



Status of ECAL Spikes

Adi Bornheim for ECAL DPG

17.03.2010

ASC task force meeting

Outline



- Recap : ECAL Spikes
- ECAL Spike tagging online and offline
- ECAL Spike event properties
- ECAL Spike studies outside P5
- Summary

Reminder : ECAL Spikes



- Tommaso Tabarelli de Fatis :
<http://indico.cern.ch/getFile.py/access?contribId=4&resId=1&materialId=slides&confId=81371>
- (a) David Petyt : ECAL Data/MC Studies
<http://indico.cern.ch/getFile.py/access?contribId=0&resId=0&materialId=slides&confId=86084>
- (b) Adi Bornheim : Status report on ECAL spike events
<http://indico.cern.ch/getFile.py/access?contribId=2&resId=1&materialId=slides&confId=84633>
- (c) Elizabeth Locci : Cosmic Data/MC : "What about spikes .."
<https://hypernews.cern.ch/HyperNews/CMS/get/ecal-performance/277.html>

From Tommasos presentation :

- [1] G. Landsberg, <https://hypernews.cern.ch/HyperNews/CMS/get/exotica/500.html>
- [2] A. Warren et al. <http://indico.cern.ch/conferenceDisplay.py?confId=78057>
- [3] E. Di Marco <http://indico.cern.ch/getFile.py/access?contribId=1&resId=3&materialId=slides&confId=76903> ;
- LIP, <http://indico.cern.ch/getFile.py/access?contribId=0&resId=1&materialId=1&confId=76903>
- [4] A. Askew <https://hypernews.cern.ch/HyperNews/CMS/get/ecal-performance/229.html>
- [5] C. Seez, <http://indico.cern.ch/getFile.py/access?contribId=3&resId=0&materialId=slides&confId=76805>
- [6] A. Apreysan, slide 9 of [14]
- [7] W. Andrews et al.,
<http://indico.cern.ch/getFile.py/access?contribId=3&resId=0&materialId=slides&confId=79613>
- [8] J. Veverka and A. Bornheim,
<http://indico.cern.ch/getFile.py/access?contribId=2&resId=3&materialId=slides&confId=82920>
- [9] J. Jackson, <http://indico.cern.ch/getFile.py/access?contribId=4&resId=0&materialId=slides&confId=76805>
- [10] Y. Gershtain, <http://indico.cern.ch/getFile.py/access?contribId=4&resId=0&materialId=slides&confId=79613>
and <http://indico.cern.ch/getFile.py/access?contribId=6&resId=0&materialId=1&confId=76805>

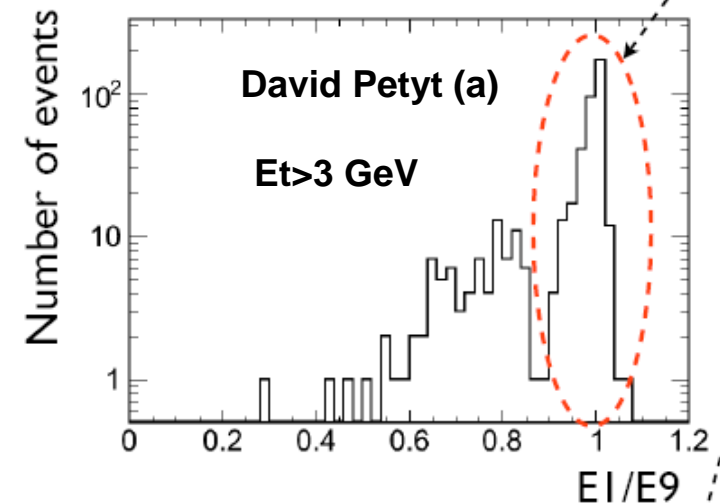
Recap : ECAL spikes



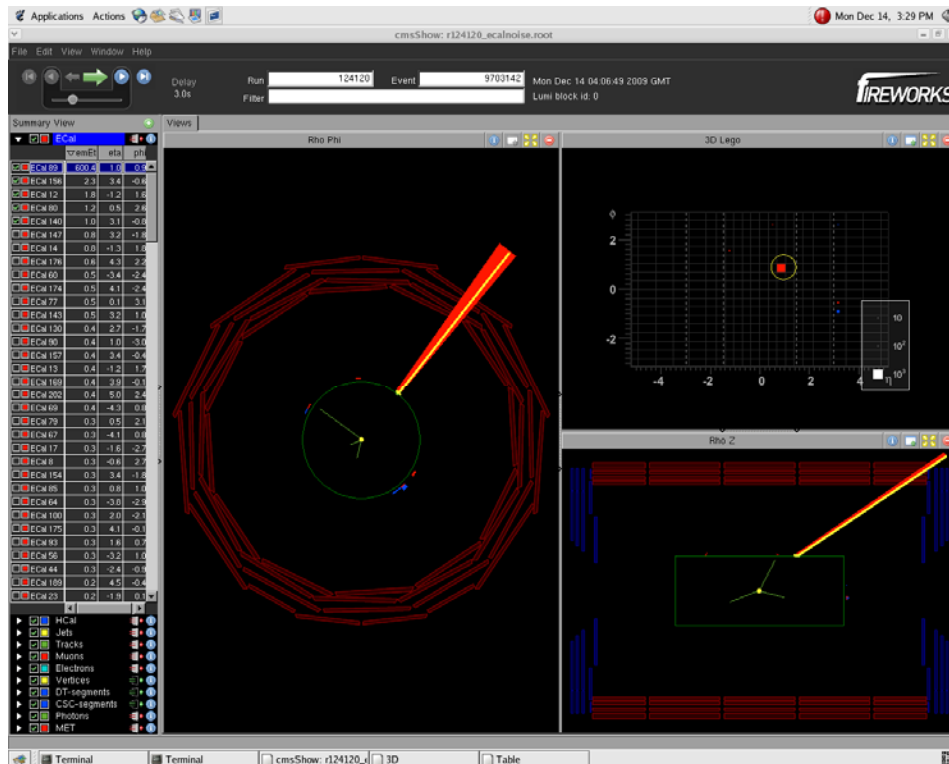
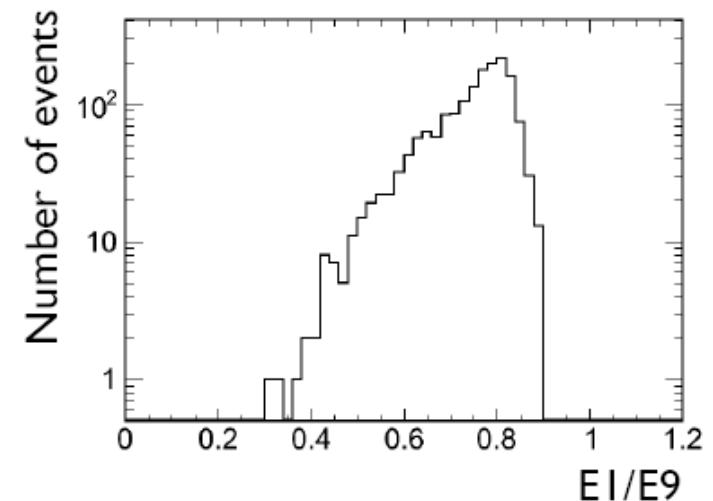
- Single channels with sizable pulses and no surrounding activity
 - Early observations by PAGs and POGs[1,2,3,4]
- Shower shape inconsistent with energy depositions of real photons or electrons
 → **topological spike tagger**

Spikes

900 GeV Data



900 GeV MC

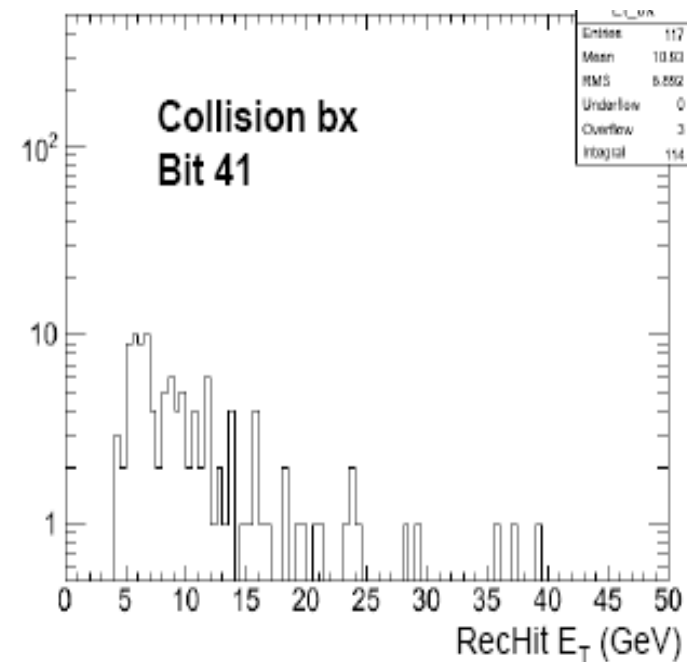
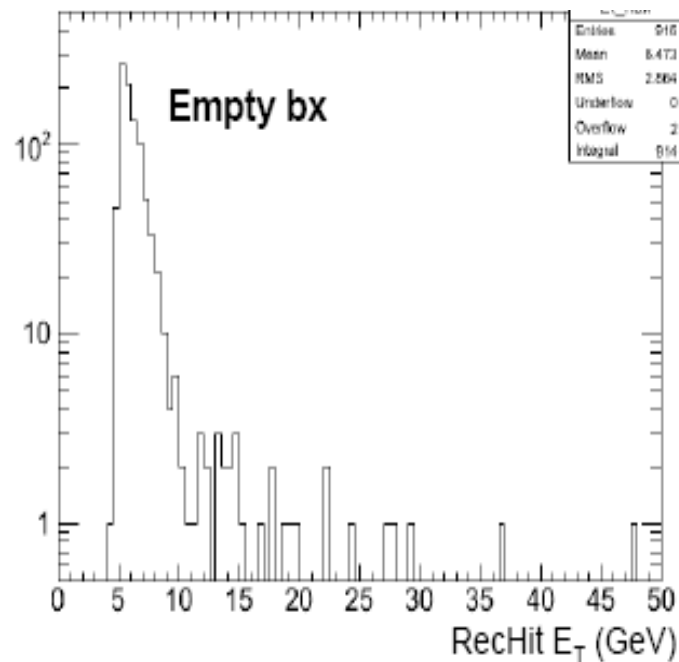


Status ECAL Spikes

Spike RecHit energy spectra



- Seen in collision crossing (in BX), and in empty crossing (out of BX)
 - Also observed in CRAFT data
- Energy spectra harder in collision crossings
 - Two different mechanisms?
 - Mostly low energies, but ranges up to $O(100)$ GeV



