

(19) World Intellectual Property  
Organization  
International Bureau



(43) International Publication Date  
10 February 2005 (10.02.2005)

PCT

(10) International Publication Number  
**WO 2005/011698 A1**

(51) International Patent Classification<sup>7</sup>: **A61K 31/475**,  
31/704, 9/127, A61P 35/02

(21) International Application Number:  
PCT/CA2004/001038

(22) International Filing Date: 23 July 2004 (23.07.2004)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
60/490,789 28 July 2003 (28.07.2003) US

(71) Applicant (for all designated States except US): **INEX  
PHARMACEUTICALS CORPORATION** [CA/CA];  
100-8900 Glenlyon Parkway, Glenlyon Business Park,  
Burnaby, British Columbia V5J 5J8 (CA).

(72) Inventor; and

(75) Inventor/Applicant (for US only): **SALTMAN, David**  
[CA/CA]; Suite 408, 130 St. Joseph Drive, Hamilton, On-  
tario L8N 2E8 (CA).

(74) Agent: **LONG, J., Erin**; Inex Pharmaceuticals Corpo-  
ration, 100-8900 Glenlyon Parkway, Glenlyon Business  
Park, Burnaby, British Columbia V5J 5J8 (CA).

(81) Designated States (unless otherwise indicated, for every  
kind of national protection available): AE, AG, AL, AM,  
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN,  
CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI,  
GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE,  
KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,  
MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG,  
PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM,  
TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM,  
ZW.

(84) Designated States (unless otherwise indicated, for every  
kind of regional protection available): ARIPO (BW, GH,  
GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM,  
ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM),  
European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI,  
FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI,  
SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ,  
GW, ML, MR, NE, SN, TD, TG).

**Published:**

— with international search report

For two-letter codes and other abbreviations, refer to the "Guid-  
ance Notes on Codes and Abbreviations" appearing at the begin-  
ning of each regular issue of the PCT Gazette.

(54) Title: COMBINATION COMPRISING A LIPOSOME-ENCAPSULATED VINCA ALKALOID AND A TOPOISOMERASE  
II INHIBITOR AND THE USE THEREOF FOR TREATING NEOPLASIA

Clinical Response Rates

	Indolent	Transformed	Relapsed Lymphoma	Refractory Aggressive	Aggressive post- BMT
Evaluable	18	16	37	11	10
CR/PR	1	5	18	0	2
% Response	6	31	49	0	20
95 % Confidence Interval	0-28	11-59	32-66	0-28	1-32

(57) Abstract: This invention provides methods for treating neoplasias in a mammal. In particular, the invention provides methods for treating various types of lymphomas, including relapsed forms of non-Hodgkin's Lymphoma. These methods involve the co-administration of liposome-encapsulated vinca alkaloids, *e.g.*, vincristine, with a topoisomerase II inhibitor, *e.g.*, etoposide or NK-611, to a mammal with a lymphoma or a sarcoma.



WO 2005/011698 A1

COMBINATION COMPRISING A LIPOSOME-ENCAPSULATED VINCA ALKALOID AND A  
TOPOISOMERASE II INHIBITOR AND THE USE THEREOF FOR TREATING NEOPLASIA

## FIELD OF THE INVENTION

This invention relates to methods and compositions for the treatment of a neoplasia in a mammal including, in particular, relapsed forms of  
5 neoplasias.

## BACKGROUND OF THE INVENTION

Despite years of research into the development of new methods of treatment, cancers of the lymphatic system, or lymphomas, remain quite common. For example, more than 60,000 people in the United States are  
10 diagnosed with lymphoma each year, including more than 55,000 cases of non-Hodgkin's Lymphoma (NHL), and these numbers are constantly increasing. In addition, the prognosis for those affected by these diseases is often poor, as the survival rates for lymphoma patients remain low. Clearly, new methods for treating these diseases are needed.

15 While traditional treatments for lymphoma typically depend on the type of lymphoma as well as the medical history of the patient, first-line treatment for many lymphomas typically includes chemotherapy. Such chemotherapy will often entail the administration of a "cocktail" of compounds, *e.g.*, the formulation CHOP, which includes cyclophosphamide, doxorubicin,  
20 vincristine, and prednisone. In addition, certain first-line cancer treatments also include other forms of cancer therapy, such as radiation therapy.

In many cases, patients respond initially to such first-line treatments, but subsequently suffer a relapse, *i.e.*, a tumor reappears or resumes growing. Following one such relapse, patients are often treated with  
25 further chemotherapy, *e.g.*, with CHOP or with other formulations, or, in some cases, the patients are treated with other procedures such as bone marrow transplantation. Again, in many cases, patients initially respond to such additional treatments, but subsequently suffer another relapse. In general, the more relapses a patient suffers, the less agreement there is in the art  
30 concerning optimal subsequent treatment. In other cases, a patient fails to respond at all to a treatment, even initially, and is thus said to have a refractory cancer. In such cases as well, little agreement exists in the art regarding optimal subsequent treatment.

Alkaloids isolated from the periwinkle plant (*Vinca rosea*), called "vinca alkaloids," have proven effective for first line treatments of many types of lymphomas, leukemia, and other cancers. One such vinca alkaloid, vincristine, is included in the common chemotherapeutic formulation CHOP. Vincristine, which depolymerizes microtubules and thereby inhibits cell proliferation, is administered in its free form in CHOP. Liposome-encapsulated vincristine has been reported (see, e.g., U.S. Patent No. 5,741,516, U.S. Patent No. 5,714,163, and U.S. Patent No. 6,723,338). In particular, these patents discuss the use of vincristine encapsulated in phosphatidylcholine, distearoylphosphatidylcholine, or sphingomyelin, in addition to cholesterol.

Topoisomerase II is an enzyme that catalyzes the cleavage of both DNA strands of a double-stranded DNA molecule, and then subsequent resealing of the strands. In doing so, Topoisomerase II facilitates increasing the number of negative supercoils in a double-stranded DNA substrate or catenation or decatenation of two different DNA duplexes. Epipodophyllotoxins and synthetic or semi-synthetic derivatives thereof, such as etoposide, teniposide, NK 611 and TOP-53, are potent inhibitors of topoisomerase II (see, Bast, *et al.*, *Cancer Medicine*, 5<sup>th</sup> Ed., BCDecker, Ontario, Canada, Mross, *et al.* (1996) *Cancer Chemother Pharmacol* 38:217 and Utsugi, *et al.* (1996) *Cancer Res.* 56:2809). Additionally, intercalating agents, such as anthracycline compounds, including doxorubicin and mitoxantrone, are inhibitors of topoisomerase II. Mechanistically, these drugs inhibit the resealing activity of the enzyme, leading to an accumulation of DNA breaks and cell death. Podophyllotoxin derivatives are used in single and combination therapies against cancers such as testicular cancer, small cell lung carcinoma, non-Hodgkin's lymphomas, acute nonlymphocytic leukemia, breast carcinoma, Kaposi's sarcoma. Additional podophyllotoxin analogues for use in single and combination antineoplastic therapies are found in, for example, U.S. Patent Nos. 5,132,322, 5,300,500 and 5,541,223 and in Terada, *et al.* (1993) *J Med Chem* 36:1689, Saito, *et al.* (1986) *Chem Pharm Bull* 34:3733 and Saito, *et al.* (1986) *Chem Pharm Bull* 34:3741.

Lipid-encapsulated drug formulations may provide advantages over traditional drug-delivery methods. For example, some lipid-based formulations provide longer half-lives *in vivo*, superior tissue targeting, and decreased toxicity. Numerous methods have been described for the formulation of lipid-based drug delivery vehicles (see, e.g., U.S. Patent No.

5,741,516). However, none of these previous studies have demonstrated that liposome-encapsulated vinca alkaloid formulations, when used in combination treatments, offer advantages over previous combination treatments.. As such, there remains a need in the art for new compositions and methods related to  
5 combination treatments for treating these diseases. Quite surprisingly, the present invention provides such methods.

#### BRIEF SUMMARY OF THE INVENTION

It has now been discovered that liposome-encapsulated vinca alkaloids, such as vincristine, in combination with a topoisomerase II inhibitor,  
10 such as a podophyllotoxin analogue or an anthracycline, are especially efficacious for the treatment of relapsed or refractory forms of neoplasias, in particular for lymphomas such as non-Hodgkin's Lymphomas and sarcomas, as well as for first line treatment. Provided herein, therefore, are compositions, methods and kits for the treatment of these and other cancers. The distinct  
15 mechanisms of action of microtubule depolymerization and inhibition of topoisomerase II results in a synergistic effect particularly suiting vinca alkaloids and podophyllotoxin derivatives for use in combination therapies against neoplasias, thereby improving response rates and survival that is free of disease progression.

20 In one aspect, this invention provides a method for treating a relapsed cancer in a mammal, the method comprising administering to the mammal one or more pharmaceutical compositions comprising a liposome-encapsulated vinca alkaloid and a topoisomerase II inhibitor, such as an anthracycline or a podophyllotoxin analogue. In one embodiment, the relapsed  
25 cancer is a non-Hodgkin's Lymphoma. In another embodiment, the relapsed cancer is a sarcoma.

In one embodiment, the topoisomerase II inhibitor is in free-form. In another embodiment, the topoisomerase II inhibitor is liposome-encapsulated.

30 In one embodiment, the topoisomerase II inhibitor is administered orally. In another embodiment, the topoisomerase II inhibitor is administered intravenously.

In one embodiment, the liposome-encapsulated vinca alkaloid is administered concurrently with one or more topoisomerase inhibitor

compounds. In another embodiment, the liposome-encapsulated vinca alkaloid and the topoisomerase II inhibitor are administered sequentially.

In one embodiment, the liposome encapsulated vinca alkaloid is administered at a dosage falling within the range of about 0.5 to about 2.8 mg/m<sup>2</sup>, and preferably about 1.4 to about 2.4 mg/m<sup>2</sup>. In another embodiment, the topoisomerase II inhibitor is administered at about 50 mg daily. In a related embodiment, the topoisomerase II inhibitor is administered at a dosage falling within a range of about 50 mg/m<sup>2</sup> daily, about 100 mg/m<sup>2</sup> on alternate days, or about 100-200 mg/m<sup>2</sup> twice weekly.

In another aspect, the present invention provides a method of treating a non-Hodgkin's Lymphoma or a sarcoma in a patient, the method comprising administering to the patient one or more pharmaceutical compositions comprising a liposome-encapsulated vinca alkaloid and a topoisomerase II inhibitor, such as an anthracycline or a podophyllotoxin analogue, wherein the one or more compositions are free of cardiolipin.

In one embodiment, the non-Hodgkin's Lymphoma is a member selected from the group consisting of aggressive NHL, transformed NHL, indolent NHL, relapsed NHL, refractory NHL, low grade non-Hodgkin's Lymphoma, follicular lymphoma, large cell lymphoma, B-cell lymphoma, T-cell lymphoma, Mantle cell lymphoma, Burkitt's lymphoma, NK cell lymphoma, diffuse large B-cell lymphoma, and acute lymphoblastic lymphoma.

In one embodiment, the sarcoma is a member selected from the group consisting of Kaposi's sarcoma, soft-tissue sarcoma, osteogenic sarcoma, chondrosarcoma, Paget's disease, Ewing's sarcoma, fibrosarcoma, synovial sarcoma (malignant synovioma), synovial chondrosarcoma, liposarcoma, myxoid sarcoma, Rhabdomyosarcoma, cardiac sarcoma, angiosarcoma, leiomyosarcoma, and retrovirally induced sarcoma.

In one embodiment, the vinca alkaloid is at least one of vincristine, vinblastine, vinorelbine, or vindesine. In another embodiment, the liposome comprises distearoylphosphatidylcholine or sphingomyelin. In another embodiment, the liposome further comprises cholesterol. In another embodiment, the liposome comprise a pH gradient. In another embodiment, the pH at the interior of the liposomes is lower than the pH at the exterior.

In one embodiment, the topoisomerase II inhibitor is a podophyllotoxin analogue or derivative. In one embodiment, the podophyllotoxin analogue is synthetic or semi-synthetic. In another

embodiment, the podophyllotoxin derivative is at least one of etoposide, teniposide, NK-611 or TOP-53.

In another embodiment, the topoisomerase II inhibitor is an anthracycline. In one embodiment, the anthracycline is at least one of  
5 doxorubicin, daunorubicin, epirubicin, idarubicin, or mitoxantrone.

