

Chakraborty Tirthankar (Orcid ID: 0000-0003-1338-3525)

Lee Xuhui (Orcid ID: 0000-0003-1350-4446)

## **Land cover regulates the spatial variability of temperature response to the direct radiative effect of aerosols**

T. Chakraborty<sup>1\*</sup>, X. Lee<sup>1</sup>

1. School of Forestry and Environmental Studies, Yale University, New Haven, Connecticut, USA

Author for correspondence:

\*Tirthankar (TC) Chakraborty

PhD candidate, Yale University

tc.chakraborty@yale.edu

### **Key Points:**

- The local climate response to aerosols is strongly modulated by the biophysical attributes of the underlying surface
- Asymmetries between aerosol shortwave and longwave radiative effect reduce the diurnal temperature range, particularly in arid regions
- Long-term enhancement in local climate sensitivity to aerosols is seen in equatorial climate, possibly driven by deforestation

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1029/2019GL083812

## **Abstract**

Aerosol impact on the surface temperature varies between the longwave and the shortwave components of radiation, depends on the time of the day, and is modulated by underlying biophysical processes. We disentangle these complexities by isolating the direct surface shortwave and longwave radiative effects from a global reanalysis data product and calculating their spatially-explicit climate sensitivities. Higher sensitivity is found for the longwave component and is driven by a combination of spatial variability of aerosol species and biophysical control of the underlying surface. The opposing shortwave and longwave effects reduce the global mean diurnal temperature range by 0.47 K, with almost half the contribution coming from aerosols of anthropogenic origin. We also find evidence of an increasing trend in the local climate sensitivity in the equatorial zone, possibly caused by deforestation activities. These surface processes can partially explain why the climate efficacy of aerosols exceeds unity.

## **Plain Language Summary**

The radiative effect of aerosols is disproportionately stronger at the Earth's surface compared to the top of the atmosphere and depends on the time of day and aerosol properties.

Moreover, the local surface temperature response to aerosols depends on both incoming energy as well as the surface energy dissipation via the properties of the underlying surface.

To disentangle these complex interactions, we use a theoretical framework to separate surface temperature response to the aerosol shortwave and longwave radiative effects for the world's land surfaces using a reanalysis dataset. We find a stronger local climate sensitivity to the longwave radiative effect than to the shortwave. This is due to the incidental collocation of regions of high local climate sensitivity with regions containing coarse mineral dust aerosols. The opposite directions of the surface shortwave and longwave radiative effects reduce the diurnal temperature range, particularly in arid regions. Long-term trends show an

intensification of the local climate sensitivity in the tropics due to deforestation activities, demonstrating the importance of local biophysical processes in aerosol-climate interactions. The addition of this biophysical control of aerosol-climate interactions may partially explain why the global climate sensitivity to aerosols is stronger than that due to well-mixed greenhouse gases.

## **1 Introduction**

The impact of aerosols is one the greatest uncertainties in our understanding of the Earth's climate system [Stocker *et al.*, 2013]. Aerosols modulate the Earth's radiative budget, either directly through scattering and absorption [Bellouin *et al.*, 2005] or indirectly by influencing the size and longevity of clouds [Li *et al.*, 2011; Twomey, 1991]. While several methods can be used to estimate the perturbation to the radiative budget by different forcing agents [Tang *et al.*, 2019], the top of the atmosphere values are traditionally used as a metric for temperature predictions in climate studies. This practice implicitly assumes that the temperature response of the planet is independent of the forcing agent or location of its emission source. While this is defensible for globally homogeneous forcing agents such as well-mixed greenhouse gases, for forcing agents that have significant spatial variability, like land use change, this assumption is insufficient [Bright *et al.*, 2017]. Aerosols also fall into this category, due to the significant horizontal and vertical variability of aerosol-induced radiative effect (RE) [Stuber *et al.*, 2005]. Because of these spatial heterogeneities, particularly the higher concentration of aerosols over the Northern Hemisphere, the global climate sensitivity to the radiative forcing (RF) of aerosols - the anthropogenic component of the aerosol RE - is higher than to the RF associated with well-mixed greenhouse gases [Hansen *et al.*, 2005; Marvel *et al.*, 2015; Rotstayn *et al.*, 2015; Shindell, 2014].

Both the total aerosol RE [Ramanathan *et al.*, 2001] and the relative roles of shortwave and longwave RE depend on altitude [Choobari *et al.*, 2013], aerosol composition, and aerosol size [Hansell *et al.*, 2008; Haywood *et al.*, 1997; Sicard *et al.*, 2014]. Overall, the shortwave RE ( $\Delta K_{\downarrow}$ ) dominates the longwave RE ( $\Delta L_{\downarrow}$ ) [Haywood *et al.*, 1997; Highwood *et al.*, 2003; Liao *et al.*, 2004]. However,  $\Delta L_{\downarrow}$  can offset a large portion of  $\Delta K_{\downarrow}$  in arid and semiarid regions, where coarse-grained mineral dust aerosols are effective emitters of longwave radiation in the atmospheric thermal window (wavelength 4 - 10  $\mu\text{m}$ ) [Choobari *et al.*, 2013]. Moreover,  $\Delta L_{\downarrow}$  exists during both daytime and nighttime unlike  $\Delta K_{\downarrow}$ . Further complicating the matter is the fact that the same RE can induce very different surface temperature responses because the energy redistribution between the surface and the atmospheric boundary layer varies between time of the day and with the biophysical attributes of the underlying local surface.

Here, we deploy the theory of the intrinsic biophysical mechanism (IBPM) [Lee *et al.*, 2011] and an atmospheric reanalysis data product to disentangle these synergistic interactions between the aerosol RE and the local biophysical processes. We aim (1) to quantify spatial variations of the surface shortwave and longwave radiative effects under present climate conditions, (2) to calculate the local surface temperature perturbations caused by these effects, and (3) to discuss the contribution of surface-air exchange processes to the long-term change in the climate efficacy of aerosols.