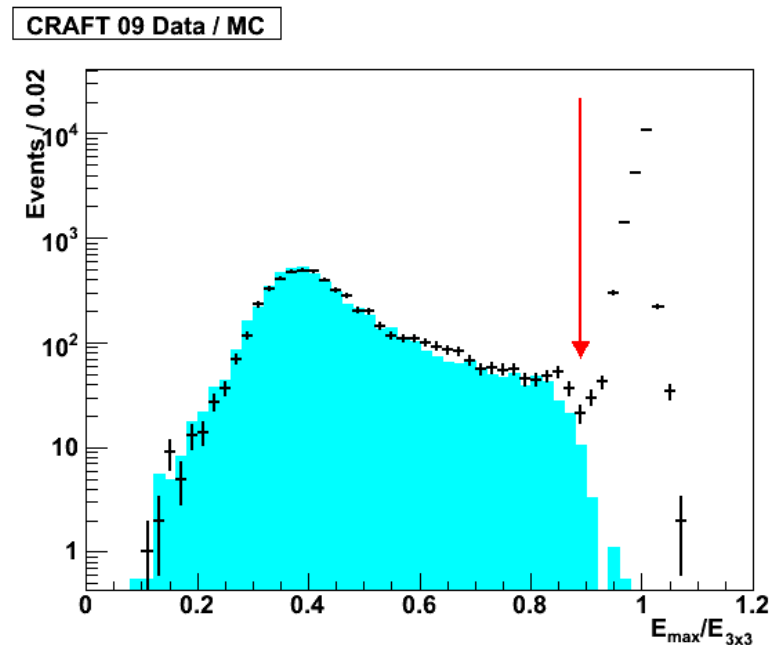
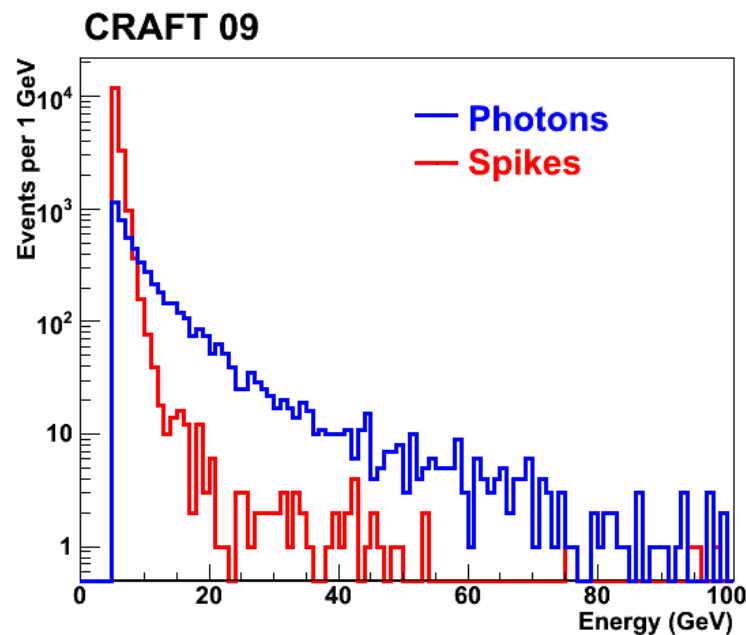
C.Seez [5], see also A.Bornheim, J.Veverka[8], J.Jackson [9], Y.Gershtain [10]

Status ECAL Spikes

Spikes in CRAFT09

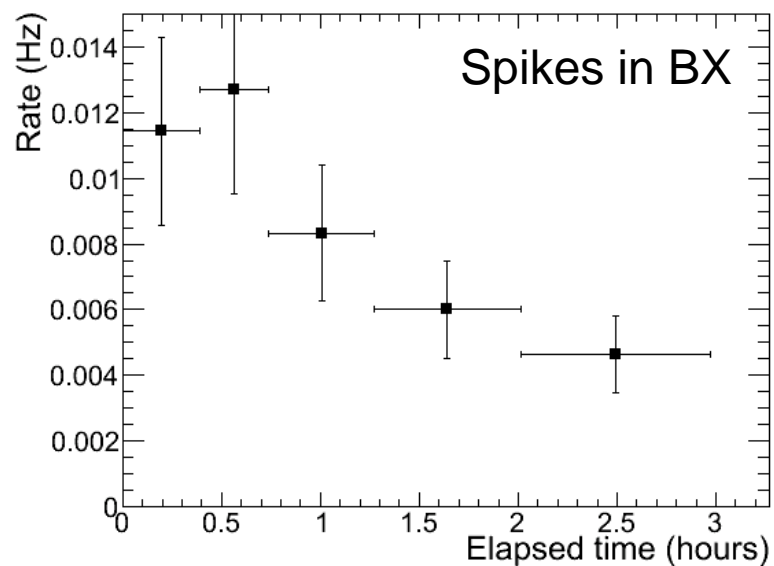


- Spikes in cosmic events : $E > 5 \text{ GeV}$, $E1/E9 > 0.95$, photons : $E > 5 \text{ GeV}$, $E1/E9 > 0.9$.
- Spike energy spectrum in cosmics softer than radiative photon spectrum.
- There are no spikes in cosmic MC
- Rate of spikes appears to be larger for gain 50 than for gain 200

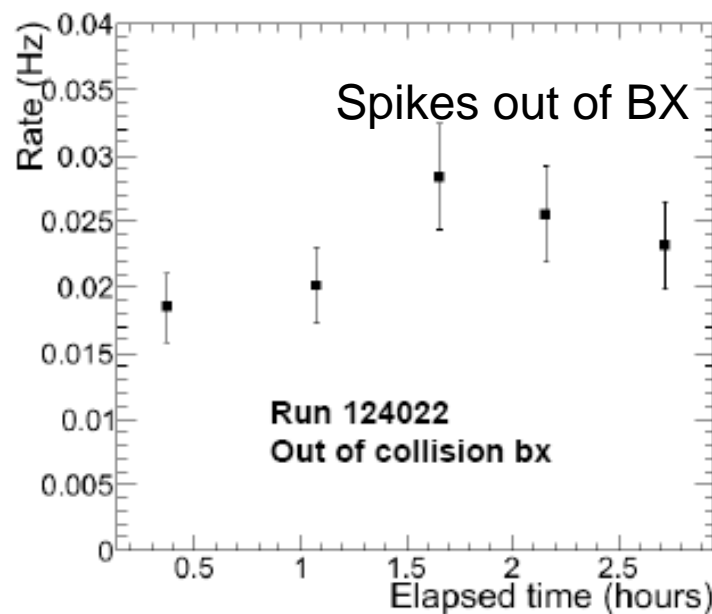
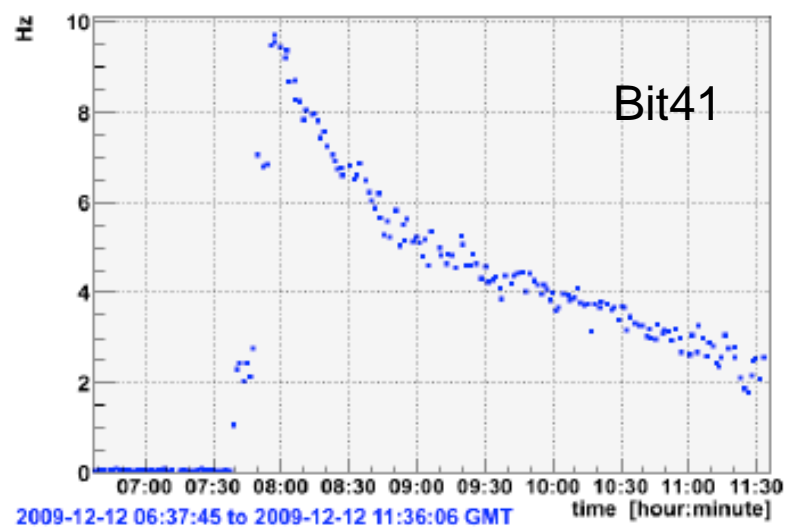


Jan Veverka (b), Elizabeth Locci (c)

Rates of Spikes in Collisions 2009



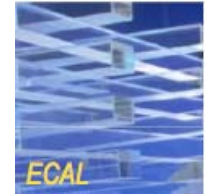
- Rate in-BX inconsistent with accidental coincidences of out-of-BX spikes
- In-BX rate scales with luminosity
- Run 124022, $E_T > 3$ GeV



C.Seetz [5], see also J.Jackson [9], Y.Gershtain [10]

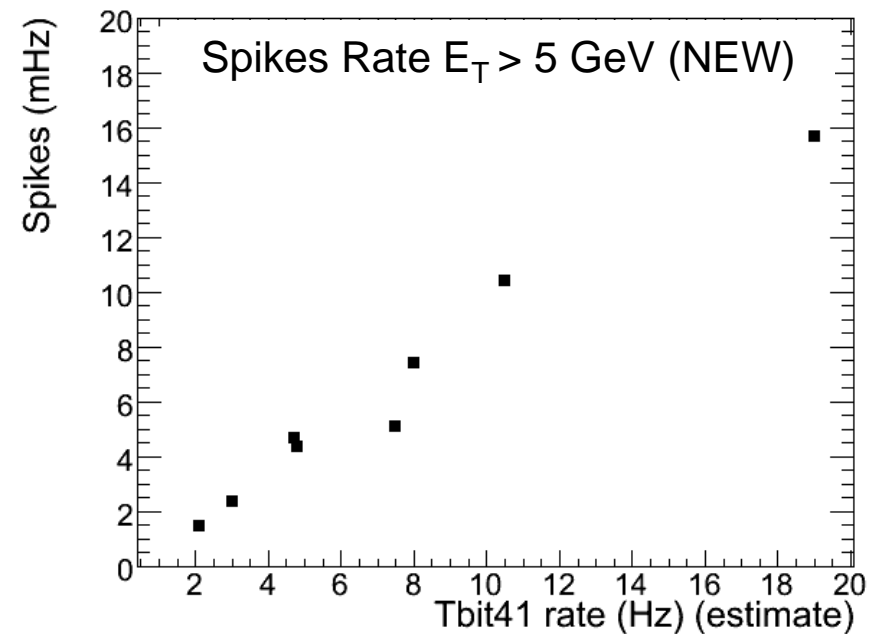
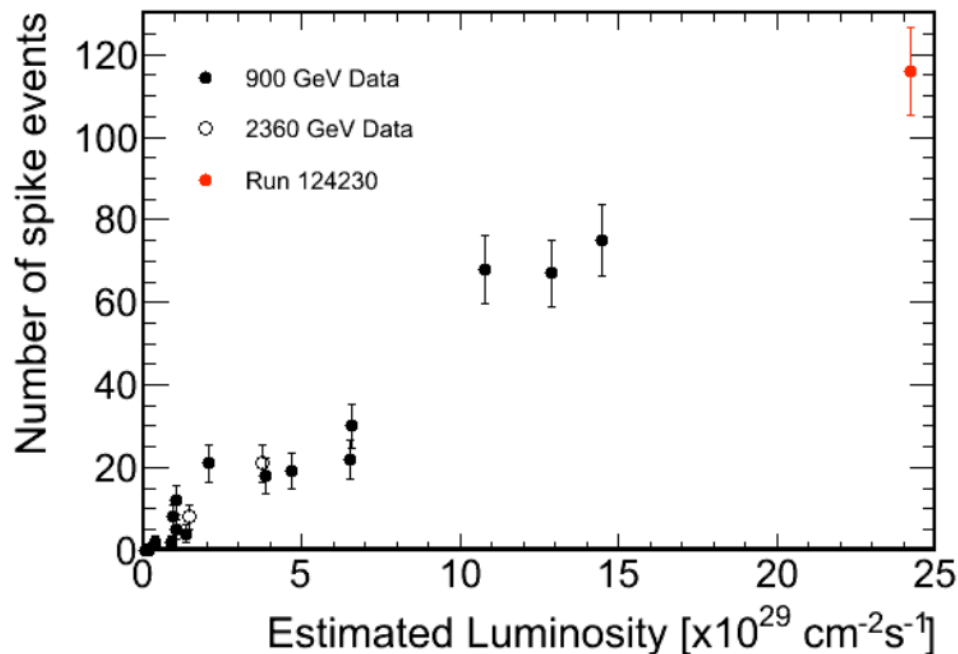
Status ECAL Spikes

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Spike rate vs luminosity

- Spikes rate ($E_T > 5\text{GeV}$) equivalent to 0.001 per BIT40 triggered event.
- Extrapolated rate : 10 kHz @ 10^{32} ($E_T > 5\text{ GeV}$), 4 kHz ($E_T > 10\text{ GeV}$)



