# First Results from the Taiwan Axion Search Experiment with

Haloscope at 20  $\mu eV/c^{2*}$ 

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# Abstract

This paper presents the first results from the Taiwan Axion Search Experiment with Haloscope, a search for axions using a microwave cavity at frequencies between 4.707506 and 4.798145 GHz. Apart from external signals, no candidates with significance more than 3.355  $\sigma$  were found. The experiment excludes models with the axion-two-photon coupling  $g_{a\gamma\gamma} \gtrsim zzzz \times 10^{-13} \,\text{GeV}^{-1}$ , a factor of ten above the benchmark KSVZ model for the mass range  $xxxx < m_a < yyyy \,\mu\text{eV}/c^2$ . For the first time, constraints on the  $g_{a\gamma\gamma}$  have been placed in this mass region.

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A. The derivation of the noise spectrum from the cavity

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# 4 I. INTRODUCTION

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The axion is a hypothetical particle predicted as a consequence of a solution to the 35 strong CP problem [1–3], i.e. why the product of the charge conjugation (C) and parity (P) symmetries is preserved in the strong interactions when there is an explicit CP-violating term in the QCD Lagrangian. In other words, why is the electric dipole moment of the neutron so tiny:  $|d_n| < 1.8 \times 10^{-26} \ e \cdot \text{cm}$  [4, 5]? The solution proposed by Peccei and Quinn is to 39 introduce a new global Peccei-Quinn  $U(1)_{PQ}$  symmetry that is spontaneously broken; the 40 axion is the pseudo Nambu-Goldstone boson of  $U(1)_{PQ}$  [1]. Axions are abundantly produced 41 during the QCD phase transition in the early universe and may constitute the dark matter 42 (DM). In the post-inflationary PQ symmetry breaking scenario, where the PQ symmetry 43 is broken after inflation, current calculations suggest a mass range of 1—100  $\mu eV/c^2$  for 44 axions so that the cosmic axion density does not exceed the observed cold DM density |6-45 18. Refs [19–21] also suggested that axions form a Bose-Einstein condensate; this property 46 explains the occurrence of caustic rings in galactic halos. Therefore, axions are compelling 47 because they may explain at the same time puzzles that are on scales different by more than 48 thirty orders of magnitude. 49

Axions could be detected and studied via their two-photon interaction, the so-called "inverse Primakoff effect". For QCD axions, i.e. the axions proposed to solve the strong CP problem, the axion-two-photon coupling constant  $g_{a\gamma\gamma}$  is related to the mass of the axion  $m_a$ :

$$g_{a\gamma\gamma} = \left(\frac{g_{\gamma}\alpha}{\pi\Lambda^2}\right) m_a,\tag{1}$$

where  $g_{\gamma}$  is a dimensionless model-dependent parameter,  $\alpha$  is the fine-structure constant,  $\Lambda = 78$  MeV is a scale parameter that can be derived from the mass and the decay constant of the pion, and the ratio of the up to down quark masses. The numerical values of  $g_{\gamma}$ are -0.97 and 0.36 in the Kim-Shifman-Vainshtein-Zakharov (KSVZ) [22, 23] and the Dine-Fischler-Srednicki-Zhitnitsky (DFSZ) [24, 25] benchmark models, respectively.

The detectors with the best sensitivities to axions with a mass of  $\approx \mu eV/c^2$ , as first put 60 forward by Sikivie [26, 27], are haloscopes consisting of a microwave cavity immersed in a 61 strong static magnetic field and operated at a temperature below 0.1 K. In the presence of an external magnetic field, the ambient oscillating axion field induces an electric current that oscillates with a frequency  $\nu$  set by the total energy of the axion:  $h\nu = E_a = m_a c^2 + m_a c^2$  $\frac{1}{2}m_av^2$ . The induced electric current and the microwave cavity act as coupled oscillators and resonate when the frequencies of the electromagnetic modes in the cavity match  $\nu$ ; the signal power is further delivered in the form of microwave photons and readout with a low-noise amplifier. The axion mass is unknown, therefore, the cavity resonator must allow the possibility to be tuned through a range of possible axion masses. Over the years, the Axion Dark Matter experiment (ADMX) had developed and improved the cavity design and readout electronics and excluded KSVZ benchmark model within the mass range of 1.9– 71  $4.2 \,\mu\text{eV}/c^2$  and DFSZ benchmark model for the mass range of  $2.66\text{--}3.31 \,\mu\text{eV}/c^2$  [28–34]. The Haloscope at Yale Sensitive to Axion Cold dark matter (HAYSTAC) [35] and the Center for 73 Axion and Precision Physics Research (CAPP) [36] aim for axions at higher masses and have pushed the limits on  $g_{a\gamma\gamma}$  towards the KSVZ value for the mass ranges of 16.96–17.12 and 75  $17.14-17.28 \,\mu\text{eV}/c^2$ , and  $10.7126-10.7186 \,\mu\text{eV}/c^2$ , respectively. This paper presents the first results and the analysis details of a search for axions for the mass range of  $xxxx-yyyy \mu eV/c^2$ , from the Taiwan Axion Search Experiment with Haloscope (TASEH).