In another embodiment, the mammal is a human. In another embodiment, the mammal has previously undergone at least one chemotherapy treatment. In another embodiment, the chemotherapy treatment comprises administration of a free-form vinca alkaloid, such as vincristine,  
10 vinblastine, vindesine, or vinorelbine. In other embodiments, the chemotherapy treatment includes an anthracycline-containing combination therapy. In one such embodiment, the anthracycline is doxorubicin. In another embodiment, the mammal has exhibited a partial or complete response to the chemotherapy prior to a relapse of the cancer. In another embodiment, the relapse is a  
15 second relapse.

In another embodiment, at least one of the liposome-encapsulated vinca alkaloid or free or liposome-encapsulated topoisomerase II inhibitor is administered systemically by intravenous delivery.

In another embodiment, one or more free topoisomerase II  
20 inhibitors are administered orally.

In another embodiment, the liposome-encapsulated vincristine is co-administered with cyclophosphamide, doxorubicin, and prednisone, forming CHOP (or, in this case, "lipo-CHOP"). In another embodiment, the liposome-encapsulated vinca alkaloid is co-administered with at least one additional anti-  
25 tumor agent. In another embodiment, the additional anti-tumor agent is an anti-tumor monoclonal antibody, such as Oncolym™, Rituxan™, or Bexxar™. In another embodiment, the additional anti-tumor agent is an antisense drugs or an anti-tumor vaccine. In another embodiment, the liposome-encapsulated vinca alkaloid is co-administered with a prophylactic or therapeutic treatment for  
30 neurotoxicity, such as Neurontin™ gabapentin (Neurotonin).

In another embodiment, the liposome-encapsulated vinca alkaloid is administered to the mammal once every 7-21 days, preferably once every 14 days. In another embodiment, the liposome encapsulated vinca alkaloid is administered at a dosage falling within a range of about 0.5 to about 2.8 mg/m<sup>2</sup>,  
35 and preferably about 1.4 to about 2.4 mg/m<sup>2</sup>.

In one embodiment, the free or liposome-encapsulated topoisomerase II inhibitor is administered to the mammal daily until disease progression or persistent myelosuppression or the manifestation of toxicity. In another embodiment, the free or liposome-encapsulated topoisomerase II inhibitor is administered to the mammal daily, on alternate days, twice weekly or once every 28 days until disease progression or persistent myelosuppression or the manifestation of toxicity. In another embodiment, the free or liposome-encapsulated topoisomerase II inhibitor is administered to the mammal in sequential cycles of daily for 14 to 21 days, repeating every 7-35 days. In another embodiment, the liposome encapsulated or free topoisomerase II inhibitor is administered at a dosage falling within a range of about 25, 30, 40, 50, 75, 100, 125, 150, 175 mg/m<sup>2</sup> to about 200 mg/m<sup>2</sup>, depending on the topoisomerase II inhibitor that is given and the route and schedule of administration.

The present invention provides an improvement on conventional methods of treating cancer. In particular, the present invention provides a method for treating an aggressive, relapsed, transformed, indolent, or refractory lymphoma in a mammal, the improvement comprising administering a liposome-encapsulated vinca alkaloid such as vincristine (or other liposome-encapsulated therapeutic agent) in combination with a free or liposome-encapsulated topoisomerase II inhibitor to the mammal. In addition, the present invention provides a basis for an improved combination chemotherapy for use in relapsed and/or refractory forms of non-Hodgkin's Lymphoma or sarcoma.

In another embodiment, kits including the herein-described formulations, and for preparing the herein-described formulations, as well as instructions for their use are also included.

In another embodiment, the present invention also provides the use of a liposome-encapsulated vinca alkaloid and a free or liposome-encapsulated topoisomerase II inhibitor in the preparation of a medicament for the treatment of a neoplasia, including non-Hodgkin's Lymphoma or sarcoma. In certain uses, the neoplasia is a relapsed, indolent, aggressive, or transformed neoplasia, *e.g.*, non-Hodgkin's Lymphoma or a sarcoma. In other uses, the medicament is used as a first line treatment for a neoplasia.

In preferred uses, the vinca alkaloid is vincristine. In other preferred uses, the vinca alkaloid is present in the medicament at a dosage,

*e.g.*, of about 2.4 to about 3.4 mg/m<sup>2</sup>, and the medicament is administered once every 7-21 days, most preferably every 14 days.

In preferred uses, the topoisomerase II inhibitor is a podophyllotoxin derivative. In preferred uses the podophyllotoxin derivative is at least one of etoposide (VePesid, Lastet), NK-611, and TOP-53. In other preferred uses, the podophyllotoxin derivative is present in a medicament and is administered in free form in a dosage of about 50 mg/m<sup>2</sup> daily, about 100 mg/m<sup>2</sup> on alternate days, or about 100-200 mg/m<sup>2</sup> twice weekly. Administrations of topoisomerase II inhibitors are given in cycles, for instance in discontinuous or intermittent 14-21 day cycles, or daily until disease progression or toxicity is observed.

#### DEFINITIONS

"Neoplasia," as used herein, refers to any aberrant growth of cells, tumors, malignant effusions, warts, polyps, nonsolid tumors, cysts and other growths. A site of neoplasia can contain a variety of cell types, including but not limited, to neoplastic cells, vascular endothelia, or immune system cells, such as macrophages and leukocytes, *etc.*

A "cancer" in a mammal refers to any of a number of conditions caused by the abnormal, uncontrolled growth of cells. Cells capable of causing cancer, called "cancer cells," possess a number of characteristic properties such as uncontrolled proliferation, immortality, metastatic potential, rapid growth and proliferation rate, and certain typical morphological features. Often, cancer cells will be in the form of a tumor, but such cells may also exist alone within a mammal, or may be a non-tumorigenic cancer cell, such as a leukemia cell. A cancer can be detected in any of a number of ways, including, but not limited to, detecting the presence of a tumor or tumors (*e.g.*, by clinical or radiological means), examining cells within a tumor or from another biological sample (*e.g.*, from a tissue biopsy), measuring blood markers indicative of cancer (*e.g.*, CA125, PAP, PSA, CEA, AFP, HCG, CA 19-9, CA 15-3, CA 27-29, LDH, NSE, and others), and detecting a genotype indicative of a cancer (*e.g.*, TP53, ATM, *etc.*). However, a negative result in one or more of the above detection methods does not necessarily indicate the absence of cancer, *e.g.*, a patient who has exhibited a complete response to a cancer treatment may still have a cancer, as evidenced by a subsequent relapse.

"Systemic delivery," as used herein, refers to delivery that leads to a broad bio-distribution of a compound within an organism. Systemic delivery



means that a useful, preferably therapeutic, amount of a compound is exposed to most parts of the body. To obtain broad bio-distribution generally requires a route of introduction such that the compound is not rapidly degraded or cleared (such as by first pass organs (liver, lung, *etc.*) or by rapid, nonspecific cell binding) before reaching a disease site. Systemic delivery of liposome-encapsulated vinca alkaloids is preferably obtained by intravenous delivery.

"Lymphoma" refers to a malignant growth of B or T cells in the lymphatic system. "Lymphoma" includes numerous types of malignant growths, including Hodgkin's Lymphoma and non-Hodgkin's lymphoma (NHL).

10 "Non-Hodgkin's Lymphoma" refers to a malignant growth of B or T cells in the lymphatic system that is not a Hodgkin's Lymphoma (which is characterized, *e.g.*, by the presence of Reed-Sternberg cells in the cancerous area). Non-Hodgkin's lymphomas encompass over 29 types of lymphoma, the distinctions between which are based on the type of cancer cells. The particular classification depends on the particular system of classification used, such as the Working formulation, the Rappaport classification, World Health Organization (WHO) classification and the REAL classification. In preferred embodiments, the WHO classification is used.

20 "Sarcoma" is a general class of cancers in which the cancer cells arise from or resemble normal cells in the body known as "connective tissues". Normal "connective tissues" include fat, muscle, blood vessels, deep skin tissues, nerves, bones, and cartilage. Cancers of cells which resemble any of these normal tissues are known as "sarcomas". Sarcomas are sub-classified based upon the specific type of cell that makes up the cancer.

25 A "relapsed cancer" or lymphoma refers to a cancer or lymphoma that has recurred following prior complete or partial remission in response to a prior treatment. Recurrence can be defined in any way, including a reappearance or re-growth of a tumor as detected by clinical, radiological, or biochemical assays, or by an increased level of a cancer marker. Prior treatments can include, but are not limited to, chemotherapy, radiation therapy, and bone marrow transplantation.

30 An "indolent" non-Hodgkin's Lymphoma is a classification that includes slow growing forms of lymphoma. They encompass what are called low grade and some categories of intermediate grade NHL in the Working Formulation. Indolent NHLs are sometimes not responsive to conventional cancer therapies such as chemotherapy and radiation therapy.

A “transformed” non-Hodgkin’s Lymphoma is a classification sometimes employed to describe an indolent NHL which acquires an aggressive aspect and becomes more responsive to standard chemotherapies.

Patients with “refractory cancer” or “refractory lymphoma” are those who have failed to achieve complete remission on their first course of treatment, *e.g.*, chemotherapy or combination chemotherapy, or to patients who have failed to achieve complete or partial remission on subsequent chemotherapy. “Primary refractory” patients are those who have never achieved complete remission even at first treatment.

A “stable disease” is a state wherein a therapy causes cessation of growth or prevalence of a tumor or tumors as measured by the usual clinical, radiological and biochemical means, although there is no regression or decrease in the size or prevalence of the tumor or tumors, *i.e.*, cancer that is not decreasing or increasing in extent or severity.

“Partial response” or “partial remission” refers to the amelioration of a cancerous state, as measured by tumor size and/or cancer marker levels, in response to a treatment. Typically, a “partial response” means that a tumor or tumor-indicating blood marker has decreased in size or level by about 50% in response to a treatment. The treatment can be any treatment directed against cancer, but typically includes chemotherapy, radiation therapy, hormone therapy, surgery, cell or bone marrow transplantation, immunotherapy, and others. The size of a tumor can be detected by clinical or by radiological means. Tumor-indicating markers can be detected by means well known to those of skill, *e.g.*, ELISA or other antibody-based tests.

A “complete response” or “complete remission” means that a cancerous state, as measured by, for example, tumor size and/or cancer marker levels, has disappeared following a treatment such as chemotherapy, radiation therapy, hormone therapy, surgery, cell or bone marrow transplantation, or immunotherapy. The presence of a tumor can be detected by clinical or by radiological means. Tumor-indicating markers can be detected by means well known to those of skill, *e.g.*, ELISA or other antibody-based tests. A “complete response” does not necessarily indicate that the cancer has been cured, as a complete response can be followed by a relapse.

“Chemotherapy” refers to the administration of chemical agents that inhibit the growth, proliferation and/or survival of cancer cells. Such chemical agents are often directed to intracellular processes necessary for cell

growth or division, and are thus particularly effective against cancerous cells, which generally grow and divide rapidly. For example, vincristine depolymerizes microtubules, and thus inhibits cells from entering mitosis. In general, chemotherapy can include any chemical agent that inhibits, or is  
5 designed to inhibit, a cancerous cell or a cell likely to become cancerous. Such agents are often administered, and are often effective, in combination, *e.g.*, in the formulation CHOP.

“Radiation therapy” refers to the administration of radioactivity to an animal with cancer. Radiation kills or inhibits the growth of dividing cells,  
10 such as cancer cells.

“Surgery” is the direct removal or ablation of cells, *e.g.*, cancer cells, from an animal. Most often, the cancer cells will be in the form of a tumor (*e.g.*, resulting from a lymphoma), which is removed from the animal.

“Hormone therapy” refers to the administration of compounds that  
15 counteract or inhibit hormones, such as estrogen or androgen, that have a mitogenic effect on cells. Often, these hormones act to increase the cancerous properties of cancer cells *in vivo*.

“Immunotherapy” refers to methods of enhancing the ability of an animal’s immune system to destroy cancer cells within the animal.

20 A “free-form” therapeutic agent, or “free” therapeutic agent, refers to a therapeutic agent that is not liposome-encapsulated. Usually, a drug is presumed to be “free,” or in a “free-form,” unless specified otherwise. A vinca alkaloid or a topoisomerase II inhibitor in free form may still be present in combination with other reagents, such as other chemotherapeutic compounds,  
25 a pharmaceutical carrier, or complexing agents, *i.e.* as used herein the term only specifically excludes liposome formulations.

A “topoisomerase II inhibitor” is a chemical compound that inhibits the ability of the enzyme topoisomerase II to catalyze the reannealing function of double-stranded DNA cleaved by the enzyme. The inhibiting compound may  
30 function by intercalation of DNA, such as an anthracycline, or through binding to the enzyme, such as a podophyllotoxin derivative. Podophyllotoxin derivatives or analogues are chemically distinct compounds that possess the same function of inhibiting topoisomerase II by binding to the enzyme.

## BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 provides results for a clinical trial using the herein-described methods, in particular regarding the efficacy of the methods in the treatment of indolent, transformed, relapsed, and aggressive post bone-marrow transplant (BMT) forms of non-Hodgkin's Lymphoma.

Figure 2 provides results concerning the response to liposomal vincristine in Relapsed Aggressive NHL, particularly with regard to the effect of the prior regimen number.

## DETAILED DESCRIPTION OF THE INVENTION

This invention provides methods of treating neoplasia in a patient. This invention is based on the discovery that liposome-encapsulated vinca alkaloids in combination with a free or liposome-encapsulated topoisomerase II inhibitor are unusually effective in the treatment of a variety of forms of lymphoma and sarcoma. In particular, the surprising discovery was made that the administration of liposome-encapsulated vinca alkaloids in combination with a free or liposome-encapsulated topoisomerase II inhibitor increases the median survival of patients with lymphoma. In one embodiment, vincristine, encapsulated in a sphingomyelin and cholesterol based liposome, is used in combination with at least one of free etoposide, NK-611 and TOP-53 in the treatment of non-Hodgkin's Lymphoma or sarcoma, including relapsed forms of non-Hodgkin's Lymphoma (NHL) or sarcoma. The invention also provides, *inter alia*, methods of treating indolent, transformed, and aggressive forms of NHL or sarcoma.

Often, such treatments of relapsed, indolent, transformed, and aggressive forms of non-Hodgkin's Lymphoma or sarcoma are administered following at least one course of a primary anti-cancer treatment, such as chemotherapy and/or radiation therapy, followed by at least one partial or complete response to the at least one treatment. In other embodiments, the liposomal vinca alkaloids and topoisomerase II inhibitors are administered as a first line treatment. In the present invention, the liposome-encapsulated vinca alkaloids are provided in a combination therapy with free or liposome-encapsulated topoisomerase II inhibitors.

The present invention further provides dosages and dose scheduling of liposomal vinca alkaloids in combination with topoisomerase II inhibitors for treatment of solid and non-solid tumors with reduced toxicity.

I. Cancers Treatable with Lipid-Encapsulated Vinca Alkaloids in Combination with a Topoisomerase II Inhibitor

The methods described herein can be used to treat any type of cancer. In particular, these methods can be applied to cancers of the blood and lymphatic systems, including lymphomas, leukemia, and myelomas and cancers of connective tissues, *e.g.*, sarcomas.

In preferred embodiments, the present methods are used to treat any of the large number of lymphomas. For example, both Hodgkin's and non-Hodgkin's Lymphomas can be treated using the methods described herein. In particularly preferred embodiments, the methods are used to treat non-Hodgkin's Lymphoma (NHL), including any type of NHL as defined according to any of the various classification systems such as the Working formulation, the Rappaport classification and, preferably, the REAL classification and WHO classification. Such lymphomas include, but are not limited to, low-grade, intermediate-grade, and high-grade lymphomas, as well as both B-cell and T-cell lymphomas. Included in these categories are the various types of small cell, large cell, cleaved cell, lymphocytic, follicular, diffuse, Burkitt's, Mantle cell, NK cell, CNS, AIDS-related, lymphoblastic, adult lymphoblastic, indolent, aggressive, transformed and other types of lymphomas. The methods of the present invention can be used for adult or childhood forms of lymphoma, as well as lymphomas at any stage, *e.g.*, stage I, II, III, or IV. The various types of lymphomas are well known to those of skill, and are described, *e.g.*, by the American Cancer Society (*see, e.g.*, [www3.cancer.org](http://www3.cancer.org)).

The methods described herein are also preferably applied to any form of leukemia, including adult and childhood forms of the disease. For example, any acute, chronic, myelogenous, and lymphocytic form of the disease can be treated using the methods of the present invention. In preferred embodiments, the methods are used to treat Acute Lymphocytic Leukemia (ALL). More information about the various types of leukemia can be found, *inter alia*, from the Leukemia Society of America (*see, e.g.*, [www.leukemia.org](http://www.leukemia.org)).

In certain embodiments, the present methods and compositions can be used to treat any of the large number of sarcomas. Sarcoma is a general class of cancers in which the cancer cells arise from or resemble normal cells in the body known as "connective tissues". Normal "connective tissues" include fat, muscle, blood vessels, deep skin tissues, nerves, bones, and cartilage. Cancer of cells which resemble any of these normal tissues are

known as "sarcomas". Sarcomas are sub-classified based on the specific type of cell that makes up the cancer. A variety of sarcomas can be treated using the methods and compositions described herein. Such sarcomas include, but are not limited to liposarcomas, leiomyosarcomas, rhabdomyosarcoma, angiosarcoma, fibrosarcoma, malignant peripheral nerve sheath tumor, gastrointestinal stromal tumor, desmoid tumor, Ewing's sarcoma, osteosarcoma, chondrosarcoma, and synovial sarcoma. Synovial sarcoma is a malignant tumor made up of cells that resemble the cells in joints. Synovial sarcomas can arise in any location in the body, and it often appears in young adults.

In another embodiment, the present methods and compositions can be used to treat Wilms tumor, a type of kidney cancer. Wilms tumor arises from specialized cells known as nephroblasts, which are involved in the development of the kidneys when the child is in the womb.

Additional types of tumors can also be treated using the methods described herein, such as neuroblastomas, myelomas, prostate cancers, small cell lung cancer, and others.

## II. Relapsed or Refractory Forms of the Diseases

The present invention can be used to treat relapsed, transformed, or refractory forms of cancer. Often, patients with relapsed cancers have undergone one or more treatments including chemotherapy, radiation therapy, bone marrow transplants, hormone therapy, surgery, and the like. Of the patients who respond to such treatments, they may exhibit stable disease, a partial response (*i.e.*, the tumor or a cancer marker level diminishes by at least 50%), or a complete response (*i.e.*, the tumor as well as markers become undetectable). In either of these scenarios, the cancer may subsequently reappear, signifying a relapse of the cancer.

In a preferred embodiment, the methods provided herein are used to treat a patient that has undergone a single course of treatment for a cancer, has partially or completely responded to such treatment, and has subsequently suffered a relapse. In other embodiments, patients are treated who have undergone more than one course of treatment, have responded more than once, and have subsequently suffered more than one relapse. The previous course of treatment can include any anti-cancer treatment, including chemotherapy, radiation therapy, bone marrow transplant, *etc.*

In certain embodiments of the present invention, liposomal alkaloids in combination with at least one topoisomerase II inhibitor are employed against "resistant" cancers, *i.e.*, cancers which have previously exhibited a complete response to a treatment, but which subsequently manifest  
5 a resistance to second or later course of treatment.

In certain embodiments, the liposome-encapsulated vinca alkaloids are used in combination with at least one topoisomerase II inhibitor, such as topotecan, teniposide, NK-611 or TOP-53 (in either free or liposome-encapsulated form). Further, this regimen can be used with additional  
10 chemotherapeutic agents, such as cyclophosphamide, doxorubicin, and prednisone. In one embodiment, liposome-encapsulated vincristine is used along with cyclophosphamide, doxorubicin, and prednisone, thereby forming an improved, liposomal CHOP formulation ("lipo-CHOP") in combination with at least one topoisomerase II inhibitor.

### 15 III. First-Line Treatments

In alternative embodiments of the present invention, liposome-encapsulated vinca alkaloids in combination with a topoisomerase II inhibitor are used as a first-line treatment for primary forms of cancer. In such  
20 embodiments, liposome-encapsulated vinca alkaloids and a free or liposome-encapsulated topoisomerase II inhibitor are used to treat lymphoma, particularly non-Hodgkin's Lymphoma or a sarcoma. As used herein, "first-line treatment" refers to a primary treatment for a patient presenting with a cancer, in contrast to a relapsed or refractory cancer.

In certain embodiments, the liposome-encapsulated vinca  
25 alkaloids are used in combination with at least one topoisomerase II inhibitor, such as topotecan, teniposide, NK-611 or TOP-53 (in either free or liposome-encapsulated form). Further, this regimen can be used with additional chemotherapeutic agents, such as cyclophosphamide, doxorubicin, and prednisone. In one embodiment, liposome-encapsulated vincristine is used  
30 along with cyclophosphamide, doxorubicin, and prednisone, thereby forming an improved, liposomal CHOP formulation ("lipo-CHOP") in combination with at least one topoisomerase II inhibitor.

When used as a single agent in first-line treatment, dosages and dose scheduling is preferably the same as single agent treatment for relapsed  
35 cancer. When used in combination regimes, dosages and dose scheduling

may be revised in comparison to single agent use to correspond to the preferred regimen for the combination.

#### IV. Vinca and Other Alkaloids

The present invention can include the use of any naturally occurring alkaloid, including vinca alkaloids, or any synthetic derivative of a naturally occurring alkaloid. Vinca alkaloids include, but are not limited to, vinblastine, vincristine, vindoline, vindesine, vinleurosine, vinrosidine, vinorelbine, or derivatives thereof (*see, e.g.,* the Merck Index, 11<sup>th</sup> Edition (1989) entries 9887, 9891, and 9893, for vinblastine, vincristine, and vindoline). Examples of other suitable alkaloids include, but are not limited to, the camptothecins (*e.g.,* irinotecan, topotecan, *etc.*) the taxanes (taxol, *etc.*), and derivatives thereof. All of the above compounds are well known to those of skill and are readily available from commercial sources, by synthesis, or by purification from natural sources.

In preferred embodiments, the vinca alkaloid used in the present invention is vincristine. Vincristine, also known as leurocristine sulfate, 22-oxovincal leukoblastine, Kyocristine, vincosid, vincrex, oncovin, Vincasar PFS<sup>®</sup>, or VCR, is commercially available from any of a number of sources, *e.g.,* Pharmacia & Upjohn, Lilly, IGT, *etc.* It is often supplied as vincristine sulfate, *e.g.,* as a 1 mg/mL solution.

The present invention can comprise the use of a single vinca alkaloid or multiple, co-administered vinca alkaloids. In addition, the one or more vinca alkaloids can be combined with other compounds or molecules, such as other anti-neoplastic agents. In certain embodiments, such combinations of vinca alkaloids and/or other compounds can be made prior to liposomal formulation, thereby creating a combination within a single liposome. In other embodiments, liposome-encapsulated vinca alkaloids are formulated and subsequently combined with the other molecules, which can themselves be free-form or liposome-encapsulated.

Any of the therapeutic agents described herein, including liposome-encapsulated alkaloids, can be subjected to pre-clinical testing in well known models of human diseases. *In vivo* models of human lymphoma include mice carrying the non-Hodgkin's B-cell line DoHH2 (Kluin-Nelemans HC, *et al.* (1991) *Leukemia* 5(3) 221-224), or mice carrying Daudi or Raji cell xenografts (*see, for example* Hudson, WA *et al.* (1998) *Leukemia* 12(12): 2029-2033).



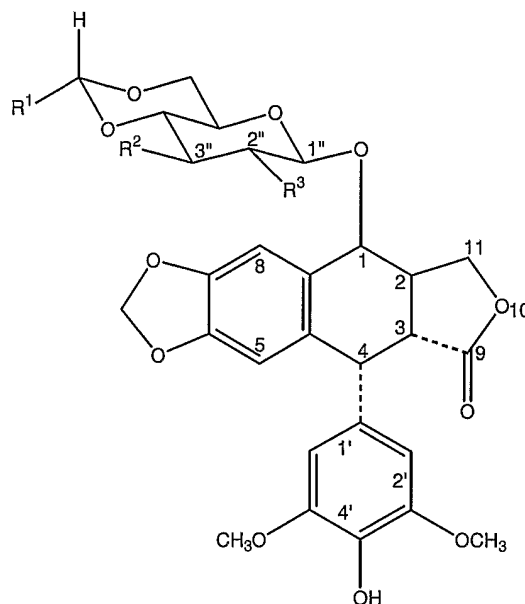
Many other oncological models can also be used and are known to those skilled in the art.