Spike tagging Online : L1 and HLT



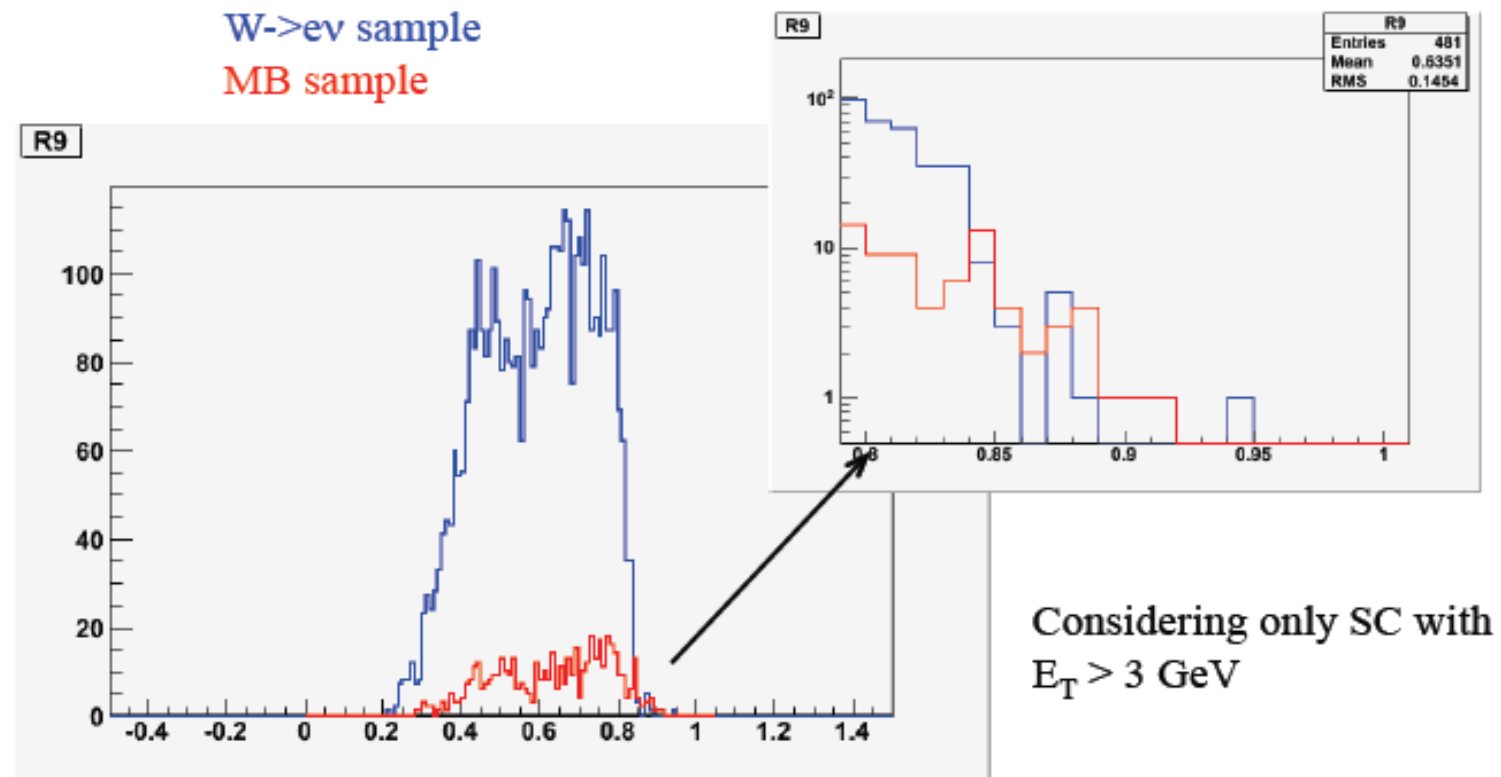
- James Jackson : Implementation of strip fine grain bit (SFGB) spike veto in the L1 emulator, and online configuration
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=0&materialId=slides&confId=87119>
- Evgueni Vlassov : ECAL DAQ Meetings
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=1&materialId=slides&confId=87683>
<http://indico.cern.ch/getFile.py/access?contribId=0&resId=1&materialId=slides&confId=85910>
- (d) Alessio Ghezzi : Egamma HLT update
<http://indico.cern.ch/getFile.py/access?contribId=1&sessionId=1&resId=0&materialId=slides&confId=79091>
- Tommaso Tabarelli de Fatis : ECAL DPG NEWS
<http://indico.cern.ch/getFile.py/access?contribId=0&resId=1&materialId=slides&confId=87119>

**For ECAL L1 Spike Status :
See following presentation by James !**



Spike tagging Online : HLT

- HLT (CMSSW_3_5_1) : E1/E9 for each SC, in every egamma path at startup, allows path dependent cuts.
 - Eg. $E1/E9 < 0.95$ rejects >90% of spikes, signal (tested on W->ev sample, ReVal351), unaffected, additional CPU need small (< 0.5 ms per module run).
- Will also serve (prescaled) to feed spike data set for continued studies.



Spike tagging offline



- (e) Federico Ferri : Ecal Spike Cleaning for 7 TeV Startup
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=0&materialId=slides&confId=86098>
- (f) Federico Ferri : ECAL reconstruction - progress with 2009 data and prospects
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=0&materialId=slides&confId=88136>
- (g) Tommaso Tabarelli de Fatis : Offline spike rejection
<http://indico.cern.ch/getFile.py/access?contribId=3&resId=0&materialId=slides&confId=87687>
- (h) Seth Cooper : Progress with Ecal Timing
<http://indico.cern.ch/getFile.py/access?contribId=4&resId=0&materialId=slides&confId=87119>
- (i) Kostas Theofilatos : Chi2 commissioning
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=0&materialId=slides&confId=86084>
- (j) Florian Beaudette : PF PAS Preapproval
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=0&materialId=slides&confId=84479>

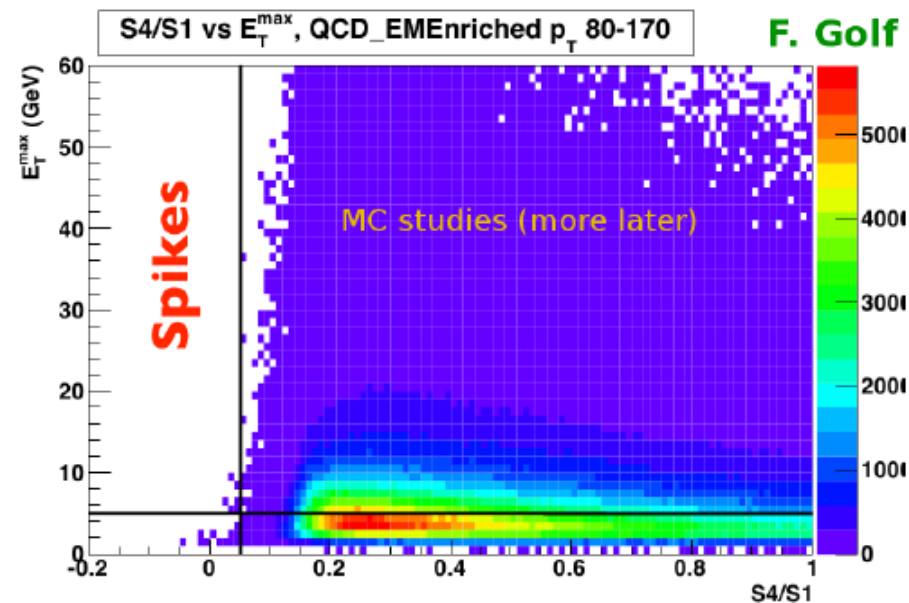
Note : I mostly say `tagging` which we will mostly do during startup. The transition to cleaning and filtering will be phased in as luminosity goes up.



Topological spike cleaning for CaloTowers (JetMET)

- Several algorithms based on the incompatibility of the spike with an e.m. shower
 - check energy deposit on neighbours w.r.t. the spike candidate
 - two of them currently available:
 - $S1/S4 \equiv E(\text{spiky crystal})/E(\text{swiss cross around})$
 - $E1/E9 \equiv E(\text{spiky crystal})/E(3 \times 3 \text{ matrix around})$
- very good discrimination without efficiency loss (MC tested)

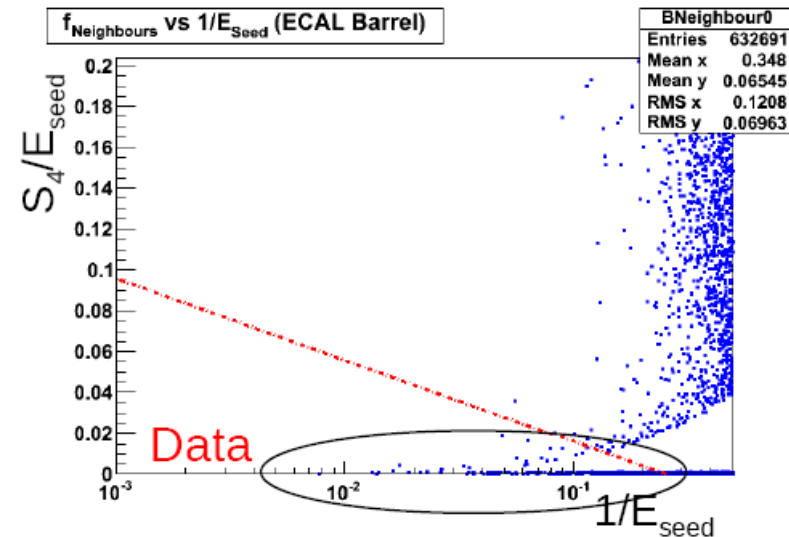
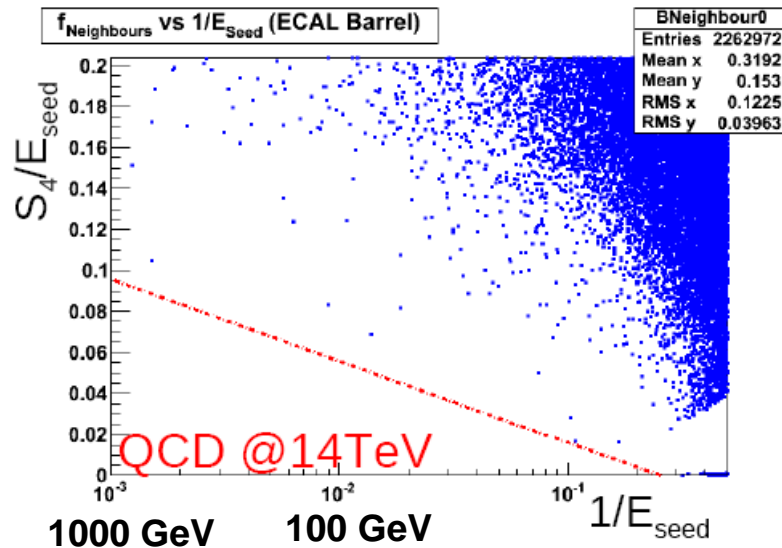
Sample	Rate (event)
$W \rightarrow e\nu$	1.7×10^{-6}
$Z \rightarrow ee$	3.9×10^{-6}
$t\bar{t}$	4.4×10^{-6}
QCDpt80	3.0×10^{-6}
QCDpt170	1.9×10^{-6}
QCDpt1400	4.2×10^{-6}
QCD EM pt80to170	3.9×10^{-6}
$\gamma + \text{Jet Pt20to30}$	0 / 13417
$\gamma + \text{Jet Pt50to80}$	0 / 25804
$\gamma + \text{Jet Pt170to300}$	1 / 51725
LM0	0 / 80505
LM4	0 / 83187
LM8	2 / 107066





Topological Spike Cleaning Particle Flow

$$E_4/E_{\text{seed}} < a \times \log_{10}(E_{\text{seed}}/E_0) \text{ if } E_{\text{seed}} > E_{\text{thresh}}$$