# A. The expected axion signal power and signal line shape

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The signal power extracted from a microwave cavity on resonance is given by:

$$P_s = \left(g_\gamma^2 \frac{\alpha^2 \hbar^3 c^3 \rho_a}{\pi^2 \Lambda^4}\right) \times \left(\omega_c \frac{1}{\mu_0} B_0^2 V C_{mnl} Q_L \frac{\beta}{1+\beta}\right),\tag{2}$$

where  $\rho_a=0.45~{\rm GeV/cm^3}$  is the local dark-matter density. The second set of parentheses contains parameters related to the experimental setup: the angular resonance frequency of the cavity  $\omega_c$ , the vacuum permeability  $\mu_0$ , the average strength of the external magnetic field  $B_0$ , the volume of the cavity V, and the loaded quality factor of the cavity  $Q_L=Q_0/(1+\beta)$ , where  $Q_0$  is the unloaded, intrinsic quality factor of the cavity and  $\beta$  determines the amount of coupling of the signal to the receiver. The form factor  $C_{mnl}$  is the normalized overlap of the electric field  $\vec{\mathbf{E}}$ , for a particular cavity resonant mode, with the external magnetic field

 $\vec{\mathbf{B}}$ : 89

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$$C_{mnl} = \frac{\left[\int \left(\vec{\mathbf{B}} \cdot \vec{\mathbf{E}}_{mnl}\right) d^3 \mathbf{x}\right]^2}{B_0^2 V \int E_{mnl}^2 d^3 \mathbf{x}}.$$
 (3)

Here, the magnetic field  $\vec{\mathbf{B}}$  points mostly along the axial direction (z-axis) of the cavity. 91 The field strength has a small variation along the radial and axial directions and  $B_0$  is 92 the averaged value over the whole cavity volume. For cylindrical cavities, the largest form 93 factor is from the  $TM_{010}$  mode. The expected signal power derived from the experimental parameters of TASEH (see Table I) is  $P_s \simeq 1.5 \times 10^{-24}$  W for a KSVZ axion with a mass of 95  $19.5 \,\mu \text{eV}/c^2$ . 96

In the direct dark matter search experiments, several assumptions were made in order 97 to derive a signal line shape. The density and the velocity distributions of DM are related to each other through the gravitational potential. The DM in the galactic halo is assumed to be virialized. The DM halo density distribution is assumed to be spherically symmetric 100 and close to be isothermal, which results in a velocity distribution similar to the Maxwell-Boltzmann distribution. The distribution of the measured signal frequency can be further derived from the velocity distribution after a change of variables and set  $h\nu_a = m_a c^2$ . Previous experimental results typically adopt the following function for frequency  $\nu \geq \nu_a$ :

$$f(\nu) = \frac{2}{\sqrt{\pi}} \sqrt{\nu - \nu_a} \left(\frac{3}{\alpha}\right)^{3/2} e^{\frac{-3(\nu - \nu_a)}{\alpha}},\tag{4}$$

where  $\alpha \equiv \nu_a \langle v^2 \rangle / c^2$  and is related to the variance of the velocity distribution. For the 106 Maxwell-Boltzmann distribution,  $\langle v^2 \rangle = 3v_c^2/2 = (270 \text{ km/s})^2 \text{ where } v_c = 220 \text{ km/s} \text{ is the}$ 107 local circular velocity of DM in the galactic rest frame. Equation (4) is modified if one 108 considers that the relative velocity of the DM halo with respect to the Earth is not the 109 same as the DM velocity in the galactic rest frame [37]. The velocity distributions shall 110 also be truncated so that the DM velocity is not larger than the escape velocity of the 111 Milky Way [38]. Several N-body simulations [39, 40] follow structure formation from the 112 initial DM density perturbations to the largest halo today and take into account the merger 113 history of the Milky Way, rather than assuming that the Milky Way is in a steady state; the 114 simulated results suggest velocity distributions with more high-speed particles relative to the 115 Maxwellian case [41, 42]. However, these numerical simulations contain only DM particles; 116 an inclusion of baryons may enhance the halo's central density due to a condensation of 117 gas towards the center of the halo via an adiabatic contraction [43, 44], or may reduce the 118

density due to the supernova outflows, etc [45, 46].