#### V. Topoisomerase II Inhibitors

The present invention can include the use of any naturally occurring, synthetic or semi-synthetic chemical compound that inhibits topoisomerase II. Topoisomerase inhibitors include intercalating compounds such as anthracyclines, including doxorubicin (Adriamycin, Rubrex), daunorubicin (Cerubidine), epirubicin, idarubicin (Idamycin), and mitoxantrone (Novantrone). Podophyllotoxin analogues are also potent inhibitors of topoisomerase II. Preferred podophyllotoxin derivatives used in the present invention include etoposide, teniposide, NK-611, and TOP-53. Etoposide, known commercially for example as VePesid and Lastet, and generically as VP-16-213, has the chemical name 4'-demethylepipodophyllotoxin-4-(4,6-O-ethylidene)- $\beta$ -D-glucopyranoside, and is available for purchase from numerous pharmaceutical suppliers, including Bristol-Myers Squibb, Latina (Italy), Nippon Kayaku (Japan), Novartis Pharma, Pharmacia, and Prاسfarma (Spain). Teniposide, known commercially as Vumon, and generically as VM-26, has the chemical name 4'-demethylepipodophyllotoxin-4-(4,6-O-thienylidene)- $\beta$ -D-glucopyranoside and is also available from Bristol-Myers Squibb. NK-611, also known as dimethylamino etoposide or (5R,5aR,8aR,9S)-9-[[2-deoxy-2-(dimethylamino)-4,6-O-(R)-ethylidene- $\beta$ -D-glucopyranosyl]oxy]-5,8,8a,9-tetrahydro-5-(4-hydroxy-3,5-dimethoxyphenyl)furo[3'4':6,7]-naphtho-[2,3-d]-1,3-dioxol-6[5aH]one hydrochloride dihydrate, is available from Asta Medica, GmbH of Frankfurt, Germany. TOP-53, also known as (4 $\beta$ -aminoalkyl-4'-o-demethyl-4-desoxypodophyllotoxin) can be purchased from Taiho Pharmaceutical Co., Ltd, in Tokyo, Japan.

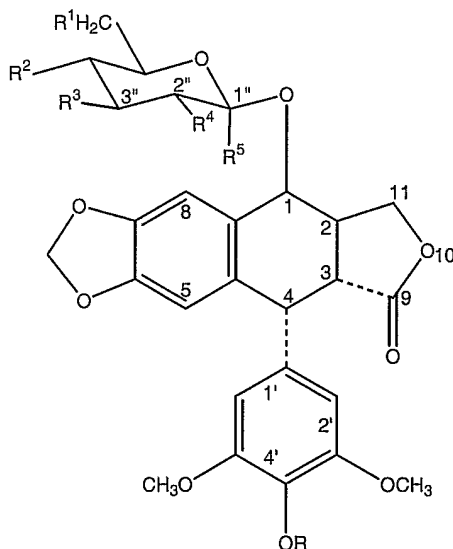
Additional podophyllotoxin derivatives are described in U.S. Patent Nos. 5,132,322, 5,300,500 and 5,541,223 and in Terada, *et al.* (1993) *J Med Chem* 36:1689, Saito, *et al.* (1986) *Chem Pharm Bull* 34:3733 and Saito, *et al.* (1986) *Chem Pharm Bull* 34:3741.

Generally, the podophyllotoxin derivatives share the general chemical structure:



wherein  $R^1$ ,  $R^2$  and  $R^3$  each independently are H, OH, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, CH<sub>3</sub>COO, OCOCH<sub>2</sub>Cl, OCOCH<sub>3</sub>, NH<sub>2</sub>, N(CH<sub>3</sub>)<sub>2</sub>, NHCH<sub>2</sub>H<sub>5</sub>, N(C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>, NH(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, N[(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>]<sub>2</sub>, NH<sub>2</sub>CH<sub>2</sub>Cl, NHCH<sub>2</sub>CH(OH)CH<sub>2</sub>OH or a thenylidene.

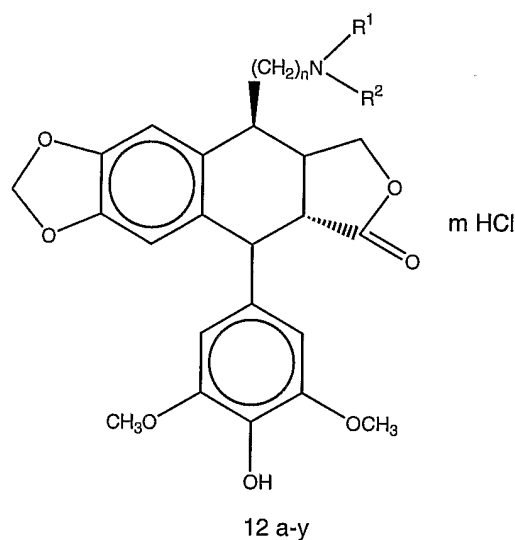
- 5 In other embodiments, podophyllotoxin derivatives of the following chemical structure are used:



- wherein R, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub>, R<sub>4</sub> and R<sub>5</sub> are each independently H, OH, CH<sub>3</sub>, C<sub>2</sub>H<sub>5</sub>, CH<sub>3</sub>COO, OCOCH<sub>2</sub>Cl, OCOCH<sub>3</sub>, NH<sub>2</sub>, N(CH<sub>3</sub>)<sub>2</sub>, NHCH<sub>2</sub>H<sub>5</sub>, N(C<sub>2</sub>H<sub>5</sub>)<sub>2</sub>, NH(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>, N[(CH<sub>2</sub>)<sub>3</sub>CH<sub>3</sub>]<sub>2</sub>, NH<sub>2</sub>CH<sub>2</sub>Cl, NHCH<sub>2</sub>CH(OH)CH<sub>2</sub>OH or a thenylidene. Of particular interest are 1-O-(2-Amino-2-deoxy-4:6-O-ethylidene-β-D-glucopyranosyl)-4'-O-demethyl-1-epipodophyllotoxin, 1-O-(3-Amino-3-
- 10

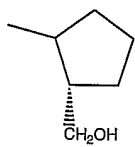
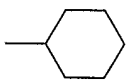
deoxy-4:6-O-ethylidene-β-D-glucopyranosyl)-4'-O-demethyl-1-epipodophyllotoxin, and 1-O-(2-Dimethylamino-2-deoxy-4:6-O-ethylidene-β-D-glucopyranosyl)-4'-O-demethyl-1-epipodophyllotoxin (see Saito, *et al.*, *supra*).

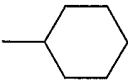
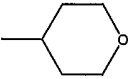
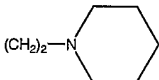
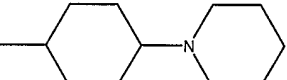
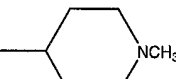
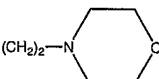
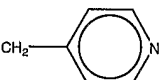
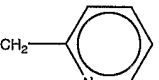

Other podophyllotoxin derivatives of use in the invention have the  
 5 general chemical structure:



In one embodiment,  $n=2$ ,  $m=2$ , and  $R^1$  and  $R^2$  each independently are  $CH_3$  or  $(CH_2)_2N(CH_3)_2$ . Additional structures 12a-y are shown in Table 1 (from Terada, *et al.*, *supra*). Of particular interest are  
 10 compounds 12o, 12s, 12t and 12u.

Table 1

compound	n	m	$R^1$	$R^2$
12a	2	1	$CH_3$	$CH_3$
12b	3	1	$CH_3$	$CH_3$
12c	2	1	$CH_3$	$CH_2CH_2OH$
12d	2	1	$CH_3$	$CH(CH_2OH)_2$
12e	2	1	$CH_3$	$CH_2CH_2OCH_3$
12f	2	1	$CH_3$	$(CH_2)_5CH_3$
12g	2	1		
12h	2	1	$CH_3$	

compound	n	m	R <sup>1</sup>	R <sup>2</sup>
12i	2	1		
12j	2	1	CH <sub>3</sub>	CH <sub>2</sub> Ph
12k	2	1		
12l	2	1	CH <sub>3</sub>	N(CH <sub>3</sub> ) <sub>2</sub>
12m	2	1	CH <sub>3</sub>	N(CH <sub>3</sub> )Ph
12n	2	2	H	(CH <sub>2</sub> ) <sub>2</sub> N(CH <sub>3</sub> ) <sub>2</sub>
12o	2	2	CH <sub>3</sub>	(CH <sub>2</sub> ) <sub>2</sub> N(CH <sub>3</sub> ) <sub>2</sub>
12p	2	2	CH <sub>3</sub>	(CH <sub>2</sub> ) <sub>3</sub> N(CH <sub>3</sub> ) <sub>2</sub>
12q	2	2	CH <sub>3</sub>	(CH <sub>2</sub> ) <sub>6</sub> N(CH <sub>3</sub> ) <sub>2</sub>
12r	2	2	CH <sub>3</sub>	(CH <sub>2</sub> ) <sub>2</sub> N(CH <sub>2</sub> CH <sub>3</sub> ) <sub>2</sub>
12s	2	2	CH <sub>3</sub>	
12t	2	2		
12u	2	2		
12v	2	2	CH <sub>3</sub>	
12w	2	2	CH <sub>3</sub>	
12x	2	2	CH <sub>3</sub>	
12y	2	2	CH <sub>3</sub>	

The present invention can comprise the use of a single topoisomerase II inhibitor, or multiple co-administered inhibitors. The topoisomerase II inhibitors, or combinations thereof, can be used in free-form, as part of a pharmaceutical composition, or liposome-encapsulated. In a

preferred embodiment, the topoisomerase II inhibitors are in free-form. In addition to a liposome-encapsulated vinca alkaloid composition, the one or more inhibitor compounds can be combined with other compounds, such as an additional anti-neoplastic agent. In some embodiments, a topoisomerase II  
5 inhibitor compound is formulated and subsequently combined with other molecules, which can themselves be free-form or liposome-encapsulated.

## VI. Lipids

Any of a number of lipids can be used to prepare the liposomes of the present invention, including amphipathic, neutral, cationic, and anionic  
10 lipids. Such lipids can be used alone or in combination, and can also include bilayer stabilizing components such as polyamide oligomers (*see, e.g.*, U.S. Patent application "Polyamide Oligomers," by Ansell, U.S. Application No. 09/218,988, filed December 22, 1998), peptides, proteins, detergents, lipid-derivatives, such as PEG coupled to phosphatidylethanolamine and PEG  
15 conjugated to ceramides (*see*, U.S. Application No. 08/485,608). In a preferred embodiment, cloaking agents, which reduce elimination of liposomes by the host immune system, can also be included, such as polyamide-oligomer conjugates, *e.g.*, ATTA-lipids, (*see*, U.S. Patent Application Number 08/996,783, filed February 2, 1998) and PEG-lipid conjugates (*see*, U.S. Patent  
20 Application Nos. 08/486,214, 08/316,407 and 08/485,608).

Any of a number of neutral lipids can be included, referring to any of a number of lipid species which exist either in an uncharged or neutral zwitterionic form at physiological pH, including diacylphosphatidylcholine, diacylphosphatidylethanolamine, ceramide, sphingomyelin, cephalin,  
25 cholesterol, cerebrosides, and diacylglycerols.

In preferred embodiments, the lipid used is sphingomyelin. In particularly preferred embodiments, the lipid comprises sphingomyelin and cholesterol. In such embodiments, the ratio of sphingomyelin to cholesterol is typically between about 75/25 (mol% sphingomyelin/mol% cholesterol) and  
30 about 50/50 (mol% sphingomyelin/mol% cholesterol), preferably between about 70/30 and 55/45 (mol% sphingomyelin/mol% cholesterol), and most preferably about 55/45 (mol% sphingomyelin/mol% cholesterol). Such ratios may be altered, however, by the addition of other lipids into the present formulations.

Cationic lipids, which carry a net positive charge at physiological  
35 pH, can readily be incorporated into liposomes for use in the present invention.

Such lipids include, but are not limited to, N,N-dioleoyl-N,N-dimethylammonium chloride ("DODAC"); N-(2,3-dioleyloxy)propyl-N,N,N-triethylammonium chloride ("DOTMA"); N,N-distearyl-N,N-dimethylammonium bromide ("DDAB"); N-(2,3-dioleyloxy)propyl-N,N,N-trimethylammonium chloride ("DOTAP"); 3 $\beta$ -(N-  
 5 (N',N'-dimethylaminoethane)-carbamoyl)cholesterol ("DC-Chol"), N-(1-(2,3-dioleyloxy)propyl)-N-2-(sperminecarboxamido)ethyl-N,N-dimethylammonium trifluoroacetate ("DOSPA"), dioctadecylamidoglycyl carboxyspermine ("DOGS"), 1,2-dioleoyl-sn-3-phosphoethanolamine ("DOPE"); and N-(1,2-dimyristyloxyprop-3-yl)-N,N-dimethyl-N-hydroxyethyl ammonium bromide ("DMRIE"). Additionally,  
 10 a number of commercial preparations of cationic lipids can be used, such as LIPOFECTIN (including DOTMA and DOPE, available from GIBCO/BRL), LIPOFECTAMINE (comprising DOSPA and DOPE, available from GIBCO/BRL), and TRANSFECTAM (comprising DOGS, in ethanol, from Promega Corp.).