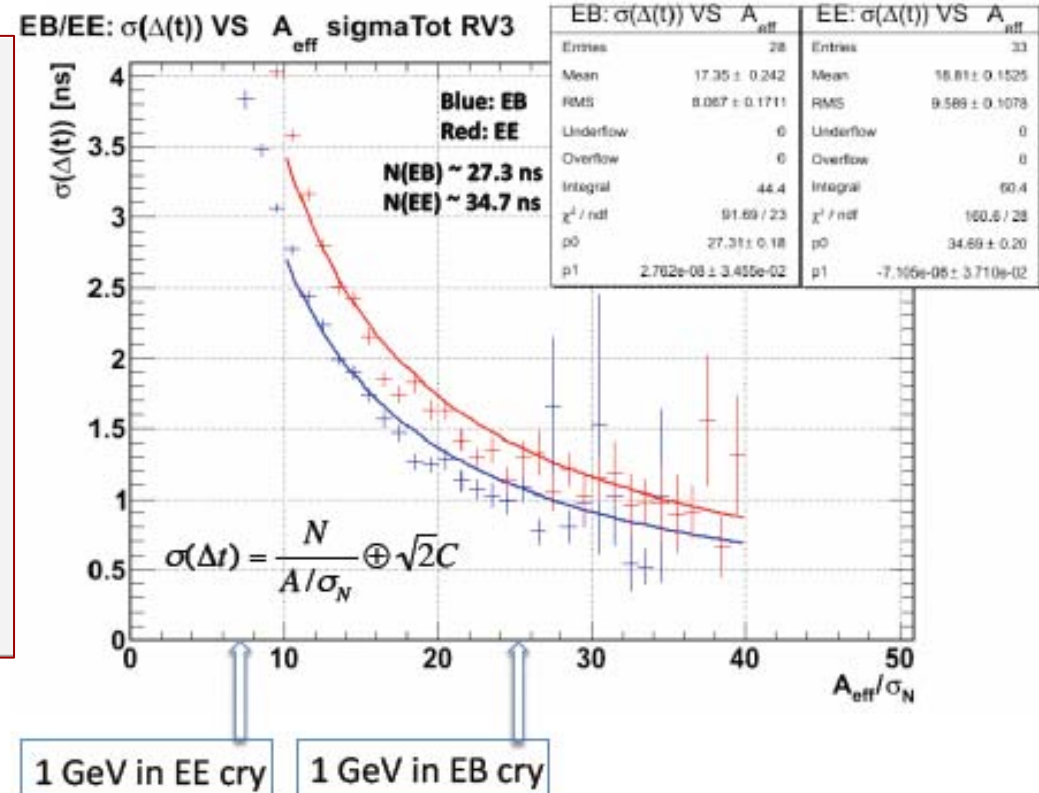
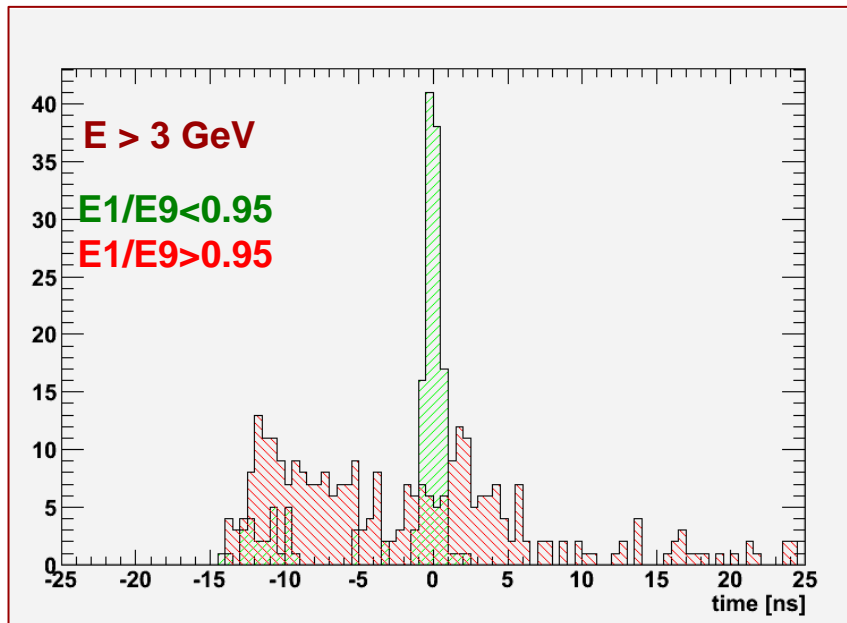


- All currently used topological cuts very similar.
 - Limited at low energies due to noise, limitations if spike does not have 8 active neighbours.
 - Limited in case of embedded spikes due to surrounding activity
- ⇒ Need to fully access the efficiency and purity of the methods.



Single RecHit tagging: Timing

- ECAL has very good time resolution.
 - ECAL spikes have a peculiar timing distribution.
- ⇒ Cuts on the proper timing allow to tag spikes.





Single RecHit discriminants : Timing (cont.)

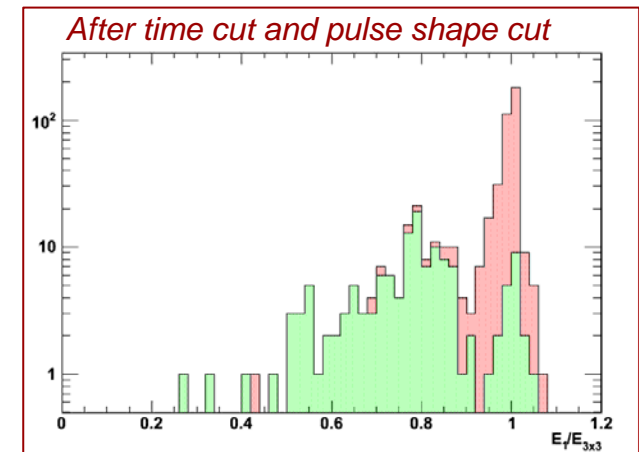
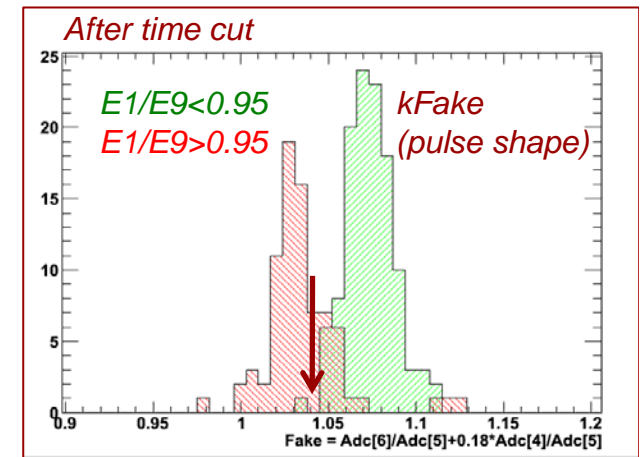
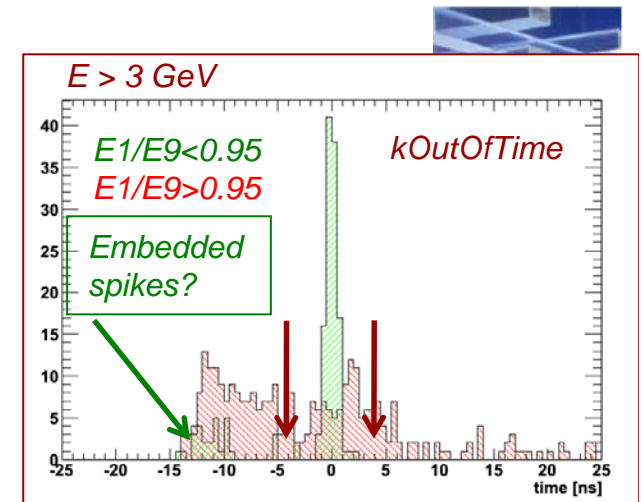
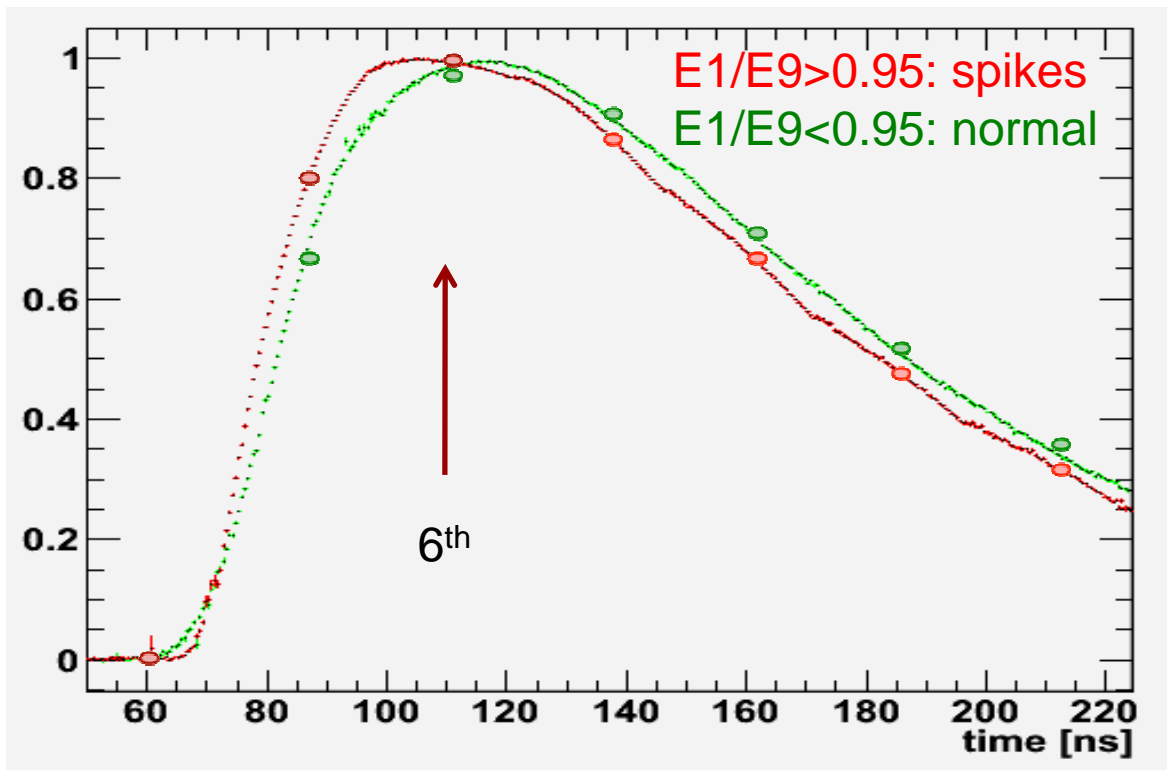
- With 5 sigma timing cut >80% spike reduction on 2009 data, RecHits with $E > 3$ GeV, $E1/E9 > 0.9$.
- 4 events with $E > 3$ GeV, $E1/E9 < 0.9$ are identified.
- These 4 events also feature a spike like pulse shape.