In order to compare the results of TASEH with those of the former experiments, the 120 analysis presented in this paper assumes an axion signal line shape by including Eq. (4) in 121 the weights when merging the measured power from multiple frequency bins (see Section IV). 122 Still given the caveats above and a lack of strong evidence for any particular choice of velocity 123 distributions, the results without an assumption of signal line shape and the results with a simple Gaussian weight are also presented for comparison. In addition, a signal line 125 width  $\Delta \nu_a = m_a \langle v^2 \rangle / h \simeq 5$  kHz, which is much smaller than the TASEH cavity line-width 126  $\nu_a/Q_L \simeq 250$  kHz, is assumed and five frequency bins are merged to perform the final 127 analysis. For a signal line shape as described in Eq. (4), a 5-kHz bandwidth includes about 128 95% of the distribution. 129

# B. The expected noise and the signal-to-noise ratio

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Several physics processes can contribute to the total noise and all of them can be seen as Johnson thermal noise at some effective temperature, or the so-called system noise temperature at  $T_{\rm sys}$ . The total noise power in a bandwidth  $\Delta \nu$  is then:

$$P_n = k_B T_{\text{sys}} \Delta \nu, \tag{5}$$

where  $k_B$  is the Boltzmann constant. The system noise temperature  $T_{\rm sys}$  has three major components:

$$k_B T_{\text{sys}} = h\nu \left( \frac{1}{e^{h\nu/k_B T_{\text{cavity}}} - 1} + \frac{1}{2} \right) + k_B T_A.$$
 (6)

The three terms in Eq. (6) correspond to the blackbody radiation from the cavity at temperature  $T_{\text{cavity}}$ , the quantum noise associated with the zero-point fluctuation of the blackbody gas, and the noise added by the receiver. The first term in Eq. (6) implies that the noise spectrum from the cavity has little dependence on the frequency (white spectrum) for the narrow bandwidth considered in the experiment. However, the noise spectrum observed by TASEH was actually Lorentzian due to the temperature difference between the cavity and the transmission line in the dilution refrigerator. More details may be found in Section II and Appendix A.

Using the operation parameters of TASEH in Table I and the results from the calibration of readout electronics, the effective temperatures of these three sources are estimated to be

about 0.07 K, 0.12 K, and 1.9 - 2.2 K, respectively. Therefore, the value of  $T_{sys}$  for TASEH is about 2.1–2.4 K, which gives a noise power of approximately  $(1.5 - 1.7) \times 10^{-19}$  W for a bandwidth of 5 kHz (the assumed axion signal line-width), three orders of magnitude larger than the signal. Nevertheless, what matters in the analysis is the signal significance, or the so-called signal-to-noise ratio (SNR) using the standard terminology of axion experiments, i.e. the ratio of the signal power to the uncertainty in the estimation of the noise power:

$$SNR = \frac{P_s}{\delta P_n} = \frac{P_s}{P_n} \sqrt{\Delta \nu_a \tau},$$

$$= \frac{P_s}{k_B T_{\text{sys}}} \sqrt{\frac{\tau}{\Delta \nu_a}},$$
(7)

where  $\tau$  is the amount of data integration time. Equation (7) can be derived from Dicke's Radiometer Equation, assuming that the amplitude distribution of the noise voltage within a bandwidth  $\Delta\nu_a$  is Gaussian.

#### 159 II. EXPERIMENTAL SETUP

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The detector of TASEH is located at the Department of Physics, National Central University, Taiwan and housed within a cryogen-free dilution refrigerator (DR) from BlueForcs.

A 8-Tesla superconducting solenoid with a bore diameter of 76 mm and a length of 240 mm is integrated with the DR.

The data for the analysis presented in this paper were collected by TASEH from October 164 13, 2021 to November 15, 2021, and termed as the CD102 data. During the data taking, 165 the cavity sat in the center of the magnet bore and was connected via holders to the mixing 166 chamber plate of the DR at a temperature of  $\approx 30$  mK. Due to an inefficiency of thermal 167 conduction and thermal radiation, the temperature of the cavity stayed at 155 mK, higher 168 with respect to the DR. The cavity, made of oxygen-free high-conductivity (OFHC) copper, 169 has an effective volume of 0.234 L and is a two-cell cylinder split along the axial direction (z-170 axis). The cylindrical cavity has an inner radius of 2.5 cm and a height of 12 cm. In order 171 to maintain a smooth surface, the cavity underwent the processes of polishing, chemical 172 cleaning, and annealing. The resonance frequency of the  $TM_{010}$  mode can be tuned over 173 the range of 4.717–4.999 GHz via the rotation of an off-axis OFHC copper tuning rod, from 174 the position closer to the cavity wall to the position closer to the cavity center. The CD102 175 data cover the frequency range of 4.707506–4.798145 GHz. There were 839 frequency steps 176

in total, with a frequency difference of 95–115 kHz between the steps. The form factor  $C_{010}$ 177 as defined in Eq. (3) varies from 0.64 to 0.69 over the full frequency range. The intrinsic, 178 unloaded quality factor  $Q_0$  at the cryogenic temperature ( $T_{\rm cavity} \simeq 155$  mK) is  $\simeq 60000$  at 179 the frequency of 4.74 GHz. 180