15 Anionic lipids suitable for use in the present invention include, but are not limited to, phosphatidylglycerol, cardiolipin, diacylphosphatidylserine, diacylphosphatidic acid, N-dodecanoyl phosphatidylethanolamine, N-succinyl phosphatidylethanolamine, N-glutaryl phosphatidylethanolamine, lysylphosphatidylglycerol, and other anionic modifying groups joined to neutral  
 20 lipids.

In numerous embodiments, amphipathic lipids can be used. "Amphipathic lipids" refer to any suitable material, wherein the hydrophobic portion of the lipid material orients into a hydrophobic phase, while the hydrophilic portion orients toward the aqueous phase. Such compounds  
 25 include, but are not limited to, phospholipids, aminolipids, and sphingolipids. Representative phospholipids include sphingomyelin, phosphatidylcholine, phosphatidylethanolamine, phosphatidylserine, phosphatidylinositol, phosphatidic acid, palmitoyloleoyl phosphatidylcholine, lysophosphatidylcholine, lysophosphatidylethanolamine, dipalmitoylphosphatidylcholine,  
 30 dioleoylphosphatidylcholine, distearoylphosphatidylcholine, or dilinoleoylphosphatidylcholine. Other phosphorus-lacking compounds, such as sphingolipids, glycosphingolipid families, diacylglycerols, and  $\beta$ -acyloxyacids, can also be used. Additionally, such amphipathic lipids can be readily mixed with other lipids, such as triglycerides and sterols.

The liposomes used in the present invention can be multilamellar or unilamellar, which can be formed using the methods disclosed herein and other methods known to those of skill in the art.

Also suitable for inclusion in the present invention are  
5 programmable fusion lipid formulations. Such formulations have little tendency to fuse with cell membranes and deliver their payload until a given signal event occurs. This allows the lipid formulation to distribute more evenly after injection into an organism or disease site before it starts fusing with cells. The signal event can be, for example, a change in pH, temperature, ionic environment, or  
10 time. In the latter case, a fusion delaying or "cloaking" component, such as an ATTA-lipid conjugate or a PEG-lipid conjugate, can simply exchange out of the liposome membrane over time. By the time the formulation is suitably distributed in the body, it has lost sufficient cloaking agent so as to be fusogenic. With other signal events, it is desirable to choose a signal that is  
15 associated with the disease site or target cell, such as increased temperature at a site of inflammation.

#### VII. Making Liposomes

A variety of methods are available for preparing liposomes as described in, *e.g.*, Szoka, *et al.*, *Ann. Rev. Biophys. Bioeng.*, 9:467 (1980), U.S.  
20 Pat. Nos. 4,186,183, 4,217,344, 4,235,871, 4,261,975, 4,485,054, 4,501,728, 4,774,085, 4,837,028, 4,946,787, PCT Publication No. WO 91/17424, Deamer and Bangham, *Biochim. Biophys. Acta*, 443:629-634 (1976); Fraley, *et al.*, *Proc. Natl. Acad. Sci. USA*, 76:3348-3352 (1979); Hope, *et al.*, *Biochim. Biophys. Acta*, 812:55-65 (1985); Mayer, *et al.*, *Biochim. Biophys. Acta*, 858:161-168  
25 (1986); Williams, *et al.*, *Proc. Natl. Acad. Sci.*, 85:242-246 (1988), the text *Liposomes*, Marc J. Ostro, ed., Marcel Dekker, Inc., New York, 1983, Chapter 1, and Hope, *et al.*, *Chem. Phys. Lip.*, 40:89 (1986), all of which are incorporated herein by reference. Suitable methods include, but are not limited to, sonication, extrusion, high pressure/homogenization, microfluidization,  
30 detergent dialysis, calcium-induced fusion of small liposome vesicles, and ether-infusion methods, all of which are well known in the art.

One method produces multilamellar vesicles of heterogeneous sizes. In this method, the vesicle-forming lipids are dissolved in a suitable organic solvent or solvent system and dried under vacuum or an inert gas to  
35 form a thin lipid film. If desired, the film may be redissolved in a suitable

solvent, such as tertiary butanol, and then lyophilized to form a more homogeneous lipid mixture which is in a more easily hydrated powder-like form. This film is covered with an aqueous buffered solution and allowed to hydrate, typically over a 15-60 minute period with agitation. The size distribution of the  
5 resulting multilamellar vesicles can be shifted toward smaller sizes by hydrating the lipids under more vigorous agitation conditions or by adding solubilizing detergents, such as deoxycholate.

Unilamellar vesicles can be prepared by sonication or extrusion. Sonication is generally performed with a tip sonifier, such as a Branson tip  
10 sonifier, in an ice bath. Typically, the suspension is subjected to several sonication cycles. Extrusion may be carried out by biomembrane extruders, such as the Lipex Biomembrane Extruder. Defined pore size in the extrusion filters may generate unilamellar liposomal vesicles of specific sizes. The liposomes may also be formed by extrusion through an asymmetric ceramic  
15 filter, such as a Ceraflow Microfilter, commercially available from the Norton Company, Worcester MA. Unilamellar vesicles can also be made by dissolving phospholipids in ethanol and then injecting the lipids into a buffer, causing the lipids to spontaneously form unilamellar vesicles. Also, phospholipids can be solubilized into a detergent, e.g., cholates, Triton X, or n-alkylglucosides.  
20 Following the addition of the drug to the solubilized lipid-detergent micelles, the detergent is removed by any of a number of possible methods including dialysis, gel filtration, affinity chromatography, centrifugation, and ultrafiltration.

Following liposome preparation, the liposomes which have not been sized during formation may be sized to achieve a desired size range and  
25 relatively narrow distribution of liposome sizes. A size range of about 0.2-0.4 microns allows the liposome suspension to be sterilized by filtration through a conventional filter. The filter sterilization method can be carried out on a high through-put basis if the liposomes have been sized down to about 0.2-0.4 microns.

30 Several techniques are available for sizing liposomes to a desired size. One sizing method is described in U.S. Patent No. 4,737,323, incorporated herein by reference. Sonicating a liposome suspension either by bath or probe sonication produces a progressive size reduction down to small unilamellar vesicles less than about 0.05 microns in size. Homogenization is  
35 another method that relies on shearing energy to fragment large liposomes into smaller ones. In a typical homogenization procedure, multilamellar vesicles are



recirculated through a standard emulsion homogenizer until selected liposome sizes, typically between about 0.1 and 0.5 microns, are observed. The size of the liposomal vesicles may be determined by quasi-electric light scattering (QELS) as described in Bloomfield, *Ann. Rev. Biophys. Bioeng.*, 10:421-450 (1981), incorporated herein by reference. Average liposome diameter may be reduced by sonication of formed liposomes. Intermittent sonication cycles may be alternated with QELS assessment to guide efficient liposome synthesis.

Extrusion of liposome through a small-pore polycarbonate membrane or an asymmetric ceramic membrane is also an effective method for reducing liposome sizes to a relatively well-defined size distribution. Typically, the suspension is cycled through the membrane one or more times until the desired liposome size distribution is achieved. The liposomes may be extruded through successively smaller-pore membranes, to achieve gradual reduction in liposome size. For use in the present invention, liposomes having a size ranging from about 0.05 microns to about 0.40 microns are preferred. In particularly preferred embodiments, liposomes are between about 0.05 and about 0.2 microns.

In preferred embodiments, empty liposomes are prepared using conventional methods known to those of skill in the art. Typically, as discussed *infra*, the liposomes used in the present invention will comprise a transmembrane potential, whereby antineoplastic agents such as vinca alkaloids are effectively loaded into and retained by the liposome. In preferred embodiments, the potential will be effected by creating a pH gradient across the membrane. In particularly preferred embodiments, the pH is lower at the interior of the liposomes than at the exterior. Such gradients can be achieved, *e.g.*, by formulating the liposomes in the presence of a buffer with a low pH, *e.g.*, having a pH between about 2 and about 6, and subsequently transferring the liposomes to a higher pH solution. In preferred embodiments, the pH is between about 3 and 5, and in most preferred embodiments, the pH is about 4. Any of a number of buffers can be used, such as citrate.

Subsequently, before or after sizing, the external pH can be raised, *e.g.*, to about 7 or 7.5, by the addition of a suitable buffer, such as a sodium phosphate buffer. Raising the external pH creates a pH gradient across the liposomal membrane, thereby promoting efficient drug loading and retention.

Liposomes prepared according to these methods can be stored for substantial periods of time prior to drug loading and administration to a patient. For example, liposomes can be dehydrated, stored, and subsequently rehydrated, loaded with one or more vinca alkaloids, and administered.

5 Dehydration can be accomplished, *e.g.*, using standard freeze-drying apparatus, *i.e.*, they are dehydrated under low pressure conditions. Also, the liposomes can be frozen, *e.g.*, in liquid nitrogen, prior to dehydration. Sugars can be added to the liposomal environment, *e.g.*, to the buffer containing the liposomes, prior to dehydration, thereby promoting the integrity of the liposome  
10 during dehydration. See, *e.g.*, U.S. Patent No. 5,077, 056 or 5,736,155.

In numerous embodiments, the empty liposomes are first formulated in low pH buffer, and then manipulated in one of a variety of ways to obtain liposomes of the desired size. Methods for sizing liposomes include sonication, by bath or by probe, or homogenization. Preferably, following such  
15 treatments, the liposomes are between about 0.05 to 0.45 microns. Most preferably, the liposomes are between about 0.05 and about 0.2 microns. Such sized liposomes can then be sterilized by filtration. Also, particle size distribution can be monitored by conventional laser-beam particle size discrimination or the like. In addition, methods of reducing liposome sizes to a  
20 relatively well-defined size distribution are known, *e.g.*, one or more cycles of extrusion of the liposomes through a small-pore polycarbonate membrane or an asymmetric ceramic membrane.

#### VIII. Preparation of Liposome-Encapsulated Vinca Alkaloids

Any of a number of methods can be used to load the vinca  
25 alkaloids and/or other drugs into the liposomes. Such methods include, *e.g.*, an encapsulation technique and a transmembrane potential loading method. Generally, following such methods, the vinca alkaloids are present at about 0.1 mg/mL to about 0.5 mg/mL. Preferably, the vinca alkaloids are present at about 0.15 to 0.2 mg/mL.

30 In one encapsulation technique, the drug and liposome components are dissolved in an organic solvent in which all species are miscible and concentrated to a dry film. A buffer is then added to the dried film and liposomes are formed having the drug incorporated into the vesicle walls. Alternatively, the drug can be placed into a buffer and added to a dried film of  
35 only lipid components. In this manner, the drug will become encapsulated in

the aqueous interior of the liposome. The buffer which is used in the formation of the liposomes can be any biologically compatible buffer solution of, for example, isotonic saline, phosphate buffered saline, or other low ionic strength buffers. The resulting liposomes encompassing the vinca alkaloids can then be  
5 sized as described above.

Transmembrane potential loading has been described in detail in U.S. Patent Nos. 4,885,172; 5,059,421; 5,171,578; and 5,837,282 (which teaches ionophore loading), each of which is incorporated herein by reference. Briefly, the transmembrane potential loading method can be used with  
10 essentially any conventional drug which can exist in a charged state when dissolved in an appropriate aqueous medium. Preferably, the drug will be relatively lipophilic so that it will partition into the liposome membranes. A transmembrane potential is created across the bilayers of the liposomes or protein-liposome complexes and the drug is loaded into the liposome by means  
15 of the transmembrane potential. The transmembrane potential is generated by creating a concentration gradient for one or more charged species (*e.g.*, Na<sup>+</sup>, K<sup>+</sup>, and/or H<sup>+</sup>) across the membranes. This concentration gradient is generated by producing liposomes having different internal and external media and has an associated proton gradient. Drug accumulation can then occur in a manner  
20 predicted by the Henderson-Hasselbach equation.

Additional methods of preparing liposome-encapsulated vinca alkaloids for use in the present invention are discussed, *e.g.*, in U.S. Patent Nos. 5,741,516, 5,814,335 and 5,543,152, each of which is assigned to Inex Pharmaceuticals Corp. and is incorporated herein by reference.