	Non-spikes ($R19 < 0.9$ && $E > 3$ GeV)		Spikes ($R19 > 0.9$ && $E > 3$ GeV)		
	In Time	kOutOfTime	In Time	kOutOfTime	Spike Reduction
Fixed	133	4	76	150	66%
5 Sigma	133	4	36	190	84%
4 Sigma	133	4	33	193	85%
3 Sigma	133	4	28	198	88%

RecHit tagging – Pulse Shape

- **Timing:** separation power relies on the difference between the real time of a standard pulse and the “apparent time” of a spike
- **Pulse shape:** separation relies on the faster spike risetime
Simple pulse shape discrimination :
$$R = \text{ADC}[7^{\text{th}}]/\text{ADC}[6^{\text{th}}] + 0.18 \cdot \text{ADC}[5^{\text{th}}]/\text{ADC}[6^{\text{th}}]$$

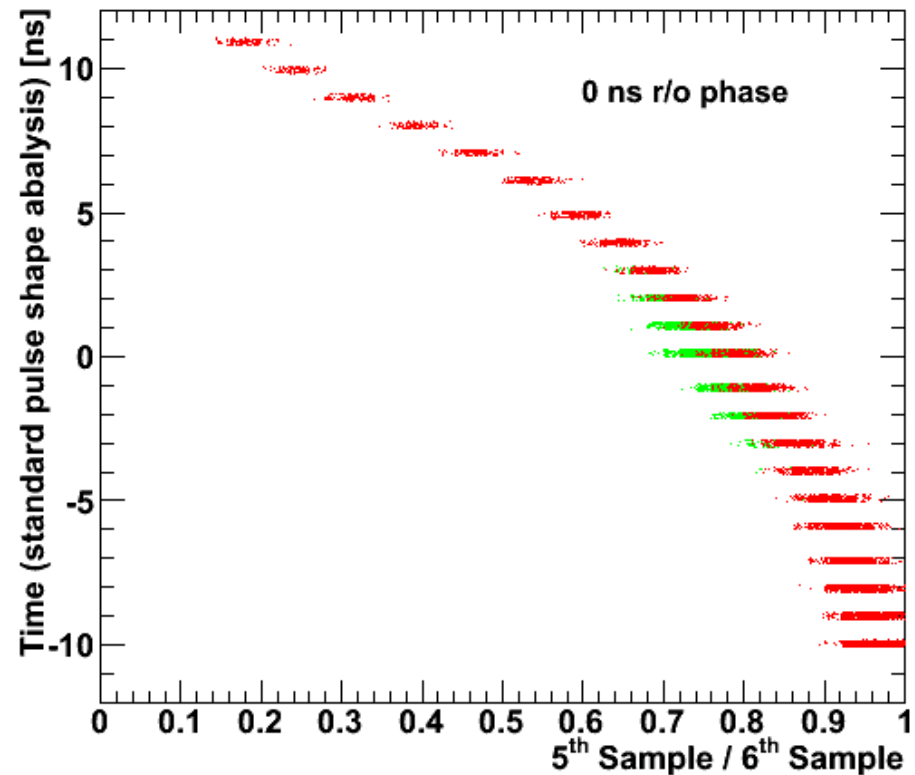
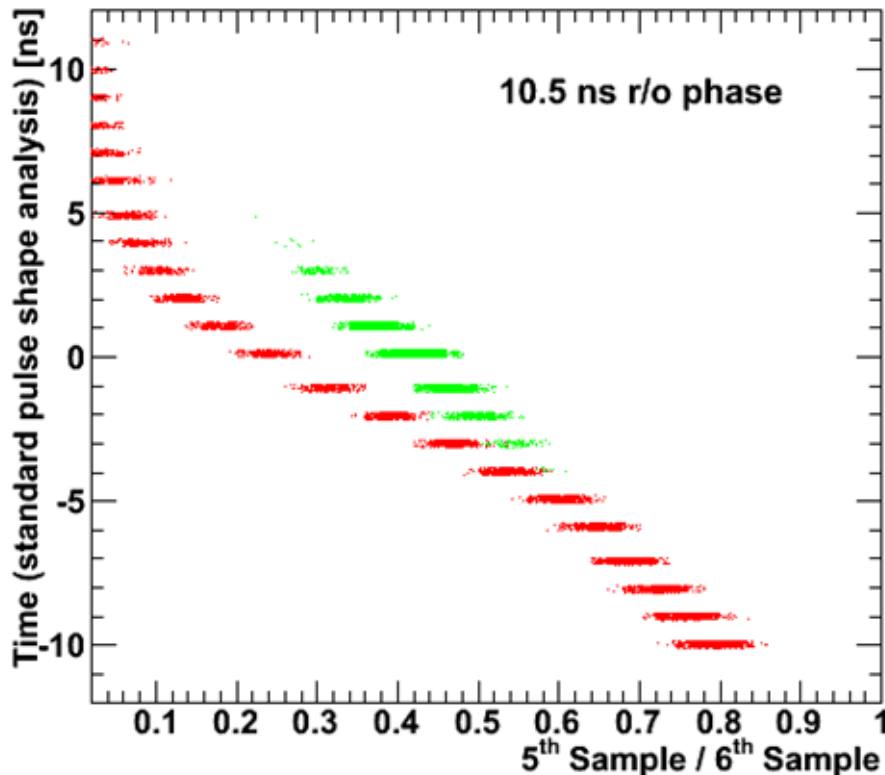
kFake is cut on this quantity



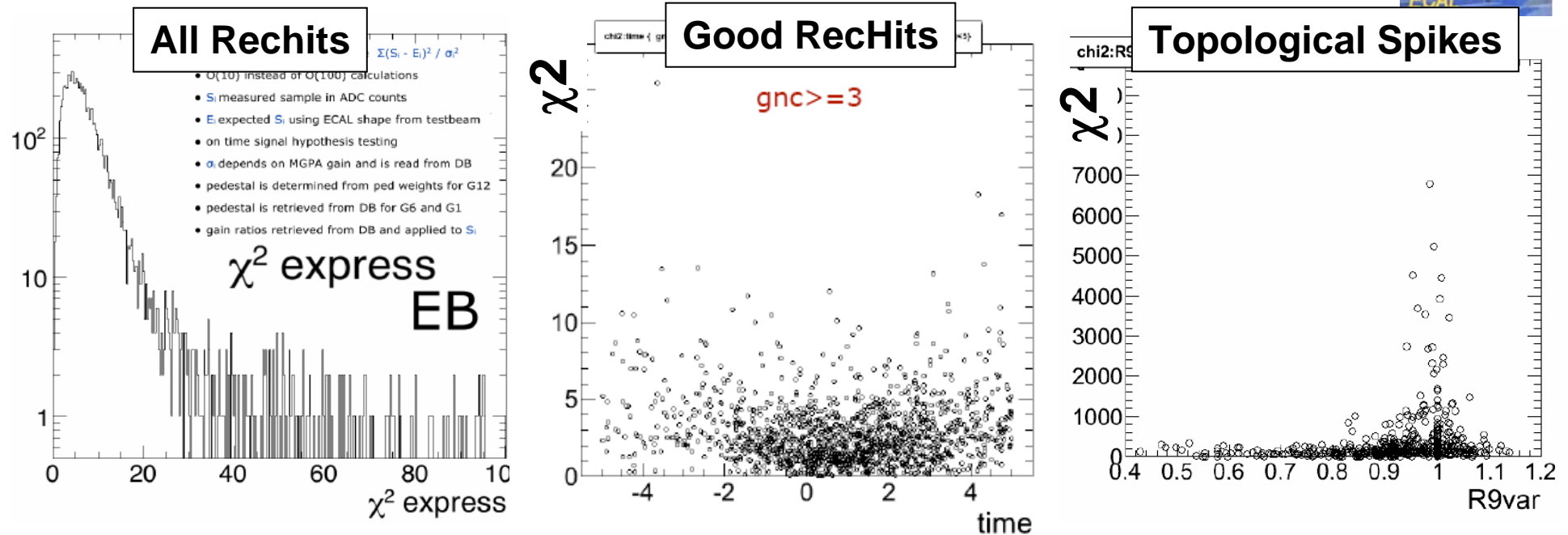


Readout phase dependency of sample ratios

- Separation power is lost! (or is limited to time info)
 - Notice that in both figures the time on the Y scale is offline timing calibrated)
- A r/o phase of 10 ns is about optimal for spike rejection
 - (for optimal performance of the energy reconstruction 0 ns optimal)



Pulse shape based tagging : χ^2 Commissioning



- Usage of the all 10 samples possibly more powerful
 $\Rightarrow \text{Chi2} : \sum_i (sample_i - exp_i)^2 / \sigma^2$
- Chi2 commissioning ongoing
- Very subtle since very sensitive to readout phase, exact shape, noise, amplitude, FE gain, etc.

Spike event properties

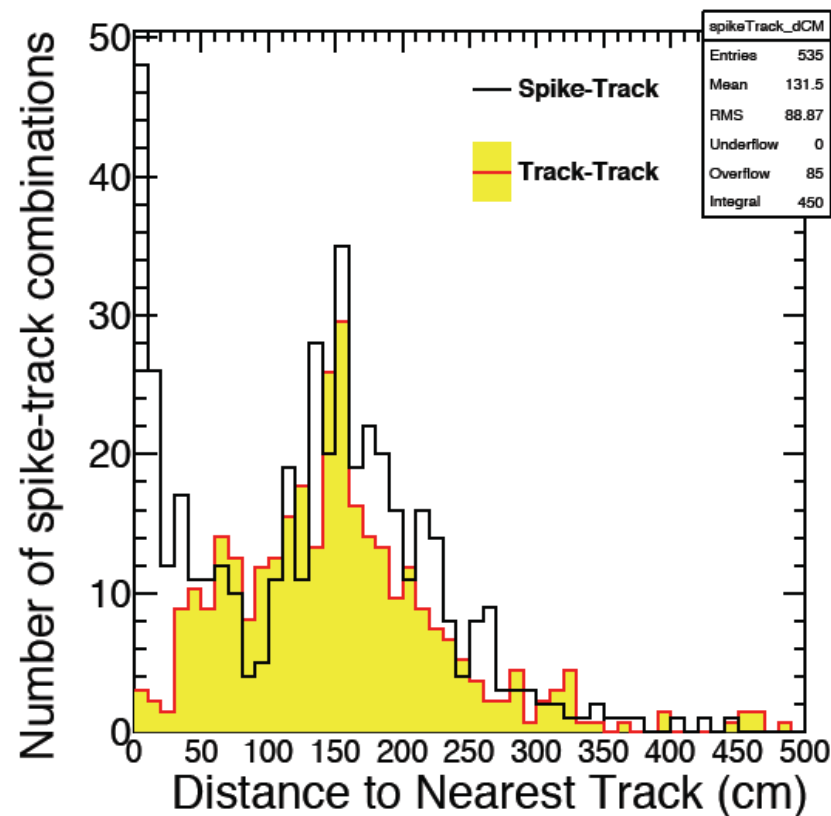


- (k) Artur Apresyan : Event properties of ECAL spike events
<http://indico.cern.ch/getFile.py/access?contribId=1&resId=1&materialId=slides&confId=87687>
- (l) David Wardrope : Matching between Tracks and ECAL Spikes
<http://indico.cern.ch/getFile.py/access?contribId=5&resId=1&materialId=slides&confId=83918>
- (l) Jim Branson : What We can Learn by Matching Tracks to the APD Hits In The Data
<http://indico.cern.ch/getFile.py/access?contribId=2&resId=0&materialId=slides&confId=83918>