An output probe, made of a 50- $\Omega$  semi-rigid coaxial cable soldering SMA plug crimp, 181 was inserted into the cavity and its depth was set for  $\beta \simeq 2$ . The signal from the output 182 probe was directed to an impedance-matched amplification chain. The first-stage amplifier 183 was a low noise high-electron-mobility transistor (HEMT) amplifier with an effective noise 184 temperature of  $\approx 2$  K, mounted on the 4K-flange. The signal was further amplified at 185 room temperature and down-converted and demodulated to in-phase (I) and quadrature 186 (Q) components and digitized by an analog-to-digital converter (ADC) with a sampling rate 187 of 2 MHz. The frequency resolution of the spectra was 1 kHz. 188

A more detailed description of the TASEH detector, the operation of the data run, and 189 the calibration of the gain and added noise temperature of HEMT can be found in Ref. [? 190 . See Table I for the benchmark experimental parameters that can be used to estimate the sensitivity of TASEH. 192

#### III. THE CALIBRATION OF HEMT 193

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The first stage of the amplification chain is HEMT, whose added noise dominates the noise 194 from the readout electronics and could be expressed in terms of an effective temperature T<sub>A</sub> 195 (see Section IB). The noise is one of the most important parameters for the axion searches. 196 Therefore, calibration for the HEMT is a crucial part in the operation of TASEH. In order 197 to perform a calibration, the HEMT was connected to a heat source (resistors) instead of 198 the cavity; various values of input currents were sent to the source to change its temperature 199 monitored by a thermometer. The power from the source was delivered following the same 200 transmission line as that in the axion data running: first to the HEMT, then to the amplifiers 201 outside the DR at room temperature, and finally to the signal analyzer. The received power 202 was fitted to a first-order polynomial, as a function of the source temperature, to extract the 203 gain and added noise for the HEMT. More details of the procedure can be found in Ref. [? 204 205

The calibration was carried out before, during, and after the data taking, which showed

TABLE I. The benchmark experimental parameters for estimating the sensitivity of TASEH. The definitions of the parameters can be found in Section I. See Sec. IV and Ref. [?] for the values obtained during the data run.

$f_{ m lo}$	4.707506 GHz
$f_{ m hi}$	4.798145 GHz
$N_{ m step}$	839
$\Delta f_{\mathrm{step}}$	$95-115~\mathrm{kHz}$
$f_{\rm resolution}$	1 kHz
$B_0$	8 Tesla
V	$0.234~\mathrm{L}$
$C_{010}$	0.64 - 0.69
$Q_0$	59000 - 65000
$\beta$	1.9 - 2.3
$T_{\text{cavity}}$	$155~\mathrm{mK}$
$T_{A}$	1.9 - 2.2  K
$\Delta \nu_a$	5 kHz

that the HEMT performance was stable over time. The average of the added noise T<sub>A</sub> over 207 19 measurements has the lowest value of 1.9 K at the frequency of 4.8 GHz and the highest 208 value of 2.2 K at 4.72 GHz, as presented in Fig. 1. The error bars are the RMS of  $T_A$  and 209 the largest RMS was used to calculate the systematic uncertainty for the limits on  $g_{a\gamma\gamma}$ . The 210 light blue points in Fig. 1 are the noise from the axion data estimated by removing gain and 211 subtracting the contribution from the cavity noise, assuming that the presence of a narrow 212 signal in the data would have no effect on the estimation. A good agreement between the 213 results from the HEMT calibration and the results estimated from the axion data is shown. The biggest difference is 0.076 K in the frequency range during which the data were recorded 215 after an earthquake. The source of the difference is not understood, therefore, the difference 216 is quoted as a systematic uncertainty together with the RMS of the noise. 217

FIG. 1. The average added noise from the HEMT calibration (pink points) and the noise estimated from the axion data (light blue points) as a function of frequency.

#### 18 IV. ANALYSIS PROCEDURE

# A. Analysis overview

- Our goal is to find the axion signal hidden in the white noise. In order to achieve this, our analysis procedure includes the following steps:
- 1. Perform Fast Fourier transform (FFT) time-dependent spectrum to obtain the frequencydependent spectrum.
- 224 2. Apply Savitzky-Golay (SG) filter to the oscillating structure of the frequency-dependent spectrum
- 226 3. Combine all power spectra from different frequency scans with weighting algorithm.
- 4. Merge bins in the combined spectrum to maximize the SNR.
- 5. Set limit on  $g_{a\gamma\gamma}$ .
- The analysis was done by closely following the procedure from Haystac experiment [].