## 2 Methods

### 2.1 The IBPM theory

The IBPM theory combines the surface energy balance equation with a one-source model of the sensible heat flux to solve for the surface temperature ( $T_s$ ) [Lee *et al.*, 2011]. This solution

expresses  $T_S$  as a function of atmospheric forcing and energy redistribution between the surface and the lower atmosphere

$$T_S = T_b + \frac{\lambda_0}{1+f}(R_n^* - G) \quad (1)$$

where  $T_b$  is the background or blending-height air temperature,  $\lambda_0$  is the local intrinsic climate sensitivity,  $f$ , a dimensionless energy redistribution factor, is a measure of the efficiency of energy dissipation between the surface and the atmospheric boundary layer,  $G$  is ground heat flux, and  $R_n^*$  is the apparent net radiation given by

$$R_n^* = K_\downarrow(1 - a) + L_\downarrow - \sigma T_b^4 \quad (2)$$

where  $K_\downarrow$  is the incoming shortwave,  $L_\downarrow$  is the incoming longwave,  $a$  is surface albedo, and  $\sigma$  is the Stefan-Boltzmann constant. The local intrinsic climate sensitivity is essentially the longwave feedback derived by differentiating the Stefan-Boltzmann law and is given by

$$\lambda_0 = \frac{1}{4\epsilon\sigma T_b^3} \quad (3)$$

Changes to  $T_S$  are produced via perturbations to the energy redistribution and to the forcing variables  $T_b$  and  $R_n^*$ .

The aerosol direct effect changes  $T_S$  by perturbing  $T_b$ ,  $K_\downarrow$  and  $L_\downarrow$  at the surface, and  $f$ .

Differentiating Eq. 1, we obtain

$$\Delta T_S = \Delta T_b + \Delta T - \frac{\lambda_0}{(1+f)^2}(R_n^* - G)\Delta f \quad (4)$$

where  $\Delta$  indicates the perturbation signal. Perturbation to the energy redistribution factor  $\Delta f$  can arise from changes in Bowen ratio as a response to more diffuse radiation under polluted skies or from changes in land use that alters the surface roughness.  $\Delta T$  can be separated into the contributions from the aerosol surface  $\Delta K_\downarrow$  and  $\Delta L_\downarrow$  as

$$\Delta T = \frac{\lambda_0}{1+f}[(1 - a)\Delta K_\downarrow + \Delta L_\downarrow] \quad (5)$$

where the effective local climate sensitivity is given by

$$\lambda^* = \frac{\lambda_0}{1+f} \quad (6)$$

Note that the local surface temperature perturbation ( $\Delta T$ ), when added to the background temperature change ( $\Delta T_b$ ), gives the total surface temperature change ( $\Delta T_s$ ). In this study, we estimate this  $\Delta T$  from (1)  $\Delta K_\downarrow$  and  $\Delta L_\downarrow$  derived from the radiation diagnostics with and without aerosols, and (2)  $f$  from the lowest level modelled temperature and the reanalyzed surface energy balance variables [Bright *et al.*, 2017; Lee *et al.*, 2011] from the Modern-Era Retrospective analysis for Research and Applications global reanalysis product (version 2; MERRA-2) [Gelaro *et al.*, 2017].

## 2.2 Reanalysis data product

The MERRA-2 data are gridded at a spatial resolution of  $0.625^\circ$  by  $0.5^\circ$  for every hour. In addition to standard meteorological variables and radiative fluxes under realistic conditions, MERRA-2 calculates the diagnostic radiative flux by assuming no aerosols in the atmosphere. The direct aerosol effect is then determined from these radiation fields. In the case of the shortwave RE,  $\Delta K_\downarrow$  is the difference in  $K_\downarrow$  between all-sky and polluted conditions versus all-sky and clean conditions (Fig. 1 e & f). In the case of the longwave RE,  $\Delta L_\downarrow$  is the difference in  $L_\downarrow$  between all-sky and polluted conditions versus clear-sky and clean conditions (Figs. 1g and S2a). This hybrid approach (all-sky for  $K_\downarrow$  and clear sky for  $L_\downarrow$ ) is used since MERRA-2 does not provide all-sky incoming  $L_\downarrow$  without aerosols.

Additionally, the MERRA-2 dataset is used to compute  $f$  by inverting Eq. 1

$$f = \frac{\lambda_0}{T_S - T_b} (R_n^* - G) - 1 \quad (7)$$

In this diagnostic calculation,  $T_b$  is the air temperature at the lowest model level (985 hPa), and all other variables are obtained from the suite of surface micrometeorological variables produced by the reanalysis. The median  $f$  values for each year are calculated separately for daytime and nighttime for each grid. The annual mean values are averages of the daytime and nighttime values weighted by the daytime and nighttime hours for each grid. For all variables, data from the most recent decade (2008 to 2017) are used to produce mean spatial patterns, and data from the full assimilation period (1980 to 2017) are used to examine temporal trends.

The global spatial pattern of daytime  $f$  (Fig. S1a) is broadly similar to the  $f$  map given in *Bright et al.* [2017] for the period from 2001 to 2011. In *Bright et al.* [2017], the  $f$  value was computed from a satellite- and surface-based observational dataset using the same diagnostic equation (Eq. 7). Our global mean  $f$  of 4.21 (Supplementary Table S1) compares favorably to the global mean of 3.67 reported in *Bright et al.* [2017]. As expected,  $f$  is lower for smooth surfaces and higher for rough surfaces. Due to the predominance of forested (rougher) areas in tropical latitudes, the  $f$  value is much higher near the equator than at other latitudes. A strong diurnal asymmetry exists in  $f$ , with much lower values at night resulting from higher static stability and less turbulent mixing than during the day.

### 2. 3 Isolating the impact of aerosols by climate zone

Given that we focus on the Earth's land surfaces in this study, all global mean values, unless specified otherwise, refer to the spatial means over the MERRA-2 grids that are predominantly (>90%) land. To investigate the role of surface characteristics on aerosol-

surface interactions, we divide the world's land surfaces into the Koppen-Geiger climate classes, namely equatorial, arid, warm temperate (henceforth, temperate), snow, and polar [Rubel and Kotek, 2010], representing five different regimes of surface characteristics and atmospheric forcing (Fig. S4a). Regional mean values are computed from spatial averaging within these regions of interest.