25 In one embodiment, liposomal vinca alkaloids are prepared prior to use from a kit including 3 or more vials. The following embodiments specifically refer to vincristine, but any other vinca alkaloid may alternatively be used. At least one of the vials contains a vincristine solution containing, *e.g.*, 1 mg/mL, 2 mg/mL, or 5 mg/mL vincristine sulfate, in buffer containing, *e.g.*, 100  
30 or 200 mg/mL mannitol (obtainable from, *e.g.*, SP Pharmaceuticals LLC, Albuquerque, NM; other excipients that are pharmaceutically acceptable, and in which vincristine remains stable for extended periods, can also be used). This vincristine solution may optionally include sodium acetate, and is typically adjusted to an acid pH, *e.g.*, pH 3.5 to 5.5, or pH 4.5 to pH 4.7. One of the vials  
35 contains a solution containing liposomes comprising sphingomyelin and cholesterol (each of which is commercially available, *e.g.*, from NEN Life

Sciences, Avanti Polar Lipids, *etc.*) and suspended in a buffer, *e.g.*, 300 mM citrate buffer at, *e.g.*, pH 4.0. Another vial or vials contains an alkaline phosphate buffer (*e.g.*, pH 9.0) such as dibasic sodium phosphate, 14.2 mg/ml (20 ml/vial).

5                    In other preferred embodiments, a kit is used that contains 2 vials containing components that can be used to formulate the claimed liposome-encapsulated vincristine, or a kit containing 1 vial containing a stable preparation of liposomes comprising pre-loaded vincristine. Such stable preparations can be accomplished in any of a number of ways, including, but  
10 not limited to, (1) a hydrated preparation stored at ambient temperatures or refrigerated and which contains one or more modifications or components to enhance chemical stability, *e.g.*, antioxidants; (2) a hydrated preparation that was frozen and which includes a suitable excipient to protect from freeze/thaw-induced damage; or (3) a lyophilized preparation. Typically, any of the above-  
15 described kits also contain instructions for use as well as clean-up disposal materials.

                  To prepare the liposomes, the vincristine sulfate and liposome solutions are each added to a sterile vial and mixed, at an appropriate concentration ratio, *e.g.*, 0.01/1.0 to 0.2/1.0 (wt. vinca alkaloid/wt. lipid). The  
20 mixture is mixed, *e.g.*, by inverting the vial multiple times. Following the formation of the liposomes in low pH buffer, and either before or after the sizing of the liposomes, the liposomes are introduced into buffer of a higher pH, *e.g.*, a sodium phosphate buffer, thereby creating a pH gradient across the liposome surface. In preferred embodiments, the external environment of the liposomes  
25 is between about pH 7.0 and about pH 7.5. The liposomes and vinca alkaloids can be mixed for an amount of time sufficient to achieve the desired alkaloid/lipid ratio. The mixture can be mixed, *e.g.*, by multiple inversions, and heated to temperatures between about 55°C and about 80°C, preferably between about 60°C and about 65°C, for about 5, 10, or more minutes. Such  
30 treatment causes greater than about 90% of the vincristine to become entrapped within the liposome.

                  In other embodiments, these steps are followed at a larger scale, and loaded liposomal vincristine is supplied to, *e.g.*, a hospital pharmacy in ready-to-administer format. Such larger scale formulations may be prepared  
35 from different starting materials than those described for the kit; in particular, the buffers may be different.

## IX. Targeting Liposomes

In certain embodiments, it is desirable to target the liposomes of this invention using targeting moieties that are specific to a cell type or tissue. Targeting of liposomes using a variety of targeting moieties, such as ligands, cell surface receptors, glycoproteins, vitamins (*e.g.*, riboflavin) and monoclonal antibodies, has been previously described (*see, e.g.*, U.S. Patent Nos. 4,957,773 and 4,603,044, the teachings of which are incorporated herein by reference). The targeting moieties can comprise the entire protein or fragments thereof.

Targeting mechanisms generally require that the targeting agents be positioned on the surface of the liposome in such a manner that the target moiety is available for interaction with the target, for example, a cell surface receptor. The liposome is designed to incorporate a connector portion into the membrane at the time of liposome formation. The connector portion must have a lipophilic portion that is firmly embedded and anchored into the membrane. It must also have a hydrophilic portion that is chemically available on the aqueous surface of the liposome. The hydrophilic portion is selected so as to be chemically suitable with the targeting agent, such that the portion and agent form a stable chemical bond. Therefore, the connector portion usually extends out from the liposomal surface and is configured to correctly position the targeting agent. In some cases, it is possible to attach the target agent directly to the connector portion, but in many instances, it is more suitable to use a third molecule to act as a "molecular bridge." The bridge links the connector portion and the target agent off of the surface of the liposome, thereby making the target agent freely available for interaction with the cellular target.

Standard methods for coupling the target agents can be used. For example, phosphatidylethanolamine, which can be activated for attachment of target agents, or derivatized lipophilic compounds, such as lipid-derivatized bleomycin, can be used. Antibody-targeted liposomes can be constructed using, for instance, liposomes that incorporate protein A (*see*, Renneisen, *et al.*, *J. Bio. Chem.*, 265:16337-16342 (1990) and Leonetti, *et al.*, *Proc. Natl. Acad. Sci. (USA)*, 87:2448-2451 (1990). Other examples of antibody conjugation are disclosed in U.S. Patent Application Number 08/316,394, filed September 30, 1994, the teachings of which are incorporated herein by reference. Examples of targeting moieties can also include other proteins, specific to cellular components, including antigens associated with neoplasms or tumors. Proteins

used as targeting moieties can be attached to the liposomes via covalent bonds (see, Heath, *Covalent Attachment of Proteins to Liposomes*, 149 *Methods in Enzymology* 111-119 (Academic Press, Inc. 1987)). Other targeting methods include the biotin-avidin system.

5 X. Administration of Lipid-Encapsulated Vinca Alkaloids and Free-Form or Lipid-Encapsulated Topoisomerase II Inhibitors

Combination therapies of liposome-encapsulated vinca alkaloids and free-form or lipid-encapsulated topoisomerase II inhibitors can be administered in single, combined or multiple independent preparations in any of  
10 a number of ways. Administration can be systemic or local and routes of administration include oral, parenteral, intravenous, intratumoral, intramuscular, subcutaneous, intraperitoneal, inhalation, or any such method of delivery. In preferred embodiments, the liposome-encapsulated pharmaceutical compositions are administered intravenously by injection and free formulations  
15 given orally. In a preferred embodiment, the liposome-encapsulated vinca alkaloid is administered intravenously and a free topoisomerase II inhibitor is administered orally. In preferred embodiments, liposome-encapsulated vinca alkaloids are administered once every 7-21 days, and most preferably once every 14 days. In preferred embodiments, free or liposome-encapsulated  
20 topoisomerase II inhibitors are administered daily for 14-21 days in repeated cycles every 3-5 weeks.

In one embodiment, a patient is given an intravenous infusion of a preparation comprising at least one liposome-encapsulated vinca alkaloid and at least one free or liposome-encapsulated topoisomerase II inhibitor through a  
25 running intravenous line over, *e.g.*, 30 minutes, 60 minutes, 90 minutes, or longer. In preferred embodiments, a 60 minute infusion is used. Such infusions can be given periodically, *e.g.*, once every 1, 3, 5, 7, 10, 14, 21, or 28 days or longer.

As used herein, each administration of a liposomal vinca alkaloid  
30 and/or a topoisomerase II inhibitor is considered one "course" of treatment.

Depending on the combination therapy, a liposome-encapsulated vinca alkaloid and a topoisomerase II inhibitor can be administered concurrently or sequentially, through the same or different routes of administration. In one embodiment, the vinca alkaloid is encapsulated and the topoisomerase II  
35 inhibitor is given in free-form. In therapies where the liposome-encapsulated

vinca alkaloid and topoisomerase II inhibitor are given independently, either chemotherapeutic agent can be administered first, depending on the chosen course of therapy. If given concurrently, they can be encapsulated into the same liposomes, or encapsulated separately.

5                    Suitable formulations for use in the present invention can be found, *e.g.*, in *Remington's Pharmaceutical Sciences*, Mack Publishing Company, Philadelphia, PA, 17<sup>th</sup> Ed. (1985). Often, intravenous compositions will comprise a solution of the liposomes suspended in an acceptable carrier, such as an aqueous carrier. Any of a variety of aqueous carriers can be used,  
10 *e.g.*, water, buffered water, 0.4% saline, 0.9% isotonic saline, 0.3% glycine, 5% dextrose, and the like, and may include glycoproteins for enhanced stability, such as albumin, lipoprotein, globulin, *etc.* Often, normal buffered saline (135-150 mM NaCl) will be used. These compositions can be sterilized by conventional sterilization techniques, such as filtration. The compositions may  
15 contain pharmaceutically acceptable auxiliary substances as required to approximate physiological conditions, such as pH adjusting and buffering agents, tonicity adjusting agents, wetting agents, and the like, *e.g.*, sodium acetate, sodium lactate, sodium chloride, potassium chloride, calcium chloride, sorbitan monolaurate, triethanolamine oleate, *etc.* These compositions can be  
20 sterilized using the techniques referred to above, or can be produced under sterile conditions. The concentration of liposomes in the carrier can vary. Generally, the concentration will be about 20-200 mg/mL, however persons of skill can vary the concentration to optimize treatment with different liposome components or for particular patients. For example, the concentration may be  
25 increased to lower the fluid load associated with treatment.

                    The amount of vinca alkaloids and topoisomerase II inhibitor administered per dose is selected to be above the minimal therapeutic dose but below a toxic dose. The choice of amount per dose will depend on a number of factors, such as how often the drug is to be administered, the medical history of  
30 the patient, the use of other therapies, and the nature of the disease. In certain embodiments, an initially low dose will be given, which can be increased based on the response and/or tolerance of the patient to the initial dose. For example, 0.5, 1.0, 1.5, 2.0, 2.4, 2.8, 3.0 mg/m<sup>2</sup> (*i.e.*, mg vinca alkaloid, *e.g.*, vincristine, per m<sup>2</sup> body surface area) or higher concentrations can be administered. In  
35 preferred embodiments, patients are administered a dose of 2.0 mg/m<sup>2</sup> of vincristine, corresponding to a lipid dose of about 40 mg/m<sup>2</sup> or about 1.1 mg/kg

lipid and 0.05 mg/kg vincristine for an average 70 kg patient, or about 3 mg to about 6 mg vincristine per dose.

In preferred embodiments, a dose of about 20, 30, 40, 50, 75, 100, 125, 150, 175, 200 mg/m<sup>2</sup> of at least one topoisomerase II inhibitor, such as etoposide, teniposide, NK-611 and TOP-53 is administered. The administered dose is adjusted according to how often the topoisomerase II inhibitor is given and the route of administration, in that dosage amounts have an inverse relationship with frequency of administration. In one embodiment, about 120 mg/m<sup>2</sup> of at least one topoisomerase II inhibitor is administered for three consecutive days, and the cycle is repeated every three weeks. In another embodiment, about 50-100 mg/m<sup>2</sup> of at least one topoisomerase II inhibitor is administered for five consecutive days every 2-4 weeks. In another embodiment, about 125-140 mg/m<sup>2</sup> of at least one topoisomerase II inhibitor is administered on three alternative days (*i.e.*, days 1, 3 and 5) every 3-5 weeks. In another embodiment, about 50 mg/m<sup>2</sup> of at least one topoisomerase II inhibitor is administered daily for 14-21 days, the cycle repeated every 1-2 weeks.

In preferred embodiments, a dose of about 5, 10, 12, 15, 30, 50, 60, 75, 80 mg/m<sup>2</sup> of an anthracycline is administered.

Patients typically will receive at least 2 courses of such treatment, such treatment being, *e.g.*, administration of encapsulated vinca alkaloids and an oral topoisomerase II inhibitor, and potentially more treatments, depending on the response of the patient to the treatment. Typically, 2, 3, 4, 5, 6, 7, 8, 9, 10, or more courses of treatment can be given to patients. The total courses of treatment can be determined by the patient and physician based on observed responses and toxicity.

Because vincristine dosages are limited by neurotoxicity in humans, it is sometimes useful to co-administer liposomal vincristine with a treatment for neurotoxicity. This treatment may be prophylactic or therapeutic. An example is the administration of Neurontin™ gabapentin (Parke-Davis), or neurotonin, for treatment of neuropathic pain, *e.g.*, 100-200 mg Neurontin™ is administered 3 times per day to an adult patient. If neuropathic pain improves, then liposomal vincristine treatments may continue. Because this type of prophylactic or therapeutic treatment is intended only to treat side-effects of liposomal vincristine, it is considered separately from the combination therapies set forth below. Because topoisomerase II inhibitors are associated with



myelosuppression, it can be useful to co-administer etoposide, teniposide, NK-611 or TOP-53 with a hemopoietic stimulant, such as granulocyte colony stimulating factor (G-CSF, sold as Neupogen), at a dose of about 5  $\mu\text{g/kg/d}$  (see Rassmann, *et al.* (1999) *Invest. New Drugs* 16:319).