#### B. Fast Fourier transform

The time-dependent I and Q data were recorded and saved in TDMS (Technical Data Management Streaming) files - binary format developed by **National Instruments**). Fast Fourier Transform (FFT) was performed to convert the data into frequency-dependent and into unit of power by using the equation:

Power = 
$$\frac{|\text{FFT}(I + i \cdot Q)|^2}{N \cdot 2R}$$
 (8)

where N is the number of data points ( $N_A = 2000$  in our experiment), and R is the resistor of the signal analyzer (50  $\Omega$ ).

# C. Remove the oscillating structure

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In case of absence axion signal, the output data is the noise of the cavity and the amplifi-239 cation chain. If axion presents in the cavity, its power will be buried in the noise because the 240 signal is very weak. Therefore, the structure of the raw output power spectrum, as shown 241 in Fig. ??, is the product of the noise and the gain of the system. The Savitzky Golay (SG) 242 filter [?] was applied to remove the structure/background. The SG filter is a digital filter 243 that can smooth data without distorting the signal tendency. The filter was performed by 244 fitting adjacent points of successive sub-sets of data with a nth-order polynomial. The result 245 depends on two parameters: the number of data points used for fitting, so-called the window 246 width, and the order of the polynomial. If the window is too wide, the filter will not remove small structures and if it is too narrow, it may kill the signal. The window and order were 248 first chosen during the data taking based on the structure of data and the ratio between 249 raw data and the filter output. After data taking, the window and order were optimized by 250 injecting axion signal on top of noise data and found that they were consistent with the first 251 choice. After filtering, the normalized spectrum (Fig. [?]) was obtained by dividing the 252 raw spectrum by the background - output of the SG filter - and subtract 1. Therefore, if the 253 signal exists, the excess power will be above 0. Since we adjusted the resonant frequency of 254 the cavity to scan the large range of signal and reduce the noise at overlapped region, we 255 need to combine all the spectra of all scans to create one big spectrum. Before doing this, 256 the normalized spectrum of each scan was rescaled by the system noise [detailed in Sec [? 257 and the signal power with taking the cavity Lorentzian shape into account. The rescaled 258 spectrum, shown in Fig. [], was computed with formula: 259

$$P_{ij}^{res} = \frac{k_B T_S \Delta \nu P_{ij}^{norm}}{P_{ij}^{signal} h} \tag{9}$$

and standard deviation of each bin is:

$$\sigma_{ij}^{res} = \frac{k_B T_S \Delta \nu \sigma_i^{norm}}{P_{ij}^{signal} h} \tag{10}$$

where  $T_S$  is the system noise including amplifier adding noise and cavity thermal noise,  $\Delta \nu$  is the bin width of spectrum,  $P_{ij}^{signal}$  is the KSVZ axion signal power  $h = \frac{1}{1 + [2(\nu_{ij} - \nu_{ci})/\Delta \nu_i]}$ is Lorentzian shape of the cavity and  $\sigma_i^{norm}$  is the standard deviation of normalized spectrum ith. If a signal appears in a certain frequency bin  $n^th$ , its expected power will be enhanced or reduced depending on the bin position due to the cavity's Lorentzian shape. The rescaling will take into account this effect.

FIG. 2. The simulation for testing the effect of SG filter.

FIG. 3. Weighed SNR

# D. Combine spectra with weighting algorithm

The purpose of weighting algorithm is to add different spectra vertically, particularly for the frequency bins that appear in multiple spectra. Each spectrum in Fig.?? was collected with a different cavity resonance frequency. Therefore, if a signal appears in a certain frequency bin  $n^{th}$ , due to the difference in resonance frequency and Lorentzian shape, the expected signal power will be different in each spectrum i. The weighting algorithm is expected to take this into account with weight of each bin n of spectrum i defined in Eq.[? ]. The weighted power  $\delta_{weighted}$ <sub>k</sub> and standard deviation  $\sigma_{weighted}$ <sub>k</sub> of each bin k in the combined spectrum are calculated using Eq.[? ] and Eq.[? ], respectively. The SNR is the ratio of  $\delta_{com}$ <sub>k</sub> and  $\sigma_{com}$ <sub>k</sub> as given in Eq. [? ].