#### **2.4 Contribution of anthropogenic aerosols to radiative effect**

A second group of regions of interest are used to estimate the anthropogenic aerosol contribution to the  $\Delta T$ . They are: United States, South America, OECD Europe, Southern Africa, Russia, East Asia, South Asia, and South East Asia. These regions are chosen based on a previous study, in which researchers ran the Goddard Chemistry, Aerosol, Radiation, and Transport (GOCART) model using emission inventories for anthropogenic and natural aerosol sources and quantified the anthropogenic contribution to the aerosol optical depth (AOD) for each aerosol species [Streets *et al.*, 2009].

At the surface, changes in  $\Delta K_{\downarrow}$  are proportional to changes in AOD. Thus, for each region of interest, the fractional contribution of anthropogenic aerosols to  $\Delta K_{\downarrow}$  is equal to the fractional contribution of these aerosols to the total AOD. The anthropogenic AOD fractions for these regions are taken from Streets *et al.* [2009]. The AOD calculated by MERRA-2 for the five main aerosol species (dust, sea salt, sulphate, organic carbon, and black carbon) is in excellent agreement with the results of Streets *et al.* [2009] (Fig S4b), indicating broad consistence between MERRA-2 and GOCART. The anthropogenic contribution to  $\Delta T$  for aerosol RE (shortwave) is obtained from Eq. 5 using anthropogenic  $\Delta K_{\downarrow}$ .



To obtain the anthropogenic contribution to  $\Delta L_{\downarrow}$ , we first convert the total assimilated AOD at 550 nm from MERRA-2 to the AOD at 10000 nm (roughly the middle of the longwave wavelength band) for each aerosol species using the Angstrom power law [Ångström, 1929];

$$\text{AOD}_{10000} = \text{AOD}_{550} \left[ \frac{10000}{550} \right]^{-\tilde{A}} \quad (8)$$

where  $\tilde{A}$  is the Angstrom exponent for the wavelength-dependence of AOD for that species.

Of the five aerosol species, we assume that all sulphate, organic carbon, and black carbon aerosols originate from anthropogenic sources and that all dust and sea salt aerosols originate from natural sources. We then obtain the total fractional contribution of anthropogenic aerosols to the total AOD at 10000 nm. The anthropogenic contribution to  $\Delta L_{\downarrow}$  is the product of  $\Delta L_{\downarrow}$  and this AOD fraction. Strictly,  $\tilde{A}$  is based on the AOD at two wavelengths and is valid within the range bounded by the two wavelength values used. For instance, MERRA-2's  $\tilde{A}$  is based on the AOD at 470 nm and 870 nm. Beyond this range, linear extrapolation using  $\tilde{A}$  can lead to uncertainties [Kedia and Ramachandran, 2009]. Moreover, some of the sulphate, organic carbon and black carbon aerosols are produced by natural sources. For these reasons, we consider our estimates of the anthropogenic contribution to  $\Delta L_{\downarrow}$  to be upper bounds of the actual values. The overall results are not affected by these simplifications because the anthropogenic  $\Delta L_{\downarrow}$  fraction is almost negligible, varying from 2% to 6% in the regions of interest, and consistent with previous estimates [Stier *et al.*, 2007].

### 3 Results

#### 3.1 Local temperature response to aerosol direct radiative effect

The surface  $\Delta K_{\downarrow}$  is most negative over Eastern China, North India, and Western Africa owing to high pollution and dust emissions (Fig. 1e). Due to the strong interaction between dust aerosols and  $L_{\downarrow}$ , the surface  $\Delta L_{\downarrow}$  shows large positive values over arid regions, namely the Sahara Desert, the Arabian Peninsula, Northwestern China, and Western India (Figs 1g and

S2a). In heavily polluted parts of Eastern China and North India, the daytime  $\Delta L_{\downarrow}$  is around  $3.5 \text{ W m}^{-2}$  or about 30 % of the highest value found for Sahara Desert. The global mean daytime  $\Delta K_{\downarrow}$  over land is  $-16.40 \text{ W m}^{-2}$ , or 16 times the magnitude of the daytime ( $1.01 \text{ W m}^{-2}$ ; Fig S2a) and nighttime  $\Delta L_{\downarrow}$  ( $0.99 \text{ W m}^{-2}$ ; Fig. 1g). The annual mean  $\Delta K_{\downarrow}$  over the whole planet (land+ocean) is  $-4.31 \text{ W m}^{-2}$ .

The surface temperature response to aerosols is controlled by both the local RE and the local climate sensitivity. The daytime local climate sensitivity  $\lambda^*$  is highest over the arid and polar climate zones due to the lack of vegetation and is lowest in the equatorial zone because high surface roughness promotes energy redistribution between the surface and the lower atmosphere (Fig. 1a). We have already noted the high RE over the arid zone.  $\lambda^*$  is also high, implying that this zone is most sensitive to aerosol loading. The presence of coarse mode aerosols that strongly affect  $L_{\downarrow}$  over these regions leads to a stronger daytime sensitivity to  $\Delta L_{\downarrow}$  ( $0.039 \text{ K W}^{-1} \text{ m}^2$ ) than to  $\Delta K_{\downarrow}$  ( $0.024 \text{ K W}^{-1} \text{ m}^2$ ) when averaged over the Earth's land surfaces. The nighttime  $\lambda^*$  is more than twice as much as the daytime value, indicating less turbulent mixing during this period and resulting in a much stronger temperature response to the same RE than during the day. There is less variability in  $\lambda^*$  among the different climate zones at night than during the day (Fig. 1c). The mean surface climate sensitivity to surface aerosol RE for the Earth's land surface is  $0.016 \text{ K W}^{-1} \text{ m}^2$ ; estimated by dividing the global mean  $\Delta T$  of  $-0.13 \text{ K}$  with the global mean surface RE (including both shortwave and longwave) of  $-7.94 \text{ W m}^{-2}$  (Supplementary Table S1). This local surface climate sensitivity is more than an order of magnitude smaller than the global climate sensitivity derived from radiative balance perturbations at the top of the atmosphere [Rotstayn *et al.*, 2015].