5                    This invention is based in part on the surprising discovery that, in contrast to free form vinca alkaloids, liposome-encapsulated vinca alkaloids can be administered without a cap on the total dosage. For example, whereas free form vincristine is typically administered with a cap of 2.0 mg, liposome-encapsulated vincristine can be administered at a constant dosage of,  
10                    preferably, 2.0  $\text{mg/m}^2$ . Thus, for a typical patient of from 1.5 to 3.0  $\text{m}^2$  surface area, a dose of from about 3.0 to about 6.0 mg vincristine can be administered. This also permits the use of higher dosages of vinca alkaloids in combination therapies. In addition, encapsulating topoisomerase II inhibitors in liposomes further expands the useful dosage range of these drugs also.

#### 15    XI.    Combination Therapies

                    In numerous embodiments, a combination therapy of liposome-encapsulated vinca alkaloids and free or liposome-encapsulated topoisomerase II inhibitors will be administered in further combination with one or more additional compounds or therapies. For example, in addition to administration  
20                    of a topoisomerase II inhibitor, multiple vinca alkaloids can be co-administered, or one or more vinca alkaloids can be administered in conjunction with another therapeutic compound, such as cyclophosphamide, doxorubicin, prednisone, other alkaloids such as the taxanes, camptothecins, and other chemotherapeutic agents such as antisense drugs or anti-tumor vaccines. In a  
25                    preferred embodiment, liposome-encapsulated vincristine and free etoposide is co-administered with cyclophosphamide, doxorubicin, and prednisone. In certain embodiments, multiple compounds are loaded into the same liposomes. In other embodiments, liposome-encapsulated vinca alkaloids are formed individually and subsequently combined with other compounds for a single co-  
30                    administration. Alternatively, certain therapies are administered sequentially in a predetermined order, such as in CHOP or lipo-CHOP. Liposome-encapsulated vincristine can also be formulated in a CVP combination, or cyclophosphamide-vincristine-prednisone.

                    Liposome-encapsulated vinca alkaloid and topoisomerase II  
35                    therapies can also be further combined with anti-tumor agents such as

monoclonal antibodies including, but not limited to, Oncolym™ (Techniclone Corp. Tustin, CA) or Rituxan™ (IDEC Pharmaceuticals), Bexxar™ (Coulter Pharmaceuticals, Palo Alto, CA), or IDEC-Y2B8 (IDEC Pharmaceuticals Corporation). In addition, liposome-encapsulated vinca alkaloid and  
5 topoisomerase II combination therapy can be administered along with one or more non-molecular treatments such as radiation therapy, bone marrow transplantation, hormone therapy, surgery, *etc.*

In one embodiment, liposome encapsulated vinca alkaloid and topoisomerase II combinations are administered in conjunction with an  
10 additional anti-cancer compound or therapy which provides an increased or synergistic improvement in tumor reduction based on mechanism of action and non-overlapping toxicity profiles. For example, liposomal vinca alkaloids can be delivered with a taxane, which optionally may be a liposomal taxane. While it is thought that vinca alkaloids depolymerize microtubules and taxanes stabilize  
15 microtubules, the two compounds have been found to act synergistically in the impairment of tumor growth, presumably because both are involved in the inhibition of microtubule dynamics. See, Dumontet, C. and Sikic, B.I. (1999) *J. Clin Onc.* 17(3) 1061-1070. Liposomal formulations of the vinca alkaloids and other chemotherapeutic compounds according to the present invention will thus  
20 significantly diminish the myeloid and neurologic toxicity associated with the sequential administration of free form vinca alkaloids and taxanes.

Other combination therapies known to those of skill in the art can be used in conjunction with the methods of the present invention.

### EXAMPLES

25 The following examples are offered to illustrate, but not to limit the claimed invention.

#### EXAMPLE 1

##### MAKING LIPOSOME-ENCAPSULATED VINCRISTINE

Liposome-encapsulated vincristine (Vincristine Sulfate Liposome  
30 Injection) was prepared using a six vial kit. Vials 1 and 2 contained a vincristine sulfate solution (1 mg/mL Vincasar PFS®, SP Pharmaceuticals LLC, Albuquerque, NM) in buffer comprising mannitol and sodium acetate, pH 4.5-4.7, vial 3 contained empty liposomes (100 mg/mL Sphingomyelin/Cholesterol liposomes, at a ratio of between about 60/40 to 50/50, or more preferably 55/45

mol%/mol%) in buffer comprising 300 mM citrate at pH 4.0, vials 4 and 5 contained an alkaline phosphate buffer (14.2 mg/mL dibasic sodium phosphate hepta hydrate), and vial 6 was an empty, sterile vial. The foregoing empty liposomes were prepared using thin film hydration and standard extrusion techniques, as described in U.S. Patent No. 5,741,516.

4 mL of Vincristine Sulfate was removed from vials 1 and 2 and added to sterile vial 6. Subsequently, 0.8 mL sphingomyelin/cholesterol liposomes was removed from vial 3 and added to vial 6. Vial 6 was inverted five times to mix the materials. 20 mL of the sodium phosphate solution from vials 4 and 5 was added to vial 6. Vial 6 was again inverted five times, without shaking, to mix the materials. Vial 6 was then heated in a water bath at 60-65°C for five minutes, after which the vial was again inverted five times. The vial was then again heated for five minutes and inverted five more times.

The final product contained 0.16 mg/mL vincristine sulfate and 3.2 mg/mL total lipid.

## EXAMPLE 2

### LIPOSOME-ENCAPSULATED VINCRIStINE IN RELAPSED NHL METHODS

50 patients with relapsed non-Hodgkin's Lymphoma (NHL), and 1 with Adult Lymphoblastic Lymphoma (ALL), were included in the study. Each patient was at least 16 years of age, did not have HIV or any other serious infection, did not have any disease of the central nervous system, and had normal renal function and neutrophils at least 0.5K, and platelets at least 50K. Each patient received up to 12 doses of 2.0 mg/m<sup>2</sup> of intravenous liposomal-vincristine administered once every 14 days. The liposomes used comprised sphingomyelin and cholesterol.

### Results

35 of the 51 patients were evaluated. The median age of these 35 patients was 62 years (range 19-86), and 21 of the patients were male. 12 of the patients had follicular NHL, 7 had transformed, 11 diffuse large cell, 3 mantle cell, 1 NK cell, and one ALL. Clinical grade was high in 1, aggressive in 17, indolent in 10, and transformed in 7 patients. Serum LDH was high in 16 out of the 35 patients, and B2 microglobulin greater than 3.0 mg/L in 19 out of 30 patients. The median number of prior therapeutic regimens was 3 (range 1-10). 18 of the 35 patients were refractory to the regimen immediately preceding

the liposome-encapsulated vincristine. All 35 had previously received vincristine administration. For the 34 patients with NHL, 14 patients exhibited a complete or partial response, for an overall response rate of 40% (95% confidence interval: 24%-58%). Responses according to clinical grade were as shown in Table 1.

	Indolent	Transformed	Aggressive	Transformed or Aggressive
# Patients	10	7	17	24
# Responders (complete or partial response)	1	5	8	13
% Complete or partial response	10	71	47	54
95% Confidence Interval	1-45	29-96	23-72	33-74

### Conclusions

Median response duration was 4 months. The fact that half of the responding patients maintain the response for at least 4 months after treatment is a surprising, unexpected and clinically impressive response for a heterogeneous group of patients who previously would have been given a very poor prognosis.

The above results demonstrate that full doses of liposomal vincristine can be given in relapsed NHL with good activity, even in heavily pretreated populations.

In addition, liposomal vincristine demonstrated significantly less non-specific toxicity than free vincristine. Peripheral neurotoxicity is the most frequent and dose-limiting toxic effect of free vincristine. Peripheral neuropathic effects usually begin in adults who receive a total dose of 5 to 6 mg (2-3 doses of free vincristine) and are generally significant after a cumulative dose of 15-20 mg (8-10 doses of free vincristine). Significantly, in the present study, a typical patient received 3-5 mg in one dose alone, and cumulative doses of up to 37 mg were delivered, with no patient reporting significant liposomal vincristine-induced peripheral neurotoxicity. Even higher total doses are likely to be tolerated. These higher doses are highly desirable for the management of

NHL, and represent a significant and surprising step forward in the treatment of this disease.

### EXAMPLE 3

#### USE OF LIPOSOMAL VINCA ALKALOIDS AS FIRST-LINE TREATMENT FOR LYMPHOMAS

5                   This example illustrates the use of liposomal vinca alkaloids as a first-line treatment, in combination with other chemotherapeutics, for treatment of patients presenting with lymphomas, particularly non-Hodgkin's lymphoma (low-grade or intermediate-grade). Patients presenting with transformed or aggressive NHL may receive this improved combination treatment as a first-line  
10 treatment, or the physician may prefer single agent OncoTCS™ treatment as described in the previous examples. The combination therapy regimen set out below takes advantage of the surprising result that much higher doses of vincristine can be administered when delivered in the liposomes of the present invention, with greatly reduced toxicity.

15                   A preferred combination regimen is an improved CHOP regime ("Lipo-CHOP") comprising: Cyclophosphamide, Hydroxydaunorubicin (doxorubicin), OncoTCS™, and Prednisone. One treatment cycle takes about 5 days, and cycles are repeated about every 21-28 days. An exemplar cycle consists of

20                   Cyclophosphamide (750 mg/m<sup>2</sup> IV, d 1)  
                      Hydroxydaunorubicin (50 mg/m<sup>2</sup> IV, d 1)  
                      OncoTCS™ (2.0 mg/m<sup>2</sup> IV, d 1, (no cap necessary))  
                      Prednisone (100 mg PO qd x 5 day)

25                   Treatments are conducted with the same nursing interventions required for standard CHOP treatment.

                      Patients receiving the improved CHOP treatment are expected to show significant improvement over standard CHOP in partial and complete remission rates, period of remission/time to relapse after treatment, and median survival times.

## EXAMPLE 4

## TREATMENT OF LYMPHOMAS WITH SINGLE AGENT LIPOSOMAL VINCRISTINE.

In a further study, 50 human patients presenting with different classes of lymphoma were treated with single agent liposomal vincristine, as described in Example 2. Results were as indicated in the following chart:

	First Relapse from CR	Primary Refractory	Post-ABMT	≥ 2 Relapses	Multicenter Study Population*
Number of Patients Evaluable	11	11	10	26	36
# CR	4	0	0	0	0
# PR	4	0	2	10	12
Overall Response Rate (%)	73	0	20	38	33
95% Confidence Intervals (%)	39 to 95	0 to 28	1 to 32	20 to 59	

18% grade 3 to 4 neurotoxicity; no toxic deaths.

CR=Complete response

PR=Partial response

10 Primary refractory means that no response to initial treatment was observed.

ABMT=Autologous bone marrow transplant

Again, these results show that single agent treatment of liposomal vincristine is an excellent treatment for lymphomas. These results strongly suggest a role for liposomal vincristine in Lipo-CHOP and for single agent first line treatment of lymphomas.

## EXAMPLE 5

## ADDITIONAL STUDIES

20 Figure 1 provides results for a clinical trial using the herein-described methods, which demonstrates that the present methods are particularly effective in the treatment of indolent, transformed, relapsed, and

aggressive post bone-marrow transplant (BMT) forms of non-Hodgkin's Lymphoma.

#### EXAMPLE 6:

##### RESPONSE TO LIPOSOMAL VINCRISTINE PER PRIOR REGIMEN NUMBER

5                   Figure 2 provides results showing the number of evaluable patients with relapsed aggressive NHL, the number of such patients that exhibited a complete response or remission (CR), the number that exhibited a partial response or remission (PR), the percentage that exhibited either a CR or a PR, and the 95% confidence interval for each percentage value. These data  
10 are presented for patients who have received one prior treatment, two or more prior treatments, and, of the latter category, those who responded to the treatment immediately prior to the study and those who did not respond to the previous treatment.

                  This study demonstrates that the present methods are unusually  
15 effective for treating each category of patients.

#### EXAMPLE 7

##### TREATMENT OF RELAPSED NON-HODGKIN'S LYMPHOMA WITH LIPOSOMAL VINCRISTINE IN COMBINATION WITH ETOPOSIDE

                  To determine the effect of using liposome-encapsulated vinca  
20 alkaloids in combination with a topoisomerase II inhibitor, clinical studies were performed using a combination of liposome-encapsulated vincristine and etoposide. A total of seven patients diagnosed with relapsed non-Hodgkins lymphoma were treated. Five patients was treated with one or more cycles of liposome-encapsulated vincristine at 2 mg/m<sup>2</sup> on day 1 and day 15 and oral  
25 etoposide at 50 mg on days 1-14 (50 mg per day). Two patients were treated with one or more cycles of liposome-encapsulated vincristine at 2 mg/m<sup>2</sup> on day 1 and day 15 and oral etoposide at 50 mg/m<sup>2</sup>. Specifically, two patients were treated with one cycle, one patient was treated with two cycles, two patients were treated with four cycles, and two patients were treated with five cycles.