$$w_n^{\ i} = \frac{1}{(\sigma_n^i)^2} \tag{11}$$

$$(\delta_{com})_n = \frac{\sum_i^k \delta_n^i \cdot w_n^i}{\sum_i^k w_n^i} \tag{12}$$

$$(\sigma_{com})_n = \frac{\sqrt{\sum_i^k (\sigma_n^i \cdot w_n^i)^2}}{\sum_i^k w_n^i}$$
(13)

$$(SNR_{com})_n = \frac{(\delta_{com})_n}{(\sigma_{com})_n} = \frac{\sum_i^k \delta_n^i \cdot w_n^i}{\sqrt{\sum_i^k (\sigma_n^i \cdot w_n^i)^2}}$$
(14)

# Merging bins

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With the quality factor of  $10^6$ , the expected axion bandwidth is of 5 kHz at frequency of 5 289 GHz. Our interested range is 4.7 - 4.8 GHz and the bin width is of 1 kHz. Therefore, in order 290 to maximize the SNR without splitting the signal power in multiple successive bins in the 291 final spectrum, we merged M = 5 bins with overlapping. Therefore, in order to maximize the 292 SNR, we merged 5 consecutive bins with overlapping of the combined spectrum to construct 293 a final spectrum. The purpose of overlapping is to avoid the signal power broken into 294 different neighbouring bins of the merged spectrum. Before defining weights for merging, 295 we multiplied the power and standard deviation of each bin in the combined spectrum by 296 M:  $\delta_n^{com} \to M \delta_n^{com}$  and  $\sigma^{com})_n \to M \sigma^{com})_n$ . This rescaling gives the expected mean of the 297 normalized power  $\mu_k^{comb} = 1$  if a KSVZ axion signal power leaves a fraction of 1/M of its 298 power in the combined spectrum k. Then the maximum likelihood weights, defined in Eq. 299 ? based on the The Maxwellian lineshape for axion (Eq. []) used, were used to build the 300 merged spectrum.

$$w_q = \frac{L_q}{(\sigma_q^{com}/5)^2} \tag{15}$$

$$L_q = \int_{\nu_a + \nu_q + (q+1)\Delta\nu}^{\nu_a + \nu_q + q\Delta\nu} f(\nu) d\nu \tag{16}$$

where  $L_q$  is the integral of the lineshape from the lower edge to higher edge of  $q^{th}$  bin, 304  $\sigma_q^{com}$ )<sup>2</sup> is the standard deviation of the combined spectrum as defined in Eq.[?]. The power, 305 standard deviation and SNR of the merged spectrum are: 306

$$(\delta_{merged})_q = k \cdot \frac{\sum_{i=q-k/2}^{q+k/2} (\delta_{norm})_q^i / M \cdot w_q}{\sum_{i=q-k/2}^{q+k/2} w_q}$$
(17)

$$(\sigma_{merged})_q = k \cdot \frac{\sqrt{\sum_{i=q-k/2}^{q+k/2} ((\sigma_{norm})_q^i/M)^2 \cdot (w_q)^2}}{\sum_{i=q-k/2}^{q+k/2} w_q}$$
(18)

$$(SNR_{merged})_q = \frac{(\delta_{merged})_q}{(\sigma_{merged})_q} = \frac{\sum_{i=q-k/2}^{q+k/2} (\delta_{norm})_q^i / M \cdot w_q}{\sqrt{\sum_{i=q-k/2}^{q+k/2} ((\sigma_{norm})_q^i / M)^2 \cdot w_q^2}}$$
(19)

FIG. 4. The merged power  $\delta$  following Eq.(17), standard deviation  $\sigma$  derived from Eq.(18), and signal to noise ratio SNR from Eq.(18)

FIG. 5. Our SNR target is  $5\sigma$ , so if we want to have 95% of confidence, the threshold need to be  $3.355\sigma$  ( $5\sigma - 1.645\sigma$ ).

### 311 V. ANALYSIS OF THE SYNTHETIC AXION DATA

After TASEH finished collecting the CD102 data on November 15, 2021, the synthetic 312 axion signals were injected into the cavity and read out via the same transmission line 313 and amplification chain. The procedure to generate axion-like signals is summarized in 314 Ref. [?]. Due to the uncertainties on the losses of readout electronics and transmission 315 lines, the synthetic axion signals were not used to perform an absolute calibration of search 316 sensitivity. Instead, a test with synthetic axion signals could be used to verify the procedures 317 of data acquisition and physics analysis. The signal-to-noise ratio (SNR) of the frequency 318 bin with maximum power, at 4.708972 GHz, was set to  $\approx 3.35\sigma$ , corresponding to a power 319 of  $\approx 6.03 \times 10^{-13}$  W in a 1-kHz frequency bin. 320