The IBPM calculation reveals highly variable but spatially coherent patterns of  $\Delta T$  across the world (Figs. 2 i & k, and S2c). These spatial patterns are largely controlled by the spatial variabilities of  $\Delta K_{\downarrow}$  and  $\Delta L_{\downarrow}$  and are also influenced by local biophysical processes. The global mean daytime  $\Delta T$  over land is  $-0.39$  K due to  $\Delta K_{\downarrow}$  and  $0.04$  K due to  $\Delta L_{\downarrow}$ , while the nighttime  $\Delta T$  is  $0.13$  K due to  $\Delta L_{\downarrow}$ . Regionally, the strongest response is seen in the arid zone, with the daytime  $\Delta T$  reaching around  $-2.3$  K and nighttime  $\Delta T$  reaching  $1.2$  K. The zonal mean  $\Delta T$  peaks at around  $20^{\circ}$  N for both shortwave ( $-1.1$  K) and longwave ( $0.2$  K in the daytime and  $0.5$  K during nighttime) due to the high climate sensitivity (in the daytime; Fig. 1b) and the high RE (both daytime and nighttime, Fig. 1 f & h, Fig. S2b). Averaged over the 24-h cycle, the aerosol direct RE reduces the global surface temperature by  $0.13$  K during 2008 to 2017 (Supplementary Table S1). In some regions (like Australia), the nighttime warming due to  $\Delta L_{\downarrow}$  is large enough to almost offset the daytime cooling due to  $\Delta K_{\downarrow}$  (Fig. 1).

### 3.2 Impact on the diurnal temperature range and anthropogenic contributions

The daytime cooling and nighttime warming will reduce the diurnal temperature range (DTR) [Huang *et al.*, 2006; Sarangi *et al.*, 2018]. Although this aerosol DTR effect is known conceptually for some time, we lack a detailed assessment of its geographic variation and a quantitative attribution of anthropogenic and natural contributions. Here, we find that, unsurprisingly, the highest reduction in DTR (around  $3$  K) occurs over arid regions with high aerosol loading (Fig. 2). In the Amazon basin, because the effective climate sensitivity is extremely low (daytime  $\lambda^* = 0.0075 \text{ K W}^{-1} \text{ m}^2$ ), aerosols have little impact on DTR (around  $-0.09$  K) even though  $\Delta K_{\downarrow}$  and  $\Delta L_{\downarrow}$  are moderately strong, at  $-10.55 \text{ W m}^{-2}$  and  $0.28 \text{ W m}^{-2}$ , respectively. For comparison, similar REs ( $\Delta K_{\downarrow} = -9.56 \text{ W m}^{-2}$ ;  $\Delta L_{\downarrow} = 0.44 \text{ W m}^{-2}$ ) cause a larger reduction in DTR ( $-0.27$  K) in Australia, where smooth landscapes, which are not

efficient at dissipating heat from the surface to the atmospheric boundary layer, enhance the climate sensitivity (daytime  $\lambda^* = 0.0299 \text{ K W}^{-1} \text{ m}^2$ ).

Anthropogenic aerosols account for about 10% of the total global aerosol load [Hinds, 1999], but because they have higher scattering efficiencies than natural aerosols, they contribute to almost half the aerosol optical depth (AOD), thus disproportionately modulating the surface temperature response. Averaged over the eight major regions of the world considered here, anthropogenic aerosols reduce the surface  $K_{\downarrow}$  by  $8.2 \text{ W m}^{-2}$  and increase the surface  $L_{\downarrow}$  by  $0.022 \text{ W m}^{-2}$  (Fig. S5) through their direct effect. The anthropogenic contribution to the overall DTR reduction is highest for the United States at 68% and lowest for South America at 26%. Aggregating all the eight regions of interest, anthropogenic aerosols lead to about 50% of the total DTR reduction (Fig. 2c).

### 3.3 Inter-annual trends

Globally, the  $\Delta T$  becomes more negative at a rate of  $-0.014 \text{ K}$  per decade in the daytime for shortwave and more positive at a rate of  $0.002 \text{ K}$  (daytime) and  $0.006 \text{ K}$  (night) per decade for longwave, from 1980 to 2017 (Fig. 3). The combined effect is a decrease of the global DTR by  $-0.018 \text{ K}$  per decade (Fig. S11). The primary driver of these global temperature trends is the strengthening of the aerosol RE over time. The mean  $\Delta K_{\downarrow}$  and daytime (nighttime)  $\Delta L_{\downarrow}$  are  $-16.4 \text{ W m}^{-2}$  and  $1.01 (0.99) \text{ W m}^{-2}$  in 2008 - 2017, respectively, compared to  $-14.6 \text{ W m}^{-2}$  and  $0.88(0.88) \text{ W m}^{-2}$  in 1980 -1989. Note that the differences in the first and last ten-year means are muted due to aerosol loading caused by the volcanic eruption of El Chichón in 1982. Of the five climate zones (Fig. 3 and Fig. S6 to S9), the temperate zone experiences the largest percentage change between the first and last ten years for  $\Delta K_{\downarrow}$  (22%), and  $\Delta L_{\downarrow}$  (44% during day; 50% at night).

Regionally, the most notable feature is a steady increase of daytime  $\lambda^*$ , or a progressive reduction of efficiency of energy redistribution over time, in the equatorial zone (Fig. 3a). We attribute this trend to the wide-scale deforestation in the tropics [Achard *et al.*, 2002; Hansen *et al.*, 2013]. Although the MERRA-2 modeling system does not explicitly prescribe land use change over time, it uses the observed surface climate variables to constrain the surface energy balance calculation. Tropical deforestation appears to have changed the surface climate so as to result in the diagnosis by the reanalysis of a loss in the efficiency of energy redistribution between the surface and the atmospheric boundary layer. This loss of forest cover serves to amplify the aerosol effect. Sensitivity calculations using Eq. 5 show that of the increase of the daytime cooling signal of 0.067 K between 1980 and 2017, about one-third is attributed to the reduction in  $\lambda^*$  (and the other two-third to changes in  $\Delta K_{\downarrow}$ ). Non-radiative surface pathways, such as the energy redistribution efficiency, are shown to dominate the surface temperature change associated with ongoing land cover change and land management activities [Alkama and Cescatti, 2016; Bright *et al.*, 2017; Naudts *et al.*, 2016]. Our result suggests that these surface processes can also modify local temperature changes resulting from atmospheric radiative forcing.