Track-Spike matching

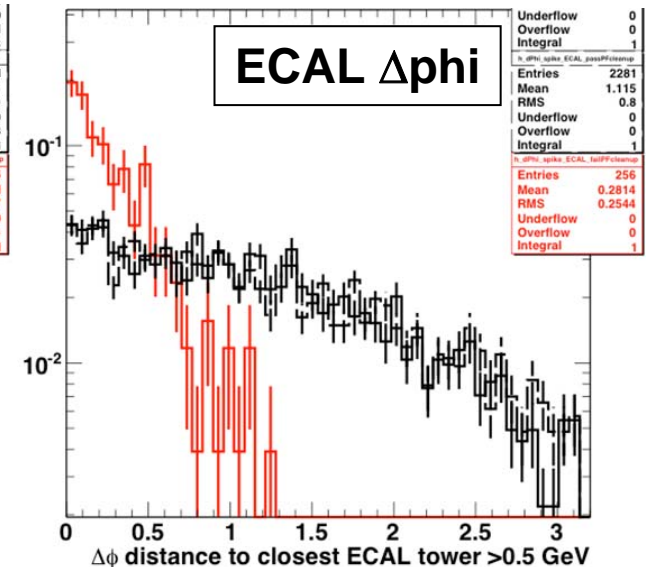
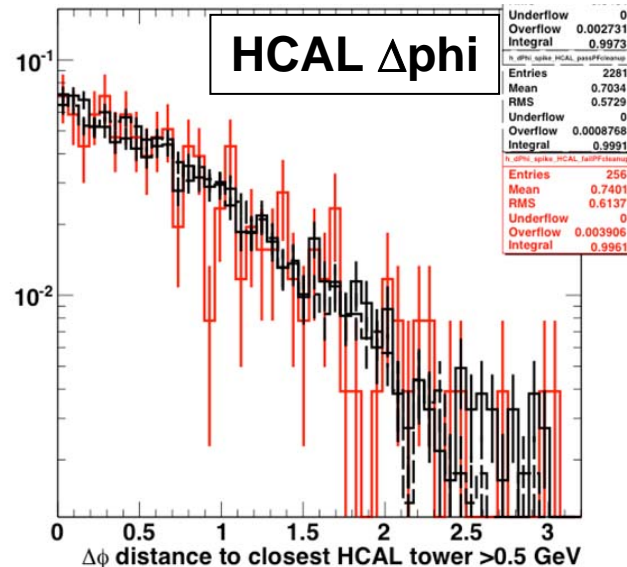
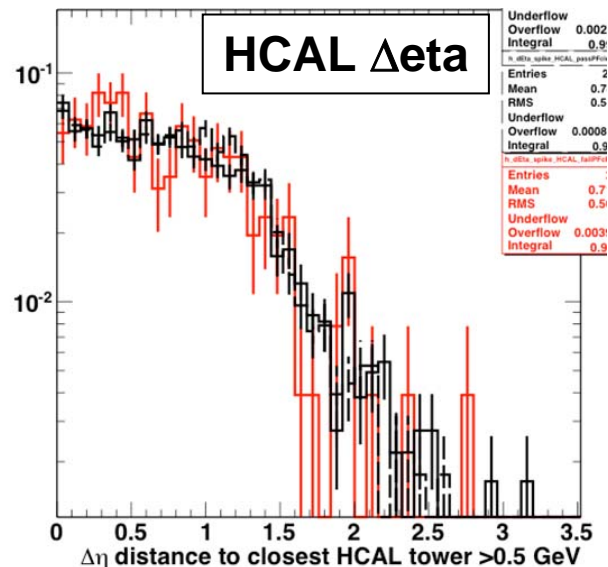
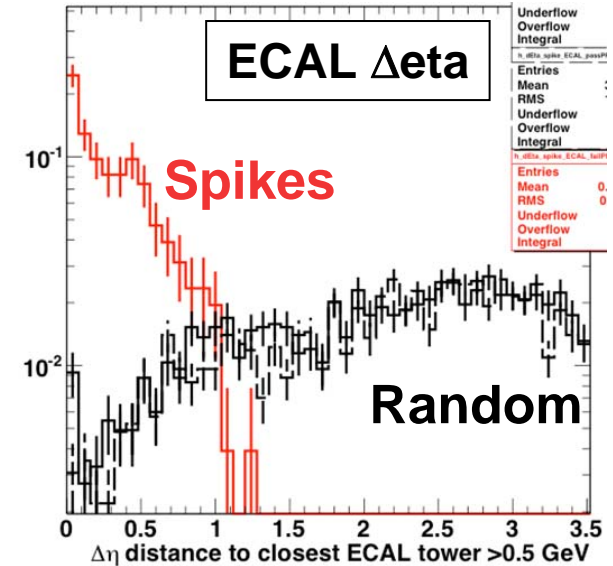
- With loose criteria (eg. within 30 cm) one finds a fraction of the spikes (15% - 50%) having a `matching` track.



Activity in ECAL/HCAL around `isolated` Spikes



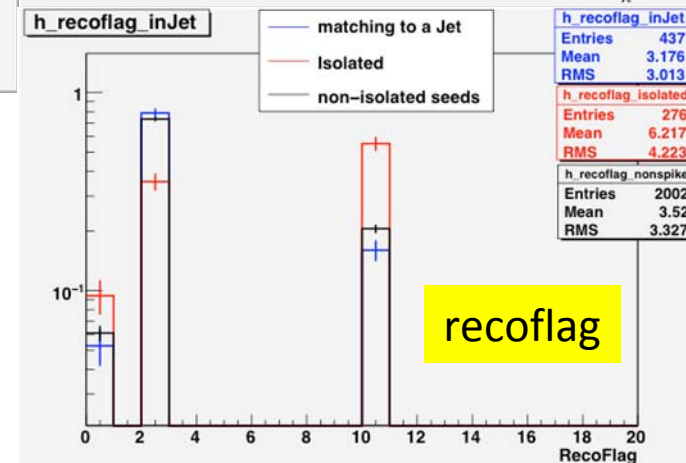
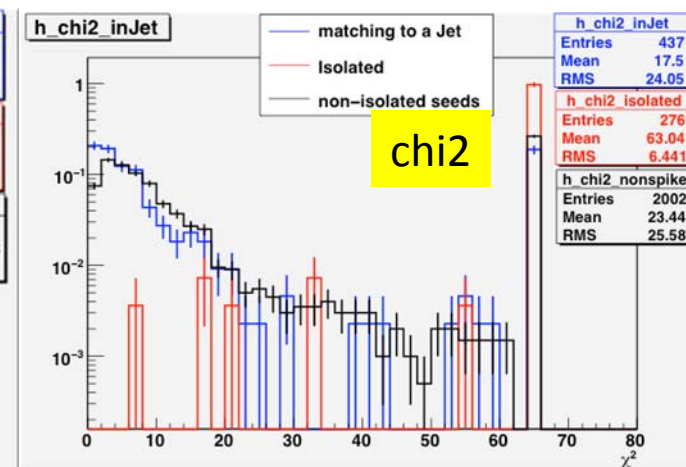
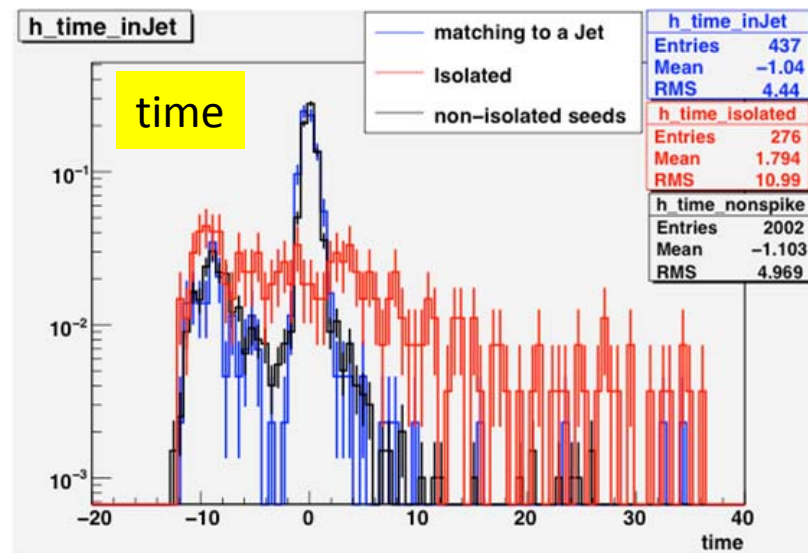
- Correlations between calorimeter hits and spikes :
 - Closest ECAL/HCAL Tower $E > 0.5$ GeV
 - Red : Spikes identified according to PF cut
 - Black solid : ECAL seeds > 2 GeV
 - Back dashed : ECAL seeds > 2 GeV MC
- Extra ECAL activity in the spike vicinity.



Spikes embedded in Jets in 2009 collision data



- Check for RecHits in jets that fail good signal criteria : Chi2, kFake, Time
- Loop over ECAL (EB) seeds and see if they match to an AK5 Jet within $dR < 0.5$
Jet Pt > 4.0 GeV and Had/EM > 10%



Matching to a Jet: xtal E > 1 GeV

Isolated: defined on p5, xtal E > 4 GeV

All seeds (excl. spikes) : xtal E > 2 GeV

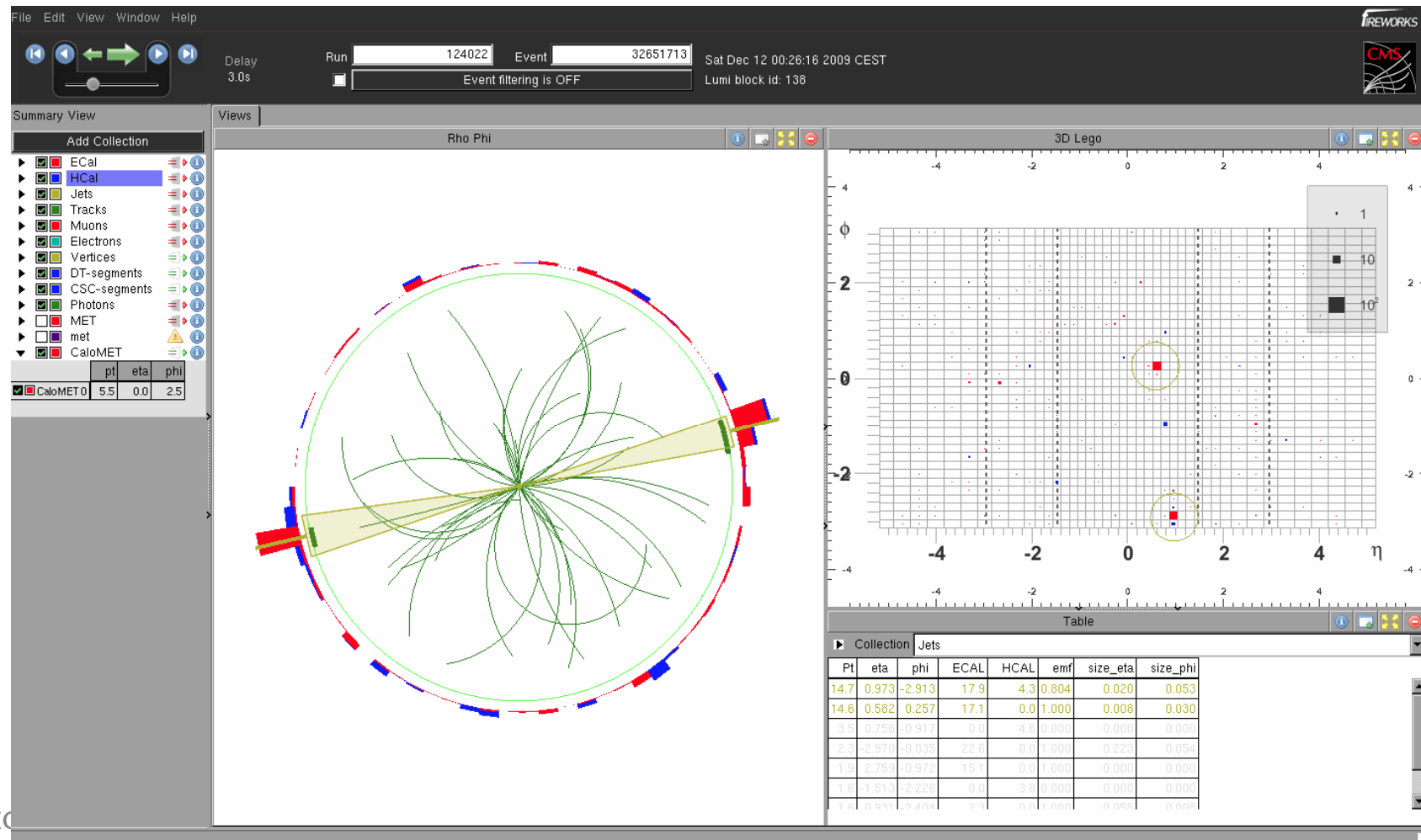
Chi2 saturates at value 64

CMSSW version used here had a bug in calculation of kOutOfTime (bin 2)

Spike candidate in a Jet (1)