Figures 6–8 present respectively the power spectra in 24 frequency scans, the spectrum 321 after combining the 24 spectra vertically, and the spectrum after merging five neighboring 322 bins with a signal line shape following Eq. (4). Before combining the 24 spectra vertically, 323 the SNR of the maximum-power bin from the spectrum with a cavity resonance frequency of 4.708918 GHz was measured to be  $3.577\sigma$ ; the SNR was slightly higher than  $3.35\sigma$  due to a 5% difference in the noise fluctuation between the measurements from the HEMT calibration and the measurements taken right before injecting axion-like signals. After the vertical 327 combination of power spectra and the merging of five frequency bins, the SNR increased to 328  $4.74\sigma$  and  $6.12\sigma$ , respectively. The analysis results of the synthetic axion signals proved that 329 an power excess of more than  $5\sigma$  can be found at the expected frequencies via the standard 330 analysis procedure. 331

FIG. 6. The power spectra from the 24 frequency steps of the synthetic axion data. In order to show the spectra clearly, the spectra are shifted with respect to each other with an arbitrary offset in the vertical scale.

FIG. 7. The power spectrum after combining the spectra with overlapping frequencies vertically. The procedure and the weights for combination are summarized in Section IV.

FIG. 8. The power spectrum after merging the power measured in five neighboring frequency bins. The procedure and the weights for merging are summarized in Section IV.

#### 35 VI. SYSTEMATIC UNCERTAINTIES

In order to understand the systematic errors, We reviewed the steps of the analysis and listed the possible causes of systematic errors.

First is the SG filter, using different window and order will give us slightly different result, so we will try different SG filter and count them as systematic error.

Second is the gain, we don't understand much about Hemt gain now, so we use the calibrated gain before the data taking as our systematic error.

Third, when doing the weighting algorithm Section. IV D,  $\sigma$  is included in the weight.

In theory, the system temperature derived from  $\sigma$  and  $\mu$  should be the same, But in Fig??,

they are not exactly the same, Therefore, a systematic uncertainty is derived by replacing

 $\sigma$  with  $\frac{\mu}{\sqrt{N}}$ , where N is the number of spectra that share the same frequency bin.

I will sum Up these limit with following formula.

error band = 
$$\sqrt{(G_{\gamma 1} - G_{\gamma 0})^2 + (G_{\gamma 2} - G_{\gamma 0})^2 + \dots}$$

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FIG. 9. using different window and order to test te sg filter, With order 3 window 101, we have the largest  $\sigma$ , It seems that low order and high windows have some problems.

FIG. 10. step 1 after sg filter, It seems like low order and high filter can't remove the periodic structure well.

FIG. 11. Using the Original and predict Gain gives us similar result.

FIG. 12. In principle, the system temperature calculate by  $\sigma$  and  $\mu$  should be the same, so I replace the

#### 63 VII. RESULTS

In Fig.5, we set a threshold at  $3.355\sigma$ , if the expected signal is  $5\sigma$  above the noise level, the signal is supposed to have 95% of the chance passing the cut at 3.355 sigma. However, a signal was not observed. Therefore, with 95% C.L., the signal cannot be larger than  $5\sigma$ .

FIG. 14. Set the limit with 5  $\sigma$ 

#### 367 VIII. CONCLUSION

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#### ACKNOWLEDGMENTS

Appendix A: The derivation of the noise spectrum from the cavity

The Hamiltonian of a single-mode cavity is

$$H = \hbar\omega_{\rm c}(C^{\dagger}C + \frac{1}{2}),\tag{A1}$$

where  $\omega_{\rm c}/2\pi$  is the cavity resonance frequency and C is the annihilation operator of the inner cavity field. The cavity field is coupled to the modes A of a transmission line with the rate  $\kappa_2$ . The cavity field is also coupled to the environment modes B with the rate  $\kappa_0$ .

Based on the model of Fig. 15 and the input-output theory, the equation of motion for C is obtained:

$$\frac{dC}{dt} = -i\omega_{\rm c}C - \frac{\kappa_2 + \kappa_0}{2}C + \sqrt{\kappa_2}A_{\rm in} + \sqrt{\kappa_0}B_{\rm in}.$$
 (A2)

A boundary condition holds for the transmission modes:

$$A_{\text{out}} = \sqrt{\kappa_2 C - A_{\text{in}}}.$$
 (A3)

Considering working in a rotating frame of the signal frequency  $\omega$  near  $\omega_c$ , the equation of motion becomes:

$$-i\omega C + \frac{dC}{dt} = -i\omega_{c}C - \frac{\kappa_{2} + \kappa_{0}}{2}C + \sqrt{\kappa_{2}}A_{in} + \sqrt{\kappa_{0}}B_{in}.$$
 (A4)

The steady state solution for the cavity field is:

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$$C = \frac{\sqrt{\kappa_2} A_{\rm in} + \sqrt{\kappa_0} B_{\rm in}}{-i(\omega - \omega_c) + \frac{\kappa_2 + \kappa_0}{2}}.$$
 (A5)