#### 4 Discussion

Previous studies have shown that the climate sensitivity associated with aerosol RF is greater than that to CO<sub>2</sub> RF [Marvel *et al.*, 2015; Rotstayn *et al.*, 2015; Shindell, 2014]. Estimates based on single-forcing model experiments reveal that the former is about 0.55 K per W m<sup>-2</sup> and the latter is 0.40 K per W m<sup>-2</sup> under transient climate conditions [Marvel *et al.*, 2015],

giving a sensitivity difference of 0.15 K per  $\text{W m}^{-2}$  and an efficacy of 1.4 for aerosols.

Geographic variations in temperature are suggested to play a role in producing this large efficacy [Boucher *et al.*, 2013], but the nature of this role is not well understood. Our study provides a mechanistic explanation for why the efficacy of aerosol RE should exceed unity.

In the IBPM framework, the surface temperature perturbation ( $\Delta T$ ) is a signal superimposed on changes in the background atmospheric temperature ( $\Delta T_b$ , Eq. 4). The total surface temperature change is the sum of the two.  $\text{CO}_2$ , a spatially homogeneous forcing agent, alters the energy balance of the climate system as a whole, leading to changes in the background atmospheric temperature, but it does not change the surface incoming solar radiation in predictable patterns either spatially or temporally [Wild *et al.*, 2015]. In other words, if  $\text{CO}_2$  is the only radiative forcing agent, we can omit the surface temperature perturbation due to the surface  $K_d$ . On the other hand, aerosols alter both the whole earth system energy balance as well as radiation incident on the surface. We postulate that it is the strong surface RE that results in the large overall aerosol climate efficacy. In our analysis, we assume that the background temperature change ( $\Delta T_b$ ) can be predicted by the energy imbalance measured at the top of the atmosphere using a standard climate sensitivity value and the additional temperature change ( $\Delta T$ ) is the result of the intrinsic surface biophysical mechanism. It is the latter that explains why the aerosol climate efficacy is greater than unity.

Our calculations suggest that the aerosol direct effect alone can explain the large climate efficacy of aerosols, without consideration of the aerosol indirect effect. The mean surface climate sensitivity to aerosol RE reported above ( $0.016 \text{ K W}^{-1} \text{ m}^2$ ) is based on the surface RE. If we divide the global mean land surface  $\Delta T$  ( $-0.13 \text{ K}$ ) by the combined shortwave and longwave RE at the top of the atmosphere in MERRA-2 ( $-0.89 \text{ W m}^{-2}$ ; Supplementary Table S1), we obtain a sensitivity of around  $0.15 \text{ K W}^{-1} \text{ m}^2$  attributed to the intrinsic biophysical

mechanism, which is nearly the same as the difference ( $0.15 \text{ K per W m}^{-2}$ ) between the aerosol and the  $\text{CO}_2$  climate sensitivity [Marvel *et al.*, 2015]. Note that accurate calculations of climate sensitivities necessitate examining temperature changes over both land and ocean surfaces. While we only focus on the world's land surfaces, we demonstrate that the local climate response driven by land-atmosphere interactions below the blending height can conceptually explain the higher global climate sensitivity to aerosols.

From this aggregated assessment, we have excluded the temperature perturbation that may arise from changes in the energy redistribution factor  $f$  (the last term on the right-hand side of Eq. 4). We have already noted that land use change at tropical latitudes has amplified the aerosol effect through reducing  $f$  and increasing the local apparent climate sensitivity, although globally the temporal trend in local apparent climate sensitivity is negligible (Fig. S10). A second process that can alter  $f$  is changes in the diffuse radiation. Aerosol scattering increases the fraction of diffuse radiation received by the surface, allowing sunlight to penetrate vegetation canopies and increase the gross primary productivity of the land biosphere [Mercado *et al.*, 2009; Rap *et al.*, 2018] as well as the fraction of heat transferred through evapotranspiration thus decreasing the Bowen ratio [Liu *et al.*, 2014]. We are unable to isolate this biological response to diffuse radiation from the MERRA-2 data product because MERRA-2 only provides surface energy balance calculations under polluted atmospheric conditions. According to a global modeling study, the presence of aerosols can reduce the land Bowen ratio by 15% to 30% between  $20^\circ \text{ N}$  and  $20^\circ \text{ S}$  [Liu *et al.*, 2014]. In principle, a reduction in Bowen ratio will generate a negative surface temperature perturbation in the daytime due to changes in  $f$  [Lee *et al.*, 2011] in addition to the negative perturbation due to the shortwave RE. An accurate estimate of this Bowen ratio induced signal requires that a land surface model be coupled with atmospheric radiation transfer

models to simultaneously calculate the surface incoming radiation (including changes in the diffuse fraction) and the response of the surface sensible and latent heat fluxes.

## **5 Conclusions**

In this study, we disentangle the local temperature response to the direct surface shortwave and longwave radiative effects of aerosols for the world's land surfaces. We find that the global mean climate sensitivity to the surface longwave effect during the daytime ( $0.039 \text{ K W}^{-1} \text{ m}^2$ ) is 60 % stronger than to the daytime surface shortwave effect ( $0.024 \text{ K W}^{-1} \text{ m}^2$ ) and five times as strong at night ( $0.127 \text{ K W}^{-1} \text{ m}^2$ ). The former is a consequence of higher emissions of coarse aerosols in regions where the surface energy redistribution is less efficient, and the latter is related to low turbulent mixing in stable nighttime conditions. The opposing longwave and shortwave effects reduce the diurnal temperature range, particularly in arid regions, with almost half the global mean reduction attributable to anthropogenic aerosols. Finally, we analyze long-term trends in the surface temperature response to aerosol direct radiative effect and find an increase in the local climate sensitivity in the equatorial zone, possible driven by deforestation activities. Our results demonstrate the importance of biophysical processes in modulating the spatial heterogeneity of aerosol-climate interactions. The inclusion of this local climate response to aerosols may explain their higher climate efficacy.

## **Acknowledgements**

We acknowledge Yale Center for Earth Observation (YCEO) for providing computational resources. The reanalysis dataset used in this study can be found on NASA's website (<https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/>).