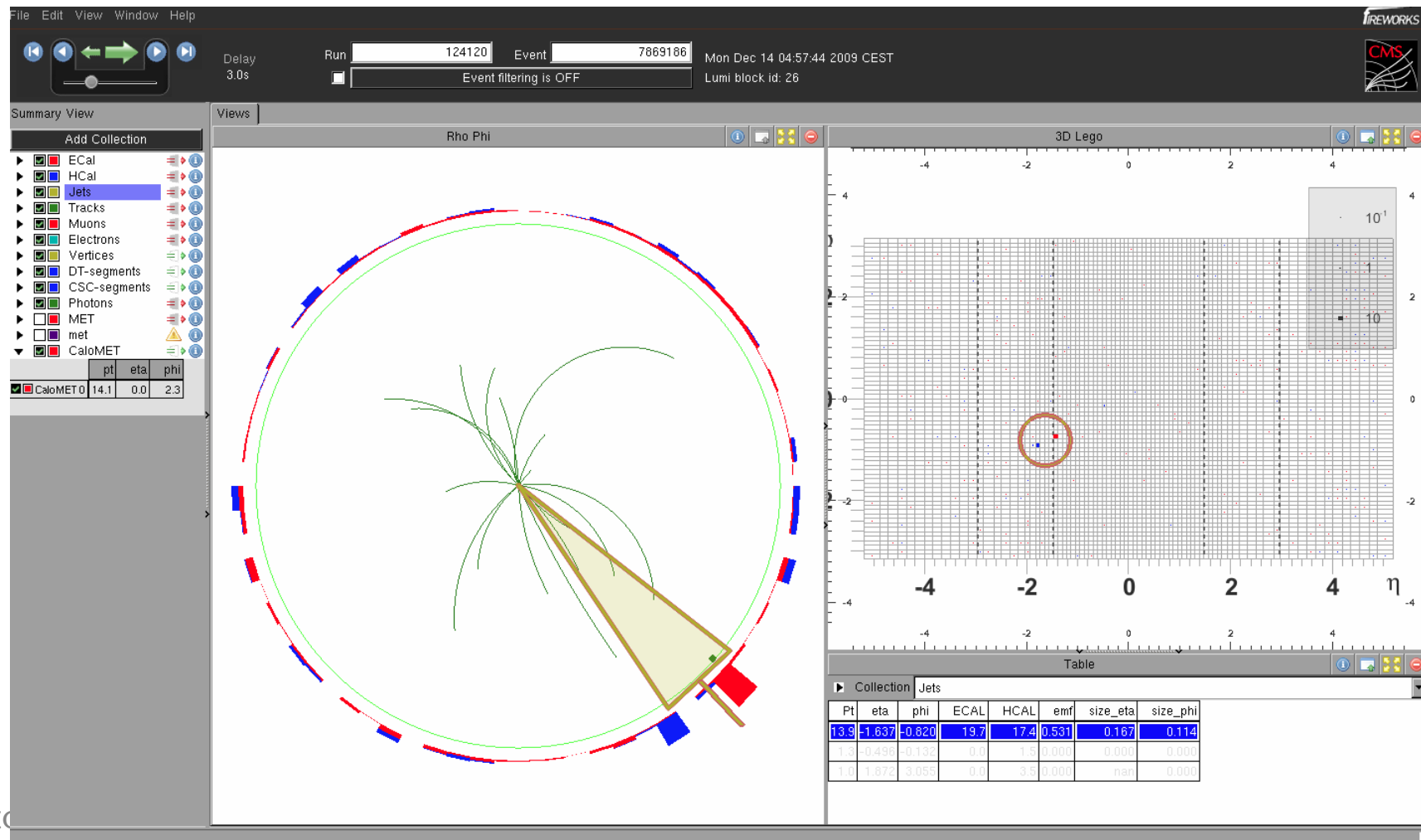
- 900 GeV data with a spike candidate (passes PF isolation ID)
 - The ECAL crystal fails: Chi2 and timing cuts and is flagged as kFake
- Quite balanced event and little MET...



Spike candidate in a Jet (2)



- An event from 2.36 TeV with isolated (PF cut) spike candidate :
 - The ECAL crystal fails Chi2 cut and is flagged as kFake
 - Jet Pt=13.9 GeV, MET=14.1 GeV



Spike studies outside P5



- (m) Igor Vodopiyanov : ECAL APD spikes in TB2006
<http://indico.cern.ch/getFile.py/access?contribId=0&resId=1&materialId=slides&confId=87687>
- (n) Renyuan Zhu : Neutron Induced Nuclear Counter Effect in a Pair of CMS APD
<http://indico.cern.ch/getFile.py/access?contribId=3&resId=0&materialId=slides&confId=88136>
- (o) Andre Holzner et al. : APD Hits in the Simulation :
<http://indico.cern.ch/getFile.py/access?contribId=6&resId=1&materialId=slides&confId=83918>
- (p) Sunanda Banerjee : Simulation of Energy Deposits in the APD
<http://indico.cern.ch/getFile.py/access?sessionId=3&resId=0&materialId=0&confId=87477>

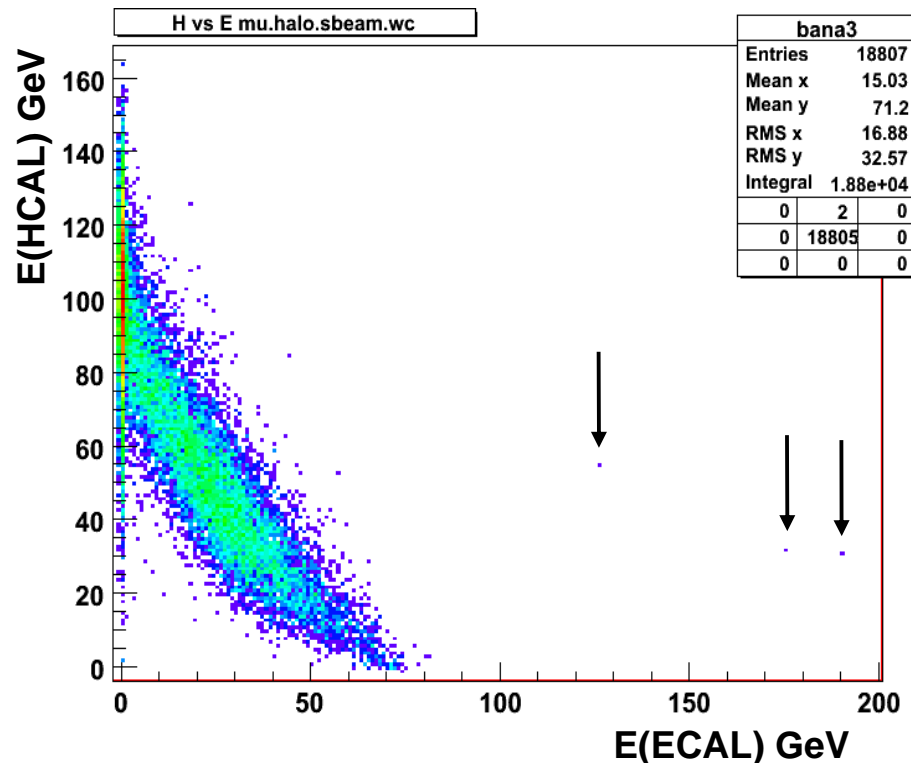
Spikes in test beam – analysis of 2006 data



- 2006 study restricted to $E(\text{HCAL}+\text{ECAL}) > E_{\text{beam}}$ to investigate ADP nuclear counter effect.
- Looked within beam spot and in vicinity of APDs, then checked S1/S7x7 and timing for consistency with APD response signature.
- 2010 re-analysis inspired by topological cuts, looking in a larger area and at all energies.

2006 analysis :

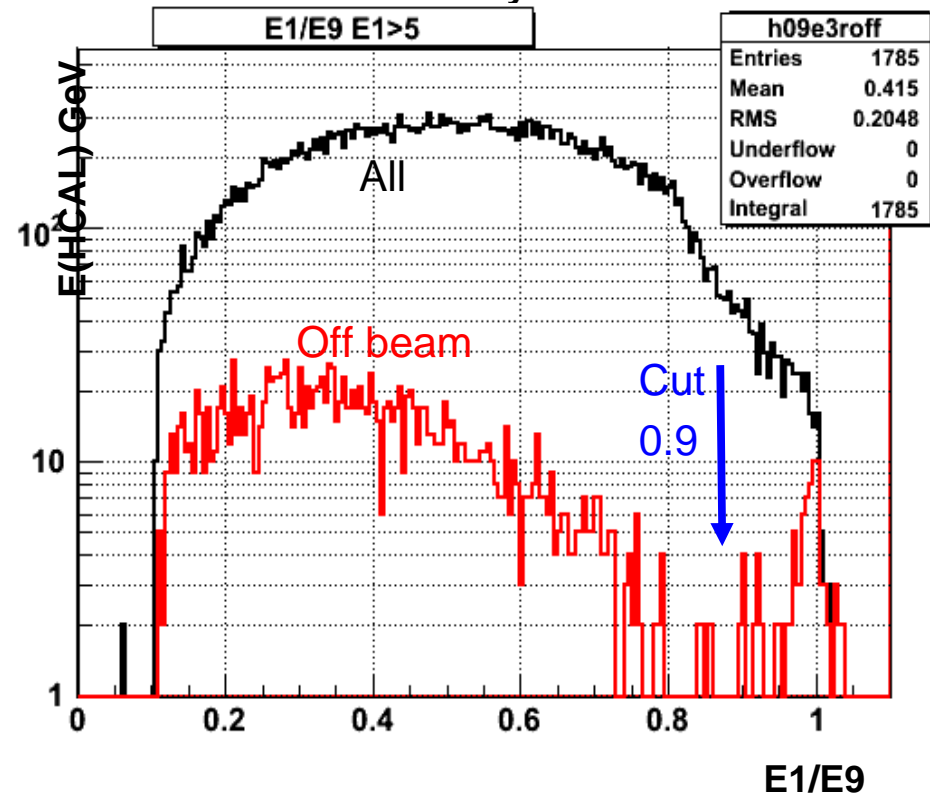
$E(\text{HCAL})$ vs $E(\text{ECAL})$ in 100 GeV pion data