By substituting Eq. (A5) into Eq. (A3), the reflected modes of the transmission line  $A_{\text{out}}$  are expressed in terms of the input modes of the transmission line  $A_{\text{in}}$  and the environment  $B_{\text{in}}$ :

$$A_{\text{out}} = \frac{i(\omega - \omega_{\text{c}}) + \frac{\kappa_{2} - \kappa_{0}}{2}}{-i(\omega - \omega_{\text{c}}) + \frac{\kappa_{2} + \kappa_{0}}{2}} A_{\text{in}} + \frac{\sqrt{\kappa_{2}\kappa_{0}}}{-i(\omega - \omega_{\text{c}}) + \frac{\kappa_{2} + \kappa_{0}}{2}} B_{\text{in}}$$

$$= \frac{-(\omega - \omega_{\text{c}})^{2} + \frac{\kappa_{2}^{2} - \kappa_{0}^{2}}{4} + i\kappa_{2}(\omega - \omega_{\text{c}})}{(\omega - \omega_{\text{c}})^{2} + (\frac{\kappa_{2} + \kappa_{0}}{2})^{2}} A_{\text{in}} + \frac{\sqrt{\kappa_{2}\kappa_{0}} \frac{\kappa_{2} + \kappa_{0}}{2} + i\sqrt{\kappa_{2}\kappa_{0}}(\omega - \omega_{\text{c}})}{(\omega - \omega_{\text{c}})^{2} + (\frac{\kappa_{2} + \kappa_{0}}{2})^{2}} B_{\text{in}}.$$
(A6)

Therefore, the autocorrelation of  $A_{\text{out}}$  is related to those of  $A_{\text{in}}$  and  $B_{\text{in}}$ :

$$\langle A_{\text{out}}^{\dagger} A_{\text{out}} \rangle = \frac{\left[ (\omega - \omega_{\text{c}})^2 - \frac{\kappa_2^2 - \kappa_0^2}{4} \right]^2 + \kappa_2^2 (\omega - \omega_{\text{c}})^2}{\left[ (\omega - \omega_{\text{c}})^2 + (\frac{\kappa_2 + \kappa_0}{2})^2 \right]^2} \langle A_{\text{in}}^{\dagger} A_{\text{in}} \rangle + \frac{\kappa_2 \kappa_0 (\frac{\kappa_2 + \kappa_0}{2})^2 + \kappa_2 \kappa_0 (\omega - \omega_{\text{c}})^2}{\left[ (\omega - \omega_{\text{c}})^2 + (\frac{\kappa_2 + \kappa_0}{2})^2 \right]^2} \langle B_{\text{in}}^{\dagger} B_{\text{in}} \rangle.$$
(A7)

The spectrum from the cavity  $S(\omega)$  is found to be related to the spectrum of the readout transmission line  $S_{\rm rt}(\omega)$  and the spectrum of the cavity environment  $S_{\rm cav}(\omega)$ :

$$S(\omega) = \frac{\left[ (\omega - \omega_{\rm c})^2 - \frac{\kappa_2^2 - \kappa_0^2}{4} \right]^2 + \kappa_2^2 (\omega - \omega_{\rm c})^2}{\left[ (\omega - \omega_{\rm c})^2 + (\frac{\kappa_2 + \kappa_0}{2})^2 \right]^2} S_{\rm rt}(\omega) + \frac{\kappa_2 \kappa_0 (\frac{\kappa_2 + \kappa_0}{2})^2 + \kappa_2 \kappa_0 (\omega - \omega_{\rm c})^2}{\left[ (\omega - \omega_{\rm c})^2 + (\frac{\kappa_2 + \kappa_0}{2})^2 \right]^2} S_{\rm cav}(\omega).$$
(A8)

As the the readout transmission line and the cavity environment are both in thermal states, i.e.  $S_{\rm rt}(\omega) = [n_{\rm BE}(T_{\rm rt}) + 1/2] \hbar \omega$  and  $S_{\rm cav}(\omega) = [n_{\rm BE}(T_{\rm cav}) + 1/2] \hbar \omega$ , where  $n_{\rm BE}$  is the mean photon number given by the Bose-Einstein distribution,  $S(\omega)$  is white if  $T_{\rm cav} = T_{\rm rt}$ , and Lorentzian if  $T_{\rm cav} \gg T_{\rm rt}$ .

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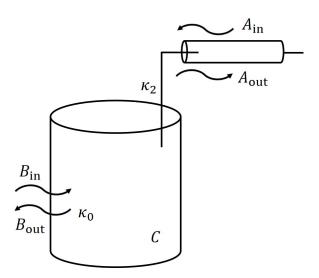


FIG. 15. A cavity is coupled to the modes of transmission line A with the rate  $\kappa_2$  and the modes of environment B with the rate  $\kappa_0$ .

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