## References

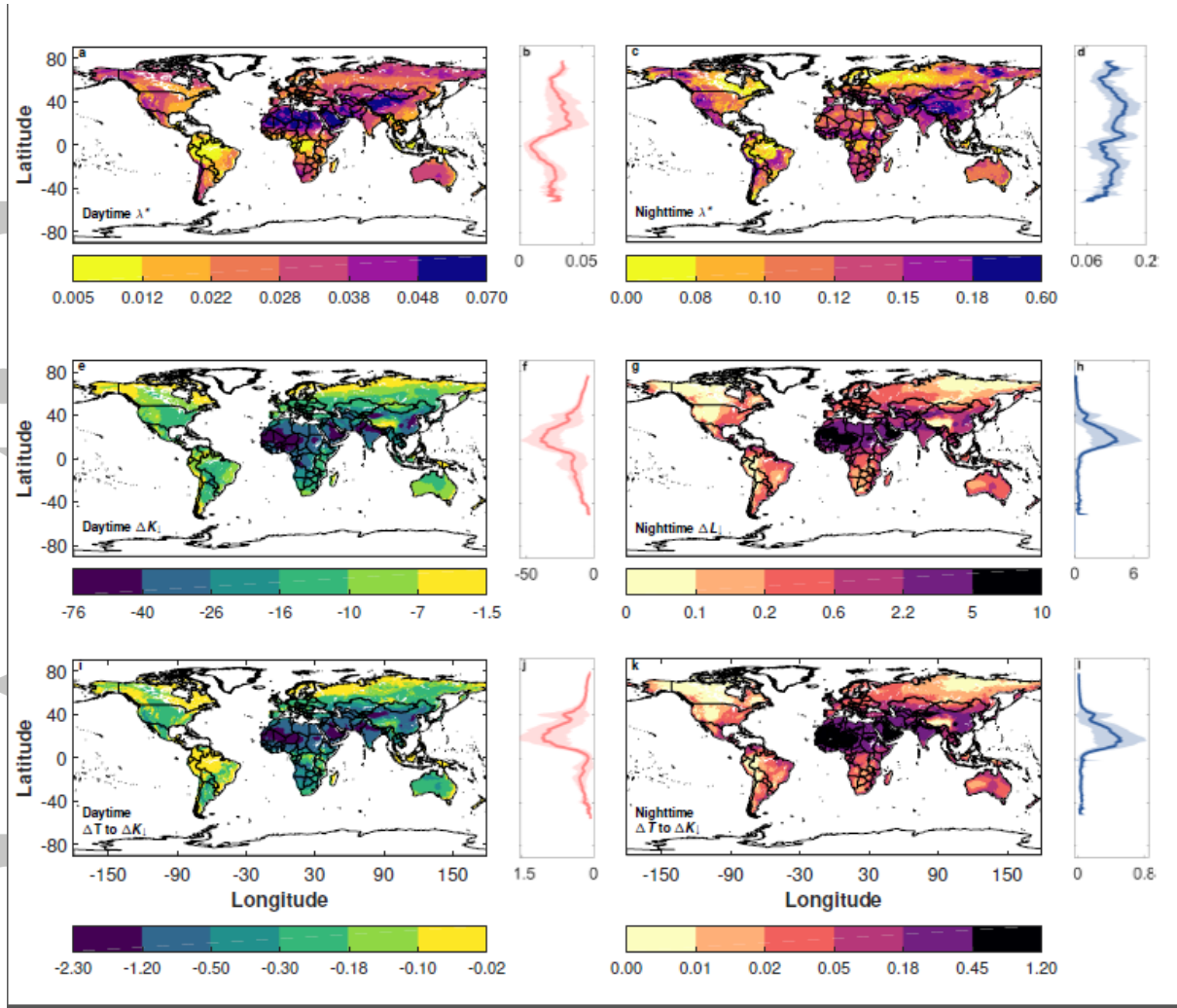
1. Achard, F., Eva, H. D., Stibig, H. J., Mayaux, P., Gallego, J., Richards, T., & Malingreau, J. P. (2002). Determination of Deforestation Rates of the Worlds Humid Tropical Forests. *Science* 297, 999–1002.
2. Alkama, R. & Cescatti, A. (2016). Biophysical climate impacts of recent changes in global forest cover. *Science* 351, 600–604.
3. Ångström, A. (1929). On the atmospheric transmission of sun radiation and on dust in the air. *Geografiska Annaler* 11(2), 156-166.
4. Bellouin, N., Boucher, O., Haywood, J., & Reddy, M. S. (2005). Global estimate of aerosol direct radiative forcing from satellite measurements. *Nature* 438, 1138–1141.
5. Boucher, O., D. Randall, P. Artaxo, C. Bretherton, G. Feingold, P. Forster, V.-M. Kerminen, Y. Kondo, H. Liao, U. Lohmann, P. Rasch, S.K. Satheesh, S. Sherwood, B. Stevens and X.Y. Zhang. (2013). Clouds and Aerosols. *Climate Change 2013 - The Physical Science Basis* 571–658 (Cambridge Univ. Press)
6. Bright, R. M., Davin, E., O'Halloran, T., Pongratz, J., Zhao, K., & Cescatti, A. (2017). Local temperature response to land cover and management change driven by non-radiative processes. *Nature Climate Change* 7, 296–302.
7. Choobari, O. A., Zawar-Reza, P. & Sturman, A. (2013). Simulation of the spatial distribution of mineral dust and its direct radiative forcing over Australia. *Tellus B: Chemical and Physical Meteorology* 65, 19856.
8. Gelaro, R., McCarty, W., Suárez, M. J., Todling, R., Molod, A., Takacs, L., ... & Wargan, K. (2017). The Modern-Era Retrospective Analysis for Research and Applications, Version 2 (MERRA-2). *Journal of Climate* 30, 5419–5454.
9. Hansell, R. A., Tsay, S. C., Hsu, N. C., Ji, Q., Bell, S. W., Holben, B. N., ... & Li, Z. (2012). An assessment of the surface longwave direct radiative effect of airborne dust

