text{th}}$  class and  $C$  is the number of classes.

$S_b = \sum_{j=1}^C (\mu_j - \mu)(\mu_j - \mu)^T$ , where  $\mu_j$  is the mean of the data in the  $j^{\text{th}}$  class,  $\mu$  is the mean of all classes and  $C$  is the number of classes.

Step 3 : Now we create a basis,  $V$  for a subspace such that  $S_w$  is minimized and  $S_b$  is maximised.  $V$  will be a matrix whose columns  $\mathbf{v}_i$  will be the basis vectors for the mentioned subspace. The matrix  $V$  can be found by  $(VS_b V^T)/(VS_w V^T)$ .

# Continuing..

The solution for the aforementioned equation will be the eigen generalization :

$$S_b V = S_w V E$$

So,  $V$  will be the matrix of eigenvectors and  $E$  will be a diagonal matrix consisting of eigenvalues. The eigenvectors corresponding to nonzero eigenvalues will be the Fisherfaces.

Step 4 : An image is taken from the test data and is normalised using the mean of the training data.

Step 5 : We choose a  $V$  so as to maximise  $(VS_b V^T)/(VS_w V^T)$ . And the test image is then projected onto the subspace with basis  $V$ .

Step 6 : A simple Linear Discriminant Function is applied now to differentiate between the classes. This can be done because the variation between the classes has been maximised and the variation in the data in each class has been minimised.



# Bibliography

Database : <http://www.vision.caltech.edu/html-files/archive.html>

- <http://ethesis.nitrkl.ac.in/6482/1/212CS1097-2.pdf>
- <http://www.scholarpedia.org/article/Fisherfaces>
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# Where we are

## Done

1. We have implemented the Eigenfaces method for gender classification. The classifier was trained using 150 male and 150 female images from public CalTech data set.
2. We have finished reading theory for Fischerfaces method.

## Doing

1. In process of implementing fischer face.

## To Do

1. Unaligned facial images by set classification.