30                   Responses were evaluated by CT scan after every two cycles of treatment. Responses at the conclusion of treatment were remarkably favorable, with four patients (57%) achieving a partial response, two patients (29%) showing progressive disease, and one patient (14%) being unable to

evaluate. The results of this trial indicate that the use of liposome-encapsulated vinca alkaloids in combination with a topoisomerase II inhibitor is particularly well tolerated and effective in the treatment of NHL. In addition, these results indicate that combination treatment with liposome-encapsulated vincristine is  
5 surprisingly and unexpectedly more efficacious than treatment with liposome-encapsulated vincristine alone.

This patent application is related to U.S. Provisional Patent Application Nos. 60/127,444, filed April 1, 1999, 60/137,194, filed June 2, 1999,  
10 and 60/336,839, filed December 5, 2001 and Patent Applications 09/541,436, filed March 31, 2000, now issued as U.S. Patent No. 6,723,338, and 09/937,674, filed March 31, 2000, each of which is incorporated by reference in its entirety.

In addition, all of the U.S. patents, U.S. patent application  
15 publications, U.S. patent applications, foreign patents, foreign patent applications and non-patent publications referred to in this specification are incorporated herein by reference, in their entirety.

It is understood that the examples and embodiments described herein are for illustrative purposes only and that various modifications or  
20 changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims. All publications, patents, and patent applications cited herein are hereby incorporated by reference in their entirety for all purposes.



## CLAIMS

What is claimed is:

1. A method of treating a relapsed cancer in a mammal, said method comprising administering to said mammal a liposome-encapsulated vinca alkaloid and a topoisomerase II inhibitor.
2. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid and said topoisomerase II inhibitor are administered independently.
3. The method of claim 2, wherein said liposome-encapsulated vinca alkaloid is administered systemically by intravenous delivery and said topoisomerase II inhibitor is administered systemically by oral delivery.
4. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid and said topoisomerase II inhibitor are administered systemically by intravenous delivery.
5. The method of claim 1, wherein said relapsed cancer is a lymphoma, a leukemia, or a sarcoma.
6. The method of claim 1, wherein said relapsed cancer is a non-Hodgkin's Lymphoma.
7. The method of claim 1, wherein said non-Hodgkin's Lymphoma is a member selected from the group consisting of low grade non-Hodgkin's Lymphoma, intermediate grade non-Hodgkin's Lymphoma, follicular lymphoma, large cell lymphoma, B-cell lymphoma, T-cell lymphoma, Mantle cell lymphoma, Burkitt's lymphoma, NK cell lymphoma, and acute lymphoblastic lymphoma.
8. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid is administered at a dosage of between about 0.5 to about 2.8 mg/m<sup>2</sup> to said patient.

9. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid is administered at a dosage of between about 1.4 to about 2.4 mg/m<sup>2</sup> to said patient.

10. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid is vincristine.

11. The method of claim 10, wherein said liposome-encapsulated vincristine is administered at a dosage of between about 0.5 to about 2.8 mg/m<sup>2</sup> to said patient.

12. The method of claim 10, wherein said liposome-encapsulated vincristine is administered at a dosage of between about 1.4 to about 2.4 mg/m<sup>2</sup> to said patient.

13. The method of claim 10, wherein said liposome-encapsulated vincristine is administered to said patient once every 7-21 days.

14. The method of claim 13, wherein said liposome-encapsulated vincristine is administered to said patient once every 14 days.

15. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid is selected from the group consisting of vinblastine, vindesine, and vinorelbine.

16. The method of claim 1, wherein said topoisomerase II inhibitor is a podophyllotoxin derivative.

17. The method of claim 16, wherein said podophyllotoxin derivative is at least one of etoposide, teniposide, NK-611, and TOP-53.

18. The method of claim 17, wherein said podophyllotoxin derivative is administered in free-form.

19. The method of claim 1, wherein said topoisomerase II inhibitor is an anthracycline.

20. The method of claim 19, wherein said anthracycline is doxorubicin.
21. The method of claim 1, wherein said mammal is a human.
22. The method of claim 1, wherein said mammal has previously undergone at least one chemotherapy treatment.
23. The method of claim 22, wherein said at least one chemotherapy treatment comprised administration of a free-form vinca alkaloid.
24. The method of claim 23, wherein said free-form vinca alkaloid is a member selected from the group consisting of vincristine, vinblastine, vindesine, and vinorelbine.
25. The method of claim 22, wherein said at least one chemotherapy treatment comprised administration of an anthracycline-containing combination regimen.
26. The method of claim 25, wherein said anthracycline is doxorubicin.
27. The method of claim 22, wherein said mammal has exhibited a partial response or a complete response to said chemotherapy prior to the relapse of said cancer.
28. The method of claim 27, wherein said relapse is a second relapse.
29. The method of claim 22, wherein said cancer is a refractory cancer.
30. The method of claim 1, wherein at least one additional chemotherapeutic agent is administered.

31. The method of claim 1, wherein said liposome-encapsulated vinca alkaloid is co-administered with at least one additional anti-tumor agent.

32. The method of claim 31, wherein said additional anti-tumor agent is a monoclonal antibody.

33. The method of claim 32, wherein said monoclonal antibody is a member selected from the group consisting of Rituxan™, Oncolym™, and Bexxar™.

34. The method of claim 31, wherein said additional anti-tumor agent is an antisense drug or an anti-tumor vaccine.

35. A method of treating a transformed cancer in a mammal, said method comprising administering to said mammal a liposome-encapsulated vinca alkaloid and a topoisomerase II inhibitor.

36. The method of claim 35, wherein said transformed cancer is a non-Hodgkin's Lymphoma.

37. The method of claim 35, wherein said vinca alkaloid is vincristine.

38. The method of claim 35, wherein said topoisomerase II inhibitor is at least one of etoposide, teniposide, NK-611, and TOP-53.

39. A method to treat a neoplasia in a mammal, said method comprising co-administering to said mammal a liposome-encapsulated vinca alkaloid in a dosage of from about 0.5 to about 2.8 mg/m<sup>2</sup> and a podophyllotoxin derivative in a dosage of from about 50 to about 200 mg/m<sup>2</sup>.

40. The method of claim 39, wherein said liposome-encapsulated vinca alkaloid is administered to said mammal once every 7-21 days.

41. The method of claim 40, wherein said liposome-encapsulated vinca alkaloid is administered to said mammal once every 14 days.

42. The method of claim 39, wherein said liposome-encapsulated vinca alkaloid is co-administered to said mammal with a prophylactic or therapeutic treatment for neurotoxicity.

43. A kit for use in the treatment of a neoplasia in a mammal, said kit comprising components useful in the preparation of a liposome-encapsulated vinca alkaloid for co-administration with a topoisomerase II inhibitor, instructions for preparing the liposome-encapsulated vinca alkaloids, and instructions for the use of the liposome-encapsulated vinca alkaloids for co-administration with a topoisomerase II inhibitor in the treatment of said neoplasia.

44. The kit of claim 43, wherein said kit comprises at least three vials, wherein one of the vials contains vincristine sulfate, wherein one of the vials contains liposomes comprising sphingomyelin and cholesterol suspended in a citrate buffer, and wherein one of the vials contains an alkaline phosphate buffer.

45. A kit for use in the treatment of a neoplasia in a mammal, said kit comprising a stable formulation of liposome-encapsulated vinca alkaloids, a stable formulation of a topoisomerase II inhibitor and instructions for the use of the liposome-encapsulated vinca alkaloids for co-administration with a topoisomerase II inhibitor in the treatment of said neoplasia.

Clinical Response Rates

	Indolent	Transformed	Relapsed Lymphoma	Refractory Aggressive	Aggressive post- BMT
Evaluable	18	16	37	11	10
CR/PR	1	5	18	0	2
% Response	6	31	49	0	20
95 % Confidence Interval	0-28	11-59	32-66	0-28	1-32

FIG. 1

Response to Liposomal Vincristine in Relapsed Aggressive NHL  
Effect of Prior Regimen Number

	1 Rx	≥ 2 Rx	≥ 2, Respond to Last Rx	≥ 2, Fail Last Rx
Evaluable	11	26	8	18
CR	4	-	-	-
PR	4	10	3	7
% Response	73	38	38	39
95 % Confidence Interval	39-95	20-59	9-76	17-64

FIG. 2

International Application No  
PCT/CA2004/001038

IPC 7    A61K31/475    A61K31/704    A61K9/127    A61P35/02

IPC 7 A61K A61P

EPO-Internal, WPI Data, PAJ, BIOSIS, EMBASE

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 00/59473 A (INEX PHARMACEUTICALS CORP ; WEBB MURRAY S (CA); BURGE CLIVE T R (CA);) 12 October 2000 (2000-10-12) page 8, line13-22; claims 1-6, 13-23, 28-30; examples 1-6; figure 1-2	1-15, 19-37
Y	-----	1-45
Y	SARRIS A H ET AL: "Liposomal vincristine in relapsed non-Hodgkin's lymphomas: early results of an ongoing phase II trial." ANNALS OF ONCOLOGY : OFFICIAL JOURNAL OF THE EUROPEAN SOCIETY FOR MEDICAL ONCOLOGY / ESMO. JAN 2000, vol. 11, no. 1, January 2000 (2000-01), pages 69-72, XP009039101 ISSN: 0923-7534 table 2 -----	1-45

-/--

**Y** Patent family members are listed in annex.

\*& document member of the same patent family

18/11/2004

Borst, M



## INTERNATIONAL SEARCH REPORT

International Application No

PCT/CA2004/001038

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>SARRIS A H ET AL: "Liposomal vincristine: A phase II trial in relapsed or refractory non-Hodgkin's lymphomas (NHL)"  BLOOD,  vol. 94, no. 10 SUPPL. 1 PART 1,  15 November 1999 (1999-11-15), page 94a,  XP009039109  &amp; FORTY-FIRST ANNUAL MEETING OF THE  AMERICAN SOCIETY OF HEMATOLOGY; NEW  ORLEANS, LOUISIANA, USA; DECEMBER 3-7,  1999  ISSN: 0006-4971  abstract 412</p>	1-45
Y	<p>-----</p> <p>WEBB MURRAY S ET AL: "A literature review of single agent treatment of multiply relapsed aggressive non-Hodgkin's lymphoma."  LEUKEMIA &amp; LYMPHOMA. MAY 2002,  vol. 43, no. 5, May 2002 (2002-05), pages  975-982, XP009039118  ISSN: 1042-8194  table 1</p>	1-45
A	<p>-----</p> <p>THOMAS D A ET AL: "Phase II study of liposomal vincristine (lipoV) in relapsed or refractory adult acute lymphoblastic leukemia (ALL)"  BLOOD,  vol. 94, no. 10 SUPPL. 1 PART 2,  15 November 1999 (1999-11-15), page 238b,  XP009039110  &amp; FORTY-FIRST ANNUAL MEETING OF THE  AMERICAN SOCIETY OF HEMATOLOGY; NEW  ORLEANS, LOUISIANA, USA; DECEMBER 3-7,  1999  ISSN: 0006-4971  abstract 4369</p>	1-45
A	<p>-----</p> <p>OBOROTOVA N A: "Liposomal medicinal forms of antitumor drugs (Areview)"  PHARMACEUTICAL CHEMISTRY JOURNAL 2001  UNITED STATES,  vol. 35, no. 4, 2001, pages 209-215,  XP002303863  ISSN: 0091-150X  the whole document</p> <p>-----</p>	1-45

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/CA2004/001038

### Box II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
  
Rule 39.1(iv) PCT - Method for treatment of the human or animal body by therapy: Although claims 1-42 are directed to a method of treatment of the human/animal body, the search has been carried out and based on the alleged effects of the compound/composition.
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

#### Remark on Protest

- ☐ The additional search fees were accompanied by the applicant's protest.
- ☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/CA2004/001038

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 0059473	A	12-10-2000	AU 4060600 A	23-10-2000
			BR 0009448 A	08-01-2002
			CA 2366787 A1	12-10-2000
			EP 1169021 A1	09-01-2002
			JP 2002541088 T	03-12-2002
			WO 0059473 A1	12-10-2000
			US 2004071768 A1	15-04-2004
			US 6723338 B1	20-04-2004
<hr/>				