- in Zhangye, China, during the Asian Monsoon Years field experiment (2008). *Journal of Geophysical Research: Atmospheres* 117, D00K39.
10. Hansen, J. E., Sato, M. K. I., Ruedy, R., Nazarenko, L., Lacis, A., Schmidt, G. A., ... & Bell, N. (2005). Efficacy of climate forcings. *Journal of Geophysical Research: Atmospheres* 110, D18104.
11. Hansen, M. C., Potapov, P. V., Moore, R., Hancher, M., Turubanova, S. A. A., Tyukavina, A., ... & Kommareddy, A. (2013). High-Resolution Global Maps of 21st-Century Forest Cover Change. *Science* 342, 850–853.
12. Haywood, J. M., Roberts, D. L., Slingo, A., Edwards, J. M. & Shine, K. P. (1997). General Circulation Model Calculations of the Direct Radiative Forcing by Anthropogenic Sulfate and Fossil-Fuel Soot Aerosol. *Journal of Climate* 10, 1562–1577.
13. Highwood, E. J., Haywood, J. M., Silverstone, M. D., Newman, S. M., & Taylor, J. P. (2003). Radiative properties and direct effect of Saharan dust measured by the C-130 aircraft during Saharan Dust Experiment (SHADE): 2. Terrestrial spectrum. *Journal of Geophysical Research* 108, 8578.
14. Hinds, C. W. (1999). *Aerosol Technology: Properties, Behavior, and Measurement of Airborne Particles* A Wiley-Interscience Publication 2.
15. Huang, Y., Dickinson, R. E. & Chameides, W. L. (2006). Impact of aerosol indirect effect on surface temperature over East Asia. *Proceedings of the National Academy of Sciences* 103, 4371–4376.
16. Kedia, S. & Ramachandran, S. (2009). Variability in aerosol optical and physical characteristics over the Bay of Bengal and the Arabian Sea deduced from Ångström exponents. *Journal of Geophysical Research: Atmospheres* 114, D14207.

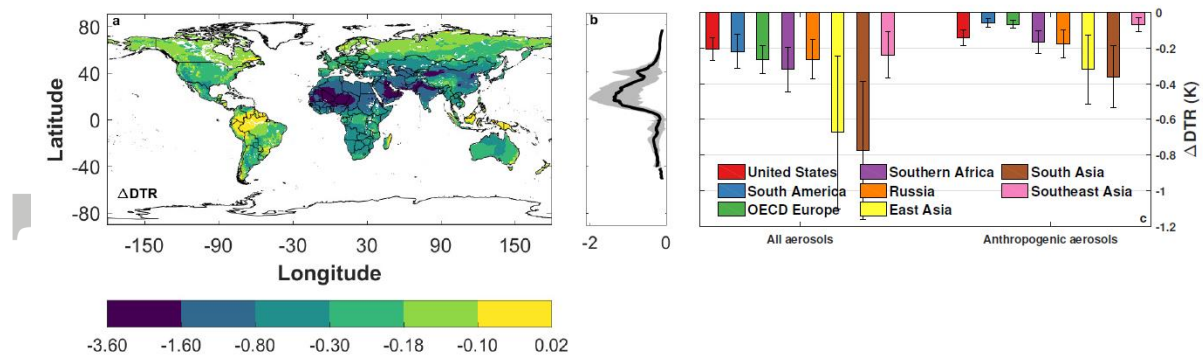
17. Lee, X., Goulden, M. L., Hollinger, D. Y., Barr, A., Black, T. A., Bohrer, G., ... & Katul, G. (2011). Observed increase in local cooling effect of deforestation at higher latitudes. *Nature* 479, 384–387.
18. Li, Z., Niu, F., Fan, J., Liu, Y., Rosenfeld, D., & Ding, Y. (2011). Long-term impacts of aerosols on the vertical development of clouds and precipitation. *Nature Geoscience* 4, 888–894.
19. Liao, H., Seinfeld, J. H., Adams, P. J., & Mickley, L. J. (2004). Global radiative forcing of coupled tropospheric ozone and aerosols in a unified general circulation model. *Journal of Geophysical Research* 109, D16207.
20. Liu, S., Chen, M. & Zhuang, Q. (2014). Aerosol effects on global land surface energy fluxes during 2003-2010. *Geophysical Research Letters* 41, 7875–788.
21. Marvel, K., Schmidt, G. A., Miller, R. L. & Nazarenko, L. S. (2015). Implications for climate sensitivity from the response to individual forcings. *Nature Climate Change* 6, 386–389.
22. Mercado, L. M. *et al.* (2009). Impact of changes in diffuse radiation on the global land carbon sink. *Nature* 458, 1014–1017.
23. Naudts, K., Chen, Y., McGrath, M. J., Ryder, J., Valade, A., Otto, J., & Luyssaert, S. (2016). Europe's forest management did not mitigate climate warming. *Science* 351, 597–600.
24. Ramanathan, V., P. Crutzen, J. Kiehl, & D. Rosenfeld. (2001). Aerosols, Climate, and the Hydrological Cycle. *Science* 294, 2119–2124.
25. Rap, A., Scott, C. E., Reddington, C. L., Mercado, L., Ellis, R. J., Garraway, S., ... & Spracklen, D. V. (2018). Enhanced global primary production by biogenic aerosol via diffuse radiation fertilization. *Nature Geoscience* 11, 640–644.

26. Rotstayn, L. D., Collier, M. A., Shindell, D. T. & Boucher, O. (2015). Why Does Aerosol Forcing Control Historical Global-Mean Surface Temperature Change in CMIP5 Models? *Journal of Climate* 28, 6608–6625.
27. Rubel, F. & Kottek, M. (2010). Observed and projected climate shifts 1901-2100 depicted by world maps of the Köppen-Geiger climate classification. *Meteorologische Zeitschrift* 19, 135–141.
28. Sarangi, C., Kanawade, V. P., Tripathi, S. N., Thomas, A., & Ganguly, D. (2018). Aerosol-induced intensification of cooling effect of clouds during Indian summer monsoon. *Nature Communications* 9, 3754.
29. Shindell, D. T. (2014). Inhomogeneous forcing and transient climate sensitivity. *Nature Climate Change* 4, 274–277.
30. Sicard, M., Bertolín, S., Mallet, M., Dubuisson, P. & Comerón, A. (2014). Estimation of mineral dust long-wave radiative forcing: sensitivity study to particle properties and application to real cases in the region of Barcelona. *Atmospheric Chemistry and Physics* 14, 9213–9231.
31. Stier, P., Seinfeld, J. H., Kinne, S. & Boucher, O. (2007). Aerosol absorption and radiative forcing. *Atmospheric Chemistry and Physics Discussions* 7, 5237-5261.
32. Stocker, T. F., Qin, D., Plattner, G. K., Tignor, M., Allen, S. K., Boschung, J., ... & Midgley, P. M. (2013). *Climate Change 2013: The Physical Science Basis* (Cambridge Univ. Press)
33. Streets, D. G., Yan, F., Chin, M., Diehl, T., Mahowald, N., Schultz, M., ... & Yu, C. (2009). Anthropogenic and natural contributions to regional trends in aerosol optical depth, 1980–2006. *Journal of Geophysical Research* 114, D00D18.
34. Stuber, N., Ponater, M. & Sausen, R. (2005). Why radiative forcing might fail as a predictor of climate change. *Climate Dynamics* 24, 497–510.