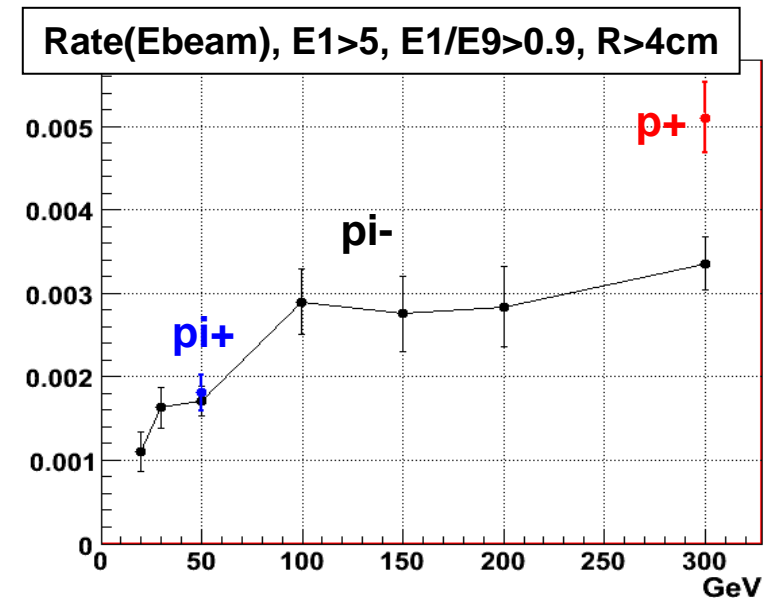
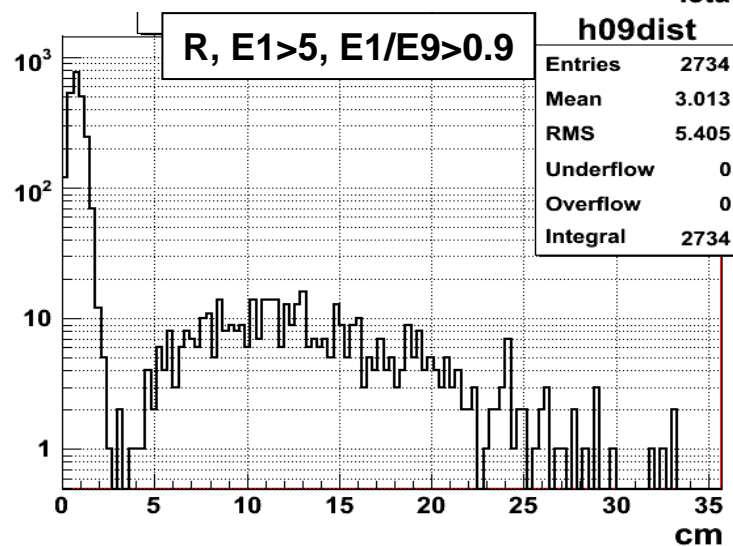
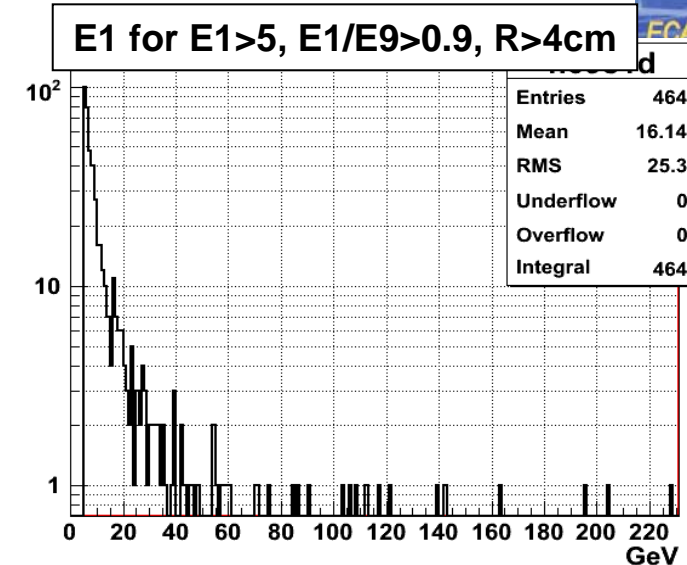
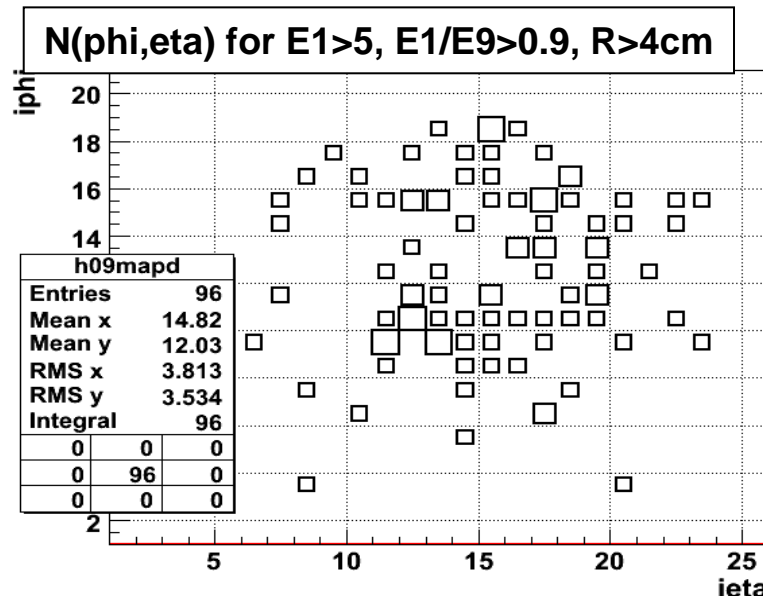
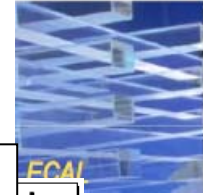
2010 analysis :

$E1/E9$ for all $E1 > 5$ GeV

Off beam : more than 2 crystals from beam axis



Spikes in test beam 2006



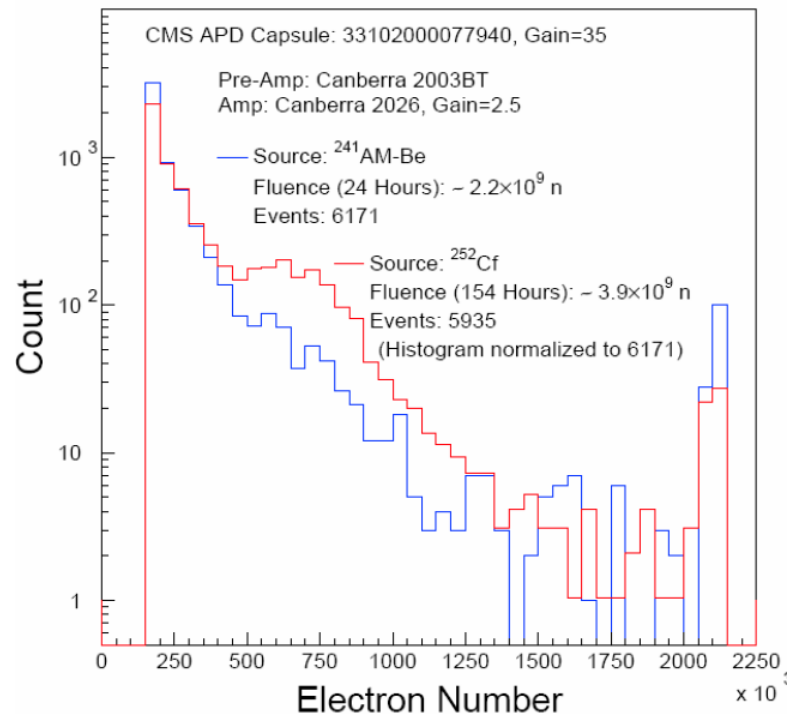
⇒ Several properties of spikes can be analysed in detail



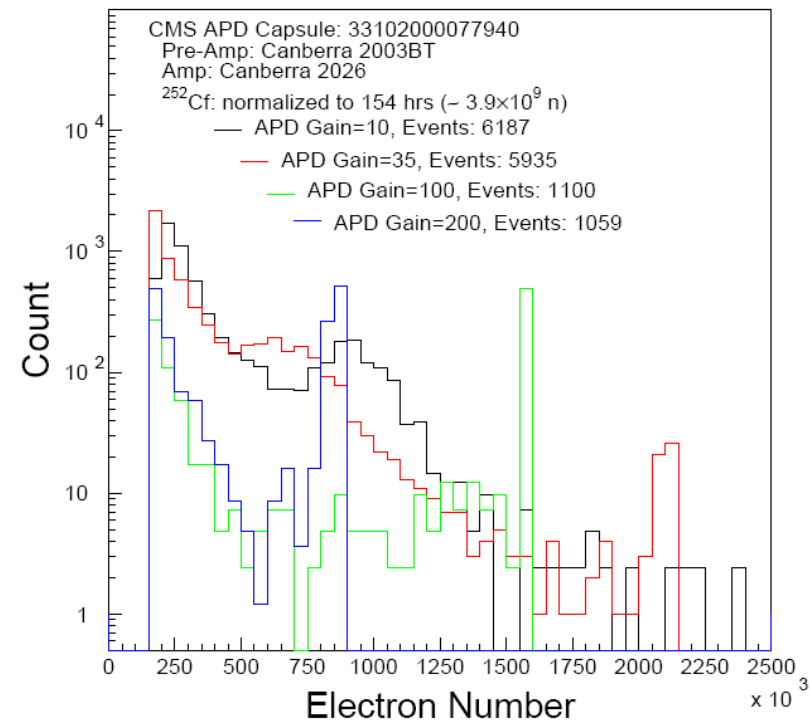
APD response to MeV neutrons

- Expose standard CMS APD capsule to $^{241}\text{Am-Be}$, ^{252}Cf and various γ -sources.
- Large APD signals (up to several 100 GeV equivalent) observed, compatible with neutron depositing its entire energy in the active layer of APD.
- APD response to MeV neutrons seems gain dependent, with less high energy deposits for higher gains. Possible explanation for observations in CRAFT ?

$^{241}\text{Am-Be}$ and ^{252}Cf



^{252}Cf Spectrum

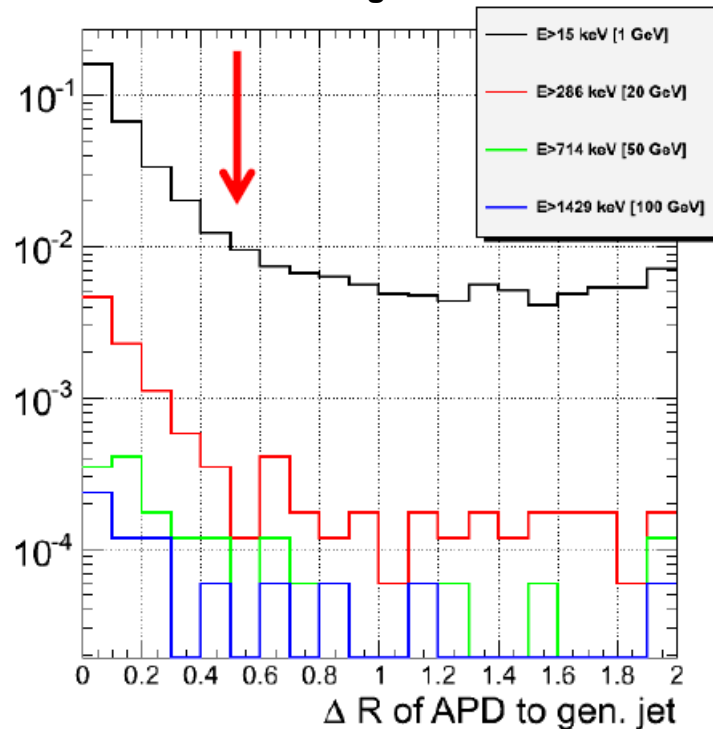




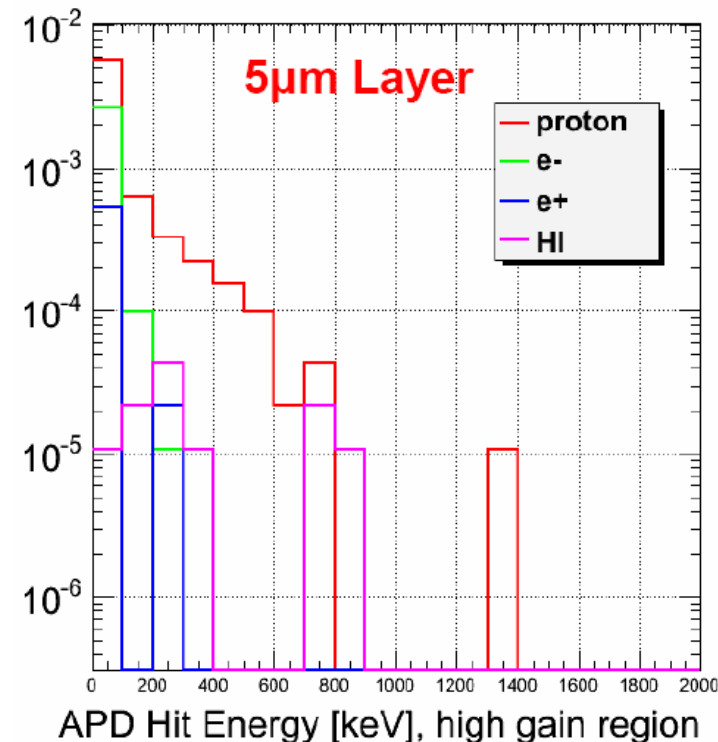
Detailed simulation of the APD

- Extensive effort to implement a detailed simulation of the APD and to study cause of spikes in MC.
- Goal is to have a proper simulation of spikes (timing, energy, spatial correlation to event activity) including mixed spike/scintillation hits.

ΔR of APD hits in a jet ($E_t > 200$ GeV, $\eta > 1.3$)
Relative to the generator Jet axis



ID of particles depositing large amount of energy in the APD



Summary



- **Significant progress on ECAL spikes in many areas :**
 - Understanding of the origin of spikes and spike event properties
 - Developing spike tagging (filtering) for the L1 and HLT trigger.
 - Improving offline tools to tag spikes.



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