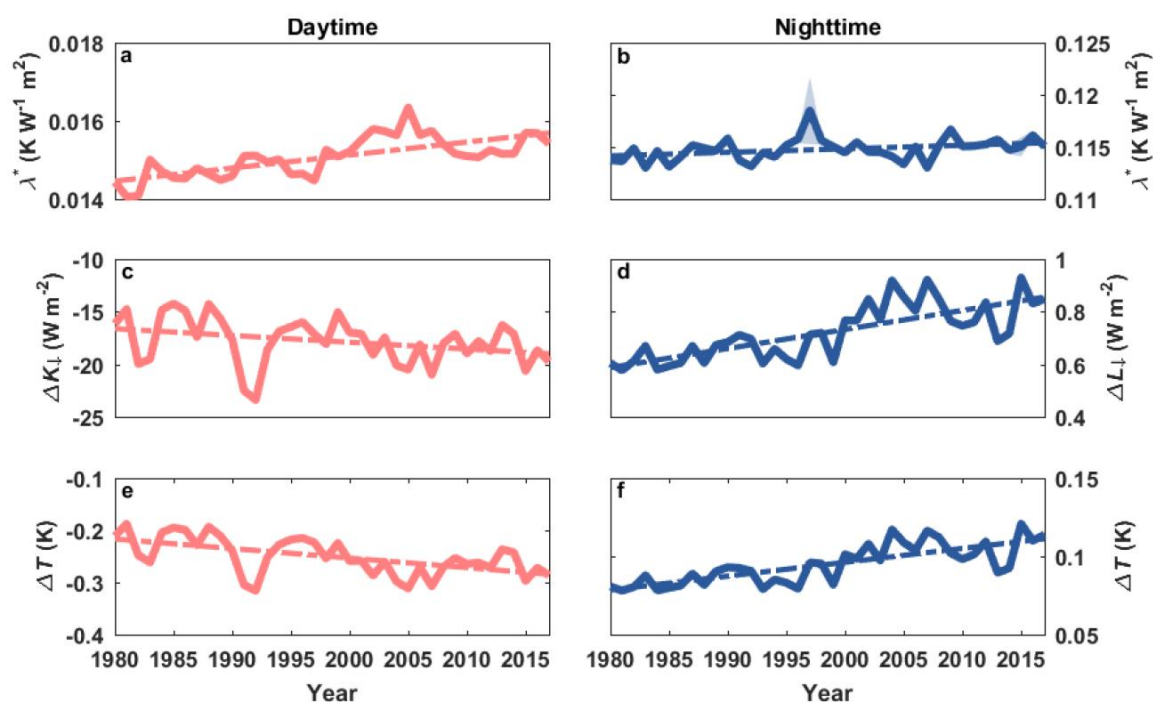
35. Tang, T., Shindell, D., Faluvegi, G., Myhre, G., Olivié, D., Voulgarakis, A., ... & Smith, C. (2019). Comparison of Effective Radiative Forcing Calculations Using Multiple Methods, Drivers, and Models. *Journal of Geophysical Research: Atmospheres* 124(8), 4382-4394.
36. Twomey, S. (1991). Aerosols, clouds, and radiation. *Atmos. Environ. A* 25, 2435–2442
37. Wild, M., Folini D., Henschel, F., Fischer, N., Müller, B. (2015). Projections of long-term changes in solar radiation based on CMIP5 climate models and their influence on energy yields of photovoltaic systems. *Solar Energy* 116, 12–24.



**Figure 1.** Global spatial patterns of daytime (a) and nighttime (c) apparent surface climate sensitivity  $\lambda^*$  (in  $\text{K W}^{-1} \text{ m}^2$ ), daytime shortwave radiative effect RE (e,  $\Delta K_{\downarrow}$ ; in  $\text{W m}^{-2}$ ), nighttime longwave RE (g,  $\Delta L_{\downarrow}$ ; in  $\text{W m}^{-2}$ ), daytime temperature perturbation  $\Delta T$  due to shortwave RE (in K) (i), and nighttime  $\Delta T$  due to longwave RE (in K) (k) for 2008-2017. Non-linear color maps are used to better visualize the spatial variations throughout the world. The corresponding zonal characteristics are also given in panels b (daytime  $\lambda^*$ ), d (nighttime  $\lambda^*$ ), f (daytime shortwave RE), h (nighttime RE), j (daytime  $\Delta T$ ), and l (nighttime  $\Delta T$ ). The solid lines represent the zonal means, while the shaded regions show standard deviations at each degree of latitude.



**Figure 2.** **a** Global spatial pattern of changes in the diurnal temperature range (DTR; in K) for 2008-2017, **b**, zonal mean change in DTR, and **c**, mean DTR change for each region of interest due to all aerosols and only anthropogenic aerosols. Shaded regions in panel **b** and error bars in panel **c** represent  $\pm 1$  standard deviation.



**Figure 3.** Long-term trends in **a**, daytime apparent surface climate sensitivity  $\lambda^*$ , **b** nighttime  $\lambda^*$ , **c** daytime surface shortwave RE ( $\Delta K_{\downarrow}$ ), **d** nighttime surface longwave RE ( $\Delta L_{\downarrow}$ ), **e** daytime temperature perturbation, and **f** nighttime temperature perturbation for the equatorial climate zone. The dashed lines show the linear trends of the temporal variation. All long-term trends are statistically significant (p-value < 0.01).